



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
Alpine Securities Limited	BMQ127	Licensed Corporation	1, 4
Asymmetric Advisors Limited	BLV220	Licensed Corporation	1, 4
Atta Securities Limited	BLT550	Licensed Corporation	1, 2
Bain Capital Credit (Asia) Limited	BLO672	Licensed Corporation	1
Cheung On Securities Limited	BMP531	Licensed Corporation	1, 4, 9
China Investment Fund International Securities Limited	BLB379	Licensed Corporation	1, 4
CR Capital Investment Management Limited	BMX562	Licensed Corporation	4, 9
Da Di (Hong Kong) Financial Services Limited	BMJ355	Licensed Corporation	2, 5
Empyrean Management (Hong Kong) Limited	BML707	Licensed Corporation	4, 9
Finex Hong Kong Limited	BMQ105	Licensed Corporation	1, 4
For Wise (HK) Limited	BML920	Licensed Corporation	1, 4, 9
Generations Partners Company Limited	BML887	Licensed Corporation	4, 9
Greater Bay Asset Management Limited	BML162	Licensed Corporation	9
Greater Bay Securities Limited	BML163	Licensed Corporation	1, 2, 4
iCEO Fintech Capital Limited	BMK453	Licensed Corporation	4, 9
Innovest Securities Investment Limited	BLO233	Licensed Corporation	1
Jones Lang LaSalle Real Estate Advisory Limited	BMP654	Licensed Corporation	1, 4
Keyrock Capital Management Limited	BMN885	Licensed Corporation	9
Lianhe Ratings Global Limited	BMN499	Licensed Corporation	10
Macrowaters Asia Fund Management Limited	BLG329	Licensed Corporation	9
Oberweis Asset Management (Hong Kong) Limited	BMU928	Licensed Corporation	9
Ocean Joy Securities Limited	BMU940	Licensed Corporation	1, 2
ODDO HONG KONG LIMITED	BML622	Licensed Corporation	1
Rockpool Capital Limited	BMI329	Licensed Corporation	1, 4, 9
Sino Suisse Financial Group (Hong Kong) Limited	BMR629	Licensed Corporation	1, 4
Sun Growth Asset Management Limited	BKZ493	Licensed Corporation	4, 9
Sun Growth Futures Limited	BLH174	Licensed Corporation	2
Suxiang Asset Management (Hong Kong) Limited	BMV618	Licensed Corporation	1, 4, 9
Voyager Capital Limited	BLJ113	Licensed Corporation	4, 9
Wansom Asset Management (Hong Kong) Limited	BMR581	Licensed Corporation	4, 9
West 22nd Capital Advisers (Hong Kong) Limited	BMK908	Licensed Corporation	4, 9
ALMEIDA Cristy	AUA663	Licensed Representative	1, 2
ALTERMAN Valeriia	BND296	Licensed Representative	1, 2, 7
ARORA Anvita	BMV515	Licensed Representative	1, 4, 6
ASHWORTH Henry Thomas	BMX509	Licensed Representative	1, 4, 9
AU Hoi Tin	AXM285	Licensed Representative	1, 2
AYMARD Anna Cecile Angeline	BNB414	Licensed Representative	1, 2, 4, 5
BAI Xiangliang	BDO575	Licensed Representative	1, 4, 9
BAILEY David Lloyd	BNC016	Licensed Representative	4
BARLOW Michele Anne	AJE675	Licensed Representative	1, 4, 5, 9
BOLDUC Anne-Julie	BMP069	Licensed Representative	1, 2
BRAKENRIDGE Natassia Jaide	BCH543	Licensed Representative	1, 4, 9
CAI Bingqing	BMZ585	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAI Linting	BMX908	Licensed Representative	1, 6
CAI Xinyun	BNC399	Licensed Representative	4, 9
CAO Weiliang	BMZ620	Licensed Representative	1, 6
CHAK Sum Chi	BNC756	Licensed Representative	1, 2, 7
CHAN Andrew	BMW498	Licensed Representative	1, 4, 6
CHAN Anson Tsz Chung	BNC141	Licensed Representative	1, 6
CHAN Chi Kin	BNC028	Licensed Representative	1
CHAN Chi Yu	AWI799	Licensed Representative	1
CHAN Ching	BEO762	Licensed Representative	1, 4
CHAN Chun Hang	BNB251	Licensed Representative	1, 2
CHAN Fabian Cheuk Hin	AQG810	Licensed Representative	1, 2
CHAN Hin Man	BNC158	Licensed Representative	1
CHAN Hiu Yan	BDY283	Licensed Representative	1, 6
CHAN Ho Hin	BNC275	Licensed Representative	1, 4, 9
CHAN Hoi Chun	BNC181	Licensed Representative	1, 4, 6
CHAN Jonathan Chung	BNB925	Licensed Representative	1, 4, 9
CHAN Kak Chi	BNE795	Licensed Representative	4, 9
CHAN Kam Ming Eric	BMX490	Licensed Representative	1, 4
CHAN Kin Wai Philip	AGU694	Licensed Representative	1, 2
CHAN Kit Ying	ARE706	Licensed Representative	1
CHAN Koon Leung Alexander	BMW763	Licensed Representative	9
CHAN Ngan Fei	BMV341	Licensed Representative	1
CHAN Shu Tim	BND340	Licensed Representative	4, 9
CHAN Siu Wai	BNC233	Licensed Representative	1
CHAN Stephen Yin Wai	ACD021	Licensed Representative	9
CHAN Tim Yu	BNA686	Licensed Representative	1, 4, 9
CHAN TING	BNB911	Licensed Representative	9
CHAN TING KWONG	BNC132	Licensed Representative	1, 6
CHAN Wai Yan Judy	BNC669	Licensed Representative	1
CHAN Yau Ling, Connie	AZH738	Licensed Representative	1
CHAN Yip Lai	AML609	Licensed Representative	1
CHANG Jo-Peng	BMX590	Licensed Representative	1, 4, 5
CHANG Kevin Wang	BNB531	Licensed Representative	1
CHAU Betsy Sarah Wing Yee	BMV763	Licensed Representative	1
CHAU Ka Chun Stephen	BMV690	Licensed Representative	1, 4
CHAU On Yi	BNB244	Licensed Representative	1, 6
CHEN Chen	BNC203	Licensed Representative	4
CHEN Chengchieh	BNC712	Licensed Representative	1
CHEN Meng	BMV926	Licensed Representative	4
CHEN Szu-Wei	AWO626	Licensed Representative	1, 4
CHEN Tao	BMV023	Licensed Representative	6
CHEN Xiaoqing	BMW806	Licensed Representative	1, 6
CHEN Yingjie	BMZ418	Licensed Representative	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Zhehui	BMW891	Licensed Representative	6
CHEN Zihao	BNB913	Licensed Representative	4, 9
CHEN Ziming	BMX426	Licensed Representative	1, 4, 9
CHENG Hung, Eric	BAY062	Licensed Representative	1, 4
CHENG Xi	BNC316	Licensed Representative	1
CHEUN Wan Foon	BNC094	Licensed Representative	1
CHEUNG Chi Ho, Ricky	BNB785	Licensed Representative	4
CHEUNG Chun Man, Anthony	AGO353	Licensed Representative	9
CHEUNG Pui Man	BMV665	Licensed Representative	4
CHEUNG Yu Hong	BNC108	Licensed Representative	1
CHIM Bik Shan	BNA759	Licensed Representative	1
CHIM Ting Fai, Byron	APG129	Licensed Representative	1, 4, 9
CHIU Po-ju	BJD825	Licensed Representative	1
CHOI Joseph	BND625	Licensed Representative	1, 2
CHOI Wai Wan	BNB655	Licensed Representative	1
CHOW Jesson Kwok Kit	BJR310	Licensed Representative	1, 2, 5
CHOW Sau Yee	BMV925	Licensed Representative	1, 2
CHOW Yuk Hei Christopher	BNB199	Licensed Representative	1
CHOY Hoi Yan	APX164	Licensed Representative	4, 9
CHU Chun Wa	BMX722	Licensed Representative	1, 2, 4
CHUANG King Hing	BMZ587	Licensed Representative	1, 6
CHUI Mei	BNB931	Licensed Representative	1
CHUI Siu Ming	BMX409	Licensed Representative	2, 5
CHUNG Sau Lai	AOV151	Licensed Representative	1, 2
CHUNG Tao Ching	AJK595	Licensed Representative	1, 4
CLARKE Richard Daniel	BNE732	Licensed Representative	9
DAI Lipeng	BNB025	Licensed Representative	4, 9
DENG Dian	BNC301	Licensed Representative	1
DENG Jiejun	BNC664	Licensed Representative	1, 2
DENG Songjun	BNC084	Licensed Representative	9
DONG Siying	BNB214	Licensed Representative	1
DONG Wei	BMR596	Licensed Representative	4, 9
DONG Xiaoxuan	BMV834	Licensed Representative	4, 9
DOUKAS Jason Andrew	BND023	Licensed Representative	1, 2
FANG Wei	BNB235	Licensed Representative	1, 4, 9
FANG Zhou	BMX687	Licensed Representative	2
FENG Jerry Dongdong	BAD301	Licensed Representative	9
FOK Shuang Hong Shan Cie	BDK993	Licensed Representative	1
FU Bo	BMW887	Licensed Representative	6
FU Shanshan	AXQ082	Licensed Representative	6
FU Siu Ping Christine	BNB148	Licensed Representative	1
FUJINO Hayato	BMV668	Licensed Representative	1
GAN Tian	BNC404	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAO Jiamin	BMJ831	Licensed Representative	1, 4, 6
GAO Wei	BER852	Licensed Representative	1, 4
GAO Xiang	BKV988	Licensed Representative	4
GARRETT Michael Jonathan	BNB762	Licensed Representative	1, 2, 4
GE Xiaopeng	BMX551	Licensed Representative	9
GORDON Glen	AWT838	Licensed Representative	1
GU Shuopeng	BJW732	Licensed Representative	4
HAMIDJAJA Sastra	BMX948	Licensed Representative	4
HAN Tianting	BMW876	Licensed Representative	4, 9
HAN Wenfei	BND515	Licensed Representative	1, 4, 6
HANDS Penelope Clare	BNC248	Licensed Representative	9
HE Diana Liu	BEW504	Licensed Representative	4, 9
HE Rui	BNC933	Licensed Representative	1, 4, 9
HO Ka Chun	BNC501	Licensed Representative	1
HO Ka Yau	AMP551	Licensed Representative	4, 9
HO Mei Yan	BNA756	Licensed Representative	9
HO Wai Ka	BMZ597	Licensed Representative	1
HONG Ching Fat	BMW553	Licensed Representative	1
HOU Hongyan	ARR885	Licensed Representative	1, 2, 4
HSIA Wei Hsuan	BND740	Licensed Representative	9
HSU SHUHUI	BMX706	Licensed Representative	1, 2
HU Shazhou	BAO735	Licensed Representative	1, 2, 4, 9
HU Yichen	BMW892	Licensed Representative	1, 4, 9
HU Yue	BNC870	Licensed Representative	1, 4, 9
HUANG Chung En	AWW100	Licensed Representative	4
HUANG Hannah	BNB451	Licensed Representative	1, 4, 6
HUANG Jiaqi	BMX516	Licensed Representative	1, 4, 6
HUANG Li Shen	BNB238	Licensed Representative	10
HUANG TE LI	BMW933	Licensed Representative	1
HUANG Wenjun	AUT504	Licensed Representative	1, 4
HUANG Yu Lin	BNB814	Licensed Representative	1
HUANG Zi Le	BMW886	Licensed Representative	6
HUI Bosco Tien Ruey	AXS635	Licensed Representative	1, 4, 6
HUI Hon Ho	BMX394	Licensed Representative	4
