

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
AIA Investment Management HK Limited	BNF913	Licensed Corporation	9
AIHC Capital Management Limited	BMX493	Licensed Corporation	9
Apollo Management Hong Kong Limited	BNC156	Licensed Corporation	1
Asante Capital Group HK Limited	BNG375	Licensed Corporation	1
Base Asset Management Limited	BMS500	Licensed Corporation	4, 9
Canfield Corporate Finance Company Limited	BNH889	Licensed Corporation	6
Capari Asset Management (Hong Kong) Limited	BNC934	Licensed Corporation	1, 4, 9
COFCO Futures (International) Co., Limited	BNE728	Licensed Corporation	2
DT Securities & Futures Co. Limited	BNC130	Licensed Corporation	1, 2
Fortune Ocean Asset Management Limited	BMZ410	Licensed Corporation	4, 9
HK Keystone Capital Limited	BMV301	Licensed Corporation	1, 4, 9
Jinghui Capital Limited	BND663	Licensed Corporation	4, 9
MBK Partners HK Limited	BNI655	Licensed Corporation	1, 4
Mintai Asset Management Limited	BLQ965	Licensed Corporation	4, 9
New Asia Ferrell Asset Management Limited	BMN666	Licensed Corporation	4, 9
Nice Talent Asset Management Limited	BMR849	Licensed Corporation	4, 9
Oneday Corporate Finance Limited	BND285	Licensed Corporation	6
Pollock Asset Management Limited	BLM847	Licensed Corporation	1, 4, 9
PT Capital Partners Limited	BNC162	Licensed Corporation	1
Sky Wealth Asset Management Company Limited	BMR561	Licensed Corporation	4, 9
Solomon Asset Management (Hong Kong) Limited	BMG880	Licensed Corporation	4, 9
Solshire International Capital Management (HK) Limited	BNB399	Licensed Corporation	4, 9
Tung Yat Securities Limited	BNC519	Licensed Corporation	1
Velotrade Management Limited	BJL007	Licensed Corporation	1
VMS Asset Management Limited	BMM431	Licensed Corporation	9
Xin Yongan International Asset Management Company Limited	BNC838	Licensed Corporation	4, 9
Yongan Guofu Asset Management (Hong Kong) Company Limited	BMX692	Licensed Corporation	4, 9
ABBOTT Giles David Cameron	AVQ399	Licensed Representative	1, 4
ADHAM Zuba	BNT018	Licensed Representative	1, 4
AGUSTIN Scott-Jarryd	BNS030	Licensed Representative	1, 4, 6
AKITO Shuichi	BNN806	Licensed Representative	2, 5
AMMANN Christopher Emil	BNS037	Licensed Representative	1, 4, 6
ANLIKER Christoph	BNR531	Licensed Representative	1
ASTHANA Nakul	BNR896	Licensed Representative	1, 4, 6
AU-YEUNG Chun Kit	BNJ811	Licensed Representative	1
BAINBRIDGE Christopher Lawrence	BNP137	Licensed Representative	1, 2
BAKER Joseph Gerard	BNR700	Licensed Representative	1
BARTOLI Christopher John Antoine	BNP501	Licensed Representative	4, 9
BEAUPEUX Mathias Thomas Xavier	BNP474	Licensed Representative	1, 2
BELCEA Andrada	BNR250	Licensed Representative	2
BENASSY Adrienne Marie Sara	BNO017	Licensed Representative	10
BEVEVINO Zach Barton	BNC709	Licensed Representative	1, 4, 9
BIAN Shihui	BNS181	Licensed Representative	1, 2, 4
BOUVARD Caroline Marie Eugenie Renee	AUX261	Licensed Representative	1, 4
CAI Gelin	BFE222	Licensed Representative	1, 4
CAI Jun Lei	BNR188	Licensed Representative	1, 4
CAMPBELL David John	ASM179	Licensed Representative	1
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative	2, 5
CASEY Richard Stephan	BNR270	Licensed Representative	1
CHAK Cheuk Lun	BNN805	Licensed Representative	9
CHAN Chi Ho	BNO852	Licensed Representative	9
CHAN Crystal Fu	BNQ619	Licensed Representative	1, 4, 9
CHAN Frankie Shu Chun	BNP494	Licensed Representative	1, 2
CHAN Ka Wai Ryan	BNP831	Licensed Representative	4, 9
CHAN Kwok Tat, Daryl	ACP833	Licensed Representative	1, 2
CHAN Lai Chi	BNQ614	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Matthew	BFG309	Licensed Representative	4, 9
CHAN On Yi	BNP075	Licensed Representative	1, 2
CHAN Sai Keung	BNR456	Licensed Representative	2
CHAN See Chun	BNP412	Licensed Representative	1, 2
CHAN Shun Wai Ray	BNR722	Licensed Representative	1
CHAN Siu Kei, Ken	BKH467	Licensed Representative	1
CHAN Tsz Ying	BNS687	Licensed Representative	1
CHAN Wing Sze	BNQ661	Licensed Representative	1, 4
CHAN Wing Yiu	BNR194	Licensed Representative	1, 2
CHAN Yan Yu	BNS683	Licensed Representative	1
CHAN Yat Yeung	BNS705	Licensed Representative	1, 4, 6
CHAN Yik Lam	BFE179	Licensed Representative	1, 4
CHAN Ying Heung	BFO636	Licensed Representative	1, 4
CHAN Ying Kit	BNS676	Licensed Representative	1, 4
CHANG Li Hao	BNQ978	Licensed Representative	4, 9
CHANG Xinyun	BNR636	Licensed Representative	1, 4
CHANG Xueshan	AQU414	Licensed Representative	1, 3
CHAU Pak Ming, Patrick	APZ542	Licensed Representative	1, 2
CHEN Binghua	BNS262	Licensed Representative	4, 9
CHEN Congyan	BNO567	Licensed Representative	1, 4
CHEN Ke	BNN587	Licensed Representative	4
CHEN Naiyuan	BNR281	Licensed Representative	1, 4, 6
CHEN Shimeng	BNQ702	Licensed Representative	1, 6
CHEN Sida	BNR417	Licensed Representative	9
CHEN Xiaodan	BNN434	Licensed Representative	1
CHEN YANG	BNS682	Licensed Representative	4, 9
CHEN Yifei	BIU909	Licensed Representative	1, 6
CHEN Yingyi	BNS979	Licensed Representative	1, 9
CHEN Zhang	BNR831	Licensed Representative	1, 6
CHEN Zhexu	BNO686	Licensed Representative	1, 4, 6
CHENG Hiu Nam Wien	BNS466	Licensed Representative	1, 6
CHENG Ho Cheong	BKB947	Licensed Representative	1
CHENG King Hin	BIX473	Licensed Representative	1, 9
CHENG Wing Si Constance	BNR696	Licensed Representative	1
CHEUNG Chi Sum Samuel	BNN798	Licensed Representative	4, 9
CHEUNG Chun Sing Jacky	BNR070	Licensed Representative	4
CHEUNG Clive Ho Fung	BIW856	Licensed Representative	4
CHEUNG Fei Hung	BNR251	Licensed Representative	2
CHEUNG Kei Kong	BNP597	Licensed Representative	1, 6
CHIK Kar Lun, Karison	BNP244	Licensed Representative	1
CHING Kam Hung	BNR367	Licensed Representative	1, 6
CHIU Yuen	AVI626	Licensed Representative	1, 6
CHOI Chung Wang	BNS145	Licensed Representative	1, 4
CHOI Kin Chung	BNH700	Licensed Representative	1, 4
CHOI Kin Wah	BNQ880	Licensed Representative	1
CHOI Man Choi Celia	BNO807	Licensed Representative	1
CHOI Tat Man	BNC348	Licensed Representative	4, 9
CHONG Pui Yee	AOB170	Licensed Representative	4
CHOW Ho Shan, Vanessa	ATY491	Licensed Representative	9
CHOW In Kam	BNP480	Licensed Representative	6
CHOW Ka Lap	BNS274	Licensed Representative	1
CHOW Ka Wang	BNS879	Licensed Representative	1
CHOW Wa Shing	BNO669	Licensed Representative	1, 6
CHOW Wah Hung	BJZ480	Licensed Representative	1, 9
CHOY Sze Wai	BNT810	Licensed Representative	1, 4
CHU Ching Kwan, Mavis	BNS230	Licensed Representative	1, 2, 3, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
CHU Wing Kit	BHJ981	Licensed Representative	1, 4, 5, 9
CHUA Kam Yi	BNQ733	Licensed Representative	1
CHUANG Albert	BNQ616	Licensed Representative	4, 9
CHUI Hon Cheong	BNN453	Licensed Representative	9
CHUNG Ching Yin	BNP748	Licensed Representative	1, 2
CHUNG Kong Lung	BNT744	Licensed Representative	1
CHUNG Kuo Wei William	BNR816	Licensed Representative	1, 2
CHUNG Soon Hyung	AWJ606	Licensed Representative	1, 4, 9
CHUNG Yoon Chul	BNR805	Licensed Representative	1, 2
CROSSWHITE Wyatt William	ALD641	Licensed Representative	1, 2
CROWLEY Christina Marion	BNP565	Licensed Representative	9
CUI Yiyi	BNR308	Licensed Representative	4
DAI Chennan	BNR108	Licensed Representative	4
DENG Huang	BNQ698	Licensed Representative	1, 2
DENG Shi	BNR836	Licensed Representative	1
DENG Xiaoming	BDX988	Licensed Representative	9
DHUNDASS Younes	BNQ670	Licensed Representative	1, 2, 4, 5
DIPSELLAS Stephen	BNV302	Licensed Representative	1, 6
DONG Yi	BNO030	Licensed Representative	1, 4, 6
DONG Youyuan	BNS437	Licensed Representative	1, 2, 4
DOU Xiaoqin	AVS907	Licensed Representative	4
DOU Yang	BNO053	Licensed Representative	1, 6
DU Juan	BNT212	Licensed Representative	6
DU Wei	BNO592	Licensed Representative	4
DUNYACH Quentin Jean-jacques	BNP199	Licensed Representative	1, 4
FAN Hong	BNQ776	Licensed Representative	1, 9
FAN Ka Wai	BNR833	Licensed Representative	1, 4
FENG Chenghao	BNN478	Licensed Representative	4, 9
FENG Jin	BNR341	Licensed Representative	1, 4
FENG Sisi	BNS776	Licensed Representative	1, 6
FENG Ziang	BNR290	Licensed Representative	1, 4, 9
FLORENTIN Clement	BNQ440	Licensed Representative	1, 2, 4, 5
FOK Pui Shan	AFT509	Licensed Representative	1
FOK Wai Yan	BNT923	Licensed Representative	1, 4
FONG Cheuk Man	ACQ172	Licensed Representative	1
FU Beijia	BNO805	Licensed Representative	4
FU Qiang	BNS441	Licensed Representative	4, 9
FU Shuman	BNS715	Licensed Representative	1, 4
FU Tianmin	BNS368	Licensed Representative	1, 6
FU Wai Ling, Ehsernta	BNQ953	Licensed Representative	4
FUNG Ching Siu	BNO746	Licensed Representative	1, 4
FUNG Chun Yuen	BNR004	Licensed Representative	6
FUNG Ho Leung	BNQ746	Licensed Representative	1
FUNG Shing Bun Kendrew	BLL856	Licensed Representative	10
FURTADO Udhay Anton Dos Remedios	AFG848	Licensed Representative	1, 6
GAO Yalin	BNS318	Licensed Representative	1
GAO Yunzhu	BNR003	Licensed Representative	6
GE Peng	BNQ602	Licensed Representative	1, 4, 9
GLORIE Willem Jan Lieve	BNO903	Licensed Representative	1, 4, 9
GO Ka Ho, Jason	BNO016	Licensed Representative	6
GONG Xiaoman	BNR624	Licensed Representative	1, 4, 9
GU Huanhao	BNR192	Licensed Representative	1, 2, 4
GU Lan Jiang	BNP499	Licensed Representative	1, 2
GU Yiwei	BNS285	Licensed Representative	6
GUEST Oliver Benjamin	BNP459	Licensed Representative	1
GUO Ge	BNO197	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
GUO Jingning	BNL416	Licensed Representative	1
GUO Yingyu	BNT124	Licensed Representative	1
GUO Zhijian	BNM903	Licensed Representative	1
HAN Can	BNO977	Licensed Representative	1, 4, 9
HE Linwei	BNP105	Licensed Representative	1, 4, 9
HE Tian	BNR765	Licensed Representative	4, 9
HEM Phila	BNU247	Licensed Representative	1
HEUNG Fu Nang, Martin	BNR040	Licensed Representative	4
HIBINO Hitoshi	BNO407	Licensed Representative	10
HO Ka Ho	ARL404	Licensed Representative	1, 2
HO Kin Chung, Kelvin	AFR360	Licensed Representative	1, 4
HO Pui Lam	ARP168	Licensed Representative	1, 2, 4
HOCKLEY Richard John	BNR462	Licensed Representative	4
