



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,464,256	\$ 1,428,345,280
2	CLP HOLDINGS	32,358,439	\$ 2,614,561,871
3	HK & CHINA GAS	235,491,414	\$ 3,522,951,553
4	WHARF HOLDINGS	36,483,693	\$ 928,509,987
5	HSBC HOLDINGS	46,809,018	\$ 3,571,528,073
6	POWER ASSETS	19,095,769	\$ 1,277,506,946
8	PCCW	43,422,720	\$ 196,270,694
10	HANG LUNG GROUP	3,213,824	\$ 86,773,248
11	HANG SENG BANK	8,635,825	\$ 1,604,536,285
12	HENDERSON LAND	10,602,500	\$ 556,101,125
14	HYSAN DEV	5,782,669	\$ 229,861,093
16	SHK PPT	10,424,903	\$ 1,355,237,390
17	NEW WORLD DEV	95,459,912	\$ 1,097,788,988
19	SWIRE PACIFIC A	11,506,454	\$ 864,134,695
20	WHEELLOCK	2,909,558	\$ 159,589,256
23	BANK OF E ASIA	49,625,202	\$ 1,667,406,787
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	49,636,270	\$ 2,881,385,474
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,318,490	\$ 22,859,381
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	9,394,871	\$ 31,378,869
39	CH BEIDAHUANG	6,896,000	\$ 2,758,400
41	GREAT EAGLE H	327,707	\$ 13,960,318
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,709,184
45	HK&S HOTELS	5,432,149	\$ 60,948,712
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	530,000	\$ 16,297,500
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	324,000	\$ 9,477,000
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	6,282,000	\$ 2,041,650
66	MTR CORPORATION	68,448,111	\$ 3,114,389,051
69	SHANGRI-LA ASIA	26,324,099	\$ 468,568,962
71	MIRAMAR HOTEL	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	16,223,544	\$ 65,705,353
83	SINO LAND	19,005,681	\$ 265,699,420
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,142,286	\$ 7,698,601
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	20,727,359	\$ 368,117,896
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	5,730,000	\$ 17,132,700
116	CHOW SANG SANG	451,000	\$ 8,118,000
119	POLY PROPERTY	56,549,450	\$ 189,440,658
123	YUEXIU PROPERTY	16,777,461	\$ 24,159,544
127	CHINESE EST H	1,808,500	\$ 23,329,650
129	ASIA STANDARD	1,506,000	\$ 2,876,460
133	CHINA MERCHANTS	34,000	\$ 463,080
135	KUNLUN ENERGY	251,162,371	\$ 1,665,206,520
136	HENG TEN NET	904,869,611	\$ 289,558,276
142	FIRST PACIFIC	8,078,665	\$ 46,694,684
144	CHINA MER PORT	23,342,743	\$ 494,866,152
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	1,930,190	\$ 87,437,607
151	WANT WANT CHINA	679,090,362	\$ 4,217,151,148
152	SHENZHEN INT'L	6,215,466	\$ 91,367,350
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	64,425,000	\$ 6,957,900
165	CHINA EB LTD	14,481,821	\$ 260,672,778
168	TSINGTAO BREW	25,742,037	\$ 861,071,138
171	SILVER GRANT	1,338,000	\$ 1,632,360
173	K. WAH INT'L	1,006,179	\$ 4,457,373
175	GEELY AUTO	88,460,260	\$ 2,463,618,241
177	JIANGSU EXPRESS	6,732,667	\$ 73,790,030
178	SA SA INT'L	64,058,577	\$ 193,456,903
179	JOHNSON ELEC H	1,159,000	\$ 37,783,400
182	CONCORD NE	31,318,388	\$ 11,431,212
186	GRANDE HOLDINGS	0	\$ 0
189	DONGYUE GROUP	1,845,000	\$ 9,003,600
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	35,705,000	\$ 24,636,450
198	SMI HOLDINGS	30,183,800	\$ 124,055,418
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	8,899,310	\$ 207,353,923
207	JOY CITY PPT	6,559,150	\$ 7,870,980
215	HUTCHTEL HK	87,962,762	\$ 249,814,244
217	CHINA CHENGTONG	1,690,040	\$ 777,418
218	SHENWANHONGYUAN	10,486,805	\$ 28,419,242
220	U-PRESID CHINA	20,157,069	\$ 125,376,969
222	MIN XIN HOLD	364,000	\$ 1,991,080
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	3,234,000	\$ 3,913,140
232	AVIC INT'L	74,566,000	\$ 36,164,510
235	CHINA STRATEGIC	196,054,043	\$ 19,213,296
241	ALI HEALTH	367,596,992	\$ 1,477,739,908
242	SHUN TAK HOLD	1,909,666	\$ 5,728,998
245	CN MINSHENG FIN	27,140,247	\$ 10,177,593
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	500,786	\$ 4,241,657
256	CITYCHAMP	21,060,000	\$ 38,750,400
257	CHINA EB INT'L	174,007,787	\$ 1,861,883,321
258	TOMSON GROUP	761,046	\$ 2,557,115
259	YEEBO (INT'L H)	318,000	\$ 1,272,000
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	30,768,157	\$ 347,680,174
268	KINGDEE INT'L	188,208,339	\$ 803,649,608
270	GUANGDONG INV	14,038,441	\$ 146,561,324
272	SHUI ON LAND	57,900,543	\$ 118,696,113
273	MASON GP HOLD	132,496,001	\$ 16,562,000
285	BYD ELECTRONIC	79,796,424	\$ 1,546,454,697
288	WH GROUP	121,714,999	\$ 985,891,492
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	17,869,557	\$ 380,621,564
293	CATHAY PAC AIR	74,861,444	\$ 914,806,846
297	SINOFERT	99,624,528	\$ 118,553,188
303	VTECH HOLDINGS	1,560,688	\$ 174,797,056
308	CHINA TRAVEL HK	12,138,072	\$ 31,194,845
315	SMARTONE TELE	35,894,165	\$ 329,149,493
316	OOIL	5,357,022	\$ 399,633,841
317	COMEC	12,352,891	\$ 149,222,923



