

根據《證券及期貨條例》(第571章)第309(2) 條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890 及 5986)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團 (並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解 1) 豁免開始日	豁免終止日
1 Bank Vontobel AG	2019年5月31日	2020年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解 4)	2017年3月16日	2020年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2019年7月22日	2020年7月21日
12 SG Issuer	2016年4月1日	2020年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。

注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免期內所發行的結構性產品均已到期。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團 (並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$3,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasury I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21 CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22 Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
23 CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24 Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
25 Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme

26	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
27	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
28	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
29	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
30	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
31	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
32	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
33	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
34	CICC Hong Kong Finance 2016 MTN Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
35	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
36	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
37	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
38	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
39	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
40	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
41	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
42	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
43	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
44	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
45	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
46	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
47	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
48	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
49	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
50	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
51	Greenland Global Investment Limited	US\$5,000,000,000 Guaranteed Medium Term Notes Programme
52	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
53	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
54	HLP Finance Limited	US\$3 billion Medium Term Note Programme
55	Hong Kong Science and Technology Parks	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
56	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
57	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
58	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
59	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
60	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
61	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
62	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
63	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
64	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
65	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
66	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
67	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
68	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
69	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
70	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
71	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
72	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
73	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
74	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
75	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
76	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
77	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
78	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
79	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
80	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
81	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
82	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
