

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,268,718	\$ 943,405,850
2	CLP HOLDINGS	14,915,459	\$ 1,203,677,541
3	HK & CHINA GAS	246,469,507	\$ 3,677,325,044
4	WHARF HOLDINGS	33,444,314	\$ 633,435,307
5	HSBC HOLDINGS	89,392,595	\$ 5,193,709,770
6	POWER ASSETS	34,399,433	\$ 1,873,049,127
8	PCCW	69,303,153	\$ 327,110,882
10	HANG LUNG GROUP	3,452,922	\$ 65,398,343
11	HANG SENG BANK	19,342,547	\$ 3,087,070,501
12	HENDERSON LAND	25,183,164	\$ 948,146,125
14	HYSAN DEV	3,257,857	\$ 96,106,782
16	SHK PPT	9,575,670	\$ 1,090,668,813
17	NEW WORLD DEV	66,626,878	\$ 680,926,693
19	SWIRE PACIFIC A	17,091,632	\$ 1,204,105,474
20	WHEELLOCK	7,000,362	\$ 340,567,611
23	BANK OF E ASIA	36,900,839	\$ 651,668,817
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	63,824,097	\$ 3,267,793,766
28	TIAN AN	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	875,140	\$ 3,176,758
41	GREAT EAGLE H	333,147	\$ 8,745,109
43	C.P. POKPHAND	5,274,000	\$ 3,480,840
45	HK&S HOTELS	4,299,333	\$ 38,522,024
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	715,392	\$ 15,094,771
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	36,775,471	\$ 1,614,443,177
69	SHANGRI-LA ASIA	32,910,151	\$ 267,230,426
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	653,007	\$ 2,638,148
81	CH OVS G OCEANS	2,478,222	\$ 10,879,395
83	SINO LAND	38,164,103	\$ 448,046,569
86	SUN HUNG KAI CO	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	9,382,000	\$ 24,487,020
101	HANG LUNG PPT	53,402,648	\$ 856,578,474
102	SUMMIT ASCENT	2,442,364	\$ 2,466,788
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA PPT	0	\$ 0
107	SICHUAN EXPRESS	946,000	\$ 2,194,720
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	1,485,788	\$ 13,401,808
119	POLY PROPERTY	3,418,839	\$ 9,572,749
120	COSMOPOL INT'L	892,000	\$ 999,040
123	YUEXIU PROPERTY	12,490,290	\$ 20,858,784
127	CHINESE EST H	445,000	\$ 2,714,500
135	KUNLUN ENERGY	21,338,582	\$ 141,474,799
136	HENGTEN NET	1,180,473,034	\$ 133,393,453
142	FIRST PACIFIC	21,075,907	\$ 60,698,612
144	CHINA MER PORT	26,384,500	\$ 321,363,210
148	KINGBOARD HLDG	6,948,734	\$ 149,745,218
151	WANT WANT CHINA	436,338,615	\$ 2,940,922,265
152	SHENZHEN INT'L	6,326,078	\$ 104,633,330
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	1,818,000	\$ 14,489,460
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	42,301,485	\$ 532,998,711
168	TSINGTAO BREW	15,883,666	\$ 768,769,434
171	SILVER GRANT	10,010,000	\$ 12,012,000
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	319,458,221	\$ 4,676,868,355
177	JIANGSU EXPRESS	4,657,823	\$ 48,627,672
178	SA SA INT'L	121,199,371	\$ 213,310,893
179	JOHNSON ELEC H	1,175,098	\$ 20,634,721
182	CONCORD NE	9,080,000	\$ 3,359,600
189	DONGYUE GROUP	31,634,588	\$ 126,222,006
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	9,710,000	\$ 4,320,950
200	MELCO INT'L DEV	20,777,095	\$ 398,920,224
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	37,721,126	\$ 58,467,745
220	U-PRESID CHINA	17,418,988	\$ 138,480,955
225	POKFULAM	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
230	MINMETALS LAND	1,276,000	\$ 1,595,000
241	ALI HEALTH	175,221,327	\$ 1,552,460,957
242	SHUN TAK HOLD	14,986,400	\$ 47,656,752
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	9,693,092	\$ 15,702,809
257	CHINA EB INT'L	115,166,826	\$ 681,787,610
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	30,234,861	\$ 296,301,638
268	KINGDEE INT'L	302,994,288	\$ 2,351,235,675
270	GUANGDONG INV	9,719,223	\$ 157,257,028
272	SHUI ON LAND	17,515,487	\$ 28,725,399
273	MASON GP HOLD	33,740,000	\$ 1,687,000
285	BYD ELECTRONIC	83,047,991	\$ 1,162,671,874
286	CS HEALTH	5,208,000	\$ 3,020,640
