

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1 | CKH HOLDINGS | 3,031,177 | \$ 230,975,687 |
| 2 | CLP HOLDINGS | 11,953,043 | \$ 1,049,477,175 |
| 3 | HK & CHINA GAS | 165,861,708 | \$ 2,949,021,168 |
| 4 | WHARF HOLDINGS | 26,095,671 | \$ 536,266,039 |
| 5 | HSBC HOLDINGS | 38,948,960 | \$ 2,539,472,192 |
| 6 | POWER ASSETS | 24,424,891 | \$ 1,409,316,211 |
| 8 | PCCW | 76,553,098 | \$ 347,551,065 |
| 10 | HANG LUNG GROUP | 2,115,150 | \$ 46,427,543 |
| 11 | HANG SENG BANK | 9,270,798 | \$ 1,808,732,690 |
| 12 | HENDERSON LAND | 9,074,168 | \$ 394,726,308 |
| 14 | HYSAN DEV | 1,901,156 | \$ 77,567,165 |
| 16 | SHK PPT | 10,210,853 | \$ 1,376,422,984 |
| 17 | NEW WORLD DEV | 49,188,503 | \$ 610,921,207 |
| 19 | SWIRE PACIFIC A | 13,907,364 | \$ 1,330,239,367 |
| 20 | WHEELLOCK | 4,679,825 | \$ 260,900,244 |
| 23 | BANK OF E ASIA | 29,957,789 | \$ 674,050,253 |
| 25 | CHEVALIER INT'L | 0 | \$ 0 |
| 26 | CHINA MOTOR BUS | 0 | \$ 0 |
| 27 | GALAXY ENT | 56,866,299 | \$ 3,178,826,114 |
| 28 | TIAN AN | 0 | \$ 0 |
| 32 | CROSS-HAR(HOLD) | 0 | \$ 0 |
| 34 | KOWLOON DEV | 0 | \$ 0 |
| 35 | FE CONSORT INTL | 6,303,087 | \$ 23,699,607 |
| 41 | GREAT EAGLE H | 311,768 | \$ 10,428,640 |
| 43 | C.P. POKPHAND | 0 | \$ 0 |
| 45 | HK&S HOTELS | 2,765,671 | \$ 29,150,172 |
| 50 | HK FERRY (HOLD) | 0 | \$ 0 |
| 51 | HARBOUR CENTRE | 0 | \$ 0 |
| 52 | FAIRWOOD HOLD | 473,392 | \$ 12,237,183 |
| 53 | GUOCO GROUP | 0 | \$ 0 |
| 56 | ALLIED PPT (HK) | 0 | \$ 0 |
| 62 | TRANSPORT INT'L | 0 | \$ 0 |
| 64 | GET NICE | 0 | \$ 0 |
| 66 | MTR CORPORATION | 31,935,626 | \$ 1,737,298,054 |
| 69 | SHANGRI-LA ASIA | 33,066,734 | \$ 331,990,009 |
| 71 | MIRAMAR HOTEL | 0 | \$ 0 |
| 75 | Y.T. REALTY | 0 | \$ 0 |
| 78 | REGAL INT'L | 0 | \$ 0 |
| 81 | CH OVS G OCEANS | 4,352,979 | \$ 18,413,101 |
| 83 | SINO LAND | 19,710,965 | \$ 263,732,712 |
| 86 | SUN HUNG KAI CO | 636,000 | \$ 2,385,000 |

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|------------|-----------------|--|--|
| 88 | TAI CHEUNG HOLD | 0 | \$ 0 |
| 95 | LVGEM CHINA | 5,284,000 | \$ 14,583,840 |
| 101 | HANG LUNG PPT | 39,591,303 | \$ 760,153,018 |
| 102 | SUMMIT ASCENT | 4,098,161 | \$ 5,573,499 |
| 105 | ASSO INT HOTELS | 0 | \$ 0 |
| 106 | LANDSEA GREEN | 0 | \$ 0 |
| 107 | SICHUAN EXPRESS | 1,508,000 | \$ 3,649,360 |
| 112 | LERTHAI GROUP | 0 | \$ 0 |
| 116 | CHOW SANG SANG | 0 | \$ 0 |
| 119 | POLY PROPERTY | 4,813,459 | \$ 14,103,435 |
| 120 | COSMOPOL INT'L | 1,102,000 | \$ 1,862,380 |
| 123 | YUEXIU PROPERTY | 16,930,120 | \$ 31,828,626 |
| 127 | CHINESE EST H | 818,500 | \$ 5,811,350 |
| 135 | KUNLUN ENERGY | 229,981,876 | \$ 1,671,968,239 |
| 136 | HENGTEN NET | 1,730,561,096 | \$ 295,925,947 |
| 142 | FIRST PACIFIC | 31,673,129 | \$ 103,887,863 |
| 144 | CHINA MER PORT | 26,403,457 | \$ 356,974,739 |
| 147 | IB SETTLEMENT | 0 | \$ 0 |
| 148 | KINGBOARD HLDG | 10,316,907 | \$ 199,322,643 |
| 151 | WANT WANT CHINA | 400,953,370 | \$ 2,465,863,226 |
| 152 | SHENZHEN INT'L | 8,802,849 | \$ 135,563,875 |
| 158 | MELBOURNE ENT | 0 | \$ 0 |
| 161 | AVIC IHL | 2,080,000 | \$ 8,652,800 |
| 163 | EMPEROR INT'L | 0 | \$ 0 |
| 165 | CHINA EB LTD | 3,179,995 | \$ 36,633,542 |
| 168 | TSINGTAO BREW | 29,152,913 | \$ 1,384,763,368 |
| 171 | SILVER GRANT | 19,344,000 | \$ 29,402,880 |
| 173 | K. WAH INT'L | 0 | \$ 0 |
| 175 | GEELY AUTO | 421,868,061 | \$ 4,893,669,508 |
| 177 | JIANGSU EXPRESS | 7,017,102 | \$ 75,925,044 |
| 178 | SA SA INT'L | 135,167,240 | \$ 305,477,962 |
| 179 | JOHNSON ELEC H | 4,252,000 | \$ 63,354,800 |
| 182 | CONCORD NE | 14,795,394 | \$ 5,622,250 |
| 189 | DONGYUE GROUP | 29,353,727 | \$ 130,037,011 |
| 191 | LAI SUN INT'L | 0 | \$ 0 |
| 194 | LIU CHONG HING | 0 | \$ 0 |
| 196 | HONGHUA GROUP | 19,221,000 | \$ 12,301,440 |
| 200 | MELCO INT'L DEV | 25,204,787 | \$ 502,583,453 |
| 202 | EVERCHINA INT'L | 0 | \$ 0 |
| 207 | JOY CITY PPT | 0 | \$ 0 |
| 215 | HUTCHTEL HK | 45,451,844 | \$ 82,722,356 |

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|------------|-----------------|--|--|
| 220 | U-PRESID CHINA | 21,983,247 | \$ 195,431,066 |
| 225 | POKFULAM | 0 | \$ 0 |
| 230 | MINMETALS LAND | 2,132,000 | \$ 2,643,680 |
| 241 | ALI HEALTH | 133,750,093 | \$ 956,313,165 |
| 242 | SHUN TAK HOLD | 13,404,396 | \$ 41,017,452 |
| 245 | CN MINSHENG FIN | 7,185,496 | \$ 761,663 |
| 247 | TST PROPERTIES | 0 | \$ 0 |
| 251 | SEA HOLDINGS | 0 | \$ 0 |
| 256 | CITYCHAMP | 1,228,000 | \$ 2,136,720 |
| 257 | CHINA EB INT'L | 137,688,333 | \$ 1,013,386,131 |
| 258 | TOMSON GROUP | 1,030,001 | \$ 2,389,602 |
| 261 | GBA INV HLDGS | 0 | \$ 0 |
| 266 | TIAN TECK LAND | 0 | \$ 0 |
| 267 | CITIC | 34,193,230 | \$ 369,286,884 |
| 268 | KINGDEE INT'L | 308,967,995 | \$ 2,165,865,645 |
| 270 | GUANGDONG INV | 1,580,000 | \$ 25,627,600 |
| 272 | SHUI ON LAND | 22,170,065 | \$ 39,019,314 |
| 273 | MASON GP HOLD | 115,468,345 | \$ 13,509,796 |
| 285 | BYD ELECTRONIC | 86,538,204 | \$ 929,420,311 |
| 288 | WH GROUP | 165,047,583 | \$ 1,279,118,768 |
| 289 | WING ON CO | 0 | \$ 0 |
| 291 | CHINA RES BEER | 46,337,948 | \$ 1,658,898,538 |
| 293 | CATHAY PAC AIR | 22,958,040 | \$ 267,231,586 |
| 297 | SINOFERT | 68,247,113 | \$ 62,104,873 |
| 303 | VTECH HOLDINGS | 2,700,882 | \$ 196,354,121 |
| 308 | CHINA TRAVEL HK | 35,498,764 | \$ 56,798,022 |
| 315 | SMARTONE TELE | 1,225,106 | \$ 9,200,546 |
| 316 | OOIL | 0 | \$ 0 |
| 317 | COMEC | 6,409,740 | \$ 46,214,225 |
| 321 | TEXWINCA HOLD | 5,618,000 | \$ 13,651,740 |
