

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986 及 40276)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	
6 京東集團股份有限公司	9618	
7 網易公司	9999	

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解 1)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2021年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解 2)	2017年3月16日	2021年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2020年7月22日	2021年7月31日
12 SG Issuer	2016年4月1日	2021年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。
 注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。
 注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$2,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programm
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21 CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22 Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
23 CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24 Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme

25	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
26	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
27	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
28	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
29	China Cinda (2020) I Management Limited	U.S. \$2,000,000,000 Guaranteed Medium Term Note Programme
30	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
31	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
32	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
33	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
34	China Great Wall International Holdings III Limited	U.S. \$6,500,000,000 Medium Term Note Programme
35	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
36	CICC Hong Kong Finance 2016 MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
37	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
38	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
39	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
40	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
41	CMBLEMTN1 Limited	U.S. \$5,000,000,000 Medium Term Note Programme
42	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
43	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
44	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
45	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S. \$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
46	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
47	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
48	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
49	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
50	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
51	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
52	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
53	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
54	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
55	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
56	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
57	HLP Finance Limited	US\$4 billion Medium Term Note Programme
58	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
59	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
60	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
61	Huarong Finance 2017 Co., Ltd.	U.S. \$14,500,000,000 Medium Term Note Programme
62	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
63	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
64	Huarong Leasing Management Hong Kong Company Limited	U.S. \$1,000,000,000 Medium Term Note Programme
65	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
66	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
67	Industrial and Commercial Bank of Asia (China) Limited	U.S. \$6,000,000,000 Medium Term Note Programme
68	Industrial Bank Co., Ltd.	U.S. \$5,000,000,000 Medium Term Note Programme
69	Inventive Global Investments Limited	U.S. \$3,500,000,000 Medium Term Note Programme
70	Inventive Global Investments Limited	U.S. \$3,500,000,000 Medium Term Note Programme
71	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
72	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
73	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
74	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
75	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
76	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
77	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
78	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
79	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
80	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
81	Power Assets Finance Limited	U.S. \$2,000,000,000 Medium Term Note Programme
