



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
AJ Asset Management Limited	BMR963	Licensed Corporation	4, 9
Amoeba Capital Limited	BMQ773	Licensed Corporation	9
AMOY Capital Limited	BML393	Licensed Corporation	4, 9
Augustine Securities Company Limited	BMR576	Licensed Corporation	1
Brianna Capital (Asia) Limited	BEN179	Licensed Corporation	4, 9
Cerberus Asia Pacific Advisors II Limited	BLV634	Licensed Corporation	1
CIF Securities Futures Limited	BMV833	Licensed Corporation	1, 2
CM Securities Asset Management Limited	BLJ905	Licensed Corporation	4, 9
CMSC Partners Limited	BMW996	Licensed Corporation	9
CORNERSTONE ASSET MANAGEMENT LIMITED	BMK923	Licensed Corporation	9
Derivatives China (Hong Kong) Limited	BMM575	Licensed Corporation	9
Dol-Fin International Asset Management Limited	BLU430	Licensed Corporation	4, 9
Elstone Capital Limited	BMV026	Licensed Corporation	6
Express Speed Securities Limited	BKU889	Licensed Corporation	4
Gamma Securities Limited	BLY061	Licensed Corporation	1, 4
HAI Cloud Exchange (HK) Limited	BMG520	Licensed Corporation	1, 3
Helios Asset Management (HK) Limited	BMQ955	Licensed Corporation	4, 9
Herald Delight Investment Services Limited	BMQ315	Licensed Corporation	1, 4
Holly Su Asset Management Company Limited	BHY994	Licensed Corporation	4, 9
JMC Capital HK Limited	BMR281	Licensed Corporation	1, 4, 9
Leo Asset Management Limited	BMT230	Licensed Corporation	4, 9
MaiCapital Limited	BMC948	Licensed Corporation	4, 9
Major Asset Management Limited	BMM240	Licensed Corporation	1, 4, 9
MSQ Asset Management (HK) Limited	BKD372	Licensed Corporation	4, 9
Oscar and Partners Capital Limited	BMV529	Licensed Corporation	4, 9
SDG Futures (HK) Limited	BMB957	Licensed Corporation	2
SDG Securities (HK) Limited	BMB958	Licensed Corporation	1
Starlight Asset Management Limited	BKU892	Licensed Corporation	9
Tamar Alliance Capital Limited	BMR620	Licensed Corporation	4, 9
TANDLL Investment Management Limited	BLL854	Licensed Corporation	9
Thunder Stone Securities (Hong Kong) Limited	BLO824	Licensed Corporation	1
Trikon Asset Management Limited	BML421	Licensed Corporation	9
Wanexe Securities (HK) Limited	BMN657	Licensed Corporation	3
Wansom Securities (Hong Kong) Limited	BMR590	Licensed Corporation	1
WeShare Asset Management Limited	BMR592	Licensed Corporation	4, 9
YL Capital Partners Limited	BMX689	Licensed Corporation	4, 9
YUANYIN ASSET MANAGEMENT LIMITED	BMJ363	Licensed Corporation	4, 9
YUANYIN SECURITIES LIMITED	BMW035	Licensed Corporation	1
ADEL Rebecca Sophia	BNC851	Licensed Representative	1
AKIE Sachiko	BNB410	Licensed Representative	1, 4
ALON SHEMESH Gilat	BNG228	Licensed Representative	1, 4
AMSTALDEN Boris Marcello	BNH259	Licensed Representative	1, 4
AN Yuan	BMV714	Licensed Representative	6
ARSLANIAN Henri-Kevork	AVM312	Licensed Representative	6
ASNANI Sunil	BNF205	Licensed Representative	1
ATASSI Samir	AHX544	Licensed Representative	1, 2, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
AU Hoi Fung	BIY369	Licensed Representative	1, 4
AU Ka Cheong Michael	BNH409	Licensed Representative	1, 2, 4
AU-YEUNG Ka Ki	BNG838	Licensed Representative	6
BADALIA Rikhith	BNF533	Licensed Representative	1, 6
BAI Yun	BNC036	Licensed Representative	1, 6
BAIG Mirza Shaheryar	BNF978	Licensed Representative	1, 2
BAKER Brian Stephen	BJK848	Licensed Representative	1, 2
BALASUBRAMANIAM Kartik	BNC821	Licensed Representative	1
BARDHAN RAY Ambarish	BNB420	Licensed Representative	1, 2, 9
BATES Timothy James	BND565	Licensed Representative	1, 2, 7
BEER Sebastian	BNG805	Licensed Representative	1, 6
BEIDZINA Tatsiana	BMX878	Licensed Representative	1
BIAN Yuan	AVE035	Licensed Representative	1, 4, 9
BLONDEAU Antoine Urbain Roger	BMM231	Licensed Representative	4, 9
BOA Yuk Wah Yuan	BNG891	Licensed Representative	1, 4, 9
BOURNE Peter Christian	BNC994	Licensed Representative	1, 4
CAI Yibei	BNG624	Licensed Representative	1, 6
CALLEGARI Julio Cesar	BND443	Licensed Representative	4, 5, 9
CAO Bingqing	BEH582	Licensed Representative	1, 2, 3
CAO YING	BNI566	Licensed Representative	1, 4
CAO Yu, Ricky	BNB212	Licensed Representative	1, 4
CHA Seung Hoon	BNE720	Licensed Representative	1, 4
CHAI Yihan	BNC121	Licensed Representative	1, 4
CHAN Amanda Denise Yiying	BNH872	Licensed Representative	1, 6
CHAN Angie	BNG400	Licensed Representative	1, 2, 9
CHAN Cheuk Ki Jacky	AOE774	Licensed Representative	1
CHAN Chun Ting	BNH561	Licensed Representative	1, 2
CHAN Hei Tung	BNE784	Licensed Representative	1, 4, 9
CHAN Hin Fai	AXV429	Licensed Representative	3
CHAN Jaak Yin Wesley	BNF245	Licensed Representative	1
CHAN Man Hung, Ivan	AVE049	Licensed Representative	1, 4, 9
CHAN Man Kam	BNH075	Licensed Representative	1, 6
CHAN Man Yung Denise	BNE755	Licensed Representative	1, 6
CHAN Mei Ying	BNA743	Licensed Representative	1
CHAN Po Lung	BNG645	Licensed Representative	1, 4
CHAN Pui Yan	BNB926	Licensed Representative	1
CHAN Sin Ting Kitty	BNC483	Licensed Representative	1, 4, 9
CHAN Tak Yui	BNC476	Licensed Representative	1, 4, 9
CHAN Wang Fung	BNC843	Licensed Representative	4
CHAN Weiquan	BNG218	Licensed Representative	1, 4
CHAN Yat Cheong	BMW526	Licensed Representative	1, 6
CHAN Yick Kei	AJN963	Licensed Representative	1, 4, 6
CHANG Chih-Han	BNF236	Licensed Representative	10
CHANG Jing	BMX565	Licensed Representative	1, 4
CHANG Yuan	AST179	Licensed Representative	1
CHAO Elaine Yiran	BNF051	Licensed Representative	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
CHEN Cong	BND653	Licensed Representative	4, 9
CHEN Dan	BNC681	Licensed Representative	1, 4, 6
CHEN Darick Hongwei	BNI547	Licensed Representative	1, 6
CHEN Linhao	BNG873	Licensed Representative	1, 2, 9
CHEN Siyang	BMV957	Licensed Representative	4, 9
CHEN Wankun	BNC867	Licensed Representative	1, 6
CHEN Xiaojing	BNA777	Licensed Representative	1, 6
CHEN Ya-l	BNF673	Licensed Representative	1
CHEN Yali	BNE463	Licensed Representative	4
CHEN Yi Ling	BND496	Licensed Representative	1, 2
CHEN Ying	BND754	Licensed Representative	9
CHEN Yiru	BNG165	Licensed Representative	1
CHENG Ho Yin	BNF767	Licensed Representative	1, 2
CHENG Kit Fu	BNG039	Licensed Representative	1, 4
CHENG Ling Fung	BNG027	Licensed Representative	1, 2, 9
CHENG Martin Wing Hung	BNF294	Licensed Representative	1
CHENG Ningyuan Gloria	BNG054	Licensed Representative	1, 4, 9
CHENG Pak Fai Andy	BNC904	Licensed Representative	1, 4
CHENG Ruoyu	BNE748	Licensed Representative	1, 2, 7
CHENG Tinglong	BNG580	Licensed Representative	2
CHENG Ying Yee	BKH127	Licensed Representative	1, 2, 4, 5
CHENG Yu Chi	BNC809	Licensed Representative	4, 9
CHENG Yuen Yan	BNG329	Licensed Representative	1, 6
CHEUK Ki Cheung	BJM082	Licensed Representative	1
CHEUNG Chui Mei	BNG586	Licensed Representative	1
CHEUNG Hang	BNG551	Licensed Representative	1
CHEUNG Hoi Yee	BNG810	Licensed Representative	2
CHEUNG Ka Yan, Xenia	AYF306	Licensed Representative	3
CHEUNG Kai Ho	BNI610	Licensed Representative	1, 2, 9
CHEUNG Kam Kit	BNF238	Licensed Representative	1, 6
CHEUNG Kit	AZV239	Licensed Representative	6
CHEUNG Lap	BNF964	Licensed Representative	6
CHEUNG Man Wai	BNG361	Licensed Representative	1
CHEUNG Ming Hon	BNH167	Licensed Representative	1, 2
CHEUNG Pou Gim Lucien	BNG374	Licensed Representative	9
CHEUNG Sheung Man, Anthony	BKL125	Licensed Representative	1, 4
CHEUNG Siu Hang	BNC210	Licensed Representative	1, 4
CHEUNG Tak Man Amy	BNF247	Licensed Representative	9
CHEUNG Tsz Bun, Edwin	ASX478	Licensed Representative	2
CHIA Jia Qi	BNH081	Licensed Representative	1
CHIARAWONGSE Chakrapas	BNB674	Licensed Representative	9
CHIK Yin Yeung	BNF399	Licensed Representative	4
CHIU Chi Wa	BNF509	Licensed Representative	1, 2, 4, 5, 9
CHIU Long Hang	BNF725	Licensed Representative	1
CHIU Tze Ting	BNG237	Licensed Representative	1, 2, 9
CHO Kai Man	BBQ522	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
CHOI Hei Ting	BNH433	Licensed Representative	6
CHOI Jasen	BMZ508	Licensed Representative	1, 4, 7
CHOI Ka Wai	BNG786	Licensed Representative	1, 4, 9
CHONG Siu Yin, Dominic	BNG839	Licensed Representative	1, 6
CHOPRA Sameer	BAU420	Licensed Representative	4
CHOW Ho Yin Cecil	BNC489	Licensed Representative	1
CHOW Wai Kit Tony	BNG186	Licensed Representative	1
CHU Po Yuen	BBL114	Licensed Representative	1
CHU Sau Wai, Nicola	BNC842	Licensed Representative	1, 4, 9
CHU Shuk Man	BNG802	Licensed Representative	1
CHU Suet Ni, Sheny	BBB062	Licensed Representative	1
CHU Ting Ting, Ody	BNG214	Licensed Representative	9
CHUA Pei Jing Joy	BNF694	Licensed Representative	1
CHUNG Joon Mo	BNC865	Licensed Representative	1, 6
CUI Yifan	BEJ198	Licensed Representative	9
CUI Yiran	BMW693	Licensed Representative	1, 6
DAI MINGHUI	BND265	Licensed Representative	2
DEFRIES Paul Antony	ACA488	Licensed Representative	4, 5, 9
DEPALMAS Remi Richard	BNE468	Licensed Representative	1, 2
DHUPELIA Sunil	AUW922	Licensed Representative	1, 4
DOHERTY Neil Michael	BNC270	Licensed Representative	1, 4, 9
DONG Xiaocan	BMZ612	Licensed Representative	1, 4, 6
DOU Kar Ming Kelvin	BNG177	Licensed Representative	1
DU YiChen	BNB807	Licensed Representative	9
EL MAZHOR Salim	BNF590	Licensed Representative	1, 2
EVANS Matthew Christopher	BIA161	Licensed Representative	1
FAN Hanli	BNE756	Licensed Representative	1, 4
FAN Tze Fung Doris	BNE462	Licensed Representative	1, 4
FANG Baorong	AJD695	Licensed Representative	1, 6
FANG Fuhui	BNH627	Licensed Representative	1
FANG Zheqi	BNG950	Licensed Representative	1, 4, 6
FATEHPURIA Chaitanya	BNA803	Licensed Representative	9
FEDOTOVA Jelena	BNH659	Licensed Representative	1, 4
FENG Qijin	BNC306	Licensed Representative	1, 4, 6
FONG Amanda Jia Min	BNF388	Licensed Representative	1, 6
FONG Chun Lok	BNF838	Licensed Representative	9
FONG Hei Suet	BNC927	Licensed Representative	1
FOO Jie Hao Damian	BMX905	Licensed Representative	1, 6
FOO Xiang Quan Gary	BND649	Licensed Representative	1, 4, 6
FU Keyu	BNG208	Licensed Representative	1, 4, 6
FUNG Kwan Yin, James	AKS995	Licensed Representative	4, 9
GAO Tengzhe	BET638	Licensed Representative	1, 4
GAO Xiangyu	BDW696	Licensed Representative	6
GAO Zijun	BNH365	Licensed Representative	1, 6
GARG Shivani	BNC812	Licensed Representative	1, 2
GAZMARARIAN Lucy	BJL815	Licensed Representative	6



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CE Name	CE No.	License Type	Types of Regulated Activity
GE Tuo	BNF813	Licensed Representative	6
GE Yanwei	BNG522	Licensed Representative	6
GONG Chenyu	BMX082	Licensed Representative	1, 4, 6
GONG Shuyuan	BNF179	Licensed Representative	1, 4
GORMAN Mark Christopher	AXL709	Licensed Representative	9
GRAY Christopher	AMH827	Licensed Representative	9
GREEN Nicholas Alain	BMX570	Licensed Representative	1, 4, 6
GU JIEKAI	BNE619	Licensed Representative	2
GU Miduo	BNH624	Licensed Representative	1
GULRAJANI dev	BND519	Licensed Representative	1, 4, 6
GUO Chaohui	BBU524	Licensed Representative	1, 4
GUO Lin	BNB213	Licensed Representative	4, 9
GUO Qiang	AYW017	Licensed Representative	4, 9
GUO QingQing	BNF702	Licensed Representative	10
GUO Yanzhe	BMX602	Licensed Representative	4, 9
GUPTA Sadhvi	BNE854	Licensed Representative	1, 9