| 322 | TINGYI | 77,472,482 | \$ 1,004,043,367 |
| 323 | MAANSHAN IRON | 282,060,970 | \$ 826,438,642 |
| 326 | CHINA STAR ENT | 11,066,000 | \$ 9,738,080 |
| 327 | PAX GLOBAL | 25,991,125 | \$ 80,312,576 |
| 330 | ESPRIT HOLDINGS | 76,383,695 | \$ 108,464,847 |
| 332 | YUANHENG GAS | 0 | \$ 0 |
| 336 | HUABAO INTL | 6,499,292 | \$ 21,642,642 |
| 337 | GREENLAND HK | 5,897,780 | \$ 18,460,051 |
| 338 | SHANGHAI PECEM | 148,848,468 | \$ 442,079,950 |
| 341 | CAFE DE CORAL H | 394,000 | \$ 9,909,100 |
| 342 | NEWOCEAN ENERGY | 53,382,744 | \$ 91,818,320 |

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|------------|-----------------|--|--|
| 345 | VITASOY INT'L | 50,204,242 | \$ 1,927,842,893 |
| 347 | ANGANG STEEL | 161,511,990 | \$ 524,913,968 |
| 354 | CHINASOFT INT'L | 217,819,202 | \$ 845,138,504 |
| 358 | JIANGXI COPPER | 27,920,497 | \$ 279,204,970 |
| 363 | SHANGHAI IND H | 562,000 | \$ 9,419,120 |
| 367 | CHUANG'S INT'L | 0 | \$ 0 |
| 369 | WING TAI PPT | 0 | \$ 0 |
| 371 | BJ ENT WATER | 238,655,382 | \$ 1,097,814,757 |
| 373 | ALLIED GROUP | 0 | \$ 0 |
| 376 | YUNFENG FIN | 0 | \$ 0 |
| 383 | CHINA MED&HCARE | 14,650,000 | \$ 2,549,100 |
| 384 | CHINA GAS HOLD | 130,165,987 | \$ 4,093,720,291 |
| 386 | SINOPEC CORP | 311,292,706 | \$ 1,618,722,071 |
| 388 | HKEX | 17,427,682 | \$ 4,799,583,623 |
| 390 | CHINA RAILWAY | 40,051,099 | \$ 224,286,154 |
| 392 | BEIJING ENT | 3,111,072 | \$ 124,909,541 |
| 400 | COGOBUY | 11,919,699 | \$ 25,865,747 |
| 405 | YUEXIU REIT | 0 | \$ 0 |
| 410 | SOHO CHINA | 24,363,954 | \$ 66,757,234 |
| 412 | CHINA SDHS FIN | 13,032,000 | \$ 4,300,560 |
| 416 | BANKOFJINZHOU | 0 | \$ 0 |
| 419 | HUAYI TENCENT | 54,078,194 | \$ 8,706,589 |
| 425 | MINTH GROUP | 44,078,092 | \$ 974,125,833 |
| 435 | SUNLIGHT REIT | 0 | \$ 0 |
| 439 | KUANGCHI | 43,953,604 | \$ 20,218,658 |
| 440 | DAH SING | 144,386 | \$ 5,313,405 |
| 451 | GCL NEWENERGY | 64,873,886 | \$ 21,408,382 |
| 456 | NEW CITY DEV | 0 | \$ 0 |
| 460 | SIHUAN PHARM | 84,249,195 | \$ 145,751,107 |
| 467 | UNITEDENERGY GP | 16,372,000 | \$ 24,066,840 |
| 468 | GAPACK | 354,000 | \$ 1,518,660 |
| 472 | NEW SILKROAD | 0 | \$ 0 |
| 480 | HKR INT'L | 0 | \$ 0 |
| 486 | RUSAL | 20,649,000 | \$ 69,174,150 |
| 488 | LAI SUN DEV | 200,400 | \$ 2,320,632 |
| 489 | DONGFENG GROUP | 112,623,160 | \$ 745,565,319 |
| 493 | GOME RETAIL | 1,817,239,073 | \$ 1,453,791,258 |
| 494 | LI & FUNG | 385,969,765 | \$ 443,865,230 |
| 496 | KASEN | 2,083,000 | \$ 11,081,560 |
| 497 | CSI PROPERTIES | 3,000,000 | \$ 1,110,000 |
| 500 | FRONTIER SER | 0 | \$ 0 |

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|------------|-----------------|--|--|
| 506 | CHINA FOODS | 9,013,396 | \$ 28,842,867 |
| 508 | DINGYI GP INV | 75,313,140 | \$ 24,853,336 |
| 511 | TVB | 6,784,220 | \$ 92,401,076 |
| 512 | CHINAGRANDPHARM | 13,536,000 | \$ 61,318,080 |
| 517 | COSCO SHIP INTL | 484,000 | \$ 1,176,120 |
| 520 | XIABUXIABU | 26,041,252 | \$ 314,057,499 |
| 522 | ASM PACIFIC | 22,349,082 | \$ 1,761,107,662 |
| 525 | GUANGSHEN RAIL | 22,134,151 | \$ 57,548,793 |
| 526 | LISI GP HOLD | 0 | \$ 0 |
| 530 | GOLDIN FIN HOLD | 0 | \$ 0 |
| 535 | GEMDALE PPT | 48,506,000 | \$ 47,050,820 |
| 538 | AJISEN (CHINA) | 9,713,120 | \$ 32,927,477 |
| 546 | FUFENG GROUP | 44,622,925 | \$ 190,986,119 |
| 547 | DIGITAL DOMAIN | 281,760,281 | \$ 42,545,802 |
| 548 | SHENZHENEXPRESS | 15,475,426 | \$ 148,718,844 |
| 551 | YUE YUEN IND | 17,719,524 | \$ 382,741,718 |
| 552 | CHINACOMSERVICE | 18,371,992 | \$ 101,413,396 |
| 563 | SH IND URBAN | 8,159,208 | \$ 11,422,891 |
| 570 | TRAD CHI MED | 52,822,474 | \$ 192,273,805 |
| 576 | ZHEJIANGEXPRESS | 11,744,070 | \$ 95,831,611 |
| 579 | JNCEC | 6,218,000 | \$ 8,456,480 |
| 581 | CHINA ORIENTAL | 25,566,663 | \$ 111,470,651 |
| 586 | CONCH VENTURE | 26,578,800 | \$ 720,285,480 |
| 588 | BEIJING N STAR | 1,812,000 | \$ 5,436,000 |
| 590 | LUK FOOK HOLD | 8,315,582 | \$ 203,315,980 |
| 596 | INSPUR INT'L | 6,002,000 | \$ 22,267,420 |
| 598 | SINOTRANS | 49,295,587 | \$ 137,041,732 |
| 603 | CHINA OIL & GAS | 8,979,936 | \$ 3,367,476 |
| 604 | SHENZHEN INVEST | 45,916,942 | \$ 132,240,793 |
| 606 | CHINA AGRI | 39,020,417 | \$ 96,380,430 |
| 607 | FULLSHARE | 727,615,276 | \$ 218,284,583 |
| 622 | OSHIDORI | 20,689,216 | \$ 18,620,294 |
| 627 | FULLSUN INT'L | 0 | \$ 0 |
| 631 | SANY INT'L | 8,257,000 | \$ 25,926,980 |
| 636 | KERRY LOG NET | 6,053,222 | \$ 87,529,590 |
| 639 | SHOUGANG RES | 15,052,651 | \$ 25,890,560 |
| 650 | IDG ENERGY INV | 0 | \$ 0 |
| 656 | FOSUN INTL | 54,019,190 | \$ 572,603,414 |
| 658 | C TRANSMISSION | 38,321,214 | \$ 197,737,464 |
| 659 | NWS HOLDINGS | 5,679,533 | \$ 89,055,077 |
| 665 | HAITONG INT'L | 222,851,707 | \$ 557,129,268 |

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|------------|-----------------|--|--|
| 669 | TECHTRONIC IND | 28,475,817 | \$ 1,664,411,504 |
| 670 | CHINA EAST AIR | 60,538,310 | \$ 271,211,629 |
| 678 | GENTING HK | 0 | \$ 0 |
| 680 | NAN HAI CORP | 0 | \$ 0 |
| 683 | KERRY PPT | 9,357,761 | \$ 316,292,322 |
| 686 | PANDA GREEN | 56,452,300 | \$ 13,096,934 |
| 687 | HKICIM GROUP | 0 | \$ 0 |
| 688 | CHINA OVERSEAS | 30,431,988 | \$ 873,398,056 |
| 691 | SHANSHUI CEMENT | 1,752,700 | \$ 6,046,815 |
| 694 | BEIJING AIRPORT | 54,947,127 | \$ 364,299,452 |
| 696 | TRAVELSKY TECH | 16,800,162 | \$ 273,842,641 |
| 697 | SHOUGANG INT'L | 43,308,789 | \$ 11,693,373 |
| 698 | TONGDA GROUP | 178,792,910 | \$ 107,275,746 |