82	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
83	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
84	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
85	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
86	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
87	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
88	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
89	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
90	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
91	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
92	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
93	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S. \$3,000,000,000 Medium Term Note and Perpetual Securities Programme
94	Splendid International Investment Limited	U.S. \$1,500,000,000 Medium Term Note Programme
95	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
96	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
97	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
98	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
99	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
100	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
101	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme

102	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
103	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
104	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
105	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
106	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
107	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
108	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
109	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
110	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
111	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
112	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
113	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
114	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
115	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 ABCL Glory Capital Limited	5677
2 Agricultural Development Bank of China	5180
3 Agricultural Development Bank of China	5455
4 Agricultural Development Bank of China	85737
5 Agricultural Development Bank of China	85901
6 Agricultural Development Bank of China	85909
7 Agricultural Development Bank of China	85910
8 Alibaba Group Holding Limited	5843
9 Alibaba Group Holding Limited	5844
10 Alibaba Group Holding Limited	5845
11 Amber Circle Funding Limited	4586
12 Amber Treasure Ventures Limited	5243
13 Amber Treasure Ventures Limited	40216
14 Amber Treasure Ventures Limited	40251
15 Anhui Provincial Investment Group Holding Co., Ltd.	5186
16 Anhui Transportation Holding Group (H.K.) Limited	5158
17 Avenue International Holding Limited	40037
18 Avenue International Holding Limited	40160
19 AVIC International Finance & Investment Limited	4497
20 AVIC International Finance & Investment Limited	5966
21 Azure Orbit III International Finance Limited	5620
22 Azure Orbit III International Finance Limited	5621
23 Azure Orbit International Finance Limited	5909
24 Bank of Communications (Hong Kong) Limited	40176
25 Baoji Investment (Group) Co., Ltd.	5492
26 BCEG (HongKong) Company Limited	5145
27 Beijing Enterprises Water Capital Management Limited	4480
28 Beijing Gas Singapore Capital Corporation	5213
29 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
30 Bengbu Gaoxin Investment Group Co., Ltd.	40131
31 Bengbu Gaoxin Investment Group Co., Ltd.	40085
32 Bi Hai Co., Ltd.	5185
33 Blue Bright Limited	40245
34 Blue Skyview Company Limited	5364
35 Bluestar Finance Holdings Limited	4550
36 Bluestar Finance Holdings Limited	4556
37 Bluestar Finance Holdings Limited	5089
38 Bluestar Finance Holdings Limited	5599
39 Bluestar Finance Holdings Limited	40274
40 Bluestar Finance Holdings Limited	4487
41 BNY Trust Company of Australia Limited	5766
42 BNY Trust Company of Australia Limited	40142
43 Boom Up Investments Limited	5544
44 Boxinyuan International Co., Ltd.	40036
45 BPHL Capital Management Limited	40171
46 Bright Galaxy International Limited	5927
47 Bright Scholar Education Holdings Limited	5615
48 Cable and Wireless International Finance B.V.	1077
49 Cable and Wireless International Finance B.V.	1078
50 Caiyun International Investment Limited	5947
51 CALC Bond 2 Limited	4300
52 CALC Bond 3 Limited	5390
53 CALC Bond 3 Limited	5391
54 CCB Life Insurance Company Limited	5437
55 CCBL (Cayman) Corporation Limited	5548
56 CCCI Treasure Limited	5893
57 CCCI Treasure Limited	40157
58 CCCI Treasure Limited	40158
59 CCTI 2017 Ltd	5258
60 CDBL Funding 1	5850