HAN Chin Tang	AVH609	Licensed Representative	1, 2, 4
HAN Xu	BNC912	Licensed Representative	1, 2
HAN Zhe	AQV652	Licensed Representative	1, 2
HARJANI Vijay Kumar Dayal	ACH915	Licensed Representative	4
HARTUP Gerald Toby Benedict	BNC511	Licensed Representative	2, 5
HAU Man Po Mathew	BNG585	Licensed Representative	4
HAU Yee Lan	AEY895	Licensed Representative	4, 9
HE Feilong	BNB559	Licensed Representative	1, 4, 9
HE Furong	BMH527	Licensed Representative	4, 9
HE Jieqian	BMX734	Licensed Representative	1, 2
HE Lu	BNB253	Licensed Representative	1, 6
HE Qingshan	BMW798	Licensed Representative	1
HE Yangjun	BMV675	Licensed Representative	1
HE Yanwei	BNG389	Licensed Representative	1, 2
HE Yidan	BNG469	Licensed Representative	6
HEGDE Pavan S.	BNB415	Licensed Representative	1, 2
HENDRY Cyrus Richard	AQS814	Licensed Representative	9
HO Ho Wang	BNF373	Licensed Representative	1, 4
HO Kwok Leung	BNG549	Licensed Representative	1, 9
HO Mei Kei	ADZ581	Licensed Representative	1
HO Ting Chu	AZG340	Licensed Representative	1
HO Tsz Ching	BLN448	Licensed Representative	1, 4
HO Tsz Chun	BMX819	Licensed Representative	1, 2
HO Wai Lok	BNF379	Licensed Representative	6
HODJATZADEH Suransi	BNH256	Licensed Representative	1, 2
HONG Keith Chun-yiu	AXR368	Licensed Representative	1, 2
HORIUCHI Takafumi	BMX534	Licensed Representative	4
HOU Xingyang	BMV632	Licensed Representative	4, 9
HU Baosheng	BNH298	Licensed Representative	6
HU Dawei	BNH563	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
HU Jiazhi	BMX452	Licensed Representative	6
HUANG Anqi	BNF768	Licensed Representative	1, 2
HUANG Qiuting	BEB573	Licensed Representative	1
HUANG Shiyi	BEQ547	Licensed Representative	1
HUANG Yiyu	BJO314	Licensed Representative	1
HUANG Yue	BDA207	Licensed Representative	1, 4, 6
HUANG Zekun	BNF375	Licensed Representative	1, 6
HUI Ho Hang Terry	BND362	Licensed Representative	1
HUI Ka Ming	AOB414	Licensed Representative	1
HUI Tsz Chun	BNI600	Licensed Representative	1
HUI Yee Ming, Terrance	ARJ134	Licensed Representative	1, 4
HUNG Hei Shun	BNG150	Licensed Representative	1, 4, 6
HUNG Jessica	BKB712	Licensed Representative	1
HUNG Ka Hei	BNI021	Licensed Representative	1, 4
HUNG Wing Man	BND639	Licensed Representative	1, 4
HYNES Jean Marie	BEJ077	Licensed Representative	1, 4
IP Chun Lung	BEO407	Licensed Representative	1
ITO Tatsuhiko	BNG744	Licensed Representative	4
IWAI Toru	BND774	Licensed Representative	1
JALIF Jasmine	BNH474	Licensed Representative	1, 4
JANG Seo Young	BNC114	Licensed Representative	2, 5
JIANG Chao	BMW173	Licensed Representative	4
JIANG Lijia	BNC059	Licensed Representative	1, 4, 6
JIANG Mengdie	BGH554	Licensed Representative	4
JIANG Tao	BNB357	Licensed Representative	1, 6
JIANG Yanqin	BAL788	Licensed Representative	4
JIANG Yinwen	BBE590	Licensed Representative	1
JING Yun	AOI876	Licensed Representative	1, 4, 6
JO Geonhee	BNF213	Licensed Representative	1
JU Sung Cheol	ANT438	Licensed Representative	1, 4, 9
JUNG Perry	BNG766	Licensed Representative	1
JUNG Su Hyun	BNC308	Licensed Representative	2, 5
KAN Tin Yan Crystal	BNG596	Licensed Representative	3
KANEKO Tomoyo	BMX081	Licensed Representative	1, 2
KANZAKI Michihide	BNC463	Licensed Representative	1, 4, 6
KAO Jui Tang	BNG896	Licensed Representative	1, 4
KEE Man Kit	AID482	Licensed Representative	1
KEUNG Yee Yee Lillian	BNH562	Licensed Representative	1
K'HABORE Norah Barhakanatou Leslie Henriette Sherone	BNF458	Licensed Representative	1, 2
KHAZEN Ilya	BNB239	Licensed Representative	1, 2
KIM Changick	BNG220	Licensed Representative	1, 4
KIM Young Chae	BNF358	Licensed Representative	1
KIM Younghun	BNE872	Licensed Representative	10
KOBAYASHI Takefumi	BNH408	Licensed Representative	4
KONG Deming	BMX638	Licensed Representative	1, 6
KONG Heng Siong	BNH125	Licensed Representative	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
KOU Lingyan	BNC026	Licensed Representative	1, 6
KUAI Zhen	BMZ642	Licensed Representative	1, 6
KUI Siu Yi	AOQ777	Licensed Representative	4, 9
KWAK Jin Woo	BNF216	Licensed Representative	9
KWAN Kai Lung	BNI315	Licensed Representative	3
KWAN Sui Keung	BNE485	Licensed Representative	1
KWOK Chun Ho	BNG502	Licensed Representative	1, 2, 3, 4
KWOK Chun Yeung	BNH640	Licensed Representative	1, 6
KWOK Siu Lun	BNH078	Licensed Representative	1
KWOK Wing Ka Stephanie	BNA768	Licensed Representative	1, 6
LAI Ming Fung	AGC971	Licensed Representative	9
LAI Nga Ying	ANK181	Licensed Representative	1, 4
LAM Cheuk Ho	BND737	Licensed Representative	1
LAM Ho Lim	BNH278	Licensed Representative	1, 2
LAM Hoi Ki	BNF147	Licensed Representative	1, 4
LAM Ka Eunise	BNG689	Licensed Representative	1
LAM Ka Yeung	BNC508	Licensed Representative	1
LAM Kin Chung	AXM570	Licensed Representative	1
LAM Tik Chun	BNG391	Licensed Representative	1, 2
LAN Tian	BNB944	Licensed Representative	9
LAO Cheok lat	BND256	Licensed Representative	3
LAU Arthur Nicholas Tsz-him	BFZ391	Licensed Representative	1, 6
LAU Chun Hung	BJB485	Licensed Representative	4, 5
LAU Hok Yuk	AOE856	Licensed Representative	1, 4
LAU Ming Kwok	BEC365	Licensed Representative	1
LAU Muk Keung	AHD597	Licensed Representative	1
LAU Nga Ki	ASY491	Licensed Representative	1
LAU Shun Yan, Dora	ARO293	Licensed Representative	1
LAU Tin Lun	BNG471	Licensed Representative	1
LAU Wing Chung	BNI132	Licensed Representative	9
LAW Ching Man Graciana	BND335	Licensed Representative	1, 6
LAW Wing Hang	BGE442	Licensed Representative	1
LAW Yeung Kwong Sunny	BNE587	Licensed Representative	1
LEAR Mark Andrew	BNG613	Licensed Representative	4
LEE Godwin	AXL950	Licensed Representative	1, 4
LEE James Sangwon	BEW672	Licensed Representative	1
LEE Jay Shen	AYH950	Licensed Representative	4
LEE Ji Hyun	BNC116	Licensed Representative	1, 4, 9
LEE John	AYC035	Licensed Representative	1, 6
LEE Ju Yun	BNC853	Licensed Representative	10
LEE Mei Lam Nicole	BNG434	Licensed Representative	1, 2
LEE Pui Yee	BJZ506	Licensed Representative	4, 9
LEE Sean Mu Yi	BNC539	Licensed Representative	1
LEE Tsz Yin	BNG708	Licensed Representative	1, 2, 9
LEE Wai Hang	BNF990	Licensed Representative	4
LEE Wing Hong	AYK329	Licensed Representative	1



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CE Name	CE No.	License Type	Types of Regulated Activity
LEE Wing Sum	AJJ417	Licensed Representative	4
LEE Yoon Jee	BNF315	Licensed Representative	1, 4, 6
LEE Zhen Ting, Brian	BNC477	Licensed Representative	6
LEI Yu Ting	BNG991	Licensed Representative	6
LEUNG Chu	BNG367	Licensed Representative	1, 2
LEUNG Chui Lin	BND851	Licensed Representative	1, 4, 5, 9
LEUNG Chun Man	BND421	Licensed Representative	1
LEUNG Chung Wing	BNC659	Licensed Representative	1, 2
LEUNG Chung Yin	BNF563	Licensed Representative	1
LEUNG Ho Wing	BNF543	Licensed Representative	1, 6
LEUNG Hoi Kit	BNH059	Licensed Representative	1, 4, 9
LEUNG Ping Chuen	BNG152	Licensed Representative	4, 9
LEUNG Sau Ha	BNF371	Licensed Representative	1, 4
LI Ankang	BMX857	Licensed Representative	1, 6
LI Bing	BMW896	Licensed Representative	4, 5, 9
LI Binnan	BMT388	Licensed Representative	1, 4
LI Chang	BMV779	Licensed Representative	10
LI Chengzhen	BEW378	Licensed Representative	4, 9
LI Chenwenting	BNF428	Licensed Representative	1
LI Duxi	BNF244	Licensed Representative	1, 6
LI Grace Ann	BNG550	Licensed Representative	1, 4, 6
LI Han	BKJ648	Licensed Representative	4, 9
LI Hanzheng	BND739	Licensed Representative	9
LI Jiexiong	BNG994	Licensed Representative	1, 4, 9
LI Jing	ARI941	Licensed Representative	1, 2
LI Jingyi	BNG503	Licensed Representative	1, 4, 9
LI Lingyun	BMV306	Licensed Representative	1, 4
LI Ming-Chin	BNH614	Licensed Representative	1
LI Minyu	BNC406	Licensed Representative	1
LI Pui Yan	BNH616	Licensed Representative	1
LI Qixuan	BMX628	Licensed Representative	1, 6
LI Yanni	BNC480	Licensed Representative	1, 2, 4
LI Yifan	BNC202	Licensed Representative	1, 6
LI Yiu Nam	BNE722	Licensed Representative	1, 4
LI Yulong	BNC964	Licensed Representative	1, 6
LI Yunqiao	BGI546	Licensed Representative	1, 4, 6
LI Zelin	BND441	Licensed Representative	1, 4
LI Zhiqing	BNG475	Licensed Representative	1, 4
LI Zhuowei	AXG597	Licensed Representative	1, 6
LIANG Chulei	BNE526	Licensed Representative	1, 6
LIANG Ying	BNA785	Licensed Representative	1, 4, 9
LIM Wei	BNF483	Licensed Representative	9
LIN Mengdi	BNB152	Licensed Representative	4
LIN Mingzhao	BNE753	Licensed Representative	1, 6
LIN Qiushuo	BEB575	Licensed Representative	1
LIN Ying	BNC967	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
LIN Zhongqiang	BND615	Licensed Representative	1, 4, 6
LIN Zicheng	BNC037	Licensed Representative	2, 5
LING Rundong	BNC103	Licensed Representative	4
LIU Da	BNC440	Licensed Representative	4, 5, 9
LIU Frederick Thomas	AMJ860	Licensed Representative	4, 9
LIU Haoran	BCP185	Licensed Representative	9
LIU Ho Fai	BNE470	Licensed Representative	1, 4
LIU Jian	BNC023	Licensed Representative	1, 6
LIU Jieqi	AZR561	Licensed Representative	4
LIU Jingyu	BNE747	Licensed Representative	1, 6
LIU Ke	BNG769	Licensed Representative	1
LIU Mei-yin	BNG664	Licensed Representative	1, 4, 9
LIU Minyue	BNB412	Licensed Representative	1, 4
LIU Nan	BNB652	Licensed Representative	4
LIU Wen Ying	BND681	Licensed Representative	1, 2
LIU Yao	BMV034	Licensed Representative	10
LIU Yifei	BNH175	Licensed Representative	1, 6
LIU Zhijun	BMW928	Licensed Representative	4
LO Wing Sze Winsy	BMC196	Licensed Representative	1, 6
LOUIE Hau Tak	BND174	Licensed Representative	6
LU Guanhao	BNG992	Licensed Representative	1, 4, 9
LU Hao	BNG077	Licensed Representative	9
LU Tim Haitian	BNG398	Licensed Representative	1, 2
LU Wei	BNF423	Licensed Representative	1, 6
LU Yi Fu	BNE451	Licensed Representative	1, 6
LU Zeyu	BHA159	Licensed Representative	1, 4, 6
LUI Yip Fung	BNF893	Licensed Representative	1
LUO Ruoyun	BNI553	Licensed Representative	1, 6
LUO Yangduan	BNE883	Licensed Representative	4, 9
LUO Yi	BNH867	Licensed Representative	1, 6
MA Chi Hong	BNH068	Licensed Representative	1, 2
MA Hoi Sze	AUW529	Licensed Representative	1
MA Mali	BNB200	Licensed Representative	1, 4
MA Sichong	BNB375	Licensed Representative	1, 6
MAHESHWARI Abhinav	AVK737	Licensed Representative	9
MAK Florence	BNE689	Licensed Representative	1, 4
MAK Lok Ki	BNC115	Licensed Representative	2, 5
MAN Ka Hei	BNG160	Licensed Representative	1
MARAI Christopher Nicholas John	BNH699	Licensed Representative	4
MARCHADIER Arnaud Christian Abel	BND746	Licensed Representative	1, 2, 4, 5
MASATO Araki	BMV103	Licensed Representative	1
MEI Mengxue	BNB416	Licensed Representative	1, 4, 6
MEN Hanlu	BMR924	Licensed Representative	4, 9
MIAO Yue	BNE754	Licensed Representative	1, 6
MIN Hao	BDV966	Licensed Representative	4
MO Chung Man	AQN970	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
MO Ziqian	BNE423	Licensed Representative	2, 5
MODI Alok	BNF103	Licensed Representative	1, 2
MORI Takahiro	BAX548	Licensed Representative	4
MUKHAMETSHIN Anvar	BND402	Licensed Representative	1
NAKABACHI Aya	BND276	Licensed Representative	1, 4, 6
NG Kwai Wa	APJ320	Licensed Representative	1
NG Kwok To, Henry	BNH613	Licensed Representative	1
NG Li Yen	BGT393	Licensed Representative	1
NG Lu Yan	AYG297	Licensed Representative	1