83	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
84	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
85	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
86	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
87	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
88	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
89	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
90	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
91	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
92	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
93	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
94	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
95	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
96	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
97	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
98	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
99	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
100	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
101	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
102	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
103	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
104	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme

105	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
106	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
107	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
108	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
109	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 ABCL Glory Capital Limited	5677
2 Agricultural Development Bank of China	5180
3 Agricultural Development Bank of China	5455
4 Agricultural Development Bank of China	85737
5 Agricultural Development Bank of China	85744
6 Agricultural Development Bank of China	85901
7 Agricultural Development Bank of China	85909
8 Agricultural Development Bank of China	85910
9 Alibaba Group Holding Limited	5843
10 Alibaba Group Holding Limited	5844
11 Alibaba Group Holding Limited	5845
12 Amber Circle Funding Limited	4586
13 Amber Treasure Ventures Limited	5209
14 Amber Treasure Ventures Limited	5243
15 Anhui Provincial Investment Group Holding Co., Ltd.	5186
16 Anhui Transportation Holding Group (H.K.) Limited	5158
17 Avenue International Holding Limited	40037
18 AVIC International Finance & Investment Limited	4497
19 AVIC International Finance & Investment Limited	5966
20 Axiata SPV1 (Labuan) Limited	4320
21 Azure Orbit III International Finance Limited	5620
22 Azure Orbit III International Finance Limited	5621
23 Azure Orbit International Finance Limited	5909
24 Bank of China (Hong Kong) Limited	4316
25 Baoji Investment (Group) Co., Ltd.	5492
26 Baosteel Financing 2015 Pty Ltd	5866
27 BCEG (HongKong) Company Limited	5145
28 Beijing Enterprises Water Capital Management Limited	4480
29 Beijing Gas Singapore Capital Corporation	5213
30 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5520
31 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
32 Bengbu Gaoxin Investment Group Co., Ltd.	40085
33 Bi Hai Co., Ltd.	5185
34 Blue Skyview Company Limited	5364
35 Bluestar Finance Holdings Limited	4550
36 Bluestar Finance Holdings Limited	4556
37 Bluestar Finance Holdings Limited	5089
38 Bluestar Finance Holdings Limited	5599
39 Bluestar Finance Holdings Limited	4487
40 BNY Trust Company of Australia Limited	5766
41 Boom Up Investments Limited	5544
42 Boxinyuan International Co., Ltd.	40036
43 Bright Galaxy International Limited	5927
44 Bright Scholar Education Holdings Limited	5615
45 Cable and Wireless International Finance B.V.	1077
46 Cable and Wireless International Finance B.V.	1078
47 Caiyun International Investment Limited	5947
48 CALC Bond 2 Limited	4300
49 CALC Bond 3 Limited	5390
50 CALC Bond 3 Limited	5391
51 CCB Life Insurance Company Limited	5437
52 CCBL (Cayman) Corporation Limited	5548
53 CCCI Treasure Limited	5893
54 CCTI 2017 Ltd	5258
55 CDBL Funding 1	5850
56 Celestial Dynasty Limited	5594
57 CELESTIAL MILES LIMITED	5706
58 Central Plaza Development Ltd.	5919
59 CGNPC International Limited	5058
60 CGNPC International Limited	5059
61 CGNPC International Limited	5153
62 CGNPC International Limited	5154
63 CGNPC International Limited	5519
64 Chalco Hong Kong Investment Company Limited	5151
65 Chalco Hong Kong Investment Company Limited	5920
66 Chalco Hong Kong Investment Company Limited	5727
67 Chalco Hong Kong Investment Company Limited	5985