61 Celestial Dynasty Limited	5594
62 CELESTIAL MILES LIMITED	5706
63 Central Plaza Development Ltd.	5919
64 CGNPC International Limited	5058

65	CGNPC International Limited	5059
66	CGNPC International Limited	5153
67	CGNPC International Limited	5154
68	CGNPC International Limited	5519
69	Chalco Hong Kong Investment Company Limited	5151
70	Chalco Hong Kong Investment Company Limited	5920
71	Chalco Hong Kong Investment Company Limited	5727
72	Chalco Hong Kong Investment Company Limited	5985
73	Chalieco Hong Kong Corporation Limited	5321
74	Chalieco Hong Kong Corporation Limited	5702
75	Chalieco Hong Kong Corporation Limited	40070
76	Chang Development International Limited	5090
77	Chang Development International Limited	5744
78	Changde Economic Construction Investment Group Co., Ltd.	5642
79	Changde Urban Construction and Investment Group Co., Ltd.	5509
80	Changde Urban Construction and Investment Group Co., Ltd.	5959
81	Changsha Pilot Investment Holdings Co., Ltd.	40064
82	Changxing Communications Investment Group Co., Ltd.	40264
83	Charter Style International Limited	85993
84	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
85	Chengdu Communications Investment Group Corporation Limited	5062
86	Chengdu Communications Investment Group Corporation Limited	5493
87	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
88	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
89	Chengdu Hi-tech Investment Group Co., Ltd.	5206
90	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
91	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
92	Chengdu Xingcheng Investment Group Co., Ltd.	5314
93	Chenzhou Industry Investment Group Co., Ltd.	40197
94	Cheung Kong Bond Securities (02) Limited	4559
95	Cheung Kong Bond Securities (03) Limited	4595
96	Cheung Kong Infrastructure Finance (BVI) Limited	5060
97	China Bright (Hong Kong) Limited	5937
98	China Cinda Finance (2014) Limited	5748
99	China CITIC Bank International Limited	5199
100	China CITIC Bank International Limited	5728
101	China CITIC Bank International Limited	5831
102	China CITIC Bank International Limited	5992
103	China CITIC Bank International Limited	4594
104	China Clean Energy Development Limited	5568
105	China Development Bank Corporation	85904
106	China Development Bank Corporation	85905
107	China Development Bank Corporation	85934
108	China Energy Reserve and Chemicals Group Holding Limited	5639
109	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
110	China Great Wall International Holdings IV Limited	5617
111	China Great Wall International Holdings IV Limited	5620
112	China Huadian Overseas Development 2018 Limited	4491
113	China Huadian Overseas Development 2018 Limited	40261
114	China Huadian Overseas Development Management Company Limited	4533
115	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
116	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
117	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
118	China Life Insurance (Overseas) Co., Ltd	5250
119	China Merchants Finance Company Limited	4548
120	China Merchants Finance Company Limited	5554
121	China Merchants Finance Company Limited	5555
122	China Minmetals Corporation	4442
123	China Minmetals Corporation	5009
124	China Overseas Finance (Cayman) II Limited	4503
125	China Overseas Finance (Cayman) III Limited	5988
126	China Overseas Finance (Cayman) III Limited	5989
127	China Overseas Finance (Cayman) V Limited	4579
128	China Overseas Finance (Cayman) V Limited	4580
129	China Overseas Finance (Cayman) VI Limited	5746
130	China Overseas Finance (Cayman) VI Limited	5760
131	China Overseas Finance (Cayman) VII Limited	4475
132	China Overseas Finance (Cayman) VII Limited	4476
133	China Overseas Finance Investment (Cayman) V Limited	5600
134	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
135	China Railway Resources Huitung Limited	5904
136	China Railway XunJie Co., Limited	5244
137	China Railway XunJie Co., Limited	5692
138	China Resources Power East Foundation Co., Ltd.	4516
139	China Shenhua Overseas Capital Company Limited	5863
140	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
141	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
142	China Southern Power Grid International Finance (BVI) Co., Limited	4583
143	China Southern Power Grid International Finance (BVI) Co., Limited	4598
144	China State Construction Finance (Cayman) I Limited	5462
145	China State Construction Finance (Cayman) II Limited	5037
146	China State Construction Finance (Cayman) II Limited	5038
147	China State Construction Finance (Cayman) III Limited	40083
148	China Taiping Capital Limited	4582
149	China Taiping New Horizon Limited	5984