288	WH GROUP	154,318,784	\$ 1,240,723,023
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	25,543,013	\$ 1,047,263,533
293	CATHAY PAC AIR	47,728,325	\$ 499,238,280
297	SINOFERT	29,089,113	\$ 22,980,399
303	VTECH HOLDINGS	1,108,578	\$ 81,979,343
308	CHINA TRAVEL HK	24,342,000	\$ 29,940,660
315	SMARTONE TELE	3,228,971	\$ 19,729,013
316	OOIL	0	\$ 0
317	COMEC	5,486,526	\$ 31,602,390
321	TEXWINCA HOLD	4,726,000	\$ 10,633,500
322	TINGYI	57,923,526	\$ 737,945,721
323	MAANSHAN IRON	104,396,140	\$ 310,056,536
327	PAX GLOBAL	20,333,468	\$ 69,540,461
330	ESPRIT HOLDINGS	41,023,784	\$ 63,586,865
336	HUABAO INTL	3,923,185	\$ 10,004,122
337	GREENLAND HK	4,468,780	\$ 13,093,525
338	SHANGHAI PEICHEM	79,906,451	\$ 173,396,999
341	CAFE DE CORAL H	4,109,526	\$ 80,546,710
342	NEWOCEAN ENERGY	70,636,900	\$ 79,819,697
345	VITASOY INT'L	69,200,013	\$ 2,082,920,391
347	ANGANG STEEL	95,450,382	\$ 283,487,635
354	CHINASOFT INT'L	315,513,611	\$ 1,173,710,633
358	JIANGXI COPPER	12,575,930	\$ 113,560,648

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
363	SHANGHAI IND H	2,432,000	\$ 34,291,200
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	181,216,447	\$ 695,871,156
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	111,771,239	\$ 3,252,543,055
386	SINOPEC CORP	282,192,606	\$ 1,238,825,540
388	HKEX	17,645,446	\$ 4,361,954,251
390	CHINA RAILWAY	105,526,447	\$ 483,311,127
392	BEIJING ENT	1,465,630	\$ 50,710,798
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	21,718,550	\$ 58,640,085
412	CHINA SDHS FIN	34,752,000	\$ 7,992,960
425	MINTH GROUP	43,534,832	\$ 1,171,086,981
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	837,623	\$ 23,872,256
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	56,522,373	\$ 9,834,893
460	SIHUAN PHARM	86,938,925	\$ 77,375,643
467	UNITEDENERGY GP	7,800,000	\$ 11,622,000
468	GAPACK	1,531,839	\$ 5,483,984
480	HKR INT'L	0	\$ 0
486	RUSAL	27,438,001	\$ 93,014,823
488	LAI SUN DEV	287,495	\$ 3,110,696
489	DONGFENG GROUP	64,801,492	\$ 487,307,220
493	GOME RETAIL	1,816,283,881	\$ 1,253,235,878
494	LI & FUNG	332,551,543	\$ 299,296,389
496	KASEN	4,357,000	\$ 15,162,360
497	CSI PROPERTIES	5,005,752	\$ 1,401,611
506	CHINA FOODS	3,910,000	\$ 12,316,500
508	DINGYI GP INV	32,635,000	\$ 6,918,620
511	TVB	8,028,584	\$ 102,284,160
512	CHINAGRANDPHARM	11,464,000	\$ 50,785,520
517	COSCO SHIP INTL	1,052,439	\$ 2,136,451
520	XIABUXIABU	24,258,820	\$ 223,181,144
522	ASM PACIFIC	13,987,985	\$ 1,429,572,067
525	GUANGSHEN RAIL	8,486,968	\$ 20,708,202
526	CHINA AUTO NR	1,614,000	\$ 1,065,240
530	GOLDIN FIN HOLD	15,566,000	\$ 34,712,180

**Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
535	GEMDALE PPT	33,930,000	\$ 30,876,300
538	AJISEN (CHINA)	4,379,120	\$ 10,816,426
546	FUFENG GROUP	42,661,025	\$ 146,327,316
547	DIGITAL DOMAIN	247,929,457	\$ 16,859,203
548	SHENZHENEXPRESS	43,756,933	\$ 456,822,381
551	YUE YUEN IND	17,489,796	\$ 404,888,777
552	CHINACOMSERVICE	50,757,955	\$ 267,494,423
563	SH IND URBAN	2,206,000	\$ 2,139,820
570	TRAD CHI MED	58,443,817	\$ 203,968,921
576	ZHEJIANGEXPRESS	7,803,981	\$ 53,457,270
579	JNCEC	3,712,000	\$ 4,899,840
581	CHINA ORIENTAL	16,318,562	\$ 52,708,955
586	CONCH VENTURE	28,214,199	\$ 859,122,360
588	BEIJING N STAR	3,492,000	\$ 8,450,640
590	LUK FOOK HOLD	7,401,647	\$ 161,355,905
596	INSPUR INT'L	12,686,000	\$ 40,722,060
598	SINOTRANS	37,243,211	\$ 90,873,435
603	CHINA OIL & GAS	7,477,920	\$ 2,019,038
604	SHENZHEN INVEST	29,149,418	\$ 89,780,207
606	CHINA AGRI	38,699,430	\$ 159,054,657
607	FULLSHARE	556,274,894	\$ 97,904,381
622	OSHIDORI	25,197,200	\$ 26,205,088
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	4,233,762	\$ 16,638,685