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	21,452,617	\$ 95,893,198
322	TINGYI	134,896,278	\$ 1,775,235,018
323	MAANSHAN IRON	100,104,989	\$ 371,389,509
327	PAX GLOBAL	91,421,378	\$ 320,889,037
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	139,174,291	\$ 559,480,650
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	1,898,613	\$ 9,739,885
337	GREENLAND HK	4,660,400	\$ 15,612,340
338	SHANGHAI PECEM	41,516,913	\$ 189,732,292
341	CAFE DE CORAL H	2,169,028	\$ 49,670,741
342	NEWOCEAN ENERGY	45,570,372	\$ 87,039,411
345	VITASOY INT'L	3,671,913	\$ 69,839,785
347	ANGANG STEEL	74,190,476	\$ 542,332,380
354	CHINASOFT INT'L	130,346,623	\$ 676,498,973
358	JIANGXI COPPER	67,130,411	\$ 812,277,973
363	SHANGHAI IND H	400,000	\$ 9,060,000
368	SINOTRANS SHIP	33,725,000	\$ 64,077,500
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	162,380,857	\$ 1,016,504,165
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	13,708,000	\$ 14,119,240
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	73,792,025	\$ 1,730,422,986
386	SINOPEC CORP	170,273,810	\$ 962,047,027
388	HKEX	25,804,392	\$ 6,373,684,824
390	CHINA RAILWAY	96,103,771	\$ 570,856,400
392	BEIJING ENT	2,474,680	\$ 110,494,462
400	COGOBUY	18,900,000	\$ 78,246,000
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	84,234,756	\$ 438,020,731
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	288,925,788	\$ 104,013,284
425	MINTH GROUP	10,120,326	\$ 449,342,474
433	NORTH MINING	122,947,111	\$ 17,335,543
434	BOYAA	2,476,194	\$ 7,973,345
435	SUNLIGHT REIT	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
439	KUANGCHI	33,112,163	\$ 92,382,935
440	DAH SING	577,340	\$ 28,664,931
451	GCL NEWENERGY	155,794,138	\$ 115,287,662
460	SIHUAN PHARM	155,985,576	\$ 449,238,459
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	1,904,000	\$ 9,900,800
480	HKR INT'L	1,501,600	\$ 7,222,696
486	RUSAL	18,597,000	\$ 96,332,460
488	LAI SUN DEV	906,900	\$ 12,660,324
489	DONGFENG GROUP	76,801,690	\$ 763,408,799
493	GOME RETAIL	1,820,380,994	\$ 1,711,158,134
494	LI & FUNG	280,379,747	\$ 970,113,925
497	CSI PROPERTIES	3,000,000	\$ 1,170,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	38,909,724	\$ 209,334,315
511	TVB	13,852,782	\$ 384,414,701
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,866,000	\$ 5,579,340
520	XIABUXIABU	1,275,000	\$ 15,325,500
521	HNA HOLDING	60,304,000	\$ 22,010,960
522	ASM PACIFIC	25,331,017	\$ 3,032,122,735
525	GUANGSHEN RAIL	61,740,900	\$ 266,103,279
526	LISI GP HOLD	3,154,000	\$ 4,131,740
530	GOLDIN FIN HOLD	7,182,000	\$ 28,728,000
535	GEMDALE PPT	24,172,000	\$ 19,337,600
538	AJISEN (CHINA)	357,000	\$ 1,399,440
546	FUFENG GROUP	42,064,000	\$ 210,320,000
547	DIGITAL DOMAIN	408,670,000	\$ 81,734,000
548	SHENZHENEXPRESS	2,521,700	\$ 19,896,213
551	YUE YUEN IND	5,911,645	\$ 164,934,896
552	CHINACOMSERVICE	14,084,000	\$ 68,307,400
563	SH IND URBAN	25,527,557	\$ 43,141,571
570	TRAD CHI MED	66,804,120	\$ 282,581,428
576	ZHEJIANGEXPRESS	18,097,045	\$ 172,645,809
579	JNCEC	13,004,000	\$ 26,918,280
580	SUN.KING ELEC	1,524,000	\$ 2,621,280
581	CHINA ORIENTAL	8,494,000	\$ 51,388,700
586	CONCH VENTURE	23,052,860	\$ 391,898,620
588	BEIJING N STAR	1,954,000	\$ 5,568,900
590	LUK FOOK HOLD	2,828,000	\$ 94,738,000
598	SINOTRANS	26,184,541	\$ 102,905,246