HONG Jiayu	BNP563	Licensed Representative	4, 9
HONG Liu	BNR256	Licensed Representative	1, 4
HONG Timothy Kai-chun	BNQ720	Licensed Representative	1
HORIGUCHI Seiji	BEC557	Licensed Representative	1, 2
HOU Xiaoning	BMB739	Licensed Representative	1, 4, 6
HSU Hui Yi	BNR785	Licensed Representative	1
HU Bin	BNQ784	Licensed Representative	5
HU Jiani	BKA435	Licensed Representative	1, 4
HU Steven	BNS312	Licensed Representative	1
HU Xiangyu	BNG229	Licensed Representative	4
HU Xuedong	BDI148	Licensed Representative	6
HUANG Chao-sheng	AEP568	Licensed Representative	1, 4, 9
HUANG Chenghu	BNP866	Licensed Representative	9
HUANG Chon Pio	BNQ527	Licensed Representative	1, 6
HUANG Dongni	BNQ647	Licensed Representative	1, 4
HUANG Xiaozhe	BNS327	Licensed Representative	1, 9
HUANG Yushan	BNS469	Licensed Representative	1, 2
HUI Kin Wai	ANT508	Licensed Representative	1, 4, 9
HUNG Tsz Ying	BNP229	Licensed Representative	9
HURIP Eddie	AGE403	Licensed Representative	1
IP Tze Kai, Brian	BNR260	Licensed Representative	9
IP Wing Shan	AFA844	Licensed Representative	1, 4, 9
ISRAEL DA VEIGA PEREIRA Jose Thomaz	BNP291	Licensed Representative	1, 4
JAMES Richard Alan	BNR278	Licensed Representative	1, 4, 6
JAMMAL Joseph Nicholas	BNQ444	Licensed Representative	1, 2
JIANG Bowen	BNR216	Licensed Representative	1, 2, 4
JIANG Weisong Christian	AQF552	Licensed Representative	4, 9
JIANG Yihong	BNR178	Licensed Representative	9
JIANG Zhuo	BNS219	Licensed Representative	1, 4
JIN Siyi	BNO613	Licensed Representative	1, 6
KAMATH Pallavi Umesh	BNP711	Licensed Representative	1, 2, 4
KAO Wan Ling Eileen	BNN694	Licensed Representative	1, 4, 5, 9
KE Hai	BNS313	Licensed Representative	1, 2, 9
KHANDELWAL Amit	BNR233	Licensed Representative	1
KIANG Vincent Ming Ruey	BNQ629	Licensed Representative	1, 2, 7
KIM Gun Hee	BNN077	Licensed Representative	1
KIM Taehee	BNS669	Licensed Representative	1, 4, 9
KONG Pil Sung Philip	BNT057	Licensed Representative	1
KONG Wei Quan	BNR474	Licensed Representative	1, 4, 9
KONG Yiu Chung	ALS425	Licensed Representative	1
KONG York Hay	AEU405	Licensed Representative	1
KOOK Soonki	BEB072	Licensed Representative	1, 4
KU Ka Sing	BNT015	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
KWAN Chun Yue, Patrick	ACN915	Licensed Representative	1, 4, 6
KWAN Hoi Ngai, Michael	ANT542	Licensed Representative	1, 2
KWAN Hung Chun Curtus	BNQ997	Licensed Representative	6
KWAN Suk Wah, Tiffany	AVQ820	Licensed Representative	1
KWOK Siu Ching	BNU021	Licensed Representative	4, 9
KWOK Tin Pui	BDQ196	Licensed Representative	1, 4, 9
KWOK Wa Yan, Irene	AYR945	Licensed Representative	1, 4, 6
KWOK Yu Ho	BNP444	Licensed Representative	1, 2
LACEY Robert William Patrick	ADR672	Licensed Representative	1, 4
LAI Chi Ian	BNO157	Licensed Representative	1, 6
LAI Wai Tsz	ACU891	Licensed Representative	1, 2, 7
LAI Yip Wan, Ashley	AWX942	Licensed Representative	1, 2
LAM Hung Tin George	BGL060	Licensed Representative	4, 5
LAM Ka Kam	BNP213	Licensed Representative	1, 2
LAM Lok Fung	BNQ450	Licensed Representative	1, 6
LAM Mei Yi	BNR455	Licensed Representative	1
LAM Wing Keung	ANS013	Licensed Representative	4, 9
LAU Chi Kwan, Regina	BNP805	Licensed Representative	1
LAU Chun Hang	AQI516	Licensed Representative	1, 4
LAU Chun Tung	BNR388	Licensed Representative	1, 4
LAU Hiu Ying Virginia	BNO093	Licensed Representative	1, 4
LAU Hon Wei	AHX658	Licensed Representative	1, 4
LAU Ka Hin, Anthony	AIH934	Licensed Representative	1
LAU Tsz Ching	BNP581	Licensed Representative	1
LAU Yi	BNQ530	Licensed Representative	1, 2
LAW Kin Hang	BNR911	Licensed Representative	6
LAW Man Ying	BNR513	Licensed Representative	1, 2
LAW Ming Fan	BNP857	Licensed Representative	6
LECOQ Charles Makoto	AXA178	Licensed Representative	2, 5
LEE Ching Cherus	BNO594	Licensed Representative	1
LEE Da Wei	BNR475	Licensed Representative	1, 4
LEE David Jinsig	BNT215	Licensed Representative	9
LEE Dong Hoon	BNO888	Licensed Representative	4, 9
LEE Ge Kit, Jackie	BNO945	Licensed Representative	1
LEE Ji Hyung	BNP265	Licensed Representative	1, 4
LEE Kai Chung	BIV157	Licensed Representative	1, 2, 4, 5
LEE Ryan Chun Yin	BNP599	Licensed Representative	1
LEE Sooho	BNO784	Licensed Representative	1, 4
LEE Wing Him	BNR581	Licensed Representative	1, 4, 9
LEE Wing Him, Timothy	AYS240	Licensed Representative	1, 4, 6
LEE Wing Kin	BNP634	Licensed Representative	1, 4
LEE Wing Sin, Desmond	AMX783	Licensed Representative	1, 4
LEE Xiao Qin Ervin	BNR822	Licensed Representative	1, 4, 6
LEE Yan Kak Brian	BNR145	Licensed Representative	4
LEE Yao-Jung	BNP708	Licensed Representative	1
LEI Ming	BNR457	Licensed Representative	4
LEUNG Chun Yin, Edwin	AXW272	Licensed Representative	4, 9
LEUNG Hin Wa	BNQ883	Licensed Representative	1
LEUNG Lok Hang	BGG664	Licensed Representative	1, 6
LEUNG Lok Kin	AWD227	Licensed Representative	1
LEUNG Pak Chun	AQH376	Licensed Representative	1, 9
LEUNG Pak Hin	BMV366	Licensed Representative	1, 2
LEUNG Pui Wah	BNS089	Licensed Representative	1
LEUNG Sze Yan	BNQ998	Licensed Representative	6
LEUNG Tak Fung	BNR704	Licensed Representative	1
LEUNG Tsz Kin	BNP488	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
LEUNG Wing Hung, Toby	BGA638	Licensed Representative	1, 2
LEWIS Janet Barbara	ASL090	Licensed Representative	4
LI Anni	BNS382	Licensed Representative	1
LI Chengda	BNP167	Licensed Representative	1
LI Haoting	ATV659	Licensed Representative	2, 5
LI Junfeng	BNR187	Licensed Representative	1, 2, 7
LI Ka Tsun	BNR840	Licensed Representative	1, 2, 4
LI Kangning	BNP418	Licensed Representative	9
LI Peiyu	BNN823	Licensed Representative	1, 6
LI Qiyuan	BNQ516	Licensed Representative	4, 9
LI Ran	BNR699	Licensed Representative	1, 4, 6
LI Si	BMV409	Licensed Representative	6
LI Sihua	BNQ514	Licensed Representative	4, 9
LI Tak Yee Jovi	BNT007	Licensed Representative	1, 2
LI Tianyuan	BNR828	Licensed Representative	1
LI Xiao	BNR010	Licensed Representative	1, 2
LI Xin	BNR813	Licensed Representative	6
LI Yize	BNS503	Licensed Representative	6
LI Yu	BNS244	Licensed Representative	1, 6
LI Yuanwei	BNS761	Licensed Representative	9
LI Yue	BFK317	Licensed Representative	9
LIANG Zhujun	BNU130	Licensed Representative	1, 9
LIAO Yu Wei	BNU018	Licensed Representative	1
LIEN Sarah G	BNR053	Licensed Representative	1, 4
LIKASITH Pakitta	BNP042	Licensed Representative	1, 2, 4, 5
LIN Cuiyu	BNR962	Licensed Representative	9
LIN Feng	BNQ697	Licensed Representative	1, 4
LIN Pingyi	BNP827	Licensed Representative	4, 9
LIN Xinyue	BNS772	Licensed Representative	1, 4, 9
LING Qian	BNQ548	Licensed Representative	1, 6
LING Yuqi	BNQ981	Licensed Representative	1, 4
LIU Chang-Yu	BNP684	Licensed Representative	10
LIU Chen-Hwa	BNQ515	Licensed Representative	1, 2, 4, 5, 9
LIU Dan	BNU015	Licensed Representative	1
LIU Hoi Yan	BNP168	Licensed Representative	1
LIU Jing	BNO984	Licensed Representative	1, 4
LIU Jing	BNP017	Licensed Representative	4, 9
LIU Mengqian	BNQ709	Licensed Representative	1, 2
LIU Shu	BNR303	Licensed Representative	9
LIU Tao	BFJ984	Licensed Representative	2, 5
LIU Xinzhu	BNR338	Licensed Representative	4
LIU Yakun	BNN913	Licensed Representative	2
LIU Yuhong	BNQ447	Licensed Representative	1, 4, 6
LIU Yulin	BNP016	Licensed Representative	1
LIU Zhicheng	BNT783	Licensed Representative	6
LO Lai Lai	BNR433	Licensed Representative	1, 2, 4
LO Lee Sho	BNR489	Licensed Representative	4
LO Szu-Yuan	AXF983	Licensed Representative	4
LU Na	BNP594	Licensed Representative	1
LU Shuyan	BNL573	Licensed Representative	4, 9
LU Yan Yi, Christine	BNS454	Licensed Representative	4, 9
LU Yingtao	BNQ523	Licensed Representative	1, 4, 9
LU Yue	BNN209	Licensed Representative	1, 4, 6
LU Zhaoying	BGI558	Licensed Representative	1, 4, 9
LUI Anne	AXO912	Licensed Representative	1, 4, 9
LUI King Shing Jason	BNQ656	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
LUI Yee Lin, Eva	ATN123	Licensed Representative	1, 4
LUK Mun Tak	BNS686	Licensed Representative	1
LUM Shing Hin Wilbert	BNS722	Licensed Representative	6
LUNG Wing Hong	AVP246	Licensed Representative	1, 2
LUO Chengjie	BNO981	Licensed Representative	1, 4
LUO Juan	AWQ261	Licensed Representative	1, 4
LUO Shihao	BNO936	Licensed Representative	1, 2
LYU Zheng	BNQ382	Licensed Representative	1, 6
MA Chun Man	BNO822	Licensed Representative	1, 4, 9
MA Huiyun	BNT130	Licensed Representative	1
MA Mui Chu	AAA013	Licensed Representative	1
MAK Lok Yin	BNP627	Licensed Representative	1, 4, 6
MAK Yun Shum	BIR472	Licensed Representative	1, 4
MARCELLE Nicholas Paul	BNP396	Licensed Representative	2
MATHEN Lijo Johnson	BNQ610	Licensed Representative	1, 6
MIURA Takashi	BNM228	Licensed Representative	1, 4, 6
MIZUTANI Tatsuya	BNR524	Licensed Representative	1
MO Keqi	BKY358	Licensed Representative	1, 4, 9
MO Lai Nga	ANT662	Licensed Representative	1
MOK Ho Tung, Clayton	APC642	Licensed Representative	4, 9
MORITA Keisuke	BNP212	Licensed Representative	1, 9
MURPHY II Steven Patrick	BNP472	Licensed Representative	9
NAKAZAWA Keisuke	BNO285	Licensed Representative	9
NARAYAN Shashank	BNP372	Licensed Representative	1, 4
NG Cheuk Man	BNP500	Licensed Representative	1, 4
NG Chi Pong	BNO048	Licensed Representative	6
NG Hei Pak	AJ1736	Licensed Representative	1, 9
NG Li Huei	AZJ948	Licensed Representative	1, 2
NG Sin Yee Crystal	BNQ699	Licensed Representative	4, 9
NG Sze Yung Katie	BNU190	Licensed Representative	6
NG Wai Ting	BNU048	Licensed Representative	10
NG Wing Yu	BNP600	Licensed Representative	10
NGAI Wing Sum	BNQ788	Licensed Representative	1, 2
NGAN Man Por Edmond	BGC228	Licensed Representative	1
NIELSEN Andrew Stephen	BNR019	Licensed Representative	1, 4, 9
OMURO Tomoyoshi	APP829	Licensed Representative	4
ONGKO Frederick Kesuma	BNR240	Licensed Representative	2
OUYANG Tangke	BNQ579	Licensed Representative	1, 6
PAN Zhicun	BNT241	Licensed Representative	6
PANG Sze Ming	BFN439	Licensed Representative	1, 4
PANG Wing Fai	BNT862	Licensed Representative	9
PARSONS Stephen James	BNS038	Licensed Representative	1, 4, 6
PENAVIC Stjepan Drzislav	BNG890	Licensed Representative	1
