



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
AAA Securities Co. Limited	BHK725	Licensed Corporation	1
ALTAIR CAPITAL LIMITED	BHT408	Licensed Corporation	4, 9
Aspenwood Capital Limited	BHY235	Licensed Corporation	4, 9
Baiyi Capital (Asia) Limited	BHU260	Licensed Corporation	4, 9
Blackwell Global Futures Limited	BGX460	Licensed Corporation	2
Blackwell Global Securities Limited	BGX296	Licensed Corporation	1
Bluemount Asset Management Limited	BHR495	Licensed Corporation	9
Bluemount Securities Limited	BHR496	Licensed Corporation	1, 4
Caldera Pacific (Hong Kong) Limited	BIA093	Licensed Corporation	9
China Qianhai Zhongjin Securities Limited	BHD026	Licensed Corporation	1
China Transfer Capital Investment Limited	BHT909	Licensed Corporation	1
Chung Sun Asset Management Limited	BHT907	Licensed Corporation	4, 9
CMBC International Securities Limited	BH516	Licensed Corporation	1, 4
Dragon Financial Partners Limited	BHL313	Licensed Corporation	1, 6
Equant Point Asset Management Limited	BHP496	Licensed Corporation	4, 9
First Seafront Financial Limited	BHW486	Licensed Corporation	1, 4, 9
Five States Capital Resources Limited	BHK030	Licensed Corporation	4, 9
Future Stars Securities Limited	BHP423	Licensed Corporation	1, 4
Gold Medal Global Investment Co., Limited	BHO673	Licensed Corporation	4, 9
Good Luck Securities Limited	BHO840	Licensed Corporation	1
Grand Harbour Corporate Finance Limited	BHZ433	Licensed Corporation	6
Harmony Capital Global Asset Management Limited	BHF981	Licensed Corporation	4, 9
HPS Investment Partners (HK), Limited	BHU903	Licensed Corporation	9
HQ Capital Asia Limited	BHX736	Licensed Corporation	4
Invealth Capital Management Limited	BHV547	Licensed Corporation	4, 9
Jinde Asset Management (Hong Kong) Limited	BHQ048	Licensed Corporation	9
JS Futures Limited	BHC645	Licensed Corporation	2
Kairous Capital (HK) Limited	BHF112	Licensed Corporation	9
Kristal Advisors (HK) Limited	BHZ135	Licensed Corporation	4, 9
Lakefront Asset Management (HK) Co., Limited	BHU758	Licensed Corporation	4, 9
Pacific International Securities Limited	BHO434	Licensed Corporation	1
Phoenix Capital (International) Limited	BGL657	Licensed Corporation	4, 9
Prestige Asset Management Limited	BHS708	Licensed Corporation	4, 9
Qianhai Capital Asia Limited	BHV133	Licensed Corporation	9
Quasar Asset Management Limited	BHV240	Licensed Corporation	4, 9
Rafiki Capital Management (Hong Kong) Limited	BHS473	Licensed Corporation	9
South China Investment Management Limited	BGP547	Licensed Corporation	9
SSIF Futures Limited	BHB929	Licensed Corporation	2
Sun International Investment Services Limited	BGY751	Licensed Corporation	1, 4, 9
Tang Yin International Futures Company Limited	BHS149	Licensed Corporation	2
Thunder Capital Limited	BIC958	Licensed Corporation	1, 4, 9
Tianli Financial Limited	BHL929	Licensed Corporation	4, 9



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CE Name	CE No.	Licence Type	Types of Regulated Activity
Upright Asset Management (Hong Kong) Co., Limited	BHN853	Licensed Corporation	9
Wise Torch Investments Limited	BHY665	Licensed Corporation	4, 9
Zhongtai International Financial Products Limited	BEZ122	Licensed Corporation	1
ABRAHAMS Richard	BIG685	Licensed Representative	1, 4
ALEXANDER Hugh Breinig	BIA274	Licensed Representative	1, 2
ALEXANDER Sean Philip	AHA619	Licensed Representative	1
ALFORD Terrence Miguel	ADX525	Licensed Representative	4
ARIKI Nobutaka	ASU316	Licensed Representative	9
ARNOLD James Robert	BFS333	Licensed Representative	1, 6
AU Chak Shing	AZX857	Licensed Representative	3
AYAZ Ercan	BIK717	Licensed Representative	1
BIAN Ce	BIM214	Licensed Representative	1, 4, 9
BO Hongmei	BIK719	Licensed Representative	4, 9
BREAKEY Annabel Frances	BIJ507	Licensed Representative	1, 4
BYERS Martin John	AXA009	Licensed Representative	4
CAI Huixian	BII799	Licensed Representative	2
CAI Jing	BIK509	Licensed Representative	1
CAI Yun	BHZ140	Licensed Representative	1
CAI Zheng	BIH036	Licensed Representative	1, 2, 4
CECIL Camilla Kate	BIH185	Licensed Representative	9
CHAN Chi Wo	BIM259	Licensed Representative	1
CHAN Ka Chun	BIJ823	Licensed Representative	3
CHAN Kin Bun	BIN569	Licensed Representative	1, 2, 3
CHAN Kylie Muldoon	BIK511	Licensed Representative	1, 6
CHAN Lap Chung	AZM552	Licensed Representative	1
CHAN Lap Hong	BIL968	Licensed Representative	1
CHAN Pak Sun	BII618	Licensed Representative	1
CHAN Stefanie	BIJ806	Licensed Representative	1, 4, 9
CHAN Wai Hon	BIL860	Licensed Representative	1
CHAN Wai Yin, Jammy	AFT002	Licensed Representative	1, 4
CHAN Ying Yu	BIJ543	Licensed Representative	1
CHAN Yui Richard	BIH702	Licensed Representative	1
CHEN Duyi	BIK013	Licensed Representative	1, 2
CHEN I Hsuan	BIH514	Licensed Representative	1, 4, 9
CHEN Jiajun	BIJ180	Licensed Representative	4, 9
CHEN SONG	BIE866	Licensed Representative	9
CHEN Weile	BIJ109	Licensed Representative	4
CHEN Weiwei	ATY791	Licensed Representative	1, 4, 9
CHEN Ze	BII240	Licensed Representative	1, 6
CHEN Zhi	BIK040	Licensed Representative	4, 9
CHENG Mee Mee, Lina	ASY524	Licensed Representative	1, 2
CHENG Yuen Chiu	AQJ100	Licensed Representative	1, 4



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CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUK Sze Chak	BIN323	Licensed Representative	1
CHEUNG Chi Lung	AGO661	Licensed Representative	1, 4
CHEUNG Ka Hei	BIL766	Licensed Representative	9
CHEUNG Kai Lim	APC144	Licensed Representative	4
CHEUNG Siu Ki, Henry	BIK005	Licensed Representative	1
CHEUNG Wai Tak	AFK703	Licensed Representative	6
CHEUNG Wai Yin, Wilson	AFF170	Licensed Representative	1
CHEUNG Wai Ying	BIK200	Licensed Representative	1, 4, 9
CHEUNG Yin Ping	BIK024	Licensed Representative	1, 4
CHEUNG Yui Ping, Karen	AFX483	Licensed Representative	1, 4, 9
CHIN Shih Kean	BIK477	Licensed Representative	1, 4
CHOI Ka Yan	BII416	Licensed Representative	1
CHOI Man Wing	AOV139	Licensed Representative	1, 2
CHOI Young Geun	BIJ478	Licensed Representative	1, 4, 6
CHONG Choon Lian	BIG652	Licensed Representative	9
CHONG Tam Keung	AOQ514	Licensed Representative	1
CHOW Hin Man	BII744	Licensed Representative	1
CHOW Ho Ming, Henry	BIL449	Licensed Representative	1, 4
CHOW Kai Cheong Peter	BIG912	Licensed Representative	1, 4
CHOW Vincent Ming Kiat	BIJ946	Licensed Representative	1
CHOY Long Yin	AXA362	Licensed Representative	1, 2
CHU Cheuk Hung	BDL291	Licensed Representative	1
CHUNG Diana	BII595	Licensed Representative	1, 2
CHUNG Hugh Wook	ANU800	Licensed Representative	9
CHUNG Tsun, Stephen	AWN749	Licensed Representative	1
CUI Yiye	BIM022	Licensed Representative	1, 4
CURRAT Marc Alexandre	BIL836	Licensed Representative	1, 4, 9
DE LA BAUME Paul Louis Charles	BIJ354	Licensed Representative	1, 4
DENG Bowei	BIM081	Licensed Representative	1
DENG Xiangyou	BIJ513	Licensed Representative	1, 2
DOOLEY Daniel Joseph	BCI179	Licensed Representative	9
DU Linlin	BIM547	Licensed Representative	1, 4
ENG Matthew Hunkee	AOO870	Licensed Representative	9
FANG Qihan	BIK401	Licensed Representative	1
FARLEY Shane Thomas	BIJ821	Licensed Representative	3
FENG Shi	BIJ546	Licensed Representative	1, 4, 9
FOK Tsz Kwong	BIK218	Licensed Representative	1
FONG Tip Ching	AUL733	Licensed Representative	9
FRIEDMAN John William	BHX263	Licensed Representative	1
FU Jiali	BIL828	Licensed Representative	1
FU Miaoqi	BIK406	Licensed Representative	4, 9
FUNG Siu Ping	AEX135	Licensed Representative	1



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CE Name	CE No.	Licence Type	Types of Regulated Activity