150	China Yangtze Power International (BVI) 1 Limited	5300
151	China Yangtze Power International (BVI) 2 Limited	5301
152	Chinalco Capital Holdings Limited	4301
153	Chinalco Capital Holdings Limited	5438
154	Chinalco Capital Holdings Limited	5745

155	Chiyu Banking Corporation Limited	5034
156	Chongqing Casin Group Co., Ltd	5595
157	Chongqing Energy Investment Group Co., Ltd.	5898
158	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
159	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
160	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
161	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
162	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
163	Chouzhou International Investment Limited	4542
164	Chouzhou International Investment Limited	5056
165	Chouzhou International Investment Limited	40154
166	CITIC Telecom International Finance Limited	5908
167	City Development Company of Lan Zhou	40066
168	CLP Power HK Finance Ltd	40044
169	CLP Power HK Finance Ltd.	5740
170	CLP Power Hong Kong Financing Limited	4306
171	CMHI Finance (BVI) Co., Ltd	5134
172	CMHI Finance (BVI) Co., Ltd	5135
173	CMOC Capital Limited	5722
174	CNI Capital Limited	85908
175	CNOOC Curtis Funding No. 1 Pty Ltd	5972
176	CNOOC Curtis Funding No. 1 Pty Ltd	5973
177	CNOOC Finance (2003) Limited	2568
178	CNOOC Finance (2011) Limited	4506
179	CNOOC Finance (2011) Limited	4507
180	CNOOC Finance (2012) Limited	4546
181	CNOOC Finance (2012) Limited	4547
182	CNOOC Finance (2013) Limited	5941
183	CNOOC Finance (2013) Limited	5942
184	CNOOC Finance (2013) Limited	40016
185	CNOOC Finance (2015) Australia Pty Ltd	5507
186	CNOOC Finance (2015) U.S.A. LLC	4478
187	CNOOC Finance (2015) U.S.A. LLC	4479
188	CNOOC Finance (2015) U.S.A. LLC	5508
189	CNOOC Nexen Finance (2014) ULC	5738
190	CNOOC Nexen Finance (2014) ULC	5739
191	CNPC (HK) Overseas Capital Ltd.	4513
192	CNPC (HK) Overseas Capital Ltd.	4514
193	CNPC General Capital Limited	4544
194	CNPC General Capital Limited	5923
195	COSCO Pacific Finance (2013) Company Limited	5900
196	COSL Finance (BVI) Limited	4563
197	COSL Singapore Capital Ltd.	40277
198	COSL Singapore Capital Ltd.	40278
199	CRCC Chengan Limited	5581
200	CRCC Yupeng Limited	5778
201	CRCC Yuxiang Limited	5945
202	CSCEC Finance (Cayman) I Limited	5574
203	CSCEC Finance (Cayman) II Limited	5232
204	CSCEC Finance (Cayman) II Limited	5233
205	CSCEC Finance (Cayman) II Limited	5669
206	CSCI Finance (2015) Co. Ltd	5564
207	CSSC Capital 2015 Limited	40145
208	CSSC Capital 2015 Limited	40146
209	CSSC Capital One Limited	5181
210	CSSC Capital Two Limited	5182
211	Dah Sing Bank, Limited	5316
212	Dah Sing Bank, Limited	5524
213	Dah Sing Bank, Limited	6021
214	Dalian Deta Holding Co., Ltd.	5608
215	Dangdai International Investments Ltd	5027
216	Dangdai International Investments Ltd	40121
217	Dawn Victor Limited	5409
218	Dianjian Haixing Limited	5816
219	Dongxing Voyage Company Limited	5643
220	Ease Trade Global Limited	4458
221	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
222	Elect Global Investments Limited	40173
223	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
224	Excel Capital Global Limited	4496
225	Expand Lead Limited	5165
226	First FZ Bond Limited	5275
227	FPC Capital Limited	5107
228	FPC Treasury Limited	5924
229	Franshion Brilliant Limited	5030
230	Franshion Brilliant Limited	5229
231	Franshion Brilliant Limited	5289
232	Franshion Brilliant Limited	5347
233	Franshion Brilliant Limited	5385
234	Franshion Brilliant Limited	5447
235	Franshion Brilliant Limited	5472
236	Fujian Zhanglong Group Co., Ltd.	5700
237	Full Dragon (Hong Kong) International Development Limited	4428
238	FWD Group Limited	4403
239	FWD Group Limited	5019
240	FWD Group Limited	5221
241	FWD Group Limited	5793
242	FWD Limited	4416

243	FWD Limited	5351
244	FWD Limited	5803
245	Gansu Province Electric Power Investment Group Co., Ltd.	5466
246	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
247	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
248	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
249	Gaoxin International Investment Co., Ltd	40081
250	Gemdale Ever Prosperity Investment Limited	4578
251	GET International Investment Holdings Limited	5381
252	GOHL Capital Limited	5352
253	GRAM International Limited	5587
254	Granda Century Limited	5152
255	Green Fortune Capital Limited	40010
256	Greenland Global Investment Limited	5769
257	Guang Ying Investment Limited	4492
258	Guangsha Holding Group Co., Ltd.	5456
259	Guangxi Communications Investment Group Corporation. Ltd	5880
260	Guangxi Financial Investment Group Co., Ltd.	5098
261	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
