

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

| 名稱 | 聯交所 股份代號 | |
|-----------------|-------------|-----------------------------|
| 1 宏利金融有限公司 | 0945 | |
| 2 渣打集團有限公司 | 2888 | (及 4305, 5348, 5890 及 5986) |
| 3 英國保誠有限公司 | 2378 | |
| 4 迅銷有限公司 - 預託證券 | 6288 | (該豁免僅適用於迅銷有限公司及其大股東) |

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

| 名稱 | 豁免期限(注解1) | |
|--|-------------|-------------|
| | 豁免開始日 | 豁免終止日 |
| 1 Bank Vontobel AG | 2019年5月31日 | 2020年5月30日 |
| 2 BOCI Aisa Limited | 2007年12月21日 | 2019年12月20日 |
| 3 BNP Paribas Issuance B.V. | 2007年12月21日 | 2019年12月20日 |
| 4 Credit Suisse AG | 2007年12月21日 | 2019年12月20日 |
| 5 Goldman Sachs Structured Products (Asia) Limited | 2007年12月21日 | 2019年12月20日 |
| 6 Haitong International Securities Company Limited (注解4) | 2017年3月16日 | 2020年3月15日 |
| 7 J.P. Morgan Structured Products B.V. | 2007年12月21日 | 2019年12月20日 |
| 8 Macquarie Bank Limited | 2008年1月1日 | 2019年12月31日 |
| 9 SG Issuer | 2016年4月1日 | 2020年3月31日 |
| 10 The Hongkong and Shanghai Banking Corporation Ltd | 2007年12月23日 | 2019年12月22日 |
| 11 UBS AG | 2007年12月21日 | 2019年12月20日 |

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。

注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

| 名稱 | 項目 |
|---|--|
| 1 AirAsia Global Notes Limited | US\$1,000,000,000 Multicurrency Medium Term Note Programme |
| 2 Amipeace Ltd. | HK\$10,000,000,000 Medium Term Note Programme |
| 3 AMTD Group Company Limited | U.S.\$500,000,000 Medium Term Note Programme |
| 4 AVIC International Leasing Co., Ltd. | US\$500,000,000 Medium Term Note Programme |
| 5 AVIC International Leasing Co., Ltd. | U.S.\$500,000,000 Medium Term Note Programme |
| 6 Azure Nova International Finance Limited | U.S.\$10,000,000,000 Medium Term Note Programme |
| 7 Azure Orbit II International Finance Limited | US\$1,000,000,000 Medium Term Note Programme |
| 8 Azure Orbit IV International Finance Limited | U.S.\$7,000,000,000 Medium Term Note Programme |
| 9 Bank of China (Luxembourg) S.A. | US\$30,000,000,000 Medium Term Note Programm |
| 10 Beijing Capital Polaris Investment Co. Ltd. | U.S.\$1,000,000,000 Medium Term Note Programme |
| 11 Bocom Leasing Management Hong Kong Company Limited | US\$3,000,000,000 Medium Term Note Programme |
| 12 Boom Up Investments Limited | US\$1,000,000,000 Medium Term Note Programme |
| 13 CALC Bonds Limited | US\$3,000,000,000 Medium Term Note Programme |
| 14 Castle Peak Power Finance Company Limited | U.S.\$2,000,000,000 Medium Term Note Programme |
| 15 Cathay Pacific MTN Financing Limited | US\$2 billion Medium Term Note Programme |
| 16 CCBL (Cayman) 1 Corporation Limited | US\$5,000,000,000 Guaranteed Medium Term Note Programme |
| 17 CDBI Treasure I Limited | US\$500,000,000 Medium Term Note Programme |
| 18 CDBL Funding 1 | U.S.\$3,000,000,000 Medium Term Note Programme |
| 19 CDBL Funding 1 | US\$3,000,000,000 Medium Term Note Programme |
| 20 CDBL Funding 2 | US\$3,000,000,000 Medium Term Note Programme |
| 21 Central Plaza Development Limited | US\$2,000,000,000 Guaranteed Medium Term Programme |
| 22 CGNPC INTERNATIONAL LIMITED | US\$2,000,000,000 Guaranteed Medium Term Note Programme |
| 23 Champion MTN Limited | US\$1,000,000,000 Guaranteed Medium Term Note Programme |
| 24 Charming Light Investments Ltd. and United Wealth Development Ltd. | US\$8,000,000,000 Medium Term Note Programme |
| 25 Cheung Kong Finance (MTN) Limited and Joynote Ltd | US\$2,000,000,000 Medium Term Note Programme |
| 26 Cheung Kong Infrastructure Finance (BVI) Limited | US\$2,000,000,000 Euro Medium Term Note Programme |