GAN Tian	BDW566	Licensed Representative	1, 2
GAN Ziqian	BIE946	Licensed Representative	9
GANNE Nicolas Jean-Luc	BIC835	Licensed Representative	1, 2, 4
GAO Fan	BIG822	Licensed Representative	10
GLADSTONE George Alexander	BII177	Licensed Representative	1, 4
GN Wen Chong Gerald	BIK461	Licensed Representative	1, 9
GOWALA Gabriel	BIJ783	Licensed Representative	1, 2
GU Shiyi	BIK447	Licensed Representative	4, 9
GU Yi Fan	BIL926	Licensed Representative	4
Guo Jia-Horng	ASP571	Licensed Representative	6
GUO Lu	BGY744	Licensed Representative	1, 9
GUO Tao	BIJ839	Licensed Representative	1, 4, 6
HAHN Daniel Sangwon	AWZ260	Licensed Representative	1, 4, 6
HARTWRIGHT Benjamin Gareth	ANQ334	Licensed Representative	1, 4
HE Ling	BII106	Licensed Representative	1, 4, 9
HE Zhaoqiang	BAQ188	Licensed Representative	4
HEPPER Roger Anthony	AET484	Licensed Representative	1, 4, 5, 9
HERBERT Anthony John	BIL705	Licensed Representative	1
HIROKANE Scott Yukiji	AZH207	Licensed Representative	9
HO Chi Long Ronnie	AFU693	Licensed Representative	9
HO Ka Ling	AQT308	Licensed Representative	1, 4
HO Stephanie	AVW495	Licensed Representative	1, 4
HO Ting Chu	AZG340	Licensed Representative	1
HO Yuet Lai	BIJ514	Licensed Representative	1
HOANG Minh Ha	BIH094	Licensed Representative	1, 2, 4, 5
HON Man Pan	BFX366	Licensed Representative	1, 2
HU Jieli	BIJ017	Licensed Representative	4, 9
Hu Wai Kwok	AJO631	Licensed Representative	4
HU Yi Na	AWM092	Licensed Representative	1, 4, 9
HUANG Chi Chen	AWL536	Licensed Representative	1, 4
HUANG Chun Chuen	BDI387	Licensed Representative	1
HUANG Liwen	AWU004	Licensed Representative	1, 4, 6
HUANG Qiaowen	BIJ390	Licensed Representative	9
HUANG Qun	BII870	Licensed Representative	4
HUANG Yutian	BIJ696	Licensed Representative	2
HUANG Zhenjie	BIK041	Licensed Representative	6
HUI Jie	BIJ550	Licensed Representative	1, 4
HUI Kit Chiu	AYQ846	Licensed Representative	1
HUI Shun Fuk	AKO684	Licensed Representative	1, 4, 9
HUNG Chun Yu	BIG362	Licensed Representative	1
HUNG Tsai Ling	BII559	Licensed Representative	1
HUNG Tzu-Yuan	BIH885	Licensed Representative	1



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CE Name	CE No.	Licence Type	Types of Regulated Activity
HWANG Wai Ki, Christine	BIJ002	Licensed Representative	1, 6
IP Yuk Fai	BIG437	Licensed Representative	9
JACKSON Jennifer Claire	BIJ413	Licensed Representative	1
JAIN Nidhesh	BFP415	Licensed Representative	4
JIA Weitao	BII583	Licensed Representative	1, 2
JIANG Ximin	BIM319	Licensed Representative	4
JIAO Yunchu	BII058	Licensed Representative	1
JIN Weiwei	BIJ966	Licensed Representative	9
JU Sida	BIL711	Licensed Representative	1, 6
JU Yansu Christie	ALI339	Licensed Representative	4, 9
KAM Chun Wai	BIJ854	Licensed Representative	1
KAM Lam, Gordon	BII907	Licensed Representative	1, 4
KAM Wing Ki	BIK396	Licensed Representative	1
KAN Vincent Ming Him	BIK490	Licensed Representative	1, 4
KAR Rohit	BIH882	Licensed Representative	1
KAVANAGH Louise Margaret	APJ851	Licensed Representative	1, 4
KELLOCK Mark William	ARJ486	Licensed Representative	9
Keshav Ratan	BIL656	Licensed Representative	6
KEUNG Ching	BIJ179	Licensed Representative	1, 4, 9
KHONG Chin Ting	BIK011	Licensed Representative	1, 6
KHOR Yuan Jun	BIJ770	Licensed Representative	1, 4
KHOSLA Mohika	BIM297	Licensed Representative	1, 2
KILADIS Katarzyna Izabella	BIJ018	Licensed Representative	1
KIM Doyoung	BIH684	Licensed Representative	1, 2
KIM Minsang	BIJ090	Licensed Representative	1, 4, 6
KIM Young June	BII793	Licensed Representative	1, 4
KO Mei Yan, Alice	BIM263	Licensed Representative	1
KUANG Zheng	AVS739	Licensed Representative	4, 9
KUNG Hwei Kuok	AWI434	Licensed Representative	1, 4
KURTZ Michael David	AGW598	Licensed Representative	4
KWAI Kwok On	ADY812	Licensed Representative	4
KWAN Ho Yeung	BIK177	Licensed Representative	1
KWOK Chung Ming, Thomas	BIM262	Licensed Representative	1, 2
KWOK Conny	BII890	Licensed Representative	1, 4
KWOK Samuel Ming Sum	AVL823	Licensed Representative	10
KWONG Chun Hin	BIM257	Licensed Representative	1
LAI Chi Kit	BIL740	Licensed Representative	1, 4
LAI Wen Ting	BII960	Licensed Representative	1
LAM Man Kwong, David	ADI854	Licensed Representative	9
LAM Pui Hei	AWD300	Licensed Representative	1
LAM Sze Man	AXT415	Licensed Representative	1, 2
LAM Wai Hung	AOV615	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Hon Man	AJO171	Licensed Representative	1, 4
LAU Hon Yuen	BIL708	Licensed Representative	1
LAU Ka Yi	BIG902	Licensed Representative	1, 2
LAU Kin Wa, Bruce	BII546	Licensed Representative	1
LAU Kit Wah Petra	BHX809	Licensed Representative	1
LAU Wai Ho	BIJ840	Licensed Representative	6
LAU Wing Tong	AKV006	Licensed Representative	1, 2, 4
LAW Chi Pang	AOV816	Licensed Representative	4, 9
LAW Tsz Ho	BIK629	Licensed Representative	4
LEE Chi Wing	ALB349	Licensed Representative	1
LEE Lin Ching	BIK515	Licensed Representative	1, 6
LEE Nari	BIH658	Licensed Representative	1, 4
LEE Siu Tung Close	BIJ781	Licensed Representative	1
LEE Tan Mei	BIJ842	Licensed Representative	1, 2
LEE Yan Lam	BHP426	Licensed Representative	4, 9
LEE Yen Hwei Jennifer	BIK426	Licensed Representative	1, 4
LEE Yik Shan	ADV592	Licensed Representative	1, 2, 4, 5
LEE Yuen Tsun	BIM241	Licensed Representative	1
LERTSUMITKUL Lynn	BIN453	Licensed Representative	1, 2
LEUNG Mei Ki, Maggie	BIM421	Licensed Representative	1, 4
LEUNG Shuk Yee, Silvia	BIH231	Licensed Representative	1, 4
LEUNG Siu Kwong	ADR128	Licensed Representative	1, 2
LEUNG Siu Wai, Brenda	AIH673	Licensed Representative	1, 2
LEUNG Wai Tsun Dan Ronald	BII785	Licensed Representative	6
LEUNG Yiu Lun	BIN313	Licensed Representative	1
LEW Cheuk Ning	BDM050	Licensed Representative	1, 4
LI Po Ching	BIL742	Licensed Representative	1
LI Canhuang	BHX641	Licensed Representative	1
LI Jia	BIJ021	Licensed Representative	9
LI Ran	BIL558	Licensed Representative	4
LI Yinglei	BII293	Licensed Representative	4
LI Yingni	BIJ020	Licensed Representative	1
LIANG Haili	BIK127	Licensed Representative	3
LIAO Hui Chin	BIL585	Licensed Representative	1
LIM Chin Chan	BID162	Licensed Representative	1, 4
LIN Kelvin Fonda	BIJ532	Licensed Representative	1, 4, 9
LIN Pei-Ru	BIJ351	Licensed Representative	4
LIN Yichun	BIK419	Licensed Representative	1, 4
LIT Yuk Ping	APB748	Licensed Representative	1, 2
LIU Chang	BDO978	Licensed Representative	1, 4, 5, 9
LIU Geoffrey Yu Hin	BDG922	Licensed Representative	1, 4, 9
LIU Hanchen	BIO421	Licensed Representative	6



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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Jiachuan	BIJ962	Licensed Representative	9
LIU Yang	AVY424	Licensed Representative	1, 4
LIU Yi	BIJ193	Licensed Representative	1, 2
LO Chee Yan	ARW745	Licensed Representative	1, 2
LO King Yu	BII057	Licensed Representative	1
LO Pak Ting	BIJ527	Licensed Representative	1, 2
LOU Shang	BIJ684	Licensed Representative	4, 9
LU Liyang	BGH413	Licensed Representative	1, 4
LU Yao	BIM322	Licensed Representative	6
LU Yu-Hsien	BII911	Licensed Representative	4
LUDIN Siward Mandira	BIK136	Licensed Representative	1, 4
LUI Chi Hang	ARU560	Licensed Representative	1, 2
LUK Chin Man	BIE369	Licensed Representative	1, 2
LUO Hui Li	BIM878	Licensed Representative	4
LUO Zhiqiang	BIK248	Licensed Representative	1
MA Cheuk Sang	BIO082	Licensed Representative	1
MA Chui Chi	BIJ825	Licensed Representative	1, 4
MA Dong	BIJ547	Licensed Representative	4, 9
MA Tor Fuk, Dick	AAH269	Licensed Representative	1
MAK Chi Yuen	BIJ515	Licensed Representative	1, 2