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
603	CHINA OIL & GAS	8,520,000	\$ 4,686,000
604	SHENZHEN INVEST	37,113,081	\$ 123,586,560
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	6,628,000	\$ 22,270,080
607	FULLSHARE	704,153,166	\$ 2,344,830,043
622	ENERCHINA HOLD	26,129,019	\$ 19,596,764
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	0	\$ 0
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	32,207,000	\$ 51,531,200
656	FOSUN INTL	81,314,364	\$ 1,398,607,061
658	C TRANSMISSION	21,753,478	\$ 205,787,902
659	NWS HOLDINGS	1,663,858	\$ 23,260,735
665	HAITONG INT'L	169,154,067	\$ 779,800,249
669	TECHTRONIC IND	5,154,958	\$ 237,385,816
670	CHINA EAST AIR	141,995,294	\$ 701,456,752
672	ZHONGAN REALEST	4,900,000	\$ 4,998,000
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	102,650,000	\$ 22,685,650
683	KERRY PPT	13,924,207	\$ 483,169,983
686	PANDA GREEN	116,259,237	\$ 123,234,791
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	25,484,181	\$ 625,636,644
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	13,322,379	\$ 166,529,738
696	TRAVELSKY TECH	5,991,422	\$ 127,018,146
698	TONGDA GROUP	511,971,940	\$ 1,090,500,232
699	CAR INC	72,311,884	\$ 505,460,069
700	TENCENT	119,524,110	\$ 49,698,124,938
702	SINO OIL & GAS	73,127,515	\$ 10,457,235
707	CO-PROSPERITY	5,234,000	\$ 2,329,130
708	EVERG HEALTH	2,040,000	\$ 6,589,200
709	GIORDANO INT'L	7,136,000	\$ 29,971,200
710	BOE VARITRONIX	516,000	\$ 3,034,080
715	CHINA OCEANWIDE	36,004,227	\$ 21,962,578
716	SINGAMAS CONT	14,462,000	\$ 24,585,400
717	EMPEROR CAPITAL	23,432,207	\$ 14,996,612
718	TAI UNITED HOLD	1,240,000	\$ 1,388,800
721	C FIN INT INV	53,803,584	\$ 12,374,824
728	CHINA TELECOM	169,674,366	\$ 646,459,334
729	FDG EVEHICLES	519,258,897	\$ 181,740,614



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732	TRULY INT'L	137,748,173	\$ 541,350,320
735	CP CLEAN ENERGY	631,665	\$ 2,709,843
737	HOPEWELL INFR	2,734,000	\$ 12,986,500
743	ASIA CEMENT CH	0	\$ 0
750	SINGYES SOLAR	70,319,277	\$ 189,158,855
751	SKYWORTHDIGITAL	133,676,742	\$ 524,012,829
752	PICO FAR EAST	412,000	\$ 1,347,240
753	AIR CHINA	31,340,614	\$ 267,962,250
754	HOPSON DEV HOLD	1,864,000	\$ 13,495,360
762	CHINA UNICOM	330,042,518	\$ 3,868,098,311
763	ZTE	68,961,383	\$ 2,137,802,873
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	4,486,235	\$ 106,996,705
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	175,316,755	\$ 126,228,064
798	CEOVU	0	\$ 0
799	IGG	102,943,302	\$ 862,664,871
806	VALUE PARTNERS	84,027,055	\$ 731,035,379
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,219,000	\$ 20,505,030
813	SHIMAO PROPERTY	10,867,760	\$ 165,842,018
815	CHI SILVER GP	13,903,720	\$ 34,620,263
816	HUADIAN FUXIN	43,040,163	\$ 81,776,310
817	CHINA JINMAO	131,051,847	\$ 470,476,131
818	HI SUN TECH	10,714,181	\$ 16,178,413
819	TIANNENG POWER	31,735,531	\$ 217,388,387
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	17,318,872	\$ 1,207,125,378
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	5,613,000	\$ 18,579,030
836	CHINA RES POWER	18,700,191	\$ 286,486,926
838	EVA HOLDINGS	378,000	\$ 400,680
845	GLORIOUS PPT H	27,008,000	\$ 19,985,920
853	MICROPORT	12,818,904	\$ 112,165,410
855	CHINA WATER	54,665,890	\$ 329,635,317
856	VSTECs	979,250	\$ 3,681,980
857	PETROCHINA	292,605,572	\$ 1,562,513,754
860	O LUXE HOLD	25,653,765	\$ 41,815,637
861	DC HOLDINGS	68,100,808	\$ 291,471,458
862	VISION VALUES	0	\$ 0
867	CMS	36,457,803	\$ 578,220,756