PING Jiayan	BNS974	Licensed Representative	4
POITOUT Thomas Jean Francois	BNP473	Licensed Representative	1, 2, 7
POLLOCK Benjamin Pierce	BNS463	Licensed Representative	4, 9
PU Han	BNR210	Licensed Representative	1, 4
PURI Ruchit	BJG651	Licensed Representative	1, 2
QIAN Feng	ANH323	Licensed Representative	1, 4
QIAO Lu	BNP245	Licensed Representative	1, 4, 6
QUINT Pascal Michael	BNP735	Licensed Representative	1, 2, 4, 5
RABIER Adrien Guy	BNR825	Licensed Representative	1
RAN Yuhang	BNS759	Licensed Representative	4
RAPP Leon Derek	BNT767	Licensed Representative	4
ROBERTS Jordan	BNP529	Licensed Representative	1, 2
RYBAK Gregory	BNR279	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
SAKAIDA Hiroyuki	BNU040	Licensed Representative	4
SELVAMANO HARAN Krishan	BNT152	Licensed Representative	1
SEMBOMMATSU Akira	BNG703	Licensed Representative	1, 2
SHA Wai Yee	BNT766	Licensed Representative	1
SHANG Ziyou	BNS040	Licensed Representative	1, 2
SHAO Junxi	BNR215	Licensed Representative	4
SHEA Agnes Wan Suen	ANV160	Licensed Representative	1, 4
SHEN Zhifeng	BNQ994	Licensed Representative	4
SHENG Xia	BNR434	Licensed Representative	6
SHETH Kunal Hasvant	BNR241	Licensed Representative	1, 4, 9
SHI Xiaomeng	BNN042	Licensed Representative	4, 9
SIM Colin Ching Wern	AGN521	Licensed Representative	9
SIN Wai Ki	BNR978	Licensed Representative	1
SIU Chi Shing	BNP475	Licensed Representative	1, 2
SIU Lai Yi	BNR596	Licensed Representative	1, 2
SO Wan Yi	AVT320	Licensed Representative	1
SONG Jin	BNS837	Licensed Representative	1, 4, 6
SONG Wenqian	BNP483	Licensed Representative	6
SONOGAN Mitchell Hugh	BNS222	Licensed Representative	4
SU Fen	BNH014	Licensed Representative	1, 2, 4, 9
SU Xin	BNO900	Licensed Representative	6
SUN Guoying	APJ352	Licensed Representative	4
SUN Qirong	BNR195	Licensed Representative	1, 4, 9
SUO Yawei	BNS760	Licensed Representative	1, 4
TAM Ho Yin	BNP538	Licensed Representative	1, 4, 9
TAM Ka Yan	BNP677	Licensed Representative	1, 4
TAM Tsz Shing	AJO423	Licensed Representative	1
TAM Yat Kit	AYP278	Licensed Representative	1, 4, 9
TAN Lei	BNR837	Licensed Representative	10
TAN Wan Sun	BNR253	Licensed Representative	1, 4
TANG Aaron Jian Ye	BNS476	Licensed Representative	1
TANG Yat Sing	BNS762	Licensed Representative	4
TANG Yujia	BNR318	Licensed Representative	4, 9
TANG Ziqing	BNR776	Licensed Representative	1, 6
TAU Weihua Robert	ARN628	Licensed Representative	9
THOMAS Hok Fan, James	ADK136	Licensed Representative	2
TIAN Yue	BNR242	Licensed Representative	1, 4
TONG Chak Hang	BNS714	Licensed Representative	1, 4
TONG Ngar Shun	AQP756	Licensed Representative	1, 2, 4
TORRES Phillip Allen	BNP858	Licensed Representative	1, 4
TOSCANI Tyler Russel	BNP525	Licensed Representative	1
TSAI Ching Hong	BNQ906	Licensed Representative	1, 2
TSAI King Wa Kingsway	BIK695	Licensed Representative	1, 4, 9
TSAI Yu-ting	BNS103	Licensed Representative	1
TSANG Eric Fan Zee	BNR255	Licensed Representative	1, 2, 4, 5
TSANG Ling Him, Michael	ACX825	Licensed Representative	9
TSE Chi Ling, Agnes	ASF705	Licensed Representative	2, 5
TSE Chun Fan	BNR807	Licensed Representative	1, 4
TSE Hok Man	BNR213	Licensed Representative	1, 4
TSE Ka Wing	BLI086	Licensed Representative	1
TSENG Hsiu Chun	BNO682	Licensed Representative	1, 4
TSOI Kai Ki	BNP559	Licensed Representative	1
TSUI Andrew Chiu Fung	BNS243	Licensed Representative	4, 9
TU Ying	BNT172	Licensed Representative	1, 4, 6
UEDA Koki	BNP454	Licensed Representative	9
WAN Ka Yee	BNO591	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Can	BNT143	Licensed Representative	4
WANG Chuan	BNP734	Licensed Representative	4, 9
WANG Fei Fern	BNU034	Licensed Representative	10
WANG Guofan	BHU040	Licensed Representative	4
WANG He	BNQ521	Licensed Representative	1, 6
WANG Jun	BNS474	Licensed Representative	1, 2
WANG Junru	BNP842	Licensed Representative	1, 4
WANG Kaixu	BNR667	Licensed Representative	1, 6
WANG Lanzhou	BNP156	Licensed Representative	1, 6
WANG Mengwen	BNS223	Licensed Representative	4
WANG Mingxiang	BNP408	Licensed Representative	9
WANG Pan	AZM547	Licensed Representative	1, 4, 6
WANG Rui	AXO614	Licensed Representative	1, 4, 6
WANG Shunyao	BNQ553	Licensed Representative	1, 4, 9
WANG Siqi	BNQ529	Licensed Representative	1
WANG Tai Ying	BNU191	Licensed Representative	6
WANG Teng	AXK862	Licensed Representative	4
WANG Weijie	BNQ701	Licensed Representative	4
WANG Xiaoran	BNT782	Licensed Representative	6
WANG Xichen	BNQ523	Licensed Representative	1, 6
WANG Xinyi	BNP649	Licensed Representative	4, 9
WANG Xueqing	BNQ652	Licensed Representative	6
WANG Yanxu	BNQ691	Licensed Representative	1, 4, 9
WANG Yi	BNQ522	Licensed Representative	1, 4, 6
WANG Youli	BNR007	Licensed Representative	6
WANG Yufei	BNQ772	Licensed Representative	1, 4
WANG Zhe	BNQ456	Licensed Representative	4, 9
WISSINK Stephanie Schiller	BNR485	Licensed Representative	4
WONG Chun	BNP057	Licensed Representative	9
WONG Chung Hin	BID361	Licensed Representative	1, 4, 9
WONG Ho Kwan	BNP810	Licensed Representative	1, 6
WONG Ho Yin	BNN673	Licensed Representative	1, 2
WONG Hong	BNS467	Licensed Representative	10
WONG Kin Tao Jonathan	BNR392	Licensed Representative	1
WONG Kwok Leung	ASK759	Licensed Representative	4, 9
WONG Lai San, Alice	AXV430	Licensed Representative	1
WONG Mei Keung	AEA002	Licensed Representative	1, 6
WONG Miu Wan	BNR830	Licensed Representative	1, 4
WONG Nga In	BNP861	Licensed Representative	1, 6
WONG Sio Pang	BNS456	Licensed Representative	1, 2
WONG Teck Ming Maverick	BNQ628	Licensed Representative	1, 4
WONG Wing	ASK570	Licensed Representative	1, 6
WONG Wing Yin, Sara	ANU562	Licensed Representative	1, 2
WONG Yau Hong Alex	BNQ625	Licensed Representative	1, 4
WOO Ayane	BNR604	Licensed Representative	1, 2
WU Di	BNJ741	Licensed Representative	4
WU Junxuan	BNP461	Licensed Representative	5
WU Kai	BNP204	Licensed Representative	6
WU Li	BNQ677	Licensed Representative	1, 4
WU Qiong	ATQ759	Licensed Representative	9
WU Ronald Ka Wai	BNS228	Licensed Representative	1, 4, 6
WU Yuchen	BNS723	Licensed Representative	1
WU Yuting	BNR698	Licensed Representative	1, 2, 4
XI Qi	BNQ524	Licensed Representative	1, 6
XIA Peng	BNR746	Licensed Representative	4
XIAN Yingtong	BNQ934	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
XIANG Peipei	BNR398	Licensed Representative	1, 2, 4
XIAO Kaixi	BNR310	Licensed Representative	4
XIE Manqi	BNT986	Licensed Representative	10
XIE Shengsi	BNR161	Licensed Representative	1, 2, 4
XING Yanran	BNQ623	Licensed Representative	4
XIONG Hang	BNP419	Licensed Representative	1, 4
XIONG Zhuang	BNR017	Licensed Representative	1, 9
XU Chendi	AWL336	Licensed Representative	1, 4, 9
XU Mengyang	BNS259	Licensed Representative	1, 4, 6
XU Weijia	BNQ445	Licensed Representative	4
XU Youwu	BKC183	Licensed Representative	1, 2
XU Zhe	BNQ764	Licensed Representative	1, 4
XUE Ya	BNR826	Licensed Representative	4
YAN Chen	BNQ534	Licensed Representative	9
YAN Dong	BNP764	Licensed Representative	4, 9
YAN Guicheng	BNS315	Licensed Representative	4
YANG Jie	BBU726	Licensed Representative	1
YANG Jie	BEE935	Licensed Representative	1, 6
YANG Jufan	BNP676	Licensed Representative	4
YANG Liu	BNP290	Licensed Representative	1, 4, 9
YANG Shanshan	BNP726	Licensed Representative	10
YANG Sharon	AUX301	Licensed Representative	1, 4
YANG Xue	BNO304	Licensed Representative	1, 4, 9
YANG Yafei	BNQ561	Licensed Representative	1, 6
YANG Yufei	BNR142	Licensed Representative	1, 4, 6
YAO Kun	BEA915	Licensed Representative	1
YEE Michael Jonathan	BNQ737	Licensed Representative	4
YEH Samuel	BNQ778	Licensed Representative	1
YEUM Jeehye	BIJ241	Licensed Representative	9
YEUNG Chi Yuen	BCS456	Licensed Representative	1, 4
YEUNG Chun Tung	BNU845	Licensed Representative	4, 9
YEUNG Hoi Ching, Joyce	BNR834	Licensed Representative	1
YIN Shengmei	BNQ603	Licensed Representative	1, 6
YIN Shimeng	BNP393	Licensed Representative	1
YIN Yanhang	BNR675	Licensed Representative	1, 6
YIN Yuran	BIG092	Licensed Representative	4, 9
YIP Pak Sum	BNN336	Licensed Representative	1, 4
YIP Pui King	BHZ318	Licensed Representative	1
YIP Yin Fung	BNO824	Licensed Representative	1, 4, 9
YIU Ka Lai	AGG807	Licensed Representative	1, 4
YIU Koon Lok, Marcus	BNO495	Licensed Representative	1, 4
YIU Wing Yi	BNR005	Licensed Representative	6
YOON Joo Hoon	BNS376	Licensed Representative	1, 6
YOUNG Chiu Yin Edward	BNP242	Licensed Representative	4, 9
YU Hao	BNP558	Licensed Representative	4, 9
YU Xiang	ARS233	Licensed Representative	9
YU Zhangdan	BNR827	Licensed Representative	6
YUAN Mingyue	BLA439	Licensed Representative	4, 6, 9
YUAN Ningyao	BAV325	Licensed Representative	1, 6
ZDUN Greg Paul	BNF052	Licensed Representative	1, 4, 6
ZENG Lingzi	BNP106	Licensed Representative	1
ZENG Yu Kwan, Andrew	BNQ708	Licensed Representative	1, 4, 6
ZHAI JIN	BNQ849	Licensed Representative	10
ZHAN Chong	BHT580	Licensed Representative	1, 4, 6
ZHANG Dongyi	BNP551	Licensed Representative	4, 9
ZHANG Geng Fan	BAP181	Licensed Representative	1, 2, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Jiahao	BNS460	Licensed Representative	1, 2
ZHANG Jingjing	BNN778	Licensed Representative	1, 4
ZHANG Jingzhong	BNP233	Licensed Representative	1, 2, 7
ZHANG Lewei	BNQ646	Licensed Representative	1, 6
ZHANG Liangzhi	BNR138	Licensed Representative	1, 4, 6
ZHANG Mingzhao	BNP871	Licensed Representative	1, 4
ZHANG Qi	BNG080	Licensed Representative	1
ZHANG Siyu	BNT147	Licensed Representative	1, 2
ZHANG Tianfei	BEV696	Licensed Representative	4, 5, 9
ZHANG Tuofu	BNP604	Licensed Representative	9
ZHANG Wenzhou	BNO706	Licensed Representative	1, 6
ZHANG Xinyue	BNP163	Licensed Representative	1, 2
ZHANG Xu	BNP379	Licensed Representative	1, 6
ZHANG Yang	BNP298	Licensed Representative	4
ZHANG Yi	BNR542	Licensed Representative	1, 2
ZHANG Yunyan	BNP154	Licensed Representative	9
ZHAO Dong	BNP778	Licensed Representative	1, 4
ZHAO Guodong	BNF142	Licensed Representative	4, 9
ZHAO Jian	BNR185	Licensed Representative	1, 4
ZHAO WANG	BNS764	Licensed Representative	1, 6