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| 27 | China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited | US\$3,000,000,000 Guaranteed Medium Term Note Programme |
| 28 | China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited | US\$10,000,000,000 Medium Term Note Programme |
| 29 | China Construction Bank (Asia) Corporation Limited | US\$5,000,000,000 Medium Term Note Programme |
| 30 | China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch | US\$30,000,000,000 Debt Issuance Programme |
| 31 | China Great Wall International Holdings III Limited | U.S.\$6,500,000,000 Medium Term Note Programme |
| 32 | CICC Hong Kong Finance 2016 MTN Limited | US\$3,000,000,000 Guaranteed Medium Term Note Programme |
| 33 | CITIC Securities Finance MTN Co., Ltd. | US\$3 billion Medium Term Note Programme |
| 34 | CK Property Finance (MTN) Limited | US\$5,000,000,000 Euro Medium Term Note Programme |
| 35 | CMB International Leasing Management Limited | US\$20,000,000,000 Medium Term Note Programme |
| 36 | CMB Wing Lung Bank Limited | U.S. \$2,000,000,000 Euro Medium Term Note Programme |
| 37 | CMBLEMTN1 Limited | U.S.\$5,000,000,000 Medium Term Note Programme |
| 38 | CNPC General Capital Limited | US\$7,000,000,000 Euro Medium Term Note Programme |
| 39 | Connery Capital Limited | US\$1,000,000,000 Guaranteed Medium Term Note Programme |
| 40 | COSL Singapore Capital Ltd. | US\$3,500,000,000 Euro Medium Term Note Programme |
| 41 | DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited | U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme |
| 42 | Eastern Creation II Investment Holdings Ltd. | US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme |
| 43 | Everbright SHK (BVI) Limited | US\$1,000,000,000 Medium Term Note Programme |
| 44 | Franshion Brilliant Limited | US\$2,000,000,000 Medium Term Note Programme |
| 45 | Future Days Limited | US\$3,000,000,000 Medium Term Note Programme |
| 46 | GLP China Holdings Limited | HK\$20,000,000,000 Medium Term Note Programme |
| 47 | Goodman HK Finance | US\$2,000,000,000 Medium Term Note Programme |
| 48 | Greenland Global Investment Limited | US\$5,000,000,000 Guaranteed Medium Term Notes Programme |
| 49 | Guangzhou Metro Investment Finance (BVI) Ltd. | US\$3,000,000,000 Guaranteed Medium Term Note Programme |
| 50 | HLP Finance Limited | US\$3 billion Medium Term Note Programme |
| 51 | Hong Kong Science and Technology Parks | HK\$1,707,000,000 Guaranteed Medium Term Note Programme |
| 52 | Horse Gallop Finance Limited | US\$2,000,000,000 Guaranteed Medium Term Note Programme |
| 53 | Horsepower Finance Limited | US \$1,200,000,000 Medium Term Note Programme |
| 54 | Huarong Finance 2017 Co., Ltd. | U.S.\$14,500,000,000 Medium Term Note Programme |
| 55 | Huarong Finance 2019 Co., Ltd. | US\$2,900,000,000 Medium Term Note Programme |
| 56 | Huarong Finance II Co., Ltd | US\$11,000,000,000 Medium Term Note Programme |
| 57 | Hysan (MTN) Limited | US\$1,500,000,000 Medium Term Note Programme |
| 58 | ICBCIL Finance Co. Limited | US\$10,000,000,000 Medium Term Note Programme |
| 59 | Industrial and Commercial Bank of Asia (China) Limited | U.S.\$6,000,000,000 Medium Term Note Programme |
| 60 | Industrial Bank Co., Ltd. | U.S.\$5,000,000,000 Medium Term Note Programme |
| 61 | Inventive Global Investments Limited | U.S.\$3,500,000,000 Medium Term Note Programme |
| 62 | Joyous Glory Group Limited | US\$1,000,000,000 Medium Term Note Programme |
| 63 | K.Wah International Financial Services Limited | US\$1,000,000,000 Medium Term Note Programme |
| 64 | Kunming Traffic Investment Co., Ltd. | US\$2,000,000,000 Medium Term Note Programme |
| 65 | Midea Investment Development Company Limited | US\$3,000,000,000 Medium Term Note Programme |
| 66 | Modern Terminals Capital Limited | US\$1 billion Medium Term Note Programme |
| 67 | MTR Corporation (C.I.) Limited | US\$4 billion Medium Term Note Programme |
| 68 | New World China Land Limited | US\$2,000,000,000 Medium Term Note Programme |
| 69 | NWD (MTN) Limited | US\$4,000,000,000 Medium Term Note Programme |
| 70 | NWS (MTN) Limited | US\$1,000,000,000 Medium Term Note Programme |
| 71 | PETRONAS Capital Limited | US\$15,000,000,000 Medium Term Note Programme |
| 72 | Power Assets Finance Limited | U.S.\$2,000,000,000 Medium Term Note Programme |
| 73 | Proven Glory Capital Limited | US\$5,000,000,000 Medium Term Note Programme |
| 74 | R-REIT International Finance Limited | US\$1,000,000,000 Guaranteed Medium Term Note Programme |
| 75 | RH International Finance Limited | US\$1,000,000,000 Medium Term Note Programme |
| 76 | Rongshi International Finance Limited | US\$3,000,000,000 Medium Term Note Programme |
| 77 | Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch | US\$5,000,000,000 Euro Medium Term Note Programme |
| 78 | Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited | US\$2,000,000,000 Medium Term Note Programme |
| 79 | Silverbell Asia Limited | US\$1,000,000,000 Medium Term Note Programme |
| 80 | Sino (MTN) Limited | US\$1,000,000,000 Medium Term Note Programme |
| 81 | Sinochem Offshore Capital Company Limited | US\$5,000,000,000 Global Medium Term Note Programme |
| 82 | Soar Great Limited | US\$1,500,000,000 Guaranteed Medium Term Note Programme |
| 83 | Soar Wise Limited | US\$1,000,000,000 Guaranteed Medium Term Note Programme |
| 84 | Splendid International Investment Limited | U.S.\$1,500,000,000 Medium Term Note Programme |
| 85 | Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank | US\$50,000,000,000 Debt Issuance Programme |
| 86 | State Elite Global Limited | US\$2,000,000,000 Medium Term Note Programme |
| 87 | State Grid Overseas Investment (2016) Limited | US\$10,000,000,000 Medium Term Note Programme |
| 88 | Sun Hung Kai Properties (Capital Market) Limited | US\$7,000,000,000 Medium Term Note Programme |
| 89 | Sunlight REIT MTN Limited | US\$1,000,000,000 Medium Term Note Programme |
| 90 | Swire Pacific MTN Financing (HK) Limited | US\$5,000,000,000 Medium Term Note Programme |
| 91 | Swire Pacific MTN Financing Limited | US\$5,000,000,000 Medium Term Note Programme |
| 92 | Swire Properties MTN Financing Limited | US\$3,000,000,000 Medium Term Note Programme |
| 93 | The Hongkong and Shanghai Banking Corporation Limited | US\$20,000,000,000 Medium Term Note Programme |
| 94 | The Hong Kong Mortgage Corporation Limited | US\$6,000,000,000 Medium Term Note Programme |
| 95 | The Link Finance (Cayman) 2009 Limited | US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme |
| 96 | Unican Limited | US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme |
| 97 | Urban Renewal Authority | US\$1 billion Medium Term Note Programme |
| 98 | Vanke Real Estate (Hong Kong) Company Limited | US\$9,500,000,000 Medium Term Note Programme |
| 99 | Westwood Group Holdings Limited | US\$3,000,000,000 Medium Term Note Programme |
| 100 | Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance | US\$7 billion Medium Term Note Programme |
| 101 | Wharf REIC Finance (BVI) Limited | U.S.\$3,000,000,000 Medium Term Note Programme |
| 102 | Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited | US\$5 billion Medium Term Note Programme |
| 103 | Yuexiu REIT MTN Company Limited | US\$1,500,000,000 Medium Term Note Programme |
| 104 | Zhejiang Energy International Limited | USD2,000,000,000 Medium Term Note Programme |