NG Wai Yin Hailey	BNH346	Licensed Representative	1
NG Yiu Tung	BNC929	Licensed Representative	1, 6
NGAI Hoi Chun	AIH608	Licensed Representative	1, 2
NGUYEN Thi Hao	BND550	Licensed Representative	9
NI Ying	BNB049	Licensed Representative	1
PAN Xiaofei	BNC030	Licensed Representative	1, 6
PANG Kun	BNH170	Licensed Representative	1, 6
PARK Jaewook	BNF224	Licensed Representative	1
PARK Joo Han	BND586	Licensed Representative	1
PARK Joonkyung	BNH164	Licensed Representative	1, 6
PARSONS John Sellick	BMV761	Licensed Representative	1, 4
PENG Can	BEE671	Licensed Representative	1, 6
POON Ka Ki	BNF545	Licensed Representative	1, 4, 6
PUN Chan Fong	BGC810	Licensed Representative	1, 6
QI Jiali	BNI023	Licensed Representative	4
QIAN Feiting	BNH505	Licensed Representative	1, 2, 4
QIAN Hao	BND274	Licensed Representative	4
QIAN Jiajia	BMZ485	Licensed Representative	4
QIAN Linjuan	AYE750	Licensed Representative	1, 4
QIU Anhong	BLT266	Licensed Representative	1, 4, 9
QIU Yufei	BMZ544	Licensed Representative	1, 9
QU Wenting	BNE853	Licensed Representative	9
QU Wenxuan	BNF297	Licensed Representative	1, 4
QU Zhengzhe	AYQ555	Licensed Representative	2
RAJASINGHAM Arjuna	AVG783	Licensed Representative	9
RAJU Vikram	BNF243	Licensed Representative	1, 9
RAKESH Vijay Raghavan	BNF853	Licensed Representative	4
REN Siyuan	BND163	Licensed Representative	1, 4, 6
ROBSON Neil Christopher	BNI324	Licensed Representative	1
RONG Yanping	BNF486	Licensed Representative	6
RU Yihan	BMX927	Licensed Representative	4, 9
SANZ-GADEA IMBANZA alain	BNE454	Licensed Representative	1, 4
SCOTT Julian Bowd	AVF123	Licensed Representative	1, 2
SESHASAYEE Aadit	AQZ618	Licensed Representative	1, 4
SETO Wang Tat William	AOU551	Licensed Representative	1, 4, 9
SHA Shengjie	BNE746	Licensed Representative	1, 6
SHAH Shivani Ashokbhai	BNF386	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
SHAM Wing Yi	BAT873	Licensed Representative	1, 2
SHAO Yuping	BNH376	Licensed Representative	1
SHEN Xi	BNF387	Licensed Representative	1, 4, 6
SHI Chanjuan	BNE427	Licensed Representative	1, 2, 7
SHI Jiangnan	BNC307	Licensed Representative	1, 2, 4, 5
SHI Wenting	BKX436	Licensed Representative	1, 6
SHIEH Steven	BNB448	Licensed Representative	1, 6
SHIU Chak Fu	BLB196	Licensed Representative	1
SIM Rick Man	BNF965	Licensed Representative	1
SIMA Leien	BNF397	Licensed Representative	1, 6
SINGH Pritpal	BNH076	Licensed Representative	1, 2
SIU Kwok Fai	BND288	Licensed Representative	1
SMITH Nicole Jia Zhong	BNF380	Licensed Representative	1, 6
SMITH Stuart Bruce	BNG635	Licensed Representative	9
SO Ho Ting	BNF627	Licensed Representative	1, 2
SO Ka Wai	BNC194	Licensed Representative	1, 4, 9
SO Wai Ming	BNG346	Licensed Representative	1
SOLEIMANI Ali Afshin	BMV789	Licensed Representative	1, 2
SONG Mu	BNE730	Licensed Representative	1
SONG Xia	ARH870	Licensed Representative	1, 4
SONG Yiliu	BNB401	Licensed Representative	2, 5
SOON Yung Kuen	AXU894	Licensed Representative	3
SUE Jonathan Kevin Chi-Hing	BNF364	Licensed Representative	1, 4, 9
SUN Jianshu	BMR326	Licensed Representative	9
SUN Long	BNG448	Licensed Representative	2
SZU Wei-hsuan	AZR297	Licensed Representative	1, 4
TAI Chun Ting	BNG168	Licensed Representative	1
TAI Mei Wah	BNC612	Licensed Representative	1, 2
TAKAHASHI Atsutoshi	BNB284	Licensed Representative	1, 4, 6
TAM Ka Ho	BCC092	Licensed Representative	9
TAM Pui Chi	AFT247	Licensed Representative	4, 9
TAM Wing Chun	BNF806	Licensed Representative	1, 2, 3
TAN Daisy	BNF821	Licensed Representative	1, 4
TAN Yifan	BLT958	Licensed Representative	1, 2, 9
TANG Chi Bun	BNH654	Licensed Representative	1, 4, 9
TANG Lok Yan	BNG477	Licensed Representative	1
TANG Yeung Long	BNH251	Licensed Representative	3
TAO Ye	BMW836	Licensed Representative	4
TENG Wan Chi	AVP899	Licensed Representative	1, 6
TEO Swee Yong	ASW357	Licensed Representative	1
THURLIN Arto Joonas	BNC714	Licensed Representative	1
TIAN RUI	BNG006	Licensed Representative	1, 6
TIEU Minh Hai	BNF594	Licensed Representative	1, 4, 6, 9
TOMASIK Filip	BNG650	Licensed Representative	1, 6
TOMPKINS John G	ANK430	Licensed Representative	1, 2
TONG Yuk Wai	BNF979	Licensed Representative	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
TRAN Emile	BND346	Licensed Representative	1, 2, 7
TSANG Chi Fai	BNG370	Licensed Representative	4, 9
TSANG Chun Man	AMU470	Licensed Representative	1, 4
TSANG Lun Ho	BNE791	Licensed Representative	1
TSANG Michelle Wing Yung	BNE750	Licensed Representative	1, 6
TSANG Po Sze	BNH609	Licensed Representative	1
TSANG Wai Keung	BNH509	Licensed Representative	1
TSE Jonathan	BEB231	Licensed Representative	1
TSE Pui Yi	BNE636	Licensed Representative	1, 4, 6
TSE Shing Chi	BAK932	Licensed Representative	1, 4
TSE Syn Yan	BNG364	Licensed Representative	1
TSE Tung Ta	BND847	Licensed Representative	1
TSE Victor Sai Chung	ANJ707	Licensed Representative	2
TSOI Kwan Kin	BNG892	Licensed Representative	1
TSOI Wing Mei	BNC666	Licensed Representative	1, 2
TSUI Christopher Frank	BNC752	Licensed Representative	6
TSUI Ka Chun	BNE461	Licensed Representative	2
TU Yuxi	BHW846	Licensed Representative	4
ULRICH Jing	ADI942	Licensed Representative	1, 4, 6
UN Ka Hong	BNH937	Licensed Representative	1, 9
VERMA Pramath	BNF578	Licensed Representative	1, 4, 6
VOISIN Alexis	BNC512	Licensed Representative	1, 2
WAI Shiu Kwan, Danny	ACI963	Licensed Representative	6
WAN Hui-ju	BNC061	Licensed Representative	1, 4
WANG Binran	BAF174	Licensed Representative	4, 9
WANG Cong	BMX501	Licensed Representative	1, 2
WANG Fan	AXH201	Licensed Representative	9
WANG Fang	BIY226	Licensed Representative	1, 4, 9
WANG Lan	AXK661	Licensed Representative	4, 9
WANG Le	ANP373	Licensed Representative	1
WANG Lu	BNF193	Licensed Representative	1, 2
WANG Meng	BNH138	Licensed Representative	6
WANG Mengzhi	AYQ824	Licensed Representative	4, 9
WANG Min	BNF305	Licensed Representative	4
WANG Mingjun	BMW778	Licensed Representative	9
WANG Rongxin	BNH491	Licensed Representative	1, 2, 4
WANG Shihua	BNH504	Licensed Representative	1, 2
WANG Shuai	BNB844	Licensed Representative	1
WANG Tianyi	BNF960	Licensed Representative	4
WANG Wei	BNH497	Licensed Representative	1, 4
WANG Wenzhe	BNE422	Licensed Representative	1
WANG Xiaoqing	BNE749	Licensed Representative	1, 6
WANG Xiaotong	BNF911	Licensed Representative	1, 4, 9
WANG Xiaoxiao	BNB928	Licensed Representative	6
WANG Xiwei	BNC852	Licensed Representative	1, 6
WANG Xuefang	BNI485	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Yan	BNB254	Licensed Representative	1
WANG Yanni	BNH166	Licensed Representative	1, 4
WANG Ye Yin	BNF370	Licensed Representative	4, 9
WANG Yifan	BNH604	Licensed Representative	1
WANG Yinjie	BNG478	Licensed Representative	1, 6
WANG Yinyan	BNC840	Licensed Representative	1, 6
WANG Yiqun	BNC716	Licensed Representative	1, 2, 4
WANG Yue	BNC128	Licensed Representative	1, 4, 9
WARTHER Stewart Jackson	BNF463	Licensed Representative	4, 9
WEI Jie	BNE719	Licensed Representative	1, 2
WEI Shuyong	BNF156	Licensed Representative	9
WEI Wang-Li	BNE447	Licensed Representative	2, 5
WEISS Isabel Jiin-Mei	BNG715	Licensed Representative	1, 2, 7
WEN QI	BMV430	Licensed Representative	4
WEN Ya	BMV925	Licensed Representative	1, 4, 9
WONG Cheung Wa	BND444	Licensed Representative	1
WONG Ching	BND640	Licensed Representative	1
WONG Chun Kai	BNG368	Licensed Representative	6
WONG Ka Hin	BMV852	Licensed Representative	1, 2
WONG King Yung Chalet	BNH932	Licensed Representative	6
WONG Mei Mui	BNH479	Licensed Representative	1
WONG Poh Yoke	BNF407	Licensed Representative	1
WONG Sau Fan	BNE466	Licensed Representative	1
WONG Shek Ting Stephen	BNB521	Licensed Representative	1, 4, 9
WONG Suk Wah	AI2232	Licensed Representative	1, 4
WONG Sze Wai	BNG365	Licensed Representative	1
WONG Tsun Hei	ASP993	Licensed Representative	1, 4
WONG Tze Wai	BNE608	Licensed Representative	6
WONG Yee Man	BNG182	Licensed Representative	1, 2
WONG Yee Shan	BNF823	Licensed Representative	9
WONG Yuen Lee	AFL364	Licensed Representative	1, 4
WONG Yui Wah	AJG600	Licensed Representative	1, 6
WONGSASUTTHIKUL Paitoon	AOF932	Licensed Representative	1
WU Hao	BLU256	Licensed Representative	9
WU Ho Shing	BND652	Licensed Representative	1, 6
WU Jiacheng	BNF716	Licensed Representative	1, 4, 9
WU Lulu	BBJ798	Licensed Representative	1, 4
WU Oumeng	BNF170	Licensed Representative	1, 2, 4
WU Qintian	BMX517	Licensed Representative	1, 4, 6
WU Renhao	APV536	Licensed Representative	1, 4, 9
WU Yan	BMX557	Licensed Representative	4, 9
XIA Yitian	BNB519	Licensed Representative	1, 4, 9
XIANG Ziyuan	BNB431	Licensed Representative	1, 6
XIE Yi	BFZ396	Licensed Representative	1
XIE Yutong	BNC962	Licensed Representative	1, 6
XIN Yanwen	BMV295	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
XIONG Mingda	BNH468	Licensed Representative	1, 4, 9
XIONG Weiqi	BND490	Licensed Representative	1, 4, 9
XU Aijia	BNE886	Licensed Representative	1, 4
XU Pengfei	BNG979	Licensed Representative	1, 6
XU Tianqing	BNG783	Licensed Representative	1, 6
XU Xu	BNH079	Licensed Representative	1, 4
XUE Zhong	BNB838	Licensed Representative	1, 6
YAN Ho Yin	BNH239	Licensed Representative	1
YAN Shengle	BNG383	Licensed Representative	9
YAN Xueying	BNC039	Licensed Representative	1, 6
YANAGI Akira	BNC153	Licensed Representative	1, 6
YANG Anbang	BMU941	Licensed Representative	4, 9
YANG Jianrui	BNH069	Licensed Representative	6
YANG Jie	BMV244	Licensed Representative	1
YANG Linlin	BNC117	Licensed Representative	4
YANG Nan	BNF962	Licensed Representative	1, 4
YANG Peng	BNB909	Licensed Representative	1, 4
YANG Xiaoyu	BJW364	Licensed Representative	9
YARDLEY Stephanie Lee	BNF113	Licensed Representative	1, 4, 9
YAU Ho Yin Horace	BNH224	Licensed Representative	1, 2
YAU Tsz Lok	BNJ327	Licensed Representative	1
YE Jiannan	BMZ639	Licensed Representative	1, 6
YE Shaohua	BNF086	Licensed Representative	1
YE Shuyu	BNH981	Licensed Representative	4, 9
YEUNG Hoi Ki Isabel	BNH084	Licensed Representative	2
YEUNG Kin Ho	APO634	Licensed Representative	1, 2
YEUNG Man Tsit	BMO995	Licensed Representative	9
YEUNG Wing Yan	BNH098	Licensed Representative	1
YIM Wan	ARV925	Licensed Representative	1, 2
YIN Rui	BNF763	Licensed Representative	10
YIP Chi Wing	ADI536	Licensed Representative	1, 2
YONG Wai Fong	BNF187	Licensed Representative	1
YONG Xin Hui	BNB700	Licensed Representative	2, 5
YU Chun Man	BND624	Licensed Representative	6
YU Hualong	BIR712	Licensed Representative	4, 9
YU Jiaxue	BMV656	Licensed Representative	4, 5, 9
YU Jin	BNF959	Licensed Representative	1, 4
YU Kai	AUQ952	Licensed Representative	1, 4, 9
YU Tianjiao	BND781	Licensed Representative	1, 4
YU Xiaoxiao	BNA992	Licensed Representative	1, 6
YU Xingyi	BND641	Licensed Representative	1, 4, 6
YU Yiqi	BND259	Licensed Representative	4
YU Yiu Kuk	BNE457	Licensed Representative	1
YU Zhen	BNA774	Licensed Representative	1, 6
YUAN Mengzhen	BNF377	Licensed Representative	1, 6
YUAN Yuchen	BNH355	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
YUEN Kin Long	BJH245	Licensed Representative	1, 2, 7
YUEN Man Ling	BNG615	Licensed Representative	1, 2
YUEN Sau Yin	BNG517	Licensed Representative	1, 2
YUNG Wing Sin	BNI304	Licensed Representative	1