| 699 | CAR INC | 61,906,419 | \$ 375,771,963 |
| 700 | TENCENT | 65,020,264 | \$ 23,082,193,720 |
| 707 | ATV HOLDINGS | 9,752,000 | \$ 721,648 |
| 708 | EVERG HEALTH | 7,048,926 | \$ 61,325,656 |
| 709 | GIORDANO INT'L | 1,158,000 | \$ 3,925,620 |
| 710 | BOE VARITRONIX | 1,980,812 | \$ 4,912,414 |
| 715 | CHINA OCEANWIDE | 0 | \$ 0 |
| 716 | SINGAMAS CONT | 12,008,836 | \$ 13,209,720 |
| 717 | EMPEROR CAPITAL | 10,882,087 | \$ 3,101,395 |
| 721 | C FIN INT INV | 10,033,738 | \$ 1,274,285 |
| 728 | CHINA TELECOM | 54,719,062 | \$ 204,649,292 |
| 729 | FDG EVEHICLES | 110,000,000 | \$ 2,970,000 |
| 732 | TRULY INT'L | 42,357,829 | \$ 47,440,768 |
| 737 | BAY AREA DEV | 0 | \$ 0 |
| 743 | ASIA CEMENT CH | 537,500 | \$ 7,460,500 |
| 746 | L & M CHEMICAL | 0 | \$ 0 |
| 751 | SKYWORTH GROUP | 82,953,283 | \$ 174,201,894 |
| 752 | PICO FAR EAST | 400,000 | \$ 1,052,000 |
| 753 | AIR CHINA | 80,799,172 | \$ 639,929,442 |
| 754 | HOPSON DEV HOLD | 0 | \$ 0 |
| 755 | SHANGHAI ZENDAI | 0 | \$ 0 |
| 762 | CHINA UNICOM | 55,012,297 | \$ 452,751,204 |
| 763 | ZTE | 44,090,997 | \$ 1,016,297,481 |
| 772 | CHINA LIT | 30,425,421 | \$ 1,089,230,072 |
| 777 | NETDRAGON | 1,005,000 | \$ 19,999,500 |
| 778 | FORTUNE REIT | 3,546,000 | \$ 39,076,920 |
| 780 | TONGCHENG-ELONG | 35,345,460 | \$ 540,785,538 |
| 788 | CHINA TOWER | 782,462,109 | \$ 1,674,468,913 |

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| 799 | IGG | 41,796,470 | \$ 350,672,383 |
| 806 | VALUE PARTNERS | 42,987,476 | \$ 214,937,380 |
| 807 | SIICENVIRONMENT | 2,225,300 | \$ 3,471,468 |
| 808 | PROSPERITY REIT | 0 | \$ 0 |
| 811 | XINHUA WINSHARE | 345,000 | \$ 1,890,600 |
| 813 | SHIMAO PROPERTY | 32,765,171 | \$ 771,619,777 |
| 816 | HUADIAN FUXIN | 5,040,139 | \$ 7,509,807 |
| 817 | CHINA JINMAO | 234,853,219 | \$ 1,150,780,773 |
| 818 | HI SUN TECH | 2,121,000 | \$ 2,757,300 |
| 819 | TIANNENG POWER | 78,463,359 | \$ 514,719,635 |
| 823 | LINK REIT | 19,826,441 | \$ 1,943,982,540 |
| 831 | CR ASIA | 0 | \$ 0 |
| 832 | CENTRAL CHINA | 2,887,726 | \$ 11,088,868 |
| 836 | CHINA RES POWER | 15,015,416 | \$ 167,872,351 |
| 839 | CHINA EDU GROUP | 79,649,178 | \$ 957,383,120 |
| 853 | MICROPORT | 61,406,318 | \$ 379,491,045 |
| 855 | CHINA WATER | 35,456,213 | \$ 250,320,864 |
| 856 | VSTECS | 4,552,306 | \$ 18,527,885 |
| 857 | PETROCHINA | 115,258,913 | \$ 493,308,148 |
| 860 | WE SOLUTIONS | 36,769,244 | \$ 14,891,544 |
| 861 | DC HOLDINGS | 10,342,841 | \$ 48,611,353 |
| 867 | CMS | 19,195,620 | \$ 141,279,763 |
| 868 | XINYI GLASS | 111,206,576 | \$ 882,980,213 |
| 874 | BAIYUNSHAN PH | 4,039,303 | \$ 143,597,222 |
| 877 | O-NET TECH GP | 2,923,000 | \$ 12,393,520 |
| 880 | SJM HOLDINGS | 96,557,372 | \$ 869,981,922 |
| 881 | ZHONGSHENG HLDG | 151,744,075 | \$ 3,087,991,926 |
| 882 | TIANJIN DEV | 1,482,000 | \$ 3,690,180 |
| 883 | CNOOC | 111,771,569 | \$ 1,504,445,319 |
| 884 | CIFI HOLD GP | 99,523,222 | \$ 559,320,508 |
| 895 | DONGJIANG ENV | 1,420,625 | \$ 10,725,719 |
| 902 | HUANENG POWER | 103,723,418 | \$ 484,388,362 |
| 906 | COFCO PACKAGING | 30,190,985 | \$ 90,572,955 |
| 914 | CONCH CEMENT | 45,690,561 | \$ 2,163,448,063 |
| 916 | CHINA LONGYUAN | 19,538,013 | \$ 96,713,164 |
| 921 | HISENSE HA | 3,707,507 | \$ 34,071,989 |
| 931 | CHINA LNG | 2,714,000 | \$ 1,601,260 |
| 934 | SINOPEC KANTONS | 11,079,500 | \$ 36,229,965 |
| 939 | CCB | 809,923,704 | \$ 5,062,023,150 |
| 941 | CHINA MOBILE | 29,673,680 | \$ 2,074,190,232 |
| 950 | LEE'S PHARM | 2,409,500 | \$ 12,336,640 |

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|------------|-----------------|--|--|
| 951 | CHAOWEI POWER | 6,765,757 | \$ 21,921,053 |
| 956 | CHINA SUNTIEN | 5,856,774 | \$ 12,826,335 |
| 958 | HN RENEWABLES | 52,767,213 | \$ 111,338,819 |
| 960 | LONGFOR GROUP | 32,255,673 | \$ 1,004,764,214 |
| 966 | CHINA TAIPING | 42,166,042 | \$ 919,219,716 |
| 968 | XINYI SOLAR | 213,918,134 | \$ 808,610,547 |
| 973 | L'OCCITANE | 718,000 | \$ 11,057,200 |
| 976 | CHIHO ENV | 3,632,000 | \$ 3,486,720 |
| 978 | CHI MER LAND | 0 | \$ 0 |
| 981 | SMIC | 412,012,269 | \$ 3,403,221,342 |
| 989 | GROUND INTL DEV | 8,810,000 | \$ 1,453,650 |
| 991 | DATANG POWER | 24,390,847 | \$ 46,098,701 |
| 992 | LENOVO GROUP | 434,181,662 | \$ 2,691,926,304 |
| 995 | ANHUIEXPRESSWAY | 988,301 | \$ 4,901,973 |
| 996 | CARNIVAL GROUP | 135,115,000 | \$ 3,242,760 |
| 998 | CITIC BANK | 121,359,656 | \$ 540,050,469 |
| 999 | I.T | 476,000 | \$ 1,523,200 |
| 1003 | HUANXI MEDIA | 0 | \$ 0 |
| 1004 | C SMARTERENERGY | 0 | \$ 0 |
| 1030 | FUTURE LAND | 148,397,775 | \$ 1,124,855,135 |
| 1031 | KINGSTON FIN | 2,868,000 | \$ 4,703,520 |
| 1033 | SINOPEC SSC | 36,184,289 | \$ 35,460,603 |
| 1038 | CKI HOLDINGS | 11,060,926 | \$ 695,732,245 |
| 1044 | HENGAN INT'L | 54,089,371 | \$ 3,053,344,993 |
| 1045 | APT SATELLITE | 0 | \$ 0 |
| 1051 | G-RESOURCES | 10,707,000 | \$ 621,006 |
| 1052 | YUEXIUTRANSPORT | 15,833,539 | \$ 99,434,625 |
| 1055 | CHINA SOUTH AIR | 172,778,663 | \$ 920,910,274 |
| 1060 | ALI PICTURES | 700,968,284 | \$ 1,107,529,889 |
| 1061 | ESSEX BIO-TECH | 276,000 | \$ 1,813,320 |
| 1066 | WEIGAO GROUP | 32,015,007 | \$ 233,389,401 |
| 1070 | TCL ELECTRONICS | 6,077,369 | \$ 22,607,813 |
| 1071 | HUADIAN POWER | 59,047,171 | \$ 178,912,928 |
| 1072 | DONGFANG ELEC | 6,108,267 | \$ 30,113,756 |
| 1076 | IMPERIAL PAC | 302,990,000 | \$ 59,689,030 |
| 1083 | TOWNGAS CHINA | 6,658,875 | \$ 40,485,960 |
| 1086 | GOODBABY INTL | 40,690,741 | \$ 65,105,186 |