ZHAO Ying	BNR594	Licensed Representative	9
ZHAO Yingjie	BNN845	Licensed Representative	4
ZHAO Yuhao	BNO617	Licensed Representative	1, 2
ZHAO Ziyi	BNR609	Licensed Representative	1, 6
ZHENG Long	AYA192	Licensed Representative	1, 4
ZHENG Ruimin	BNS350	Licensed Representative	1, 4
ZHENG Yongsheng	BNM190	Licensed Representative	1, 4, 9
ZHONG Bin	BCW456	Licensed Representative	9
ZHOU Bo	BFD603	Licensed Representative	1, 4
ZHOU Fei	BEM286	Licensed Representative	1, 4, 6
ZHOU Junye	BNN653	Licensed Representative	4
ZHOU Qiyuan	BNQ505	Licensed Representative	4, 9
ZHOU Sen	BNQ630	Licensed Representative	1, 4, 9
ZHOU Weihang	BNS273	Licensed Representative	6
ZHOU Yi	BNN355	Licensed Representative	4, 9
ZHOU Yuting	BNQ425	Licensed Representative	1, 4, 9
ZHOU Zhou	BNQ631	Licensed Representative	1, 4, 6
ZHU Jinghong	BNQ535	Licensed Representative	1, 4, 9
ZHU Sicheng	BNO598	Licensed Representative	1, 6
ZHU Xiaofei	BNQ604	Licensed Representative	1, 6
ZHU Yong	BNO095	Licensed Representative	1, 4
ZHUANG Ziyang	BNO755	Licensed Representative	10
ZONG Jiaying	BNR199	Licensed Representative	4
ZOU Chuan	AVZ312	Licensed Representative	1, 4
AU Yu Ching	ABT384	Licensed Representative - Responsible Officer	9
BROGAN Catherine Margaret	BBQ452	Licensed Representative - Responsible Officer	1
BROWN Eric Paul	BNC482	Licensed Representative - Responsible Officer	1
CHAN Chun Kit	ANM375	Licensed Representative - Responsible Officer	9
CHAN Kwun Chung, Kenneth	AER783	Licensed Representative - Responsible Officer	4, 9
CHEN Li	BMV473	Licensed Representative - Responsible Officer	1, 4
CHEN Zheng	BMV098	Licensed Representative - Responsible Officer	4, 9
CHENG Keok Wee	BMO324	Licensed Representative - Responsible Officer	4, 9
CHOI Wing Fai Sanus	BNL574	Licensed Representative - Responsible Officer	4, 9
CHOW Wing Yan, Joseph	AQZ664	Licensed Representative - Responsible Officer	9
EASWARAN Aneurin Maatchiman	AYJ550	Licensed Representative - Responsible Officer	9
ENGELHARDT Thaddaeus	BNN888	Licensed Representative - Responsible Officer	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
GOODCHILD Jethro David	BNO604	Licensed Representative - Responsible Officer	9
GUAN Shan	ACN841	Licensed Representative - Responsible Officer	4
HIBBERT Warren Thirkell	BNL486	Licensed Representative - Responsible Officer	1
HO Chun Lung Terence	ABM173	Licensed Representative - Responsible Officer	4, 9
HULBERT Jonathan Ashley Trevelyan	BNC159	Licensed Representative - Responsible Officer	1
JANSE VAN RENSBURG Fraser Simpson	BNL489	Licensed Representative - Responsible Officer	1
KAWAI Teppei	BNC160	Licensed Representative - Responsible Officer	1
KONG Teck Chien	AFE503	Licensed Representative - Responsible Officer	4
KONYN Mark	AAK168	Licensed Representative - Responsible Officer	9
KUEH Tan Yuan	BDX514	Licensed Representative - Responsible Officer	1, 4, 9
KWOK King Chuen	APF731	Licensed Representative - Responsible Officer	4, 9
LAM Lai Heung	AEP936	Licensed Representative - Responsible Officer	1, 2, 4, 9
LE Ee Boon, Stephen	AFZ395	Licensed Representative - Responsible Officer	1, 4
LEUNG Man Chor	AAV368	Licensed Representative - Responsible Officer	4, 9
LI Jianming	BNI683	Licensed Representative - Responsible Officer	1, 4, 9
LIN Yen-Chuan	BNB428	Licensed Representative - Responsible Officer	1, 4, 9
LIN Yi Ping	AWT948	Licensed Representative - Responsible Officer	9
LIU Lei	BMW156	Licensed Representative - Responsible Officer	4, 9
LIU Tsz Chung	BNG625	Licensed Representative - Responsible Officer	4, 9
LOU Fang Lei	AKN212	Licensed Representative - Responsible Officer	1, 4, 9
MARTINEZ Steve	BNC164	Licensed Representative - Responsible Officer	1
MEYER Xavier Bernard Maurice	BNR339	Licensed Representative - Responsible Officer	1, 4
NG Hwee	BMO323	Licensed Representative - Responsible Officer	4, 9
NG Siu Cho	AON640	Licensed Representative - Responsible Officer	1, 4, 9
PAPPAS Nicholas Thomas	BNT874	Licensed Representative - Responsible Officer	4, 9
PARK Jung-yun	BNM915	Licensed Representative - Responsible Officer	1, 4, 9
PEI Yunbo	BNB940	Licensed Representative - Responsible Officer	1, 4, 9
PIZZITUTI Gianluca	BLN681	Licensed Representative - Responsible Officer	1
SUNG Ting Yee	AUW414	Licensed Representative - Responsible Officer	6
TAM Kwok Wai, Jack	AAA196	Licensed Representative - Responsible Officer	1, 2
TUNG Hoi	AFN654	Licensed Representative - Responsible Officer	1
WAN Kin Chung	BMG887	Licensed Representative - Responsible Officer	4, 9
WAN Nga Sze Janice	BNO895	Licensed Representative - Responsible Officer	9
WONG Shun Loy	BHJ599	Licensed Representative - Responsible Officer	1, 4, 9
WONG Chi Wing, Alexander	BHF771	Licensed Representative - Responsible Officer	9
WU Yu Robin	ATO859	Licensed Representative - Responsible Officer	9
YANG Hao Yi	AXV431	Licensed Representative - Responsible Officer	6
YEUNG Shing Lone Gerald	BBF103	Licensed Representative - Responsible Officer	1, 4
YI Chuanghua	AYR034	Licensed Representative - Responsible Officer	1, 2
YIP May Ling, Vivian	AQI874	Licensed Representative - Responsible Officer	9
ZHANG Haihong	BMX392	Licensed Representative - Responsible Officer	1
ZHANG Ji	BLI774	Licensed Representative - Responsible Officer	4, 9
ZHANG Lin	BMV101	Licensed Representative - Responsible Officer	4, 9
ZHANG Zheng Zachary	BNC490	Licensed Representative - Responsible Officer	1
ADHAM Zuba	BNT018	Licensed Representative (Provisional Licence)	1, 4
AGRAWAL Vishal Surajratan	BNS689	Licensed Representative (Provisional Licence)	1, 4, 9
AU Siu Kit	BNU975	Licensed Representative (Provisional Licence)	1
AU Wing Yan Marion	BNV458	Licensed Representative (Provisional Licence)	1
BAGAN Joanne Fredell	BNU356	Licensed Representative (Provisional Licence)	1
BAI Feng	BNU730	Licensed Representative (Provisional Licence)	1, 4
BAI Yun	BNU399	Licensed Representative (Provisional Licence)	1, 4, 9
BARTOLI Christopher John Antoine	BNP501	Licensed Representative (Provisional Licence)	4, 9
BIAN Difei	BNS305	Licensed Representative (Provisional Licence)	4
BOND Phillip Matthew	AWZ057	Licensed Representative (Provisional Licence)	1
CAI Gelin	BFE222	Licensed Representative (Provisional Licence)	1, 4
CAI Jun	BNU312	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
CAO Yu	BNV040	Licensed Representative (Provisional Licence)	1, 4, 6
CHAE Sun Byoung	BNV267	Licensed Representative (Provisional Licence)	1, 4, 9
CHAI Sheng Min	BNV473	Licensed Representative (Provisional Licence)	1, 2
CHAN Chak Ming	AJM690	Licensed Representative (Provisional Licence)	1, 4
CHAN Philip	ACA716	Licensed Representative (Provisional Licence)	1, 4
CHAN Pui Hang Peri	BNV088	Licensed Representative (Provisional Licence)	1, 9
CHAN Raymond Ho Ming	AQJ203	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsz Ying	BNS687	Licensed Representative (Provisional Licence)	1
CHAN Yat Yeung	BNS705	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG Chao An	BNU440	Licensed Representative (Provisional Licence)	6
CHANG Mei-Lin	BNU255	Licensed Representative (Provisional Licence)	1
CHARTRES Pierre	BLI962	Licensed Representative (Provisional Licence)	1
CHAU Chor Ping	BNU876	Licensed Representative (Provisional Licence)	1, 4
CHEN Bingyao	BNU664	Licensed Representative (Provisional Licence)	9
CHEN Hsiang Ling	BNU547	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Peijie	BNU863	Licensed Representative (Provisional Licence)	6
CHEN Sicong	BNV383	Licensed Representative (Provisional Licence)	6
CHEN Wei	BNU878	Licensed Representative (Provisional Licence)	9
CHEN Wenkai	BNV274	Licensed Representative (Provisional Licence)	1, 4
CHEN Xi	BNU854	Licensed Representative (Provisional Licence)	4, 9
CHEN Xiao	AVA602	Licensed Representative (Provisional Licence)	1, 4
CHEN Xinchen	BMT453	Licensed Representative (Provisional Licence)	6
CHEN Yifan	BNU461	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Yifei	BIU909	Licensed Representative (Provisional Licence)	1, 6
CHEN Yingchong	BNV053	Licensed Representative (Provisional Licence)	1, 4
CHEN Yingyi	BNS979	Licensed Representative (Provisional Licence)	1, 9
CHENG Hiu Nam Wien	BNS466	Licensed Representative (Provisional Licence)	1, 6
CHENG Mei Ting	BNO681	Licensed Representative (Provisional Licence)	1
CHENG Wing Chung	BNU320	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Alley	BNS366	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Clive Ho Fung	BIW856	Licensed Representative (Provisional Licence)	4
CHEUNG Jennifer Chu San	BNS363	Licensed Representative (Provisional Licence)	1
CHEUNG Man Ka	BNR762	Licensed Representative (Provisional Licence)	4
CHEUNG Pui Chak Arthur	BNU850	Licensed Representative (Provisional Licence)	9
CHIM Dixon	BNV619	Licensed Representative (Provisional Licence)	1, 4
CHIU Chung Ming	BNU127	Licensed Representative (Provisional Licence)	10
CHIU Sui Ling	BNV803	Licensed Representative (Provisional Licence)	1, 9
CHOI Byungjun	BNU508	Licensed Representative (Provisional Licence)	4
CHOI Kar Ki	BNU245	Licensed Representative (Provisional Licence)	4
CHOI Mon Hon	BNU088	Licensed Representative (Provisional Licence)	1, 6
CHOI Tat Man	BNC348	Licensed Representative (Provisional Licence)	4, 9
CHOU Chi-An	BNV282	Licensed Representative (Provisional Licence)	4
CHOY Sze Wai	BNT810	Licensed Representative (Provisional Licence)	1, 4
CHRYSSAFIS Jonathon	BNU067	Licensed Representative (Provisional Licence)	1, 2
CHU Ka Man	BGK281	Licensed Representative (Provisional Licence)	1, 4
CHU Ka Wang Henry	BNV860	Licensed Representative (Provisional Licence)	1
CHU Kee Hing Angela	BNS154	Licensed Representative (Provisional Licence)	1, 4
CHU Mingyu	BNV031	Licensed Representative (Provisional Licence)	9
CHUI Chi Kin	BNU447	Licensed Representative (Provisional Licence)	1, 4, 6
CHUNG Hay Wo, Martin	BNV563	Licensed Representative (Provisional Licence)	1, 2
CHUNG Kong Lung	BNT744	Licensed Representative (Provisional Licence)	1
CHUNG Siu Lun	BNU893	Licensed Representative (Provisional Licence)	9