HUNG Wing Yin	BMZ640	Licensed Representative	1, 4
HYDE Nicholas J	BMZ490	Licensed Representative	1
IP Ka Fai	BNB163	Licensed Representative	6
IP Tik Hin	BMZ466	Licensed Representative	1
IRELAND Peter Aaron Joseph	ASM050	Licensed Representative	1
JACOB Philip Theverkattil	BMO077	Licensed Representative	1, 2
JI Diying	BNC702	Licensed Representative	4
JIA Kaiyuan	BNC057	Licensed Representative	1, 4, 6
JIANG Gen	BMJ667	Licensed Representative	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Shan	BND308	Licensed Representative	1, 4, 6
JIRARI Mohamed El Hadi	BCT641	Licensed Representative	1, 2
JUNG Bo Young	BNC060	Licensed Representative	1, 4, 9
JUNG Haemin	BNB427	Licensed Representative	1, 6
KAM Wai Hin	BNB169	Licensed Representative	1, 6
KAN Wai Pong	APC204	Licensed Representative	1
KANAN Rugsit	BMV517	Licensed Representative	1, 4
KANG Ran	BMX610	Licensed Representative	1, 4, 6
KELLER Gottlieb Jeremy	BMV649	Licensed Representative	1, 2
KHOR Yen	BMZ461	Licensed Representative	1, 4
KIM Min Jung	BNC085	Licensed Representative	1, 2
KO Richard Guanlin	AUQ665	Licensed Representative	1
KO Tin Chun Daryl	BND354	Licensed Representative	1
KOH Selene, Su Lin	BNC001	Licensed Representative	1
KONG Sau Man	BNC154	Licensed Representative	1, 4
KONG Yi Lan	BNF333	Licensed Representative	1, 4, 9
KU Man Sai	BNB164	Licensed Representative	1, 2
KUDO Kento	BMV321	Licensed Representative	1, 2, 4, 5
KWAN Chi Ying	BMZ601	Licensed Representative	1, 2
KWAN Curtis Chung Lun	BNB558	Licensed Representative	9
KWOK Chun	BNC956	Licensed Representative	1, 6
KWOK Suet Ying Lily Vanessa	BAN067	Licensed Representative	4
KWONG Cho Ho	BMW917	Licensed Representative	1, 4, 6
KWONG Ho Ting	AZH730	Licensed Representative	9
LACHMAN Sweeney	BMW433	Licensed Representative	4, 9
LAI Chun Hei	BIV412	Licensed Representative	1, 2
LAI Joanne Pei-chun	ARO383	Licensed Representative	1, 4
LAI Ka Man, Clement	AYO988	Licensed Representative	4
LAI Ming Wai	BIV244	Licensed Representative	4, 9
LAI Wa Hing	AWD940	Licensed Representative	1, 2
LAI Wing Tsui Ivy	BND554	Licensed Representative	1, 9
LAI Yuying	BNC871	Licensed Representative	1, 4, 9
LAM Chun Hang	BND348	Licensed Representative	1
LAM Sze Yu	BMW930	Licensed Representative	4
LAM Yik Yan Avis	BNC265	Licensed Representative	1, 2
LANOIE Alexandre	AXI794	Licensed Representative	9
LAU Cheuk Yan	BNC055	Licensed Representative	1, 2
LAU Ho Fung Alan	BNB202	Licensed Representative	1, 6
LAU Siu Hang Ryan	BNC740	Licensed Representative	1, 4, 9
LAU Sze Man	BND380	Licensed Representative	1, 6
LAU Wing Ki	BMX753	Licensed Representative	1, 2
LAU Yuet Yiu	BNC137	Licensed Representative	1, 4
LAW Suk King	AIF550	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Wai Kwan	BMV694	Licensed Representative	1
LEE Brendan	AXD882	Licensed Representative	1
LEE Chun Hin	BIT239	Licensed Representative	1, 4, 9
LEE Ho Lun, Eros	BMV784	Licensed Representative	1
LEE Kang Hao	BNC836	Licensed Representative	4, 9
LEE Kenneth Sean	BNC236	Licensed Representative	1, 4
LEE Man Ching	BMW860	Licensed Representative	1, 6
LEE Man Kit, Peter	AYV999	Licensed Representative	6
LEE Pui Yi	BND853	Licensed Representative	1, 4
LEE Sai Man	BMZ548	Licensed Representative	10
LEE Shing Kong Daniel	BND667	Licensed Representative	1, 4
LEE Wai Sze	BNC179	Licensed Representative	1
LEE Wai Yin	AFH494	Licensed Representative	1, 4
LEE Yee Ki Florence	BNB699	Licensed Representative	9
LEE Yuet See	BNA767	Licensed Representative	9
LEE Yun Hong	BMV557	Licensed Representative	1, 2
LEUNG Ho Kwan, Belle	ANV027	Licensed Representative	1, 2, 3
LEUNG Ho Lun	BMX572	Licensed Representative	1
LEUNG Hoi Ting	BMV685	Licensed Representative	1, 4
LEUNG Hung Piu	AJF234	Licensed Representative	9
LEUNG Wing Kin	BMV771	Licensed Representative	1
LEUNG Wing Yip	ARU731	Licensed Representative	1
LEUNG Yat Ying	BMX535	Licensed Representative	1
LEUNG Yee Ming, Luxthew	AND667	Licensed Representative	1, 2, 7
LI Amanda Haixi	BNB291	Licensed Representative	9
LI Chunli	BNC271	Licensed Representative	1, 2
LI En Tzu	BNC713	Licensed Representative	1, 4, 9
LI Jia	ATO453	Licensed Representative	1, 6
LI Jiadai	BFG345	Licensed Representative	4
LI Jiaying	BNB932	Licensed Representative	4, 6
LI Jing	BMV788	Licensed Representative	4, 9
LI Mei Yen	BNA728	Licensed Representative	1, 4, 9
LI Muhan	BNC790	Licensed Representative	1
LI Qian	BNC317	Licensed Representative	1, 2, 4
LI Shiyin	BMW961	Licensed Representative	1, 4, 6
LI Si	BNE847	Licensed Representative	1, 2
LI Weiwei	BMV857	Licensed Representative	1, 4
LI Yan	BNB207	Licensed Representative	1, 4
LI Yuen Chuk	BCC107	Licensed Representative	1
LI Yuen Yan	BNB380	Licensed Representative	2, 5
LIANG Ruichao	BNC396	Licensed Representative	1, 4, 9
LIANG Runan	BMW888	Licensed Representative	6
LIANG Yaoyuan	BNF073	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIAO Zicheng	BNC493	Licensed Representative	1, 4
LIM Seth Ee Jer	BNB709	Licensed Representative	9
LIN Michelle Chia-Hsing	AUW242	Licensed Representative	1, 6
LIN Xiaorong	BNB435	Licensed Representative	6
LIU Boying	BNC925	Licensed Representative	1, 6
LIU Chak Ming	ARI016	Licensed Representative	1, 4
LIU Congmei	BMX429	Licensed Representative	1
LIU Jie	BND286	Licensed Representative	1, 4, 9
LIU Ningyu	AYH764	Licensed Representative	1, 2
LIU Wenhao	BNB337	Licensed Representative	1, 4
LIU Xiaoshu	BNC152	Licensed Representative	1, 4
LIU Yanhong	BNC485	Licensed Representative	4
LIU Yi	BMV456	Licensed Representative	1
LIU Yick Ting	BNF145	Licensed Representative	1
LIU Yuchen	BNC426	Licensed Representative	9
LIU Zijia	BNB246	Licensed Representative	1, 2, 4
LO Chun Wa	BMZ455	Licensed Representative	1, 4
LOONG Pui Fung, Andrew	BBL264	Licensed Representative	1, 4, 6
LOU Anping	BMW885	Licensed Representative	6
LU Chaohua	BNB594	Licensed Representative	9
LU Chia Chi	BNC455	Licensed Representative	1, 4, 9
LU Jie	AZO988	Licensed Representative	1, 6
LU Xingxian	BNE600	Licensed Representative	1, 2
LUI Yuet Tin	BMZ401	Licensed Representative	1
LUK Yin Ting, Maggie	BNC378	Licensed Representative	9
LUKASZEWSKI Paul Adam	BK1133	Licensed Representative	1
LUN Choi Yee	BNB379	Licensed Representative	1, 4, 9
LUO Difei	BNC831	Licensed Representative	1, 2, 4
LUO Jing	BNC966	Licensed Representative	6
LY Victor	BMX825	Licensed Representative	1, 4, 9
LYU Yujie	BMQ025	Licensed Representative	1
MA Di	BNB075	Licensed Representative	4, 9
MA Taoran	BFK967	Licensed Representative	1
MA Xin	BMZ658	Licensed Representative	6
MA Zhong	BMW894	Licensed Representative	4, 5, 9
MAI Ou	BND855	Licensed Representative	6
MAK Ka Chun	BNC741	Licensed Representative	1, 4, 6
MAK Kuen Kuen	AFV374	Licensed Representative	1, 4
MAK Pok Yin	BMV568	Licensed Representative	1, 2
MAK Tin Yan	BEA499	Licensed Representative	1, 2
MARTIN Russell James Westcott	BNA979	Licensed Representative	1, 2
MENG Yichen	BNB705	Licensed Representative	9
MERNER Ken Tokugawa	BLO977	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
MIYASHIRO Joe	BNB686	Licensed Representative	1
MOLE Harvey Edward	BNC224	Licensed Representative	1, 4
NEL Jan Abraham	BNA783	Licensed Representative	4
NG Hoi Yau, Nigel	BNA758	Licensed Representative	1, 2, 3, 4
NG Kwok Chun	ACE525	Licensed Representative	1, 4
NG Lay Hung	BGK910	Licensed Representative	1
NG Man Kit, Joe	BNC157	Licensed Representative	1, 4
NG Siu Hong	BCK507	Licensed Representative	1, 6
NG Wai Yi, Gloria	ARK444	Licensed Representative	1
NG Wing Sze, Grace	AGW637	Licensed Representative	4, 9
NGUYEN Cecile Thanh	BNB502	Licensed Representative	1
NI Jiawei	BNB430	Licensed Representative	9
NI Mengjiao	BMW396	Licensed Representative	1, 6
NIE Lianqi	BNC737	Licensed Representative	1, 4, 6
OKANO Ryuta	AWV082	Licensed Representative	1, 2
O'SHAUGHNESSY Calvin John	BMV914	Licensed Representative	6
OYOSHI Kazuhiro	AXI226	Licensed Representative	9
PAINVIN Nicolas Marc	BMT301	Licensed Representative	10
PANG Bo	BNC701	Licensed Representative	4
PANG Kei Him	BCC281	Licensed Representative	1
PANG Shun Tak Borody	BIT755	Licensed Representative	1, 4, 9
PATHMAKANTHAN Shrianand	ANZ816	Licensed Representative	4
PENG Baozhu	BNC965	Licensed Representative	1, 6
PETROV Petar Zdravkov	BMV094	Licensed Representative	4, 9
PLEBANI Gian Arard	BNB394	Licensed Representative	1, 4, 9
POERWANTO Sharon Christine	ARD330	Licensed Representative	1
PONG Jack	BMV059	Licensed Representative	1, 4
POON Dick Lam	AMX565	Licensed Representative	9
POUV Stelyne Anais	BNB153	Licensed Representative	1, 4
QI Xiaoyue	BNB071	Licensed Representative	1, 4
QIN Wei	BMZ613	Licensed Representative	1, 4, 6
QIN Yu	BNC581	Licensed Representative	1, 4, 6
QIU Tong	BMV618	Licensed Representative	1, 4
QU Neng	BNB074	Licensed Representative	1, 4, 9
REIMANN Jahmaine Aderelli	BNA748	Licensed Representative	1, 4
REN Shanshan	BNA805	Licensed Representative	4
RONNEBERGER Robert	BNB263	Licensed Representative	1, 4, 9
ROYCHOUDHURY Shashwat	BNE860	Licensed Representative	1, 2
