

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	11,729,082	\$ 870,884,339
2	CLP HOLDINGS	15,838,227	\$ 1,307,445,639
3	HK & CHINA GAS	257,711,453	\$ 3,901,751,398
4	WHARF HOLDINGS	32,979,330	\$ 633,862,723
5	HSBC HOLDINGS	94,794,147	\$ 5,720,826,771
6	POWER ASSETS	32,636,082	\$ 1,832,516,004
8	PCCW	72,349,232	\$ 333,529,960
10	HANG LUNG GROUP	3,396,922	\$ 66,511,733
11	HANG SENG BANK	21,731,099	\$ 3,542,169,137
12	HENDERSON LAND	23,027,435	\$ 891,161,735
14	HYSAN DEV	2,776,558	\$ 85,795,642
16	SHK PPT	8,854,648	\$ 1,047,504,858
17	NEW WORLD DEV	53,991,278	\$ 573,387,372
19	SWIRE PACIFIC A	16,591,576	\$ 1,216,992,100
20	WHEELLOCK	6,091,376	\$ 310,964,745
23	BANK OF E ASIA	38,984,340	\$ 704,836,867
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	63,220,282	\$ 3,663,615,342
28	TIAN AN	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	506,316	\$ 2,025,264
41	GREAT EAGLE H	339,147	\$ 9,224,798
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	4,130,722	\$ 36,556,890
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,158,368	\$ 23,283,197
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	39,475,726	\$ 1,831,673,686
69	SHANGRI-LA ASIA	31,800,379	\$ 267,123,184
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	619,007	\$ 2,575,069
81	CH OVS G OCEANS	1,873,000	\$ 8,934,210
83	SINO LAND	33,126,589	\$ 382,280,837
86	SUN HUNG KAI CO	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	10,548,000	\$ 27,319,320
101	HANG LUNG PPT	52,219,467	\$ 871,020,710
102	SUMMIT ASCENT	2,330,364	\$ 2,446,882
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA PPT	0	\$ 0
107	SICHUAN EXPRESS	946,000	\$ 2,232,560
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	1,450,788	\$ 13,318,234
119	POLY PROPERTY	4,165,839	\$ 12,247,567
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	11,122,290	\$ 19,130,339
127	CHINESE EST H	418,000	\$ 2,583,240
135	KUNLUN ENERGY	24,982,582	\$ 171,380,513
136	HENGTEN NET	1,265,389,034	\$ 144,254,350
142	FIRST PACIFIC	18,846,327	\$ 50,885,083
144	CHINA MER PORT	25,218,777	\$ 315,739,088
148	KINGBOARD HLDG	7,157,934	\$ 167,495,656
151	WANT WANT CHINA	405,642,108	\$ 2,876,002,546
152	SHENZHEN INT'L	5,095,403	\$ 88,150,472
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	1,402,000	\$ 10,837,460
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	35,779,727	\$ 474,439,180
168	TSINGTAO BREW	14,536,660	\$ 764,628,316
171	SILVER GRANT	8,942,000	\$ 10,372,720
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	291,641,362	\$ 4,491,276,975
177	JIANGSU EXPRESS	3,771,047	\$ 41,632,359
178	SA SA INT'L	130,345,346	\$ 229,407,809
179	JOHNSON ELEC H	896,594	\$ 15,941,441
182	CONCORD NE	9,080,000	\$ 3,314,200
189	DONGYUE GROUP	33,614,832	\$ 135,131,625
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	9,375,000	\$ 3,937,500
200	MELCO INT'L DEV	18,944,375	\$ 384,570,813
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	35,745,126	\$ 56,119,848
220	U-PRESID CHINA	18,430,871	\$ 144,498,029
225	POKFULAM	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
230	MINMETALS LAND	1,206,000	\$ 1,555,740
241	ALI HEALTH	177,532,579	\$ 1,540,982,786
242	SHUN TAK HOLD	16,855,427	\$ 60,342,429
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	9,272,000	\$ 15,113,360
257	CHINA EB INT'L	103,611,101	\$ 642,388,826
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	47,340,258	\$ 487,604,657
268	KINGDEE INT'L	298,651,509	\$ 2,439,982,829
270	GUANGDONG INV	7,941,223	\$ 132,300,775
272	SHUI ON LAND	13,818,668	\$ 24,044,482
273	MASON GP HOLD	19,360,000	\$ 1,103,520
285	BYD ELECTRONIC	76,994,902	\$ 1,191,881,083
286	AIDIGONG	6,802,000	\$ 3,673,080
288	WH GROUP	162,538,281	\$ 1,324,686,990
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	31,091,597	\$ 1,301,183,334
293	CATHAY PAC AIR	51,593,149	\$ 561,333,461
297	SINOFERT	28,274,552	\$ 22,054,151
303	VTECH HOLDINGS	1,013,473	\$ 76,365,191
308	CHINA TRAVEL HK	26,116,764	\$ 32,384,787
315	SMARTONE TELE	2,451,646	\$ 14,636,327
316	OOIL	0	\$ 0
317	COMEC	4,844,526	\$ 28,243,587
321	TEXWINCA HOLD	4,360,000	\$ 8,502,000
322	TINGYI	57,317,526	\$ 715,322,724
323	MAANSHAN IRON	99,904,741	\$ 306,707,555
327	PAX GLOBAL	20,790,468	\$ 68,400,640
330	ESPRIT HOLDINGS	41,053,166	\$ 64,864,002
336	HUABAO INTL	2,929,185	\$ 8,143,134
337	GREENLAND HK	4,083,780	\$ 12,741,394
338	SHANGHAI PEICHEM	92,634,175	\$ 210,279,577
341	CAFE DE CORAL H	5,224,298	\$ 99,888,578
342	NEWOCEAN ENERGY	70,330,900	\$ 78,770,608
345	VITASOY INT'L	69,612,783	\$ 2,050,096,459
347	ANGANG STEEL	94,852,194	\$ 286,453,626
354	CHINASOFT INT'L	320,186,217	\$ 1,440,837,977
358	JIANGXI COPPER	18,345,716	\$ 184,190,989