CHUNG Wing Leong, Kenneth	ALQ877	Licensed Representative (Provisional Licence)	4, 9
CHUNG Yu Yeung	BNU525	Licensed Representative (Provisional Licence)	1, 6
CLARKE Andrew Kenneth	ADT180	Licensed Representative (Provisional Licence)	1
CLISSOLD Samuel Dane	BNU388	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
COHEN Yoann Henri Aaron	BNU725	Licensed Representative (Provisional Licence)	1, 2, 7
COLLARD Jeremy David	AJC639	Licensed Representative (Provisional Licence)	4, 9
CROSS Ian Cameron	AQB165	Licensed Representative (Provisional Licence)	1, 2
DAI Min	BHQ112	Licensed Representative (Provisional Licence)	1, 4, 5
DENG Lunkun	BNU241	Licensed Representative (Provisional Licence)	1
DENG Yuqun	BGG959	Licensed Representative (Provisional Licence)	6
DHIR Sachin	ARF792	Licensed Representative (Provisional Licence)	9
DIPSELLAS Stephen	BNV302	Licensed Representative (Provisional Licence)	1, 6
DONG Jizhou	BEW779	Licensed Representative (Provisional Licence)	4
DONG Lijuan	BNV834	Licensed Representative (Provisional Licence)	3
DONG Zhen	AMP550	Licensed Representative (Provisional Licence)	9
DORR KEVIN	BNR608	Licensed Representative (Provisional Licence)	1, 4
DU Juan	BNT212	Licensed Representative (Provisional Licence)	6
DUAN Bohao	BNV457	Licensed Representative (Provisional Licence)	1, 4
ENDER Rainer Earkus	BNU231	Licensed Representative (Provisional Licence)	1
EWERT Nicolas Erik Arpad	BNU708	Licensed Representative (Provisional Licence)	6
FAN Hong	BNQ776	Licensed Representative (Provisional Licence)	1, 9
FAN Ning	BNV011	Licensed Representative (Provisional Licence)	1, 6
FAN Xuelling	BNU259	Licensed Representative (Provisional Licence)	1, 2, 4
FAN Yi	AUV739	Licensed Representative (Provisional Licence)	1
FANG Hongjing	BNU751	Licensed Representative (Provisional Licence)	1
FERRET Thibaut	BNU019	Licensed Representative (Provisional Licence)	1, 4, 9
FITZGERALD Brian Patrick	BNV132	Licensed Representative (Provisional Licence)	4
FOK Wai Yan	BNT923	Licensed Representative (Provisional Licence)	1, 4
FONG Cheuk Man	ACQ172	Licensed Representative (Provisional Licence)	1
FONG Ka Yan	BNV833	Licensed Representative (Provisional Licence)	3
FU Man Kit	BNU100	Licensed Representative (Provisional Licence)	9
FU Mengyu	BNR646	Licensed Representative (Provisional Licence)	4, 9
FU Qiang	BNS441	Licensed Representative (Provisional Licence)	4, 9
FUNG Shing Bun Kendrew	BLL856	Licensed Representative (Provisional Licence)	10
FUNG Siu Kwun Foster	BNU555	Licensed Representative (Provisional Licence)	6
GAO Gehong	BNT987	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Xiang	BNU645	Licensed Representative (Provisional Licence)	1, 2, 4
GAO Yiyuan	BNU187	Licensed Representative (Provisional Licence)	1, 4, 6
GONG Yuzhe	BNT819	Licensed Representative (Provisional Licence)	1, 9
GU Fei	BNV539	Licensed Representative (Provisional Licence)	1
GUAN Peng	BNU877	Licensed Representative (Provisional Licence)	4
GUHATHAS Rogaan	BNU064	Licensed Representative (Provisional Licence)	1, 4, 9
GUIOT Bertrand Renaud Georges	BNR252	Licensed Representative (Provisional Licence)	1, 4
GUO Boyi	BNU690	Licensed Representative (Provisional Licence)	4
GUO Yingyu	BNT124	Licensed Representative (Provisional Licence)	1
GUPTA Ateev	BNU646	Licensed Representative (Provisional Licence)	1, 2, 4
HALLEY Keith Thomas	BBQ714	Licensed Representative (Provisional Licence)	1, 2, 4
HAN Bing	BNV044	Licensed Representative (Provisional Licence)	4
HAN Xuyi	BNT117	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HASSAN Glenn Perdana Firasli	BNU062	Licensed Representative (Provisional Licence)	1, 4, 6
HE Meng	BNR853	Licensed Representative (Provisional Licence)	1
HE Qiliang	BNR809	Licensed Representative (Provisional Licence)	1, 6
HE Xi	BCC252	Licensed Representative (Provisional Licence)	1, 4, 9
HE Xiaofei	BNU624	Licensed Representative (Provisional Licence)	1, 4
HE Zirong	BNU189	Licensed Representative (Provisional Licence)	1, 4
HEM Phila	BNU247	Licensed Representative (Provisional Licence)	1
HEUNG Wing Sze, Wincy	BNU326	Licensed Representative (Provisional Licence)	1, 2
HO Ka Ho	ARL404	Licensed Representative (Provisional Licence)	1, 2
HO Sau Ping	BJH020	Licensed Representative (Provisional Licence)	1, 4, 6
HO Sheung Yin	BNU559	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
HO Tin Chuen Brian	BNU092	Licensed Representative (Provisional Licence)	1, 4, 9
HO Tsun Hei Adrian	BNV796	Licensed Representative (Provisional Licence)	1
HO Wing Yee, Winnie	BNU415	Licensed Representative (Provisional Licence)	1
HONG Renjun	BNS788	Licensed Representative (Provisional Licence)	1, 4, 9
HONG Tao	BNV287	Licensed Representative (Provisional Licence)	4
HONG Tsz Leung	ANG331	Licensed Representative (Provisional Licence)	4, 9
HOU Ke	BCJ570	Licensed Representative (Provisional Licence)	4, 9
HU Xuesen	BNU537	Licensed Representative (Provisional Licence)	4
HUA Zhongnan	BNU873	Licensed Representative (Provisional Licence)	1, 6
HUANG Jianhong	BNT924	Licensed Representative (Provisional Licence)	1, 6
HUANG Qiliang	BNU886	Licensed Representative (Provisional Licence)	1, 6
HUANG Xinyi	BNV279	Licensed Representative (Provisional Licence)	1, 6
HUANG Yinjun	BAI389	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Yuelun	BNV533	Licensed Representative (Provisional Licence)	1, 4
HUANG Zhi	BNU533	Licensed Representative (Provisional Licence)	9
HUI Ryan	BNS261	Licensed Representative (Provisional Licence)	1, 2, 3
HUI Sze Tat	BNV085	Licensed Representative (Provisional Licence)	1, 2
HUNG Pik Kwan	AGF319	Licensed Representative (Provisional Licence)	1, 4
HWANG Kyung Hyun	BNU050	Licensed Representative (Provisional Licence)	1
IM Hyejin	BNU311	Licensed Representative (Provisional Licence)	1, 4, 9
IP Stanley Ho-yin	BNU401	Licensed Representative (Provisional Licence)	1, 2
JAIN Vaibhav	BNV479	Licensed Representative (Provisional Licence)	4
JI Yuan	BNV436	Licensed Representative (Provisional Licence)	6
JIANG Guifang	BLQ521	Licensed Representative (Provisional Licence)	1
JIANG Lingli	BNP423	Licensed Representative (Provisional Licence)	4, 9
JIN Ming	BNS991	Licensed Representative (Provisional Licence)	1, 4, 9
JO Kotaku	BNU538	Licensed Representative (Provisional Licence)	1, 4, 9
JOU Geoffrey	BNS140	Licensed Representative (Provisional Licence)	1, 2
KANAI Kentaro	BNV101	Licensed Representative (Provisional Licence)	4, 5, 9
KANG Mina	BGE792	Licensed Representative (Provisional Licence)	1
KELLY Stephen Wood	AQF041	Licensed Representative (Provisional Licence)	2, 5
KELLY Violeta	BNU075	Licensed Representative (Provisional Licence)	1, 6
KIM Gwi Chul	BNU939	Licensed Representative (Provisional Licence)	1, 4
KIM Jung Soo	BNU531	Licensed Representative (Provisional Licence)	1, 9
KIM Taehee	BNS669	Licensed Representative (Provisional Licence)	1, 4, 9
KO Cheuk Ming	BNU439	Licensed Representative (Provisional Licence)	1, 6
KONG Pil Sung Philip	BNT057	Licensed Representative (Provisional Licence)	1
KONG Yiu Chung	ALS425	Licensed Representative (Provisional Licence)	1
KU Chung	BNV246	Licensed Representative (Provisional Licence)	2
KU Peter Chun Chung	BNV288	Licensed Representative (Provisional Licence)	2
KUANG Shi	BNV294	Licensed Representative (Provisional Licence)	4
KUMAR Avinash	BNV566	Licensed Representative (Provisional Licence)	9
KUSUNOKI Hidenori	BNR348	Licensed Representative (Provisional Licence)	4
KWAN Chun Fei	BNV561	Licensed Representative (Provisional Licence)	4
LACEY Robert William Patrick	ADR672	Licensed Representative (Provisional Licence)	1, 4
LAI Hoi Wah	BNU553	Licensed Representative (Provisional Licence)	6
LAI Weng Si Vivian	BNU128	Licensed Representative (Provisional Licence)	1, 4
LAM Tsun Kit	BNU755	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Wing Lun	AXB594	Licensed Representative (Provisional Licence)	1, 9
LAM Yik Man	BNR320	Licensed Representative (Provisional Licence)	1, 2
LAN Mengyu	BNU138	Licensed Representative (Provisional Licence)	1
LAU Chi Him Christopher	BNV545	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Nga Yeung	ALQ199	Licensed Representative (Provisional Licence)	1, 2
LEE Ai Ling	BNU246	Licensed Representative (Provisional Licence)	1, 2
LEE Bong Tae	AQP646	Licensed Representative (Provisional Licence)	1, 4
LEE Chang Cheng	BNU261	Licensed Representative (Provisional Licence)	2, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
LEE Chi Hang, Henry	BKG199	Licensed Representative (Provisional Licence)	1
LEE Chun On John	BNU213	Licensed Representative (Provisional Licence)	1, 2, 9
LEE Chun Wing	BNU558	Licensed Representative (Provisional Licence)	9
LEE David Jinsig	BNT215	Licensed Representative (Provisional Licence)	9
LEE Joseph Won	ANB457	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Kam In	BNT045	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEE Kin Sang, Kenneth	BNU154	Licensed Representative (Provisional Licence)	1, 4
LEE Po Kum, Jessica	AEP635	Licensed Representative (Provisional Licence)	1, 2
LEE Seok Won	BNN710	Licensed Representative (Provisional Licence)	1
LEE Siu Hang Foster	BML668	Licensed Representative (Provisional Licence)	1
LEE Wing Ki	BNU621	Licensed Representative (Provisional Licence)	1, 2
LEE Yee Hang Bosco	BNS662	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Man Leong	BNT863	Licensed Representative (Provisional Licence)	1, 4
LEUNG Wing Shing	AQA981	Licensed Representative (Provisional Licence)	1
LEUNG Yan Ki	BNT781	Licensed Representative (Provisional Licence)	1, 2
LI Doudou	BNU242	Licensed Representative (Provisional Licence)	4
LI Jiayao	BNU745	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jing	AUG569	Licensed Representative (Provisional Licence)	1, 4, 6
LI Junhui	BKT337	Licensed Representative (Provisional Licence)	1, 4, 9
LI Sha	BNV167	Licensed Representative (Provisional Licence)	4
LI Yaozhu	BNV391	Licensed Representative (Provisional Licence)	4, 9