SACCO Alexander David	BMH784	Licensed Representative	1, 2, 7
SAKURAI Makoto	BMW883	Licensed Representative	1
SASAKI Tsubasa	BFA015	Licensed Representative	1
SATCHWELL Richard James	BMW344	Licensed Representative	1, 6
SEE Kit Long	APY536	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SEVCIK Radim	BMV469	Licensed Representative	1, 4, 6
SHAKIR-KHALIL Oliver	BNV742	Licensed Representative	1
SHANG Tzuyu	BMZ531	Licensed Representative	4
SHEN Xuhua	BNB839	Licensed Representative	1, 2, 7
SHI Beiyang	BNB386	Licensed Representative	10
SHIH Kai-Sheng	BNB860	Licensed Representative	1
SHIMADA Satomi	AJB419	Licensed Representative	4, 9
SIN Kin Chung	AHR461	Licensed Representative	1
SIU Wing Yi	AUW773	Licensed Representative	1, 2
SONG Miao	BNB002	Licensed Representative	1
SOON Wen Yen	BND516	Licensed Representative	1, 4, 6
SQUIRE Benjamin Donald	BNB400	Licensed Representative	1, 4
STANSFIELD Michael A	BNB768	Licensed Representative	1, 4
SU Shouchun	APO580	Licensed Representative	1, 2
SUEN Yat Lun	BND391	Licensed Representative	1, 4
SUN Boya	BNC830	Licensed Representative	1, 2
SUN Chujie	BMV170	Licensed Representative	4
SUN Kin Kong, James	AFT382	Licensed Representative	1, 2, 4
SUN Peilun	BNC586	Licensed Representative	1, 6
SZE Wing Ka Sherryn	BNC276	Licensed Representative	1
TADADEJ Monsicha	BND310	Licensed Representative	9
TAM Hui Fai	BMQ329	Licensed Representative	1, 9
TAM Tin Yik, Timmy	BNB048	Licensed Representative	1
TAN Li Wei	BNB804	Licensed Representative	9
TANG Chak Heng Andrew	BNC631	Licensed Representative	4
TANG Fu Kin Dodphen	ATL831	Licensed Representative	1
TANG Jieqiong	BMR839	Licensed Representative	2
TANG Lai Ping	BMV550	Licensed Representative	1
TANG Lei	BLH631	Licensed Representative	9
TANG Stephen	BMX898	Licensed Representative	1
TAO Xiaozhuo	BES969	Licensed Representative	1, 4, 6
THEULIER Jean Yves Lucien	BMX519	Licensed Representative	1, 6
TONG Jason C Y	BNC826	Licensed Representative	6
TONG Sze Wan Stephenie	BFW652	Licensed Representative	1, 2
TOSHNIWAL Harshit	BNC364	Licensed Representative	4
TRACY Alec Peter	BMV299	Licensed Representative	1, 4, 9
TSAI Chia-Chun	BNC020	Licensed Representative	1
TSANG Cheuk Ho	BNB635	Licensed Representative	9
TSANG Chi Keung	BND489	Licensed Representative	6
TSANG Lai Ying	BND477	Licensed Representative	1
TSANG ying ying janette	BND425	Licensed Representative	1
TSE Chi Lok Horace	BNE879	Licensed Representative	9
TSE Yan Kei	AVL288	Licensed Representative	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSOI Po Wa Andrew	BNF190	Licensed Representative	1
TSOI Yat Fei	BNC149	Licensed Representative	1, 2
VARGHESE Shinoy	BGU248	Licensed Representative	10
VISEN Shaurya Pratap Singh	BND225	Licensed Representative	1, 4
VU Wheelock	BMV935	Licensed Representative	1, 4
WALKER Harry Bertram Templeton	BMX880	Licensed Representative	1
WAN Hing Fung	BNE429	Licensed Representative	1, 9
WAN Yufeng	BNC890	Licensed Representative	1, 4
WANG Bingran	BNB856	Licensed Representative	1, 6
WANG Bixuan	BND228	Licensed Representative	1, 4
WANG Charles	BNA739	Licensed Representative	1, 2
WANG Jingxuan	BNC968	Licensed Representative	9
WANG Ningchuan	BNC634	Licensed Representative	9
WANG Pengcheng	BNA735	Licensed Representative	1
WANG Rui	BMW897	Licensed Representative	6
WANG Wei	BMU938	Licensed Representative	1, 4, 6
WANG Yong	AXW461	Licensed Representative	9
WANG Zhi	BNB833	Licensed Representative	4, 9
WANG Zhi Yu	BMW825	Licensed Representative	1, 6
WANG Zhongyu	BNC995	Licensed Representative	9
WEBB Anthony Patrick	BGI051	Licensed Representative	1, 2
WEI Tao	ANY058	Licensed Representative	1, 4, 6
WENG Linlin	BNA779	Licensed Representative	1, 4, 9
WILTON Alexander Steven	BMV738	Licensed Representative	1, 2
WONG Cheuk Wai	ASG163	Licensed Representative	4
WONG Chi Chung	BMV699	Licensed Representative	3
WONG Hak Wing, Valerie	ALL103	Licensed Representative	1, 4, 9
WONG Ho Lap	BNB198	Licensed Representative	1
WONG Kwan Pang	BND499	Licensed Representative	1, 4, 9
WONG Man Sze	BND638	Licensed Representative	1
WONG Ngan Fun Kelvin	BNB167	Licensed Representative	9
WONG Sat Pan Sdanty	AXZ190	Licensed Representative	1
WONG Shing Po	BMW552	Licensed Representative	9
WONG Yik Wai, Ricky	AGM638	Licensed Representative	1
WONG Yuk Sha	BLI523	Licensed Representative	1
WOO Chong Keung, Wallace	ABX326	Licensed Representative	1, 4, 9
WOO Kiu Anthony	AXY035	Licensed Representative	4, 9
WU Danchen	BNC422	Licensed Representative	1, 4
WU Stella Xueyao	BDJ451	Licensed Representative	1, 4
WU Yilong	BNE752	Licensed Representative	1, 4, 9
WU Yueh Chang	BMV187	Licensed Representative	4
XIE Fan	BLU352	Licensed Representative	1
XIE Zhimin	BMW575	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIN Tingting	BMW090	Licensed Representative	1, 2
XU Dan	BMV224	Licensed Representative	1, 4, 6
XU Man	BDT171	Licensed Representative	1, 4, 6
XU NAN	BNC136	Licensed Representative	1, 4, 6
XU Tong	BNB656	Licensed Representative	1, 2
XU Zijian	BNB209	Licensed Representative	1, 6
XU Zuoyang	BNB165	Licensed Representative	1, 4, 6
YABE Ryota	BMV303	Licensed Representative	1, 2
YAMAZAKI Mie	BMW881	Licensed Representative	1
YAN Lili	BMR579	Licensed Representative	1
YAN Yik Kan	BMX892	Licensed Representative	1
YAN Yui Ham	BMX954	Licensed Representative	6
YANG Feng Sou	BNC240	Licensed Representative	1, 2
YANG Haishan	BNB765	Licensed Representative	1
YANG Keyang	BHD228	Licensed Representative	1
YANG Shu Ching	BLK646	Licensed Representative	1
YANG Shu-Wei	BNB885	Licensed Representative	4
YANG Wenfan	BNC296	Licensed Representative	1, 4, 9
YAO Man Yi	AVK799	Licensed Representative	1
YAU Ching Yeung	BND332	Licensed Representative	1, 4
YE Kun	BCV670	Licensed Representative	9
YE Lushan	BNC837	Licensed Representative	1, 4, 6
YE Nan	BMZ444	Licensed Representative	1, 4, 9
YEP Hing Leung	BAV215	Licensed Representative	1, 4
YEUNG Chi Hin	BLF409	Licensed Representative	9
YEUNG Chun Ming	BNE907	Licensed Representative	1
YEUNG Ho Yam Richard	BMH865	Licensed Representative	4, 9
YIN Fangfei	BMV612	Licensed Representative	1, 4
YIU Cheuk Wing	BMX699	Licensed Representative	4, 9
YONE Mayuko	BMV897	Licensed Representative	1, 2
YOSHIDA Norimasa	BNB772	Licensed Representative	1, 4, 9
YOUNG Tsun Mun	BNC155	Licensed Representative	9
YU Hua Shen	AON773	Licensed Representative	1, 4, 9
YU Ka Wai	AIC879	Licensed Representative	1, 2
YU Man Sze	BNE611	Licensed Representative	1, 4, 9
YU Mung Mei	BMV830	Licensed Representative	1, 4, 9
YU Wing Kin	BGX106	Licensed Representative	1, 4
YU Yang	BNC051	Licensed Representative	1, 6
YU Yee Fung	BAZ969	Licensed Representative	1, 4, 9
YU Yi	BNC535	Licensed Representative	4
YUAN Yongyi	BMZ419	Licensed Representative	1
YUE Siming	BMR890	Licensed Representative	1, 6
YUEN Pik Yuk Joyce	BND416	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZENG Aizhen	BNA753	Licensed Representative	1, 2
ZENG Siyu	BNC150	Licensed Representative	1, 4
ZENG Xin	BMZ563	Licensed Representative	9
ZHANG An	BNA995	Licensed Representative	4
ZHANG Chen	BMV549	Licensed Representative	1, 4, 6
ZHANG Jing	BMV322	Licensed Representative	1, 4, 9
ZHANG Jingjing	BNB377	Licensed Representative	6
ZHANG Lihang	BNA727	Licensed Representative	1, 4
ZHANG Luoxi	BMX569	Licensed Representative	1, 4, 6
ZHANG Qingyan	BNG961	Licensed Representative	1, 4
ZHANG Xiaobin	BIR046	Licensed Representative	1, 4, 6
ZHANG Yijian	BND815	Licensed Representative	1, 4
ZHANG Yu	BMM427	Licensed Representative	1
ZHEN Zeyu	BND377	Licensed Representative	1
ZHENG Yufeng	BMX457	Licensed Representative	1
ZHENG Zelin	BNC343	Licensed Representative	4, 9
ZHONG Jianjun	BMX958	Licensed Representative	4, 9
ZHONG Wusijie	BNC738	Licensed Representative	4, 9
ZHOU Congyun	BNC442	Licensed Representative	10
ZHOU Jie	BMT492	Licensed Representative	10
ZHOU Lechen	BND112	Licensed Representative	4, 9
ZHOU Qicong	BMZ652	Licensed Representative	1, 4, 9
ZHOU Xinyuan	BNC148	Licensed Representative	1, 4
ZHOU Yi	BMT586	Licensed Representative	1, 4, 9
ZHOU Yuyun	BNB359	Licensed Representative	9
ZHU Jiang	BNB630	Licensed Representative	1
ZHU Yiman	BMW890	Licensed Representative	6
ZHUANG Yuewen	BNB966	Licensed Representative	1
ZOU Mengtong	BNC596	Licensed Representative	1, 6
CHAN Kwok Wai	ALG542	Licensed Representative - Responsible Officer	1
CHAN Kwok Wai, Joseph	ADI545	Licensed Representative - Responsible Officer	1, 4
CHAN Pak Hin, Percy	AEB921	Licensed Representative - Responsible Officer	9
CHAN Wing Yam Eugenia	BAH319	Licensed Representative - Responsible Officer	1
CHAN Ying Bin, Oliver	BMR953	Licensed Representative - Responsible Officer	1, 4
CHOPRA Sarit Ranjan	BLQ423	Licensed Representative - Responsible Officer	1
CHUA Kei Wah	ADW595	Licensed Representative - Responsible Officer	1
CHUNG King Man, Carmen	ASD321	Licensed Representative - Responsible Officer	1
CONQUET Benjamin Antoine Marie	ATB935	Licensed Representative - Responsible Officer	1
FAN Changxiang	BLJ417	Licensed Representative - Responsible Officer	9