636	KERRY LOG NET	2,222,969	\$ 26,853,466
639	SHOUGANG RES	11,284,000	\$ 19,182,800
656	FOSUN INTL	77,681,702	\$ 818,765,139
658	C TRANSMISSION	30,539,537	\$ 140,481,870
659	NWS HOLDINGS	14,806,147	\$ 155,464,544
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	167,243,066	\$ 371,279,607
667	CHINA EAST EDU	980,000	\$ 14,190,400
669	TECHTRONIC IND	25,204,777	\$ 1,483,301,126
670	CHINA EAST AIR	88,866,287	\$ 352,799,159
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	6,168,566	\$ 155,756,292
686	PANDA GREEN	44,115,300	\$ 8,999,521
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	38,806,626	\$ 1,018,673,933
691	SHANSHUI CEMENT	1,676,700	\$ 4,359,420

**Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
694	BEIJING AIRPORT	42,076,646	\$ 311,367,180
696	TRAVELSKY TECH	23,327,190	\$ 444,616,241
697	SHOUGANG INT'L	61,150,681	\$ 21,096,985
698	TONGDA GROUP	87,751,226	\$ 50,895,711
699	CAR INC	55,832,332	\$ 320,477,586
700	TENCENT	55,401,252	\$ 18,382,135,414
708	EVERG HEALTH	5,115,000	\$ 38,515,950
709	GIORDANO INT'L	4,591,820	\$ 11,020,368
710	BOE VARITRONIX	1,136,000	\$ 2,476,480
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	18,760,054	\$ 16,133,646
728	CHINA TELECOM	143,436,615	\$ 424,572,380
732	TRULY INT'L	36,084,042	\$ 36,805,723
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	1,153,000	\$ 12,129,560
751	SKYWORTH GROUP	73,722,286	\$ 154,816,801
752	PICO FAR EAST	400,000	\$ 964,000
753	AIR CHINA	92,719,489	\$ 660,162,762
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	143,492,703	\$ 961,401,110
763	ZTE	58,457,128	\$ 1,218,831,119
772	CHINA LIT	40,781,357	\$ 1,225,479,778
777	NETDRAGON	3,245,129	\$ 58,736,835
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	32,367,807	\$ 420,781,491
788	CHINA TOWER	1,554,368,278	\$ 2,486,989,245
798	CEOVU	0	\$ 0
799	IGG	85,114,570	\$ 486,004,195
806	VALUE PARTNERS	47,292,851	\$ 209,034,401
807	SIICENVIRONMENT	2,032,500	\$ 3,089,400
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	352,000	\$ 1,974,720
813	SHIMAO PROPERTY	34,163,786	\$ 968,543,333
816	HUADIAN FUXIN	4,484,110	\$ 6,412,277
817	CHINA JINMAO	182,695,104	\$ 950,014,541
818	HI SUN TECH	630,000	\$ 806,400
819	TIANNENG POWER	109,640,087	\$ 564,646,448
823	LINK REIT	10,800,069	\$ 864,005,520
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	730,000	\$ 3,058,700
836	CHINA RES POWER	20,685,726	\$ 210,580,691

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839	CHINA EDU GROUP	57,826,299	\$ 612,958,769
853	MICROPORT	72,527,546	\$ 535,253,289
855	CHINA WATER	63,539,317	\$ 381,871,295
856	VSTECs	1,997,852	\$ 8,011,387
857	PETROCHINA	183,974,367	\$ 664,147,465
860	WE SOLUTIONS	33,221,535	\$ 15,946,337
861	DC HOLDINGS	6,770,000	\$ 26,335,300
867	CMS	22,101,117	\$ 246,206,443
868	XINYI GLASS	80,068,499	\$ 736,630,191
874	BAIYUNSHAN PH	3,557,303	\$ 83,952,351
877	O-NET TECH GP	5,637,148	\$ 23,901,508
880	SJM HOLDINGS	101,583,046	\$ 810,632,707
881	ZHONGSHENG HLDG	178,905,688	\$ 4,964,632,842
882	TIANJIN DEV	842,000	\$ 1,818,720
883	CNOOC	128,413,289	\$ 1,458,774,963
884	CIFI HOLD GP	117,982,403	\$ 672,499,697
895	DONGJIANG ENV	869,250	\$ 5,563,200
902	HUANENG POWER	87,855,679	\$ 340,880,035
906	COFCO PACKAGING	22,737,024	\$ 77,987,992
914	CONCH CEMENT	53,977,840	\$ 2,701,590,892
916	CHINA LONGYUAN	37,526,510	\$ 159,112,402
921	HISENSE HA	4,374,100	\$ 31,755,966
931	CHINA LNG	2,269,971	\$ 998,787
934	SINOPEC KANTONS	8,181,500	\$ 25,199,020
939	CCB	596,606,186	\$ 3,716,856,539
941	CHINA MOBILE	39,534,779	\$ 2,332,551,961
950	LEE'S PHARM	3,312,320	\$ 13,017,418
951	CHAOWEI POWER	2,104,798	\$ 5,788,195
956	CHINA SUNTIEN	2,946,000	\$ 6,333,900