| 1088 | CHINA SHENHUA | 37,297,001 | \$ 604,957,356 |
| 1089 | LEYOU TECH H | 15,729,752 | \$ 32,245,992 |
| 1093 | CSPC PHARMA | 127,466,544 | \$ 1,603,529,124 |
| 1098 | ROAD KING INFRA | 426,000 | \$ 7,369,800 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1099 | SINOPHARM | 17,295,730 | \$ 495,522,665 |
| 1109 | CHINA RES LAND | 33,669,679 | \$ 1,168,337,861 |
| 1111 | CHONG HING BANK | 0 | \$ 0 |
| 1112 | H&H INTL HLDG | 15,694,595 | \$ 682,714,883 |
| 1113 | CK ASSET | 5,352,201 | \$ 326,216,651 |
| 1114 | BRILLIANCE CHI | 384,005,791 | \$ 3,348,530,498 |
| 1115 | TIBET WATER | 21,258,000 | \$ 43,153,740 |
| 1117 | CH MODERN D | 5,378,929 | \$ 6,562,293 |
| 1119 | IDREAMSKY | 560,800 | \$ 2,613,328 |
| 1122 | QINGLING MOTORS | 578,000 | \$ 1,184,900 |
| 1128 | WYNN MACAU | 97,046,669 | \$ 1,808,949,910 |
| 1129 | WATER INDUSTRY | 3,528,000 | \$ 1,658,160 |
| 1131 | AGRITRADE RES | 94,380,284 | \$ 100,043,101 |
| 1133 | HARBIN ELECTRIC | 15,246,078 | \$ 61,746,616 |
| 1137 | HKTV | 2,952,000 | \$ 15,852,240 |
| 1138 | COSCO SHIP ENGY | 35,330,447 | \$ 153,334,140 |
| 1140 | OP FINANCIAL | 13,736,000 | \$ 27,884,080 |
| 1141 | CMBC CAPITAL | 247,939,319 | \$ 50,083,742 |
| 1157 | ZOOMLION | 26,296,254 | \$ 130,692,382 |
| 1169 | HAIER ELEC | 32,116,917 | \$ 668,031,874 |
| 1171 | YANZHOU COAL | 77,651,240 | \$ 555,206,366 |
| 1176 | ZHUGUANG HOLD | 6,316,000 | \$ 7,137,080 |
| 1177 | SINO BIOPHARM | 353,149,758 | \$ 3,008,835,938 |
| 1186 | CHINA RAIL CONS | 82,836,541 | \$ 753,812,523 |
| 1193 | CHINA RES GAS | 47,882,561 | \$ 1,979,943,897 |
| 1196 | REALORD GROUP | 0 | \$ 0 |
| 1199 | COSCO SHIP PORT | 17,635,842 | \$ 130,152,514 |
| 1205 | CITIC RESOURCES | 4,904,000 | \$ 2,991,440 |
| 1208 | MMG | 159,770,228 | \$ 389,839,356 |
| 1211 | BYD COMPANY | 148,126,845 | \$ 7,050,837,822 |
| 1212 | LIFESTYLE INT'L | 3,729,685 | \$ 42,369,222 |
| 1216 | ZYBANK | 0 | \$ 0 |
| 1221 | SINO HOTELS | 0 | \$ 0 |
| 1223 | SYMPHONY HOLD | 1,200,000 | \$ 1,116,000 |
| 1224 | C C LAND | 1,230,000 | \$ 2,214,000 |
| 1230 | YASHILI INT'L | 6,672,924 | \$ 7,473,675 |
| 1233 | TIMES CHINA | 3,949,840 | \$ 58,378,635 |
| 1234 | CHINA LILANG | 810,254 | \$ 5,655,573 |
| 1238 | POWERLONG | 3,969,000 | \$ 18,733,680 |
| 1243 | WANG ON PPT | 0 | \$ 0 |
| 1250 | BE CLEAN ENERGY | 33,225,731 | \$ 3,721,282 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1252 | CHINA TIANRUI | 0 | \$ 0 |
| 1257 | CEB GREENTECH | 14,048,119 | \$ 73,050,219 |
| 1258 | CHINFMINING | 0 | \$ 0 |
| 1266 | XIWANG STEEL | 1,527,000 | \$ 1,878,210 |
| 1268 | MEIDONG AUTO | 3,884,000 | \$ 21,866,920 |
| 1270 | LANGHAM-SS | 2,352,000 | \$ 7,150,080 |
| 1282 | GLORY SUN FIN | 103,140,199 | \$ 30,942,060 |
| 1288 | ABC | 174,602,772 | \$ 569,205,037 |
| 1293 | GRAND BAOXIN | 27,889,699 | \$ 44,065,724 |
| 1299 | AIA | 95,195,047 | \$ 8,134,416,766 |
| 1302 | LIFETECH SCI | 38,488,550 | \$ 54,268,856 |
| 1308 | SITC | 1,376,000 | \$ 11,118,080 |
| 1310 | HKBN | 5,439,089 | \$ 79,628,263 |
| 1313 | CHINARES CEMENT | 74,355,113 | \$ 565,842,410 |
| 1316 | NEXTEER | 41,495,406 | \$ 372,213,792 |
| 1317 | MAPLELEAF EDU | 101,211,711 | \$ 303,635,133 |
| 1330 | DYNAGREEN ENV | 4,233,550 | \$ 15,537,129 |
| 1333 | CHINA ZHONGWANG | 86,497,008 | \$ 347,717,972 |
| 1336 | NCI | 26,314,947 | \$ 994,704,997 |
| 1337 | RAZER | 141,427,596 | \$ 226,284,154 |
| 1339 | PICC GROUP | 139,546,303 | \$ 443,757,244 |
| 1347 | HUA HONG SEMI | 63,971,568 | \$ 891,763,658 |
| 1349 | FUDANZHANGJIANG | 465,000 | \$ 2,738,850 |
| 1359 | CHINA CINDA | 242,901,356 | \$ 432,364,414 |
| 1360 | NOVA GROUP | 31,551,614 | \$ 63,734,260 |
| 1361 | 361 DEGREES | 32,925,508 | \$ 49,059,007 |
| 1363 | CTEG | 53,074,868 | \$ 18,045,455 |
| 1368 | XTEP INT'L | 29,370,990 | \$ 139,218,493 |
| 1375 | CC SECURITIES | 15,137,000 | \$ 25,732,900 |
| 1378 | CHINAHONGQIAO | 58,958,062 | \$ 341,956,760 |
| 1381 | CANVEST ENV | 9,185,978 | \$ 33,896,259 |
| 1382 | PACIFICTEXTILES | 9,175,719 | \$ 55,971,886 |
| 1383 | SUNCITY GROUP | 15,180,000 | \$ 22,770,000 |
| 1385 | SHANGHAI FUDAN | 3,678,000 | \$ 33,102,000 |
| 1387 | CHINA DILI | 21,617,600 | \$ 49,936,656 |
| 1398 | ICBC | 658,822,266 | \$ 3,636,698,908 |
| 1426 | SPRING REIT | 0 | \$ 0 |
| 1432 | CHINA SHENGMU | 38,041,694 | \$ 11,792,925 |
| 1448 | FU SHOU YUAN | 8,678,706 | \$ 61,098,090 |
| 1458 | ZHOU HEI YA | 42,528,239 | \$ 184,147,275 |
| 1478 | Q TECH | 20,901,929 | \$ 134,190,384 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1508 | CHINA RE | 27,184,009 | \$ 36,970,252 |
| 1513 | LIVZON PHARMA | 1,250,591 | \$ 23,461,087 |
| 1515 | CR MEDICAL | 18,862,952 | \$ 109,593,751 |
| 1518 | NC HEALTHCARE | 0 | \$ 0 |
| 1528 | RS MACALLINE | 2,119,769 | \$ 14,308,441 |
| 1530 | 3SBIO | 142,379,170 | \$ 1,922,118,795 |
| 1548 | GENSCRIPT BIO | 57,300,471 | \$ 1,089,854,958 |
| 1551 | GRCB | 0 | \$ 0 |
| 1558 | HEC PHARM | 4,664,800 | \$ 186,592,000 |
| 1568 | SUNDART HLDGS | 0 | \$ 0 |
| 1569 | MINSHENG EDU | 956,000 | \$ 1,395,760 |
| 1573 | SOUTHERN ENERGY | 1,274,000 | \$ 13,759,200 |
| 1578 | BANK OF TIANJIN | 0 | \$ 0 |
| 1579 | YIHAI INTL | 24,441,456 | \$ 1,141,415,995 |
| 1585 | YADEA | 22,244,000 | \$ 36,925,040 |
| 1600 | TIAN LUN GAS | 6,176,000 | \$ 57,375,040 |
| 1606 | CDB LEASING | 0 | \$ 0 |
| 1608 | VPOWER GROUP | 0 | \$ 0 |
| 1610 | COFCO MEAT | 48,089,425 | \$ 101,949,581 |
| 1617 | NANFANG COMM | 568,000 | \$ 2,567,360 |
| 1618 | MCC | 11,985,980 | \$ 24,451,399 |