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
363	SHANGHAI IND H	2,875,000	\$ 41,745,000
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	195,746,248	\$ 775,155,142
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	120,301,066	\$ 3,669,182,513
386	SINOPEC CORP	299,953,531	\$ 1,358,789,495
388	HKEX	16,514,767	\$ 4,217,871,492
390	CHINA RAILWAY	58,153,004	\$ 275,645,239
392	BEIJING ENT	1,699,145	\$ 59,639,990
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	18,317,314	\$ 50,006,267
412	CHINA SDHS FIN	39,036,000	\$ 8,978,280
425	MINTH GROUP	43,172,045	\$ 1,223,927,476
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	909,894	\$ 27,433,304
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	73,858,373	\$ 12,629,782
460	SIHUAN PHARM	84,091,773	\$ 73,159,843
467	UNITEDENERGY GP	7,800,000	\$ 11,934,000
468	GAPACK	625,839	\$ 2,253,020
480	HKR INT'L	0	\$ 0
486	RUSAL	30,232,000	\$ 108,835,200
488	LAI SUN DEV	122,395	\$ 1,299,835
489	DONGFENG GROUP	62,887,817	\$ 502,473,658
493	GOME RETAIL	1,800,284,881	\$ 1,296,205,114
494	LI & FUNG	322,714,373	\$ 280,761,505
496	KASEN	4,222,000	\$ 15,705,840
497	CSI PROPERTIES	3,000,000	\$ 810,000
506	CHINA FOODS	3,946,000	\$ 11,838,000
508	DINGYI GP INV	29,310,000	\$ 6,653,370
511	TVB	7,881,334	\$ 94,576,008
512	CHINAGRANDPHARM	11,540,000	\$ 48,468,000
517	COSCO SHIP INTL	1,006,439	\$ 2,022,942
520	XIABUXIABU	22,571,820	\$ 199,986,325
522	ASM PACIFIC	13,033,481	\$ 1,442,806,347
525	GUANGSHEN RAIL	10,989,345	\$ 27,253,576
526	CHINA AUTO NR	1,614,000	\$ 1,178,220
530	GOLDIN FIN HOLD	14,408,000	\$ 27,087,040

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
535	GEMDALE PPT	33,306,000	\$ 31,973,760
538	AJISEN (CHINA)	2,971,120	\$ 7,160,399
546	FUFENG GROUP	42,017,025	\$ 147,479,758
547	DIGITAL DOMAIN	248,429,457	\$ 17,141,633
548	SHENZHENEXPRESS	40,957,596	\$ 460,363,379
551	YUE YUEN IND	18,601,407	\$ 422,251,939
552	CHINACOMSERVICE	43,945,809	\$ 235,110,078
563	SH IND URBAN	2,206,000	\$ 2,161,880
570	TRAD CHI MED	56,287,102	\$ 195,879,115
576	ZHEJIANGEXPRESS	5,580,465	\$ 41,630,269
579	JNCEC	3,672,000	\$ 4,883,760
581	CHINA ORIENTAL	13,030,562	\$ 39,221,992
586	CONCH VENTURE	28,641,149	\$ 916,516,768
588	BEIJING N STAR	1,956,000	\$ 4,811,760
590	LUK FOOK HOLD	7,177,647	\$ 146,423,999
596	INSPUR INT'L	12,250,000	\$ 39,445,000
598	SINOTRANS	37,509,241	\$ 97,148,934
603	CHINA OIL & GAS	5,837,920	\$ 1,663,807
604	SHENZHEN INVEST	33,201,299	\$ 105,580,131
606	CHINA AGRI	12,003,430	\$ 49,694,200
607	FULLSHARE	510,919,894	\$ 90,943,741
622	OSHIDORI	24,285,200	\$ 24,285,200
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	4,593,762	\$ 19,201,925
636	KERRY LOG NET	2,546,000	\$ 31,672,240
639	SHOUGANG RES	11,280,000	\$ 18,724,800
656	FOSUN INTL	72,074,119	\$ 781,283,450
658	C TRANSMISSION	28,632,490	\$ 141,730,826
659	NWS HOLDINGS	16,130,076	\$ 174,850,024
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	160,518,354	\$ 372,402,581
667	CHINA EAST EDU	1,414,500	\$ 21,896,460
669	TECHTRONIC IND	25,414,844	\$ 1,612,571,852
670	CHINA EAST AIR	86,482,287	\$ 364,955,251
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	5,405,397	\$ 139,188,973
686	PANDA GREEN	43,115,300	\$ 7,717,639
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	55,767,662	\$ 1,628,415,730
691	SHANSHUI CEMENT	1,676,700	\$ 4,275,585