FERRER Antonio	BMT493	Licensed Representative - Responsible Officer	9
FU Jinfeng	ATR255	Licensed Representative - Responsible Officer	2, 5
GUO Dajiang	ATE173	Licensed Representative - Responsible Officer	1, 4
HO Chee Kwee	BLG374	Licensed Representative - Responsible Officer	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Chin Hung, David	ABB452	Licensed Representative - Responsible Officer	1, 4
HO Ho Ming	AEE198	Licensed Representative - Responsible Officer	10
HUANG Jin	BMM592	Licensed Representative - Responsible Officer	4, 9
IRWIN John Laurence	BMS501	Licensed Representative - Responsible Officer	1
JOYCE Patrick Brian	APW675	Licensed Representative - Responsible Officer	9
KOO Maurice Win-chong	AWZ621	Licensed Representative - Responsible Officer	1, 4, 9
KUNG Chi Ming	BAE866	Licensed Representative - Responsible Officer	4
LA Yixin	BMV228	Licensed Representative - Responsible Officer	9
LAM Sau Chu	AQO332	Licensed Representative - Responsible Officer	1, 4
LANCE Robert Waldo	AEL830	Licensed Representative - Responsible Officer	9
LAU Chun Yu	ANK121	Licensed Representative - Responsible Officer	1, 4, 9
LAW Mang Kwan	AON979	Licensed Representative - Responsible Officer	1, 4
LEE Man Kit, Peter	AYV999	Licensed Representative - Responsible Officer	1, 4
LEE Oi Suen	AXZ054	Licensed Representative - Responsible Officer	4, 9
LI Vivian	BMR902	Licensed Representative - Responsible Officer	1, 4
LIN Jeffrey	AVG380	Licensed Representative - Responsible Officer	4, 9
LIN Sunming	BAQ668	Licensed Representative - Responsible Officer	1, 4, 9
LIU Sean Meng-hsiung	ADJ311	Licensed Representative - Responsible Officer	1
LIU Yi	BMM224	Licensed Representative - Responsible Officer	4, 9
LYONS Barnaby Thomas Patrick	BLQ419	Licensed Representative - Responsible Officer	1
MA Hsiao-hung, Alisha	ALZ814	Licensed Representative - Responsible Officer	4, 9
MA Xiaona	AXA085	Licensed Representative - Responsible Officer	1
MAGNENAT Vincent Marcel	BLI472	Licensed Representative - Responsible Officer	1, 4, 9
NG Ho Man Fanny	AKU292	Licensed Representative - Responsible Officer	1, 4
OBERWEIS James Walter	APW676	Licensed Representative - Responsible Officer	9
PARRILLI John C	BDX580	Licensed Representative - Responsible Officer	9
QIN Ling	BES140	Licensed Representative - Responsible Officer	4, 9
REZNIK Alexander	BMM127	Licensed Representative - Responsible Officer	1, 4, 6
RUSSELL David Bolton	ADB747	Licensed Representative - Responsible Officer	1, 2, 4, 7
SCHITTLY Agathe	BML968	Licensed Representative - Responsible Officer	1
SHUM Fei Fei	ALR570	Licensed Representative - Responsible Officer	4, 9
SUSEL Zachary Swid	BMV524	Licensed Representative - Responsible Officer	1
TANG Han Yin	ACG084	Licensed Representative - Responsible Officer	9
TSUI WONG Dig Hong, Betty	AZN069	Licensed Representative - Responsible Officer	1, 4
WANG Ronghua	BMV544	Licensed Representative - Responsible Officer	9
WANG Tzu-hui	BLN936	Licensed Representative - Responsible Officer	9
WANG Wai Keung	BLC066	Licensed Representative - Responsible Officer	1, 4
WONG Benjamin Malcolm	APJ685	Licensed Representative - Responsible Officer	9
WONG Long Sau, Ivis	ADA335	Licensed Representative - Responsible Officer	1, 9
WU Yingmin	BMS557	Licensed Representative - Responsible Officer	1, 4, 6
XIA Mingrui	AXC582	Licensed Representative - Responsible Officer	1, 2, 4, 5
YANG Ming	ARG350	Licensed Representative - Responsible Officer	1, 2
YAU Hung Ban, Jimmy	BIY825	Licensed Representative - Responsible Officer	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YEH Eddie Shang-Yun	AOD271	Licensed Representative - Responsible Officer	1, 4
YU Xin	BMV558	Licensed Representative - Responsible Officer	9
ADEL Rebecca Sophia	BNC851	Licensed Representative (Provisional Licence)	1
AMSTALDEN Boris Marcello	BNH259	Licensed Representative (Provisional Licence)	1, 4
ARAI Taku	BFT949	Licensed Representative (Provisional Licence)	1
AU Hoi Fung	BIY369	Licensed Representative (Provisional Licence)	1, 4
AU Ka Cheong Michael	BNH409	Licensed Representative (Provisional Licence)	1, 2, 4
BADALIA Rikhit	BNF533	Licensed Representative (Provisional Licence)	1, 6
BAIG Mirza Shaheryar	BNF978	Licensed Representative (Provisional Licence)	1, 2
BAKER Brian Stephen	BJK848	Licensed Representative (Provisional Licence)	1, 2
BARDHAN RAY Ambarish	BNB420	Licensed Representative (Provisional Licence)	1, 2, 9
BEER Sebastian	BNG805	Licensed Representative (Provisional Licence)	1, 6
BI Chen	BNF184	Licensed Representative (Provisional Licence)	1, 4
BIAN Yuan	AVE035	Licensed Representative (Provisional Licence)	1, 4, 9
BOA Yuk Wah Yuan	BNG891	Licensed Representative (Provisional Licence)	1, 4, 9
BOOTHE MARCEL CONSTANTINE	BND275	Licensed Representative (Provisional Licence)	1
BOURNE Peter Christian	BNC994	Licensed Representative (Provisional Licence)	1, 4
CAI Linting	BMX908	Licensed Representative (Provisional Licence)	1, 6
CAI Wei	AKI200	Licensed Representative (Provisional Licence)	1, 6
CAI Yibei	BNG624	Licensed Representative (Provisional Licence)	1, 6
CARTER Harriet Ellen Jane	BNE453	Licensed Representative (Provisional Licence)	1, 4, 6
CHA Seung Hoon	BNE720	Licensed Representative (Provisional Licence)	1, 4
CHAI Suet Yee	BCG583	Licensed Representative (Provisional Licence)	1, 4, 9
CHAI Yihan	BNC121	Licensed Representative (Provisional Licence)	1, 4
CHAN Angie	BNG400	Licensed Representative (Provisional Licence)	1, 2, 9
CHAN Chun Ting	BNH561	Licensed Representative (Provisional Licence)	1, 2
CHAN Hin Fai	AXV429	Licensed Representative (Provisional Licence)	3
CHAN Hiu Yan	BDY283	Licensed Representative (Provisional Licence)	1, 6
CHAN Jaak Yin Wesley	BNF245	Licensed Representative (Provisional Licence)	1
CHAN Kak Chi	BNE795	Licensed Representative (Provisional Licence)	4, 9
CHAN Man Kam	BNH075	Licensed Representative (Provisional Licence)	1, 6
CHAN Man Yung Denise	BNE755	Licensed Representative (Provisional Licence)	1, 6
CHAN Po Lung	BNG645	Licensed Representative (Provisional Licence)	1, 4
CHAN Po Shan	BNH357	Licensed Representative (Provisional Licence)	1, 4
CHAN Sin Ting Kitty	BNC483	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Tak Yui	BNC476	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wang Fung	BNC843	Licensed Representative (Provisional Licence)	4
CHAN Weiquan	BNG218	Licensed Representative (Provisional Licence)	1, 4
CHAN Yick Kei	AJN963	Licensed Representative (Provisional Licence)	1, 4, 6
CHANDLER Michael Lee	AGC927	Licensed Representative (Provisional Licence)	1
CHANG Chih-Han	BNF236	Licensed Representative (Provisional Licence)	10
CHAO Elaine Yiran	BNF051	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Cong	BND653	Licensed Representative (Provisional Licence)	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Dan	BNC681	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Jinhong	BNF498	Licensed Representative (Provisional Licence)	1
CHEN Juncheng	BNF077	Licensed Representative (Provisional Licence)	1, 4
CHEN Linhao	BNG873	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Ming-Li	APT080	Licensed Representative (Provisional Licence)	1
CHEN Szu-Wei	AWO626	Licensed Representative (Provisional Licence)	1, 4
CHEN Wankun	BNC867	Licensed Representative (Provisional Licence)	1, 6
CHEN Ya-l	BNF673	Licensed Representative (Provisional Licence)	1
CHEN Yali	BNE463	Licensed Representative (Provisional Licence)	4
CHEN Ying	BND754	Licensed Representative (Provisional Licence)	9
CHEN Yiru	BNG165	Licensed Representative (Provisional Licence)	1
CHEN Zhenyang	BNE480	Licensed Representative (Provisional Licence)	1, 4
CHENG Ho Yin	BNF767	Licensed Representative (Provisional Licence)	1, 2
CHENG Kit Fu	BNG039	Licensed Representative (Provisional Licence)	1, 4
CHENG Ling Fung	BNG027	Licensed Representative (Provisional Licence)	1, 2, 9
CHENG Martin Wing Hung	BNF294	Licensed Representative (Provisional Licence)	1
CHENG Ruoyu	BNE748	Licensed Representative (Provisional Licence)	1, 2, 7
CHENG Tinglong	BNG580	Licensed Representative (Provisional Licence)	2
CHENG Yuen Yan	BNG329	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Chin To Gary	BNG639	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEUNG Kit	AZV239	Licensed Representative (Provisional Licence)	6
CHEUNG Lap	BNF964	Licensed Representative (Provisional Licence)	6
CHEUNG Man Wai	BNG361	Licensed Representative (Provisional Licence)	1
CHEUNG Ming Hon	BNH167	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Tak Man Amy	BNF247	Licensed Representative (Provisional Licence)	9
CHEUNG Wai Hung	AGY670	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yan Yin	BNF992	Licensed Representative (Provisional Licence)	1, 6
CHIA Jia Qi	BNH081	Licensed Representative (Provisional Licence)	1
CHIK Yin Yeung	BNF399	Licensed Representative (Provisional Licence)	4
CHIU Long Hang	BNF725	Licensed Representative (Provisional Licence)	1