| 1622 | REDCO GROUP | 26,341,027 | \$ 89,822,902 |
| 1628 | YUZHOU PPT | 42,859,698 | \$ 163,295,449 |
| 1636 | CMRU | 3,439,730 | \$ 12,727,001 |
| 1638 | KAISA GROUP | 70,219,106 | \$ 259,108,501 |
| 1658 | PSBC | 699,278,338 | \$ 3,286,608,189 |
| 1660 | ZHAOBANGJI PPT | 1,224,000 | \$ 10,550,880 |
| 1666 | TONG REN TANG | 15,033,580 | \$ 139,661,958 |
| 1668 | CHINASOUTH CITY | 41,835,606 | \$ 48,110,947 |
| 1672 | ASCLETIS-B | 611,000 | \$ 3,592,680 |
| 1673 | HUAZHANG TECH | 0 | \$ 0 |
| 1675 | ASIAINFO TECH | 0 | \$ 0 |
| 1680 | MACAU LEGEND | 18,917,033 | \$ 20,619,566 |
| 1681 | CONSUN PHARMA | 4,281,000 | \$ 21,019,710 |
| 1686 | SUNEVISION | 5,118,938 | \$ 35,064,725 |
| 1708 | SAMPLE TECH | 0 | \$ 0 |
| 1717 | AUSNUTRIA | 8,479,091 | \$ 139,735,420 |
| 1727 | HEBEI CONS | 105,000 | \$ 615,300 |
| 1728 | ZHENG TONG AUTO | 131,687,965 | \$ 388,479,497 |
| 1761 | BABY TREE GROUP | 0 | \$ 0 |
| 1765 | HOPE EDU | 15,318,000 | \$ 17,309,340 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1766 | CRRRC | 80,201,438 | \$ 511,685,174 |
| 1772 | GANFENGLITHIUM | 4,270,310 | \$ 47,912,878 |
| 1773 | TIANLI EDU | 4,568,000 | \$ 14,434,880 |
| 1776 | GF SEC | 41,742,651 | \$ 381,945,257 |
| 1777 | FANTASIA | 6,364,500 | \$ 8,655,720 |
| 1778 | COLOUR LIFE | 5,255,431 | \$ 30,639,163 |
| 1787 | SD GOLD | 2,462,133 | \$ 36,439,568 |
| 1788 | GUOTAI JUNAN I | 290,807,240 | \$ 383,865,557 |
| 1789 | AK MEDICAL | 7,733,118 | \$ 36,113,661 |
| 1798 | DATANG RENEW | 1,110,000 | \$ 888,000 |
| 1799 | XINTE ENERGY | 0 | \$ 0 |
| 1800 | CHINA COMM CONS | 77,556,353 | \$ 519,627,565 |
| 1801 | INNOVENT BIO-B | 11,678,849 | \$ 304,234,016 |
| 1803 | BJ SPORTS & ENT | 9,868,982 | \$ 4,638,422 |
| 1806 | HUIFU PAYMENT | 2,794,000 | \$ 10,226,040 |
| 1810 | XIAOMI-W | 1,072,991,526 | \$ 10,129,040,005 |
| 1811 | CGN NEW ENERGY | 1,794,000 | \$ 1,794,000 |
| 1812 | CHENMING PAPER | 3,998,815 | \$ 13,995,853 |
| 1813 | KWG GROUP | 50,553,787 | \$ 404,935,834 |
| 1816 | CGN POWER | 242,270,491 | \$ 532,995,080 |
| 1818 | ZHAOJIN MINING | 69,826,086 | \$ 595,616,514 |
| 1828 | DCH HOLDINGS | 2,877,156 | \$ 7,020,261 |
| 1829 | CMEC | 6,953,706 | \$ 24,198,897 |
| 1833 | PA GOODDOCTOR | 30,292,632 | \$ 928,469,171 |
| 1836 | STELLA HOLDINGS | 0 | \$ 0 |
| 1848 | CALC | 1,153,000 | \$ 9,754,380 |
| 1860 | MOBVISTA | 0 | \$ 0 |
| 1862 | JINGRUI HLDGS | 3,001,000 | \$ 7,082,360 |
| 1877 | JUNSHI BIO-B | 1,562,000 | \$ 49,359,200 |
| 1881 | REGAL REIT | 0 | \$ 0 |
| 1882 | HAITIAN INT'L | 12,391,235 | \$ 194,294,565 |
| 1883 | CITIC TELECOM | 5,206,000 | \$ 17,492,160 |
| 1888 | KB LAMINATES | 24,992,848 | \$ 157,954,799 |
| 1898 | CHINA COAL | 13,604,498 | \$ 42,446,034 |
| 1899 | XINGDA INT'L | 6,474,231 | \$ 13,854,854 |
| 1908 | C&D INTL GROUP | 855,000 | \$ 7,207,650 |
| 1910 | SAMSONITE | 47,733,885 | \$ 788,563,780 |
| 1911 | CR HOLDINGS | 0 | \$ 0 |
| 1913 | PRADA | 38,893,245 | \$ 980,109,774 |
| 1916 | JIANGXI BANK | 3,762,000 | \$ 18,960,480 |
| 1918 | SUNAC | 80,898,657 | \$ 3,062,014,167 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1919 | COSCO SHIP HOLD | 106,929,408 | \$ 320,788,224 |
| 1928 | SANDS CHINA LTD | 79,594,120 | \$ 3,215,602,448 |
| 1929 | CHOW TAI FOOK | 22,244,923 | \$ 188,636,947 |
| 1958 | BAIC MOTOR | 58,259,126 | \$ 297,704,134 |
| 1963 | BCQ | 1,852,309 | \$ 8,631,760 |
| 1966 | CHINA SCE GROUP | 21,900,618 | \$ 89,135,515 |
| 1970 | IMAX CHINA | 4,440,122 | \$ 83,563,096 |
| 1972 | SWIREPROPERTIES | 8,017,238 | \$ 257,754,202 |
| 1980 | TIANGE | 9,823,269 | \$ 17,288,953 |
| 1988 | MINSHENG BANK | 238,800,831 | \$ 1,306,240,546 |
| 1992 | FOSUN TOURISM | 0 | \$ 0 |
| 1996 | RSUN PPT | 0 | \$ 0 |
| 1997 | WHARF REIC | 18,014,665 | \$ 954,777,245 |
| 1999 | MAN WAH HLDGS | 129,763,910 | \$ 448,983,129 |
| 2001 | NEW HIGHER EDU | 35,001,091 | \$ 96,953,022 |
| 2003 | VCREDIT | 687,000 | \$ 6,320,400 |
| 2005 | SSY GROUP | 43,557,897 | \$ 296,629,279 |
| 2006 | JINJIANG HOTELS | 11,556,000 | \$ 16,640,640 |
| 2007 | COUNTRY GARDEN | 604,301,108 | \$ 6,901,118,653 |
| 2008 | PHOENIX TV | 3,861,947 | \$ 2,741,982 |
| 2009 | BBMG | 157,675,138 | \$ 376,843,580 |
| 2014 | OZNER WATER | 40,338,000 | \$ 47,598,840 |
| 2016 | CZBANK | 0 | \$ 0 |
| 2018 | AAC TECH | 70,789,479 | \$ 3,015,631,805 |
| 2020 | ANTA SPORTS | 30,491,796 | \$ 1,568,802,904 |
| 2038 | FIH | 273,405,217 | \$ 235,128,487 |
| 2039 | CIMC | 4,713,283 | \$ 35,113,958 |
| 2048 | E-HOUSE ENT | 5,838,600 | \$ 57,218,280 |
| 2051 | 51 CREDIT CARD | 1,500,468 | \$ 5,896,839 |
| 2066 | SHENGJINGBANK | 0 | \$ 0 |
| 2098 | ZALL SMARTCOM | 0 | \$ 0 |
| 2099 | CHINAGOLDINTL | 212,100 | \$ 1,930,110 |
| 2128 | CHINA LESSO | 792,000 | \$ 5,472,720 |
| 2136 | LIFESTYLE CHI | 7,612,839 | \$ 19,336,611 |
| 2139 | BANK OF GANSU | 0 | \$ 0 |
| 2186 | LUYE PHARMA | 187,311,638 | \$ 1,054,564,522 |
| 2196 | FOSUN PHARMA | 47,080,773 | \$ 1,122,876,436 |
| 2198 | CHINA SANJIANG | 1,330,000 | \$ 2,181,200 |
| 2199 | REGINA MIRACLE | 9,587,354 | \$ 54,647,918 |
| 2202 | CHINA VANKE | 47,400,559 | \$ 1,476,527,413 |
| 2208 | GOLDWIND | 43,224,144 | \$ 404,577,988 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2232 | CRYSTAL INTL | 5,211,259 | \$ 17,666,168 |
| 2233 | WESTCHINACEMENT | 19,758,250 | \$ 27,859,133 |
| 2238 | GAC GROUP | 387,161,744 | \$ 3,046,962,925 |
| 2255 | HAICHANG HLDG | 3,806,000 | \$ 4,795,560 |
| 2268 | YOUYUAN HLDGS | 8,923,919 | \$ 19,721,861 |
| 2269 | WUXI BIO | 25,437,538 | \$ 1,823,871,475 |