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
694	BEIJING AIRPORT	36,484,646	\$ 272,905,152
696	TRAVELSKY TECH	24,511,190	\$ 466,202,834
697	SHOUGANG INT'L	63,572,686	\$ 22,886,167
698	TONGDA GROUP	80,641,226	\$ 52,416,797
699	CAR INC	53,688,332	\$ 308,171,026
700	TENCENT	62,094,035	\$ 22,415,946,635
708	EVERG HEALTH	5,115,000	\$ 40,817,700
709	GIORDANO INT'L	4,661,820	\$ 11,188,368
710	BOE VARITRONIX	1,073,812	\$ 2,469,768
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	16,332,054	\$ 15,025,490
728	CHINA TELECOM	155,978,534	\$ 481,973,670
732	TRULY INT'L	37,631,281	\$ 39,512,845
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	1,524,000	\$ 16,245,840
751	SKYWORTH GROUP	65,276,605	\$ 138,386,403
752	PICO FAR EAST	400,000	\$ 988,000
753	AIR CHINA	94,410,551	\$ 734,514,087
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	159,104,967	\$ 1,116,916,868
763	ZTE	61,659,988	\$ 1,412,013,725
772	CHINA LIT	42,297,826	\$ 1,330,266,628
777	NETDRAGON	2,667,203	\$ 51,210,298
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	26,254,842	\$ 309,807,136
788	CHINA TOWER	1,671,189,978	\$ 2,790,887,263
798	CEOVU	0	\$ 0
799	IGG	91,765,718	\$ 523,982,250
806	VALUE PARTNERS	46,260,853	\$ 221,589,486
807	SIICENVIRONMENT	2,031,000	\$ 2,985,570
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	356,000	\$ 2,025,640
813	SHIMAO PROPERTY	30,799,030	\$ 894,711,822
816	HUADIAN FUXIN	4,198,110	\$ 6,549,052
817	CHINA JINMAO	169,510,085	\$ 969,597,686
818	HI SUN TECH	630,000	\$ 806,400
819	TIANNENG POWER	110,662,687	\$ 593,152,002
823	LINK REIT	10,827,127	\$ 871,583,724
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	624,000	\$ 2,658,240
836	CHINA RES POWER	17,197,888	\$ 182,297,613

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839	CHINA EDU GROUP	55,291,541	\$ 604,889,459
853	MICROPORT	65,654,831	\$ 512,107,682
855	CHINA WATER	66,760,597	\$ 393,887,522
856	VSTECs	2,959,376	\$ 11,778,316
857	PETROCHINA	210,094,168	\$ 804,660,663
860	WE SOLUTIONS	31,869,535	\$ 14,341,291
861	DC HOLDINGS	9,086,000	\$ 36,162,280
867	CMS	21,810,497	\$ 247,331,036
868	XINYI GLASS	83,549,207	\$ 792,881,974
874	BAIYUNSHAN PH	3,467,303	\$ 83,388,637
877	O-NET TECH GP	5,680,730	\$ 24,313,524
880	SJM HOLDINGS	91,778,029	\$ 809,482,216
881	ZHONGSHENG HLDG	180,609,963	\$ 5,635,030,846
882	TIANJIN DEV	842,000	\$ 1,886,080
883	CNOOC	123,261,622	\$ 1,452,021,907
884	CIFI HOLD GP	120,599,734	\$ 759,778,324
895	DONGJIANG ENV	902,050	\$ 5,322,095
902	HUANENG POWER	94,294,610	\$ 374,349,602
906	COFCO PACKAGING	21,746,024	\$ 71,761,879
914	CONCH CEMENT	56,267,840	\$ 2,999,075,872
916	CHINA LONGYUAN	33,289,445	\$ 155,461,708
921	HISENSE HA	4,015,000	\$ 31,598,050
931	CHINA LNG	8,379,971	\$ 4,189,986
934	SINOPEC KANTONS	10,397,500	\$ 34,415,725
939	CCB	574,080,539	\$ 3,737,264,309
941	CHINA MOBILE	44,298,917	\$ 2,728,813,287
950	LEE'S PHARM	2,865,320	\$ 10,974,176
951	CHAOWEI POWER	2,022,798	\$ 5,421,099
956	CHINA SUNTIEN	2,692,000	\$ 5,868,560
958	HN RENEWABLES	44,481,618	\$ 134,779,303
960	LONGFOR GROUP	23,866,015	\$ 811,444,510
966	CHINA TAIPING	39,538,284	\$ 771,787,304
968	XINYI SOLAR	132,977,126	\$ 718,076,480
973	L'OCCITANE	794,428	\$ 14,744,584
978	CHI MER LAND	0	\$ 0
981	SMIC	371,986,426	\$ 4,173,687,700
991	DATANG POWER	26,566,101	\$ 40,114,813
992	LENOVO GROUP	475,660,500	\$ 2,478,191,205
995	ANHUIEXPRESSWAY	172,000	\$ 791,200
998	CITIC BANK	25,166,996	\$ 115,768,182
999	I.T	822,000	\$ 1,463,160