ZANG Jingying	BNG590	Licensed Representative	1
ZHANG Di	AZC799	Licensed Representative	1, 4, 6
ZHANG Jiabi	BNH188	Licensed Representative	4
ZHANG Jiangfan	BMX541	Licensed Representative	1, 2, 4
ZHANG Jing	BNG657	Licensed Representative	1, 4, 9
ZHANG Lei	BNF391	Licensed Representative	4
ZHANG Lingling	BMT281	Licensed Representative	1
ZHANG Linyue	BNE751	Licensed Representative	1, 6
ZHANG Pengtao	BNH904	Licensed Representative	1
ZHANG Qingyu	BNB378	Licensed Representative	1, 4
ZHANG Shan	BMX550	Licensed Representative	1, 4, 6
ZHANG Tianze	BIR938	Licensed Representative	1
ZHANG Wenrui	BNG658	Licensed Representative	1, 6
ZHANG Xiaohan	BNI542	Licensed Representative	1
ZHANG Xincheng	BNF165	Licensed Representative	1, 2, 4
ZHANG Xueqing	BNC281	Licensed Representative	1, 4
ZHANG Xuting	BNG037	Licensed Representative	1, 2, 9
ZHANG Yanan	BMW893	Licensed Representative	4, 5, 9
ZHANG Yaowu	BNF349	Licensed Representative	4, 9
ZHANG Yi Fei	BNH671	Licensed Representative	9
ZHANG Yibo	BMW437	Licensed Representative	1, 6
ZHANG Yijing	AXZ682	Licensed Representative	1, 6
ZHANG Ying	BJR686	Licensed Representative	4
ZHANG Yiyi	BAT594	Licensed Representative	4, 9
ZHANG Zhen	BNC739	Licensed Representative	1, 2
ZHANG Zhihua	BNC844	Licensed Representative	10
ZHANG Zixiang	BGI750	Licensed Representative	1, 6
ZHAO FEN	BNF120	Licensed Representative	1
ZHAO Ming	BND562	Licensed Representative	1, 4, 9
ZHAO Ninuo	BND609	Licensed Representative	9
ZHAO Ye	BNB421	Licensed Representative	1, 2, 7
ZHAO Yifan	BNG821	Licensed Representative	1, 2
ZHAO Yu	BNG056	Licensed Representative	6
ZHAO Zhongping	BND271	Licensed Representative	4
ZHENG Hening	BND514	Licensed Representative	1, 4, 6
ZHENG Ruxin	BND741	Licensed Representative	2
ZHONG Lei	APQ822	Licensed Representative	1, 4
ZHONG Xiaoling	BGW439	Licensed Representative	10
ZHOU Feiran	BMX756	Licensed Representative	1, 4, 6
ZHOU Kai	AVT474	Licensed Representative	1, 6
ZHOU Ting	BNF666	Licensed Representative	1, 4, 6
ZHOU Weishu	BNF259	Licensed Representative	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
ZHOU Xiaoli	BND518	Licensed Representative	1, 4
ZHOU Yajun	BNE951	Licensed Representative	1, 4, 9
ZHOU Yang	BNH866	Licensed Representative	4
ZHU Jinsong	BNB389	Licensed Representative	4
ZHU Jueying	BNB407	Licensed Representative	1
ZHU Qi	BNB168	Licensed Representative	1, 6
ZHU YI	BNB385	Licensed Representative	10
ZHU Yichen	BNE676	Licensed Representative	1, 6
BIERTUMPEL Jens	BMQ961	Licensed Representative - Responsible Officer	4, 9
BISTRITAEANU Mihai-Florin	BKI701	Licensed Representative - Responsible Officer	1
CHAN Kong Hoi	AGW117	Licensed Representative - Responsible Officer	1
CHANG Hsun Fang	ANP491	Licensed Representative - Responsible Officer	4
CHANG Ming-yu	AGH213	Licensed Representative - Responsible Officer	9
CHAU Richard	ACL796	Licensed Representative - Responsible Officer	1, 2
CHEN Chun-Ying	BME552	Licensed Representative - Responsible Officer	9
CHEUNG Shu Yan	AQM260	Licensed Representative - Responsible Officer	1, 2
CHIDAMBARAM Ganesh	AYE599	Licensed Representative - Responsible Officer	4, 9
CHIN Ching Lan	ACZ592	Licensed Representative - Responsible Officer	1, 2
CHO Pak Keung	ADZ909	Licensed Representative - Responsible Officer	1
CHU Yiu Keung	BHF646	Licensed Representative - Responsible Officer	4, 9
DECOUVELAERE Thomas Alfred Andre	BMW967	Licensed Representative - Responsible Officer	1
DONG Jia	BLQ468	Licensed Representative - Responsible Officer	9
FANG Nan	BBE280	Licensed Representative - Responsible Officer	4, 9
GANDHI Hersh	BKA570	Licensed Representative - Responsible Officer	1, 4
GONG Yilin	BMW183	Licensed Representative - Responsible Officer	4, 9
HSIEH FU CHI	BKB201	Licensed Representative - Responsible Officer	2, 5
HUA Xinghong	BLV920	Licensed Representative - Responsible Officer	1
HUI Cheung Kui	AJX991	Licensed Representative - Responsible Officer	1, 4, 9
IP Ming Chung, Terry	ADJ673	Licensed Representative - Responsible Officer	4, 9
JEYARAJAH Chitranjan	AJF168	Licensed Representative - Responsible Officer	6
KERRIGAN Daniel Burke	BLZ540	Licensed Representative - Responsible Officer	1
KOLZ Stephan Thomas	BND123	Licensed Representative - Responsible Officer	9
KONG Lingguo	BMM553	Licensed Representative - Responsible Officer	1, 4, 9
KWAN Man Fai, Louis	ADG156	Licensed Representative - Responsible Officer	4, 9
KWAN Sin Hang	AVF586	Licensed Representative - Responsible Officer	1, 4
KWOK Man Yu	ALY348	Licensed Representative - Responsible Officer	1, 3
LAI Ting Ngai, Annie	AKP262	Licensed Representative - Responsible Officer	9
LEE Yu Chuan	AGH978	Licensed Representative - Responsible Officer	9
LEE Yun Wing, Aaron	AJC168	Licensed Representative - Responsible Officer	4, 9
LIANG Zhengping	BMW182	Licensed Representative - Responsible Officer	4, 9
LIN Lichiang	BMM586	Licensed Representative - Responsible Officer	4, 9
LIU Dongyue	BLU933	Licensed Representative - Responsible Officer	4, 9
LO Shuk Kwan, Alison	AOS013	Licensed Representative - Responsible Officer	1, 4, 9
LOO Kwok Wing	AAV946	Licensed Representative - Responsible Officer	4, 9
LU Zi-Yong	BMM588	Licensed Representative - Responsible Officer	4, 9
LUO Jian	BGE897	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
MING Mei	BMF179	Licensed Representative - Responsible Officer	9
MUI Chung Hong	ATE783	Licensed Representative - Responsible Officer	1, 4
OH In Hwan	BMV978	Licensed Representative - Responsible Officer	1, 2, 4
SIN Oscar Kwok Leung	AVL136	Licensed Representative - Responsible Officer	4, 9
SJAHSAM Roushana	AJB099	Licensed Representative - Responsible Officer	1, 9
TAI Mia	BLQ462	Licensed Representative - Responsible Officer	9
TAN Chee Hoe	BMV620	Licensed Representative - Responsible Officer	1
TAN Zi Lin, Vera	ANH747	Licensed Representative - Responsible Officer	9
THAI Thanh Hung	ALB240	Licensed Representative - Responsible Officer	4, 9
TSANG Shu Ping	ASI417	Licensed Representative - Responsible Officer	1
VAN EEK Richard Paul Christiaan	APO588	Licensed Representative - Responsible Officer	1, 2
WALSH Ashley Paul Jeffery James	BDX251	Licensed Representative - Responsible Officer	1, 2, 7
WANG Bangyi	BHY291	Licensed Representative - Responsible Officer	4, 9
WANG Chen	AKO788	Licensed Representative - Responsible Officer	4, 9
WANG Xiaohua	ARS638	Licensed Representative - Responsible Officer	1, 4
WONG Hoi Wing	BMX627	Licensed Representative - Responsible Officer	1, 4, 6
WU Yanlin	BMQ608	Licensed Representative - Responsible Officer	1, 4
XU Gongmei	AVL226	Licensed Representative - Responsible Officer	4, 9
YANG Lijun	AXP591	Licensed Representative - Responsible Officer	6
YAP Chee Ping	ATH519	Licensed Representative - Responsible Officer	1
ZEE John	AAE260	Licensed Representative - Responsible Officer	1, 2, 3, 4, 5, 9
ZHANG Birong	BEZ284	Licensed Representative - Responsible Officer	4, 9
ZHANG Honghe	BMQ612	Licensed Representative - Responsible Officer	1, 4
ZHANG Yanbai	APF034	Licensed Representative - Responsible Officer	1, 3
ZHANG You	AMF141	Licensed Representative - Responsible Officer	9
ZHAO Mingyue	BAE020	Licensed Representative - Responsible Officer	4, 9
ZHENG Weida	ARI971	Licensed Representative - Responsible Officer	3
AHN Hyung Tae	BDZ932	Licensed Representative (Provisional Licence)	1, 4, 6
ALEXANDER Laurence	BNL492	Licensed Representative (Provisional Licence)	4
ALLISON Andrew James	BNJ950	Licensed Representative (Provisional Licence)	9
AMIN Biren Navnit	BNL370	Licensed Representative (Provisional Licence)	4
AN Bin	AUN707	Licensed Representative (Provisional Licence)	9
AN Tianqiong Angel	BND227	Licensed Representative (Provisional Licence)	1, 2
ANG King Lun	BNL406	Licensed Representative (Provisional Licence)	1, 2
ATASSI Samir	AHX544	Licensed Representative (Provisional Licence)	1, 2, 4
AU YEUNG Ting	BNL447	Licensed Representative (Provisional Licence)	1
AW Adrian Matthew	AWY725	Licensed Representative (Provisional Licence)	1, 4
BAI Jingya	BNF409	Licensed Representative (Provisional Licence)	1, 4, 6
BAO Ding	BNF390	Licensed Representative (Provisional Licence)	1, 4, 6
BAO Jing	BNK500	Licensed Representative (Provisional Licence)	1
BARAM Geoffrey Ian	ANA198	Licensed Representative (Provisional Licence)	1, 4, 6
BAUER Brandon Charles	BNI755	Licensed Representative (Provisional Licence)	1, 6
BHATTACHARYYA Utathya	BNI298	Licensed Representative (Provisional Licence)	9
BIER Gabriele	BNI506	Licensed Representative (Provisional Licence)	6
BOGORICIN OLIVEIRA Marcio	BNL128	Licensed Representative (Provisional Licence)	1
BRAILEY Simon Geoffrey	AVD351	Licensed Representative (Provisional Licence)	1, 4


ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
CAI Xiaohua	BNI772	Licensed Representative (Provisional Licence)	1, 6
CALLEGARI Julio Cesar	BND443	Licensed Representative (Provisional Licence)	4, 5, 9
CAO YING	BNI566	Licensed Representative (Provisional Licence)	1, 4
CAO Yutian	BNJ876	Licensed Representative (Provisional Licence)	6
CARVALHO FURQUIM Lucas Henrique	BNJ260	Licensed Representative (Provisional Licence)	2
CHAN Amanda Denise Yiying	BNH872	Licensed Representative (Provisional Licence)	1, 6
CHAN Bonnie See Wa	BNK872	Licensed Representative (Provisional Licence)	1
CHAN Cheuk Ki Jacky	AOE774	Licensed Representative (Provisional Licence)	1
CHAN Chi Kiu	BAP128	Licensed Representative (Provisional Licence)	1
CHAN Ho Hin	BNI501	Licensed Representative (Provisional Licence)	6
CHAN Ho Tang Horton	BNI483	Licensed Representative (Provisional Licence)	1, 6
CHAN Hon Hoi	BNJ577	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHAN Hop Keung	AND455	Licensed Representative (Provisional Licence)	1
CHAN Ka Chun	BNK737	Licensed Representative (Provisional Licence)	6
CHAN Kin Ho	BNK084	Licensed Representative (Provisional Licence)	1
CHAN Kristy	BNK027	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Lok Yee	BNJ943	Licensed Representative (Provisional Licence)	4
CHAN Matthew Wing Tou	BGH189	Licensed Representative (Provisional Licence)	1, 2
CHAN Pak Yeung Edwin	BGB569	Licensed Representative (Provisional Licence)	1, 4
CHAN Siu Ling	BDL628	Licensed Representative (Provisional Licence)	1, 2
CHAN Sze Man	BNK169	Licensed Representative (Provisional Licence)	1
CHAN Tsz Him	BNJ067	Licensed Representative (Provisional Licence)	1, 4
CHAN Tsz Wang Edward	BNJ076	Licensed Representative (Provisional Licence)	4
CHAN Wah Kung	BNI492	Licensed Representative (Provisional Licence)	1, 2
CHAN Zhen Wei	BGH259	Licensed Representative (Provisional Licence)	1, 2, 9
CHAN Zi Wei, Gerald	BAH851	Licensed Representative (Provisional Licence)	6
CHANG Chiung-Wen	AOE329	Licensed Representative (Provisional Licence)	1
CHE Sen Hei	BNI034	Licensed Representative (Provisional Licence)	1, 6
CHEN Chen Kuo	BNJ549	Licensed Representative (Provisional Licence)	1, 4
CHEN Darick Hongwei	BNI547	Licensed Representative (Provisional Licence)	1, 6
CHEN Hong Chuan	BNJ353	Licensed Representative (Provisional Licence)	1, 4
CHEN Li	BMV473	Licensed Representative (Provisional Licence)	1, 4
CHEN Lihan	BNJ668	Licensed Representative (Provisional Licence)	1, 4