262	GUANGZHOU Silk Road Investment Limited	5323
263	Guofa Overseas Investment Company Limited	40098
264	Guohui International (BVI) Co., Ltd.	6002
265	Guotai Junan Holdings Limited	5853
266	Haichuan International Investment Co., Ltd.	5460
267	Haiguo Xintai Capital Limited	40011
268	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
269	Haitian (BVI) International Investment Development Limited	5061
270	Haitong International Finance 2015 Limited	5867
271	Haitong International Finance Holdings 2015 Limited	40186
272	Hangzhou Fuyang Chengtuo Group (HK) Limited	5469
273	Hanhui International Limited	5657
274	Hanrui Overseas Investment Co Ltd	5081
275	Harvest International Company	5024
276	HBIS Group Hong Kong Co., Limited	40108
277	Hengjian International Investment Limited	40268
278	HK Onlink Technology Co., Limited	40168
279	Hong Kong JY Flower Limited	40163
280	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
281	Hong Kong Sukuk 2017 Limited	4233
282	Hong Seng Limited	40172
283	Honghe Development Group Co. Ltd.	40215
284	Hongkong Baorong Development Limited	5465
285	Hongkong Electric Finance Limited	4505
286	Hongkong Ideal Investment Limited	40019
287	Hongkong International (Qingdao) Company Limited	5023
288	Hongkong International (Qingdao) Company Limited	5048
289	Hongkong International (Qingdao) Company Limited	5875
290	Hongkong International (Qingdao) Company Limited	40017
291	Hongkong International (Qingdao) Company Limited	40053
292	Hongkong International (Qingdao) Company Limited	40206
293	HongKong Xiangyu Investment Co., Limited	4409
294	Huafa 2019 I Company Limited	5976
295	Huafa Group 2018 I Company Limited	5150
296	Huai'an Traffic Holding Co., Ltd.	6006
297	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
298	Huaibei City Construction Investment Holding Group Company Limited	5474
299	Huaibei City Construction Investment Holding Group Company Limited	5946
300	Huaneng Hong Kong Capital Limited	5000
301	Huantaihu International investment Co., Ltd	40194
302	Huasing International Holdings Co., Limited	4448
303	Huasing International Holdings Co., Limited	4449
304	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
305	Huayi Finance I Ltd.	40042
306	HUAYUAN PROPERTY CO., LTD	5922
307	Huayuan Property Co., Ltd.	5239
308	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
309	Hunan Xiangjiang New Area Development Group Co Ltd	5223
310	Huzhou City Investment Development Group Co., Ltd.	5074
311	Huzhou Communications Investment Group Co., Ltd.	85740
312	IFC Development (Corporate Treasury) Limited	6000
313	IFC Development (Corporate Treasury) Limited	40198
314	Industrial and Commercial Bank of China (Asia) Limited	5686
315	Industrial and Commercial Bank of China (Asia) Limited	5976
316	Industrial and Commercial Bank of China (Macau) Limited	5750
317	Industrial and Commercial Bank of China (Macau) Limited	5797
318	Industrial Investment Overseas Limited	40226
319	Jiangsu Yueda Group Co., Ltd.	40243
320	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
321	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
322	Jiangxi Railway Investment Group Corporation	5770
323	JIC Zhixin Limited	5031
324	JIC Zhixin Limited	5032
325	Jinan Hi-tech Holding Group Co., Ltd.	5481
326	Jinan Hi-tech Holding Group Co., Ltd.	40018
327	Jinan West City Investment and Development Group Co., Ltd.	4588
328	Jining High Tech Urban Construction Investment Co., Ltd.	40230
329	Jinke Property Group Co., Ltd.	5292
330	Jinshine International Co., Ltd.	4451
331	Jisco SR Pearl Ltd.	5953
332	Jiuding Group Finance Co., Ltd	5246

333	Joy Treasure Assets Holdings Inc.	5901
334	Joy Treasure Assets Holdings Inc.	5903
335	Joy Treasure Assets Holdings Inc.	6027
336	Joy Treasure Assets Holdings Inc.	40000
337	King Power Capital Ltd.	5823
338	King Talent Management Limited	5026
339	Korean Reinsurance Company	5815
340	Kunming Dianchi Investment Co., Ltd.	5569
341	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
342	Kunming Rail Transit Group Co., Ltd.	40100
343	Kunming Rail Transit Group Co., Ltd.	40101
344	Kunzhi Limited	4464
345	Kunzhi Limited	5100
346	Lai Fung Bonds (2018) Limited	5087
347	Legend Fortune Limited	5128
348	Liang Shan International Development Limited	5585
349	Lingang Wings Inc	5149
350	LinJiang Investment and Development (BVI) Co., Ltd	40087
351	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
352	LS Finance (2017) Limited	4471
353	LS Finance (2022) Limited	4571
354	LS Finance (2025) Limited	5535
355	LSD Bonds (2017) Limited	5270
356	Luso International Banking Limited	5078
357	Malaysia Sovereign Sukuk Berhad	5894