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1003	HUANXI MEDIA	1,360,000	\$ 1,904,000
1030	SEAZEN	259,666,056	\$ 2,347,381,146
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	16,954,000	\$ 13,393,660
1038	CKI HOLDINGS	6,022,175	\$ 336,338,474
1044	HENGAN INT'L	31,941,414	\$ 1,729,627,568
1052	YUEXIUTRANSPORT	696,000	\$ 4,837,200
1055	CHINA SOUTH AIR	183,717,580	\$ 962,680,119
1060	ALI PICTURES	635,366,525	\$ 876,805,805
1061	ESSEX BIO-TECH	565,909	\$ 3,338,863
1066	WEIGAO GROUP	28,451,284	\$ 256,061,556
1068	YURUN FOOD	18,213,000	\$ 14,934,660
1070	TCL ELECTRONICS	855,953	\$ 2,978,716
1071	HUADIAN POWER	32,294,473	\$ 93,008,082
1072	DONGFANG ELEC	3,847,863	\$ 16,699,725
1076	IMPERIAL PAC	313,230,000	\$ 37,900,830
1083	TOWNGAS CHINA	3,978,074	\$ 22,476,118
1086	GOODBABY INTL	22,062,720	\$ 36,182,861
1088	CHINA SHENHUA	39,095,180	\$ 605,975,290
1089	LEYOU TECH H	23,150,257	\$ 57,412,637
1093	CSPC PHARMA	96,587,172	\$ 1,717,319,918
1098	ROAD KING INFRA	612,836	\$ 9,119,000
1099	SINOPHARM	22,979,860	\$ 618,158,234
1109	CHINA RES LAND	40,399,876	\$ 1,521,055,331
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	14,645,603	\$ 470,123,856
1113	CK ASSET	5,014,767	\$ 272,301,848
1114	BRILLIANCE CHI	269,391,107	\$ 2,297,906,143
1115	TIBET WATER	13,553,000	\$ 6,031,085
1117	CH MODERN D	6,299,802	\$ 7,181,774
1119	IDREAMSKY	492,000	\$ 2,100,840
1122	QINGLING MOTORS	578,000	\$ 1,092,420
1128	WYNN MACAU	98,371,462	\$ 1,796,262,896
1131	AGRITRADE RES	90,308,331	\$ 50,572,665
1133	HARBIN ELECTRIC	12,736,213	\$ 25,599,788
1137	HKTV	16,903,581	\$ 53,246,280
1138	COSCO SHIP ENGY	46,881,987	\$ 158,929,936
1140	OP FINANCIAL	4,025,184	\$ 5,675,509
1141	CMBC CAPITAL	237,159,075	\$ 35,336,702
1157	ZOOMLION	132,528,859	\$ 793,847,865
1169	HAIER ELEC	23,552,422	\$ 514,620,421



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1171	YANZHOU COAL	113,411,623	\$ 795,015,477
1176	ZHUGUANG HOLD	3,646,000	\$ 3,828,300
1177	SINO BIOPHARM	368,509,554	\$ 3,810,388,788
1186	CHINA RAIL CONS	56,102,354	\$ 469,015,679
1193	CHINA RES GAS	39,024,282	\$ 1,681,946,554
1196	REALORD GROUP	2,795,000	\$ 12,940,850
1199	COSCO SHIP PORT	19,122,155	\$ 122,190,570
1205	CITIC RESOURCES	0	\$ 0
1208	MMG	147,975,317	\$ 318,146,932
1211	BYD COMPANY	162,094,276	\$ 6,200,106,057
1212	LIFESTYLE INT'L	7,119,885	\$ 60,590,221
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	930,000	\$ 883,500
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	6,201,328	\$ 92,399,787
1234	CHINA LILANG	1,050,289	\$ 6,522,295
1238	POWERLONG	10,210,937	\$ 49,420,935
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	27,045,731	\$ 1,514,561
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	9,551,000	\$ 42,024,400
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	6,964,000	\$ 68,247,200
1270	LANGHAM-SS	2,755,436	\$ 6,199,731
1282	GLORY SUN FIN	64,336,199	\$ 17,692,455
1286	IMPRO PRECISION	0	\$ 0
1288	ABC	130,508,298	\$ 429,372,300
1293	GRAND BAOXIN	17,587,593	\$ 27,436,645
1299	AIA	78,365,652	\$ 6,476,921,138
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	22,494,000	\$ 29,467,140
1308	SITC	4,819,294	\$ 46,072,451
1310	HKBN	16,461,142	\$ 222,225,417
1313	CHINARES CEMENT	86,975,447	\$ 826,266,747
1316	NEXTEER	50,591,932	\$ 361,732,314
1317	MAPLELEAF EDU	86,440,410	\$ 236,846,723
1330	DYNAGREEN ENV	2,547,382	\$ 8,151,622
1333	CHINA ZHONGWANG	68,369,951	\$ 211,263,149
1336	NCI	35,050,412	\$ 1,177,693,843
1337	RAZER	133,713,052	\$ 163,129,923

**Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1339	PICC GROUP	98,622,115	\$ 323,480,537
1347	HUA HONG SEMI	73,993,184	\$ 1,373,313,495
1349	FUDANZHANGJIANG	322,000	\$ 1,516,620
1359	CHINA CINDA	179,479,811	\$ 294,346,890
1360	NOVA GROUP	31,125,000	\$ 45,753,750
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	51,928,270	\$ 212,386,624
1375	CC SECURITIES	8,186,000	\$ 13,261,320
1378	CHINAHONGQIAO	48,662,968	\$ 217,523,467
1381	CANVEST ENV	12,582,392	\$ 42,276,837
1382	PACIFICTEXTILES	9,599,044	\$ 51,834,838
1383	SUNCITY GROUP	31,220,000	\$ 54,635,000
1385	SHANGHAI FUDAN	9,298,968	\$ 60,629,271
1387	CHINA DILI	26,498,836	\$ 62,802,241
1398	ICBC	442,513,588	\$ 2,566,578,810
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	20,812,694	\$ 5,203,174
1448	FU SHOU YUAN	5,282,260	\$ 35,549,610
1458	ZHOU HEI YA	28,253,293	\$ 150,590,052
1475	NISSIN FOODS	12,370,000	\$ 75,704,400
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	8,841,929	\$ 123,256,490
1508	CHINA RE	24,091,653	\$ 30,596,399
1513	LIVZON PHARMA	1,345,072	\$ 29,120,809
1515	CR MEDICAL	11,792,715	\$ 52,359,655
1521	FRONTAGE	1,058,000	\$ 4,665,780
1528	RS MACALLINE	2,778,233	\$ 17,697,344
1530	3SBIO	137,169,122	\$ 1,459,479,458
1548	GENSCRIPT BIO	67,450,238	\$ 1,257,272,436
1551	GRCB	0	\$ 0
1558	HEC PHARM	2,692,600	\$ 101,914,910
1565	VIRSCEND EDU	4,681,000	\$ 7,161,930
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	3,172,000	\$ 3,394,040
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	21,377,672	\$ 971,615,192
1585	YADEA	16,564,000	\$ 31,968,520
1589	CNLP	2,582,451	\$ 7,928,125
1600	TIAN LUN GAS	5,563,112	\$ 42,001,496
1606	CDB LEASING	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	63,044,425	\$ 145,632,622
1617	NANFANG COMM	1,964,000	\$ 8,347,000
1618	MCC	4,230,550	\$ 7,107,324
1622	REDCO GROUP	9,134,862	\$ 32,063,366
1628	YUZHOU PPT	35,938,358	\$ 142,315,898
1636	CMRU	9,978,000	\$ 37,118,160
1638	KAISA GROUP	76,421,888	\$ 246,078,479
1658	PSBC	371,345,419	\$ 1,990,411,446
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	4,060,609	\$ 28,343,051
1668	CHINASOUTHCITY	32,482,000	\$ 29,883,440
1672	ASCLETIS-B	12,769,000	\$ 41,882,320
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	17,399,033	\$ 20,530,859
1681	CONSUN PHARMA	2,378,000	\$ 11,105,260
1686	SUNEVISION	2,781,972	\$ 14,271,516
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	13,586,991	\$ 127,581,845
1727	HEBEI CONS	3,515,500	\$ 16,593,160
1728	ZHENGTONGAUTO	130,629,928	\$ 365,763,798
1755	S-ENJOY SERVICE	3,375,000	\$ 37,057,500
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	11,156,000	\$ 15,618,400
1766	CRRC	65,563,404	\$ 353,386,748
1772	GANFENGLITHIUM	3,760,700	\$ 66,037,892
1773	TIANLI EDU	24,414,000	\$ 77,636,520
1776	GF SEC	44,091,649	\$ 396,824,841
1777	FANTASIA	22,198,500	\$ 29,745,990
1778	COLOUR LIFE	19,452,004	\$ 81,892,937
1787	SD GOLD	4,490,267	\$ 74,718,043
1788	GUOTAI JUNAN I	176,911,800	\$ 231,754,458
1789	AK MEDICAL	8,521,862	\$ 76,696,758
1797	KOOLEARN	36,470,795	\$ 749,474,837
1798	DATANG RENEW	5,738,000	\$ 4,705,160
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	108,801,445	\$ 673,480,945
1801	INNOVENT BIO-B	24,247,689	\$ 651,050,450
1806	HUIFU PAYMENT	4,279,316	\$ 12,153,257
1810	XIAOMI-W	939,366,381	\$ 9,769,410,362
1811	CGN NEW ENERGY	3,512,000	\$ 4,003,680