958	HN RENEWABLES	50,803,618	\$ 151,902,818
960	LONGFOR GROUP	25,210,144	\$ 807,985,115
966	CHINA TAIPING	42,301,771	\$ 765,662,055
968	XINYI SOLAR	143,796,734	\$ 691,662,291
973	L'OCCITANE	336,178	\$ 6,488,235
978	CHI MER LAND	0	\$ 0
981	SMIC	388,593,894	\$ 3,878,167,062
991	DATANG POWER	32,126,028	\$ 46,582,741
992	LENOVO GROUP	499,170,097	\$ 2,580,709,401
995	ANHUIEXPRESSWAY	246,000	\$ 1,107,000
998	CITIC BANK	24,353,305	\$ 104,232,145
999	I.T	622,000	\$ 1,181,800

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1003	HUANXI MEDIA	1,440,000	\$ 2,001,600
1030	SEAZEN	252,915,980	\$ 2,109,319,273
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	19,716,000	\$ 15,378,480
1038	CKI HOLDINGS	6,627,879	\$ 351,277,587
1044	HENGAN INT'L	36,828,414	\$ 1,904,029,004
1052	YUEXIUTRANSPORT	784,000	\$ 5,362,560
1055	CHINA SOUTH AIR	188,600,685	\$ 922,257,350
1060	ALI PICTURES	614,536,525	\$ 798,897,483
1061	ESSEX BIO-TECH	693,909	\$ 4,114,880
1066	WEIGAO GROUP	31,717,844	\$ 299,733,626
1068	YURUN FOOD	18,821,000	\$ 15,997,850
1070	TCL ELECTRONICS	854,953	\$ 2,941,038
1071	HUADIAN POWER	37,592,473	\$ 107,138,548
1072	DONGFANG ELEC	4,667,463	\$ 19,603,345
1076	IMPERIAL PAC	311,990,000	\$ 34,318,900
1083	TOWNGAS CHINA	3,282,209	\$ 18,150,616
1086	GOODBABY INTL	21,319,720	\$ 31,979,580
1088	CHINA SHENHUA	37,418,680	\$ 567,267,189
1089	LEYOU TECH H	21,480,257	\$ 53,700,643
1093	CSPC PHARMA	108,655,536	\$ 1,936,241,652
1098	ROAD KING INFRA	883,836	\$ 12,585,825
1099	SINOPHARM	22,985,516	\$ 594,175,589
1109	CHINA RES LAND	37,928,430	\$ 1,283,877,356
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	15,293,209	\$ 521,498,427
1113	CK ASSET	5,986,964	\$ 311,621,476
1114	BRILLIANCE CHI	288,014,293	\$ 2,327,155,487
1115	TIBET WATER	15,198,000	\$ 6,991,080
1117	CH MODERN D	7,320,802	\$ 8,418,922
1119	IDREAMSKY	1,163,200	\$ 5,315,824
1122	QINGLING MOTORS	578,000	\$ 1,086,640
1128	WYNN MACAU	100,962,829	\$ 1,738,579,915
1131	AGRITRADE RES	81,968,331	\$ 50,820,365
1133	HARBIN ELECTRIC	12,900,212	\$ 25,413,418
1137	HKTV	16,762,581	\$ 50,958,246
1138	COSCO SHIP ENGY	48,023,632	\$ 155,116,331
1140	OP FINANCIAL	5,057,184	\$ 7,332,917
1141	CMBC CAPITAL	235,009,075	\$ 29,611,143
1157	ZOOMLION	130,540,974	\$ 723,196,996
1169	HAIER ELEC	24,650,722	\$ 536,153,204



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1171	YANZHOU COAL	120,796,148	\$ 835,909,344
1176	ZHUGUANG HOLD	3,810,000	\$ 4,076,700
1177	SINO BIOPHARM	324,983,269	\$ 3,282,331,017
1186	CHINA RAIL CONS	53,964,563	\$ 436,033,669
1193	CHINA RES GAS	39,403,462	\$ 1,717,990,943
1196	REALORD GROUP	2,971,000	\$ 14,260,800
1199	COSCO SHIP PORT	22,008,866	\$ 140,196,476
1205	CITIC RESOURCES	0	\$ 0
1208	MMG	159,187,817	\$ 272,211,167
1211	BYD COMPANY	163,967,453	\$ 6,091,390,879
1212	LIFESTYLE INT'L	8,041,828	\$ 68,114,283
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	930,000	\$ 864,900
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	5,877,778	\$ 86,520,892
1234	CHINA LILANG	973,289	\$ 6,151,186
1238	POWERLONG	11,219,737	\$ 51,162,001
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	27,785,731	\$ 1,972,787
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	9,477,119	\$ 40,562,069
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	6,966,000	\$ 61,440,120
1270	LANGHAM-SS	2,842,436	\$ 6,338,632
1282	GLORY SUN FIN	62,812,199	\$ 17,901,477
1286	IMPRO PRECISION	0	\$ 0
1288	ABC	136,815,185	\$ 433,704,136
1293	GRAND BAOXIN	22,532,478	\$ 32,672,093
1299	AIA	78,342,858	\$ 6,142,080,067
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	22,632,000	\$ 30,326,880
1308	SITC	4,137,000	\$ 36,157,380
1310	HKBN	15,377,640	\$ 211,903,879