358	Malaysia Sovereign Sukuk Berhad	5895
359	Malaysia Sukuk Global Berhad	5633
360	Malaysia Sukuk Global Berhad	5634
361	MCC Holding (Hong Kong) Corporation Limited	4483
362	MCC Holding (Hong Kong) Corporation Limited	40188
363	Mega Wisdom Global Limited	40287
364	Mei Nian Investment Limited	5932
365	Meilun (BVI) Limited	5625
366	Mianyang Investment Holding (Group) Co., Ltd.	40039
367	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
368	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
369	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
370	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
371	Minmetals Bounteous Finance (BVI) Limited	40175
372	Minmetals Land Capital Limited	5933
373	Mudanjiang City Investment Group Co., Ltd.	5297
374	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	40288
375	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
376	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
377	Nanyang Commercial Bank, Limited	5214
378	Nanyang Commercial Bank, Limited	40069
379	New Lion Bridge Company Limited	5290
380	New Momentum International Limited	5997
381	Nimble City Limited	5144
382	Nuoxi Capital Limited	4401
383	Nuoxi Capital Limited	4402
384	Nuoxi Capital Limited	5365
385	Nuoxi Capital Limited	5484
386	Nuoxi Capital Limited	5704
387	Nuoxi Capital Limited	5996
388	NWD Finance (BVI) Limited	4561
389	NWD Finance (BVI) Limited	5856
390	NWD Finance (BVI) Limited	40262
391	Oceanwide Holdings International 2015 Co., Limited	5557
392	Oceanwide Holdings International 2017 Co., Limited	5251
393	Oceanwide Holdings International Development III Co., Ltd.	4532
394	Oceanwide Holdings International Development III Co., Ltd.	5196
395	Orient Huizhi Limited	5041
396	Oriental Capital Company Limited	40025
397	OVPH Limited	5614
398	PB Issuer (No.4) Limited	5525
399	PB Issuer (No.5) Limited	40097
400	PCGI Intermediate Holdings (II) Limited	40074
401	PCGI Intermediate Limited	40040
402	PCGI Intermediate Limited	40040
403	Perfect Point Ventures Limited	4566
404	Petron Corporation	5905
405	PHBS Limited	4325
406	Phoenix Charm International Investment Limited	40058
407	Phoenix Charm International Investment Limited	40071
408	Phoenix Lead Limited	5262
409	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
410	Pingdu State-owned Assets Management Co., Ltd.	40207
411	Pingxiang Urban Construction Investment Developing Company	4535
412	Pioneer Reward Limited	4530
413	Pioneer Reward Limited	40143
414	Poly Real Estate Finance Ltd	4417
415	Poly Real Estate Finance Ltd	5164
416	Poly Real Estate Finance Ltd	5916
417	Power Best Global Investments Limited	5940
418	Powerchina Real Estate Group Ltd.	5471
419	Press Metal (Labuan) Ltd.	5299
420	Prosperous Ray Limited	5994
421	Proven Honour Capital Limited	5516
422	Proven Honour Capital Limited	5641

423	Pterosaur Capital Co., Limited	6021
424	PTT Public Company Limited	2527
425	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
426	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
427	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
428	Qinghai Provincial Investment Group Co., Ltd.	4450
429	Radiant Access Limited	5205
430	Rail Transit International Investment Company Limited	5644
431	RKI Overseas Finance 2016 (B) Limited	4309
432	Rongshi International Finance Limited	5448
433	Rongshi International Finance Limited	5449
434	Rosy Delta Investments Limited	5097
435	Rugao Economic and Trade Development Company	40041
436	Rugao Economic and Trade Development Company	40133
437	SCIG International Financial Limited	5174
438	SDG FINANCE I LIMITED	4539
439	SDG FINANCE I LIMITED	5192
440	SDSC Global Finance Limited	5303
441	SDSC International Development Limited	40022
442	SF Holding Investment Limited	5130
443	SF Holding Investment Limited	40152
444	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
445	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
446	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
447	Shandong Commercial Group Co., Ltd.	5512
448	Shandong Energy Australia Pty Ltd	5248
449	Shandong Iron and Steel Xinheng International Company Limited	5063
450	Shandong Iron and Steel Xinheng International Company Limited	5470
451	Shandong Iron and Steel Xinheng International Company Limited	5601
452	Shandong Iron and Steel Xinheng International Company Limited	40003
453	Shanghai Commercial Bank Limited	5043
454	Shanghai Commercial Bank Limited	5530
455	Shanghai Electric Power Finance Limited	5558
456	Shanghai Port Group (BVI) Development Co., Limited	4587
457	Shanghai Port Group (BVI) Development Co., Limited	5734
458	Shanghai Port Group (BVI) Development Co., Limited	5741