LI Yi	BNU058	Licensed Representative (Provisional Licence)	1, 6
LI Yu	BNS244	Licensed Representative (Provisional Licence)	1, 6
LIAO Hanqing	BNU673	Licensed Representative (Provisional Licence)	1, 6
LIAO Yu Wei	BNU018	Licensed Representative (Provisional Licence)	1
LIM Wei Han	BNU272	Licensed Representative (Provisional Licence)	1
LIN Tzu-Han	BNU524	Licensed Representative (Provisional Licence)	1, 4
LIN Yue	BNU010	Licensed Representative (Provisional Licence)	1, 6
LISTER Cassandra	BNO036	Licensed Representative (Provisional Licence)	9
LIU Baixian	BNT242	Licensed Representative (Provisional Licence)	6
LIU Chang	BNT779	Licensed Representative (Provisional Licence)	1, 6
LIU Enyan	BNU864	Licensed Representative (Provisional Licence)	2, 5
LIU Guangwei	BNV593	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Jiazhou	BNU059	Licensed Representative (Provisional Licence)	4
LIU Kang	BNV270	Licensed Representative (Provisional Licence)	1
LIU Shuangfeng	BNU539	Licensed Representative (Provisional Licence)	4
LIU Sunliang	BNU851	Licensed Representative (Provisional Licence)	4
LIU Wai Yip	BJT487	Licensed Representative (Provisional Licence)	1
LIU Xiaoning	BNV582	Licensed Representative (Provisional Licence)	4
LIU Yixuan	BNU065	Licensed Representative (Provisional Licence)	1, 6
LIU Yu Chun	BNU044	Licensed Representative (Provisional Licence)	4, 9
LIU Zhicheng	BNT783	Licensed Representative (Provisional Licence)	6
LO Yuk Fai Jamin	BNT198	Licensed Representative (Provisional Licence)	1
LU Yan	BNO497	Licensed Representative (Provisional Licence)	1, 2
LUI Anne	AXO912	Licensed Representative (Provisional Licence)	1, 4, 9
LUI Yee Lin, Eva	ATN123	Licensed Representative (Provisional Licence)	1, 4
LUK Tsz Ming	BNQ785	Licensed Representative (Provisional Licence)	5
LUO Jiageng	BNU054	Licensed Representative (Provisional Licence)	4
MA Jijia	BNU675	Licensed Representative (Provisional Licence)	1, 6
MA Maurice Sze Chung	BNT990	Licensed Representative (Provisional Licence)	1, 2
MA Qiang	BNU271	Licensed Representative (Provisional Licence)	1, 6
MA Yilin	BNU395	Licensed Representative (Provisional Licence)	1, 6
MAO Zihan	BNU412	Licensed Representative (Provisional Licence)	6
MARKUS Paul Philip	BNU773	Licensed Representative (Provisional Licence)	1, 9
MATSUNAGA Martin Hideyuki	BNT940	Licensed Representative (Provisional Licence)	1, 4, 6
MENG Qing	BFA703	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
MISTRY Sachin Rohit Shiam	ARS999	Licensed Representative (Provisional Licence)	9
MIURA Takashi	BNM228	Licensed Representative (Provisional Licence)	1, 4, 6
MOK Ting Ting	BNU216	Licensed Representative (Provisional Licence)	1, 4
MOK Wing Yan, Anita	BNV037	Licensed Representative (Provisional Licence)	1, 2
MOULDER Anthony Bruce	BFB113	Licensed Representative (Provisional Licence)	4
MOUSSA Imene	BNU976	Licensed Representative (Provisional Licence)	1
NAKAGUCHI Michihiro	BNR971	Licensed Representative (Provisional Licence)	1, 2
NARISEPALLI Karthik	BNU577	Licensed Representative (Provisional Licence)	9
NG Jun	BNV806	Licensed Representative (Provisional Licence)	6
NG Li Sheng Jason	BGG975	Licensed Representative (Provisional Licence)	9
NG Wai Ting	BNU048	Licensed Representative (Provisional Licence)	10
NGO Hiu Fung	BDX181	Licensed Representative (Provisional Licence)	1, 4, 9
NOGRALES Marseille Navarro	BNU774	Licensed Representative (Provisional Licence)	1
NOZAKI Takuya	BNT223	Licensed Representative (Provisional Licence)	1, 4, 6
OH Soo Hoon	BNS482	Licensed Representative (Provisional Licence)	1, 4
OLEARY Jason Sean	BNT993	Licensed Representative (Provisional Licence)	1, 4
OU Yingjie	BNO196	Licensed Representative (Provisional Licence)	9
OUYANG Zimo	BNU405	Licensed Representative (Provisional Licence)	1, 2
PADER Stephane Michel Jacob	BNU917	Licensed Representative (Provisional Licence)	1, 2
PAN Zhicun	BNT241	Licensed Representative (Provisional Licence)	6
PANG Shu Wai William	AWY264	Licensed Representative (Provisional Licence)	4, 9
PARKASH Ashvin	BNU114	Licensed Representative (Provisional Licence)	1
PENAVIC Stjepan Drzislav	BNG890	Licensed Representative (Provisional Licence)	1
PENG Fei	BNU244	Licensed Representative (Provisional Licence)	4
PENG Yangyi	BNV257	Licensed Representative (Provisional Licence)	1, 4, 6
PING Jiayan	BNS974	Licensed Representative (Provisional Licence)	4
PONDOMATI CHANDRAPPA Sandeep	BNU249	Licensed Representative (Provisional Licence)	1, 2
QIAN Congyi	BNU186	Licensed Representative (Provisional Licence)	1, 4, 9
QIAN Yifei	BNU994	Licensed Representative (Provisional Licence)	9
QIAO Zheyuan	BNW162	Licensed Representative (Provisional Licence)	1
QUACH Julien Stanislas	BNU282	Licensed Representative (Provisional Licence)	1, 9
QUINN Nicholas Francis	BNU897	Licensed Representative (Provisional Licence)	1
RAPP Leon Derek	BNT767	Licensed Representative (Provisional Licence)	4
REN Mengqi	BBR070	Licensed Representative (Provisional Licence)	1, 6
REN Xiaodong	BNS796	Licensed Representative (Provisional Licence)	1, 4, 9
RUI Xue	BNU844	Licensed Representative (Provisional Licence)	1, 4
SAITA Kenichi	BNU514	Licensed Representative (Provisional Licence)	4
SAKAIDA Hiroyuki	BNU040	Licensed Representative (Provisional Licence)	4
SAKAMOTO Gosuke	BBQ740	Licensed Representative (Provisional Licence)	1
SAKKA Bessam	BNV465	Licensed Representative (Provisional Licence)	1, 2
SARAF Shyam	BNU836	Licensed Representative (Provisional Licence)	1, 6
SELVAMANOCHARAN Krishan	BNT152	Licensed Representative (Provisional Licence)	1
SHANG Lei	BNH677	Licensed Representative (Provisional Licence)	6
SHAO Dongni	BNU273	Licensed Representative (Provisional Licence)	4
SHEN Hung Yat, Allan	AIC834	Licensed Representative (Provisional Licence)	1, 2
SHEN Yichen	BNV145	Licensed Representative (Provisional Licence)	1, 4
SHI Hui	BJA032	Licensed Representative (Provisional Licence)	1, 4, 6
SIM Colin Ching Wern	AGN521	Licensed Representative (Provisional Licence)	9
SO Kwai Lin	BNV804	Licensed Representative (Provisional Licence)	1, 4
SO Vincent Hon Pong	ADB610	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Jiawei	BNU014	Licensed Representative (Provisional Licence)	4
SONNI Sean Sharath	BNU972	Licensed Representative (Provisional Licence)	1, 2, 4
SUH Joseph	BNU518	Licensed Representative (Provisional Licence)	1, 6
SUO Yawei	BNS760	Licensed Representative (Provisional Licence)	1, 4
TAM Chik Yeung Nicky	BNU648	Licensed Representative (Provisional Licence)	1
TAN Lee Kwang	BNU843	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
TAN Lok Him	BNV023	Licensed Representative (Provisional Licence)	1
TAN Shu Xian Theresa	BNU761	Licensed Representative (Provisional Licence)	2
TAN Yujun	BKU720	Licensed Representative (Provisional Licence)	1, 4
TANG Wai Kay	AAV573	Licensed Representative (Provisional Licence)	4, 9
TANG Yuewen	BNU982	Licensed Representative (Provisional Licence)	1, 4
TING Fu Wah	AOE212	Licensed Representative (Provisional Licence)	1, 4
TONG Tin Yau	BFO842	Licensed Representative (Provisional Licence)	4, 9
TSANG Hing Kit Johnny	BNU661	Licensed Representative (Provisional Licence)	1, 4
TSANG Siu Ting	BGD646	Licensed Representative (Provisional Licence)	1
TSE Po Wing	ASD891	Licensed Representative (Provisional Licence)	1
TU Ying	BNT172	Licensed Representative (Provisional Licence)	1, 4, 6
TUNTONO Christiaan	AEO918	Licensed Representative (Provisional Licence)	4
UM Maynard J	AXW559	Licensed Representative (Provisional Licence)	4
VARU Sachit	BNV207	Licensed Representative (Provisional Licence)	1, 2
VASUDHA Aishwarya	BNU400	Licensed Representative (Provisional Licence)	1, 2, 4
VERA Ethan John	BNS983	Licensed Representative (Provisional Licence)	1, 6
WAN Yu	BNV286	Licensed Representative (Provisional Licence)	1
WANG Can	BNT143	Licensed Representative (Provisional Licence)	4
WANG Chenyan	BNS566	Licensed Representative (Provisional Licence)	1
WANG Chun Wai	BNU046	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Danning	BNT182	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Fei Fern	BNU034	Licensed Representative (Provisional Licence)	10
WANG Jun	BNS474	Licensed Representative (Provisional Licence)	1, 2
WANG Ke	BNU853	Licensed Representative (Provisional Licence)	9
WANG Lu	BID335	Licensed Representative (Provisional Licence)	4
WANG Ning	BNV447	Licensed Representative (Provisional Licence)	4
WANG Pan	AZM547	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Qijue	BNU581	Licensed Representative (Provisional Licence)	4
WANG Rui	AXO614	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Shali	BMP755	Licensed Representative (Provisional Licence)	4, 9
WANG Tai Ying	BNU191	Licensed Representative (Provisional Licence)	6
WANG Xiaoran	BNT782	Licensed Representative (Provisional Licence)	6
WANG Zichao	BNV387	Licensed Representative (Provisional Licence)	1, 4
WEI Like	AWC816	Licensed Representative (Provisional Licence)	1, 4
WEN Shan Tseng	BNU567	Licensed Representative (Provisional Licence)	1, 4
WEN Xuanhao	BNU652	Licensed Representative (Provisional Licence)	1, 4, 9
WILLIAMS Edward Ieuan Aubrey	BNU443	Licensed Representative (Provisional Licence)	1
WIRAWAN Ronald	BNT214	Licensed Representative (Provisional Licence)	1
WONG Darwin Ho Wan	BNU520	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Hong	BNS467	Licensed Representative (Provisional Licence)	10
WONG Kit Yan, Cecilia	ANN330	Licensed Representative (Provisional Licence)	1, 4
WONG Tsz Ching	BBH085	Licensed Representative (Provisional Licence)	1
WONG Tsz Sing	BNU600	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Bun Benson	BNT804	Licensed Representative (Provisional Licence)	1, 9
WU Mike Quark Way	BNU667	Licensed Representative (Provisional Licence)	1, 6
WU Weijing	BNU074	Licensed Representative (Provisional Licence)	1, 6
WU Zihao	BNV276	Licensed Representative (Provisional Licence)	1, 6
WUN Suet Ling	BNV433	Licensed Representative (Provisional Licence)	1