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

| 名稱 | 聯交所 股份代號 |
|--|-------------|
| 1 ABCL Glory Capital Limited | 5677 |
| 2 Agricultural Development Bank of China | 5180 |
| 3 Agricultural Development Bank of China | 5455 |
| 4 Agricultural Development Bank of China | 85737 |
| 5 Agricultural Development Bank of China | 85744 |
| 6 Agricultural Development Bank of China | 85901 |
| 7 Airvessel Finance Holding Limited | 5789 |
| 8 Alibaba Group Holding Limited | 5842 |
| 9 Alibaba Group Holding Limited | 5843 |
| 10 Alibaba Group Holding Limited | 5844 |
| 11 Alibaba Group Holding Limited | 5845 |
| 12 Amber Circle Funding Limited | 4586 |
| 13 Amber Treasure Ventures Limited | 5209 |
| 14 Amber Treasure Ventures Limited | 5243 |
| 15 Anhui Provincial Investment Group Holding Co., Ltd. | 5186 |
| 16 Anhui Transportation Holding Group (H.K.) Limited | 5158 |
| 17 Asia View Limited | 5788 |
| 18 AVIC International Finance & Investment Limited | 4497 |
| 19 AVIC International Finance & Investment Limited | 5966 |
| 20 Axiata SPV1 (Labuan) Limited | 4320 |
| 21 Azure Orbit III International Finance Limited | 5620 |
| 22 Azure Orbit III International Finance Limited | 5621 |
| 23 Azure Orbit International Finance Limited | 5909 |
| 24 Bank of China (Hong Kong) Limited | 4316 |
| 25 Baoji Investment (Group) Co., Ltd. | 5492 |
| 26 Baosteel Financing 2015 Pty Ltd | 5866 |
| 27 BCEG (HongKong) Company Limited | 5145 |
| 28 Beijing Enterprises Water Capital Management Limited | 4480 |
| 29 Beijing Gas Singapore Capital Corporation | 5213 |
| 30 Beijing State-Owned Assets Management (Hong Kong) Company Limited | 5520 |
| 31 Beijing State-Owned Assets Management (Hong Kong) Company Limited | 5521 |
| 32 Bi Hai Co., Ltd. | 5185 |
| 33 Blue Skyview Company Limited | 5364 |
| 34 Bluestar Finance Holdings Limited | 4550 |
| 35 Bluestar Finance Holdings Limited | 4556 |
| 36 Bluestar Finance Holdings Limited | 5089 |
| 37 Bluestar Finance Holdings Limited | 5599 |
| 38 BNY Trust Company of Australia Limited | 5766 |
| 39 Boom Up Investments Limited | 5544 |
| 40 Bright Galaxy International Limited | 5927 |
| 41 Cable and Wireless International Finance B.V. | 1077 |
| 42 Cable and Wireless International Finance B.V. | 1078 |
| 43 Caiyun International Investment Limited | 5682 |
| 44 Caiyun International Investment Limited | 5947 |
| 45 CALC Bond 2 Limited | 4300 |
| 46 CALC Bond 3 Limited | 5390 |
| 47 CALC Bond 3 Limited | 5391 |
| 48 CCB Life Insurance Company Limited | 5437 |
| 49 CCBL (Cayman) Corporation Limited | 5548 |
| 50 CCCI Treasure Limited | 5893 |
| 51 CCTI 2017 Ltd | 5258 |
| 52 CDBL Funding 1 | 5849 |
| 53 CDBL Funding 1 | 5850 |
| 54 Celestial Dynasty Limited | 5594 |
| 55 CELESTIAL MILES LIMITED | 5706 |
| 56 Central Plaza Development Ltd. | 5919 |
| 57 CGNPC International Limited | 5058 |
| 58 CGNPC International Limited | 5059 |
| 59 CGNPC International Limited | 5153 |
| 60 CGNPC International Limited | 5154 |
| 61 CGNPC International Limited | 5519 |
| 62 Chalco Hong Kong Investment Company Limited | 5151 |
| 63 Chalco Hong Kong Investment Company Limited | 5920 |
| 64 Chalco Hong Kong Investment Company Limited | 5727 |
| 65 Chalco Hong Kong Investment Company Limited | 5985 |
| 66 Chalieco Hong Kong Corporation Limited | 5321 |
| 67 Chalieco Hong Kong Corporation Limited | 5702 |
| 68 Chang Development International Limited | 5090 |
| 69 Chang Development International Limited | 5345 |
| 70 Changde Urban Construction and Investment Group Co., Ltd. | 5328 |
| 71 Changde Urban Construction and Investment Group Co., Ltd. | 5509 |
| 72 Changsha Pilot Investment Holdings Co., Ltd. | 5315 |
| 73 Charter Style International Limited | 85993 |
| 74 Chengdu Communications Investment Group Corporation Limited | 5062 |
| 75 Chengdu Communications Investment Group Corporation Limited | 5493 |
| 76 Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd. | 5751 |
| 77 Chengdu Hi-tech Investment Group Co., Ltd. | 5206 |
| 78 Chengdu Tianfu New Area Investment Group Co., Ltd | 6011 |
| 79 Chengdu Xingcheng Investment Group Co., Ltd. | 5314 |
| 80 Cheung Kong Bond Securities (02) Limited | 4559 |
| 81 Cheung Kong Bond Securities (03) Limited | 4595 |
| 82 Cheung Kong Infrastructure Finance (BVI) Limited | 5060 |
| 83 China Bright (Hong Kong) Limited | 5937 |