CHEN Qian	BNI820	Licensed Representative (Provisional Licence)	1, 6
CHEN Runhua	BNG352	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Tianyi	BNE736	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Tianyun	BNI800	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Ting	BNI312	Licensed Representative (Provisional Licence)	1, 2
CHEN Wenbo	BNK779	Licensed Representative (Provisional Licence)	1, 4
CHEN Xi	BDA727	Licensed Representative (Provisional Licence)	1
CHEN Xiaohan	BNI764	Licensed Representative (Provisional Licence)	1, 2
CHEN Xin	AHR481	Licensed Representative (Provisional Licence)	9
CHEN Xueyao	BNH168	Licensed Representative (Provisional Licence)	1, 6
CHEN Yifei	BNJ939	Licensed Representative (Provisional Licence)	1, 2
CHEN Zeen	AWR548	Licensed Representative (Provisional Licence)	1, 4
CHENG Bryden Tsz Him	BNH982	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
CHEUNG De	BNL445	Licensed Representative (Provisional Licence)	2
CHEUNG Brian Tang Ching	BNI775	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Hang	BNG551	Licensed Representative (Provisional Licence)	1
CHEUNG Hang Lok	AWK552	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Hoi Yee	BNG810	Licensed Representative (Provisional Licence)	2
CHEUNG Ka Yue	BNL368	Licensed Representative (Provisional Licence)	1
CHEUNG Kai Ho	BNI610	Licensed Representative (Provisional Licence)	1, 2, 9
CHEUNG Kai Hung	BGL610	Licensed Representative (Provisional Licence)	1, 2
CHEUNG King Lam	BMO728	Licensed Representative (Provisional Licence)	1
CHEUNG Kiu To	BNJ801	Licensed Representative (Provisional Licence)	2
CHEUNG Ngai Yin	BNK571	Licensed Representative (Provisional Licence)	10
CHEUNG Siu Man	AED162	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Sum Ping, Jeremy	BNH612	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Tsz Lam	BNJ550	Licensed Representative (Provisional Licence)	1, 4
CHEW Boon Lian	BNL163	Licensed Representative (Provisional Licence)	1, 4, 6
CHIU Kai Hei	BNL469	Licensed Representative (Provisional Licence)	6
CHO Kai Man	BBQ522	Licensed Representative (Provisional Licence)	1
CHO Yeong Jun	BNF062	Licensed Representative (Provisional Licence)	1, 4, 6
CHOI Hok Chung	BNI037	Licensed Representative (Provisional Licence)	1
CHOW Chak Shing	AGG820	Licensed Representative (Provisional Licence)	1, 4
CHOW Sheila Sho Nan	AQL403	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Wai Ho	BNJ103	Licensed Representative (Provisional Licence)	1
CHOY Hoi Yin	BNI851	Licensed Representative (Provisional Licence)	1
CHU Kai Lun	BNJ736	Licensed Representative (Provisional Licence)	1
CHU Ming Hing Kenny	BNI995	Licensed Representative (Provisional Licence)	1, 4
CHUI Yee Ching	BNL227	Licensed Representative (Provisional Licence)	3
CHUNG Kai Cheong	AZL636	Licensed Representative (Provisional Licence)	1, 6
CHUNG Long Fung	BNI578	Licensed Representative (Provisional Licence)	1, 4, 6
CLARK Matthew John	BNL478	Licensed Representative (Provisional Licence)	1, 4
COHEN Eyal	BFS116	Licensed Representative (Provisional Licence)	9
CONSTANTINIDES Pantelis Ioannis	BNI493	Licensed Representative (Provisional Licence)	1, 6
DAWE Christopher William	BNJ131	Licensed Representative (Provisional Licence)	1
DEFRIES Paul Antony	ACA488	Licensed Representative (Provisional Licence)	4, 5, 9
DENG Qitong	BNJ835	Licensed Representative (Provisional Licence)	1, 2
DING Jiechao	BNJ567	Licensed Representative (Provisional Licence)	1, 9
DONG Fanghu	BNH846	Licensed Representative (Provisional Licence)	1, 2
DONG Jianqiang	BNG685	Licensed Representative (Provisional Licence)	1
DONG Yuning	BNJ160	Licensed Representative (Provisional Licence)	1
DOWNES Larissa Anne	AGI658	Licensed Representative (Provisional Licence)	4
DU Xiyuan	BNG836	Licensed Representative (Provisional Licence)	1, 4, 6
DU Yuantao	BNH040	Licensed Representative (Provisional Licence)	4
DUAN Libo	BNH868	Licensed Representative (Provisional Licence)	1, 2
DUAN Sinong	BNI585	Licensed Representative (Provisional Licence)	1
FAN Long	BNM963	Licensed Representative (Provisional Licence)	1, 6
FANG Chuang Yuan	BNK823	Licensed Representative (Provisional Licence)	9
FANG Zheqi	BNG950	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
FLUET Emily Facchina	BN1118	Licensed Representative (Provisional Licence)	1, 2
FRANJOU Lionel Claude Pascal	AQE634	Licensed Representative (Provisional Licence)	1
FU Eugene Chun Sum	BNJ548	Licensed Representative (Provisional Licence)	1, 6
FU Hanbo	BNJ330	Licensed Representative (Provisional Licence)	6
FU Kexin	BN1539	Licensed Representative (Provisional Licence)	1, 4
FU Shaohua	BNL576	Licensed Representative (Provisional Licence)	1, 6
GAO Liyun	BAB050	Licensed Representative (Provisional Licence)	1, 2
GAO Mengyang	BNJ100	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Xing	BGI426	Licensed Representative (Provisional Licence)	4, 9
GAO Yitong	BNJ285	Licensed Representative (Provisional Licence)	9
GARG Shivani	BNC812	Licensed Representative (Provisional Licence)	1, 2
GARUDE Alankar	BIY256	Licensed Representative (Provisional Licence)	4
GE Yiran	BNM263	Licensed Representative (Provisional Licence)	1, 4
GOH WEE LIAM	BNK731	Licensed Representative (Provisional Licence)	1, 4, 9
GONG Chenyu	BMX082	Licensed Representative (Provisional Licence)	1, 4, 6
GOORANAH Avrish	BNG841	Licensed Representative (Provisional Licence)	2, 5
GREEN Roni Simon	BNL480	Licensed Representative (Provisional Licence)	9
GROMAK Andrey	BNJ916	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Jiawei	BNK699	Licensed Representative (Provisional Licence)	1, 4
GUO Keli	BNF148	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Zhang	BNL519	Licensed Representative (Provisional Licence)	1
GUPTA Anshuman	BNH991	Licensed Representative (Provisional Licence)	4
HA Jae uk	BNJ329	Licensed Representative (Provisional Licence)	1, 4, 6
HAI Rihan	BNI902	Licensed Representative (Provisional Licence)	1, 2
HAN Fang	BNJ538	Licensed Representative (Provisional Licence)	1, 2
HAN Xu	BNC912	Licensed Representative (Provisional Licence)	1, 2
HAN Zhixiao	BJM473	Licensed Representative (Provisional Licence)	1, 4
HANEY Charlotte Anne	BNH999	Licensed Representative (Provisional Licence)	1, 4
HE Letian	BNH135	Licensed Representative (Provisional Licence)	1, 2
HE Liu	BNL217	Licensed Representative (Provisional Licence)	1, 6
HE Yangyang	BNI497	Licensed Representative (Provisional Licence)	1, 6
HE Yanqing	BNL377	Licensed Representative (Provisional Licence)	4
HO Darren King Man	BNJ839	Licensed Representative (Provisional Licence)	4, 9
HO Gi Lok, Sindy	BNH899	Licensed Representative (Provisional Licence)	1
HO Ka Yu	BNH508	Licensed Representative (Provisional Licence)	1
HO Tsz Yeung	BCD049	Licensed Representative (Provisional Licence)	1, 4, 9
HO Wai Hin	BNH576	Licensed Representative (Provisional Licence)	6
HO Wai Man	BNI773	Licensed Representative (Provisional Licence)	1, 2
HO Wing Shan	AED583	Licensed Representative (Provisional Licence)	1, 4
HOFFMANN Sebastian	AY1538	Licensed Representative (Provisional Licence)	4
HONG Junchan	BLO695	Licensed Representative (Provisional Licence)	6
HONG Yufan	BNK793	Licensed Representative (Provisional Licence)	2
HOU QIANSHENG	BNH473	Licensed Representative (Provisional Licence)	1, 4, 6
HSIAO Kai Cheung	BNJ278	Licensed Representative (Provisional Licence)	1, 2
HU Dawei	BNI563	Licensed Representative (Provisional Licence)	1, 6
HU Yuhao	BNJ944	Licensed Representative (Provisional Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
HUANG Haoya	BNL472	Licensed Representative (Provisional Licence)	1
HUANG Yang	BNH452	Licensed Representative (Provisional Licence)	4
HUANG Yue	BDA207	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Yunlu	BNI811	Licensed Representative (Provisional Licence)	1, 2, 7
HUI Ka Yiu	BFA961	Licensed Representative (Provisional Licence)	9
HUI Ling Ling	BJN940	Licensed Representative (Provisional Licence)	1
HUI Tsz Chun	BNI600	Licensed Representative (Provisional Licence)	1
HUNG Ka Hei	BNI021	Licensed Representative (Provisional Licence)	1, 4
HUNG Li-chin	BNH858	Licensed Representative (Provisional Licence)	1, 4, 9
HUNG Pui Lam	BNN072	Licensed Representative (Provisional Licence)	1
HUNT David Anthony John	BNH782	Licensed Representative (Provisional Licence)	1, 2
HUO Zheng	BNJ908	Licensed Representative (Provisional Licence)	1, 6
IP Tsz Ying	BNJ661	Licensed Representative (Provisional Licence)	1
ITO Tatsuhiko	BNG744	Licensed Representative (Provisional Licence)	4
JAIN Nikhil	BNJ259	Licensed Representative (Provisional Licence)	1, 2, 7
JAJU Vipul	BNH794	Licensed Representative (Provisional Licence)	9
JALIF Jasmine	BNH474	Licensed Representative (Provisional Licence)	1, 4
JENKINSON Patrick John	BNH921	Licensed Representative (Provisional Licence)	1, 2
JIA Lixue	BNJ740	Licensed Representative (Provisional Licence)	6
JIA Shengbai	BNF817	Licensed Representative (Provisional Licence)	1, 4, 6
JIA Shuzhen	BNG459	Licensed Representative (Provisional Licence)	1, 4, 6
JIAN Carson Shaoyang	BNF871	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Mingbo	BNL437	Licensed Representative (Provisional Licence)	1
JIANG Ran	BNL365	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Yinwen	BBE590	Licensed Representative (Provisional Licence)	1
JIE Wenting	BNI344	Licensed Representative (Provisional Licence)	1, 4
JIN Bang	BNJ982	Licensed Representative (Provisional Licence)	4
JIN Biao Alec	ARW137	Licensed Representative (Provisional Licence)	9
JIN Changyu	BNH510	Licensed Representative (Provisional Licence)	1, 6
JIN Xin	BNH250	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Yanzhe	BNK527	Licensed Representative (Provisional Licence)	1, 2
JUNG Mohammad Abdullah Sahir Bin	BNH569	Licensed Representative (Provisional Licence)	1, 2
KANG Suhgoo	AXB367	Licensed Representative (Provisional Licence)	1, 4, 6
KEUNG Yee Yee Lillian	BNH562	Licensed Representative (Provisional Licence)	1
KHANDELWAL Ankit	BNH033	Licensed Representative (Provisional Licence)	1, 2
KIM Jeong Yoon	BHJ637	Licensed Representative (Provisional Licence)	1, 9
KIM Joon Young	BNJ268	Licensed Representative (Provisional Licence)	1, 4
KIM Seung Kwang	BNJ827	Licensed Representative (Provisional Licence)	1, 2
KIM Soyeon	BNJ597	Licensed Representative (Provisional Licence)	1, 4, 6
KNIGHT Richard James Henry	BNH001	Licensed Representative (Provisional Licence)	1
KOH Youngjun	BNJ133	Licensed Representative (Provisional Licence)	4
KONG Heng Siong	BNH125	Licensed Representative (Provisional Licence)	4
KONG Nien Sian	BNK733	Licensed Representative (Provisional Licence)	4
KOSOWSKY Tamara Terri	BNI737	Licensed Representative (Provisional Licence)	1
KRUGER James Roderick Lockhart	AOX034	Licensed Representative (Provisional Licence)	1, 6
KUI Siu Yi	AOQ777	Licensed Representative (Provisional Licence)	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
KWAN Kai Lung	BNI315	Licensed Representative (Provisional Licence)	3
KWOK Chun Yeung	BNH640	Licensed Representative (Provisional Licence)	1, 6
KWOK Hang Kin	BNN101	Licensed Representative (Provisional Licence)	1, 2