68 Chalieco Hong Kong Corporation Limited	5321
69 Chalieco Hong Kong Corporation Limited	5702
70 Chalieco Hong Kong Corporation Limited	40070
71 Chang Development International Limited	5090
72 Chang Development International Limited	5345
73 Chang Development International Limited	5744
74 Changde Economic Construction Investment Group Co., Ltd.	5642
75 Changde Urban Construction and Investment Group Co., Ltd.	5509
76 Changde Urban Construction and Investment Group Co., Ltd.	5959
77 Changsha Pilot Investment Holdings Co., Ltd.	40064

78	Charter Style International Limited	85993
79	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
80	Chengdu Communications Investment Group Corporation Limited	5062
81	Chengdu Communications Investment Group Corporation Limited	5493
82	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
83	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
84	Chengdu Hi-tech Investment Group Co., Ltd.	5206
85	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
86	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
87	Chengdu Xingcheng Investment Group Co., Ltd.	5314
88	Cheung Kong Bond Securities (02) Limited	4559
89	Cheung Kong Bond Securities (03) Limited	4595
90	Cheung Kong Infrastructure Finance (BVI) Limited	5060
91	China Bright (Hong Kong) Limited	5937
92	China Cinda Finance (2014) Limited	5748
93	China CITIC Bank International Limited	5199
94	China CITIC Bank International Limited	5728
95	China CITIC Bank International Limited	5831
96	China CITIC Bank International Limited	5992
97	China CITIC Bank International Limited	4594
98	China Clean Energy Development Limited	5568
99	China Development Bank Corporation	85904
100	China Development Bank Corporation	85905
101	China Development Bank Corporation	85934
102	China Energy Reserve and Chemicals Group Holding Limited	5639
103	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
104	China Great Wall International Holdings IV Limited	5617
105	China Great Wall International Holdings IV Limited	5620
106	China Huadian Overseas Development 2018 Limited	4491
107	China Huadian Overseas Development Management Company Limited	4533
108	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
109	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
110	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
111	China Life Insurance (Overseas) Co., Ltd	5250
112	China Merchants Finance Company Limited	4548
113	China Merchants Finance Company Limited	5554
114	China Merchants Finance Company Limited	5555
115	China Minmetals Corporation	4442
116	China Minmetals Corporation	5009
117	China Overseas Finance (Cayman) II Limited	4503
118	China Overseas Finance (Cayman) III Limited	5988
119	China Overseas Finance (Cayman) III Limited	5989
120	China Overseas Finance (Cayman) V Limited	4579
121	China Overseas Finance (Cayman) V Limited	4580
122	China Overseas Finance (Cayman) VI Limited	5746
123	China Overseas Finance (Cayman) VI Limited	5760
124	China Overseas Finance (Cayman) VII Limited	4475
125	China Overseas Finance (Cayman) VII Limited	4476
126	China Overseas Finance Investment (Cayman) V Limited	5600
127	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
128	China Railway Resources Huitung Limited	5904
129	China Railway XunJie Co., Limited	5244
130	China Railway XunJie Co., Limited	5692
131	China Resources Power East Foundation Co., Ltd.	4516
132	China Shenhua Overseas Capital Company Limited	5862
133	China Shenhua Overseas Capital Company Limited	5863
134	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
135	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
136	China Southern Power Grid International Finance (BVI) Co., Limited	4583
137	China Southern Power Grid International Finance (BVI) Co., Limited	4598
138	China State Construction Finance (Cayman) I Limited	5462
139	China State Construction Finance (Cayman) II Limited	5037
140	China State Construction Finance (Cayman) II Limited	5038
141	China State Construction Finance (Cayman) III Limited	40083
142	China Taiping Capital Limited	4582
143	China Taiping New Horizon Limited	5984
144	China Yangtze Power International (BVI) 1 Limited	5300
145	China Yangtze Power International (BVI) 2 Limited	5301
146	Chinalco Capital Holdings Limited	4301
147	Chinalco Capital Holdings Limited	5438
148	Chinalco Capital Holdings Limited	5745
149	Chiyu Banking Corporation Limited	5034
150	Chongqing Casin Group Co., Ltd	5595