MALIK Ankur	BII914	Licensed Representative	4
MARSH Edward John	BIK172	Licensed Representative	1, 2
MITTAL Ravi Kant	BIJ756	Licensed Representative	4
MO Jun Heem	BIN246	Licensed Representative	6
MOU Yuguang	BIK255	Licensed Representative	1, 6
MUIR James Thomas	APP015	Licensed Representative	1, 6
NARAPANICH Taychatum	BIK167	Licensed Representative	1, 4
NARKHAN Apinun	BIK486	Licensed Representative	1, 4
NG Cho Wong	BIK216	Licensed Representative	1, 6
NG Chung	BEW671	Licensed Representative	1, 4, 6
NG Emily Hoi Jiu	BIN418	Licensed Representative	3
NG Hiu Mung	BIL475	Licensed Representative	9
NG Ka Yan	BIN321	Licensed Representative	1
NG Kin Shing, Kenny	ATN588	Licensed Representative	1, 4
NG Pik Ying	BIG966	Licensed Representative	1
NG Wei Hung	BIM099	Licensed Representative	9
NI Yixiong	BIK126	Licensed Representative	1, 4, 6
NIE Yiran	BIN324	Licensed Representative	1
OKAZAKI Shin	BEO572	Licensed Representative	1
OLDFIELD Alexander Toby	ARQ375	Licensed Representative	1
OR Justin Ka Jun	BDX546	Licensed Representative	1, 4
OU Shimin	BIL513	Licensed Representative	1, 4, 9



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CE Name	CE No.	Licence Type	Types of Regulated Activity
PAK Ka Lee	BDH206	Licensed Representative	1, 2, 4
PARKER Benjamin Stewart	BDX588	Licensed Representative	9
PENG Bo	BIJ357	Licensed Representative	1, 6
PIESTRZYNSKI Bart Stefan	BII180	Licensed Representative	1, 4
PONS Guillaume	BIH843	Licensed Representative	1, 2, 7
PUNO Clemente Anthonio IV Castro	BIJ482	Licensed Representative	1, 4, 6
QIAO Wei	BIJ682	Licensed Representative	1, 6
QIN Xinmei	BIJ544	Licensed Representative	1, 2
QUAN King Yut	BIL826	Licensed Representative	1, 4
QUAN Yan	BIK131	Licensed Representative	1
REN Yuying	BII597	Licensed Representative	1
SAITOWITZ Paul	BIJ311	Licensed Representative	4, 5, 9
SEKIGUCHI Emi	BIK204	Licensed Representative	1
SHANG Yuan	BIN752	Licensed Representative	4, 9
SHARMA Shravan Chandra	BIK619	Licensed Representative	1, 4
SHARP Richard Anthony	AJL639	Licensed Representative	1, 4
SHI Lei	BIE889	Licensed Representative	1, 4
SHI Pucheng	BIL800	Licensed Representative	9
SHIU Kin Ming, Paul	AOV858	Licensed Representative	4, 9
SHUAI Qi Terry	AVD106	Licensed Representative	6
SIN Monique Wing Sheung	AJB102	Licensed Representative	1
SIU Tsi Ki, Queenie	ALQ743	Licensed Representative	1
SMAGA Jeremie	BIH855	Licensed Representative	9
SO Ting Ting	BII725	Licensed Representative	1
SO Tsz Yan Yenny	AFO456	Licensed Representative	1, 4
SO Winton Wayne Tung	BII265	Licensed Representative	6
SO Ying Wai	BIN327	Licensed Representative	4, 9
SO Yuen Man	BIJ967	Licensed Representative	1, 4, 9
SONG Jiyao	BIL936	Licensed Representative	9
STANLEY Robert Charles	AOQ941	Licensed Representative	9
STERN Guy Bruce	BBW022	Licensed Representative	1
STRAUSS Paul Emmanuel Marie	BAZ866	Licensed Representative	1, 2
SU Xiaolin	BIH325	Licensed Representative	4, 5
SUKUMAR Nimallesh	BHS180	Licensed Representative	1
SUN Kaiwen	BIH180	Licensed Representative	4
SUN Sifan	BIJ549	Licensed Representative	4
SUN Xiaqing	AXI904	Licensed Representative	6
TAM Wing Shan	BIG647	Licensed Representative	1, 4, 9
TAN Yaxin	BIJ326	Licensed Representative	1, 6
TANG Yan Yi, Michelle	ALS715	Licensed Representative	1, 4
TANG Yip Keung	BIL441	Licensed Representative	1, 2
TEH Min Yee	AFW855	Licensed Representative	4, 9



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CE Name	CE No.	Licence Type	Types of Regulated Activity
TEMPEST Nicholas Alexander James	BGI091	Licensed Representative	1, 4
TENG Tsung Han	BHZ693	Licensed Representative	1, 4, 9
TIAN Ye	BIK713	Licensed Representative	1, 4
TO Kwan Ting	BFS509	Licensed Representative	1
TOKOYODA Toru	AZY615	Licensed Representative	4, 9
TON Suzanne To Quan	AAZ188	Licensed Representative	4, 9
TONG Keith Chun Kit	BIJ103	Licensed Representative	1
TONG Ngai	BIK624	Licensed Representative	1, 9
TONG Ying	BIJ653	Licensed Representative	2
TREVES Alexander Richard	BIG950	Licensed Representative	1, 4, 9
TSAI King Wa Kingsway	BIK695	Licensed Representative	9
TSAI Pei-En	BIL888	Licensed Representative	1, 4, 6
TSANG WONG Yuen Kee, Yvonne	AEZ573	Licensed Representative	4, 9
TSANG Yiu Yeung	BIH886	Licensed Representative	1
TSE Kin Lung	BIL594	Licensed Representative	6
TSOI Ching Man	BIG714	Licensed Representative	9
TSOI Queenie	BIO608	Licensed Representative	9
TU Ying	BIH719	Licensed Representative	1
TUNG Wai Fan	BII030	Licensed Representative	9
VAN BEEK Christiaan Lieven	BEL614	Licensed Representative	1, 4
VELAMAKANNI Sri Karthik	BFP476	Licensed Representative	4
WAN Ning	BIJ337	Licensed Representative	1, 6
WAN Qifan	BIN757	Licensed Representative	4, 9
WAN Sin Kei, Philip	AON635	Licensed Representative	4, 9
WANG Binzhou	BII942	Licensed Representative	1, 6
WANG Fei	BIH086	Licensed Representative	9
WANG Han	BII988	Licensed Representative	1
WANG Ke	BAF971	Licensed Representative	1
WANG Lei	AZE807	Licensed Representative	1
WANG Li	BIL829	Licensed Representative	1, 4, 9
WANG Lin	BIM406	Licensed Representative	4, 9
WANG Ruoxi	BIK422	Licensed Representative	1, 6
WANG Shihui	BIG680	Licensed Representative	6
WANG Shuyi	BIJ199	Licensed Representative	1, 4, 6
WANG Yingchuan	AQE314	Licensed Representative	1, 2
WANG Yingzi	BIN446	Licensed Representative	6
WANG Yong	BIH982	Licensed Representative	9
WANG Zicong	BIJ019	Licensed Representative	1, 4, 9
WASSERMANN Nora	BIL483	Licensed Representative	1, 4
WAY James Timothy	BIK014	Licensed Representative	1, 9
WENG Ketong	BIM656	Licensed Representative	1, 4, 6
WOLHARDT Allan Keh	AQJ961	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ah Lok	BIJ387	Licensed Representative	1, 2
WONG Alan	APD362	Licensed Representative	1
WONG Kung Wing Elroy	BIK703	Licensed Representative	1
WONG Man Lung	BIJ497	Licensed Representative	1
WONG Mung King	BGK642	Licensed Representative	1
WONG Oi Tung, Louise	BIJ145	Licensed Representative	1, 2
WONG Richard	BIM168	Licensed Representative	1, 2
WONG Siu Chung, Robert	ACL920	Licensed Representative	1
WONG Tsz Ka Carmen	BIM106	Licensed Representative	4
WONG Wee Soon Nelson	BID969	Licensed Representative	1, 2
WONG Yat Fung	BDP304	Licensed Representative	1
WONG Yee Ling	BIK044	Licensed Representative	1, 4, 9
WONG Yui Ho	AOR998	Licensed Representative	1, 2
WU Ren	BIJ837	Licensed Representative	9
XIA Tenglei	BIH760	Licensed Representative	2
XIAO Qian	BGO844	Licensed Representative	4
XU Huijie	BIJ022	Licensed Representative	9
XU Suwen	BIJ838	Licensed Representative	4, 9
XU Xu	AVL306	Licensed Representative	1, 4
XUE Ying	BIM572	Licensed Representative	4
XUE Yuanyuan	BII681	Licensed Representative	4
YANG Liu	BIJ533	Licensed Representative	6
YANG Guang	BII788	Licensed Representative	1, 6
YANG Guang	BIM659	Licensed Representative	4
YANG James Eashuane	ANW535	Licensed Representative	1, 4
YANG Jiaqi	BIK425	Licensed Representative	6
YANG Qi	BIN065	Licensed Representative	1, 4
YANG Shuyi	BIL572	Licensed Representative	3
YANG Yingqiu	AKI594	Licensed Representative	1, 4
YAO Yankun	BIK516	Licensed Representative	1, 6
YAP Hsien Liang	BIM383	Licensed Representative	1, 4
YAU Jonsy	BIM930	Licensed Representative	4, 9
YEH Wen-Kai	BIJ819	Licensed Representative	1, 4
YEUM Jeehye	BIJ241	Licensed Representative	1
YEUNG Kin Ting	BIJ672	Licensed Representative	1, 4
YEUNG Po Sum	BIK523	Licensed Representative	1, 4, 6
YIM King Ngai	BIJ687	Licensed Representative	4, 9
YIN Fangqi	BIK649	Licensed Representative	1, 2
YIN Yue	BIK517	Licensed Representative	1, 2, 7
YIP Matthew Shu Shun	BIL940	Licensed Representative	1