CHIU Tze Ting	BNG237	Licensed Representative (Provisional Licence)	1, 2, 9
CHOI Joseph	BND625	Licensed Representative (Provisional Licence)	1, 2
CHONG Siu Yin, Dominic	BNG839	Licensed Representative (Provisional Licence)	1
CHOPRA Sameer	BAU420	Licensed Representative (Provisional Licence)	4
CHOW Man Ting	BNH477	Licensed Representative (Provisional Licence)	1
CHU Po Yuen	BBL114	Licensed Representative (Provisional Licence)	1
CHU Sau Wai, Nicola	BNC842	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Shuk Man	BNG802	Licensed Representative (Provisional Licence)	1
CHU Ting Ting, Ody	BNG214	Licensed Representative (Provisional Licence)	9
CHUA Pei Jing Joy	BNF694	Licensed Representative (Provisional Licence)	1
CHUNG Joon Mo	BNC865	Licensed Representative (Provisional Licence)	1, 6
CUI Yifan	BEJ198	Licensed Representative (Provisional Licence)	9
DECOUVELAERE Thomas Alfred Andre	BMW967	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
DEPALMAS Remi Richard	BNE468	Licensed Representative (Provisional Licence)	1, 2
DHUPELIA Sunil	AUW922	Licensed Representative (Provisional Licence)	1, 4
DOU Kar Ming Kelvin	BNG177	Licensed Representative (Provisional Licence)	1
DU YiChen	BNB807	Licensed Representative (Provisional Licence)	9
EL MAZHOR Salim	BNF590	Licensed Representative (Provisional Licence)	1, 2
ENOMOTO Takayuki	BNG188	Licensed Representative (Provisional Licence)	1, 2
EVANS Matthew Christopher	BIA161	Licensed Representative (Provisional Licence)	1
FAN Hanli	BNE756	Licensed Representative (Provisional Licence)	1, 4
FAN Jingran	BNB893	Licensed Representative (Provisional Licence)	1, 6
FAN Tze Fung Doris	BNE462	Licensed Representative (Provisional Licence)	1, 4
FANG Baorong	AJD695	Licensed Representative (Provisional Licence)	1, 6
FANG Bin	BNF090	Licensed Representative (Provisional Licence)	1, 2
FANG Fang	BFX004	Licensed Representative (Provisional Licence)	9
FATEHPURIA Chaitanya	BNA803	Licensed Representative (Provisional Licence)	9
FEDOTOVA Jelena	BNH659	Licensed Representative (Provisional Licence)	1, 4
FENG Jerry Dongdong	BAD301	Licensed Representative (Provisional Licence)	9
FENG Qijin	BNC306	Licensed Representative (Provisional Licence)	1, 4, 6
FONG Amanda Jia Min	BNF388	Licensed Representative (Provisional Licence)	1, 6
FONG Hei Suet	BNC927	Licensed Representative (Provisional Licence)	1
FOO Jie Hao Damian	BMX905	Licensed Representative (Provisional Licence)	1, 6
FOO Xiang Quan Gary	BND649	Licensed Representative (Provisional Licence)	1, 4, 6
FU Keyu	BNG208	Licensed Representative (Provisional Licence)	1, 4, 6
FU Zhu	BNH893	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Ha Yung	BJR433	Licensed Representative (Provisional Licence)	1, 4, 5
FUNG Kwok Lun	BNG807	Licensed Representative (Provisional Licence)	1, 2
GAO Tianhang	BNH282	Licensed Representative (Provisional Licence)	4
GAO Xiangyu	BDW696	Licensed Representative (Provisional Licence)	6
GAO Zijun	BNH365	Licensed Representative (Provisional Licence)	1, 6
GE Tuo	BNF813	Licensed Representative (Provisional Licence)	6
GE Yanwei	BNG522	Licensed Representative (Provisional Licence)	6
GOH Zhuang Yi	BNG401	Licensed Representative (Provisional Licence)	1
GONG Shuyuan	BNF179	Licensed Representative (Provisional Licence)	1, 4
GORMAN Mark Christopher	AXL709	Licensed Representative (Provisional Licence)	9
GU Shuopeng	BJW732	Licensed Representative (Provisional Licence)	4
GU Wentian	BNH300	Licensed Representative (Provisional Licence)	4
GULRAJANI dev	BND519	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Qiang	AYW017	Licensed Representative (Provisional Licence)	4, 9
GUO QingQing	BNF702	Licensed Representative (Provisional Licence)	10
GUO Yanzhe	BMX602	Licensed Representative (Provisional Licence)	4, 9
GUPTA Sadhvi	BNE854	Licensed Representative (Provisional Licence)	1, 9
HAN Wenfei	BND515	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Zhe	AQV652	Licensed Representative (Provisional Licence)	1, 2
HAU Man Po Mathew	BNG585	Licensed Representative (Provisional Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAU Yee Lan	AEY895	Licensed Representative (Provisional Licence)	4, 9
HE Yanwei	BNG389	Licensed Representative (Provisional Licence)	1, 2
HE Ziyu	BNF440	Licensed Representative (Provisional Licence)	1, 4
HEGDE Pavan S.	BNB415	Licensed Representative (Provisional Licence)	1, 2
HO Ho Wang	BNF373	Licensed Representative (Provisional Licence)	1, 4
HO Kwok Leung	BNG549	Licensed Representative (Provisional Licence)	1, 9
HO Ting Chu	AZG340	Licensed Representative (Provisional Licence)	1
HO Tsz Ching	BLN448	Licensed Representative (Provisional Licence)	1, 4
HODJATZADEH Suransi	BNH256	Licensed Representative (Provisional Licence)	1, 2
HONG Shaohuai	ATI031	Licensed Representative (Provisional Licence)	4
HSIAO Wen-liang	BNG350	Licensed Representative (Provisional Licence)	4
HU Huiying	BNF828	Licensed Representative (Provisional Licence)	4, 9
HU Shazhou	BAO735	Licensed Representative (Provisional Licence)	1, 2, 4, 9
HU Xiangyu	BNG229	Licensed Representative (Provisional Licence)	4
HU Yue	BNC870	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Anqi	BNF768	Licensed Representative (Provisional Licence)	1, 2
HUANG Hannah	BNB451	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Sunpeng	BNH090	Licensed Representative (Provisional Licence)	1, 6
HUANG Zekun	BNF375	Licensed Representative (Provisional Licence)	1, 6
HUANG Zheng	BNF933	Licensed Representative (Provisional Licence)	1, 2
HUI Kwun Sing	BND854	Licensed Representative (Provisional Licence)	9
HUNG Hei Shun	BNG150	Licensed Representative (Provisional Licence)	1, 4, 6
HUNG Wing Man	BND639	Licensed Representative (Provisional Licence)	1, 4
HYNES Jean Marie	BEJ077	Licensed Representative (Provisional Licence)	1, 4
IP Chun Lung	BEO407	Licensed Representative (Provisional Licence)	1
IWAI Toru	BND774	Licensed Representative (Provisional Licence)	1
JANG Seo Young	BNC114	Licensed Representative (Provisional Licence)	2, 5
JIANG Yanqin	BAL788	Licensed Representative (Provisional Licence)	4
JING Yun	AOI876	Licensed Representative (Provisional Licence)	1, 4, 6
JU Linlin	BNH088	Licensed Representative (Provisional Licence)	1, 4, 6
JU Sung Cheol	ANT438	Licensed Representative (Provisional Licence)	1, 4, 9
JUNG Haemin	BNB427	Licensed Representative (Provisional Licence)	1, 6
JUNG Perry	BNG766	Licensed Representative (Provisional Licence)	1
JUNG Su Hyun	BNC308	Licensed Representative (Provisional Licence)	2, 5
KAM Wing Ki	BIK396	Licensed Representative (Provisional Licence)	1
KAN Wai Pong	APC204	Licensed Representative (Provisional Licence)	1
KANZAKI Michihide	BNC463	Licensed Representative (Provisional Licence)	1, 4, 6
KAO Jui Tang	BNG896	Licensed Representative (Provisional Licence)	1, 4
K'HABORE Norah Barhakanatou Leslie Henriette Sherone	BNF458	Licensed Representative (Provisional Licence)	1, 2
KIM Changick	BNG220	Licensed Representative (Provisional Licence)	1, 4
KIM Young Chae	BNF358	Licensed Representative (Provisional Licence)	1
KIM Younghun	BNE872	Licensed Representative (Provisional Licence)	10
KO Tin Chun Daryl	BND354	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
KOBAYASHI Takefumi	BNH408	Licensed Representative (Provisional Licence)	4
KOICHIHARA Sachiko	BNG230	Licensed Representative (Provisional Licence)	1
KONG Yi Lan	BNF333	Licensed Representative (Provisional Licence)	1, 4, 9
KWAK Jin Woo	BNF216	Licensed Representative (Provisional Licence)	9
KWAN Sui Keung	BNE485	Licensed Representative (Provisional Licence)	1
KWOK Chun Ho	BNG502	Licensed Representative (Provisional Licence)	1, 2, 3, 4
KWOK Karbo Larry	BNH018	Licensed Representative (Provisional Licence)	6
KWOK Siu Lun	BNH078	Licensed Representative (Provisional Licence)	1
KWOK Wing Ka Stephanie	BNA768	Licensed Representative (Provisional Licence)	1, 6
KWOK Yin Fan	AYC902	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LAI Wa Hing	AWD940	Licensed Representative (Provisional Licence)	1, 2
LAI Yuying	BNC871	Licensed Representative (Provisional Licence)	1, 4, 9
LAKHANI Jhanvi Dilip	BNE652	Licensed Representative (Provisional Licence)	6
LAM Hoi Ki	BNF147	Licensed Representative (Provisional Licence)	1, 4
LAM Ka Eunise	BNG689	Licensed Representative (Provisional Licence)	1
LAM Kin Chung	AXM570	Licensed Representative (Provisional Licence)	1
LAM Tik Chun	BNG391	Licensed Representative (Provisional Licence)	1, 2
LAN Tian	BNB944	Licensed Representative (Provisional Licence)	9
LAO Cheok Iat	BND256	Licensed Representative (Provisional Licence)	3
LAU Hok Yuk	AOE856	Licensed Representative (Provisional Licence)	1, 4
LAU Nga Ki	ASY491	Licensed Representative (Provisional Licence)	1
LAU Tin Lun	BNG471	Licensed Representative (Provisional Licence)	1
LAW Ching Man Graciana	BND335	Licensed Representative (Provisional Licence)	1, 6
LAW Yeung Kwong Sunny	BNE587	Licensed Representative (Provisional Licence)	1