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868	XINYI GLASS	45,123,355	\$ 397,085,524
874	BAIYUNSHAN PH	1,344,400	\$ 31,257,300
877	O-NET TECH GP	36,344,311	\$ 190,444,190
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	117,153,217	\$ 716,977,688
881	ZHONGSHENG HLDG	28,657,050	\$ 490,608,696
882	TIANJIN DEV	2,632,000	\$ 9,817,360
883	CNOOC	74,948,614	\$ 804,948,114
884	CIFI HOLD GP	104,274,193	\$ 457,763,707
895	DONGJIANG ENV	4,556,824	\$ 52,130,067
902	HUANENG POWER	139,883,931	\$ 731,592,959
906	COFCO PACKAGING	6,859,338	\$ 45,957,565
914	CONCH CEMENT	74,845,582	\$ 2,698,183,231
915	DAOHE GLOBAL	3,053,000	\$ 6,899,780
916	CHINA LONGYUAN	161,383,986	\$ 873,087,364
921	HISENSE KELON	7,621,000	\$ 68,741,420
931	CHINA LNG	73,491,238	\$ 90,394,223
932	RM GROUP	7,940,000	\$ 57,962,000
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	9,678,000	\$ 45,002,700
939	CCB	1,043,673,318	\$ 7,316,149,959
941	CHINA MOBILE	24,711,083	\$ 1,968,237,761
950	LEE'S PHARM	607,077	\$ 4,055,274
951	CHAOWEI POWER	83,535,926	\$ 326,625,471
952	OCEANWIDE FIN	0	\$ 0
956	CHINA SUNTIEN	20,695,000	\$ 42,424,750
958	HN RENEWABLES	178,605,390	\$ 441,155,313
960	LONGFOR PPT	9,482,621	\$ 175,618,141
966	CHINA TAIPING	56,393,022	\$ 1,858,150,075
968	XINYI SOLAR	146,690,066	\$ 406,331,483
973	L'OCCITANE	5,919,603	\$ 85,952,636
976	CHIHO ENV	12,566,049	\$ 47,750,986
978	CHI MER LAND	18,992,000	\$ 27,728,320
980	LIANHUA	5,494,709	\$ 17,088,545
981	SMIC	508,100,673	\$ 6,046,398,009
988	LOUDONG GN RES	0	\$ 0
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	9,424,645	\$ 24,598,323
992	LENOVO GROUP	1,026,287,004	\$ 4,577,240,038
993	HUARONG INT FIN	854,000	\$ 2,357,040
995	ANHUIEXPRESSWAY	583,300	\$ 3,715,621





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996	CARNIVAL GROUP	116,580,458	\$ 47,215,085
998	CITIC BANK	251,435,552	\$ 1,289,864,382
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,809,326	\$ 4,089,077
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	1,120,000	\$ 2,856,000
1030	FUTURE LAND	34,170,000	\$ 157,523,700
1031	KINGSTON FIN	127,913,923	\$ 910,747,132
1033	SINOPEC SSC	95,100,454	\$ 118,875,568
1038	CKI HOLDINGS	49,035,882	\$ 3,285,404,094
1044	HENGAN INT'L	33,446,628	\$ 2,508,497,100
1045	APT SATELLITE	1,838,114	\$ 6,286,350
1051	G-RESOURCES	39,096,106	\$ 4,026,899
1052	YUEXIUTRANSPORT	30,200,651	\$ 169,425,652
1055	CHINA SOUTH AIR	114,824,067	\$ 851,994,577
1060	ALI PICTURES	1,177,080,600	\$ 1,200,622,212
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	17,832,000	\$ 80,065,680
1066	WEIGAO GROUP	12,610,045	\$ 69,229,147
1068	YURUN FOOD	18,209,000	\$ 14,567,200
1070	TCL MULTIMEDIA	22,219,055	\$ 102,429,844
1071	HUADIAN POWER	50,256,298	\$ 159,312,465
1072	DONGFANG ELEC	4,784,510	\$ 32,438,978
1076	IMPERIAL PAC	221,600,855	\$ 26,148,901
1083	TOWNGAS CHINA	9,961,916	\$ 62,560,832
1086	GOODBABY INTL	5,286,000	\$ 21,936,900
1088	CHINA SHENHUA	34,077,955	\$ 661,112,327
1089	LEYOU TECH H	12,009,621	\$ 24,379,531
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	25,308,000	\$ 401,384,880
1098	ROAD KING INFRA	547,000	\$ 7,001,600
1099	SINOPHARM	41,646,317	\$ 1,307,694,354
1103	DASHENG AGR FIN	58,456,009	\$ 30,397,125
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	838,000	\$ 1,340,800
1108	LUOYANG GLASS	3,541,826	\$ 14,273,559
1109	CHINA RES LAND	56,471,709	\$ 1,248,024,769
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,068,696	\$ 369,949,712
1113	CK ASSET	1,164,000	\$ 77,056,800
1114	BRILLIANCE CHI	119,572,983	\$ 2,606,691,029