151	Chongqing Energy Investment Group Co., Ltd.	5898
152	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
153	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
154	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
155	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
156	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
157	Chouzhou International Investment Limited	4542
158	Chouzhou International Investment Limited	5056
159	CITIC Telecom International Finance Limited	5908
160	City Development Company of Lan Zhou	40066
161	CLP Power HK Finance Ltd	40044
162	CLP Power HK Finance Ltd.	5740
163	CLP Power Hong Kong Financing Limited	4306
164	CLP Power Hong Kong Financing Limited	4318
165	CMHI Finance (BVI) Co., Ltd	5134
166	CMHI Finance (BVI) Co., Ltd	5135

167	CMOC Capital Limited	5722
168	CNI Capital Limited	85908
169	CNOOC Curtis Funding No. 1 Pty Ltd	5972
170	CNOOC Curtis Funding No. 1 Pty Ltd	5973
171	CNOOC Finance (2003) Limited	2568
172	CNOOC Finance (2011) Limited	4506
173	CNOOC Finance (2011) Limited	4507
174	CNOOC Finance (2012) Limited	4546
175	CNOOC Finance (2012) Limited	4547
176	CNOOC Finance (2013) Limited	5941
177	CNOOC Finance (2013) Limited	5942
178	CNOOC Finance (2013) Limited	40016
179	CNOOC Finance (2015) Australia Pty Ltd	5506
180	CNOOC Finance (2015) Australia Pty Ltd	5507
181	CNOOC Finance (2015) U.S.A. LLC	4478
182	CNOOC Finance (2015) U.S.A. LLC	4479
183	CNOOC Finance (2015) U.S.A. LLC	5508
184	CNOOC Nexen Finance (2014) ULC	5738
185	CNOOC Nexen Finance (2014) ULC	5739
186	CNPC (HK) Overseas Capital Ltd.	4513
187	CNPC (HK) Overseas Capital Ltd.	4514
188	CNPC General Capital Limited	4544
189	CNPC General Capital Limited	5923
190	Coastal Emerald Limited	5529
191	COSCO Pacific Finance (2013) Company Limited	5900
192	COSL Finance (BVI) Limited	4563
193	Cosmos Boom Investment Limited	5534
194	CRCC Chengan Limited	5581
195	CRCC Yupeng Limited	5778
196	CRCC Yuxiang Limited	5945
197	CSCEC Finance (Cayman) I Limited	5574
198	CSCEC Finance (Cayman) II Limited	5232
199	CSCEC Finance (Cayman) II Limited	5233
200	CSCEC Finance (Cayman) II Limited	5669
201	CSCI Finance (2015) Co. Ltd	5564
202	CSSC Capital One Limited	5181
203	CSSC Capital Two Limited	5182
204	Dah Sing Bank, Limited	5316
205	Dah Sing Bank, Limited	5524
206	Dah Sing Bank, Limited	6021
207	Dalian Deta Holding Co., Ltd.	5608
208	Dangdai International Investments Ltd	5027
209	Dawn Victor Limited	5409
210	Dianjian Haixing Limited	5816
211	Dongxing Voyage Company Limited	5643
212	DYNAMIC MISSION INVESTMENTS LIMITED	5386
213	Ease Trade Global Limited	4458
214	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
215	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
216	Excel Capital Global Limited	4496
217	Expand Lead Limited	5165
218	First FZ Bond Limited	5275
219	Fita International Limited	4315
220	FPC Capital Limited	5107
221	FPC Treasury Limited	5924
222	Franshion Brilliant Limited	5030
223	Franshion Brilliant Limited	5229
224	Franshion Brilliant Limited	5289
225	Franshion Brilliant Limited	5347
226	Franshion Brilliant Limited	5385
227	Franshion Brilliant Limited	5447
228	Franshion Brilliant Limited	5472
229	Fujian Zhanglong Group Co., Ltd.	5700
230	Full Dragon (Hong Kong) International Development Limited	4428
231	FWD Group Limited	4403
232	FWD Group Limited	5019
233	FWD Group Limited	5221
234	FWD Group Limited	5793
235	FWD Limited	4416
236	FWD Limited	5351
237	FWD Limited	5803
238	Gansu Province Electric Power Investment Group Co., Ltd.	5466
239	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
240	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
241	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
242	Gaoxin International Investment Co., Ltd	40081
243	Gemdale Ever Prosperity Investment Limited	4578
244	GET International Investment Holdings Limited	5381
245	GOHL Capital Limited	5352
246	GRAM International Limited	5587
247	Granda Century Limited	5152
248	Green Fortune Capital Limited	40010
249	Greenland Global Investment Limited	5769
250	Guang Ying Investment Limited	4492
251	Guangsha Holding Group Co., Ltd.	5456
252	Guangxi Communications Investment Group Corporation. Ltd	5880
253	Guangxi Financial Investment Group Co., Ltd.	5098
254	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
255	GUANGZHOU Silk Road Investment Limited	5323
256	Guofa Overseas Investment Company Limited	40098

257	Guohui International (BVI) Co., Ltd.	6002