LEAR Mark Andrew	BNG613	Licensed Representative (Provisional Licence)	4
LEE Godwin	AXL950	Licensed Representative (Provisional Licence)	1, 4
LEE In-gyu	BBQ892	Licensed Representative (Provisional Licence)	1, 4
LEE Pui Yee	BJZ506	Licensed Representative (Provisional Licence)	4, 9
LEE Shiryu James	BNH482	Licensed Representative (Provisional Licence)	1
LEE Tsz Yin	BNG708	Licensed Representative (Provisional Licence)	1, 2, 9
LEE Wing Hong	AYK329	Licensed Representative (Provisional Licence)	1
LEE Yoon Jee	BNF315	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Chu	BNG367	Licensed Representative (Provisional Licence)	1, 2
LEUNG Chung Yin	BNF563	Licensed Representative (Provisional Licence)	1
LEUNG Eddie Ka Ho	BNG628	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ho Wing	BNF543	Licensed Representative (Provisional Licence)	1, 6
LEUNG Hoi Kit	BNH059	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Ping Chuen	BNG152	Licensed Representative (Provisional Licence)	4, 9
LEUNG Robin Chun Yin	BNG164	Licensed Representative (Provisional Licence)	4
LEUNG Sau Ha	BNF371	Licensed Representative (Provisional Licence)	1, 4
LI Chengzhen	BEW378	Licensed Representative (Provisional Licence)	4, 9
LI DUXI	BNF244	Licensed Representative (Provisional Licence)	1, 6
LI Grace Ann	BNG550	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Guo	BMU801	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jing	ARI941	Licensed Representative (Provisional Licence)	1, 2
LI Jingyi	BNG503	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shiyin	BMW961	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xiaohan	BNH605	Licensed Representative (Provisional Licence)	1
LI Yang	BNG506	Licensed Representative (Provisional Licence)	1, 6
LI Yanni	BNC480	Licensed Representative (Provisional Licence)	1, 2, 4
LI Yifan	BNC202	Licensed Representative (Provisional Licence)	1, 6
LI Yiu Nam	BNE722	Licensed Representative (Provisional Licence)	1, 4
LI Yulong	BNC964	Licensed Representative (Provisional Licence)	1, 6
LI Yunqiao	BGI546	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zelin	BND441	Licensed Representative (Provisional Licence)	1, 4
LI Zhuowei	AXG597	Licensed Representative (Provisional Licence)	1, 6
LIANG Christopher	BNF843	Licensed Representative (Provisional Licence)	1, 4
LIANG Chulei	BNE526	Licensed Representative (Provisional Licence)	1, 6
LIAO Ling	BNH159	Licensed Representative (Provisional Licence)	4
LIM Wei	BNF483	Licensed Representative (Provisional Licence)	9
LIN Chaohan	BNH490	Licensed Representative (Provisional Licence)	4, 6
LIN Mingzhao	BNE753	Licensed Representative (Provisional Licence)	1, 6
LIN Zicheng	BNC037	Licensed Representative (Provisional Licence)	2, 5
LIU Diana	BNF050	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Frederick Thomas	AMJ860	Licensed Representative (Provisional Licence)	4, 9
LIU Ho Fai	BNE470	Licensed Representative (Provisional Licence)	1, 4
LIU Jieqi	AZR561	Licensed Representative (Provisional Licence)	4
LIU Jingyu	BNE747	Licensed Representative (Provisional Licence)	1, 6
LIU Ke	BNG769	Licensed Representative (Provisional Licence)	1
LIU Mei-yin	BNG664	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Sha	BNG756	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Shangquan	BNG036	Licensed Representative (Provisional Licence)	1, 6
LIU Yang	AMN665	Licensed Representative (Provisional Licence)	1, 6
LIU Yinan	BNF250	Licensed Representative (Provisional Licence)	1, 6
LIU Yu	AYH745	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yuchen	BNC426	Licensed Representative (Provisional Licence)	9
LO Wing Sze Winsy	BMC196	Licensed Representative (Provisional Licence)	1, 6
LOONG Pui Fung, Andrew	BBL264	Licensed Representative (Provisional Licence)	1, 4, 6
LU Jie	AZO988	Licensed Representative (Provisional Licence)	1, 6
LU Peiting	BNH086	Licensed Representative (Provisional Licence)	4, 9
LU Tim Haitian	BNG398	Licensed Representative (Provisional Licence)	1, 2
LU Wei	BNF423	Licensed Representative (Provisional Licence)	1, 6
LU Yi Fu	BNE451	Licensed Representative (Provisional Licence)	1, 6
LU Zeyu	BHA159	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Yip Fung	BNF893	Licensed Representative (Provisional Licence)	1
LUO Siqun	BJC964	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUO Yi	BNH867	Licensed Representative (Provisional Licence)	1, 6
MA Chi Hong	BNH068	Licensed Representative (Provisional Licence)	1, 2
MA Hiu Nga, Michelle	AWE190	Licensed Representative (Provisional Licence)	1, 4
MA Mali	BNB200	Licensed Representative (Provisional Licence)	1, 4
MAGNENAT Vincent Marcel	BLI472	Licensed Representative (Provisional Licence)	1, 4, 9
MAHESHWARI Abhinav	AVK737	Licensed Representative (Provisional Licence)	9
MARCHADIER Arnaud Christian Abel	BND746	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MEN Hanlu	BMR924	Licensed Representative (Provisional Licence)	4, 9
MIAO Yue	BNE754	Licensed Representative (Provisional Licence)	1, 6
MO Chung Man	AQN970	Licensed Representative (Provisional Licence)	1, 2
MO Peien	BHJ483	Licensed Representative (Provisional Licence)	2, 5
MO Ziqian	BNE423	Licensed Representative (Provisional Licence)	2, 5
MOK Sui Lung	BNC930	Licensed Representative (Provisional Licence)	1
MORI Takahiro	BAX548	Licensed Representative (Provisional Licence)	4
NAKABACHI Aya	BND276	Licensed Representative (Provisional Licence)	1, 4, 6
NG Li Yen	BGT393	Licensed Representative (Provisional Licence)	1
NG Yee Chun	BNF984	Licensed Representative (Provisional Licence)	1, 4
NG Yiu Tung	BNC929	Licensed Representative (Provisional Licence)	1, 6
NGAI Hoi Chun	AIH608	Licensed Representative (Provisional Licence)	1, 2
NGUYEN Thi Hao	BND550	Licensed Representative (Provisional Licence)	9
NING Szu Wei	BNE452	Licensed Representative (Provisional Licence)	1, 4, 6
O'BRIEN Neill Maurice	BNH891	Licensed Representative (Provisional Licence)	1
PARK Jaewook	BNF224	Licensed Representative (Provisional Licence)	1
PARK Joo Han	BND586	Licensed Representative (Provisional Licence)	1
PENG Can	BEE671	Licensed Representative (Provisional Licence)	1, 6
PUN Chan Fong	BGC810	Licensed Representative (Provisional Licence)	1, 6
QI Xiaoyue	BNB071	Licensed Representative (Provisional Licence)	1, 4
QIAN Feiting	BNH505	Licensed Representative (Provisional Licence)	1, 2, 4
QIN Ling	BES140	Licensed Representative (Provisional Licence)	4, 9
QU Wenting	BNE853	Licensed Representative (Provisional Licence)	9
QU Wenxuan	BNF297	Licensed Representative (Provisional Licence)	1, 4
RAJU Vikram	BNF243	Licensed Representative (Provisional Licence)	1, 9
RAKESH Vijay Raghavan	BNF853	Licensed Representative (Provisional Licence)	4
REN Danlin	BNF068	Licensed Representative (Provisional Licence)	1, 4
RONG Yanping	BNF486	Licensed Representative (Provisional Licence)	6
ROYCHODHURY Shashwat	BNE860	Licensed Representative (Provisional Licence)	1, 2
SANTER Johann Peter	AON268	Licensed Representative (Provisional Licence)	1
SANZ-GADEA IMBANZA alain	BNE454	Licensed Representative (Provisional Licence)	1, 4
SHA Shengjie	BNE746	Licensed Representative (Provisional Licence)	1, 6
SHAH Shivani Ashokbhai	BNF386	Licensed Representative (Provisional Licence)	1, 6
SHAM Wing Yi	BAT873	Licensed Representative (Provisional Licence)	1, 2
SHAO Yuping	BNH376	Licensed Representative (Provisional Licence)	1
SHEN Jie	BMR376	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHEN Sicong	BNF348	Licensed Representative (Provisional Licence)	4
SHEN Xi	BNF387	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Chanjuan	BNE427	Licensed Representative (Provisional Licence)	1, 2, 7
SHI Jiangnan	BNC307	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SHI Zhiming	BNB758	Licensed Representative (Provisional Licence)	1
SIM Rick Man	BNF965	Licensed Representative (Provisional Licence)	1
SIMA Leien	BNF397	Licensed Representative (Provisional Licence)	1, 6
SINGH Pritpal	BNH076	Licensed Representative (Provisional Licence)	1, 2
SIU Kwok Fai	BND288	Licensed Representative (Provisional Licence)	1
SMITH Nicole Jia Zhong	BNF380	Licensed Representative (Provisional Licence)	1, 6
SO Ho Ting	BNF627	Licensed Representative (Provisional Licence)	1, 2
SO Ka Wai	BNC194	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Jian	BMV912	Licensed Representative (Provisional Licence)	4
SOON Wen Yen	BND516	Licensed Representative (Provisional Licence)	1, 4, 6
SOON Yung Kuen	AXU894	Licensed Representative (Provisional Licence)	3
SUE Jonathan Kevin Chi-Hing	BNF364	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Long	BNG448	Licensed Representative (Provisional Licence)	2
SUN Xukanghong	BNG638	Licensed Representative (Provisional Licence)	1, 4, 9
TAI Chun Ting	BNG168	Licensed Representative (Provisional Licence)	1
TAI Mei Wah	BNC612	Licensed Representative (Provisional Licence)	1, 2