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1115	TIBET WATER	49,391,527	\$ 169,412,938
1117	CH MODERN D	56,227,162	\$ 84,340,743
1122	QINGLING MOTORS	480,000	\$ 1,209,600
1128	WYNN MACAU	50,444,392	\$ 1,147,609,918
1129	WATER INDUSTRY	7,780,984	\$ 13,538,912
1131	AGRITRADE RES	0	\$ 0
1133	HARBIN ELECTRIC	5,121,001	\$ 16,950,513
1138	COSCO SHIP ENGY	22,296,320	\$ 95,874,176
1140	OP FIN INV	0	\$ 0
1141	CMBC CAPITAL	302,959,075	\$ 181,775,445
1157	ZOOMLION	127,048,300	\$ 431,964,220
1166	SOLARTECH INT'L	8,360,000	\$ 4,932,400
1168	SINOLINK HOLD	89,740,000	\$ 92,432,200
1169	HAIER ELEC	23,644,609	\$ 527,274,781
1171	YANZHOU COAL	88,311,643	\$ 655,272,391
1176	ZHUGUANG HOLD	2,838,000	\$ 3,036,660
1177	SINO BIOPHARM	60,781,089	\$ 663,729,492
1186	CHINA RAIL CONS	97,673,448	\$ 907,386,332
1193	CHINA RES GAS	31,913,813	\$ 911,139,361
1196	REALORD GROUP	3,804,000	\$ 22,025,160
1199	COSCO SHIP PORT	38,810,131	\$ 315,914,466
1205	CITIC RESOURCES	53,985,837	\$ 44,268,386
1206	TECHNOVATOR	14,668,000	\$ 32,122,920
1208	MMG	74,535,244	\$ 263,854,764
1211	BYD COMPANY	126,203,332	\$ 9,351,666,901
1212	LIFESTYLE INT'L	363,167	\$ 3,907,677
1219	TENWOW INT'L	4,209,273	\$ 7,239,950
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	6,225,723	\$ 10,396,957
1230	YASHILI INT'L	25,810,000	\$ 42,586,500
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	13,038,690	\$ 94,791,276
1234	CHINA LILANG	431,000	\$ 2,723,920
1238	POWERLONG	881,000	\$ 3,153,980
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	15,267,420	\$ 3,893,192
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	12,000,000	\$ 16,800,000
1257	CEB GREENTECH	634,524	\$ 4,422,632
1258	CHINFMINING	0	\$ 0
1270	LANGHAM-SS	1,101,658	\$ 3,789,704



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	89,040,000	\$ 58,766,400
1288	ABC	76,260,342	\$ 280,638,059
1293	GRAND BAOXIN	28,293,395	\$ 121,095,731
1297	SINOSOFT TECH	19,427,090	\$ 46,625,016
1299	AIA	66,353,066	\$ 4,535,232,061
1300	TRIGIANT	1,923,647	\$ 1,904,411
1302	LIFETECH SCI	86,366,839	\$ 150,278,300
1308	SITC	14,796,498	\$ 110,381,875
1310	HKBN	8,751,375	\$ 82,700,494
1313	CHINARES CEMENT	15,492,000	\$ 79,164,120
1315	VISION FAME	53,416,000	\$ 19,496,840
1316	NEXTEER	4,628,000	\$ 78,953,680
1317	MAPLELEAF EDU	21,849,650	\$ 188,999,473
1330	DYNAGREEN ENV	1,738,000	\$ 7,369,120
1332	CHINA TOUYUN	5,900,000	\$ 2,330,500
1333	CHINA ZHONGWANG	110,704,268	\$ 419,569,176
1336	NCI	14,211,052	\$ 776,633,992
1339	PICC GROUP	58,166,766	\$ 243,718,750
1347	HUA HONG SEMI	1,589,000	\$ 23,803,220
1359	CHINA CINDA	169,056,381	\$ 497,025,760
1360	MEGAEXPO HLDG	6,558,323	\$ 11,870,565
1361	361 DEGREES	17,321,964	\$ 49,367,597
1363	CTEG	256,964,746	\$ 336,623,817
1368	XTEP INT'L	44,779,100	\$ 133,889,509
1375	CC SECURITIES	18,052,344	\$ 60,475,352
1378	CHINAHONGQIAO	34,287,100	\$ 320,927,256
1381	CANVEST ENV	17,002,117	\$ 77,189,611
1382	PACIFICTEXTILES	12,383,586	\$ 100,307,047
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	302,338,153	\$ 78,607,920
1398	ICBC	553,421,687	\$ 3,447,817,110
1415	COWELL	13,235,000	\$ 42,749,050
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	16,812,000	\$ 44,383,680
1432	CHINA SHENGMU	36,953,000	\$ 46,560,780
1448	FU SHOU YUAN	3,749,124	\$ 24,069,376
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	23,921,000	\$ 171,752,780
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	10,086,771	\$ 170,869,901
1508	CHINA RE	28,286,956	\$ 48,653,564
1509	HARMONICARE	3,286,000	\$ 9,365,100
1513	LIVZON PHARMA	322,107	\$ 18,649,995
1515	CRPHOENIXHEALTH	39,268,682	\$ 382,869,650
1518	NC HEALTHCARE	0	\$ 0
1520	CEFC FIN INV	0	\$ 0
1528	RS MACALLINE	1,964,600	\$ 19,390,602
1530	3SBIO	96,356,365	\$ 1,472,325,257
1548	GENSCRIPT BIO	21,166,000	\$ 189,859,020
1551	GRCB	0	\$ 0
1558	HEC PHARM	116,600	\$ 2,932,490
1566	CHINA ANIMATION	13,152,310	\$ 40,509,115
1569	MINSHENG EDU	1,280,000	\$ 2,150,400
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	346,000	\$ 2,470,440
1585	YADEA	8,236,000	\$ 21,413,600
1589	CNLP	14,063,000	\$ 34,876,240
1599	UCD	436,000	\$ 1,966,360
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	17,093,426	\$ 27,178,547
1618	MCC	48,055,730	\$ 115,333,752
1622	REDCO PPT	4,938,800	\$ 20,298,468
1628	YUZHOU PPT	12,029,186	\$ 46,793,534
1636	CMRU	2,436,000	\$ 7,356,720
1658	PSBC	81,789,000	\$ 366,414,720
1666	TONG REN TANG	21,886,419	\$ 238,124,239
1668	CHINASOUTHCITY	168,849,606	\$ 320,814,251
1680	MACAU LEGEND	64,214,561	\$ 81,552,492
1681	CONSUN PHARMA	813,223	\$ 5,855,206
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	54,263,000	\$ 471,545,470
1766	CRRC	193,655,524	\$ 1,438,860,543
1776	GF SEC	19,104,110	\$ 314,453,651
1777	FANTASIA	6,048,000	\$ 6,652,800
1778	COLOUR LIFE	10,524,800	\$ 49,571,808
1786	CRCCE	14,038,750	\$ 28,077,500
1788	GUOTAI JUNAN I	241,168,013	\$ 627,036,834