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1813	KWG GROUP	70,210,845	\$ 721,767,487
1816	CGN POWER	224,691,173	\$ 460,616,905
1818	ZHAOJIN MINING	84,152,168	\$ 681,632,561
1828	DCH HOLDINGS	945,000	\$ 3,439,800
1829	CMEC	4,070,706	\$ 12,578,482
1833	PA GOODDOCTOR	42,612,276	\$ 2,450,205,870
1836	STELLA HOLDINGS	2,621,940	\$ 33,823,026
1837	NATURAL FOOD IH	0	\$ 0
1848	CALC	1,684,000	\$ 14,010,880
1857	CEB WATER	0	\$ 0
1860	MOBVISTA	327,601	\$ 1,133,499
1862	JINGRUI HLDGS	0	\$ 0
1873	VIVA BIOTECH	8,557,000	\$ 36,880,670
1876	BUD APAC	87,809,193	\$ 2,458,657,404
1877	JUNSHI BIO-B	1,767,400	\$ 47,277,950
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	12,652,147	\$ 263,797,265
1883	CITIC TELECOM	14,162,218	\$ 40,928,810
1888	KB LAMINATES	26,005,196	\$ 243,408,635
1890	CHINA KEPEI	414,000	\$ 1,606,320
1896	MAOYAN ENT	3,726,616	\$ 49,936,654
1898	CHINA COAL	12,831,738	\$ 38,623,531
1899	XINGDA INT'L	1,342,746	\$ 2,886,904
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	2,994,632	\$ 26,562,386
1910	SAMSONITE	51,040,817	\$ 928,942,869
1911	CR HOLDINGS	366,572	\$ 5,285,968
1913	PRADA	28,824,371	\$ 858,966,256
1916	JIANGXI BANK	293,500	\$ 1,203,350
1918	SUNAC	75,060,287	\$ 3,246,357,413
1919	COSCO SHIP HOLD	66,964,025	\$ 207,588,478
1928	SANDS CHINA LTD	58,885,161	\$ 2,334,796,634
1929	CHOW TAI FOOK	12,322,717	\$ 88,723,562
1951	JXR	17,660,000	\$ 206,268,800
1958	BAIC MOTOR	29,265,410	\$ 135,791,502
1963	BCQ	939,309	\$ 4,349,001
1966	CHINA SCE GROUP	8,135,000	\$ 35,631,300
1970	IMAX CHINA	1,404,800	\$ 23,375,872
1972	SWIREPROPERTIES	31,310,430	\$ 807,809,094
1988	MINSHENG BANK	418,142,850	\$ 2,421,047,102
1992	FOSUN TOURISM	408,200	\$ 4,163,640

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1995	EVERSUNSHINE LS	4,240,000	\$ 20,097,600
1996	RSUN PPT	748,000	\$ 1,914,880
1997	WHARF REIC	39,873,854	\$ 1,754,449,576
1999	MAN WAH HLDGS	34,037,510	\$ 194,013,807
2001	NEW HIGHER EDU	24,852,477	\$ 69,586,936
2003	VCREDIT	139,000	\$ 970,220
2005	SSY GROUP	60,188,845	\$ 389,421,827
2006	JINJIANGCAPITAL	9,479,700	\$ 14,124,753
2007	COUNTRY GARDEN	433,866,231	\$ 5,136,976,175
2009	BBMG	113,942,704	\$ 257,510,511
2013	WEIMOB INC	55,074,308	\$ 185,049,675
2016	CZBANK	0	\$ 0
2018	AAC TECH	88,023,984	\$ 5,822,786,542
2019	DEXIN CHINA	1,367,000	\$ 4,333,390
2020	ANTA SPORTS	7,179,894	\$ 516,234,379
2038	FIH	216,408,926	\$ 307,300,675
2039	CIMC	8,738,019	\$ 66,234,184
2048	E-HOUSE ENT	3,706,930	\$ 26,689,896
2051	51 CREDIT CARD	7,662,500	\$ 12,489,875
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,524,000
2099	CHINAGOLDINTL	113,500	\$ 751,370
2128	CHINA LESSO	32,793,479	\$ 311,865,985
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	238,718,887	\$ 1,348,761,712
2196	FOSUN PHARMA	48,519,741	\$ 1,079,564,237
2199	REGINA MIRACLE	8,167,000	\$ 38,548,240
2202	CHINA VANKE	49,439,616	\$ 1,505,436,307
2208	GOLDWIND	22,453,949	\$ 194,451,198
2222	NVC LIGHTING	18,779,000	\$ 3,906,032
2232	CRYSTAL INTL	5,058,056	\$ 16,236,360
2233	WESTCHINACEMENT	10,686,250	\$ 13,144,088
2238	GAC GROUP	484,277,571	\$ 4,557,051,943
2255	HAICHANG HLDG	7,062,000	\$ 7,344,480
2269	WUXI BIO	19,061,413	\$ 1,807,975,023
2280	HC GROUP	35,746,666	\$ 93,298,798
2282	MGM CHINA	83,916,273	\$ 1,090,911,549
2298	COSMO LADY	3,226,000	\$ 3,258,260
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	668,000	\$ 2,264,520
2313	SHENZHOU INTL	12,484,867	\$ 1,353,359,583