258	Guotai Junan Holdings Limited	5853
259	Haichuan International Investment Co., Ltd.	5460
260	Haiguo Xintai Capital Limited	40011
261	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
262	Haitian (BVI) International Investment Development Limited	5061
263	Haitong International Finance 2015 Limited	5867
264	Haitong International Finance Holdings 2015 Limited	5892
265	Hangzhou Fuyang Chengtong Group (HK) Limited	5469
266	Hanhui International Limited	5657
267	Hanrui Overseas Investment Co Ltd	5081
268	Harvest International Company	5024
269	HBIS Group Hong Kong Co., Limited	40108
270	Hesteel Hong Kong Co., Limited	5417
271	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
272	Hong Kong Sukuk 2015 Limited	4226
273	Hong Kong Sukuk 2017 Limited	4233
274	Hongkong Baorong Development Limited	5465
275	Hongkong Electric Finance Limited	4505
276	Hongkong Ideal Investment Limited	40019
277	Hongkong International (Qingdao) Company Limited	5023
278	Hongkong International (Qingdao) Company Limited	5048
279	Hongkong International (Qingdao) Company Limited	5874
280	Hongkong International (Qingdao) Company Limited	5875
281	Hongkong International (Qingdao) Company Limited	40017
282	Hongkong International (Qingdao) Company Limited	40053
283	HongKong Xiangyu Investment Co., Limited	4409
284	Huafa 2019 I Company Limited	5976
285	Huafa Group 2018 I Company Limited	5150
286	Huai'an Traffic Holding Co., Ltd.	6006
287	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
288	Huaibei City Construction Investment Holding Group Company Limited	5474
289	Huaibei City Construction Investment Holding Group Company Limited	5946
290	Huaneng Hong Kong Capital Limited	5000
291	Huantaihu International investment Co., Ltd	5413
292	Huasing International Holdings Co., Limited	4448
293	Huasing International Holdings Co., Limited	4449
294	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
295	Huaxing Investment Holding Company Limited	5363
296	Huayi Finance I Ltd.	40042
297	HUAYUAN PROPERTY CO., LTD	5922
298	Huayuan Property Co., Ltd.	5239
299	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
300	Hunan Xiangjiang New Area Development Group Co Ltd	5223
301	Huzhou City Investment Development Group Co., Ltd.	5074
302	Huzhou Communications Investment Group Co., Ltd.	85740
303	ICBCIL Finance Co. Limited	5884
304	IFC Development (Corporate Treasury) Limited	5715
305	IFC Development (Corporate Treasury) Limited	5756
306	IFC Development (Corporate Treasury) Limited	5757
307	IFC Development (Corporate Treasury) Limited	6000
308	Industrial and Commercial Bank of China (Asia) Limited	5686
309	Industrial and Commercial Bank of China (Asia) Limited	5976
310	Industrial and Commercial Bank of China (Macau) Limited	5750
311	Industrial and Commercial Bank of China (Macau) Limited	5797
312	Intellect Aim Management Ltd.	6003
313	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
314	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
315	Jiangxi Railway Investment Group Corporation	5770
316	JIC Zhixin Limited	5031
317	JIC Zhixin Limited	5032
318	Jinan Hi-tech Holding Group Co., Ltd.	5481
319	Jinan Hi-tech Holding Group Co., Ltd.	40018
320	Jinan West City Investment and Development Group Co., Ltd.	4588
321	Jinke Property Group Co., Ltd.	5292
322	Jinshine International Co., Ltd.	4451
323	Jisco SR Pearl Ltd.	5953
324	Jiuding Group Finance Co., Ltd	5246
325	Joy Treasure Assets Holdings Inc.	5901
326	Joy Treasure Assets Holdings Inc.	5903
327	Joy Treasure Assets Holdings Inc.	6027
328	Joy Treasure Assets Holdings Inc.	40000
329	Keen Idea Global Limited	5393
330	King Power Capital Ltd.	5823
331	King Talent Management Limited	5026
332	Korean Reinsurance Company	5815
333	Kunming Dianchi Investment Co. Ltd.	5569
334	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
335	Kunming Rail Transit Group Co., Ltd.	40100
336	Kunming Rail Transit Group Co., Ltd.	40101
337	Kunzhi Limited	4464
338	Kunzhi Limited	5100
339	Lai Fung Bonds (2018) Limited	5087
340	Legend Fortune Limited	5128
341	Liang Shan International Development Limited	5585
342	Lingang Wings Inc	5149
343	LinJiang Investment and Development (BVI) Co., Ltd	40087
344	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
345	LS Finance (2017) Limited	4471
346	LS Finance (2022) Limited	4571

347	LS Finance (2025) Limited	5535
348	LSD Bonds (2017) Limited	5270
349	Luso International Banking Limited	5078
350	Malaysia Sovereign Sukuk Berhad	5894