TAKAHASHI Atsutoshi	BNB284	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Ka Ho	BCC092	Licensed Representative (Provisional Licence)	9
TAM Wing Chun	BNF806	Licensed Representative (Provisional Licence)	1, 2, 3
TAN Daisy	BNF821	Licensed Representative (Provisional Licence)	1, 4
TAN Yifan	BLT958	Licensed Representative (Provisional Licence)	1, 2, 9
TANG Yeung Long	BNH251	Licensed Representative (Provisional Licence)	3
TENG Wan Chi	AVP899	Licensed Representative (Provisional Licence)	1, 6
THURLIN Arto Joonas	BNC714	Licensed Representative (Provisional Licence)	1
TIAN RUI	BNG006	Licensed Representative (Provisional Licence)	1, 6
TIEU Minh Hai	BNF594	Licensed Representative (Provisional Licence)	1, 4, 6, 9
TOMASIK Filip	BNG650	Licensed Representative (Provisional Licence)	1, 6
TONG Heyang	BNG509	Licensed Representative (Provisional Licence)	1, 6
TONG Yuk Wai	BNF979	Licensed Representative (Provisional Licence)	1, 4
TRACY Alec Peter	BMV299	Licensed Representative (Provisional Licence)	1, 4, 9
TRAN Emile	BND346	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Lun Ho	BNE791	Licensed Representative (Provisional Licence)	1
TSANG Michelle Wing Yung	BNE750	Licensed Representative (Provisional Licence)	1, 6
TSANG Wai Keung	BNH509	Licensed Representative (Provisional Licence)	1
TSE Jonathan	BEB231	Licensed Representative (Provisional Licence)	1
TSE Pui Yi	BNE636	Licensed Representative (Provisional Licence)	1, 4, 6
TSE Syn Yan	BNG364	Licensed Representative (Provisional Licence)	1
TSOI Kwan Kin	BNG892	Licensed Representative (Provisional Licence)	1
TSUI Christopher Frank	BNC752	Licensed Representative (Provisional Licence)	6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSUI Ka Chun	BNE461	Licensed Representative (Provisional Licence)	2
TU Yuxi	BHW846	Licensed Representative (Provisional Licence)	4
ULRICH Jing	ADI942	Licensed Representative (Provisional Licence)	1, 4, 6
VERMA Pramath	BNF578	Licensed Representative (Provisional Licence)	1, 4, 6
VOISIN Alexis	BNC512	Licensed Representative (Provisional Licence)	1, 2
WAI Shiu Kwan, Danny	ACI963	Licensed Representative (Provisional Licence)	6
WAN Hing Fung	BNE429	Licensed Representative (Provisional Licence)	1, 9
WAN Wai Hang	BAZ965	Licensed Representative (Provisional Licence)	4, 9
WANG Baocong	BNH662	Licensed Representative (Provisional Licence)	4, 9
WANG Binran	BAF174	Licensed Representative (Provisional Licence)	4, 9
WANG Cheng	BNH070	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Fan	AXH201	Licensed Representative (Provisional Licence)	9
WANG Jiayu	BNG707	Licensed Representative (Provisional Licence)	1, 6
WANG Le	ANP373	Licensed Representative (Provisional Licence)	1
WANG Lu	BNF193	Licensed Representative (Provisional Licence)	1, 2
WANG Min	BNF305	Licensed Representative (Provisional Licence)	4
WANG Mingjun	BMW778	Licensed Representative (Provisional Licence)	9
WANG Rongxin	BNH491	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Ruoyu	BNF032	Licensed Representative (Provisional Licence)	1, 6
WANG Shihua	BNH504	Licensed Representative (Provisional Licence)	1, 2
WANG Tianyi	BNF960	Licensed Representative (Provisional Licence)	4
WANG Ting	BBP537	Licensed Representative (Provisional Licence)	1, 6
WANG Wei	BNH497	Licensed Representative (Provisional Licence)	1, 4
WANG Wenwen	BNH260	Licensed Representative (Provisional Licence)	1, 6
WANG Wenzhe	BNE422	Licensed Representative (Provisional Licence)	1
WANG Xiaoqing	BNE749	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaotong	BNF911	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xiwei	BNC852	Licensed Representative (Provisional Licence)	1, 6
WANG Yanni	BNH166	Licensed Representative (Provisional Licence)	1, 4
WANG Ye Yin	BNF370	Licensed Representative (Provisional Licence)	4, 9
WANG Yifan	BNH604	Licensed Representative (Provisional Licence)	1
WANG Yinjie	BNG478	Licensed Representative (Provisional Licence)	1, 6
WANG Yiqun	BNC716	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yue	BNC128	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Zhuyan	BND729	Licensed Representative (Provisional Licence)	1, 6
WARTHER Stewart Jackson	BNF463	Licensed Representative (Provisional Licence)	4, 9
WEI Jie	BNE719	Licensed Representative (Provisional Licence)	1, 2
WEI Shuyong	BNF156	Licensed Representative (Provisional Licence)	9
WEI Wang-Li	BNE447	Licensed Representative (Provisional Licence)	2, 5
WEI Xianyong	BNG029	Licensed Representative (Provisional Licence)	1, 6
WEISS Isabel Jiin-Mei	BNG715	Licensed Representative (Provisional Licence)	1, 2, 7
WONG Cheung Wa	BND444	Licensed Representative (Provisional Licence)	1
WONG Ching	BND640	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Chun Kai	BNG368	Licensed Representative (Provisional Licence)	6
WONG Hoi Wing	BMX627	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Poh Yoke	BNF407	Licensed Representative (Provisional Licence)	1
WONG Sau Fan	BNE466	Licensed Representative (Provisional Licence)	1
WONG Suk Wah	AIZ232	Licensed Representative (Provisional Licence)	1, 4
WONG Tsun Hei	ASP993	Licensed Representative (Provisional Licence)	1, 4
WONG Tze Wai	BNE608	Licensed Representative (Provisional Licence)	6
WONG Yee Man	BNG182	Licensed Representative (Provisional Licence)	1, 2
WONG Yee Shan	BNF823	Licensed Representative (Provisional Licence)	9
WONG Yuk Sha	BLI523	Licensed Representative (Provisional Licence)	1
WONGSASUTTHIKUL Paitoon	AOF932	Licensed Representative (Provisional Licence)	1
WU Ho Shing	BND652	Licensed Representative (Provisional Licence)	1, 6
WU Jiacheng	BNF716	Licensed Representative (Provisional Licence)	1, 4, 9
WU Lulu	BBJ798	Licensed Representative (Provisional Licence)	1, 4
WU Oumeng	BNF170	Licensed Representative (Provisional Licence)	1, 2, 4
WU Yanchao	BNF174	Licensed Representative (Provisional Licence)	1, 6
WU Yilong	BNE752	Licensed Representative (Provisional Licence)	1, 4, 9
XIANG Ziyuan	BNB431	Licensed Representative (Provisional Licence)	1, 6
XIE Yutong	BNC962	Licensed Representative (Provisional Licence)	1, 6
XIONG Mingda	BNH468	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Weiqi	BND490	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Youchan	BNF615	Licensed Representative (Provisional Licence)	1, 6
XU Aijia	BNE886	Licensed Representative (Provisional Licence)	1, 4
XU Li	BNF087	Licensed Representative (Provisional Licence)	1
XU Pengfei	BNG979	Licensed Representative (Provisional Licence)	1, 6
XU Tianqing	BNG783	Licensed Representative (Provisional Licence)	1, 6
XU Xu	BNH079	Licensed Representative (Provisional Licence)	1, 4
XU Zuoyang	BNB165	Licensed Representative (Provisional Licence)	1, 4, 6
XUE Yuan	BNF072	Licensed Representative (Provisional Licence)	1, 4
YAN Ho Yin	BNH239	Licensed Representative (Provisional Licence)	1
YAN Jiahui	BNF177	Licensed Representative (Provisional Licence)	1, 4
YAN Ke	BNG652	Licensed Representative (Provisional Licence)	1, 4
YANAGI Akira	BNC153	Licensed Representative (Provisional Licence)	1, 6
YANG Jae Kyoo	BCE785	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Jianrui	BNH069	Licensed Representative (Provisional Licence)	6
YANG Liyun	BNC798	Licensed Representative (Provisional Licence)	6
YANG Nan	BNF962	Licensed Representative (Provisional Licence)	1, 4
YANG Peng	BNB909	Licensed Representative (Provisional Licence)	1, 4
YAO Yao	BNG889	Licensed Representative (Provisional Licence)	1, 4
YARDLEY Stephanie Lee	BNF113	Licensed Representative (Provisional Licence)	1, 4, 9
YAU Ho Yin Horace	BNH224	Licensed Representative (Provisional Licence)	1, 2
YE Shaohua	BNF086	Licensed Representative (Provisional Licence)	1
YEUNG Hoi Ki Isabel	BNH084	Licensed Representative (Provisional Licence)	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIN Rui	BNF763	Licensed Representative (Provisional Licence)	10
YONG Wai Fong	BNF187	Licensed Representative (Provisional Licence)	1
YONG Xin Hui	BNB700	Licensed Representative (Provisional Licence)	2, 5
YU Chin Wai, Bonny	BLT876	Licensed Representative (Provisional Licence)	1, 4
YU Chun Man	BND624	Licensed Representative (Provisional Licence)	6
YU Jie	BNF382	Licensed Representative (Provisional Licence)	1, 6
YU Jin	BNF959	Licensed Representative (Provisional Licence)	1, 4
YU Man Sze	BNE611	Licensed Representative (Provisional Licence)	1, 4, 9
YU Tianjiao	BND781	Licensed Representative (Provisional Licence)	1, 4
YU Zerong	BNF870	Licensed Representative (Provisional Licence)	1, 4, 9
YUAN Mengzhen	BNF377	Licensed Representative (Provisional Licence)	1, 6
YUEN Kin Long	BJH245	Licensed Representative (Provisional Licence)	1, 2, 7
YUEN Man Ling	BNG615	Licensed Representative (Provisional Licence)	1, 2
YUEN Sau Yin	BNG517	Licensed Representative (Provisional Licence)	1, 2
YUNG Chi Hong	AFQ374	Licensed Representative (Provisional Licence)	1, 2
ZHANG Cong	BLX820	Licensed Representative (Provisional Licence)	4, 9
ZHANG Di	AZC799	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiabi	BNH188	Licensed Representative (Provisional Licence)	4