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2314	LEE & MAN PAPER	50,515,706	\$ 312,187,063
2318	PING AN	1,137,531,258	\$ 105,904,160,120
2319	MENGNU DAIRY	77,956,381	\$ 2,443,932,544
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	81,343,805	\$ 783,340,842
2329	GUORUI PPT	6,738,296	\$ 11,118,188
2331	LI NING	24,707,199	\$ 595,443,496
2333	GREATWALL MOTOR	465,640,516	\$ 2,756,591,855
2338	WEICHAI POWER	110,964,202	\$ 1,664,463,030
2342	COMBA	56,615,041	\$ 111,531,631
2343	PACIFIC BASIN	272,195,891	\$ 446,401,261
2356	DAHSING BANKING	892,282	\$ 9,368,961
2357	AVICHINA	63,995,212	\$ 225,263,146
2359	WUXI APPTec	8,026,241	\$ 780,150,625
2362	JINCHUAN INTL	38,728,384	\$ 24,011,598
2378	PRU	0	\$ 0
2380	CHINA POWER	60,154,567	\$ 99,255,036
2382	SUNNY OPTICAL	27,994,324	\$ 3,994,790,035
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	9,940,068	\$ 45,823,713
2388	BOC HONG KONG	42,957,818	\$ 1,185,635,777
2389	BJ ENT M&H	4,494,580	\$ 786,552
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	219,000	\$ 1,099,380
2588	BOC AVIATION	11,763,016	\$ 948,687,240
2600	CHALCO	132,801,157	\$ 341,298,973
2601	CPIC	136,646,234	\$ 4,174,542,449
2607	SH PHARMA	18,623,325	\$ 274,880,277
2608	SUNSHINE 100	1,436,000	\$ 2,053,480
2611	GTJA	7,232,291	\$ 94,019,783
2616	CSTONE PHARMA-B	5,106,757	\$ 53,825,219
2628	CHINA LIFE	71,503,766	\$ 1,544,481,346
2638	HKELECTRIC-SS	105,003,123	\$ 822,174,453
2666	UNI MEDICAL	31,618,531	\$ 173,901,921
2669	CHINA OVS PPT	40,871,494	\$ 199,861,606
2678	TEXHONG TEXTILE	2,189,154	\$ 19,483,471
2688	ENN ENERGY	12,764,593	\$ 1,077,969,879
2689	ND PAPER	140,278,597	\$ 1,220,423,794
2727	SH ELECTRIC	34,473,829	\$ 85,150,358
2768	JIAYUAN INTL	22,420,611	\$ 67,037,627
2777	R&F PROPERTIES	88,749,233	\$ 1,260,239,109

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	220,579,986	\$ 262,490,183
2800	TRACKER FUND	11,653,100	\$ 324,538,835
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	69,424,329	\$ 1,070,523,153
2823	ISHARES A50	91,506,014	\$ 1,405,532,375
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,792,750	\$ 306,085,400
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	40,500	\$ 43,821,000
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	28,737,559	\$ 51,152,855
2866	COSCO SHIP DEV	48,414,328	\$ 43,572,895
2868	BJ CAPITAL LAND	28,079,283	\$ 68,794,243
2869	GREENTOWN SER	49,886,101	\$ 390,608,171
2877	SHINEWAY PHARM	4,513,357	\$ 35,926,322
2880	DALIAN PORT	1,196,000	\$ 1,160,120
2883	CHINA OILFIELD	40,400,534	\$ 450,869,959
2888	STANCHART	4,516,921	\$ 337,639,845
2899	ZIJIN MINING	70,075,377	\$ 232,650,252

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3155	ISHARESES50	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	45,845,899	\$ 2,079,111,520
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	5,859,500	\$ 60,001,280