351	Malaysia Sovereign Sukuk Berhad	5895
352	Malaysia Sukuk Global Berhad	5633
353	Malaysia Sukuk Global Berhad	5634
354	MCC Holding (Hong Kong) Corporation Limited	4483
355	MCC Holding (Hong Kong) Corporation Limited	5210
356	Mei Nian Investment Limited	5932
357	Meilun (BVI) Limited	5625
358	Mianyang Investment Holding (Group) Co., Ltd.	40039
359	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
360	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
361	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
362	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
363	Minmetals Land Capital Limited	5933
364	Mudanjiang City Investment Group Co., Ltd.	5297
365	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
366	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
367	Nanyang Commercial Bank, Limited	5214
368	Nanyang Commercial Bank, Limited	40069
369	New Lion Bridge Company Limited	5290
370	New Momentum International Limited	5997
371	New Rose Investments Limited	5344
372	Nimble City Limited	5144
373	Nuoxi Capital Limited	4401
374	Nuoxi Capital Limited	4402
375	Nuoxi Capital Limited	5365
376	Nuoxi Capital Limited	5436
377	Nuoxi Capital Limited	5484
378	Nuoxi Capital Limited	5704
379	Nuoxi Capital Limited	5996
380	NWD Finance (BVI) Limited	4561
381	NWD Finance (BVI) Limited	5856
382	Oceanwide Holdings International 2015 Co., Limited	5557
383	Oceanwide Holdings International 2017 Co., Limited	5251
384	Oceanwide Holdings International Development III Co., Ltd.	4532
385	Oceanwide Holdings International Development III Co., Ltd.	5196
386	Orient Huizhi Limited	5041
387	Oriental Capital Company Limited	40025
388	Oversea-Chinese Banking Corporation Limited	85912
389	OVPH Limited	5614
390	PB Issuer (No.4) Limited	5525
391	PB Issuer (No.5) Limited	40097
392	PCGI Intermediate Holdings (II) Limited	40074
393	PCGI Intermediate Limited	40040
394	PCGI Intermediate Limited	40040
395	Perfect Point Ventures Limited	4566
396	Petron Corporation	5905
397	PETRONAS Global Sukuk Ltd.	5882
398	PHBS Limited	4325
399	Phoenix Charm International Investment Limited	40058
400	Phoenix Charm International Investment Limited	40071
401	Phoenix Lead Limited	5262
402	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
403	Pingxiang Urban Construction Investment Developing Company	4535
404	Pioneer Reward Limited	4530
405	Poly Real Estate Finance Ltd	4417
406	Poly Real Estate Finance Ltd	5164
407	Poly Real Estate Finance Ltd	5916
408	Power Best Global Investments Limited	5940
409	Powerchina Real Estate Group Ltd.	5471
410	Press Metal (Labuan) Ltd.	5299
411	Prosperous Ray Limited	5994
412	Proven Honour Capital Limited	5516
413	Proven Honour Capital Limited	5641
414	Pterosaur Capital Co., Limited	6021
415	PTT Public Company Limited	2527
416	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
417	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
418	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
419	Qinghai Provincial Investment Group Co., Ltd.	4450
420	Qinghai Provincial Investment Group Co., Ltd.	5380
421	Radiant Access Limited	5205
422	Rail Transit International Investment Company Limited	5644
423	Reward International Investment Limited	5360
424	RKI Overseas Finance 2016 (B) Limited	4309
425	Rongshi International Finance Limited	5448
426	Rongshi International Finance Limited	5449
427	Rosy Delta Investments Limited	5097
428	Rugao Economic and Trade Development Company	40041
429	SCIG International Financial Limited	5174
430	SDG FINANCE I LIMITED	4539
431	SDG FINANCE I LIMITED	5192
432	SDSC Global Finance Limited	5303
433	SDSC International Development Limited	40022
434	SF Holding Investment Limited	5130
435	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
436	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504

437	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
438	Shandong Commercial Group Co., Ltd.	5512
439	Shandong Energy Australia Pty Ltd	5248
440	Shandong Iron and Steel Xinheng International Company Limited	4453
441	Shandong Iron and Steel Xinheng International Company Limited	5063
442	Shandong Iron and Steel Xinheng International Company Limited	5470
443	Shandong Iron and Steel Xinheng International Company Limited	5601
444	Shandong Iron and Steel Xinheng International Company Limited	40003
445	Shanghai Commercial Bank Limited	5043
446	Shanghai Commercial Bank Limited	5530
447	Shanghai Electric Power Finance Limited	5558