1313	CHINARES CEMENT	98,467,291	\$ 922,638,517
1316	NEXTEER	52,928,452	\$ 347,210,645
1317	MAPLELEAF EDU	93,398,410	\$ 254,977,659
1330	DYNAGREEN ENV	1,752,382	\$ 5,607,622
1333	CHINA ZHONGWANG	68,680,751	\$ 214,970,751
1336	NCI	35,303,124	\$ 1,064,389,189
1337	RAZER	133,387,052	\$ 168,067,686

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1339	PICC GROUP	104,895,786	\$ 329,372,768
1347	HUA HONG SEMI	80,464,184	\$ 1,116,842,874
1349	FUDANZHANGJIANG	359,000	\$ 1,744,740
1359	CHINA CINDA	187,861,601	\$ 306,214,410
1360	NOVA GROUP	30,705,000	\$ 46,671,600
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	56,124,870	\$ 226,183,226
1375	CC SECURITIES	8,725,000	\$ 13,436,500
1378	CHINAHONGQIAO	50,082,934	\$ 205,840,859
1381	CANVEST ENV	12,519,392	\$ 41,939,963
1382	PACIFICTEXTILES	7,973,644	\$ 46,486,345
1383	SUNCITY GROUP	30,970,000	\$ 54,197,500
1385	SHANGHAI FUDAN	7,976,968	\$ 39,884,840
1387	CHINA DILI	26,532,836	\$ 65,005,448
1398	ICBC	446,909,282	\$ 2,493,753,794
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	20,812,694	\$ 5,619,427
1448	FU SHOU YUAN	7,120,966	\$ 47,924,101
1458	ZHOU HEI YA	29,460,793	\$ 148,777,005
1475	NISSIN FOODS	12,718,000	\$ 80,759,300
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	11,134,929	\$ 129,165,176
1508	CHINA RE	25,922,653	\$ 32,144,090
1513	LIVZON PHARMA	1,943,217	\$ 44,693,991
1515	CR MEDICAL	13,050,456	\$ 56,508,474
1521	FRONTAGE	3,158,000	\$ 13,074,120
1528	RS MACALLINE	2,690,033	\$ 17,350,713
1530	3SBIO	133,279,266	\$ 1,506,055,706
1548	GENSCRIPT BIO	65,722,527	\$ 1,242,155,760
1551	GRCB	0	\$ 0
1558	HEC PHARM	2,631,400	\$ 108,282,110
1565	VIRSCEND EDU	6,409,000	\$ 9,421,230
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	6,118,000	\$ 6,852,160
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	16,744,091	\$ 833,855,732
1585	YADEA	16,390,000	\$ 32,780,000
1589	CNLP	2,976,451	\$ 9,078,176
1600	TIAN LUN GAS	6,043,112	\$ 41,576,611
1606	CDB LEASING	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	57,146,425	\$ 138,294,349
1617	NANFANG COMM	1,968,000	\$ 8,718,240
1618	MCC	4,733,530	\$ 7,715,654
1622	REDCO GROUP	8,982,862	\$ 40,872,022
1628	YUZHOU PPT	38,572,998	\$ 138,862,793
1636	CMRU	8,970,000	\$ 26,910,000
1638	KAISA GROUP	85,333,888	\$ 262,828,375
1658	PSBC	369,960,714	\$ 1,879,400,427
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	6,204,809	\$ 41,882,461
1668	CHINASOUTHCITY	32,292,000	\$ 29,385,720
1672	ASCLETIS-B	7,455,000	\$ 24,825,150
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	17,991,033	\$ 17,811,123
1681	CONSUN PHARMA	2,887,822	\$ 12,648,660
1686	SUNEVISION	3,077,972	\$ 16,159,353
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	15,337,991	\$ 149,085,273
1727	HEBEI CONS	2,942,000	\$ 14,180,440
1728	ZHENGTONGAUTO	128,500,967	\$ 286,557,156
1755	S-ENJOY SERVICE	2,368,000	\$ 26,521,600
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	7,032,000	\$ 9,141,600
1766	CRRC	65,676,527	\$ 338,234,114
1772	GANFENGLITHIUM	3,519,300	\$ 62,150,838
1773	TIANLI EDU	5,385,000	\$ 16,262,700
1776	GF SEC	44,831,522	\$ 375,688,154
1777	FANTASIA	19,659,000	\$ 25,753,290
1778	COLOUR LIFE	17,803,004	\$ 77,443,067
1787	SD GOLD	4,353,967	\$ 70,185,948
1788	GUOTAI JUNAN I	182,988,794	\$ 228,735,993
1789	AK MEDICAL	8,297,862	\$ 73,270,121
1797	KOOLEARN	29,141,718	\$ 532,710,605
1798	DATANG RENEW	7,310,000	\$ 5,628,700
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	115,371,770	\$ 702,614,079
1801	INNOVENT BIO-B	25,974,759	\$ 711,708,397
1806	HUIFU PAYMENT	3,579,316	\$ 11,382,225
1810	XIAOMI-W	1,035,674,250	\$ 9,269,284,538
1811	CGN NEW ENERGY	3,714,000	\$ 4,048,260