WYPRYSKY Victoria Ann	BNU293	Licensed Representative (Provisional Licence)	1, 6
XIAO Yang	BNU417	Licensed Representative (Provisional Licence)	1
XIE Jinhui	AQO522	Licensed Representative (Provisional Licence)	9
XIE Manqi	BNT986	Licensed Representative (Provisional Licence)	10
XIE Musha	BNU359	Licensed Representative (Provisional Licence)	1
XIE Shaowei	BNP481	Licensed Representative (Provisional Licence)	1
XIE Zizhu	BNV256	Licensed Representative (Provisional Licence)	1, 4, 6
XU Jacqueline	BNU515	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
XU Vincent	AZF683	Licensed Representative (Provisional Licence)	1
XU Zihan	BGY750	Licensed Representative (Provisional Licence)	4
XUE Ke	BNV464	Licensed Representative (Provisional Licence)	6
YANG Chidie	BNU521	Licensed Representative (Provisional Licence)	1
YANG Liu	BNU351	Licensed Representative (Provisional Licence)	1, 6
YANG Timothy Tian	BNT024	Licensed Representative (Provisional Licence)	1
YANG Xin	BNU267	Licensed Representative (Provisional Licence)	1, 6
YANG Zifan	BNV388	Licensed Representative (Provisional Licence)	4, 9
YAP Soon Yeong	BDY740	Licensed Representative (Provisional Licence)	1, 4
YAU Siu Yin	BNU185	Licensed Representative (Provisional Licence)	1, 2
YE Junrui	BNU268	Licensed Representative (Provisional Licence)	4
YEUNG Hiu Tung	BNV186	Licensed Representative (Provisional Licence)	1
YEUNG Man Chuen	BNU223	Licensed Representative (Provisional Licence)	1, 4
YEUNG Tsz Kit, Jacky	ASZ509	Licensed Representative (Provisional Licence)	4, 9
YIP Ho Man, Kirk	AQN832	Licensed Representative (Provisional Licence)	4, 9
YOON Joo Hoon	BNS376	Licensed Representative (Provisional Licence)	1, 6
YOU Jiaxin	BNV456	Licensed Representative (Provisional Licence)	1, 6
YU Mengke	BNU535	Licensed Representative (Provisional Licence)	1, 4, 9
YU Wai Yan, Joanne	BNU516	Licensed Representative (Provisional Licence)	1
YU Wai Yat	BNR811	Licensed Representative (Provisional Licence)	9
YU Wenting	BNV558	Licensed Representative (Provisional Licence)	1
YVER Charly Werner	BNU457	Licensed Representative (Provisional Licence)	9
ZANNINO Francesco	APZ383	Licensed Representative (Provisional Licence)	1, 2
ZENG Chan	BNV293	Licensed Representative (Provisional Licence)	4
ZENG Tu	BNV472	Licensed Representative (Provisional Licence)	1, 2
ZHANG Bingqian	BNU591	Licensed Representative (Provisional Licence)	6
ZHANG Hanshu	BNU396	Licensed Representative (Provisional Licence)	9
ZHANG Jingwei	AUG360	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jun	BNV728	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Kun	AYX057	Licensed Representative (Provisional Licence)	1, 4
ZHANG Peng	BNU775	Licensed Representative (Provisional Licence)	2, 5
ZHANG Ruoxing	BNS690	Licensed Representative (Provisional Licence)	1, 4
ZHANG Salinas Meng	BNU589	Licensed Representative (Provisional Licence)	4
ZHANG Siyu	BNT147	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xiaobin	BNV054	Licensed Representative (Provisional Licence)	4
ZHANG Xipeng	BNT151	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yang	BNC049	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yueru	BNV454	Licensed Representative (Provisional Licence)	4
ZHANG Yulu	BNU523	Licensed Representative (Provisional Licence)	4
ZHANG Ziyang	BNV350	Licensed Representative (Provisional Licence)	4
ZHAO WANG	BNS764	Licensed Representative (Provisional Licence)	1, 6
ZHENG Fei	BNS485	Licensed Representative (Provisional Licence)	4
ZHENG Si Qi	BNU650	Licensed Representative (Provisional Licence)	4
ZHOU Junzhi	BNV557	Licensed Representative (Provisional Licence)	4
ZHOU Miaojia	BNU920	Licensed Representative (Provisional Licence)	1, 4
ZHOU Tianshu	BNU414	Licensed Representative (Provisional Licence)	1
ZHOU Xiaoning	BNT765	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yuxin	BNU828	Licensed Representative (Provisional Licence)	6
ZHOU Yuzhe	BNU269	Licensed Representative (Provisional Licence)	1, 6
ZHU Kangping	BNV564	Licensed Representative (Provisional Licence)	9
ZHU Luying	BNT989	Licensed Representative (Provisional Licence)	4
ZHU Xiaoqin	BNU995	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yurui	BNV476	Licensed Representative (Provisional Licence)	2
ZUO Sa	BNV280	Licensed Representative (Provisional Licence)	1, 6
ADISA Miriam Anuoluwapo	BNS475	Licensed Representative (Temporary Licence)	1, 4
ADITYA Kevie	BNS436	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
AGARWAL Virat	BGU565	Licensed Representative (Temporary Licence)	1
AMLICKE Bruce Huddleston	BGY366	Licensed Representative (Temporary Licence)	1, 4
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BEDOUET Jerome Frederic Francois	BIN300	Licensed Representative (Temporary Licence)	1, 2
BENTVELZEN Rachel Anne	BJF272	Licensed Representative (Temporary Licence)	4
BRAND Helen Michelle	BHY288	Licensed Representative (Temporary Licence)	4
BROWN Evan Michael	BNV377	Licensed Representative (Temporary Licence)	1, 4
BYRNE Stephen Vincent	BNV312	Licensed Representative (Temporary Licence)	4
CAKARNIS Jakob David	BNU582	Licensed Representative (Temporary Licence)	4
CAVKA Anthony	BNU143	Licensed Representative (Temporary Licence)	2
CHAMBERLAYNE Hamish Tankerville	BNU112	Licensed Representative (Temporary Licence)	1, 4
CHAUVET Thomas Vincent	BJE499	Licensed Representative (Temporary Licence)	4
CHEUNG Ho Wan	BNV412	Licensed Representative (Temporary Licence)	1, 2
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHUNG Jeehyun	BEX928	Licensed Representative (Temporary Licence)	1
COGHILL James Arthur Lawrence	AWC079	Licensed Representative (Temporary Licence)	4
COX Ashley James	AQS487	Licensed Representative (Temporary Licence)	1, 2
CRESTEIL Charles Thibault	BNU276	Licensed Representative (Temporary Licence)	4
CUI Pengxiang	BNU131	Licensed Representative (Temporary Licence)	4
CULELL Benjamin Joseph	BNU193	Licensed Representative (Temporary Licence)	1
CURRY Jonathan Iain	BHD383	Licensed Representative (Temporary Licence)	1
DAVIS Stephanie July	BNS720	Licensed Representative (Temporary Licence)	4
DE BLONAY Guy Charles Desmond	BBF372	Licensed Representative (Temporary Licence)	1
DEARTH Liliانا Castillo	BDQ510	Licensed Representative (Temporary Licence)	1, 4
DIDELOT Nicolas Mathieu	BHO975	Licensed Representative (Temporary Licence)	1, 4
DUTTON Charles Keith Hawkins	AEM367	Licensed Representative (Temporary Licence)	4
EARY Richard James	AEK574	Licensed Representative (Temporary Licence)	4
EDEN Charles Roger David	BNV511	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
ESPENSHADE Kyle David	BNU085	Licensed Representative (Temporary Licence)	1, 2
EVANS Robert James Philip	BNV029	Licensed Representative (Temporary Licence)	1
FANG Chenjun	BJQ180	Licensed Representative (Temporary Licence)	4
FARID FILHO Marcio Antonio	BNU554	Licensed Representative (Temporary Licence)	4
FAUCETTE James Eugene	BNU992	Licensed Representative (Temporary Licence)	1, 4
FLANNERY Simon William	BNU448	Licensed Representative (Temporary Licence)	1, 4
FOONG Choong Chen	BDD885	Licensed Representative (Temporary Licence)	4
FORD Alexander William	BIN326	Licensed Representative (Temporary Licence)	1, 2
FRASER JENKINS Inigo Lewis	AVZ834	Licensed Representative (Temporary Licence)	4
FUJIKI Tomohisa	BJK781	Licensed Representative (Temporary Licence)	4
GABB Jack Richard	BNV331	Licensed Representative (Temporary Licence)	4
GAGNON Philippe	BNT930	Licensed Representative (Temporary Licence)	1, 2
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GAVIRIA BETANCUR Daniel	BNU706	Licensed Representative (Temporary Licence)	1
GILLHAM Richard James	BNS165	Licensed Representative (Temporary Licence)	1
GORDON Ian J	BNU142	Licensed Representative (Temporary Licence)	4
GRAHAM Cameron Scott	BNU413	Licensed Representative (Temporary Licence)	1
GRASECK Elizabeth Lynn	BBQ720	Licensed Representative (Temporary Licence)	1, 4
GREIG Charles Alexander Scott	BNU310	Licensed Representative (Temporary Licence)	4
GUPTA Shivang	BHY492	Licensed Representative (Temporary Licence)	1, 2
HAENNI Celine Valentine Marie	BJV393	Licensed Representative (Temporary Licence)	1, 2
HAMBRO Evy Piers George	AOR313	Licensed Representative (Temporary Licence)	1, 4
HAN Sixu	BNU117	Licensed Representative (Temporary Licence)	4
HATCH Jonathan George	AZH060	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
HENDRIKSE Harald Christiaan	BEV944	Licensed Representative (Temporary Licence)	1, 4
HESLOP Ian	BGV262	Licensed Representative (Temporary Licence)	1
HIRAKAWA Mikio	BBT553	Licensed Representative (Temporary Licence)	4
HIROSE (KUWAHARA) Akiko	AOB564	Licensed Representative (Temporary Licence)	4
HOCKING Jonathan Michael	BNS478	Licensed Representative (Temporary Licence)	1, 4
HORNE Martin Scott	BNR304	Licensed Representative (Temporary Licence)	1, 4
HORTON Natalie	BMW230	Licensed Representative (Temporary Licence)	1
HOSHI Naoki	AWP716	Licensed Representative (Temporary Licence)	1, 2
HOTTA Kenjin Tom	AZV542	Licensed Representative (Temporary Licence)	4
HOUGHTON Brett Thomas	BNU762	Licensed Representative (Temporary Licence)	1
HOUGHTON Edward Luke	BEM930	Licensed Representative (Temporary Licence)	4
HU Chang	BJR685	Licensed Representative (Temporary Licence)	4
HUANG Han-Ping	BNU192	Licensed Representative (Temporary Licence)	10
HUANG Po-Wei	BNV921	Licensed Representative (Temporary Licence)	1
HUBERTY Kathryn Lynn	BAE422	Licensed Representative (Temporary Licence)	1, 4
HUGHES Michael Warren	AQV262	Licensed Representative (Temporary Licence)	1
HUTCHINSON Lorraine Corinne	BGL062	Licensed Representative (Temporary Licence)	4
HWANG Jennifer Nicole	AXG088	Licensed Representative (Temporary Licence)	1
HWANG Paul	AMO577	Licensed Representative (Temporary Licence)	4
INGUVA Himabindu	BNS829	Licensed Representative (Temporary Licence)	4
IVANOVIC Aleksandar	BLE528	Licensed Representative (Temporary Licence)	1, 4
IWAI Katsuhiko	BGJ236	Licensed Representative (Temporary Licence)	1, 4