| 2280 | HC GROUP | 28,026,727 | \$ 62,779,868 |
| 2282 | MGM CHINA | 116,808,713 | \$ 1,581,589,974 |
| 2283 | TK GROUP HLDG | 0 | \$ 0 |
| 2298 | COSMO LADY | 5,639,890 | \$ 8,967,425 |
| 2299 | BILLION IND | 0 | \$ 0 |
| 2303 | HENGXING GOLD | 0 | \$ 0 |
| 2313 | SHENZHOU INTL | 5,830,712 | \$ 622,720,042 |
| 2314 | LEE & MAN PAPER | 26,578,666 | \$ 139,006,423 |
| 2318 | PING AN | 1,242,726,602 | \$ 117,126,982,239 |
| 2319 | MENGNU DAIRY | 49,760,470 | \$ 1,559,990,735 |
| 2322 | NOBLE CENTURY | 0 | \$ 0 |
| 2328 | PICC P&C | 80,513,162 | \$ 715,762,010 |
| 2329 | GUORUI PPT | 14,432,268 | \$ 21,215,434 |
| 2331 | LI NING | 70,071,006 | \$ 1,341,159,055 |
| 2333 | GREATWALL MOTOR | 528,785,974 | \$ 2,987,640,753 |
| 2338 | WEICHAJ POWER | 126,470,375 | \$ 1,550,526,798 |
| 2342 | COMBA | 104,207,078 | \$ 179,236,174 |
| 2343 | PACIFIC BASIN | 255,568,275 | \$ 406,353,557 |
| 2356 | DAHSING BANKING | 1,908,682 | \$ 27,065,111 |
| 2357 | AVICHINA | 85,410,561 | \$ 373,244,152 |
| 2359 | WUXI APPTec | 22,654,275 | \$ 1,554,083,265 |
| 2362 | JINCHUAN INTL | 66,064,000 | \$ 43,602,240 |
| 2378 | PRU | 0 | \$ 0 |
| 2380 | CHINA POWER | 104,917,265 | \$ 210,883,703 |
| 2382 | SUNNY OPTICAL | 51,125,383 | \$ 4,396,782,938 |
| 2383 | TOM GROUP | 0 | \$ 0 |
| 2386 | SINOPEC SEG | 7,937,961 | \$ 51,041,089 |
| 2388 | BOC HONG KONG | 39,281,741 | \$ 1,215,769,884 |
| 2393 | YESTAR HEALTH | 0 | \$ 0 |
| 2399 | FORDOO | 0 | \$ 0 |
| 2552 | HUA MEDICINE-B | 0 | \$ 0 |
| 2588 | BOC AVIATION | 12,877,301 | \$ 863,423,032 |
| 2600 | CHALCO | 142,483,571 | \$ 370,457,285 |
| 2601 | CPIC | 134,298,583 | \$ 4,237,120,294 |
| 2607 | SH PHARMA | 7,050,801 | \$ 105,056,935 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2608 | SUNSHINE 100 | 3,931,000 | \$ 5,896,500 |
| 2611 | GTJA | 9,390,193 | \$ 123,762,744 |
| 2628 | CHINA LIFE | 79,679,619 | \$ 1,609,528,304 |
| 2638 | HKELECTRIC-SS | 105,197,859 | \$ 846,842,765 |
| 2662 | CAMSING INTL | 1,300,667 | \$ 611,313 |
| 2666 | UNI MEDICAL | 30,378,708 | \$ 188,347,990 |
| 2669 | CHINA OVS PPT | 25,571,838 | \$ 108,680,312 |
| 2678 | TEXHONG TEXTILE | 1,057,544 | \$ 8,502,654 |
| 2686 | AAG ENERGY | 0 | \$ 0 |
| 2688 | ENN ENERGY | 19,865,793 | \$ 1,586,283,571 |
| 2689 | ND PAPER | 126,398,618 | \$ 859,510,602 |
| 2698 | WEIQIAO TEXTILE | 269,500 | \$ 652,190 |
| 2727 | SH ELECTRIC | 77,823,483 | \$ 212,458,109 |
| 2768 | JIAYUAN INTL | 27,137,668 | \$ 91,725,318 |
| 2777 | R&F PROPERTIES | 107,958,752 | \$ 1,576,197,779 |
| 2778 | CHAMPION REIT | 11,329,539 | \$ 75,794,616 |
| 2779 | CH XINHUA EDU | 865,000 | \$ 2,300,900 |
| 2799 | CHINA HUARONG | 346,901,287 | \$ 468,316,737 |
| 2800 | TRACKER FUND | 17,151,710 | \$ 500,829,932 |
| 2801 | ISHARES CHINA | 0 | \$ 0 |
| 2802 | ISHARES EM ASIA | 0 | \$ 0 |
| 2803 | PREMIA BEDROCK | 0 | \$ 0 |
| 2805 | VANGUARDASXJP | 0 | \$ 0 |
| 2810 | PREMIAEMASEAN | 0 | \$ 0 |
| 2811 | HT CSI300 ETF | 0 | \$ 0 |
| 2812 | SAMUNGC DRAGON | 0 | \$ 0 |
| 2813 | CAM CTPB BOND | 0 | \$ 0 |
| 2819 | ABF HK IDX ETF | 0 | \$ 0 |
| 2821 | ABF PAIF | 0 | \$ 0 |
| 2822 | CSOP A50 ETF | 27,600,008 | \$ 415,656,120 |
| 2823 | ISHARES A50 | 68,835,197 | \$ 1,031,151,251 |
| 2824 | LIPPO HK&M PROP | 0 | \$ 0 |
| 2825 | WISECSIHK100ETF | 0 | \$ 0 |
| 2827 | WISE CSI300 ETF | 0 | \$ 0 |
| 2828 | HSCEI ETF | 3,408,050 | \$ 375,907,915 |
| 2832 | BOSERA FA50 | 0 | \$ 0 |
| 2833 | HS HSI ETF | 0 | \$ 0 |
| 2834 | ISHARESND100 | 0 | \$ 0 |
| 2836 | ISHARES INDIA | 0 | \$ 0 |
| 2838 | HS FCI50 ETF | 0 | \$ 0 |
| 2840 | SPDR GOLD TRUST | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2843 | AMUNDI A50 | 0 | \$ 0 |
| 2846 | ISHARESCSI300 | 0 | \$ 0 |
| 2847 | ISHARESFS100 | 0 | \$ 0 |
| 2848 | TRMSCIKOREA | 0 | \$ 0 |
| 2858 | YIXIN | 43,916,769 | \$ 78,611,017 |
| 2866 | COSCO SHIP DEV | 67,801,270 | \$ 67,801,270 |
| 2868 | BJ CAPITAL LAND | 42,520,867 | \$ 124,160,932 |
| 2869 | GREENTOWN SER | 37,885,880 | \$ 251,941,102 |
| 2877 | SHINEWAY PHARM | 3,770,803 | \$ 25,679,168 |
| 2880 | DALIAN PORT | 3,818,288 | \$ 3,818,288 |
| 2883 | CHINA OILFIELD | 85,913,804 | \$ 716,521,125 |
| 2888 | STANCHART | 428,485 | \$ 30,101,071 |
| 2899 | ZIJIN MINING | 80,513,232 | \$ 247,980,755 |
| 3007 | TRFXIC50 | 0 | \$ 0 |
| 3008 | C-SHS CSI 300 | 0 | \$ 0 |
| 3010 | ISHARES AXJ | 0 | \$ 0 |
| 3011 | A ICBCICUSD | 0 | \$ 0 |
| 3012 | AMUNDI HK35 | 0 | \$ 0 |
| 3015 | X TRNIFTY50 | 0 | \$ 0 |
| 3016 | TRMSCIPHIL | 0 | \$ 0 |
| 3019 | X TRMSCIWORLD | 0 | \$ 0 |
| 3020 | X TRMSCIUSA | 0 | \$ 0 |
| 3023 | PA AI&ROBOTICS | 0 | \$ 0 |
| 3024 | WISE SSE50ETF | 0 | \$ 0 |
| 3027 | X TRMSCIRUSSIA | 0 | \$ 0 |
| 3036 | TRMSCITAIWAN | 0 | \$ 0 |
| 3040 | MR MSCI CN ETF | 0 | \$ 0 |
| 3041 | VALUE KOREA ETF | 0 | \$ 0 |
| 3043 | TRMSCIPACEXJ | 0 | \$ 0 |
| 3046 | VALUE CHINA ETF | 0 | \$ 0 |
| 3048 | TRMSCIBRAZIL | 0 | \$ 0 |
| 3049 | X TRCSI300 | 0 | \$ 0 |
| 3053 | CSOP HKD MM | 0 | \$ 0 |
| 3055 | TRMSCICHINA | 0 | \$ 0 |
| 3060 | VALUE TW ETF | 0 | \$ 0 |
| 3065 | TRMSCISG | 0 | \$ 0 |
| 3070 | PING AN HKDIV | 0 | \$ 0 |
| 3073 | SPDR GREATCHINA | 0 | \$ 0 |
| 3074 | ISHAREMSCITW | 0 | \$ 0 |
| 3079 | CICC CGB | 0 | \$ 0 |
| 3080 | PING AN CGB | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3081 | VALUEGOLD ETF | 0 | \$ 0 |
| 3082 | TRMSCIMALAY | 0 | \$ 0 |
| 3084 | VALUE JAPAN ETF | 0 | \$ 0 |
| 3085 | VANGUARDAXJHY | 0 | \$ 0 |
| 3086 | BMO NASDAQ100 | 0 | \$ 0 |
| 3087 | X TRFTSEVIET | 0 | \$ 0 |