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1813	KWG GROUP	61,232,592	\$ 526,600,291
1816	CGN POWER	208,888,831	\$ 409,422,109
1818	ZHAOJIN MINING	82,236,584	\$ 666,116,330
1828	DCH HOLDINGS	979,000	\$ 3,514,610
1829	CMEC	4,118,706	\$ 12,809,176
1833	PA GOODDOCTOR	38,947,549	\$ 2,029,167,303
1836	STELLA HOLDINGS	2,779,440	\$ 35,854,776
1837	NATURAL FOOD IH	0	\$ 0
1848	CALC	1,476,000	\$ 12,014,640
1857	CEB WATER	0	\$ 0
1860	MOBVISTA	308,601	\$ 1,141,824
1862	JINGRUI HLDGS	0	\$ 0
1873	VIVA BIOTECH	1,948,500	\$ 8,359,065
1876	BUD APAC	79,669,513	\$ 2,222,779,413
1877	JUNSHI BIO-B	1,533,300	\$ 39,329,145
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	14,030,349	\$ 245,531,108
1883	CITIC TELECOM	11,201,218	\$ 31,811,459
1888	KB LAMINATES	27,189,196	\$ 240,080,601
1890	CHINA KEPEI	0	\$ 0
1896	MAOYAN ENT	1,604,600	\$ 18,099,888
1898	CHINA COAL	14,139,544	\$ 43,832,586
1899	XINGDA INT'L	1,394,746	\$ 2,928,967
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	2,774,580	\$ 22,446,352
1910	SAMSONITE	49,055,676	\$ 853,568,762
1911	CR HOLDINGS	250,072	\$ 3,711,068
1913	PRADA	32,120,754	\$ 913,835,451
1916	JIANGXI BANK	293,500	\$ 1,335,425
1918	SUNAC	73,408,192	\$ 2,800,522,525
1919	COSCO SHIP HOLD	75,199,525	\$ 217,326,627
1928	SANDS CHINA LTD	62,000,782	\$ 2,294,028,934
1929	CHOW TAI FOOK	13,768,597	\$ 101,199,188
1951	JXR	12,492,000	\$ 148,654,800
1958	BAIC MOTOR	30,279,399	\$ 132,926,562
1963	BCQ	939,309	\$ 4,292,642
1966	CHINA SCE GROUP	10,794,000	\$ 40,261,620
1970	IMAX CHINA	1,410,706	\$ 23,615,218
1972	SWIREPROPERTIES	25,505,782	\$ 621,065,792
1988	MINSHENG BANK	368,331,798	\$ 2,007,408,299
1992	FOSUN TOURISM	408,200	\$ 4,188,132

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1995	EVERSUNSHINE LS	3,576,000	\$ 16,592,640
1996	RSUN PPT	0	\$ 0
1997	WHARF REIC	40,125,352	\$ 1,743,446,544
1999	MAN WAH HLDGS	44,815,335	\$ 253,206,643
2001	NEW HIGHER EDU	23,193,948	\$ 60,768,144
2003	VCREDIT	395,600	\$ 2,373,600
2005	SSY GROUP	57,586,845	\$ 354,734,965
2006	JINJIANGCAPITAL	7,192,000	\$ 10,068,800
2007	COUNTRY GARDEN	442,304,841	\$ 4,821,122,767
2009	BBMG	118,532,012	\$ 256,029,146
2013	WEIMOB INC	50,776,308	\$ 164,007,475
2016	CZBANK	0	\$ 0
2018	AAC TECH	96,748,394	\$ 5,311,486,831
2019	DEXIN CHINA	1,137,000	\$ 3,524,700
2020	ANTA SPORTS	7,828,177	\$ 576,153,827
2038	FIH	215,952,108	\$ 282,897,261
2039	CIMC	9,010,179	\$ 60,908,810
2048	E-HOUSE ENT	3,871,930	\$ 30,007,458
2051	51 CREDIT CARD	7,578,500	\$ 13,413,945
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 6,792,500
2099	CHINAGOLDINTL	0	\$ 0
2128	CHINA LESSO	26,336,437	\$ 215,958,783
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	232,960,887	\$ 1,339,525,100
2196	FOSUN PHARMA	49,107,621	\$ 1,080,367,662
2199	REGINA MIRACLE	8,167,000	\$ 41,243,350
2202	CHINA VANKE	51,944,862	\$ 1,521,984,457
2208	GOLDWIND	23,427,938	\$ 204,057,340
2222	NVC LIGHTING	12,712,000	\$ 2,529,688
2232	CRYSTAL INTL	6,013,056	\$ 18,760,735
2233	WESTCHINACEMENT	11,830,250	\$ 14,669,510
2238	GAC GROUP	473,507,439	\$ 3,958,522,190
2255	HAICHANG HLDG	8,772,000	\$ 8,859,720
2269	WUXI BIO	19,838,758	\$ 1,754,738,145
2280	HC GROUP	37,426,666	\$ 95,437,998
2282	MGM CHINA	92,089,548	\$ 1,071,922,339
2298	COSMO LADY	2,518,000	\$ 2,643,900
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	656,000	\$ 2,492,800
2313	SHENZHOU INTL	10,532,039	\$ 1,086,906,425

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2314	LEE & MAN PAPER	39,377,946	\$ 208,703,114
2318	PING AN	1,126,966,329	\$ 99,961,913,382
2319	MENGNU DAIRY	72,400,104	\$ 2,168,383,115
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	75,957,977	\$ 688,179,272
2329	GUORUI PPT	8,092,296	\$ 12,704,905