YIP Yuk Kwan	AGP554	Licensed Representative	4
YIU Yan Ki	BGQ377	Licensed Representative	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU BAI Daniel	BIK230	Licensed Representative	9
YU Kwan Nam Gabriel	BIF124	Licensed Representative	6
YU Yan	BHV329	Licensed Representative	9
YUAN Siqing	BIL747	Licensed Representative	1, 2
YUNG Hoi Lam	BIL858	Licensed Representative	1
ZHA Lingrui	BEE650	Licensed Representative	9
ZHANG Jingyao	BIL584	Licensed Representative	4
ZHANG Jingzhou	BIM583	Licensed Representative	1, 4
ZHANG Rujie	BIQ311	Licensed Representative	4, 9
ZHANG Xinming	BID694	Licensed Representative	1, 2
ZHANG Yige	BIL592	Licensed Representative	4, 9
ZHANG Yue Yang	BII279	Licensed Representative	1, 4, 6
ZHANG Zhiyu	AYQ807	Licensed Representative	1, 4, 6
ZHAO Meng Ji	BIH504	Licensed Representative	9
ZHAO Xiangyu	BEO265	Licensed Representative	4, 9
ZHENG Jiachuang	BIM005	Licensed Representative	1, 4
ZHENG Yu	AMB396	Licensed Representative	1, 4, 9
ZHENG Zhiwei	BIJ651	Licensed Representative	1, 2
ZHOU Zitao	BIJ004	Licensed Representative	1
ZHU Dawen	AYF494	Licensed Representative	9
ZHUANG Lijing	BIK228	Licensed Representative	4, 9
ZHUANG Yinyin	BIN731	Licensed Representative	1, 4
CHAN Carman Wing Yan	AOX997	Licensed Representative - Responsible Officer	9
CHAN Kwok Leung Dennis	AEO546	Licensed Representative - Responsible Officer	1
CHANDA Asheesh	BHZ138	Licensed Representative - Responsible Officer	4, 9
CHEN Yejun	BHD944	Licensed Representative - Responsible Officer	4, 9
CHENG Wei Ting	BII168	Licensed Representative - Responsible Officer	1
CHEW Chun Liang	BHF354	Licensed Representative - Responsible Officer	9
CHIANG Tung-cheng	AZK537	Licensed Representative - Responsible Officer	9
CHIU Yeung Bun, Jacob	AOE785	Licensed Representative - Responsible Officer	4
CHOI Wing Sze	ACX160	Licensed Representative - Responsible Officer	6
DARGENT Franck Jacques Pierre	BFD862	Licensed Representative - Responsible Officer	1, 4
DONG Zhihui	BHP086	Licensed Representative - Responsible Officer	4, 9
GAGLIO Raphael Francesco	ANQ377	Licensed Representative - Responsible Officer	9
GAO Bin	AUJ829	Licensed Representative - Responsible Officer	4, 9
GONG Fangxiong	AYT726	Licensed Representative - Responsible Officer	1, 4, 9
GU Feng	BEI262	Licensed Representative - Responsible Officer	4, 9
GUILLOTON Bruno Jean Roger	AST288	Licensed Representative - Responsible Officer	1, 4, 9
HOGGIN Christopher Edward George	AWC337	Licensed Representative - Responsible Officer	1, 4
KAM Chi Leung	ACK007	Licensed Representative - Responsible Officer	1
KEUNG Ming Hoi	AOV715	Licensed Representative - Responsible Officer	9
KWAI Kwok On	ADY812	Licensed Representative - Responsible Officer	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAFON Pierre Jean Philippe Noel	BHE064	Licensed Representative - Responsible Officer	1, 4
LAU Chun Wai	AFK569	Licensed Representative - Responsible Officer	4, 9
LAU Ka Chun	AEE491	Licensed Representative - Responsible Officer	4, 9
LEE Joseph Moh Hon	BHF356	Licensed Representative - Responsible Officer	9
LEUNG Ho Yin, Scott	ABK257	Licensed Representative - Responsible Officer	1, 2
LEUNG Ka Yee Andrew	ALK461	Licensed Representative - Responsible Officer	4, 9
LEUNG Yee Ting	BIN304	Licensed Representative - Responsible Officer	9
LI Dominic Song Ching	AAC878	Licensed Representative - Responsible Officer	4, 9
LI Peiying	BHV047	Licensed Representative - Responsible Officer	4, 9
LUI Chi Lung Louis	AGT091	Licensed Representative - Responsible Officer	1, 4, 9
NG Wing Hop Kenneth	BHP134	Licensed Representative - Responsible Officer	9
NIU Rui	AJL489	Licensed Representative - Responsible Officer	1
PAN Lok Ping, Ivy	ADZ359	Licensed Representative - Responsible Officer	4, 9
PAPADATOS David Scott	BAL234	Licensed Representative - Responsible Officer	9
PIERCE David Glen	AJD764	Licensed Representative - Responsible Officer	4
QIN Jing	AGN786	Licensed Representative - Responsible Officer	4, 9
ROSENFELD Faith	BHU902	Licensed Representative - Responsible Officer	9
SHARMA Punam	BIH763	Licensed Representative - Responsible Officer	4, 9
SHEN Weiguang	BHR626	Licensed Representative - Responsible Officer	2
SIU Yiu Han, Michael	AEE607	Licensed Representative - Responsible Officer	4, 9
SZE-TO May Ying, Gilda	ACG379	Licensed Representative - Responsible Officer	4, 9
VAN DER GRAAF Roderik Cornelis	APV504	Licensed Representative - Responsible Officer	9
WANG Lifeng	BHQ354	Licensed Representative - Responsible Officer	9
WARFIELD IV George Richard	ASH893	Licensed Representative - Responsible Officer	4, 9
WONG Lai Suen	AFT312	Licensed Representative - Responsible Officer	1
WONG Wai Keung	ACL006	Licensed Representative - Responsible Officer	1
WU Chun Wah	AHA661	Licensed Representative - Responsible Officer	1, 4, 9
WU Ho Lap, Lucian	ACG554	Licensed Representative - Responsible Officer	4
XU Jianming	BHR630	Licensed Representative - Responsible Officer	2
YAM Kam Shing	AGE094	Licensed Representative - Responsible Officer	4, 9
YAN Chi Kwan	AGL147	Licensed Representative - Responsible Officer	1, 4
YANG Zhuo	BHQ357	Licensed Representative - Responsible Officer	9
YU Yan	BHV329	Licensed Representative - Responsible Officer	1, 4
ZHANG Liwei	AKU933	Licensed Representative - Responsible Officer	9
ZHANG Xin, Eric	ARQ383	Licensed Representative - Responsible Officer	1, 6
ZHANG Yu	BHV046	Licensed Representative - Responsible Officer	4, 9
AU Ho Lun	ATS067	Licensed Representative (Provisional Licence)	1
BENNISON Joshua Gary	BIN565	Licensed Representative (Provisional Licence)	4
BERGER-BIT Gregory Daniel	BIO076	Licensed Representative (Provisional Licence)	9
BOONTHAVEEPAT Watcharida	BIN847	Licensed Representative (Provisional Licence)	1, 2, 4, 5
BREWSTER Trevor	BIJ685	Licensed Representative (Provisional Licence)	1
BUBERE Ayesha	BIN745	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative (Provisional Licence)	2, 5
CHAN Afonso Juno	BIN301	Licensed Representative (Provisional Licence)	4, 9
CHAN Chi Wo	BIM259	Licensed Representative (Provisional Licence)	1
CHAN Choo Tee	APG893	Licensed Representative (Provisional Licence)	1, 4
CHAN Kam Kuen	BIQ316	Licensed Representative (Provisional Licence)	1
CHAN Kam On Joe	BIN074	Licensed Representative (Provisional Licence)	1, 4
CHAN Kin Bun	BIN569	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Kin Kwan	AUN432	Licensed Representative (Provisional Licence)	1
CHAN Kin Yan	BIJ852	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Siu Ho	BIM672	Licensed Representative (Provisional Licence)	1, 6
CHAN Sui Kam	BIN155	Licensed Representative (Provisional Licence)	1
CHAN Tsui Ling	BIQ870	Licensed Representative (Provisional Licence)	9
CHAN Wai Yin, Jammy	AFT002	Licensed Representative (Provisional Licence)	1, 4
CHAU Wallace Tin Lun	BIO890	Licensed Representative (Provisional Licence)	1, 6
CHEN Hsin Yu	BIO223	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Liu Shan	BIN722	Licensed Representative (Provisional Licence)	1, 6
CHEN Shen	BIO834	Licensed Representative (Provisional Licence)	4
CHENG Cheuk Yin	BIO891	Licensed Representative (Provisional Licence)	1, 6
CHENG Juyuan	BIQ903	Licensed Representative (Provisional Licence)	1, 7
CHENG Pui Ling	BIM381	Licensed Representative (Provisional Licence)	1
CHENG Yuen Chiu	AQJ100	Licensed Representative (Provisional Licence)	1, 4
CHENG Yujia	BIQ365	Licensed Representative (Provisional Licence)	4, 9
CHIANG Kam Wah	BFL529	Licensed Representative (Provisional Licence)	2