ZHANG Jing	BNG657	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Jing	BNG711	Licensed Representative (Provisional Licence)	1, 6
ZHANG Lei	BNF391	Licensed Representative (Provisional Licence)	4
ZHANG Linyue	BNE751	Licensed Representative (Provisional Licence)	1, 6
ZHANG Luoxi	BMX569	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Peng	BNF922	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shan	BMX550	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Siran	BNE925	Licensed Representative (Provisional Licence)	1, 4
ZHANG Tianze	BIR938	Licensed Representative (Provisional Licence)	1
ZHANG Wenrui	BNG658	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaojun	BCC256	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xincheng	BNF165	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Xuebin	BNH087	Licensed Representative (Provisional Licence)	1
ZHANG Xuting	BNG037	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG Yaowu	BNF349	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yibo	BMW437	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yijian	BND815	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zixiang	BGI750	Licensed Representative (Provisional Licence)	1, 6
ZHAO Qing	BNC883	Licensed Representative (Provisional Licence)	1, 2
ZHAO Ye	BNB421	Licensed Representative (Provisional Licence)	1, 2, 7
ZHENG Hening	BND514	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Lu	BNE578	Licensed Representative (Provisional Licence)	4
ZHONG Lei	APQ822	Licensed Representative (Provisional Licence)	1, 4
ZHONG Wusijie	BNC738	Licensed Representative (Provisional Licence)	4, 9
ZHONG Xiaoling	BGW439	Licensed Representative (Provisional Licence)	10



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Ting	BNF666	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU weishu	BNF259	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xiaoli	BND518	Licensed Representative (Provisional Licence)	1, 4
ZHOU Yajun	BNE951	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Yang	BNF447	Licensed Representative (Provisional Licence)	1, 6
ZHU Qi	BNB168	Licensed Representative (Provisional Licence)	1, 6
ZHU Wei	BNH083	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU YI	BNB385	Licensed Representative (Provisional Licence)	10
ZHU Yichen	BNE676	Licensed Representative (Provisional Licence)	1, 6
ALBERS Marie Caroline	BMZ599	Licensed Representative (Temporary Licence)	1
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
ARORA Rohit	ART681	Licensed Representative (Temporary Licence)	4
BAE Christopher Thomas	AQI792	Licensed Representative (Temporary Licence)	1, 4
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BECKER William Joseph	BAI191	Licensed Representative (Temporary Licence)	1
BERRY Lauren Anne	BNF251	Licensed Representative (Temporary Licence)	4
BEVEVINO Zach Barton	BNC709	Licensed Representative (Temporary Licence)	1, 4
BOWLER Benjamin Latimer	BNF008	Licensed Representative (Temporary Licence)	4
BRIGGS Thomas James Mark	BNC888	Licensed Representative (Temporary Licence)	1, 4
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAN Simon Yuk Kwan	BCT212	Licensed Representative (Temporary Licence)	4
CHEN Mengxia	BLM904	Licensed Representative (Temporary Licence)	10
CHEN Xinchen	BMT453	Licensed Representative (Temporary Licence)	6
CHIA Liting Michelle	BNG518	Licensed Representative (Temporary Licence)	4
CHO Chulhee	BEX932	Licensed Representative (Temporary Licence)	4
CHOI Eric	BDH357	Licensed Representative (Temporary Licence)	4
CHOI Minha	BFC667	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CROOM Nicholas Andrew	BLI475	Licensed Representative (Temporary Licence)	1, 2
DARK Adrian John	BFA326	Licensed Representative (Temporary Licence)	4
DAWSON James	BCA004	Licensed Representative (Temporary Licence)	1
DIANA Luciano	BDL289	Licensed Representative (Temporary Licence)	1
DIDELOT Nicolas Mathieu	BHO975	Licensed Representative (Temporary Licence)	1, 4
DUFF Thomas Hunter	BJU626	Licensed Representative (Temporary Licence)	1, 4
EDELY Nils Antoine	BNF535	Licensed Representative (Temporary Licence)	1, 2
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FOODEY David Nicholas	AQS670	Licensed Representative (Temporary Licence)	1
FOSTER Derek Patrick Jack	ARU732	Licensed Representative (Temporary Licence)	1, 2
FU Yunjie, Desmond	BNC600	Licensed Representative (Temporary Licence)	1
GUO Yifan	BNG244	Licensed Representative (Temporary Licence)	4
GUPTA Shivang	BHY492	Licensed Representative (Temporary Licence)	1, 2
HARRISON Leigh Peter	BNF928	Licensed Representative (Temporary Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAYES Christopher John	BEB738	Licensed Representative (Temporary Licence)	1, 2
HEIDARZADEH PASHA Pouya	BNF977	Licensed Representative (Temporary Licence)	1, 2
HERBIG MARCUS	BNF111	Licensed Representative (Temporary Licence)	1, 4
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HIROSE (KUWAHARA) Akiko	AOB564	Licensed Representative (Temporary Licence)	4
HOLLAND Greg Anthony	BMQ913	Licensed Representative (Temporary Licence)	1, 4
HOMAN Daniel Thomas Fox	BJU733	Licensed Representative (Temporary Licence)	4
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KABRA Manish Jitendra	BHI967	Licensed Representative (Temporary Licence)	4
KANAI Kenji	BKW765	Licensed Representative (Temporary Licence)	4
KANG Daniel	BIF088	Licensed Representative (Temporary Licence)	4
KANG Puay Ju	BJB545	Licensed Representative (Temporary Licence)	1
KARIA Shamil	BLJ719	Licensed Representative (Temporary Licence)	1
KEM James Reade	BNC730	Licensed Representative (Temporary Licence)	1
KURIBARA Hideaki	BHW526	Licensed Representative (Temporary Licence)	4
KUSAKA Tatsuya	BNF242	Licensed Representative (Temporary Licence)	1
KUZMIN Igor	BNE940	Licensed Representative (Temporary Licence)	1, 4
LEE Tien Soon	BJT065	Licensed Representative (Temporary Licence)	1, 4
LIU Lan-Shin	AVS373	Licensed Representative (Temporary Licence)	1
LLOYD David	BEF285	Licensed Representative (Temporary Licence)	4
LOEWY Daniel Jay	BEC626	Licensed Representative (Temporary Licence)	4
LOH Sin Niew	BJC093	Licensed Representative (Temporary Licence)	1
MARKS Janina Martha	BGV716	Licensed Representative (Temporary Licence)	1, 2
MASDEA Michael Theodore	BND570	Licensed Representative (Temporary Licence)	1, 4
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1
MCCARTHY Conor William	BNA754	Licensed Representative (Temporary Licence)	1, 4
MCCONVILLE Christopher Patrick	BIN845	Licensed Representative (Temporary Licence)	1
MCNAMARA Michael Paul	BND495	Licensed Representative (Temporary Licence)	1
MERTENS Ulf	BNG486	Licensed Representative (Temporary Licence)	1
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
PENAVIC Stjepan Drzislav	BNG890	Licensed Representative (Temporary Licence)	1
PUROHIT Amit Tarachand	BNF212	Licensed Representative (Temporary Licence)	4
PUTRA Igor Nyoman	BDR834	Licensed Representative (Temporary Licence)	4
RAMAKRISHNAN Karthik Narayan	BMU958	Licensed Representative (Temporary Licence)	1
RASTOGI Abhishek	AYB018	Licensed Representative (Temporary Licence)	1
REGNAT Benjamin	BNC548	Licensed Representative (Temporary Licence)	1, 4, 5
REISZ Paul William	BHQ136	Licensed Representative (Temporary Licence)	1, 4
RICHARDS Christopher Harry	BMV611	Licensed Representative (Temporary Licence)	1
ROLLINS Michael Ian	BJZ640	Licensed Representative (Temporary Licence)	4
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1
SERRANT Hamille Joseph	AYQ285	Licensed Representative (Temporary Licence)	1, 4
SHAO Jiangchen	BMU959	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHERIDAN Eric James	BER962	Licensed Representative (Temporary Licence)	4
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SIRIPASSORN Kritapas	BDQ319	Licensed Representative (Temporary Licence)	4
SPARTALIS Sophie Despina	BIM602	Licensed Representative (Temporary Licence)	4
SUZUKI Takayuki	AXL566	Licensed Representative (Temporary Licence)	1, 2
TAKAHASHI Kei	AOQ614	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
THAKUR Satyam	BNG483	Licensed Representative (Temporary Licence)	1, 4
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TOMLIN Owen	ALI374	Licensed Representative (Temporary Licence)	1
VERAN Alexandre Pierre Henri	BND351	Licensed Representative (Temporary Licence)	1, 2
WITZKE Jens Daniel	BNE449	Licensed Representative (Temporary Licence)	1, 4
WONG Yii Hui	BNH096	Licensed Representative (Temporary Licence)	1, 4
WOUTERS Anton Hendrik	AXG009	Licensed Representative (Temporary Licence)	4
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YANEZ HINOJOSA Anibal David	BEV153	Licensed Representative (Temporary Licence)	1, 2
YAP Joel Tzu Ching	BND541	Licensed Representative (Temporary Licence)	10
ZUBRINICH Kieran John	ANQ331	Licensed Representative (Temporary Licence)	1