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	83,016,895	\$ 732,209,014
1803	BJ SPORTS & ENT	657,500	\$ 1,768,675
1811	CGN NEW ENERGY	12,476,000	\$ 13,973,120
1812	CHENMING PAPER	5,621,500	\$ 72,180,060
1813	KWG PROPERTY	43,480,438	\$ 337,408,199
1816	CGN POWER	646,559,407	\$ 1,403,033,913
1818	ZHAOJIN MINING	62,730,204	\$ 383,908,848
1828	DCH HOLDINGS	6,708,019	\$ 24,953,831
1829	CMEC	4,542,392	\$ 24,029,254
1836	STELLA HOLDINGS	5,265,085	\$ 64,865,847
1848	CALC	5,173,214	\$ 42,213,426
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	9,128,000	\$ 217,246,400
1883	CITIC TELECOM	1,646,259	\$ 3,654,695
1886	HUIYUAN JUICE	8,762,500	\$ 20,854,750
1888	KB LAMINATES	8,682,500	\$ 108,010,300
1893	SINOMA	5,248,400	\$ 30,650,656
1898	CHINA COAL	166,440,153	\$ 605,842,157
1899	XINGDA INT'L	19,548,467	\$ 51,803,438
1910	SAMSONITE	16,362,923	\$ 509,705,051
1913	PRADA	23,637,169	\$ 614,566,394
1918	SUNAC	164,961,587	\$ 6,392,261,496
1919	COSCO SHIP HOLD	174,427,082	\$ 664,567,182
1928	SANDS CHINA LTD	93,864,927	\$ 3,529,321,255
1929	CHOW TAI FOOK	10,956,745	\$ 98,172,435
1958	BAIC MOTOR	33,991,623	\$ 320,880,921
1963	BCQ	1,090,500	\$ 6,782,910
1966	CHINA SCE PPT	20,247,000	\$ 69,852,150
1970	IMAX CHINA	16,178,396	\$ 372,103,108
1972	SWIREPROPERTIES	8,685,317	\$ 230,595,166
1980	TIANGE	7,543,000	\$ 45,936,870
1988	MINSHENG BANK	324,145,109	\$ 2,609,368,127
1997	WHARF REIC	3,535,341	\$ 174,999,380
1999	MAN WAH HLDGS	37,223,461	\$ 265,775,512
2001	NEW HIGHER EDU	356,000	\$ 1,602,000
2005	SSY GROUP	5,480,000	\$ 24,660,000
2006	JINJIANG HOTELS	14,444,000	\$ 40,443,200
2007	COUNTRY GARDEN	355,007,070	\$ 4,650,592,617
2008	PHOENIX TV	7,808,000	\$ 8,901,120