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3306	JNBY	2,287,500	\$ 23,561,250
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	6,522,000	\$ 32,088,240
3311	CHINA STATE CON	73,668,913	\$ 465,587,530
3313	ARTGO HOLDINGS	5,814,900	\$ 1,686,321
3319	A-LIVING	12,058,269	\$ 320,749,955
3320	CHINARES PHARMA	13,555,837	\$ 97,330,910
3323	CNBM	52,122,114	\$ 398,734,172
3328	BANKCOMM	54,089,581	\$ 293,165,529
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	218,904,826	\$ 4,728,344,242
3336	JU TENG INTL	2,512,000	\$ 5,049,120
3337	ANTON OILFIELD	91,141,937	\$ 71,090,711
3339	LONKING	60,156,891	\$ 140,165,556
3360	FE HORIZON	16,175,491	\$ 118,566,349
3377	SINO-OCEAN GP	78,170,102	\$ 236,073,708
3380	LOGAN PPT	38,409,491	\$ 470,900,360
3382	TIANJINPORT DEV	2,036,000	\$ 1,506,640
3383	AGILE GROUP	67,140,509	\$ 742,574,030
3393	WASION HOLDINGS	2,624,000	\$ 9,761,280
3396	LEGENDHOLDING	7,314,020	\$ 121,120,171
3606	FUYAO GLASS	14,500,044	\$ 349,451,060
3613	TONGRENTANGCM	4,192,980	\$ 43,187,694
3618	CQRC BANK	43,073,658	\$ 171,002,422
3633	ZHONGYU GAS	5,202,600	\$ 35,897,940
3662	AOYUAN HEALTHY	2,574,849	\$ 14,547,897
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	9,406,539	\$ 64,905,119
3690	MEITUAN-W	48,813,881	\$ 4,935,083,369
3692	HANSOH PHARMA	13,129,654	\$ 309,203,352
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	48,053,586	\$ 269,100,082
3800	GCL-POLY ENERGY	1,181,955,167	\$ 330,947,447
3808	SINOTRUK	76,394,358	\$ 1,136,748,047
3813	POU SHENG INT'L	5,408,467	\$ 13,845,676
3818	CHINA DONGXIANG	13,722,610	\$ 11,664,219
3836	HARMONY AUTO	14,321,729	\$ 55,854,743
3866	BQD	0	\$ 0
3868	XINYI ENERGY	2,136,000	\$ 4,186,560
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	31,993,473	\$ 362,805,984

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3888	KINGSOFT	155,032,244	\$ 2,992,122,309
3898	CRRC TIMES ELEC	9,633,788	\$ 263,484,102
3899	CIMC ENRIC	6,910,500	\$ 31,719,195
3900	GREENTOWN CHINA	37,586,785	\$ 318,360,069
3908	CICC	135,923,203	\$ 1,927,391,019
3918	NAGACORP	5,766,000	\$ 80,608,680
3933	UNITED LAB	108,302,872	\$ 545,846,475
3958	DFZQ	7,138,800	\$ 33,195,420
3968	CM BANK	110,561,927	\$ 4,350,611,827
3969	CHINA CRSC	47,596,075	\$ 210,374,652
3983	CHINA BLUECHEM	12,886,074	\$ 23,839,237
3988	BANK OF CHINA	131,415,359	\$ 428,414,070
3990	MIDEA REAL EST	251,400	\$ 5,480,520
3993	CMOC	454,791,479	\$ 1,391,661,926
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	247,352,145	\$ 751,950,521
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	217,283,806	\$ 3,485,232,248
6055	CTIHK	7,691,000	\$ 146,590,460
6060	ZA ONLINE	54,886,387	\$ 1,652,080,249
6066	CSC	11,736,000	\$ 73,350,000
6068	WISDOM EDU INTL	5,860,000	\$ 19,220,800
6069	SHENGYE CAPITAL	2,620,000	\$ 19,623,800
6088	FIT HON TENG	15,497,000	\$ 42,771,720
6098	CG SERVICES	61,843,443	\$ 1,601,745,174
6099	CMSC	1,116,683	\$ 10,373,985
6100	TALENT LIEPIN	1,826,400	\$ 28,711,008
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	21,262,850	\$ 117,370,932
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0
6169	YUHUA EDU	166,531,420	\$ 899,269,668
6178	EB SECURITIES	2,992,000	\$ 18,340,960
6185	CANSINO-BIO-B	532,600	\$ 26,763,150

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6196	BANKOFZHENGZHOU	350,000	\$ 959,000
6198	QINGDAO PORT	3,781,212	\$ 20,040,424
6806	SWHY	0	\$ 0
6808	SUNART RETAIL	48,765,275	\$ 440,838,086
6818	CEB BANK	27,884,129	\$ 99,825,182
6823	HKT-SS	20,850,689	\$ 236,863,827
6826	HAOHAI BIOTEC	540,223	\$ 23,877,857
6837	HAITONG SEC	76,722,968	\$ 656,748,606
6862	HAIDILAO	50,290,594	\$ 1,644,502,424
6865	FLAT GLASS	4,206,000	\$ 19,137,300
6868	TENFU	0	\$ 0
6869	YOFC	24,638,484	\$ 338,532,770
6881	CGS	137,000,255	\$ 591,841,102
6886	HTSC	107,444,359	\$ 1,394,627,780
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	76,849,300	\$ 497,214,971
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	275,144,000	\$ 127,941,960

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8137	HONBRIDGE	17,438,624	\$ 9,068,084
8227	HAITIAN ANTENNA	0	\$ 0
8283	ZHENG LI HLDG	2,115,000	\$ 1,247,850
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA-TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
9988	BABA-SW	16,145,836	\$ 3,261,458,872
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 13/12/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0