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| 84 | China Cinda Finance (2014) Limited | 5748 |
| 85 | China CITIC Bank International Limited | 5199 |
| 86 | China CITIC Bank International Limited | 5728 |
| 87 | China CITIC Bank International Limited | 5831 |
| 88 | China CITIC Bank International Limited | 5992 |
| 89 | China CITIC Bank International Limited | 4594 |
| 90 | China Clean Energy Development Limited | 5568 |
| 91 | China Development Bank Corporation | 85904 |
| 92 | China Development Bank Corporation | 85905 |
| 93 | China Development Bank Corporation | 85934 |
| 94 | China Energy Reserve and Chemicals Group Holding Limited | 5639 |
| 95 | China Energy Reserve and Chemicals Group Overseas Company Limited | 5503 |
| 96 | China Huadian Overseas Development 2018 Limited | 4491 |
| 97 | China Huadian Overseas Development Management Company Limited | 4533 |
| 98 | China Life Insurance (Overseas) Co., Ltd | 5250 |
| 99 | China Merchants Finance Company Limited | 4548 |
| 100 | China Merchants Finance Company Limited | 5554 |
| 101 | China Merchants Finance Company Limited | 5555 |
| 102 | China Minmetals Corporation | 4442 |
| 103 | China Minmetals Corporation | 5009 |
| 104 | China Overseas Finance (Cayman) II Limited | 4503 |
| 105 | China Overseas Finance (Cayman) III Limited | 5988 |
| 106 | China Overseas Finance (Cayman) III Limited | 5989 |
| 107 | China Overseas Finance (Cayman) V Limited | 4579 |
| 108 | China Overseas Finance (Cayman) V Limited | 4580 |
| 109 | China Overseas Finance (Cayman) VI Limited | 5746 |
| 110 | China Overseas Finance (Cayman) VI Limited | 5760 |
| 111 | China Overseas Finance (Cayman) VII Limited | 4475 |
| 112 | China Overseas Finance (Cayman) VII Limited | 4476 |
| 113 | China Overseas Finance Investment (Cayman) V Limited | 5600 |
| 114 | China Overseas Grand Oceans Finance IV (Cayman) Limited | 5106 |
| 115 | China Overseas Land International (Cayman) Limited | 5541 |
| 116 | China Railway Resources Huitung Limited | 5904 |
| 117 | China Railway XunJie Co., Limited | 5244 |
| 118 | China Railway XunJie Co., Limited | 5692 |
| 119 | China Resources Power East Foundation Co., Ltd. | 4516 |
| 120 | China Shenhua Overseas Capital Company Limited | 5862 |
| 121 | China Shenhua Overseas Capital Company Limited | 5863 |
| 122 | China Southern Power Grid International Finance (BVI 2018) Co., Limited | 5166 |
| 123 | China Southern Power Grid International Finance (BVI 2018) Co., Limited | 5167 |
| 124 | China Southern Power Grid International Finance (BVI) Co., Limited | 4583 |
| 125 | China Southern Power Grid International Finance (BVI) Co., Limited | 4598 |
| 126 | China State Construction Finance (Cayman) I Limited | 5462 |
| 127 | China State Construction Finance (Cayman) II Limited | 5037 |
| 128 | China State Construction Finance (Cayman) II Limited | 5038 |
| 129 | China Taiping Capital Limited | 4582 |
| 130 | China Taiping New Horizon Limited | 5984 |
| 131 | China Yangtze Power International (BVI) 1 Limited | 5300 |
| 132 | China Yangtze Power International (BVI) 2 Limited | 5301 |
| 133 | Chinalco Capital Holdings Limited | 4301 |
| 134 | Chinalco Capital Holdings Limited | 5438 |
| 135 | Chinalco Finance Holdings Limited | 5854 |
| 136 | Chiyu Banking Corporation Limited | 5034 |
| 137 | Chongqing Casin Group Co., Ltd | 5595 |
| 138 | Chongqing Energy Investment Group Co., Ltd. | 5898 |
| 139 | Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd. | 4562 |
| 140 | Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd. | 5488 |
| 141 | Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd. | 5699 |
| 142 | Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd. | 5157 |
| 143 | Chouzhou International Investment Limited | 4542 |
| 144 | Chouzhou International Investment Limited | 5056 |
| 145 | CITIC Telecom International Finance Limited | 5908 |
| 146 | CLP Power HK Finance Ltd. | 5740 |
| 147 | CLP Power Hong Kong Financing Limited | 4306 |
| 148 | CLP Power Hong Kong Financing Limited | 4318 |
| 149 | CMHI Finance (BVI) Co., Ltd | 5134 |
| 150 | CMHI Finance (BVI) Co., Ltd | 5135 |
| 151 | CMOC Capital Limited | 5722 |
| 152 | CNI Capital Limited | 85725 |
| 153 | CNOOC Curtis Funding No. 1 Pty Ltd | 5972 |
| 154 | CNOOC Curtis Funding No. 1 Pty Ltd | 5973 |
| 155 | CNOOC Finance (2003) Limited | 2568 |
| 156 | CNOOC Finance (2011) Limited | 4506 |
| 157 | CNOOC Finance (2011) Limited | 4507 |
| 158 | CNOOC Finance (2012) Limited | 4546 |
| 159 | CNOOC Finance (2012) Limited | 4547 |
| 160 | CNOOC Finance (2013) Limited | 5941 |
| 161 | CNOOC Finance (2013) Limited | 5942 |
| 162 | CNOOC Finance (2015) Australia Pty Ltd | 5506 |
| 163 | CNOOC Finance (2015) Australia Pty Ltd | 5507 |
| 164 | CNOOC Finance (2015) U.S.A. LLC | 4478 |
| 165 | CNOOC Finance (2015) U.S.A. LLC | 4479 |
| 166 | CNOOC Finance (2015) U.S.A. LLC | 5508 |
| 167 | CNOOC Nexen Finance (2014) ULC | 5738 |
| 168 | CNOOC Nexen Finance (2014) ULC | 5739 |
| 169 | CNPC (HK) Overseas Capital Ltd. | 4513 |
| 170 | CNPC (HK) Overseas Capital Ltd. | 4514 |
| 171 | CNPC General Capital Limited | 4544 |