2331	LI NING	26,003,440	\$ 652,686,344
2333	GREATWALL MOTOR	446,087,487	\$ 2,698,829,296
2338	WEICHAI POWER	111,777,562	\$ 1,495,583,780
2342	COMBA	64,707,041	\$ 117,766,815
2343	PACIFIC BASIN	267,506,833	\$ 436,036,138
2356	DAHSHING BANKING	847,082	\$ 8,445,408
2357	AVICHINA	63,788,865	\$ 227,726,248
2359	WUXI APPTec	7,894,457	\$ 717,211,418
2362	JINCHUAN INTL	37,055,000	\$ 22,233,000
2378	PRU	0	\$ 0
2380	CHINA POWER	53,253,567	\$ 86,270,779
2382	SUNNY OPTICAL	28,199,417	\$ 3,617,985,201
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	12,702,817	\$ 58,305,930
2388	BOC HONG KONG	46,895,259	\$ 1,247,413,889
2389	BJ ENT M&H	4,620,580	\$ 933,357
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	0	\$ 0
2588	BOC AVIATION	11,529,927	\$ 852,638,102
2600	CHALCO	141,636,022	\$ 339,926,453
2601	CPIC	142,566,687	\$ 3,949,097,230
2607	SH PHARMA	9,789,845	\$ 137,449,424
2608	SUNSHINE 100	2,282,000	\$ 3,194,800
2611	GTJA	8,179,515	\$ 97,336,229
2616	CSTONE PHARMA-B	4,821,663	\$ 54,195,492
2628	CHINA LIFE	75,228,895	\$ 1,488,027,543
2638	HKELECTRIC-SS	105,476,330	\$ 809,003,451
2666	UNI MEDICAL	31,014,531	\$ 169,029,194
2669	CHINA OVS PPT	39,971,821	\$ 192,664,177
2678	TEXHONG TEXTILE	2,797,154	\$ 22,740,862
2688	ENN ENERGY	15,264,166	\$ 1,297,454,110
2689	ND PAPER	135,453,533	\$ 1,079,564,658
2727	SH ELECTRIC	38,722,829	\$ 93,322,018
2768	JIAYUAN INTL	22,894,611	\$ 68,454,887
2777	R&F PROPERTIES	59,785,379	\$ 786,775,588

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	235,673,987	\$ 268,668,345
2800	TRACKER FUND	22,547,100	\$ 597,498,150
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	44,012,020	\$ 648,737,175
2823	ISHARES A50	102,115,864	\$ 1,501,103,201
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,414,950	\$ 356,179,285
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	40,500	\$ 43,578,000
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	27,045,559	\$ 48,682,006
2866	COSCO SHIP DEV	50,769,962	\$ 44,677,567
2868	BJ CAPITAL LAND	27,110,000	\$ 71,841,500
2869	GREENTOWN SER	55,263,256	\$ 473,606,104
2877	SHINEWAY PHARM	3,960,000	\$ 31,798,800
2880	DALIAN PORT	1,196,000	\$ 1,148,160
2883	CHINA OILFIELD	49,180,094	\$ 518,358,191
2888	STANCHART	4,911,571	\$ 342,336,499
2899	ZIJIN MINING	56,161,673	\$ 164,553,702

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	51,027,036	\$ 2,222,227,418
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3301	RONSHINECHINA	6,633,000	\$ 60,360,300
3306	JNBY	1,294,500	\$ 14,110,050
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	6,312,000	\$ 30,928,800
3311	CHINA STATE CON	76,536,915	\$ 472,232,766
3313	ARTGO HOLDINGS	7,628,400	\$ 3,127,644
3319	A-LIVING	11,590,768	\$ 292,666,892
3320	CHINARES PHARMA	14,012,337	\$ 95,844,385
3323	CNBM	93,957,330	\$ 710,317,415
3328	BANKCOMM	49,299,068	\$ 253,397,210
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	230,988,692	\$ 4,314,868,767
3336	JU TENG INTL	2,396,000	\$ 4,768,040
3337	ANTON OILFIELD	94,221,937	\$ 70,666,453
3339	LONKING	61,233,891	\$ 136,551,577
3360	FE HORIZON	14,867,351	\$ 105,706,866
3377	SINO-OCEAN GP	78,153,222	\$ 226,644,344
3380	LOGAN PPT	30,847,704	\$ 353,514,688
3382	TIANJINPORT DEV	2,036,000	\$ 1,527,000
3383	AGILE GROUP	70,093,284	\$ 768,222,393
3393	WASION HOLDINGS	2,112,000	\$ 7,814,400
3396	LEGENDHOLDING	7,231,289	\$ 116,423,753
3606	FUYAO GLASS	16,086,644	\$ 371,601,476
3613	TONGRENTANGCM	4,282,580	\$ 43,511,013
3618	CQRC BANK	42,857,782	\$ 166,716,772
3633	ZHONGYU GAS	5,188,698	\$ 35,905,790
3662	AOYUAN HEALTHY	1,660,118	\$ 9,628,684
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	9,183,339	\$ 51,885,865
3690	MEITUAN-W	66,368,874	\$ 6,849,267,797