459	Shanghai Port Group (BVI) Holding Co., Limited	5255
460	Shanghai Port Group (BVI) Holding Co., Limited	5256
461	Shangrao Investment Holdings International Company Limited	4429
462	Shangrao Investment Holdings International Company Limited	40122
463	Shanghai (Hong Kong) International Investments Limited	40009
464	Shaoxing City Investment Group Limited	5489
465	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
466	Shengzhou Investment Holdings Co., Ltd.	40119
467	Shengzhou Investment Holdings Co., Ltd.	40267
468	Shouguang City Construction Investment Development Co., Ltd.	40233
469	Shuifa International Holdings (BVI) Co., Ltd.	5992
470	Shuifa International Holdings (BVI) Co., Ltd.	40214
471	Si Fa Investment Limited	5490
472	Sichuan Communications Overseas Development Co., Ltd.	5399
473	Sichuan Gloport Investment Development Group Co., Ltd	40196
474	Sichuan Railway Investment Group Co., Ltd.	5575
475	SIHC International Capital Ltd	5176
476	SIHC International Capital Ltd	5177
477	Sino Trendy Investment Limited	4407
478	Sinochem Global Capital Co., Ltd.	5935
479	Sinochem Overseas Capital Company Limited	4501
480	Sinochem Overseas Capital Company Limited	4502
481	Sino-Ocean Land Treasure Finance I Limited	5782
482	Sino-Ocean Land Treasure Finance II Limited	5869
483	Sino-Ocean Land Treasure III Limited	5276
484	Sino-Ocean Land Treasure IV Limited	5131
485	Sino-Ocean Land Treasure IV Limited	5623
486	Sino-Ocean Land Treasure IV Limited	5712
487	Sino-Ocean Land Treasure IV Limited	40115
488	Sinosing Services Pte. Ltd.	40155
489	Sinosing Services Pte. Ltd.	40156
490	Skyland Mining (BVI) Limited	5228
491	Skyland Mining (BVI) Limited	40266
492	Skysea International Capital Management Limited	4529
493	SmarTone Finance Limited	5917
494	Societe Generale	5947
495	Societe Generale	5959
496	Societe Generale	5960
497	Societe Generale	5961
498	Societe Generale	5962
499	SPIC 2016 US dollar Bond Company Limited	5324
500	SPIC 2016 US dollar Bond Company Limited	5325
501	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
502	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
503	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
504	State Grid Overseas Investment (2013) Limited	5954
505	State Grid Overseas Investment (2013) Limited	5955
506	State Grid Overseas Investment (2014) Limited	5742
507	State Grid Overseas Investment (2014) Limited	5743
508	State Grid Overseas Investment (2016) Limited	5649
509	State Grid Overseas Investment (2016) Limited	5650
510	State Grid Overseas Investment (2016) Limited	5651
511	State Grid Overseas Investment (2016) Limited	5652

512	Strategic International Group Limited	5241
513	Strategic International Group Limited	40285
514	Sunny Express Enterprises Corp.	5906
515	Sunny Express Enterprises Corp.	40030
516	Sunny Express Enterprises Corp.	40031
517	Sunny Express Enterprises Corp.	40203
518	Sunny Express Enterprises Corp.	40204
519	Sunshine Life Insurance Corporation Limited	5628
520	Sunshine Life Insurance Corporation Limited	5629
521	Suqian Economic Development (BVI) Co., Limited	40034
522	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
523	Taikang Insurance Group Inc.	5349
524	Taiyuan Longcheng Development Investment Group Co., Ltd.	40281
525	Taiyuan State-owned Investment Group Company Limited	6005
526	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
527	The Hongkong and Shanghai Banking Corporation Limited	4323
528	Tianfeng Securities Co., Ltd.	40090
529	Tianjin Lingang Investment Holding Co., Ltd.	5716
530	Tianjin Lingang Investment Holding Co., Ltd.	40234
531	Tianjin Real Estate Group Co., Ltd	5286
532	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
533	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
534	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
535	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
536	Tianqi Finco Co., Ltd	5036
537	Tibet Financial Leasing Co., Ltd.	5707
538	Tongfang Aqua 2017 Limited	4454
539	Tongfang Aqua Limited	6001
540	Tongling Development Investment Group Company Limited	40153
541	Top Luxury Investment Limited	5598
542	Towngas (Finance) Limited	5749
543	Towngas (Finance) Limited	6018
544	Trade Horizon Global Limited	5133
545	Treasure Generator Limited	5709
546	Triceratops Capital Co., Limited	4408
547	Tsinghua Unic Limited	4413
548	Tsinghua Unic Limited	4414
549	Tsinghua Unic Limited	4415
550	Tuspark Forward Ltd.	4599
551	Tuspark Forward Ltd.	5143
552	Tuspark Forward Ltd.	5857
553	TVB Finance Limited	4577
554	UHI Capital Ltd.	4572
555	Unigroup International Holdings Ltd.	5597
556	Union Life Insurance Co., Ltd.	4322
557	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