CHIN Wai Hung, Jack	AOS683	Licensed Representative (Provisional Licence)	1
CHIN Yuan, Sef	BIN543	Licensed Representative (Provisional Licence)	1, 4
CHO Kin Keung, Keith	BDO181	Licensed Representative (Provisional Licence)	6
CHONG Kok Yeow	BIO688	Licensed Representative (Provisional Licence)	1
CHOW Franki	BIQ606	Licensed Representative (Provisional Licence)	3
CHOW Ho Ming, Henry	BIL449	Licensed Representative (Provisional Licence)	1, 4
CHOW Hung Ching	BIL981	Licensed Representative (Provisional Licence)	4
CHU Cheuk Yin	BIO192	Licensed Representative (Provisional Licence)	1, 4
CHU Mei Fong	BCZ103	Licensed Representative (Provisional Licence)	1
CHUEN Tin Chun, Andrew	BIN687	Licensed Representative (Provisional Licence)	1, 4
CHUI Tak Mong Jodie	BIQ703	Licensed Representative (Provisional Licence)	1
CHUNG Po Wah, Emily	ANY049	Licensed Representative (Provisional Licence)	1
CUI Jingyi	BIM301	Licensed Representative (Provisional Licence)	4
CURRAT Marc Alexandre	BIL836	Licensed Representative (Provisional Licence)	1, 4, 9
DE LA BAUME Paul Louis Charles	BIJ354	Licensed Representative (Provisional Licence)	1, 4
DESHMUKH Aniruddha Ravindra	ANG434	Licensed Representative (Provisional Licence)	4, 9
DIU Dave	BIN437	Licensed Representative (Provisional Licence)	1, 6
DU Linlin	BIM547	Licensed Representative (Provisional Licence)	1, 4
DU Yun	BIO707	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
EDELBERG Jeremy Ian	BIQ153	Licensed Representative (Provisional Licence)	1, 2
FAN Rui	BIP081	Licensed Representative (Provisional Licence)	1, 4, 9
FANG Qihan	BIK401	Licensed Representative (Provisional Licence)	1
FENG Yang	BIO492	Licensed Representative (Provisional Licence)	1
FISHER Hugo Robert Heathcote	BIO854	Licensed Representative (Provisional Licence)	1, 4
FOO Kin Chung	AQJ492	Licensed Representative (Provisional Licence)	1, 4
FRIEDMAN John William	BHX263	Licensed Representative (Provisional Licence)	1
FU Chengfei	BIN392	Licensed Representative (Provisional Licence)	1, 6
FUNG Chi Hang	AMK097	Licensed Representative (Provisional Licence)	1, 9
FUNG Po Yuk	BIN045	Licensed Representative (Provisional Licence)	1
GAN Tian	BDW566	Licensed Representative (Provisional Licence)	1, 2
GAO Duohuai	BIM697	Licensed Representative (Provisional Licence)	1, 6
GAO Ruoran	BIM689	Licensed Representative (Provisional Licence)	1, 6
GAO Ya	AZJ498	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Yuan	BIN422	Licensed Representative (Provisional Licence)	1, 4, 9
GOULET Martin	AZK191	Licensed Representative (Provisional Licence)	9
GUPTA Siddharth	BIQ330	Licensed Representative (Provisional Licence)	1, 2, 9
HALLAM Nicholas	BIM618	Licensed Representative (Provisional Licence)	1
HAN Yao Guang	BIQ629	Licensed Representative (Provisional Licence)	1, 6
HE Jingjia	BIM420	Licensed Representative (Provisional Licence)	1
HE Zhaoqiang	BAQ188	Licensed Representative (Provisional Licence)	4
HERBERT Anthony John	BIL705	Licensed Representative (Provisional Licence)	1
HO Felix Tsz Kam	AZQ788	Licensed Representative (Provisional Licence)	1
HO Ka Ling	AQT308	Licensed Representative (Provisional Licence)	1, 4
HO Ka Lun	BIN776	Licensed Representative (Provisional Licence)	1, 4, 5, 9
HO Wai Yu	BIO618	Licensed Representative (Provisional Licence)	1, 6
HOLFORD Jeffrey	BIM503	Licensed Representative (Provisional Licence)	4
HOMSCHEID Conor Soren	BIO893	Licensed Representative (Provisional Licence)	6
HUANG Chi Chen	AWL536	Licensed Representative (Provisional Licence)	1, 4
HUANG Qiqi	BIO245	Licensed Representative (Provisional Licence)	1, 4
HUANG Shengran	BIQ229	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Mei Ki	ANV019	Licensed Representative (Provisional Licence)	1, 4, 9
HUI On Ki	AVS799	Licensed Representative (Provisional Licence)	1, 4
HUNG Shui Lun, Clarence	AEE169	Licensed Representative (Provisional Licence)	1
IOKIBE Jiro	BIN286	Licensed Representative (Provisional Licence)	4
IP Wing Tuen, Joyce	BIN861	Licensed Representative (Provisional Licence)	1, 6
ISHIGAMI Daniel Akio	BIO183	Licensed Representative (Provisional Licence)	1, 4
JANG Minhyeok	BIQ771	Licensed Representative (Provisional Licence)	1, 4
JIANG Ximin	BIM319	Licensed Representative (Provisional Licence)	4
JUNG Insuk	BIN964	Licensed Representative (Provisional Licence)	1
JUNG Sojin	BIM945	Licensed Representative (Provisional Licence)	1, 2
KAM Kit	BIN997	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
KAN Chi Kit	BIN210	Licensed Representative (Provisional Licence)	1, 2
KANG Ning	BIO599	Licensed Representative (Provisional Licence)	1, 4, 6
KELLOCK Mark William	ARJ486	Licensed Representative (Provisional Licence)	9
KHOSLA Mohika	BIM297	Licensed Representative (Provisional Licence)	1, 2
KO Liang-wei Richard	AFP185	Licensed Representative (Provisional Licence)	4
KO Mei Yan, Alice	BIM263	Licensed Representative (Provisional Licence)	1
KO Vanessa	BIQ200	Licensed Representative (Provisional Licence)	1
KRONBORG Martin Ulrich	BGN047	Licensed Representative (Provisional Licence)	9
KUO Chien Liang	BIN828	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KUSAKA Daisuke	BIO467	Licensed Representative (Provisional Licence)	1
KWOK Chung Ming, Thomas	BIM262	Licensed Representative (Provisional Licence)	1, 2
KWOK Pak Kuen	BIM405	Licensed Representative (Provisional Licence)	1
KWONG Chun Hin	BIM257	Licensed Representative (Provisional Licence)	1
LAI Chun Kit	BGH428	Licensed Representative (Provisional Licence)	9
LAI Hiu Tung	BEU887	Licensed Representative (Provisional Licence)	2
Lai Kar Kei	AJX013	Licensed Representative (Provisional Licence)	1, 4
LAI Wai Heng	BIO868	Licensed Representative (Provisional Licence)	1
LAM Ah Wai, Ammy	BIL712	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Cheuk Fung	BIN848	Licensed Representative (Provisional Licence)	6
LAM Ho Yan	BIK484	Licensed Representative (Provisional Licence)	9
LAM Ka Wai	BIM551	Licensed Representative (Provisional Licence)	1, 6, 9
LAM Kuen	ANJ657	Licensed Representative (Provisional Licence)	1, 9
LAM Kwong Fung	ANS191	Licensed Representative (Provisional Licence)	3
LANDAIS Francois Michel Florent	BIN527	Licensed Representative (Provisional Licence)	1, 2, 4
LAU Ka Yan	BIN606	Licensed Representative (Provisional Licence)	10
LAU Wai Man	BIQ232	Licensed Representative (Provisional Licence)	1, 2
LAW Tsz Kwan Iris	BIL950	Licensed Representative (Provisional Licence)	1, 4
LEE Juwan Ju Hoon	ADT663	Licensed Representative (Provisional Licence)	9
LEE Kwok Yan	BIO431	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Woojin	AQI793	Licensed Representative (Provisional Licence)	4, 9
LEE Yik Shan	ADV592	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE Yu Sang Edison	ADI291	Licensed Representative (Provisional Licence)	4
LEE Yuen Tsun	BIM241	Licensed Representative (Provisional Licence)	1
LEIGH Britton S	BIQ763	Licensed Representative (Provisional Licence)	1, 9
LERTSUMITKUL Lynn	BIN453	Licensed Representative (Provisional Licence)	1, 2
LEUNG Hang Fung	BIQ318	Licensed Representative (Provisional Licence)	1, 2
LEUNG Kwai Ki	BIN994	Licensed Representative (Provisional Licence)	9
LEUNG Pui Shan	AEC084	Licensed Representative (Provisional Licence)	1, 4
LEUNG Siu Wai, Brenda	AIH673	Licensed Representative (Provisional Licence)	1, 2
LI Po Ching	BIL742	Licensed Representative (Provisional Licence)	1
LI Chun Kit	BIP940	Licensed Representative (Provisional Licence)	1
LI Da	BIO662	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Kai Wang	BFY153	Licensed Representative (Provisional Licence)	2