KWOK Hon Cheung	BNH796	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Tsz Wan	BNL412	Licensed Representative (Provisional Licence)	1, 2
LAI Ming Hon, Justin	AWY011	Licensed Representative (Provisional Licence)	9
LAM CHEUK YING IVY	BNI988	Licensed Representative (Provisional Licence)	10
LAM Ho Lim	BNH278	Licensed Representative (Provisional Licence)	1, 2
LAM Ka Yiu	BNL134	Licensed Representative (Provisional Licence)	1, 4
LAM On Ying	BNJ388	Licensed Representative (Provisional Licence)	1, 4
LAM Tsz Fung	BNK300	Licensed Representative (Provisional Licence)	1
LAM Wai Shun Wilson	BND802	Licensed Representative (Provisional Licence)	10
LAM Yuet Kelly	BNJ683	Licensed Representative (Provisional Licence)	4, 9
LAU Kwan Yin	BNI434	Licensed Representative (Provisional Licence)	1
LAU Tung Tung, Christy	BNK697	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Wing Chung	BNI132	Licensed Representative (Provisional Licence)	9
LAW Hoi Kong	BNH635	Licensed Representative (Provisional Licence)	1, 6
LAW Tin Wai Tiffany	BNI686	Licensed Representative (Provisional Licence)	1, 6
LAW Wai Han	BNH675	Licensed Representative (Provisional Licence)	1, 4
LEE Chin Hang	BNI825	Licensed Representative (Provisional Licence)	6
LEE James	BNI691	Licensed Representative (Provisional Licence)	4
LEE John	AYC035	Licensed Representative (Provisional Licence)	1, 6
LEE Mei Lam Nicole	BNG434	Licensed Representative (Provisional Licence)	1, 2
LEE Ming Han	BNL589	Licensed Representative (Provisional Licence)	4, 9
LEE Nick Andre Pei Li	BNJ326	Licensed Representative (Provisional Licence)	1, 2
LEE Samuel See Wai	BIH474	Licensed Representative (Provisional Licence)	1, 4
LEE Tak Law	BNL407	Licensed Representative (Provisional Licence)	1, 2
LEE Tik Wa	AFD428	Licensed Representative (Provisional Licence)	1
LEE Tsz Ho	BNH517	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Yuet, Eunice	BNJ674	Licensed Representative (Provisional Licence)	1, 4
LEI Liping	BNJ405	Licensed Representative (Provisional Licence)	1
LEUNG Francis Feng Hua	AQI797	Licensed Representative (Provisional Licence)	1
LEUNG Hing Tak	BNI150	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ho Wang	BFX358	Licensed Representative (Provisional Licence)	1, 2
LEUNG Ka Wai	BNG687	Licensed Representative (Provisional Licence)	9
LEUNG Tsun Yin	BNH641	Licensed Representative (Provisional Licence)	1
LI Alan Meng	BNL366	Licensed Representative (Provisional Licence)	1, 4, 6
LI Chit Hon	BNL479	Licensed Representative (Provisional Licence)	4
LI David Sijun	BEW621	Licensed Representative (Provisional Licence)	6
LI Gen	BNJ096	Licensed Representative (Provisional Licence)	1, 4
LI Huiqun	BNH363	Licensed Representative (Provisional Licence)	4
LI JIE	BNI490	Licensed Representative (Provisional Licence)	1, 6
LI Jiewei	BNL142	Licensed Representative (Provisional Licence)	1
LI Mengjie	BNG397	Licensed Representative (Provisional Licence)	4, 9
LI Mengzhu	BNM966	Licensed Representative (Provisional Licence)	1, 6
LI Ming-Chin	BNH614	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
LI Mingyuan	BNH924	Licensed Representative (Provisional Licence)	1, 4, 6
LI Na	BNJ843	Licensed Representative (Provisional Licence)	1, 4, 6
LI Peiyu	BNJ144	Licensed Representative (Provisional Licence)	1, 2, 4
LI Shaobo	BNI705	Licensed Representative (Provisional Licence)	1, 6
LI Shilin	BNJ940	Licensed Representative (Provisional Licence)	1
LI Shiu Yan	BNJ008	Licensed Representative (Provisional Licence)	1, 6
LI Siying	BHU352	Licensed Representative (Provisional Licence)	1
LI Xiao	BBR333	Licensed Representative (Provisional Licence)	9
LI Xinye	BNG674	Licensed Representative (Provisional Licence)	1, 2
LI Xuetong	BNJ561	Licensed Representative (Provisional Licence)	1
LI Yi	BNJ999	Licensed Representative (Provisional Licence)	1, 6
LI Yidian	BNJ737	Licensed Representative (Provisional Licence)	4
LI Ying	BEJ773	Licensed Representative (Provisional Licence)	1
LI Yishan	BNK001	Licensed Representative (Provisional Licence)	4
LI Zhuoqing	BNJ129	Licensed Representative (Provisional Licence)	1, 4
LI Zicong	BNK081	Licensed Representative (Provisional Licence)	1, 6
LI Zining	BNL590	Licensed Representative (Provisional Licence)	1, 4, 9
LIANG Shiyuan	BNJ578	Licensed Representative (Provisional Licence)	9
LIANG Wei	BNJ850	Licensed Representative (Provisional Licence)	10
LIANG Zun	BNL470	Licensed Representative (Provisional Licence)	1, 6
LIAO Michael Jia Jun	BNJ694	Licensed Representative (Provisional Licence)	2, 5
LIN Ang-Chi	BNJ382	Licensed Representative (Provisional Licence)	4
LIN Binbin	BFY985	Licensed Representative (Provisional Licence)	1, 6
LIN Hsinyu	BNJ513	Licensed Representative (Provisional Licence)	9
LIN Shaoyu	BNI916	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Zhongqiang	BND615	Licensed Representative (Provisional Licence)	1, 4, 6
LING Da	AYG050	Licensed Representative (Provisional Licence)	4, 9
LING Gabriel Shui Cheung	BNL459	Licensed Representative (Provisional Licence)	10
LIU Chang	AOJ599	Licensed Representative (Provisional Licence)	6
LIU Changtai	BNM900	Licensed Representative (Provisional Licence)	1, 4
LIU Chuen Fai Jeffy	BNL362	Licensed Representative (Provisional Licence)	6
LIU Feiyang	BNJ990	Licensed Representative (Provisional Licence)	1, 6
LIU Guanqi	BNL452	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Hao	BNH973	Licensed Representative (Provisional Licence)	1, 4
LIU Jiani	BNJ556	Licensed Representative (Provisional Licence)	1, 4
LIU Jiongyu	BNK627	Licensed Representative (Provisional Licence)	6
LIU Majin	BNK629	Licensed Representative (Provisional Licence)	1, 2
LIU Mengxiao	BNI018	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LIU Muzi	BNJ935	Licensed Representative (Provisional Licence)	1, 6
LIU Qing	BGH970	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Wan Yi Winnie	BNL118	Licensed Representative (Provisional Licence)	1, 2
LIU Xunwu	BNJ308	Licensed Representative (Provisional Licence)	6
LIU Yicheng	BNI544	Licensed Representative (Provisional Licence)	1, 6
LIU Yifei	BNH175	Licensed Representative (Provisional Licence)	1, 6
LIU Ying	BNI047	Licensed Representative (Provisional Licence)	10
LO Shuk Kwan, Alison	AOS013	Licensed Representative (Provisional Licence)	1, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
LONG Siyu	BNL068	Licensed Representative (Provisional Licence)	1, 4, 6
LU Hao	BNG077	Licensed Representative (Provisional Licence)	9
LUI Hoi Ching	BNH546	Licensed Representative (Provisional Licence)	9
LUI Yau Lok	BNH806	Licensed Representative (Provisional Licence)	1
LUO Di	BHH206	Licensed Representative (Provisional Licence)	1, 4, 9
LUO Juan	AWQ261	Licensed Representative (Provisional Licence)	1, 4
LUO Ruoyun	BNI553	Licensed Representative (Provisional Licence)	1, 6
LUO Xiaochan	AZK018	Licensed Representative (Provisional Licence)	9
LUO Zhenyu	AHL732	Licensed Representative (Provisional Licence)	4, 9
LYU Peipei	BNG845	Licensed Representative (Provisional Licence)	1, 4, 6
LYU Yiou	BNJ029	Licensed Representative (Provisional Licence)	1, 4, 6
MA Kit Sang, Jason	BAZ553	Licensed Representative (Provisional Licence)	1, 4
MA Pui Wah	BNI645	Licensed Representative (Provisional Licence)	1, 4
MAK Ka Ho, Matthew	BNH922	Licensed Representative (Provisional Licence)	1, 4, 6
MAK Ming Hoi	BJR444	Licensed Representative (Provisional Licence)	1
MAK Yung Pan Andrew	BMI336	Licensed Representative (Provisional Licence)	1, 4, 9
MALIK Osman Riaz	ARD259	Licensed Representative (Provisional Licence)	1, 4
MAN Ka Hei	BNG160	Licensed Representative (Provisional Licence)	1
MAO Zhengguang	BNG437	Licensed Representative (Provisional Licence)	1, 2
MARAI Christopher Nicholas John	BNH699	Licensed Representative (Provisional Licence)	4
MARWAT Umair Khan	BNH989	Licensed Representative (Provisional Licence)	1, 2, 7
MAXTON Ellen Grace	BIN464	Licensed Representative (Provisional Licence)	1, 2
MEI Mengxue	BNB416	Licensed Representative (Provisional Licence)	1, 4, 6
MODI Alok	BNF103	Licensed Representative (Provisional Licence)	1, 2
MOK Wing Sze	BNI355	Licensed Representative (Provisional Licence)	1, 2
MUKHAMETSHIN Anvar	BND402	Licensed Representative (Provisional Licence)	1
NAN Wanjiao	BNJ752	Licensed Representative (Provisional Licence)	1, 4, 6
NG Ka Wai	APO972	Licensed Representative (Provisional Licence)	1, 4
NG Kwan Ho John	BNI579	Licensed Representative (Provisional Licence)	1, 4, 6
NG Po Ling	AY1107	Licensed Representative (Provisional Licence)	10
NG Tsz Chung	BNI417	Licensed Representative (Provisional Licence)	1
NG Tzu Ying Adrian	BCG135	Licensed Representative (Provisional Licence)	4, 9
NG Wai Yin Hailey	BNH346	Licensed Representative (Provisional Licence)	1
NG Wang Leung	AEJ671	Licensed Representative (Provisional Licence)	1
OHASHI Kenzo	BNJ771	Licensed Representative (Provisional Licence)	1
OHATA Tomonori	BNK090	Licensed Representative (Provisional Licence)	4
OISMAYO Hafid	BNI206	Licensed Representative (Provisional Licence)	1, 2, 7
OSEKITA Folasefeoluwa Ayoifeoluwape Oluwamisimi	BNL373	Licensed Representative (Provisional Licence)	1, 2
PAN Yongyong	BNK769	Licensed Representative (Provisional Licence)	1, 4
PANG Kun	BNH170	Licensed Representative (Provisional Licence)	1, 6
PANG Lai Chi	AVV215	Licensed Representative (Provisional Licence)	1, 4, 6
PANG Shuo	BNJ512	Licensed Representative (Provisional Licence)	4
PANG Wai Yuen, Marvin	AAI320	Licensed Representative (Provisional Licence)	1, 2
PARK Eun Young	AVT464	Licensed Representative (Provisional Licence)	1, 4
PARK Joonkyung	BNH164	Licensed Representative (Provisional Licence)	1, 6
PATEL Pinakin Kantilal	BBG312	Licensed Representative (Provisional Licence)	4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
PHAN Nang Dat	BNM909	Licensed Representative (Provisional Licence)	1, 2
PICCOLO Martina	BNJ738	Licensed Representative (Provisional Licence)	1
PLESSER Thomas	BNG481	Licensed Representative (Provisional Licence)	1, 6
PONG Shan Shan, Sandra	BNI528	Licensed Representative (Provisional Licence)	1, 2
POON Ha Fung	AFK090	Licensed Representative (Provisional Licence)	1
PRANOTO Malvin Wisnuyudha	BNH736	Licensed Representative (Provisional Licence)	1
QI Jiali	BNI023	Licensed Representative (Provisional Licence)	4
QI Xin	AWL701	Licensed Representative (Provisional Licence)	9
QI Yujie	BNJ553	Licensed Representative (Provisional Licence)	1
QIAN Chenhao	BNI093	Licensed Representative (Provisional Licence)	1, 2, 7
QU Jiaxin	BNJ302	Licensed Representative (Provisional Licence)	1, 6
QU Yuying	BNM223	Licensed Representative (Provisional Licence)	1, 2
REN Peiran	BNI275	Licensed Representative (Provisional Licence)	1
REN Siyuan	BND163	Licensed Representative (Provisional Licence)	1, 4, 6
RIBEIRO Mauricio Ferreira Meira	AUC957	Licensed Representative (Provisional Licence)	1, 4
ROBINE Charles-Antoine, Marie, Godfroy	ATC945	Licensed Representative (Provisional Licence)	1, 2
ROBSON Neil Christopher	BNI324	Licensed Representative (Provisional Licence)	1
ROSLYN Marshall David	BNL036	Licensed Representative (Provisional Licence)	1, 6