| 3092 | TRMSCITHAI | 0 | \$ 0 |
| 3093 | CICCSELECT100 | 0 | \$ 0 |
| 3095 | VALUE A SHARE | 0 | \$ 0 |
| 3096 | CSOP USD MM | 0 | \$ 0 |
| 3097 | F MR OIL ETF | 0 | \$ 0 |
| 3099 | X TRMSCIINDO | 0 | \$ 0 |
| 3100 | EFUND CSI100 | 0 | \$ 0 |
| 3101 | VANGUARDDVEUR | 0 | \$ 0 |
| 3110 | MR HS HDIVY ETF | 0 | \$ 0 |
| 3115 | ISHARESHSI | 0 | \$ 0 |
| 3118 | HGI MSCI CN A | 0 | \$ 0 |
| 3120 | EFUND CES 120 | 0 | \$ 0 |
| 3121 | BMO AP REAL EST | 0 | \$ 0 |
| 3122 | CSOP CNSTBOND | 0 | \$ 0 |
| 3126 | VANGUARDJAPAN | 0 | \$ 0 |
| 3127 | MR CSI300 ETF | 0 | \$ 0 |
| 3128 | HS AINDTOPETF | 0 | \$ 0 |
| 3129 | CSOP 300SMART | 0 | \$ 0 |
| 3135 | F CSOP OIL ETF | 0 | \$ 0 |
| 3136 | HGI MSCIA50 | 0 | \$ 0 |
| 3140 | VANGUARDSP500 | 0 | \$ 0 |
| 3141 | BMO ASIA IGB | 0 | \$ 0 |
| 3143 | BMO HK BANKS | 0 | \$ 0 |
| 3145 | BMO ASIA HIGHDV | 0 | \$ 0 |
| 3146 | ISHARESDAX | 0 | \$ 0 |
| 3147 | CSOP CHINEXT | 0 | \$ 0 |
| 3149 | CSOP MSCI | 0 | \$ 0 |
| 3150 | HGI CSI500 | 0 | \$ 0 |
| 3155 | ISHARESES50 | 0 | \$ 0 |
| 3156 | GFI MSCI A I | 0 | \$ 0 |
| 3157 | CAM SCSMALLCAP | 0 | \$ 0 |
| 3160 | BMO JAPAN HDG | 0 | \$ 0 |
| 3163 | PA MULTI-FACTOR | 0 | \$ 0 |
| 3165 | BMO EU QLTY HDG | 0 | \$ 0 |
| 3166 | PA QLTY FACTOR | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3167 | ICBCCSOPCHINA | 0 | \$ 0 |
| 3169 | VANGUARDCHINA | 0 | \$ 0 |
| 3170 | ISHARESXS200 | 0 | \$ 0 |
| 3171 | COMSTAGE DAX | 0 | \$ 0 |
| 3173 | PREMIA NEW ECON | 0 | \$ 0 |
| 3175 | F SAMSUNG OIL | 0 | \$ 0 |
| 3177 | COMSTAGE DIVDAX | 0 | \$ 0 |
| 3180 | CAM CES A80 | 0 | \$ 0 |
| 3181 | PREMIAASIA TEC | 0 | \$ 0 |
| 3182 | WISE NEW ECON50 | 0 | \$ 0 |
| 3186 | CICCKRANECNET | 0 | \$ 0 |
| 3188 | CAM CSI300 | 55,453,459 | \$ 2,462,133,580 |
| 3197 | CAM MSCI AINC | 0 | \$ 0 |
| 3198 | PING AN 5HANDL | 0 | \$ 0 |
| 3199 | CSOP CTPBBOND | 0 | \$ 0 |
| 3301 | RONSHINECHINA | 6,743,027 | \$ 71,610,947 |
| 3306 | JNBY | 3,059,500 | \$ 43,016,570 |
| 3308 | GOLDEN EAGLE | 340,000 | \$ 3,087,200 |
| 3309 | C-MER EYE | 3,905,000 | \$ 20,306,000 |
| 3311 | CHINA STATE CON | 161,759,491 | \$ 1,269,812,004 |
| 3319 | A-LIVING | 7,205,063 | \$ 117,730,729 |
| 3320 | CHINARES PHARMA | 10,660,500 | \$ 92,959,560 |
| 3323 | CNBM | 92,386,741 | \$ 676,270,944 |
| 3328 | BANKCOMM | 96,815,192 | \$ 575,082,240 |
| 3331 | VINDA INT'L | 0 | \$ 0 |
| 3333 | EVERGRANDE | 236,583,369 | \$ 5,098,371,602 |
| 3336 | JU TENG INTL | 2,696,000 | \$ 5,257,200 |
| 3337 | ANTON OILFIELD | 53,197,532 | \$ 52,665,557 |
| 3339 | LONKING | 53,524,947 | \$ 106,514,645 |
| 3360 | FE HORIZON | 26,344,595 | \$ 207,331,963 |
| 3369 | QHD PORT | 0 | \$ 0 |
| 3377 | SINO-OCEAN GP | 64,700,947 | \$ 218,042,191 |
| 3380 | LOGAN PPT | 50,777,163 | \$ 652,994,316 |
| 3382 | TIANJINPORT DEV | 5,094,000 | \$ 4,126,140 |
| 3383 | AGILE GROUP | 72,405,103 | \$ 776,182,704 |
| 3393 | WASION HOLDINGS | 1,840,000 | \$ 5,336,000 |
| 3396 | LEGENDHOLDING | 8,844,761 | \$ 157,790,536 |
| 3606 | FUYAO GLASS | 11,266,859 | \$ 276,601,388 |
| 3613 | TONGRENTANGCM | 3,251,573 | \$ 44,091,330 |
| 3618 | CQRC BANK | 42,867,908 | \$ 179,187,855 |
| 3633 | ZHONGYU GAS | 1,894,299 | \$ 15,135,449 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 3639 | YIDA CHINA | 0 | \$ 0 |
| 3668 | YANCOAL AUS | 0 | \$ 0 |
| 3669 | YONGDA AUTO | 27,535,428 | \$ 195,501,539 |
| 3683 | GREAT HARVEST | 2,460,000 | \$ 3,985,200 |
| 3690 | MEITUAN-W | 137,506,093 | \$ 8,937,896,045 |
| 3698 | HUIZHANG BANK | 0 | \$ 0 |
| 3700 | INKE | 14,892,000 | \$ 23,678,280 |
| 3709 | EEKA FASHION | 0 | \$ 0 |
| 3799 | DALI FOODS | 90,659,531 | \$ 456,924,036 |
| 3800 | GCL-POLY ENERGY | 807,413,945 | \$ 367,373,345 |
| 3808 | SINOTRUK | 181,019,808 | \$ 2,371,359,485 |
| 3813 | POU SHENG INT'L | 22,273,600 | \$ 43,878,992 |
| 3818 | CHINA DONGXIANG | 22,230,610 | \$ 22,230,610 |
| 3836 | HARMONY AUTO | 33,090,317 | \$ 90,336,565 |
| 3866 | BQD | 0 | \$ 0 |
| 3883 | CHINA AOYUAN | 38,097,126 | \$ 423,640,041 |
| 3888 | KINGSOFT | 124,058,006 | \$ 2,029,588,978 |
| 3898 | CRRRC TIMES ELEC | 13,780,369 | \$ 549,147,705 |
| 3899 | CIMC ENRIC | 6,020,008 | \$ 36,722,049 |
| 3900 | GREENTOWN CHINA | 30,533,901 | \$ 189,310,186 |
| 3908 | CICC | 127,169,204 | \$ 1,976,209,430 |
| 3918 | NAGACORP | 8,022,132 | \$ 79,579,549 |
| 3933 | UNITED LAB | 119,354,288 | \$ 494,126,752 |
| 3958 | DFZQ | 3,108,800 | \$ 15,015,504 |
| 3968 | CM BANK | 146,064,399 | \$ 5,608,872,922 |
| 3969 | CHINA CRSC | 35,952,247 | \$ 207,084,943 |
| 3983 | CHINA BLUECHEM | 27,636,074 | \$ 62,457,527 |
| 3988 | BANK OF CHINA | 158,433,752 | \$ 516,494,032 |
| 3990 | MIDEA REAL EST | 329,400 | \$ 6,917,400 |
| 3993 | CMOC | 627,062,204 | \$ 1,435,972,447 |
| 3996 | CH ENERGY ENG | 0 | \$ 0 |
| 3998 | BOSIDENG | 47,640,519 | \$ 122,912,539 |
| 4332 | AMGEN-T | 0 | \$ 0 |
| 4333 | CISCO-T | 0 | \$ 0 |
| 4335 | INTEL-T | 0 | \$ 0 |
| 4336 | APPL MATERIAL-T | 0 | \$ 0 |
| 4337 | STARBUCKS-T | 0 | \$ 0 |
| 4338 | MICROSOFT-T | 0 | \$ 0 |
| 6030 | CITIC SEC | 217,670,692 | \$ 3,400,016,209 |
| 6060 | ZA ONLINE | 46,577,096 | \$ 945,515,049 |
| 6066 | CSC | 18,225,500 | \$ 102,609,565 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 6068 | WISDOM EDU INTL | 4,916,000 | \$ 18,975,760 |
| 6088 | FIT HON TENG | 6,761,289 | \$ 21,027,609 |
| 6098 | CG SERVICES | 52,021,177 | \$ 1,020,655,493 |
| 6099 | CMSC | 1,421,800 | \$ 13,094,778 |
| 6100 | TALENT LIEPIN | 4,237,800 | \$ 83,484,660 |
| 6122 | JIUTAI RCB | 229,700 | \$ 695,991 |