LI Lin	BIO011	Licensed Representative (Provisional Licence)	1
LI Patrick	ALI101	Licensed Representative (Provisional Licence)	1, 4, 9
LI Siyi	BIO702	Licensed Representative (Provisional Licence)	1, 6
LI Xuejiao	BIQ720	Licensed Representative (Provisional Licence)	1, 4, 9
LI Ying	AWP050	Licensed Representative (Provisional Licence)	9
LI Yue	BIN442	Licensed Representative (Provisional Licence)	1, 4
LI Yuqing	BIO498	Licensed Representative (Provisional Licence)	1, 4
LIANG Jijian	BIN264	Licensed Representative (Provisional Licence)	4, 9
LIANG Jun	BIN429	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Hsin Ya	BIM440	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LIN Kelvin Fonda	BIJ532	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Shan	BIR018	Licensed Representative (Provisional Licence)	4
LIU Chun Wah	BIN603	Licensed Representative (Provisional Licence)	9
LIU Geoffrey Yu Hin	BDG922	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Mengying	BIN851	Licensed Representative (Provisional Licence)	4, 9
LIU Weiwei	BIQ631	Licensed Representative (Provisional Licence)	1
LIU Yang	AVY424	Licensed Representative (Provisional Licence)	1, 4
LIU Yihan	BIQ173	Licensed Representative (Provisional Licence)	1
LIU Yiyi	BIO217	Licensed Representative (Provisional Licence)	6
LO Chiu-jung	BIM504	Licensed Representative (Provisional Licence)	1, 4, 9
LO Ki Cheung	BIN969	Licensed Representative (Provisional Licence)	6
LO Pan Lam	BIQ323	Licensed Representative (Provisional Licence)	1, 2
LO Pui Ki	BAN230	Licensed Representative (Provisional Licence)	1, 4, 9
LO Shih-hung	ALR980	Licensed Representative (Provisional Licence)	1, 2
LO Wing Kin, Kenneth	AFA296	Licensed Representative (Provisional Licence)	1
LOH Qiuli	BIO294	Licensed Representative (Provisional Licence)	1, 9
LU Chengfang	BIO470	Licensed Representative (Provisional Licence)	1
LU Qing	BGP173	Licensed Representative (Provisional Licence)	9
LU Yao	BIM322	Licensed Representative (Provisional Licence)	6
LU Yuting	BIO895	Licensed Representative (Provisional Licence)	1, 6
LUI Chi Hang	ARU560	Licensed Representative (Provisional Licence)	1, 2
LUK Kin Man John	BIQ747	Licensed Representative (Provisional Licence)	1, 4
LUO Hui Li	BIM878	Licensed Representative (Provisional Licence)	4
LUO Ran	BIO497	Licensed Representative (Provisional Licence)	1, 4
LYU Xiaoyan	BIN439	Licensed Representative (Provisional Licence)	6
MA Dong	BIJ547	Licensed Representative (Provisional Licence)	4, 9
MAK Siu Chung	BIQ231	Licensed Representative (Provisional Licence)	1, 4, 5, 9
MATSUO Takuma	BIN572	Licensed Representative (Provisional Licence)	4
MILLER Magdalene Ka Hung Nancy	AYF299	Licensed Representative (Provisional Licence)	1
MOK Ho Kiu, Olivia	BIM846	Licensed Representative (Provisional Licence)	6
NG Chun Man, Michael	AQQ196	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Lok Man, Rose	BIQ518	Licensed Representative (Provisional Licence)	4, 5, 9
ORBUCB Steven Edward	BIR159	Licensed Representative (Provisional Licence)	1, 9
OU Qiuyan	BIO916	Licensed Representative (Provisional Licence)	1
OUYANG Shirui	BIN775	Licensed Representative (Provisional Licence)	4
PAK Ka Lee	BDH206	Licensed Representative (Provisional Licence)	1, 2, 4
PARK Hyung Jin	APB995	Licensed Representative (Provisional Licence)	1
PARKER Benjamin Stewart	BDX588	Licensed Representative (Provisional Licence)	9
PAVRI Tina Koryn	BIO508	Licensed Representative (Provisional Licence)	4
PEARSON James	ABN229	Licensed Representative (Provisional Licence)	1, 4, 6
PEARSON Stanley Douglas	BFU454	Licensed Representative (Provisional Licence)	1
PONG Kwok Hung, Patrick	AEL747	Licensed Representative (Provisional Licence)	4
POWELL Stuart Baden	BDO331	Licensed Representative (Provisional Licence)	1, 7
PURANDARE Rajshekhar	BIO884	Licensed Representative (Provisional Licence)	2, 5
QI Haiying	BFB179	Licensed Representative (Provisional Licence)	1, 4, 9
QIAN Manman	BIO290	Licensed Representative (Provisional Licence)	1
QU Hong	BIN732	Licensed Representative (Provisional Licence)	4
RAO Weinan	BDQ796	Licensed Representative (Provisional Licence)	1, 2
ROBB Gordon Bjorn	BIQ332	Licensed Representative (Provisional Licence)	1
RYU Soo Bin	BIN734	Licensed Representative (Provisional Licence)	1, 2
SALAROLI Martin	BIN989	Licensed Representative (Provisional Licence)	1, 4, 5
SHI Qian	AYQ637	Licensed Representative (Provisional Licence)	1, 6
SHIU Man Yee	BIO883	Licensed Representative (Provisional Licence)	2, 5
SIEW Jhun Quyn	BIN590	Licensed Representative (Provisional Licence)	1, 6
SIT Hau Yi	BEE951	Licensed Representative (Provisional Licence)	2
SMAGA Jeremie	BIH855	Licensed Representative (Provisional Licence)	9
SO Tsz Yan Yenny	AFO456	Licensed Representative (Provisional Licence)	1, 4
SO Ying Wai	BIN327	Licensed Representative (Provisional Licence)	4, 9
SO Yuen Man	BIJ967	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Jiyao	BIL936	Licensed Representative (Provisional Licence)	9
SUI Nan Xu	BIQ781	Licensed Representative (Provisional Licence)	1, 4
SUNG Poh Hong	BIN519	Licensed Representative (Provisional Licence)	1, 2
SUWANAPRUTI Udom	BIO103	Licensed Representative (Provisional Licence)	1, 4
TAM Ka Hong	BIQ325	Licensed Representative (Provisional Licence)	1
TAN Yu Sian	BIM915	Licensed Representative (Provisional Licence)	4, 6
TANG Chun Kit	BIQ326	Licensed Representative (Provisional Licence)	1
TANTLEFF Jacob William	BIO896	Licensed Representative (Provisional Licence)	1, 7
TAO Liyuan	AWK183	Licensed Representative (Provisional Licence)	1
TIN Wai Man	ARG334	Licensed Representative (Provisional Licence)	1, 4, 5
TO Wing Tung	BIJ686	Licensed Representative (Provisional Licence)	1, 2
TONG Tao	AYA343	Licensed Representative (Provisional Licence)	1, 4, 6, 9
TOPPANI Daniel Simon	BBZ367	Licensed Representative (Provisional Licence)	9
TSAI Pei-En	BIL888	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSANG Kenneth Ky Sung	AZH816	Licensed Representative (Provisional Licence)	4
TSE Yue Hong	ANQ294	Licensed Representative (Provisional Licence)	1, 4
WISEUX Martin, Patrick, Marie, Guillaume	BIM648	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
WANG Borui	BIO299	Licensed Representative (Provisional Licence)	1, 4
WANG Fan	BIM260	Licensed Representative (Provisional Licence)	4
WANG Haonan	BIM693	Licensed Representative (Provisional Licence)	1, 6
WANG Jian	BIP990	Licensed Representative (Provisional Licence)	6
WANG Li	BIL829	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Lin	BIM406	Licensed Representative (Provisional Licence)	4, 9
WANG Xiaoyue	BIO184	Licensed Representative (Provisional Licence)	9
WANG Yerui	BIO296	Licensed Representative (Provisional Licence)	1, 6
WANG Yingzi	BIN446	Licensed Representative (Provisional Licence)	6
WANG Zhongda	BIN331	Licensed Representative (Provisional Licence)	1
WASSERMANN Nora	BIL483	Licensed Representative (Provisional Licence)	1, 4
WAY James Timothy	BIK014	Licensed Representative (Provisional Licence)	1, 9
WEN Zhigang	BIM633	Licensed Representative (Provisional Licence)	4, 9
WENG Ketong	BIM656	Licensed Representative (Provisional Licence)	1, 4, 6
WOLHARDT Allan Keh	AQJ961	Licensed Representative (Provisional Licence)	1, 6
WONG Ka Chun	BIM268	Licensed Representative (Provisional Licence)	1, 2
WONG Ki Cheong	BIO248	Licensed Representative (Provisional Licence)	1, 4
WONG Kin Fung	BIN571	Licensed Representative (Provisional Licence)	1