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2009	BBMG	189,171,816	\$ 694,260,565
2014	OZNER WATER	39,394,000	\$ 94,545,600
2016	CZBANK	0	\$ 0
2018	AAC TECH	25,756,866	\$ 4,373,515,847
2020	ANTA SPORTS	32,895,832	\$ 1,176,025,994
2038	FIH	67,017,183	\$ 161,511,411
2039	CIMC	2,198,400	\$ 31,920,768
2066	SHENGJINGBANK	1,521,500	\$ 9,326,795
2098	ZALL GROUP	5,523,000	\$ 42,306,180
2099	CHINAGOLDINTL	145,100	\$ 1,909,516
2111	BEST PACIFIC	13,934,195	\$ 70,925,053
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	3,582,000	\$ 18,160,740
2136	LIFESTYLE CHI	354,500	\$ 914,610
2186	LUYE PHARMA	199,055,900	\$ 1,027,128,444
2196	FOSUN PHARMA	19,599,705	\$ 756,548,613
2198	CHINA SANJIANG	716,000	\$ 2,226,760
2199	REGINA MIRACLE	15,666,662	\$ 117,343,298
2200	HOSA INT'L	5,732,000	\$ 13,240,920
2202	CHINA VANKE	14,948,081	\$ 439,473,581
2208	GOLDWIND	42,672,477	\$ 486,466,238
2233	WESTCHINACEMENT	32,532,000	\$ 36,761,160
2238	GAC GROUP	38,864,010	\$ 800,598,606
2255	HAICHANG HLDG	6,015,000	\$ 10,646,550
2268	YOUYUAN HLDGS	7,323,000	\$ 26,948,640
2269	WUXI BIO	1,868,842	\$ 82,135,606
2277	HUARONG INV	0	\$ 0
2280	HC INTL	29,527,170	\$ 180,115,737
2282	MGM CHINA	124,129,819	\$ 2,380,809,928
2298	COSMO LADY	64,336,664	\$ 195,583,459
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	9,787,611	\$ 709,601,798
2314	LEE & MAN PAPER	5,887,000	\$ 52,806,390
2318	PING AN	1,458,350,824	\$ 122,136,881,510
2319	MENGNU DAIRY	33,507,397	\$ 701,979,967
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	NPE HOLDINGS	126,480,000	\$ 19,477,920
2328	PICC P&C	14,801,468	\$ 227,942,607
2329	GUORUI PPT	0	\$ 0
2331	LI NING	42,067,825	\$ 262,082,550



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2333	GREATWALL MOTOR	516,331,311	\$ 4,853,514,323
2338	WEICHAI POWER	177,198,197	\$ 1,511,500,620
2342	COMBA	32,530,525	\$ 57,579,029
2343	PACIFIC BASIN	251,844,326	\$ 418,061,581
2345	SHANGHAI PRIME	212,000	\$ 313,760
2348	DAWNRAYS PHARMA	864,800	\$ 3,675,400
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	687,332	\$ 11,835,857
2357	AVICHINA	152,929,429	\$ 637,715,719
2362	JINCHUAN INTL	27,741,832	\$ 29,128,924
2369	COOLPAD GROUP	70,344,148	\$ 50,647,787
2378	PRU	0	\$ 0
2380	CHINA POWER	248,028,847	\$ 538,222,598
2382	SUNNY OPTICAL	19,419,496	\$ 2,784,755,726
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	44,462,177	\$ 302,342,804
2388	BOC HONG KONG	41,218,627	\$ 1,539,515,718
2389	BJ ENT M&H	35,159,363	\$ 13,184,761
2393	YESTAR HEALTH	4,577,500	\$ 15,563,500
2588	BOC AVIATION	679,300	\$ 27,919,230
2600	CHALCO	548,165,311	\$ 2,916,239,455
2601	CPIC	95,538,232	\$ 3,874,075,308
2607	SH PHARMA	38,499,049	\$ 759,201,246
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	295,200	\$ 5,195,520
2628	CHINA LIFE	48,360,384	\$ 1,300,894,330
2638	HKELECTRIC-SS	275,427,261	\$ 1,972,059,189
2662	CAMSING INTL	808,000	\$ 3,959,200
2666	UNI MEDICAL	35,291,773	\$ 259,747,449
2669	CHINA OVS PPT	25,548,588	\$ 52,630,091
2678	TEXHONG TEXTILE	3,502,423	\$ 34,568,915
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	54,539,881	\$ 3,198,764,021
2689	ND PAPER	70,681,542	\$ 920,273,677
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	227,404,832	\$ 720,873,317
2768	JIAYUAN INTL	2,992,000	\$ 20,405,440
2777	R&F PROPERTIES	25,588,852	\$ 445,757,802
2778	CHAMPION REIT	5,701,000	\$ 33,464,870
2799	CHINA HUARONG	202,834,482	\$ 744,402,549
2800	TRACKER FUND	7,957,500	\$ 239,122,875



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	89,041,076	\$ 1,421,095,573
2823	ISHARES A50	197,646,606	\$ 3,051,663,597
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	5,568,620	\$ 669,348,124
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	86,359,563	\$ 140,766,088
2868	BJ CAPITAL LAND	4,352,000	\$ 16,842,240
2869	GREENTOWN SER	13,459,117	\$ 79,677,973
2877	SHINEWAY PHARM	5,147,731	\$ 36,754,799
2880	DALIAN PORT	46,566,288	\$ 73,574,735
2883	CHINA OILFIELD	135,570,811	\$ 1,011,358,250
2888	STANCHART	6,490,538	\$ 496,850,684
2899	ZIJIN MINING	201,993,438	\$ 537,302,545
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0





Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	32,213,644	\$ 1,596,186,060
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	4,522,258	\$ 38,891,419
3308	GOLDEN EAGLE	7,071,342	\$ 65,904,907
3311	CHINA STATE CON	71,482,722	\$ 739,131,345
3320	CHINARES PHARMA	4,954,000	\$ 49,242,760
3323	CNBM	290,436,285	\$ 2,044,671,446
3328	BANKCOMM	145,164,061	\$ 855,016,319
3329	BOCOM INTL	0	\$ 0
3331	VINDA INT'L	733,000	\$ 11,552,080
3333	EVERGRANDE	224,969,868	\$ 6,366,647,264
3336	JU TENG INTL	3,844,000	\$ 9,225,600



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	66,785,257	\$ 54,763,911
3339	LONKING	53,541,100	\$ 157,410,834
3360	FE HORIZON	23,992,679	\$ 172,027,508
3369	QHD PORT	7,706,091	\$ 21,191,750
3377	SINO-OCEAN GP	33,968,562	\$ 164,747,526
3378	XIAMEN PORT	2,391,964	\$ 3,516,187
3380	LOGAN PPT	40,493,192	\$ 290,741,119
3382	TIANJINPORT DEV	0	\$ 0
3383	AGILE GROUP	78,937,613	\$ 921,991,320
3393	WASION GROUP	21,823,789	\$ 84,021,588
3396	LEGENDHOLDING	3,213,200	\$ 65,709,940
3606	FUYAO GLASS	27,736,730	\$ 863,999,140
3618	CQRC BANK	45,710,087	\$ 248,205,772
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	600,800	\$ 10,069,408
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	5,997,500	\$ 61,654,300
3698	HUIZHANG BANK	5,204,000	\$ 20,659,880
3708	YAT SING HLDG	805,000	\$ 2,157,400
3799	DALI FOODS	6,153,000	\$ 39,133,080
3800	GCL-POLY ENERGY	1,892,123,998	\$ 2,686,816,077
3808	SINOTRUK	92,963,000	\$ 816,215,140
3813	POU SHENG INT'L	115,178,938	\$ 131,303,989
3818	CHINA DONGXIANG	42,968,079	\$ 60,155,311
3836	HARMONY AUTO	39,880,361	\$ 198,205,394
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	20,674,000	\$ 92,412,780
3886	TOWN HEALTH	106,533,754	\$ 73,508,290
3888	KINGSOFT	96,033,710	\$ 2,199,171,959
3898	CRRC TIMES ELEC	35,326,290	\$ 1,642,672,485
3899	CIMC ENRIC	33,331,167	\$ 177,321,808
3900	GREENTOWN CHINA	87,469,565	\$ 781,103,215
3908	CICC	19,335,392	\$ 324,061,170
3918	NAGACORP	23,878,512	\$ 132,286,956
3933	UNITED LAB	167,727,114	\$ 1,075,130,801
3948	YITAI COAL	0	\$ 0
3958	DFZQ	3,595,600	\$ 29,052,448
3968	CM BANK	124,338,034	\$ 4,059,636,810
3969	CHINA CRSC	3,460,000	\$ 20,448,600
3983	CHINA BLUECHEM	26,436,929	\$ 60,276,198
3988	BANK OF CHINA	162,484,015	\$ 627,188,298



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3989	CAPITAL ENV	14,615,395	\$ 4,457,695
3993	CMOC	282,107,718	\$ 1,342,832,738
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	3,150,000	\$ 2,047,500
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	99,829,539	\$ 1,753,006,705
6060	ZA ONLINE	5,948,300	\$ 414,893,925
6066	CSC	10,460,500	\$ 71,863,635
6068	WISDOM EDU INTL	3,842,000	\$ 17,980,560
6099	CMSC	1,635,750	\$ 20,152,440
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	8,610,000	\$ 31,168,200
6178	EB SECURITIES	4,230,200	\$ 41,286,752
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,728,000	\$ 18,789,120
6808	SUNART RETAIL	148,926,426	\$ 1,227,153,750
6818	CEB BANK	117,984,510	\$ 438,902,377
6823	HKT-SS	49,654,532	\$ 482,642,051
6826	HAOHAI BIOTEC	30,100	\$ 1,124,235
6828	BG BLUE SKY	43,036,000	\$ 21,948,360
6837	HAITONG SEC	87,688,139	\$ 1,032,966,277
6858	HONMAGOLF	0	\$ 0
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	7,132,500	\$ 263,902,500
6881	CGS	147,108,027	\$ 931,193,811
6886	HTSC	44,729,041	\$ 746,080,404
6889	DYNAM JAPAN	181,600	\$ 2,190,096
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	7,346,000	\$ 5,289,120
8057	MADISON HLDG	1,012,000	\$ 1,740,640
8078	HMV DIGIT CHINA	4,660,000	\$ 1,151,020
8083	INNOVATIONPAY	49,716,866	\$ 20,135,331
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	19,724,000	\$ 34,122,520
8138	TONGRENTANGCM	460,000	\$ 4,912,800
8158	CRMI	93,100,000	\$ 18,806,200
8207	CHONGSING HLDGS	64,028,000	\$ 64,668,280
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,372,000	\$ 4,239,480
8279	AGTECH HOLDINGS	8,872,000	\$ 12,420,800
8370	ZHI SHENG GP	0	\$ 0
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSEFA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 24/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0