558	Vertex Capital Investment Limited	5931
559	Viva Incubator Investment Management Limited	40144
560	Wakala Global Sukuk Berhad	4519
561	Wanda Group Overseas Limited	5599
562	Wanda Properties International Co. Limited	6023
563	Wanda Properties Overseas Limited	40088
564	Wanda Properties Overseas Limited	40139
565	Want Want China Finance Limited	5446
566	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
567	Weimob Investment Limited	40218
568	Wuhan Financial Holdings (Group) Co., Ltd.	5498
569	Wuhan Metro Group Co., Ltd.	5467
570	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
571	Wuhan State-owned Assets Management Limited Company	5070
572	Xi Hai An 2019 Limited	40067
573	Xi Yang Overseas Limited	4565
574	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
575	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
576	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
577	Xi'an Qujiang Culture Holdings Co., Ltd.	40183
578	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	40244
579	Xiangyu Investment (BVI) Co., Ltd.	5572
580	Xianyang Financial Holding Group Co., Ltd.	40263
581	Xihui Haiwai I Investment Holdings Co., Limited	5990
582	Xin Fu (BVI) Co., Limited	5985
583	Xingqiang International Holding Limited	40099
584	Xingsheng (BVI) Company Limited	5172
585	Xingsheng (BVI) Company Limited	5606
586	Xinhu (BVI) 2018 Holding Company Limited	4569
587	Xinhu (BVI) 2018 Holding Company Limited	5497
588	Xinhu (BVI) 2018 Holding Company Limited	5889
589	Xinjiang Financial Investment Co., Ltd.	5907
590	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
591	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
592	Xinjiang Zhongtai (Group) Co., Ltd.	4567
593	Xinxing Industry Investment Company Limited	5065
594	Yancoal International Resources Development Co., Limited	4552
595	Yancoal International Resources Development Co., Limited	5424
596	Yancoal International Resources Development Co., Ltd	5461
597	Yancoal International Trading Co., Limited	5753
598	Yango (Cayman) Investment Limited	4470
599	Yangzhou Economic and Technological Development Zone Development Corporation	5513
600	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072

601	Yankuang Group (Cayman) Limited	5044
602	Yankuang Group (Cayman) Limited	5705
603	Yichang High-Tech Investment Development Co., Ltd.	5495
604	Yichun Development Investment HaiTong Co., Limited	40084
605	Yihua Overseas Investment Ltd	5293
606	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
607	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	40249
608	YONGDA Investment Limited	5543
609	YONGDA Investment Limited	40252
610	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
611	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
612	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
613	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
614	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
615	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
616	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
617	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
618	Zhangzhou Transportation Development Group Co., Ltd.	5584
619	Zhaobing Investment (BVI) Limited	6018
620	Zhaohai Investment (BVI) Limited	5547
621	Zhaojin Mining International Finance Limited	5851
622	Zhejiang Baron (BVI) Company Limited	5146
623	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	40255
624	Zhengzhou Metro Group Co., Ltd.	5496
625	Zhengzhou Real Estate Group Co., Ltd.	40014
626	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
627	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
628	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
629	Zhongtai International Bond 2017 (BVI) Company Limited	5263
630	Zhongyuan Asset Management Co., Ltd.	40078
631	Zhongyuan Sincere Investment Co. Ltd.	5095
632	Zhongyuan Sincere Investment Co. Ltd.	5596
633	Zhoushan City Investment Group Corporation Limited	5487
634	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
635	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
636	Zhuji Development Limited	5480
637	Zhuzhou City Construction Development Group Co., Ltd.	4531
638	Zijin International Capital Company Limited	5188

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號	
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806
19	Global X China Electric Vehicle ETF	2845
20	Global X China Clean Energy ETF	2809

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱	
1	中國創盈市場服務有限公司
2	中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱	
1	中國證券登記結算有限責任公司
2	中國證券登記結算（香港）有限公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱	
1	Budweiser Brewing Company APAC Limited