ROY Moushumi	BNG351	Licensed Representative (Provisional Licence)	2
RUBIO MOLINA PRADOS Francisco Eugenio	BNH339	Licensed Representative (Provisional Licence)	9
SAADANE Mohammed Fatih Zineddine	BNJ831	Licensed Representative (Provisional Licence)	1, 2
SAMI Muhammad Talha	BNI727	Licensed Representative (Provisional Licence)	1, 2
SANG Haoran	BNH925	Licensed Representative (Provisional Licence)	1, 4, 6
SATO Takashi	BNJ949	Licensed Representative (Provisional Licence)	1
SEE Kit Long	APY536	Licensed Representative (Provisional Licence)	1, 2
SESHASAYEE Aadit	AQZ618	Licensed Representative (Provisional Licence)	1, 4
SHAO Weixiong	BNG597	Licensed Representative (Provisional Licence)	1, 2
SHE Huanqi	BNJ748	Licensed Representative (Provisional Licence)	1
SHEN James Sun	BNH100	Licensed Representative (Provisional Licence)	1, 4
SHEN Jun	BNJ542	Licensed Representative (Provisional Licence)	1, 6
SHEN Le	BNH896	Licensed Representative (Provisional Licence)	4
SHEN Luqing	BNL372	Licensed Representative (Provisional Licence)	4
SHU Han	BKY918	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Yinglan	BNJ980	Licensed Representative (Provisional Licence)	4
SIM Seong Leol	BNK488	Licensed Representative (Provisional Licence)	1, 2
SINGH Nidhi	BNI914	Licensed Representative (Provisional Licence)	1
SINGH Santosh Kumar	BNG997	Licensed Representative (Provisional Licence)	4
SO Pak Hay, Pascal	BNH146	Licensed Representative (Provisional Licence)	1, 2
SONG Fang	BNI481	Licensed Representative (Provisional Licence)	1, 6
SONG Hao	BNH693	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Yebo	BHC278	Licensed Representative (Provisional Licence)	1, 2, 7
STANLEY Thomas Edward	BNI065	Licensed Representative (Provisional Licence)	1, 2
SU Zirui	BNH918	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Bijun	BNG808	Licensed Representative (Provisional Licence)	1, 2, 7
SUN Ge	BNH009	Licensed Representative (Provisional Licence)	4, 9
SUN Lu	BNH976	Licensed Representative (Provisional Licence)	1, 4, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
SUN Qianya	BNH306	Licensed Representative (Provisional Licence)	1, 2
SUN Siwen	BNG843	Licensed Representative (Provisional Licence)	1, 4, 6
TALWAR Rohan	BNL464	Licensed Representative (Provisional Licence)	6
TAM Jun Yuan Matheu	BNH816	Licensed Representative (Provisional Licence)	1, 2
TAM Pui Chi	AFT247	Licensed Representative (Provisional Licence)	4, 9
TAM Tsz Cheong	BNK220	Licensed Representative (Provisional Licence)	1, 4
TAN Rowan Jin Sen	BNJ152	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Su Wen	BNL477	Licensed Representative (Provisional Licence)	1
TAN Tian	BNJ761	Licensed Representative (Provisional Licence)	1, 4
TANG Keyin	BNH660	Licensed Representative (Provisional Licence)	1
TANG Kwan Pang	BNL243	Licensed Representative (Provisional Licence)	1
TANG Long Yin	BNL491	Licensed Representative (Provisional Licence)	4
TANG Sai Chung	BBE593	Licensed Representative (Provisional Licence)	1, 2
TAY Hui Xin	BMV799	Licensed Representative (Provisional Licence)	1, 4
TERADA Hiroyuki	BNG996	Licensed Representative (Provisional Licence)	4
THAKKER Mehul	BNH696	Licensed Representative (Provisional Licence)	4
THOMAS Tristan Roland France	BND765	Licensed Representative (Provisional Licence)	1, 2
TSAI Terng Luen	BNH464	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Sik Yue	BNH617	Licensed Representative (Provisional Licence)	1, 2
TSE Hong Un, Rosita	ADI468	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Kit Yuk	ATO410	Licensed Representative (Provisional Licence)	1, 4
TSE Tsz Kwan	BNJ328	Licensed Representative (Provisional Licence)	1, 6
TSE Victor Sai Chung	ANJ707	Licensed Representative (Provisional Licence)	2
WALSH Ashley Paul Jeffery James	BDX251	Licensed Representative (Provisional Licence)	1, 2, 7
WAN Sin Ching	BNJ403	Licensed Representative (Provisional Licence)	1, 2
WAN Tsz Hiu	BNL575	Licensed Representative (Provisional Licence)	1, 2
WANG Chunjun	BNH308	Licensed Representative (Provisional Licence)	1
WANG Edwin Meng Bai	BMX625	Licensed Representative (Provisional Licence)	1, 4
WANG Jing	BNH399	Licensed Representative (Provisional Licence)	4
WANG Jingzhi	BNK282	Licensed Representative (Provisional Licence)	4
WANG Kailin	BNJ180	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Lidong	BNJ936	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Mengzhi	AYQ824	Licensed Representative (Provisional Licence)	4, 9
WANG Mingjia	BNJ173	Licensed Representative (Provisional Licence)	1
WANG Mingren	BNJ583	Licensed Representative (Provisional Licence)	1, 6
WANG Pei	BNJ942	Licensed Representative (Provisional Licence)	4, 9
WANG Sisi	BNJ531	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaoke	BNE464	Licensed Representative (Provisional Licence)	1, 2
WANG Xiaorui	BNH572	Licensed Representative (Provisional Licence)	2
WANG Xuefang	BNI485	Licensed Representative (Provisional Licence)	1
WANG Yifang	BNI063	Licensed Representative (Provisional Licence)	1, 6
WANG Yue	BNJ744	Licensed Representative (Provisional Licence)	1, 2
WANG Yumeng	BNM125	Licensed Representative (Provisional Licence)	1
WANG Yuning	BNH429	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yusi	BNJ267	Licensed Representative (Provisional Licence)	1, 6
WANG Zinian	BNJ569	Licensed Representative (Provisional Licence)	1, 6



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
WEI Bo	BNJ747	Licensed Representative (Provisional Licence)	1
WEI Chi Wen	AOA830	Licensed Representative (Provisional Licence)	1
WEISS Dane Michael	BNI794	Licensed Representative (Provisional Licence)	1
WEN Qiaojun	BNI358	Licensed Representative (Provisional Licence)	4
WENG Chenqi	BNJ123	Licensed Representative (Provisional Licence)	1, 6
WHORTON Eric Abraham	BMB931	Licensed Representative (Provisional Licence)	1, 4, 6
WILKS Richard John	BNJ105	Licensed Representative (Provisional Licence)	6
WONG Hok Man	BNI770	Licensed Representative (Provisional Licence)	1, 6
WONG Kin Lok Milton	BNL581	Licensed Representative (Provisional Licence)	9
WONG Mei Mui	BNI479	Licensed Representative (Provisional Licence)	1
WONG Sheung Long	BNH878	Licensed Representative (Provisional Licence)	1, 2
WONG Tak Sing	BNH917	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Yan Long Ivan	BNH606	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yi Ling, Elaine	BNH516	Licensed Representative (Provisional Licence)	1
WU Clinton Yumo	BNH160	Licensed Representative (Provisional Licence)	1, 4
WU Lin Sheng	BNJ555	Licensed Representative (Provisional Licence)	1, 4, 6
WU Qintian	BMX517	Licensed Representative (Provisional Licence)	1, 4, 6
WU Wanling	BNF152	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yajun	BNH607	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yiwon	BNH356	Licensed Representative (Provisional Licence)	1, 2
XIA Dongsun	BNJ745	Licensed Representative (Provisional Licence)	1
XIA Yilang	BNI785	Licensed Representative (Provisional Licence)	1, 4
XIAO Di	BNH359	Licensed Representative (Provisional Licence)	1, 2
XING Fan	BNG640	Licensed Representative (Provisional Licence)	1, 2
XIONG Jingyue	BNC199	Licensed Representative (Provisional Licence)	1, 6
XU Chaorui	BCH677	Licensed Representative (Provisional Licence)	1
XU Feili	BNJ670	Licensed Representative (Provisional Licence)	1, 4
XU Haitao	AXS426	Licensed Representative (Provisional Licence)	1, 6
XU Junjie	BNL583	Licensed Representative (Provisional Licence)	1, 4, 9
XU Siyang	BNI569	Licensed Representative (Provisional Licence)	1, 6
XU Wei	BNH130	Licensed Representative (Provisional Licence)	1, 2
XU Zhichao	BNJ183	Licensed Representative (Provisional Licence)	1, 6
XU Zhuoyi	BNH302	Licensed Representative (Provisional Licence)	1, 4
YAMAKAWA Asako	BFE367	Licensed Representative (Provisional Licence)	1
YAN Minling	BNI376	Licensed Representative (Provisional Licence)	1
YANG Chen	BNJ315	Licensed Representative (Provisional Licence)	1, 6
YANG Haiwen	BNI428	Licensed Representative (Provisional Licence)	1
YANG Jie	BBU726	Licensed Representative (Provisional Licence)	1
YANG Yi	BNM123	Licensed Representative (Provisional Licence)	4, 9
YANG Yong	AYJ431	Licensed Representative (Provisional Licence)	9
YANG Yunfan	BNI529	Licensed Representative (Provisional Licence)	1, 6
YANGYANG Hanguang	BNN302	Licensed Representative (Provisional Licence)	1
YE Qiaoling	BNJ186	Licensed Representative (Provisional Licence)	1, 6
YE Shuyu	BNH981	Licensed Representative (Provisional Licence)	4, 9
YEUNG Nga Yan	BNL126	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Wing Yan	BNH098	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
YIN Anna	BNL579	Licensed Representative (Provisional Licence)	1, 2
YIN Shuai	BNJ291	Licensed Representative (Provisional Licence)	9
YIP Chi Wing	ADI536	Licensed Representative (Provisional Licence)	1, 2
YIP Chun Pang	BNJ918	Licensed Representative (Provisional Licence)	1, 4
YIP Keiann	BNH901	Licensed Representative (Provisional Licence)	1
YIP Ki	AUF153	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YIU Hoi Yip	BNL488	Licensed Representative (Provisional Licence)	1, 4, 6
YOO Jihyeon	BNH154	Licensed Representative (Provisional Licence)	1, 2
YOSHIDA Aki-Joe	BNI677	Licensed Representative (Provisional Licence)	1, 4
YOUNG Wai	AFM340	Licensed Representative (Provisional Licence)	1, 6
YU Daniel Ting Yao	BMX868	Licensed Representative (Provisional Licence)	1, 4, 9
YU Debra	BNN116	Licensed Representative (Provisional Licence)	1, 4, 6
YU Mun Shan Pearl	BNJ551	Licensed Representative (Provisional Licence)	1, 6
YU Shuyao	BJH798	Licensed Representative (Provisional Licence)	1, 4, 6
YU Tak Wai Winnie	BBH216	Licensed Representative (Provisional Licence)	6
YU Xingyi	BND641	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Tianyu	BNK425	Licensed Representative (Provisional Licence)	1, 2
YUAN Yuchen	BNH355	Licensed Representative (Provisional Licence)	1, 6
YUEN Wing Yee	AQG441	Licensed Representative (Provisional Licence)	4, 9
YUEN Yan Chi	BIG336	Licensed Representative (Provisional Licence)	4, 5
YUNG Wai Ting Winnie	BNL323	Licensed Representative (Provisional Licence)	10
YUNG Wing Sin	BNI304	Licensed Representative (Provisional Licence)	1
ZEE John	AAE260	Licensed Representative (Provisional Licence)	1, 2, 3, 4, 5, 9
ZENG Yuexin	BIN294	Licensed Representative (Provisional Licence)	1, 2, 7
ZHAN Hua	BNK310	Licensed Representative (Provisional Licence)	2
ZHAN Yueying	BNI774	Licensed Representative (Provisional Licence)	1, 6
ZHANG Anqi	BNJ849	Licensed Representative (Provisional Licence)	1
ZHANG Jie	BNL500	Licensed Representative (Provisional Licence)	1, 4
ZHANG Lingli	BNL430	Licensed Representative (Provisional Licence)	1, 6
ZHANG Pengtao	BNH904	Licensed Representative (Provisional Licence)	1
ZHANG QI	BNJ666	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Shuo	BNL423	Licensed Representative (Provisional Licence)	1
ZHANG Teng	BNL453	Licensed Representative (Provisional Licence)	6
ZHANG Xiaobei	BEZ151	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xiaohan	BNI542	Licensed Representative (Provisional Licence)	1