448	Shanghai Port Group (BVI) Development Co., Limited	4587
449	Shanghai Port Group (BVI) Development Co., Limited	5734
450	Shanghai Port Group (BVI) Development Co., Limited	5741
451	Shanghai Port Group (BVI) Holding Co., Limited	5255
452	Shanghai Port Group (BVI) Holding Co., Limited	5256
453	Shangrao Investment Holdings International Company Limited	4429
454	Shanghai (Hong Kong) International Investments Limited	5435
455	Shanghai (Hong Kong) International Investments Limited	40009
456	Shaoxing City Investment Group Limited	5489
457	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
458	Shuifa International Holdings (BVI) Co., Ltd.	5992
459	Si Fa Investment Limited	5490
460	Sichuan Communications Overseas Development Co., Ltd.	5399
461	Sichuan Railway Investment Group Co., Ltd.	5575
462	SIHC International Capital Ltd	5176
463	SIHC International Capital Ltd	5177
464	Sino Trendy Investment Limited	4407
465	Sinochem Global Capital Co., Ltd.	5935
466	Sinochem Overseas Capital Company Limited	4501
467	Sinochem Overseas Capital Company Limited	4502
468	Sino-Ocean Land Treasure Finance I Limited	5782
469	Sino-Ocean Land Treasure Finance II Limited	5868
470	Sino-Ocean Land Treasure Finance II Limited	5869
471	Sino-Ocean Land Treasure III Limited	5276
472	Sino-Ocean Land Treasure IV Limited	5131
473	Sino-Ocean Land Treasure IV Limited	5623
474	Sino-Ocean Land Treasure IV Limited	5712
475	Skyland Mining (BVI) Limited	5228
476	Skysea International Capital Management Limited	4529
477	SmarTone Finance Limited	5917
478	Societe Generale	5947
479	Societe Generale	5959
480	Societe Generale	5960
481	Societe Generale	5961
482	Societe Generale	5962
483	SPIC 2016 US dollar Bond Company Limited	5324
484	SPIC 2016 US dollar Bond Company Limited	5325
485	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
486	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
487	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
488	State Grid Overseas Investment (2013) Limited	5954
489	State Grid Overseas Investment (2013) Limited	5955
490	State Grid Overseas Investment (2014) Limited	5742
491	State Grid Overseas Investment (2014) Limited	5743
492	State Grid Overseas Investment (2016) Limited	5649
493	State Grid Overseas Investment (2016) Limited	5650
494	State Grid Overseas Investment (2016) Limited	5651
495	State Grid Overseas Investment (2016) Limited	5652
496	Strategic International Group Limited	5241
497	Sunny Express Enterprises Corp.	5906
498	Sunny Express Enterprises Corp.	40030
499	Sunny Express Enterprises Corp.	40031
500	Sunshine Life Insurance Corporation Limited	5628
501	Sunshine Life Insurance Corporation Limited	5629
502	Suqian Economic Development (BVI) Co., Limited	40034
503	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
504	Taikang Insurance Group Inc.	5349
505	Taiyuan State-owned Investment Group Company Limited	6005
506	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
507	The Hongkong and Shanghai Banking Corporation Limited	4323
508	Tianfeng Securities Co., Ltd.	40090
509	Tianjin Lingang Investment Holding Co., Ltd.	5716
510	Tianjin Real Estate Group Co., Ltd	5286
511	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
512	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
513	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
514	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
515	Tianqi Finco Co., Ltd	5036
516	Tibet Financial Leasing Co., Ltd.	5707
517	Tongfang Aqua 2017 Limited	4454
518	Tongfang Aqua Limited	6001
519	Top Luxury Investment Limited	5598
520	Top Wise Excellence Enterprise Co., Ltd.	5402
521	Towngas (Finance) Limited	5749
522	Towngas (Finance) Limited	6018
523	Trade Horizon Global Limited	5133
524	Treasure Generator Limited	5709
525	Triceratops Capital Co., Limited	4408
526	Tsinghua Unic Limited	4413

527	Tsinghua Unic Limited	4414
528	Tsinghua Unic Limited	4415
529	Tuspark Forward Ltd.	4599
530	Tuspark Forward Ltd.	5143
531	Tuspark Forward Ltd.	5857
532	TVB Finance Limited	4577
533	UHI Capital Ltd.	4572
534	Unigroup International Holdings Ltd.	5597
535	Union Life Insurance Co., Ltd.	4322
536	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
537	Vertex Capital Investment Limited	5931
538	Wakala Global Sukuk Berhad	4519
539	Wanda Group Overseas Limited	5599
540	Wanda Properties Global Co. Limited	5835
541	Wanda Properties International Co. Limited	6023
542	Wanda Properties Overseas Limited	40088
543	Want Want China Finance Limited	5446