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| 172 | CNPC General Capital Limited | 5923 |
| 173 | Coastal Emerald Limited | 5529 |
| 174 | COSCO Pacific Finance (2013) Company Limited | 5900 |
| 175 | COSL Finance (BVI) Limited | 4563 |
| 176 | Cosmos Boom Investment Limited | 5534 |
| 177 | CRCC Chengan Limited | 5581 |
| 178 | CRCC Yupeng Limited | 5778 |
| 179 | CRCC Yuxiang Limited | 5945 |
| 180 | CSCEC Finance (Cayman) I Limited | 5574 |
| 181 | CSCEC Finance (Cayman) II Limited | 5232 |
| 182 | CSCEC Finance (Cayman) II Limited | 5233 |
| 183 | CSCEC Finance (Cayman) II Limited | 5669 |
| 184 | CSCI Finance (2015) Co. Ltd | 5564 |
| 185 | CSSC Capital One Limited | 5181 |
| 186 | CSSC Capital Two Limited | 5182 |
| 187 | Dah Sing Bank, Limited | 5316 |
| 188 | Dah Sing Bank, Limited | 5524 |
| 189 | Dah Sing Bank, Limited | 6021 |
| 190 | Dangdai International Investments Ltd | 5027 |
| 191 | Dianjian Haixing Limited | 5816 |
| 192 | Double Rosy Limited | 5829 |
| 193 | DYNAMIC MISSION INVESTMENTS LIMITED | 5386 |
| 194 | Ease Trade Global Limited | 4458 |
| 195 | Eastern Air Overseas (Hong Kong) Corporation Limited | 5022 |
| 196 | ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED | 5814 |
| 197 | Excel Capital Global Limited | 4496 |
| 198 | Expand Lead Limited | 5165 |
| 199 | First FZ Bond Limited | 5275 |
| 200 | Fita International Limited | 4315 |
| 201 | FPC Capital Limited | 5107 |
| 202 | FPC Treasury Limited | 5924 |
| 203 | Franshion Brilliant Limited | 5030 |
| 204 | Franshion Brilliant Limited | 5229 |
| 205 | Franshion Brilliant Limited | 5289 |
| 206 | Franshion Brilliant Limited | 5347 |
| 207 | Franshion Brilliant Limited | 5385 |
| 208 | Franshion Brilliant Limited | 5472 |
| 209 | Fujian Zhanglong Group Co., Ltd. | 5331 |
| 210 | Full Dragon (Hong Kong) International Development Limited | 4428 |
| 211 | FWD Group Limited | 5019 |
| 212 | FWD Group Limited | 5221 |
| 213 | FWD Limited | 4416 |
| 214 | FWD Limited | 5351 |
| 215 | FWD Limited | 5803 |
| 216 | Gansu Province Electric Power Investment Group Co., Ltd. | 5466 |
| 217 | Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd. | 5057 |
| 218 | Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd. | 5132 |
| 219 | Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd. | 5304 |
| 220 | Gaoxin International Investment Co., Ltd | 5335 |
| 221 | Gemdale Ever Prosperity Investment Limited | 4578 |
| 222 | GOHL Capital Limited | 5352 |
| 223 | GRAM International Limited | 5587 |
| 224 | Granda Century Limited | 5152 |
| 225 | Green Fortune Capital Limited | 5288 |
| 226 | Greenland Global Investment Limited | 5768 |
| 227 | Greenland Global Investment Limited | 5769 |
| 228 | Guang Ying Investment Limited | 4492 |
| 229 | Guangsha Holding Group Co., Ltd. | 5456 |
| 230 | Guangxi Communications Investment Group Corporation. Ltd | 5921 |
| 231 | Guangxi Financial Investment Group Co., Ltd. | 5098 |
| 232 | GUANGZHOU Silk Road Investment Limited | 5323 |
| 233 | Guotai Junan Holdings Limited | 5853 |
| 234 | Haichuan International Investment Co., Ltd. | 4538 |
| 235 | Haichuan International Investment Co., Ltd. | 5460 |
| 236 | Haimen Zhongnan Investment Development (International) Co., Ltd. | 4585 |
| 237 | Haitian (BVI) International Investment Development Limited | 5061 |
| 238 | Haitong International Finance 2014 Limited | 5801 |
| 239 | Haitong International Finance 2015 Limited | 5867 |
| 240 | Haitong International Finance Holdings 2015 Limited | 5892 |
| 241 | Hangzhou Fuyang Chengtuo Group (HK) Limited | 5469 |
| 242 | Hanrui Overseas Investment Co Ltd | 5081 |
| 243 | Harvest International Company | 5024 |
| 244 | Henson Finance Limited | 4312 |
| 245 | Hesteel Hong Kong Co., Limited | 5417 |
| 246 | Hong Kong Red Star Macalline Universal Home Furnishings Limited | 5278 |
| 247 | Hong Kong Sukuk 2014 Limited | 4223 |
| 248 | Hong Kong Sukuk 2015 Limited | 4226 |
| 249 | Hong Kong Sukuk 2017 Limited | 4233 |
| 250 | Hongkong Baorong Development Limited | 5465 |
| 251 | Hongkong Electric Finance Limited | 4505 |
| 252 | Hongkong International (Qingdao) Company Limited | 5023 |
| 253 | Hongkong International (Qingdao) Company Limited | 5048 |
| 254 | Hongkong International (Qingdao) Company Limited | 5191 |
| 255 | Hongkong International (Qingdao) Company Limited | 5874 |
| 256 | Hongkong International (Qingdao) Company Limited | 5875 |
| 257 | HongKong Xiangyu Investment Co., Limited | 4409 |
| 258 | Huafa 2019 I Company Limited | 5976 |
| 259 | Huafa Group 2018 I Company Limited | 5150 |
| 260 | Huai'an Traffic Holding Co., Ltd. | 5784 |