ZHANG Xingyun	AYT719	Licensed Representative (Provisional Licence)	10
ZHANG Yan	BND768	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yi Fei	BNH671	Licensed Representative (Provisional Licence)	9
ZHANG Yi Jie	BNF430	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yibo	AZX335	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yige	BNK785	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yu	BNH447	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yujia	BNJ947	Licensed Representative (Provisional Licence)	4
ZHANG Yundi	BNH374	Licensed Representative (Provisional Licence)	2, 5
ZHANG Yunxi	BNJ669	Licensed Representative (Provisional Licence)	1, 4
ZHANG Zhao	BNH608	Licensed Representative (Provisional Licence)	4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Danni	BBE751	Licensed Representative (Provisional Licence)	1, 4
ZHAO Dongfang	BNK367	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jianan	BNL487	Licensed Representative (Provisional Licence)	4
ZHAO Lin	BNJ907	Licensed Representative (Provisional Licence)	10
ZHAO Tianning	BNI991	Licensed Representative (Provisional Licence)	1, 4
ZHAO Wenbo	BNI561	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yifan	BNG821	Licensed Representative (Provisional Licence)	1, 2
ZHAO Zeping	BNM248	Licensed Representative (Provisional Licence)	4
ZHEN Yanqing	BNH342	Licensed Representative (Provisional Licence)	1
ZHENG Ruifeng	BNJ941	Licensed Representative (Provisional Licence)	1, 4
ZHENG Xiaoling	BNH663	Licensed Representative (Provisional Licence)	1, 6
ZHENG Yulu	BNL148	Licensed Representative (Provisional Licence)	1
ZHONG Jie	BNJ751	Licensed Representative (Provisional Licence)	1, 2
ZHOU Kai Feng	BNJ486	Licensed Representative (Provisional Licence)	1
ZHOU Yang	BNH866	Licensed Representative (Provisional Licence)	4
ZHOU Yang	BNJ698	Licensed Representative (Provisional Licence)	1, 2
ZHOU Zhicheng	BNJ995	Licensed Representative (Provisional Licence)	1, 4
ZHU Kexin	BNJ818	Licensed Representative (Provisional Licence)	4
ZHU Ting	BNC885	Licensed Representative (Provisional Licence)	2, 5
ZHU Xiaokang	BNL517	Licensed Representative (Provisional Licence)	1, 6
ZHU Yiqing	BNF384	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yue Cindy	BNJ559	Licensed Representative (Provisional Licence)	6
ZOU Bingfeng	BNG012	Licensed Representative (Provisional Licence)	4, 9
ZOU Yutong	BNI783	Licensed Representative (Provisional Licence)	10
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
AGRAWAL Anurag	BHN025	Licensed Representative (Temporary Licence)	1, 2
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	1
AKAHANE Takashi	BHD877	Licensed Representative (Temporary Licence)	4
ARAVAMUTHAN Sasikant	BNJ445	Licensed Representative (Temporary Licence)	1, 4
BASU Sougata	BHZ553	Licensed Representative (Temporary Licence)	1
BENJAMIN William Anthony	BNK889	Licensed Representative (Temporary Licence)	1
BODEREAU Philippe	BLC808	Licensed Representative (Temporary Licence)	1, 4
BROWNE Erin F	BHB894	Licensed Representative (Temporary Licence)	1, 4
CAMP Tristan Hector	BDL303	Licensed Representative (Temporary Licence)	1
CASTLE Jarrod	AWA482	Licensed Representative (Temporary Licence)	4
CHAINANI Suveer	BHV136	Licensed Representative (Temporary Licence)	1
CHANG Jenny	BNH478	Licensed Representative (Temporary Licence)	10
CHARTRES Pierre	BLI962	Licensed Representative (Temporary Licence)	1
CHEN Qijian	BNH103	Licensed Representative (Temporary Licence)	1
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4
CHEONG Taeyong	BGC155	Licensed Representative (Temporary Licence)	1
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CLAIRON Adrien Henri Arturo	BNG157	Licensed Representative (Temporary Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
COWCHER Charles Michael	BNJ355	Licensed Representative (Temporary Licence)	10
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DEB Abhinandan	BJQ825	Licensed Representative (Temporary Licence)	4
EASTHAM Peter John	AXG982	Licensed Representative (Temporary Licence)	10
EDRIDGE Dominic Andrei	AWA561	Licensed Representative (Temporary Licence)	4
FAN Wei-Hua	BIN428	Licensed Representative (Temporary Licence)	10
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FINCH Philip Julian Magnus	ATI170	Licensed Representative (Temporary Licence)	4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GEORGE Jacob	BNK022	Licensed Representative (Temporary Licence)	1, 2
GIOVANNY Jovent	BMV728	Licensed Representative (Temporary Licence)	4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
HANDERSON Timothy	BNJ858	Licensed Representative (Temporary Licence)	4
HART Sebastian Aristotle	BNJ735	Licensed Representative (Temporary Licence)	1
HILTABRAND David Blake	BLV357	Licensed Representative (Temporary Licence)	1, 4
HONG Ying Hui	AWT694	Licensed Representative (Temporary Licence)	2, 5
HONGO Junichi	BHZ859	Licensed Representative (Temporary Licence)	1
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HWANG Paul	AMO577	Licensed Representative (Temporary Licence)	4
ICHIKAWA Eriko	BNH011	Licensed Representative (Temporary Licence)	1
ICHIKAWA Teppei	BIB368	Licensed Representative (Temporary Licence)	1, 2
IKEYA Mayumi	BNJ525	Licensed Representative (Temporary Licence)	1
KEIJZER Tjeert	BNH152	Licensed Representative (Temporary Licence)	1, 4
KHANDROS Vladislav	BEW991	Licensed Representative (Temporary Licence)	1
KIM Sungeun	BIE125	Licensed Representative (Temporary Licence)	4
KIM Tae-Woon	BCO962	Licensed Representative (Temporary Licence)	1
KOOIJ Reijer Jan	BBQ185	Licensed Representative (Temporary Licence)	1, 4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEE Lo-Tzu	AVN430	Licensed Representative (Temporary Licence)	1
LEE Moses	BDE482	Licensed Representative (Temporary Licence)	2, 5
LEE Sei Cheol	BLY339	Licensed Representative (Temporary Licence)	4
LEE Seung Yup	AYN478	Licensed Representative (Temporary Licence)	1, 4
LEE Yuan Tse, Edward	AGF152	Licensed Representative (Temporary Licence)	6
LEUCHTEN Michael	BKM728	Licensed Representative (Temporary Licence)	4
LEW Hyun Tai	BNH351	Licensed Representative (Temporary Licence)	1
LI Jiaxin	BNK962	Licensed Representative (Temporary Licence)	2
LIEW Xun	BJI674	Licensed Representative (Temporary Licence)	1
LIM Ho Jun	BNJ453	Licensed Representative (Temporary Licence)	4
LIN Hanwei	BNL463	Licensed Representative (Temporary Licence)	6
LIUSUDARSO Justian Rama	BNH961	Licensed Representative (Temporary Licence)	4
LU Yumian	ASM064	Licensed Representative (Temporary Licence)	1, 4
MAEKITA Takanobu	BHX057	Licensed Representative (Temporary Licence)	1, 2
MANSOUR Charles Marcus	BNH611	Licensed Representative (Temporary Licence)	1
MATHRANI Nilima Ajay	BMT591	Licensed Representative (Temporary Licence)	1, 4
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MAZZUCATO Federica	BNH371	Licensed Representative (Temporary Licence)	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	License Type	Types of Regulated Activity
MCCARTHY Sean Joseph	AWI446	Licensed Representative (Temporary Licence)	1, 2
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MORGAN Glenn David	AWK540	Licensed Representative (Temporary Licence)	1
MOSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1
MOUNTAIN Arthur James	BNI733	Licensed Representative (Temporary Licence)	1, 2
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
MUKHLIS Helmi Arman	BDY347	Licensed Representative (Temporary Licence)	4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NGUYEN Philip Duc Hoang	BNE678	Licensed Representative (Temporary Licence)	1, 2
NIETSCH Eric Clark	BIA055	Licensed Representative (Temporary Licence)	10
Nikita Anand	BNJ826	Licensed Representative (Temporary Licence)	10
NOGUCHI Megumi	BNL192	Licensed Representative (Temporary Licence)	1
O'HARA Timothy Patrick	BLJ146	Licensed Representative (Temporary Licence)	1, 4
PATEL Krupa	BJE678	Licensed Representative (Temporary Licence)	1, 4
POOL Jonathan Michael	AMU497	Licensed Representative (Temporary Licence)	1
RASTOMJEE Cyrus Russi	ATS154	Licensed Representative (Temporary Licence)	1
REDBOURN Paul Robert	AFQ906	Licensed Representative (Temporary Licence)	1
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
RESTOUT Thomas Jean	BIB315	Licensed Representative (Temporary Licence)	1, 2
RICCI Jason Steven	BJE668	Licensed Representative (Temporary Licence)	1, 2
ROZOV Andrew Jacob	BNJ107	Licensed Representative (Temporary Licence)	1
RUPPERT-FELSOT Jori Elan	ATY492	Licensed Representative (Temporary Licence)	1, 2
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SEMBOMMATSU Akira	BNG703	Licensed Representative (Temporary Licence)	1, 2
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHAH Sandeep Ramniklal	BNJ859	Licensed Representative (Temporary Licence)	4
SHIBANO Masahiro	BFN431	Licensed Representative (Temporary Licence)	4
SIU Ka Fai	BNH665	Licensed Representative (Temporary Licence)	10
SON Youngchan	ARD485	Licensed Representative (Temporary Licence)	1, 2
SUN MATTISON Linda Wu	BBF677	Licensed Representative (Temporary Licence)	4
TAMARI Yuya	BMN416	Licensed Representative (Temporary Licence)	1
TAN Li Ping	BNI673	Licensed Representative (Temporary Licence)	1
TANG Yin Kin Polo	AZW790	Licensed Representative (Temporary Licence)	4
TOMARU Yoshitaka	BFT494	Licensed Representative (Temporary Licence)	1, 2
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
UKIM Hasan	BJR959	Licensed Representative (Temporary Licence)	1
VAN VLIET Willem Nicolaas	BNF902	Licensed Representative (Temporary Licence)	1
VISWANATHA IYER Rishi	BNC587	Licensed Representative (Temporary Licence)	1
WANG Pei- Ling	BNI026	Licensed Representative (Temporary Licence)	10
WANG Shiyao	BDP424	Licensed Representative (Temporary Licence)	4
WATSON Adam James	BCY110	Licensed Representative (Temporary Licence)	1, 4
WEISSER Johannes Anton Markus	BNH705	Licensed Representative (Temporary Licence)	1
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WHITAKER Peter James	BNL578	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WOLAVER Alexander U	BNI123	Licensed Representative (Temporary Licence)	1, 2
WONG Bennie Chiu Kit	BEK754	Licensed Representative (Temporary Licence)	1, 4
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WYETH Sarah	BNI416	Licensed Representative (Temporary Licence)	10
XIAO Yu	BNI726	Licensed Representative (Temporary Licence)	1, 2
XU Yaowei	BNH702	Licensed Representative (Temporary Licence)	1
YANAGISAWA Masakazu	ASL355	Licensed Representative (Temporary Licence)	1
YANEZ HINOJOSA Anibal David	BEV153	Licensed Representative (Temporary Licence)	1, 2
YEO Yi Nah	BNJ192	Licensed Representative (Temporary Licence)	1
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YU Jia	BJQ980	Licensed Representative (Temporary Licence)	4
YUHARA Kaori	BHZ852	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZHANG Yingqiu	BNG716	Licensed Representative (Temporary Licence)	4
ZHONG Bin Bin	BNM180	Licensed Representative (Temporary Licence)	1, 2
ZUERCHER Roxana	BMS303	Licensed Representative (Temporary Licence)	1