544	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
545	Wuhan Financial Holdings (Group) Co., Ltd.	5498
546	Wuhan Metro Group Co., Ltd.	5467
547	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
548	Wuhan State-owned Assets Management Limited Company	5070
549	Xi Hai An 2019 Limited	40067
550	Xi Yang Overseas Limited	4565
551	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
552	Xiangyu Investment (BVI) Co., Ltd.	5572
553	Xihui Haiwai I Investment Holdings Co., Limited	5990
554	Xin Fu (BVI) Co., Limited	5985
555	Xingang International Holding Limited	40099
556	Xingsheng (BVI) Company Limited	5172
557	Xingsheng (BVI) Company Limited	5606
558	Xinhu (BVI) 2018 Holding Company Limited	4569
559	Xinhu (BVI) 2018 Holding Company Limited	5497
560	Xinhu (BVI) 2018 Holding Company Limited	5889
561	Xinhu (BVI) Holding Company Limited	5382
562	Xinjiang Financial Investment Co., Ltd.	5907
563	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
564	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
565	Xinjiang Zhongtai (Group) Co., Ltd.	4567
566	Xinxing Industry Investment Company Limited	5065
567	Yancoal International Resources Development Co., Limited	4552
568	Yancoal International Resources Development Co., Limited	5424
569	Yancoal International Resources Development Co., Ltd	5461
570	Yancoal International Trading Co., Limited	5753
571	Yango (Cayman) Investment Limited	4470
572	Yango (Cayman) Investment Limited	5414
573	Yangzhou Economic and Technological Development Zone Development Corporation	5513
574	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
575	Yankuang Group (Cayman) Limited	5044
576	Yankuang Group (Cayman) Limited	5705
577	Yichang High-Tech Investment Development Co., Ltd.	5495
578	Yichun Development Investment HaiTong Co., Limited	40084
579	Yihua Overseas Investment Ltd	5293
580	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
581	Yingde Gases Investment Limited	5793
582	YONGDA Investment Limited	5543
583	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
584	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
585	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
586	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
587	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
588	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
589	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
590	Zhangzhou Transportation Development Group Co., Ltd.	5584
591	Zhaobing Investment (BVI) Limited	6018
592	Zhaohai Investment (BVI) Limited	5547
593	Zhaojin Mining International Finance Limited	5851
594	Zhejiang Baron (BVI) Company Limited	5146
595	Zhengzhou Metro Group Co., Ltd.	5496
596	Zhengzhou Real Estate Group Co., Ltd.	40014
597	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
598	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
599	Zhongtai International Bond 2017 (BVI) Company Limited	5263
600	Zhongtai International Finance (BVI) Company Limited	5919
601	Zhongyuan Asset Management Co., Ltd	40078
602	Zhongyuan Sincere Investment Co. Ltd.	5095
603	Zhongyuan Sincere Investment Co. Ltd.	5596
604	Zhoushan City Investment Group Corporation Limited	5487
605	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
606	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
607	Zhuji Development Limited	5480
608	Zhuzhou City Construction Development Group Co., Ltd.	4531
609	Zijin International Capital Company Limited	5188

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2 Xtrackers FTSE China 50 UCITS ETF	3007
3 Xtrackers MSCI Brazil UCITS ETF	3048
4 Xtrackers MSCI Korea UCITS ETF	2848
5 Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6 Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7 Xtrackers MSCI Taiwan UCITS ETF	3036
8 Xtrackers MSCI USA Swap UCITS ETF*	3020
9 Xtrackers MSCI World Swap UCITS ETF*	3019
10 Xtrackers Nifty 50 Swap UCITS ETF*	3015
11 Xtrackers CSI300 Swap UCITS ETF*	3049
12 Xtrackers MSCI China UCITS ETF	3055
13 Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14 Xtrackers MSCI Malaysia UCITS ETF	3082
15 Xtrackers MSCI Thailand UCITS ETF	3092
16 Xtrackers MSCI Philippines UCITS ETF	3016
17 Xtrackers MSCI Singapore UCITS ETF	3065

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱
1 中國創盈市場服務有限公司
2 中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱
1 中國證券登記結算有限責任公司

根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免

名稱
1 Budweiser Brewing Company APAC Limited