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| 261 | Huai'an Water Conservancy Holding Group Co., Ltd. | 6009 |
| 262 | Huaibei City Construction Investment Holding Group Company Limited | 5474 |
| 263 | Huaibei City Construction Investment Holding Group Company Limited | 5946 |
| 264 | Huaneng Hong Kong Capital Limited | 5000 |
| 265 | Huantaihu International investment Co., Ltd | 5413 |
| 266 | Huarong Finance Co., Ltd. | 5774 |
| 267 | Huasing International Holdings Co., Limited | 4448 |
| 268 | Huasing International Holdings Co., Limited | 4449 |
| 269 | Huatai International Finance I Limited | 5812 |
| 270 | Huaxin Pharmaceutical (Hong Kong) Co., Ltd. | 4447 |
| 271 | Huaxing Investment Holding Company Limited | 5363 |
| 272 | Huayi Finance I Ltd. | 5847 |
| 273 | HUAYUAN PROPERTY CO., LTD | 5922 |
| 274 | Huayuan Property Co., Ltd. | 5239 |
| 275 | Hubei Science & Technology Investment Group (Hong Kong) Company Limited | 4431 |
| 276 | Hunan Xiangjiang New Area Development Group Co Ltd | 5223 |
| 277 | Huzhou City Investment Development Group Co., Ltd. | 5074 |
| 278 | Huzhou Communications Investment Group Co., Ltd. | 85740 |
| 279 | ICBCIL Finance Co. Limited | 5884 |
| 280 | IFC Development (Corporate Treasury) Limited | 5715 |
| 281 | IFC Development (Corporate Treasury) Limited | 5756 |
| 282 | IFC Development (Corporate Treasury) Limited | 5757 |
| 283 | IFC Development (Corporate Treasury) Limited | 6000 |
| 284 | Industrial and Commercial Bank of China (Asia) Limited | 5686 |
| 285 | Industrial and Commercial Bank of China (Asia) Limited | 5976 |
| 286 | Industrial and Commercial Bank of China (Macau) Limited | 5797 |
| 287 | Intellect Aim Management Ltd. | 6003 |
| 288 | Jiangsu Zhongguancun Holding Group (International) Co Ltd | 5956 |
| 289 | Jiangxi Railway Investment Group Corporation | 5770 |
| 290 | JIC Zhixin Limited | 5031 |
| 291 | JIC Zhixin Limited | 5032 |
| 292 | Jinan Hi-tech Holding Group Co., Ltd. | 5481 |
| 293 | Jinan West City Investment and Development Group Co., Ltd. | 4588 |
| 294 | Jinke Property Group Co., Ltd. | 5292 |
| 295 | Jinshine International Co., Ltd. | 4451 |
| 296 | Jisco SR Pearl Ltd. | 5953 |
| 297 | Jiuding Group Finance Co., Ltd | 5246 |
| 298 | Joy Treasure Assets Holdings Inc. | 5901 |
| 299 | Joy Treasure Assets Holdings Inc. | 5903 |
| 300 | Keen Idea Global Limited | 5393 |
| 301 | King Power Capital Ltd. | 5822 |
| 302 | King Power Capital Ltd. | 5823 |
| 303 | King Talent Management Limited | 5026 |
| 304 | Korean Reinsurance Company | 5815 |
| 305 | Kunming Dianchi Investment Co. Ltd. | 5569 |
| 306 | Kunzhi Limited | 4464 |
| 307 | Kunzhi Limited | 5100 |
| 308 | Lai Fung Bonds (2018) Limited | 5087 |
| 309 | Legend Fortune Limited | 5128 |
| 310 | Liang Shan International Development Limited | 5585 |
| 311 | Lingang Wings Inc | 5149 |
| 312 | Liuzhou Dongtong Investment & Development Co., Ltd. | 4329 |
| 313 | LS Finance (2022) Limited | 4571 |
| 314 | LS Finance (2025) Limited | 5535 |
| 315 | LSD Bonds (2017) Limited | 5270 |
| 316 | Luso International Banking Limited | 5078 |
| 317 | Malaysia Sovereign Sukuk Berhad | 5894 |
| 318 | Malaysia Sovereign Sukuk Berhad | 5895 |
| 319 | Malaysia Sukuk Global Berhad | 5633 |
| 320 | Malaysia Sukuk Global Berhad | 5634 |
| 321 | MCC Holding (Hong Kong) Corporation Limited | 4483 |
| 322 | MCC Holding (Hong Kong) Corporation Limited | 5210 |
| 323 | Mei Nian Investment Limited | 5932 |
| 324 | MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED | 5552 |
| 325 | MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED | 5553 |
| 326 | MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED | 5688 |
| 327 | MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED | 5689 |
| 328 | Minmetals Land Capital Limited | 5933 |
| 329 | Mudanjiang City Investment Group Co., Ltd. | 5297 |
| 330 | Nanjing Yangzi State-owned Assets Investment Group Co., Ltd | 5053 |
| 331 | Nanjing Yangzi State-owned Assets Investment Group Co., Ltd | 5054 |
| 332 | Nanyang Commercial Bank, Limited | 5214 |
| 333 | New Lion Bridge Company Limited | 5290 |
| 334 | New Rose Investments Limited | 5344 |
| 335 | Nimble City Limited | 5144 |
| 336 | Nuoxi Capital Limited | 4401 |
| 337 | Nuoxi Capital Limited | 4402 |
| 338 | Nuoxi Capital Limited | 5365 |
| 339 | Nuoxi Capital Limited | 5436 |
| 340 | Nuoxi Capital Limited | 5484 |
| 341 | Nuoxi Capital Limited | 5704 |
| 342 | Nuoxi Capital Limited | 5996 |
| 343 | NWD Finance (BVI) Limited | 4561 |
| 344 | NWD Finance (BVI) Limited | 5856 |
| 345 | Oceanwide Holdings International 2015 Co., Limited | 5557 |
| 346 | Oceanwide Holdings International 2017 Co., Limited | 5251 |
| 347 | Oceanwide Holdings International Development III Co., Ltd. | 4532 |
| 348 | Oceanwide Holdings International Development III Co., Ltd. | 5196 |
| 349 | Oceanwide Real Estate International Holding Company Limited | 5798 |
| 350 | Orient Huizhi Limited | 5041 |