| 6138 | HARBIN BANK | 0 | \$ 0 |
| 6139 | JINMAO HOTEL-SS | 0 | \$ 0 |
| 6158 | ZHENRO PPT | 4,331,159 | \$ 21,915,665 |
| 6160 | BEIGENE | 0 | \$ 0 |
| 6166 | CHINA VAST | 0 | \$ 0 |
| 6168 | CHINA U-TON | 895,000 | \$ 545,950 |
| 6169 | YUHUA EDU | 196,407,616 | \$ 656,001,437 |
| 6178 | EB SECURITIES | 4,900,004 | \$ 29,988,024 |
| 6190 | BANKOFJIUJIANG | 0 | \$ 0 |
| 6196 | BANKOFZHENGZHOU | 0 | \$ 0 |
| 6198 | QINGDAO PORT | 5,750,233 | \$ 34,328,891 |
| 6808 | SUNART RETAIL | 106,385,899 | \$ 785,127,935 |
| 6818 | CEB BANK | 65,390,756 | \$ 231,483,276 |
| 6823 | HKT-SS | 17,018,623 | \$ 212,732,788 |
| 6826 | HAOHAI BIOTEC | 399,442 | \$ 18,274,472 |
| 6828 | BG BLUE SKY | 103,740,756 | \$ 23,445,411 |
| 6837 | HAITONG SEC | 111,179,485 | \$ 935,019,469 |
| 6860 | FINGERTANGO | 0 | \$ 0 |
| 6862 | HAIDILAO | 11,845,000 | \$ 396,215,250 |
| 6868 | TENFU | 0 | \$ 0 |
| 6869 | YOFC | 23,170,048 | \$ 343,380,111 |
| 6878 | DIFFER GROUP | 0 | \$ 0 |
| 6881 | CGS | 180,809,851 | \$ 806,411,935 |
| 6886 | HTSC | 127,343,309 | \$ 1,691,119,144 |
| 6889 | DYNAM JAPAN | 0 | \$ 0 |
| 7200 | FL2 CSOP HSI | 0 | \$ 0 |
| 7205 | FL2SAMSUNGHSI | 0 | \$ 0 |
| 7221 | FL2CAMDXNHSI | 0 | \$ 0 |
| 7228 | FL2SAMSUNGHSCEI | 0 | \$ 0 |
| 7230 | FL2 MR HSCEI | 0 | \$ 0 |
| 7231 | FL2 MR HSI | 0 | \$ 0 |
| 7242 | FL2EFUND YT HSI | 0 | \$ 0 |
| 7261 | FL2CAMDXNNDQ100 | 0 | \$ 0 |
| 7267 | FL2CAMDXNHSCEI | 0 | \$ 0 |
| 7288 | FL2 CSOP HSCEI | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 7300 | FI CSOP HSI | 0 | \$ 0 |
| 7302 | FI EFUND YT HSI | 0 | \$ 0 |
| 7312 | FISAMSUNGHSI | 0 | \$ 0 |
| 7321 | FICAMDXNHSI | 0 | \$ 0 |
| 7322 | XI MR S&P500 | 0 | \$ 0 |
| 7328 | FISAMSUNGHSCEI | 0 | \$ 0 |
| 7331 | FICAMDXNNDQ100 | 0 | \$ 0 |
| 7336 | FI MR HSI | 0 | \$ 0 |
| 7341 | FICAMDXNHSCEI | 0 | \$ 0 |
| 7362 | FI MR HSCEI | 0 | \$ 0 |
| 7500 | FI2 CSOP HSI | 0 | \$ 0 |
| 7588 | FI2 CSOP HSCEI | 0 | \$ 0 |
| 8032 | VIVA CHINA | 4,214,976 | \$ 2,065,338 |
| 8047 | CHINAOCEAN FISH | 1,356,000 | \$ 454,260 |
| 8057 | MADISON HLDG | 0 | \$ 0 |
| 8083 | CHINA YOUZAN | 237,878,751 | \$ 109,424,225 |
| 8137 | HONBRIDGE | 17,194,624 | \$ 12,380,129 |
| 8207 | CHONGSING HLDGS | 9,147,900 | \$ 109,775 |
| 8279 | AGTECH HOLDINGS | 0 | \$ 0 |
| 8283 | ZHENG LI HLDG | 5,490,000 | \$ 6,313,500 |
| 8293 | SINGASIA HLDG | 280,000 | \$ 32,760 |
| 8469 | SHENGYE CAPITAL | 1,018,000 | \$ 8,001,480 |
| 9010 | ISHARES AXJ-U | 0 | \$ 0 |
| 9011 | A ICBCICUSD-U | 0 | \$ 0 |
| 9074 | ISHAREMSCITW-U | 0 | \$ 0 |
| 9081 | VALUEGOLD ETF-U | 0 | \$ 0 |
| 9085 | VANGUARDAXJHY-U | 0 | \$ 0 |
| 9086 | BMO NASDAQ100-U | 0 | \$ 0 |
| 9096 | CSOP USD MM-U | 0 | \$ 0 |
| 9101 | VANGUARDDEUR-U | 0 | \$ 0 |
| 9115 | ISHARESHSI-U | 0 | \$ 0 |
| 9126 | VANGUARDJAPAN-U | 0 | \$ 0 |
| 9140 | VANGUARDSP500-U | 0 | \$ 0 |
| 9141 | BMO ASIA IGB-U | 0 | \$ 0 |
| 9146 | ISHARESDAX-U | 0 | \$ 0 |
| 9155 | ISHARESES50-U | 0 | \$ 0 |
| 9167 | ICBCCSOPCHINA-U | 0 | \$ 0 |
| 9169 | VANGUARDCHINA-U | 0 | \$ 0 |
| 9170 | ISHARESXS200-U | 0 | \$ 0 |
| 9181 | PREMIAASIATEC-U | 0 | \$ 0 |
| 9186 | CICCKRANECNET-U | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 9199 | CSOP CTPBOND-U | 0 | \$ 0 |
| 9805 | VANGUARDASXJP-U | 0 | \$ 0 |
| 9810 | PREMIAEMASEAN-U | 0 | \$ 0 |
| 9834 | ISHARESND100-U | 0 | \$ 0 |
| 9836 | ISHARES INDIA-U | 0 | \$ 0 |
| 9846 | ISHARESCSI300-U | 0 | \$ 0 |
| 9847 | ISHARESFS100-U | 0 | \$ 0 |
| 80737 | BAY AREA DEV-R | 0 | \$ 0 |
| 82805 | VANGUARDASXJP-R | 0 | \$ 0 |
| 82811 | HT CSI300 ETF-R | 0 | \$ 0 |
| 82813 | CAM CTPB BOND-R | 0 | \$ 0 |
| 82822 | CSOP A50 ETF-R | 0 | \$ 0 |
| 82823 | ISHARES A50-R | 0 | \$ 0 |
| 82828 | HSCEI ETF-R | 0 | \$ 0 |
| 82832 | BOSEFA FA50-R | 0 | \$ 0 |
| 82833 | HS HSI ETF-R | 0 | \$ 0 |
| 82834 | ISHARESND100-R | 0 | \$ 0 |
| 82836 | ISHARES INDIA-R | 0 | \$ 0 |
| 82843 | AMUNDI A50-R | 0 | \$ 0 |
| 82846 | ISHARESCSI300-R | 0 | \$ 0 |
| 82847 | ISHARESFS100-R | 0 | \$ 0 |
| 83008 | C-SHS CSI 300-R | 0 | \$ 0 |
| 83010 | ISHARES AXJ-R | 0 | \$ 0 |
| 83012 | AMUNDI HK35-R | 0 | \$ 0 |
| 83053 | CSOP HKD MM-R | 0 | \$ 0 |
| 83074 | ISHARESMSCITW-R | 0 | \$ 0 |
| 83079 | CICC CGB-R | 0 | \$ 0 |
| 83081 | VALUEGOLD ETF-R | 0 | \$ 0 |
| 83085 | VANGUARDAXJHY-R | 0 | \$ 0 |
| 83093 | CICCSELECT100-R | 0 | \$ 0 |
| 83095 | VALUE A SHARE-R | 0 | \$ 0 |
| 83100 | EFUND CSI100-R | 0 | \$ 0 |
| 83101 | VANGUARDDDVEUR-R | 0 | \$ 0 |
| 83115 | ISHARESHSI-R | 0 | \$ 0 |
| 83118 | HGI MSCI CN A-R | 0 | \$ 0 |
| 83120 | EFUND CES 120-R | 0 | \$ 0 |
| 83122 | CSOP CNSTBOND-R | 0 | \$ 0 |
| 83126 | VANGUARDJAPAN-R | 0 | \$ 0 |
| 83127 | MR CSI300 ETF-R | 0 | \$ 0 |
| 83128 | HS AINDTOPETF-R | 0 | \$ 0 |
| 83129 | CSOP 300SMART-R | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 12/07/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 83136 | HGI MSCIA50-R | 0 | \$ 0 |
| 83140 | VANGUARDSP500-R | 0 | \$ 0 |
| 83146 | ISHARESDAX-R | 0 | \$ 0 |
| 83147 | CSOP CHINEXT-R | 0 | \$ 0 |
| 83149 | CSOP MSCI-R | 0 | \$ 0 |
| 83150 | HGI CSI500-R | 0 | \$ 0 |
| 83155 | ISHARESES50-R | 0 | \$ 0 |
| 83156 | GFI MSCI A I-R | 0 | \$ 0 |
| 83167 | ICBCCSOPCHINA-R | 0 | \$ 0 |
| 83168 | HS RMB GOLD ETF | 0 | \$ 0 |
| 83169 | VANGUARDCHINA-R | 0 | \$ 0 |
| 83170 | ISHARESKS200-R | 0 | \$ 0 |
| 83180 | CAM CES A80-R | 0 | \$ 0 |
| 83186 | CICCKRANECNET-R | 0 | \$ 0 |
| 83188 | CAM CSI300-R | 0 | \$ 0 |
| 83197 | CAM MSCI AINC-R | 0 | \$ 0 |
| 83199 | CSOP CTPBBOND-R | 0 | \$ 0 |
| 87001 | HUI XIAN REIT | 0 | \$ 0 |