WONG Ling Chi	BIP062	Licensed Representative (Provisional Licence)	1, 4
WONG Long Chun	BIO909	Licensed Representative (Provisional Licence)	2
WONG Sau Fun	AJB428	Licensed Representative (Provisional Licence)	2
WONG Suet Ying	BIM582	Licensed Representative (Provisional Licence)	1, 6
WONG Yuk Yee Rachel	BIO923	Licensed Representative (Provisional Licence)	9
WU Dongmei	BIO022	Licensed Representative (Provisional Licence)	1
WU Wan Ru	BIQ110	Licensed Representative (Provisional Licence)	1, 9
XIE Bo	BIN468	Licensed Representative (Provisional Licence)	9
XING Hongyuan	BIO301	Licensed Representative (Provisional Licence)	1, 6
XING Xing	BIO683	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Jiani	BIM607	Licensed Representative (Provisional Licence)	1, 4
XU Wentao	BIO043	Licensed Representative (Provisional Licence)	6
XU Xu	AVL306	Licensed Representative (Provisional Licence)	1, 4
XUE Ying	BIM572	Licensed Representative (Provisional Licence)	4
YANG Guang	BIM659	Licensed Representative (Provisional Licence)	4
YANG James Eashuane	ANW535	Licensed Representative (Provisional Licence)	1, 4
YANG Qi	BIN065	Licensed Representative (Provisional Licence)	1, 4
YANG Xu	BIN075	Licensed Representative (Provisional Licence)	1, 2, 9
YAO Kuo Yi, Patterson	AAH645	Licensed Representative (Provisional Licence)	4, 9
YAO Yankun	BIK516	Licensed Representative (Provisional Licence)	1, 6
YAP Hsien Liang	BIM383	Licensed Representative (Provisional Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAU Gary Tsz Kit	BIQ776	Licensed Representative (Provisional Licence)	4
YEUNG Chi Hong	BIQ873	Licensed Representative (Provisional Licence)	9
YEUNG Fai	BEO592	Licensed Representative (Provisional Licence)	1
YEUNG Ham	ANU667	Licensed Representative (Provisional Licence)	1, 4
YIM Yeuk King, Claire	AIB370	Licensed Representative (Provisional Licence)	1
YIN Yue	BIK517	Licensed Representative (Provisional Licence)	1, 2, 7
YING Lianzheng	BIM793	Licensed Representative (Provisional Licence)	1, 6
YU Lu	BIP992	Licensed Representative (Provisional Licence)	6
YU Tianbo	BIO480	Licensed Representative (Provisional Licence)	1, 4
YU Yilu	BIM687	Licensed Representative (Provisional Licence)	1
YUEN Pui Kei	BIR019	Licensed Representative (Provisional Licence)	1, 6
YUNG Hoi Lam	BIL858	Licensed Representative (Provisional Licence)	1
ZENG Mengya	BIL757	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jingkun	BGG673	Licensed Representative (Provisional Licence)	2
ZHANG Jingzhou	BIM583	Licensed Representative (Provisional Licence)	1, 4
ZHANG Mengyi	BIO476	Licensed Representative (Provisional Licence)	1, 2
ZHANG Shang	BIO049	Licensed Representative (Provisional Licence)	6
ZHANG Shaobo	BIM865	Licensed Representative (Provisional Licence)	9
ZHANG Zhaohan	BIO066	Licensed Representative (Provisional Licence)	6
ZHANG Zhiyu	AYQ807	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Bingyu	BIQ760	Licensed Representative (Provisional Licence)	2
ZHAO Dazhen	BIO846	Licensed Representative (Provisional Licence)	4
ZHENG Li	BIN037	Licensed Representative (Provisional Licence)	1
ZHENG Tao	BIQ896	Licensed Representative (Provisional Licence)	4, 9
ZHENG Zuer	BIN860	Licensed Representative (Provisional Licence)	1, 6
ZHOU Jifangfang	BIN823	Licensed Representative (Provisional Licence)	1, 4
ZONG Dong	BIM216	Licensed Representative (Provisional Licence)	1, 9
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
ALI Tawhid	BBP720	Licensed Representative (Temporary Licence)	4
ARNOLD Deryck Adrian	BIL911	Licensed Representative (Temporary Licence)	1
ASSOUAD Leith-Anthony Alfred	BIM850	Licensed Representative (Temporary Licence)	1, 2
AYABE Shunsuke	BEC905	Licensed Representative (Temporary Licence)	1
BEDOQUET Jerome Frederic Francois	BIN300	Licensed Representative (Temporary Licence)	1, 2
BENNETT Craig Andrew	BIM792	Licensed Representative (Temporary Licence)	10
BOSWELL Jeffrey	BIM799	Licensed Representative (Temporary Licence)	4
CAMPBELL Carolyn Leigh	BIM466	Licensed Representative (Temporary Licence)	1, 2
CARROLL Edmund Marsden	BGU584	Licensed Representative (Temporary Licence)	1
CARTER Damon	BEO225	Licensed Representative (Temporary Licence)	1
CHAN Simon	BCT212	Licensed Representative (Temporary Licence)	1, 4
CHANG Shu-Ping	BIN430	Licensed Representative (Temporary Licence)	10
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4
CHENG Haiwen	BIN077	Licensed Representative (Temporary Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHONG Petrina Pek Ling	AWP648	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	1, 4
CHUGH Geeta	BIN156	Licensed Representative (Temporary Licence)	10
CHUKIAT Nicholas Wynn	BGA519	Licensed Representative (Temporary Licence)	1, 4
CLEVENGER Adam	BIO494	Licensed Representative (Temporary Licence)	1, 2
CLYDE Lisa Grieve	BIM604	Licensed Representative (Temporary Licence)	1, 4, 6
CONSTABLE Anthony Joseph Peregrine	BFY119	Licensed Representative (Temporary Licence)	1, 2
DE BLONAY Guy Charles Desmond	BBF372	Licensed Representative (Temporary Licence)	1
DE LANGE Pieter Nicolaas Viljoen	BIM316	Licensed Representative (Temporary Licence)	10
DRAFFIN Paul Roderick	BIM489	Licensed Representative (Temporary Licence)	10
DUCOMBLE Franky	BIN462	Licensed Representative (Temporary Licence)	1, 2
EDWARDS Shane Grant	AJG195	Licensed Representative (Temporary Licence)	1
EGUCHI Hiroyasu	BBL849	Licensed Representative (Temporary Licence)	1, 4
ELLIOTT David Jonathan	BIK518	Licensed Representative (Temporary Licence)	1, 4
ELLIOTT Michael John	AWE535	Licensed Representative (Temporary Licence)	1
ENDERLEIN Carl Dirk	BIN855	Licensed Representative (Temporary Licence)	1, 4
EPSTEIN Ronald Jay	BDJ465	Licensed Representative (Temporary Licence)	1, 4
ERRINGTON David Justin	BAM261	Licensed Representative (Temporary Licence)	1, 4
FAN Wei-Hua	BIN428	Licensed Representative (Temporary Licence)	10
FIGUEIREDO FERREIRA Fernando	BIM042	Licensed Representative (Temporary Licence)	1, 4
FITZPATRICK Dawn Nora	BGK600	Licensed Representative (Temporary Licence)	1, 4
FORD Alexander William	BIN326	Licensed Representative (Temporary Licence)	1, 2
FRANCFORT Gregory R	BFJ341	Licensed Representative (Temporary Licence)	1, 4
FRIEL Stephen Robert	BIO910	Licensed Representative (Temporary Licence)	1, 4
GANGAL Shrinivas Ravindra	BBN555	Licensed Representative (Temporary Licence)	1, 2
GHADIYALI Naquiyah Abdulla	BIN248	Licensed Representative (Temporary Licence)	1
GISBOURNE Ian Mark	ACS236	Licensed Representative (Temporary Licence)	4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GREER Victoria Anne	BIO904	Licensed Representative (Temporary Licence)	1
GUNTUR Hasram Putra	AKY174	Licensed Representative (Temporary Licence)	1
HADIWIDJOJO Pramadwiandika	BEV992	Licensed Representative (Temporary Licence)	1, 4
HARLING Victoria Louise	BAD607	Licensed Representative (Temporary Licence)	4
HARNED Douglas Stuart	AWE188	Licensed Representative (Temporary Licence)	4
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1
HAYES Christopher John	BEB738	Licensed Representative (Temporary Licence)	1
HEBERT JR Edward Michael	BGN068	Licensed Representative (Temporary Licence)	4
HEELAN Benjamin Michael	BIN836	Licensed Representative (Temporary Licence)	1, 4
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
HIRATA Shingo	AYG042	Licensed Representative (Temporary Licence)	4
HOLLOCK Jonathan Christopher	BIM661	Licensed Representative (Temporary Licence)	1, 4
HSIEH Ya-Ying	BIN441	Licensed Representative (Temporary Licence)	10