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| 351 | Oriental Capital Company Limited | 5305 |
| 352 | Oversea-Chinese Banking Corporation Limited | 85912 |
| 353 | OVPH Limited | 5614 |
| 354 | PB Issuer (No.4) Limited | 5525 |
| 355 | Peixian State-owned Assets Management Company Limited | 5336 |
| 356 | Perfect Point Ventures Limited | 4566 |
| 357 | Petron Corporation | 5905 |
| 358 | PETRONAS Global Sukuk Ltd. | 5882 |
| 359 | PHBS Limited | 4325 |
| 360 | Phoenix Charm International Investment Limited | 5332 |
| 361 | Phoenix Lead Limited | 5262 |
| 362 | Pingxiang Urban Construction Investment Developing Company | 4535 |
| 363 | Pioneer Reward Limited | 4530 |
| 364 | Poly Real Estate Finance Ltd | 4417 |
| 365 | Poly Real Estate Finance Ltd | 5164 |
| 366 | Poly Real Estate Finance Ltd | 5916 |
| 367 | Power Best Global Investments Limited | 5940 |
| 368 | Powerchina Real Estate Group Ltd. | 5471 |
| 369 | Press Metal (Labuan) Ltd. | 5299 |
| 370 | Prosperous Ray Limited | 5994 |
| 371 | Proven Honour Capital Limited | 5516 |
| 372 | Proven Honour Capital Limited | 5641 |
| 373 | PTT Public Company Limited | 2527 |
| 374 | Qingdao Jiaozhou Bay Development Co., Ltd. | 5117 |
| 375 | Qingdao Jiaozhou Bay Development Group Co., Ltd. | 5951 |
| 376 | Qinghai Provincial Investment Group Co., Ltd. | 4450 |
| 377 | Qinghai Provincial Investment Group Co., Ltd. | 5380 |
| 378 | Radiant Access Limited | 5205 |
| 379 | Rail Transit International Investment Company Limited | 5644 |
| 380 | Reward International Investment Limited | 5360 |
| 381 | RKI Overseas Finance 2016 (A) Limited | 5695 |
| 382 | RKI Overseas Finance 2016 (B) Limited | 4309 |
| 383 | Rongshi International Finance Limited | 5448 |
| 384 | Rongshi International Finance Limited | 5449 |
| 385 | Rosy Delta Investments Limited | 5097 |
| 386 | SCIG International Financial Limited | 5174 |
| 387 | SDG FINANCE I LIMITED | 4539 |
| 388 | SDG FINANCE I LIMITED | 5192 |
| 389 | SDSC Global Finance Limited | 5303 |
| 390 | SDSC International Finance Limited | 5827 |
| 391 | SF Holding Investment Limited | 5130 |
| 392 | Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd. | 5317 |
| 393 | Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd. | 5504 |
| 394 | Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd. | 5935 |
| 395 | Shandong Commercial Group Co., Ltd. | 5512 |
| 396 | Shandong Energy Australia Pty Ltd | 5248 |
| 397 | Shandong Iron and Steel Xinheng International Company Limited | 4453 |
| 398 | Shandong Iron and Steel Xinheng International Company Limited | 5063 |
| 399 | Shandong Iron and Steel Xinheng International Company Limited | 5470 |
| 400 | Shandong Iron and Steel Xinheng International Company Limited | 5601 |
| 401 | Shanghai Commercial Bank Limited | 5043 |
| 402 | Shanghai Commercial Bank Limited | 5530 |
| 403 | Shanghai Electric Power Finance Limited | 5558 |
| 404 | Shanghai Port Group (BVI) Development Co., Limited | 4587 |
| 405 | Shanghai Port Group (BVI) Holding Co., Limited | 5255 |
| 406 | Shanghai Port Group (BVI) Holding Co., Limited | 5256 |
| 407 | Shangrao Investment Holdings International Company Limited | 4429 |
| 408 | Shanghai (Hong Kong) International Investments Limited | 5435 |
| 409 | Shaoxing City Investment Group Limited | 5489 |
| 410 | Shine Power International Limited | 5777 |
| 411 | Si Fa Investment Limited | 5490 |
| 412 | Sichuan Communications Overseas Development Co., Ltd. | 5399 |
| 413 | Sichuan Railway Investment Group Co., Ltd. | 5575 |
| 414 | SIHC International Capital Ltd | 5176 |
| 415 | SIHC International Capital Ltd | 5177 |
| 416 | Silver Sparkle Limited | 5697 |
| 417 | Sino Trendy Investment Limited | 4407 |
| 418 | Sinochem Global Capital Co., Ltd. | 5935 |
| 419 | Sinochem Overseas Capital Company Limited | 4501 |
| 420 | Sinochem Overseas Capital Company Limited | 4502 |
| 421 | Sino-Ocean Land Treasure Finance I Limited | 5781 |
| 422 | Sino-Ocean Land Treasure Finance I Limited | 5782 |
| 423 | Sino-Ocean Land Treasure Finance II Limited | 5868 |
| 424 | Sino-Ocean Land Treasure Finance II Limited | 5869 |
| 425 | Sino-Ocean Land Treasure III Limited | 5276 |
| 426 | Sino-Ocean Land Treasure IV Limited | 5131 |
| 427 | Sino-Ocean Land Treasure IV Limited | 5712 |
| 428 | Skyland Mining (BVI) Limited | 5228 |
| 429 | Skysea International Capital Management Limited | 4529 |
| 430 | SmarTone Finance Limited | 5917 |
| 431 | Societe Generale | 5947 |
| 432 | Societe Generale | 5959 |
| 433 | Societe Generale | 5960 |
| 434 | Societe Generale | 5961 |
| 435 | Societe Generale | 5962 |
| 436 | SPIC 2016 US dollar Bond Company Limited | 5324 |
| 437 | SPIC 2016 US dollar Bond Company Limited | 5325 |
| 438 | SPIC 2018 USD Senior Perpetual Bond Company Limited | 5453 |
| 439 | SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l. | 5193 |