3692	HANSOH PHARMA	8,231,732	\$ 190,153,009
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	45,376,585	\$ 241,403,432
3800	GCL-POLY ENERGY	1,068,538,956	\$ 244,695,421
3808	SINOTRUK	70,099,011	\$ 926,708,925
3813	POU SHENG INT'L	6,449,967	\$ 16,898,914
3818	CHINA DONGXIANG	9,929,902	\$ 8,738,314
3836	HARMONY AUTO	16,065,729	\$ 50,607,046
3866	BQD	0	\$ 0
3868	XINYI ENERGY	2,066,000	\$ 3,987,380
3877	CSSC SHIPPING	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3883	CHINA AOYUAN	36,696,988	\$ 411,006,266
3888	KINGSOFT	166,707,602	\$ 2,887,375,667
3898	CRRC TIMES ELEC	8,656,499	\$ 231,561,348
3899	CIMC ENRIC	7,702,000	\$ 33,041,580
3900	GREENTOWN CHINA	42,467,884	\$ 342,291,145
3908	CICC	134,167,500	\$ 1,808,577,900
3918	NAGACORP	6,338,000	\$ 87,464,400
3933	UNITED LAB	105,849,861	\$ 508,079,333
3958	DFZQ	6,973,600	\$ 30,753,576
3968	CM BANK	106,705,419	\$ 3,948,100,503
3969	CHINA CRSC	51,256,759	\$ 215,278,388
3983	CHINA BLUECHEM	13,322,074	\$ 24,912,278
3988	BANK OF CHINA	140,974,468	\$ 442,659,830
3990	MIDEA REAL EST	0	\$ 0
3993	CMOC	473,691,637	\$ 1,269,493,587
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	112,385,853	\$ 420,323,090
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	224,654,651	\$ 3,266,478,626
6055	CTIHK	7,665,000	\$ 133,830,900
6060	ZA ONLINE	56,368,687	\$ 1,524,772,983
6066	CSC	11,994,500	\$ 64,170,575
6068	WISDOM EDU INTL	5,312,836	\$ 18,329,284
6069	SHENGYE CAPITAL	2,648,000	\$ 18,827,280
6088	FIT HON TENG	11,636,000	\$ 28,508,200
6098	CG SERVICES	65,144,947	\$ 1,648,167,159
6099	CMSC	1,275,083	\$ 11,118,724
6100	TALENT LIEPIN	2,122,600	\$ 38,801,128
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	26,885,650	\$ 135,772,533
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0
6169	YUHUA EDU	307,802,019	\$ 1,480,527,711
6178	EB SECURITIES	3,100,200	\$ 17,919,156

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6185	CANSINO BIO-B	429,936	\$ 22,571,640
6196	BANK OF ZHENGZHOU	350,000	\$ 920,500
6198	QINGDAO PORT	3,611,764	\$ 16,614,114
6806	SWHY	0	\$ 0
6808	SUNART RETAIL	54,438,075	\$ 482,321,345
6818	CEB BANK	27,389,129	\$ 92,575,256
6823	HKT-SS	19,919,689	\$ 228,678,030
6826	HAOHAI BIOTEC	530,323	\$ 24,606,987
6837	HAITONG SEC	76,503,243	\$ 598,255,360
6862	HAIDILAO	44,494,842	\$ 1,463,880,302
6865	FLAT GLASS	4,583,000	\$ 18,836,130
6868	TENFU	0	\$ 0
6869	YOFC	25,091,984	\$ 314,151,640
6881	CGS	131,429,788	\$ 523,090,556
6886	HTSC	111,992,827	\$ 1,314,795,789
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	7,548,600	\$ 67,333,512
7205	FL2 SAMSUNG HSI	0	\$ 0
7221	FL2 CAMDXN HSI	0	\$ 0
7228	FL2 SAMSUNG HSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2 EFUND YT HSI	0	\$ 0
7261	FL2 CAMDXNNDQ100	0	\$ 0
7267	FL2 CAMDXN HSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNG HSI	0	\$ 0
7321	FICAMDXN HSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNG HSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXN HSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2 CAMDXNNDQ100	0	\$ 0
7568	FI2 CSOP NASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8083	CHINA YOUZAN	257,936,000	\$ 122,519,600
8137	HONBRIDGE	17,300,624	\$ 8,650,312
8227	HAITIAN ANTENNA	0	\$ 0
8283	ZHENG LI HLDG	2,175,000	\$ 1,479,000
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICCUSD-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIAEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
9988	BABA-SW	7,335,894	\$ 1,455,441,370
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	342,200	\$ 4,982,432
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 29/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0