HUBERTY Kathryn Lynn	BAE422	Licensed Representative (Temporary Licence)	1
HUREWITZ Barry Scott	BIN563	Licensed Representative (Temporary Licence)	4
HUTCHINS Jamie Blake	BIM264	Licensed Representative (Temporary Licence)	4
IDELL Rebecca Leigh	BIN593	Licensed Representative (Temporary Licence)	1
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
JAIN Sharad	BIN744	Licensed Representative (Temporary Licence)	10
JOHNSON Christine Marie	BIM671	Licensed Representative (Temporary Licence)	4
JOHNSON Matthew Gerard	BIM287	Licensed Representative (Temporary Licence)	1, 4
JOHNSON Richard James Spencer	AWT702	Licensed Representative (Temporary Licence)	4
JUSCHKE Felix	BHR619	Licensed Representative (Temporary Licence)	1
KIM Hyo Jun	AOH894	Licensed Representative (Temporary Licence)	4
KIMURA Gen	AVR981	Licensed Representative (Temporary Licence)	1, 2
KRALJ Ivan	BIJ111	Licensed Representative (Temporary Licence)	1
KUHNERT Gregory John	AST321	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
KYRTSIS Alexander	BES318	Licensed Representative (Temporary Licence)	1
LANGER Bernhard Walter	BGX289	Licensed Representative (Temporary Licence)	1, 4
LANSKY Elise Alexandra	BIL555	Licensed Representative (Temporary Licence)	1
LEBRUN JAMIE	BBI023	Licensed Representative (Temporary Licence)	1, 2
LEE Go Eun	ASP244	Licensed Representative (Temporary Licence)	4
LEGGE Mark Andrew	BIM795	Licensed Representative (Temporary Licence)	10
LI Jing	AOV618	Licensed Representative (Temporary Licence)	1, 2
LIN Chi-Jung	BFA198	Licensed Representative (Temporary Licence)	4
MALEK-AHMADI Andrew Mehra	BDE484	Licensed Representative (Temporary Licence)	1
MANNERS Christopher Robert	BCB662	Licensed Representative (Temporary Licence)	1
MANSELL Steven Robert	BEI891	Licensed Representative (Temporary Licence)	4
MAREELS Carlo	BIO137	Licensed Representative (Temporary Licence)	4
MATITIA Edouard Albert	BHG614	Licensed Representative (Temporary Licence)	1, 2
MAXTON Ellen Grace	BIN464	Licensed Representative (Temporary Licence)	1
MAZOY SANCHEZ Jose Manuel	BIN599	Licensed Representative (Temporary Licence)	1
MCCONVILLE Christopher Patrick	BIN845	Licensed Representative (Temporary Licence)	1
MCDONALD Graeme Antony	ALA712	Licensed Representative (Temporary Licence)	4
MCELROY Michael Patrick	BIK519	Licensed Representative (Temporary Licence)	1, 4
MEREDITH Ryan	BIN774	Licensed Representative (Temporary Licence)	1
MIZUNO Hikaru	AXV981	Licensed Representative (Temporary Licence)	4
MORIUCHI Hiroyuki	BIL566	Licensed Representative (Temporary Licence)	1, 4, 6
MOSSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1, 4
MOULDER Anthony Bruce	BFB113	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
NABAR Santosh Vasudeo	BIL653	Licensed Representative (Temporary Licence)	1, 2
NAGOYA Munetaka	AXB794	Licensed Representative (Temporary Licence)	1, 2
NAGUTHA Mohamed Faiz	BHX162	Licensed Representative (Temporary Licence)	1, 4
NALEWAJKO Monika Bozena	BIL895	Licensed Representative (Temporary Licence)	1
NAPIER Jason Clive	BIN751	Licensed Representative (Temporary Licence)	4
NOLLE JR Paul Adams	BIQ025	Licensed Representative (Temporary Licence)	1
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	1
OBIN Andrew Burris	BDI734	Licensed Representative (Temporary Licence)	1, 4
OKAMOTO Soshi	BGB194	Licensed Representative (Temporary Licence)	1, 2
OSIANDER Ernst Josef	BEE962	Licensed Representative (Temporary Licence)	1
PACAN Maxime, Jacques, Francois	BFP547	Licensed Representative (Temporary Licence)	1, 4
PARK Young Jin	BIP067	Licensed Representative (Temporary Licence)	1, 4
PARRY-WINGFIELD Hugo Charles	BIN947	Licensed Representative (Temporary Licence)	1
PEACOCK Richard Alva	BIM611	Licensed Representative (Temporary Licence)	1, 4, 6
PEARCE Carolyn Diana	BIN852	Licensed Representative (Temporary Licence)	1
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
PLUMBE Timothy James Samuel	BIM306	Licensed Representative (Temporary Licence)	4
PREUBER Ralf Alexander	BGJ102	Licensed Representative (Temporary Licence)	1, 4
QIN Bo	BIN070	Licensed Representative (Temporary Licence)	4
REDEKER Hans Gunter Wilhelm	BFF385	Licensed Representative (Temporary Licence)	1
REDFERN James	BGX417	Licensed Representative (Temporary Licence)	1, 4
RYAN Alastair William	BAJ168	Licensed Representative (Temporary Licence)	1, 4
SAEF Jeffrey Bruce	BHF805	Licensed Representative (Temporary Licence)	1, 4
SALDANHA Liesl Judith Joan	BIN153	Licensed Representative (Temporary Licence)	10
SANDERSE Menno Gerard Cornelis	AUX424	Licensed Representative (Temporary Licence)	1
SATHAWORAWONG Pimolrat	BEM318	Licensed Representative (Temporary Licence)	4
SAUNDERS Philip Gordon Strode	ADB492	Licensed Representative (Temporary Licence)	4
SECKER Graham Ronald	AUD568	Licensed Representative (Temporary Licence)	1
SHARMA Naveen Prakash	ANW221	Licensed Representative (Temporary Licence)	1, 2
SHAW Lachlan John Rayner	BGL492	Licensed Representative (Temporary Licence)	4
SHIBANO Nozomi	AVI061	Licensed Representative (Temporary Licence)	4
SIDHU Ravi Singh	BIM944	Licensed Representative (Temporary Licence)	1, 2
SIKORA Peter	BIM801	Licensed Representative (Temporary Licence)	10
SIRIPASSORN Kritapas	BDQ319	Licensed Representative (Temporary Licence)	4
SLOMER Ole Henry	BEJ870	Licensed Representative (Temporary Licence)	1
SON Youngchan	ARD485	Licensed Representative (Temporary Licence)	1, 2
SPARTALIS Sophie Despina	BIM602	Licensed Representative (Temporary Licence)	1, 4
STOCK Michael William Anderton	BHR752	Licensed Representative (Temporary Licence)	1, 4, 6
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4
STUBBS Matthew Leslie	BIN588	Licensed Representative (Temporary Licence)	4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	1, 4
SWEETING Richard Thomas	BIN255	Licensed Representative (Temporary Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2016

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAKAKU Hirofumi	AXI172	Licensed Representative (Temporary Licence)	1, 2
TAN Yong Ek	BIL574	Licensed Representative (Temporary Licence)	10
TANAKA Reina	BIM494	Licensed Representative (Temporary Licence)	10
TANG Serena Wing Yin	BHK683	Licensed Representative (Temporary Licence)	1
TELFER Patrick	ASY387	Licensed Representative (Temporary Licence)	1
WEE Wilfred Zhi Zhong	BIL934	Licensed Representative (Temporary Licence)	4
WELCH Michael Godfrey	BGN066	Licensed Representative (Temporary Licence)	4
WILLIAMSON Joshua David	BIN735	Licensed Representative (Temporary Licence)	4
WINARDI Ronald	AXL931	Licensed Representative (Temporary Licence)	4
WINSLOW Louise Susan	BAI301	Licensed Representative (Temporary Licence)	1
WITTENBURG Fabrizio Martin	AVJ440	Licensed Representative (Temporary Licence)	1, 2
WONG Ee Kay Geoffrey	BFZ518	Licensed Representative (Temporary Licence)	1, 4
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YEONG Zhiyong, Brian	BIM901	Licensed Representative (Temporary Licence)	1, 4
YEUNG Tung Wai	BIM830	Licensed Representative (Temporary Licence)	10
YIN Lei	BAV723	Licensed Representative (Temporary Licence)	4
YOO Jae Hyuk	BBS475	Licensed Representative (Temporary Licence)	1, 4
YOSHIZAWA Ryoji	BIN472	Licensed Representative (Temporary Licence)	10
YU Guang	BFZ524	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2
ZENG Yuexin	BIN294	Licensed Representative (Temporary Licence)	1
ZHONG Xiaoling	BGW439	Licensed Representative (Temporary Licence)	10
Industrial Bank Co., Ltd.	ALZ901	Registered Institution	1, 4