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| 440 | SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l. | 5194 |
| 441 | State Grid Overseas Investment (2013) Limited | 5954 |
| 442 | State Grid Overseas Investment (2013) Limited | 5955 |
| 443 | State Grid Overseas Investment (2014) Limited | 5742 |
| 444 | State Grid Overseas Investment (2014) Limited | 5743 |
| 445 | State Grid Overseas Investment (2016) Limited | 5649 |
| 446 | State Grid Overseas Investment (2016) Limited | 5650 |
| 447 | State Grid Overseas Investment (2016) Limited | 5651 |
| 448 | State Grid Overseas Investment (2016) Limited | 5652 |
| 449 | Strategic International Group Limited | 5241 |
| 450 | Sunny Express Enterprises Corp. | 5906 |
| 451 | Sunshine Life Insurance Corporation Limited | 5628 |
| 452 | Sunshine Life Insurance Corporation Limited | 5629 |
| 453 | Suqian Economic Development (BVI) Co., Limited | 5302 |
| 454 | Taikang Insurance Group Inc. | 5349 |
| 455 | Taizhou Infrastructure Construction Investment Group Co., Ltd | 5197 |
| 456 | The Hongkong and Shanghai Banking Corporation Limited | 4323 |
| 457 | Tianjin Lingang Investment Holding Co., Ltd. | 5148 |
| 458 | Tianjin Real Estate Group Co., Ltd | 5286 |
| 459 | Tianqi Finco Co., Ltd | 5036 |
| 460 | Tongfang Aqua 2017 Limited | 4454 |
| 461 | Top Luxury Investment Limited | 5598 |
| 462 | Top Wise Excellence Enterprise Co., Ltd. | 5402 |
| 463 | Towngas (Finance) Limited | 5749 |
| 464 | Towngas (Finance) Limited | 6018 |
| 465 | Trade Horizon Global Limited | 5133 |
| 466 | Treasure Generator Limited | 5709 |
| 467 | Triceratops Capital Co., Limited | 4408 |
| 468 | Tsinghua Unic Limited | 4413 |
| 469 | Tsinghua Unic Limited | 4414 |
| 470 | Tsinghua Unic Limited | 4415 |
| 471 | Tuspark Forward Ltd. | 4599 |
| 472 | Tuspark Forward Ltd. | 5143 |
| 473 | Tuspark Forward Ltd. | 5857 |
| 474 | Tuspark Forward Ltd. | 5735 |
| 475 | TVB Finance Limited | 4577 |
| 476 | UHI Capital Ltd. | 4572 |
| 477 | Unigroup International Holdings Ltd. | 5597 |
| 478 | Union Life Insurance Co., Ltd. | 4322 |
| 479 | Urumqi Gaoxin Investment and Development Group Co., Ltd. | 5073 |
| 480 | Vertex Capital Investment Limited | 5931 |
| 481 | Wakala Global Sukuk Berhad | 4519 |
| 482 | Wanda Properties Global Co. Limited | 5835 |
| 483 | Wanda Properties International Co. Limited | 6023 |
| 484 | Want Want China Finance Limited | 5446 |
| 485 | Weifang Urban Construction and Development Investment Group Co., Ltd. | 5189 |
| 486 | Wuhan Financial Holdings (Group) Co., Ltd. | 5498 |
| 487 | Wuhan Metro Group Co., Ltd. | 5467 |
| 488 | Wuhan Metro Group Co., Ltd. | 5939 |
| 489 | Wuhan Real Estate Development & Investment Group Co., Ltd. | 5139 |
| 490 | Wuhan State-owned Assets Management Limited Company | 5070 |
| 491 | Xi Yang Overseas Limited | 4565 |
| 492 | Xiangxing Overseas Investment Co., Ltd. | 5329 |
| 493 | Xiangyu Investment (BVI) Co., Ltd. | 5572 |
| 494 | Xiangyu Investment (BVI) Co., Ltd. | 5683 |
| 495 | Xihui Haiwai I Investment Holdings Co., Limited | 5990 |
| 496 | Xin Fu (BVI) Co., Limited | 5985 |
| 497 | Xingang International Holding Limited | 5330 |
| 498 | Xingsheng (BVI) Company Limited | 5172 |
| 499 | Xinhu (BVI) 2018 Holding Company Limited | 4569 |
| 500 | Xinhu (BVI) 2018 Holding Company Limited | 5497 |
| 501 | Xinhu (BVI) 2018 Holding Company Limited | 5889 |
| 502 | Xinhu (BVI) Holding Company Limited | 5382 |
| 503 | Xinjiang Financial Investment Co., Ltd. | 5907 |
| 504 | Xinjiang Transportation Construction Investment Holding Co. Ltd. | 5570 |
| 505 | Xinjiang Transportation Construction Investment Holding Co. Ltd. | 5952 |
| 506 | Xinjiang Zhongtai (Group) Co., Ltd. | 4567 |
| 507 | Xinxing Industry Investment Company Limited | 5065 |
| 508 | Yancoal International Resources Development Co., Limited | 4552 |
| 509 | Yancoal International Resources Development Co., Limited | 5424 |
| 510 | Yancoal International Resources Development Co., Ltd | 5461 |
| 511 | Yancoal International Trading Co., Limited | 5753 |
| 512 | Yango (Cayman) Investment Limited | 4470 |
| 513 | Yango (Cayman) Investment Limited | 5414 |
| 514 | Yangzhou Economic and Technological Development Zone Development Corporation | 5513 |
| 515 | Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd. | 5072 |
| 516 | Yankuang Group (Cayman) Limited | 5044 |
| 517 | Yankuang Group (Cayman) Limited | 5705 |
| 518 | Yichang High-Tech Investment Development Co., Ltd. | 5495 |
| 519 | Yihua Overseas Investment Ltd | 5293 |
| 520 | Yinchuan Tonglian Capital Investment Operation Co., Ltd. | 5247 |
| 521 | Yingde Gases Investment Limited | 5793 |
| 522 | YONGDA Investment Limited | 5543 |
| 523 | Yunnan Energy Investment Overseas Finance Company Ltd. | 5012 |
| 524 | Yunnan Energy Investment Overseas Finance Company Ltd. | 5013 |
| 525 | Yunnan Energy Investment Overseas Finance Company Ltd. | 5326 |
| 526 | Yunnan Energy Investment Overseas Finance Company Ltd. | 5327 |
| 527 | Yunnan Energy Investment Overseas Finance Company Ltd. | 5457 |
| 528 | Yuyao Economic Development Zone Construction Investment and Development Co., Ltd | 5494 |
| 529 | Yuyao Economic Development Zone Construction Investment and Development Co., Ltd | 5998 |

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| 530 | Zhangzhou Transportation Development Group Co., Ltd. | 5584 |
| 531 | Zhaohai Investment (BVI) Limited | 5547 |
| 532 | Zhaojin Mining International Finance Limited | 5851 |
| 533 | Zhejiang Baron (BVI) Company Limited | 5146 |
| 534 | Zhengzhou Metro Group Co., Ltd. | 5496 |
| 535 | Zhejiang Cultural Tourism Industry Group Co., Ltd. | 5319 |
| 536 | Zhongtai International Bond 2017 (BVI) Company Limited | 5263 |
| 537 | Zhongtai International Finance (BVI) Company Limited | 5919 |
| 538 | Zhongyuan Sincere Investment Co. Ltd. | 5095 |
| 539 | Zhongyuan Sincere Investment Co. Ltd. | 5596 |
| 540 | Zhoushan City Investment Group Corporation Limited | 5487 |
| 541 | Zhuj Development Limited | 5480 |
| 542 | Zhuzhou City Construction Development Group Co., Ltd. | 4531 |
| 543 | Zhuzhou City Construction Development Group Co., Ltd. | 5710 |
| 544 | Zijin International Capital Company Limited | 5188 |

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

| 名稱 | 聯交所 股份代號 | |
|----|--|------|
| 1 | Xtrackers FTSE Vietnam Swap UCITS ETF* | 3087 |
| 2 | Xtrackers FTSE China 50 UCITS ETF | 3007 |
| 3 | Xtrackers MSCI Brazil UCITS ETF | 3048 |
| 4 | Xtrackers MSCI Korea UCITS ETF | 2848 |
| 5 | Xtrackers MSCI Pacific ex Japan UCITS ETF | 3043 |
| 6 | Xtrackers MSCI Russia Capped Swap UCITS ETF* | 3027 |
| 7 | Xtrackers MSCI Taiwan UCITS ETF | 3036 |
| 8 | Xtrackers MSCI USA Swap UCITS ETF* | 3020 |
| 9 | Xtrackers MSCI World Swap UCITS ETF* | 3019 |
| 10 | Xtrackers Nifty 50 Swap UCITS ETF* | 3015 |
| 11 | Xtrackers CSI300 Swap UCITS ETF* | 3049 |
| 12 | Xtrackers MSCI China UCITS ETF | 3055 |
| 13 | Xtrackers MSCI Indonesia Swap UCITS ETF* | 3099 |
| 14 | Xtrackers MSCI Malaysia UCITS ETF | 3082 |
| 15 | Xtrackers MSCI Thailand UCITS ETF | 3092 |
| 16 | Xtrackers MSCI Philippines UCITS ETF | 3016 |
| 17 | Xtrackers MSCI Singapore UCITS ETF | 3065 |

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

| 名稱 | |
|----|--------------|
| 1 | 中國創盈市場服務有限公司 |
| 2 | 中國投資信息有限公司 |

第5類豁免：適用於屬結算參與者的結算所

| 名稱 | |
|----|----------------|
| 1 | 中國證券登記結算有限責任公司 |