JAIPURIAR Priyesh	BDY980	Licensed Representative (Temporary Licence)	1, 2
JANSEN Carissa Frances	BJJ478	Licensed Representative (Temporary Licence)	1
JIANG Chun	BNU101	Licensed Representative (Temporary Licence)	4
JONAS Adam Michael	BGR023	Licensed Representative (Temporary Licence)	1, 4
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
JUNG Chungkoo Eugene	AWP250	Licensed Representative (Temporary Licence)	4
KALBREIER Lars Matthias	BNS039	Licensed Representative (Temporary Licence)	1, 4
KAM Lik Ping, Amy	BNU977	Licensed Representative (Temporary Licence)	1
KANG Sangin	BNK662	Licensed Representative (Temporary Licence)	1
KARUNAKARAN Kishore Lakshman	BJT495	Licensed Representative (Temporary Licence)	1, 4, 5
KASHANEK Peter James	BGW597	Licensed Representative (Temporary Licence)	1, 4
KAWASAKI Satsuki	BJE606	Licensed Representative (Temporary Licence)	4
KAYNES David Charles	BDA619	Licensed Representative (Temporary Licence)	4
KELLY Colm	BNU416	Licensed Representative (Temporary Licence)	4
KENNEDY Kenneth Patrick	BNU445	Licensed Representative (Temporary Licence)	1, 2
KIM Nara	BHO992	Licensed Representative (Temporary Licence)	1
KINCAID Jeremy Lindsay	BNU009	Licensed Representative (Temporary Licence)	4
KING John Peter	BHS490	Licensed Representative (Temporary Licence)	4
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KLOSS Brian Lavelle	BKC147	Licensed Representative (Temporary Licence)	1
KOVACS Gyorgy	BNV827	Licensed Representative (Temporary Licence)	4
KUBOTA Masashi	BBA891	Licensed Representative (Temporary Licence)	4
KULKARNI Sanjay Gopal	AVS570	Licensed Representative (Temporary Licence)	1, 4
KUNII Takayuki	BNV415	Licensed Representative (Temporary Licence)	4
KUPLENT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
KUSHMA Michael Bernard	BAJ311	Licensed Representative (Temporary Licence)	1
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LALWANI Rajeev	BLO976	Licensed Representative (Temporary Licence)	1, 4
LAPLENIE Olivier Ludovic	BNU453	Licensed Representative (Temporary Licence)	4
LARKIN Anthony Gerrard	ABW349	Licensed Representative (Temporary Licence)	4
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEE Catherine May	BNU353	Licensed Representative (Temporary Licence)	4
LEE Charles Changsun	BEJ598	Licensed Representative (Temporary Licence)	1
LEE Go Eun	ASP244	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
LENVALSKAIA Uliana	BKZ557	Licensed Representative (Temporary Licence)	4
LI Kunlun	BNU121	Licensed Representative (Temporary Licence)	4
LIANI Tal Yehi	BEL903	Licensed Representative (Temporary Licence)	4
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
LIU Jia	BNU108	Licensed Representative (Temporary Licence)	4
LLOYD David	BEF285	Licensed Representative (Temporary Licence)	4
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MARKS Janina Martha	BGV716	Licensed Representative (Temporary Licence)	1, 2
MARRIOTT Gavin Brent	ASH807	Licensed Representative (Temporary Licence)	1
MATHRANI Nilima Ajay	BMT591	Licensed Representative (Temporary Licence)	1, 4
MATTANAPOTCHANART Wasu	BNU783	Licensed Representative (Temporary Licence)	4
MC GHEE Raishaun Michael	BNT970	Licensed Representative (Temporary Licence)	1, 2
MCHUGH Christopher David	BNU665	Licensed Representative (Temporary Licence)	1, 2
MCHUGH Michael Sean	BJR979	Licensed Representative (Temporary Licence)	1, 4
MEERS William Neame	BID388	Licensed Representative (Temporary Licence)	1
MITCHELL Jason Steven	BNU302	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	4
MOSSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1
MOUTHON Alexandre Remy	BCT481	Licensed Representative (Temporary Licence)	1
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
MURALIDHAR Srikantaiah	BNS340	Licensed Representative (Temporary Licence)	1, 4
NAJARIAN Leanne Erika	BDH956	Licensed Representative (Temporary Licence)	4
NAKASHIMA Tatsuki	BNU083	Licensed Representative (Temporary Licence)	1
NAMBA SHAW Kazuki David Oliver	BNT946	Licensed Representative (Temporary Licence)	1, 2
NASH Mark Roderick	BMM195	Licensed Representative (Temporary Licence)	1
NEDELUCU Cristian-Costin	BNV006	Licensed Representative (Temporary Licence)	4
NELLIS Simon George	BNT163	Licensed Representative (Temporary Licence)	4
NIAMATALI Gordon Yerxis	ATO809	Licensed Representative (Temporary Licence)	1
NICOLL William	BNU732	Licensed Representative (Temporary Licence)	1
NIHONYANAGI Kei	BBP472	Licensed Representative (Temporary Licence)	4
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	1, 4
OBIN Andrew Burriss	BDI734	Licensed Representative (Temporary Licence)	4
OHMES Robert Frederick	BGK749	Licensed Representative (Temporary Licence)	4
OSTERN Michael	BFK472	Licensed Representative (Temporary Licence)	1
PARK Jeong Woo	BHJ624	Licensed Representative (Temporary Licence)	4
PARK So Yeon	BHJ618	Licensed Representative (Temporary Licence)	4
PARK Sung Mee	BFT696	Licensed Representative (Temporary Licence)	4
PARKER Jawan Shamel	BGJ234	Licensed Representative (Temporary Licence)	1, 4
PATRICIA -	BNS270	Licensed Representative (Temporary Licence)	4
PAYYAPPILLY Victor Cherian	BNS045	Licensed Representative (Temporary Licence)	1, 4
PEREZ FERNANDEZ Juan Luis	BGE895	Licensed Representative (Temporary Licence)	4
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
PREUSSER Ralf Alexander	BGJ102	Licensed Representative (Temporary Licence)	4
PRITCHARD III Walter Herbert	BNU949	Licensed Representative (Temporary Licence)	4
PROJIT Chatterjee	BID889	Licensed Representative (Temporary Licence)	1, 4
RAMAKRISHNAN Karthik Narayan	BMU958	Licensed Representative (Temporary Licence)	1
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	4
RAYABSANGDUAN Pornthipa	AYY247	Licensed Representative (Temporary Licence)	4
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4
ROBINSON Geoffrey Robert Anthony	BHX552	Licensed Representative (Temporary Licence)	4
RUSSELL David Andrew	ARN189	Licensed Representative (Temporary Licence)	1, 2
SAHASRABUDHE Amol	BNT758	Licensed Representative (Temporary Licence)	4
SAKAI Senka	BNQ982	Licensed Representative (Temporary Licence)	1
SANDERSE Menno Gerard Cornelis	AUX424	Licensed Representative (Temporary Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018

CE Name	CE No.	License Type	Types of Regulated Activity
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	4
SAUNDERS Philip Gordon Strode	ADB492	Licensed Representative (Temporary Licence)	4
SEKI Atsushi	BIB389	Licensed Representative (Temporary Licence)	4
SENGOKU Makoto	BIR029	Licensed Representative (Temporary Licence)	4
SHAO Jiangchen	BMU959	Licensed Representative (Temporary Licence)	1
SHAW Lachlan John Rayner	BGL492	Licensed Representative (Temporary Licence)	4
SHEIKH Omar Farooq	BNV067	Licensed Representative (Temporary Licence)	1, 4
SHERIDAN Peter Frederich	BNU195	Licensed Representative (Temporary Licence)	1
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SOLE Jay Daniel	BEK759	Licensed Representative (Temporary Licence)	4
SONG Yang	AXP716	Licensed Representative (Temporary Licence)	10
SPENCER Raymond	BNU220	Licensed Representative (Temporary Licence)	4
SPROULES Brendan Allan	BGO985	Licensed Representative (Temporary Licence)	4
SU Minghui	BNU137	Licensed Representative (Temporary Licence)	4
SUMMERFIELD David Angus	AEP603	Licensed Representative (Temporary Licence)	1
SUTANDI Kartika	BGP463	Licensed Representative (Temporary Licence)	1, 4
SWAMINATHAN Anand	AUW975	Licensed Representative (Temporary Licence)	4
TAKAHASHI Kei	AOQ614	Licensed Representative (Temporary Licence)	4
TAN Wei Ning Rachael	BIE894	Licensed Representative (Temporary Licence)	4
TAY Paul Tiong	BNU738	Licensed Representative (Temporary Licence)	1
TEDDER Alexander Julian Harvey	BNS483	Licensed Representative (Temporary Licence)	1
TEGUH Erwan	BNU858	Licensed Representative (Temporary Licence)	4
TELFER Patrick	ASY387	Licensed Representative (Temporary Licence)	1
TELI Siddharth Gautam	BNU784	Licensed Representative (Temporary Licence)	4
THOMAS Richard	BDD358	Licensed Representative (Temporary Licence)	4
TOMLIN Owen	ALI374	Licensed Representative (Temporary Licence)	1
TRAUB Lothar Manfred	BNV537	Licensed Representative (Temporary Licence)	1, 4
ULRICH Christian Klemenz	BJR980	Licensed Representative (Temporary Licence)	1, 4
VAN LAMOEN Carola Monique	BNU746	Licensed Representative (Temporary Licence)	1
VISAIRAS RATTO Santos Martin	APW262	Licensed Representative (Temporary Licence)	1
WANG Hao	BMW948	Licensed Representative (Temporary Licence)	1
WEISS Keith Eric	BDQ556	Licensed Representative (Temporary Licence)	1, 4
WEYNS Guy Joseph M.	BLM858	Licensed Representative (Temporary Licence)	4
WILLIAMS Matthew James	BCV078	Licensed Representative (Temporary Licence)	1, 4
WILLS Arthur Patrick	BNV773	Licensed Representative (Temporary Licence)	1, 2
XIA Yinyin	BJQ416	Licensed Representative (Temporary Licence)	4
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	4
YAMAGUCHI Miho	BBD148	Licensed Representative (Temporary Licence)	1
YAMAMOTO Haruka	AZS125	Licensed Representative (Temporary Licence)	1
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YIN Jun	BFN301	Licensed Representative (Temporary Licence)	1
YOO Jae Hyuk	BBS475	Licensed Representative (Temporary Licence)	4
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZADORNOVA Anna	BGN220	Licensed Representative (Temporary Licence)	4
ZHANG Lu	BHI015	Licensed Representative (Temporary Licence)	1
ZHANG Weixuan	BNT218	Licensed Representative (Temporary Licence)	4
ZHANG Yuanbin	BIG721	Licensed Representative (Temporary Licence)	1
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1
ZHOU Jie	BNU194	Licensed Representative (Temporary Licence)	1
ZHUANG Ruixian	BNV007	Licensed Representative (Temporary Licence)	4
ZHUO Sophie Ya Li	BNV013	Licensed Representative (Temporary Licence)	1, 4
ZNETYNIAK Mykolaj David	BNU262	Licensed Representative (Temporary Licence)	2, 5