



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AFO Management Limited	BNP280	Licensed Corporation	9
BE Fortune (HK) Investment Management Limited	BNQ765	Licensed Corporation	9
BNP PARIBAS ASSET MANAGEMENT ASIA LIMITED - BNP PARIBAS ASSET MANAGEMENT ASIA Limited (ABA779) and BNP Paribas Dealing Services Asia Limited (AEK771) amalgamated on 1 January 2019, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is BNP PARIBAS ASSET MANAGEMENT ASIA LIMITED (BNS488).	BNS488	Licensed Corporation	1, 2, 4, 5, 9
Brickfield Partners Limited	BNQ719	Licensed Corporation	9
CN First Investment Management Limited	BNJ579	Licensed Corporation	9
Draco Capital Limited	BJW469	Licensed Corporation	6
GL China Equity HK Management Limited	BNN739	Licensed Corporation	4, 9
GOHO International Asset Management Limited	BNL494	Licensed Corporation	4, 9
Golden Eagle Brokerage Limited	BNJ356	Licensed Corporation	1, 4
Heng Tai Management Limited	BNI791	Licensed Corporation	1, 4, 9
Honestum International Limited	BNE952	Licensed Corporation	6
HongDa Securities Limited	BNJ582	Licensed Corporation	1
Hongkong Rich & Fortune Securities Co., Limited	BNC806	Licensed Corporation	1
Hope Chain Asset Management Co., Limited	BNP876	Licensed Corporation	4, 9
HUAJIN International Investment Management Limited	BMX919	Licensed Corporation	9
Huntersay Asset Management Limited	BNO076	Licensed Corporation	5, 9
Infini Capital Management Limited	BNI816	Licensed Corporation	9
Jiang Tie International Asset Management Limited	BNG018	Licensed Corporation	1, 4, 9
JMC Futures Investment HK Limited	BNO569	Licensed Corporation	2, 5
JS Capital Limited	BNN239	Licensed Corporation	6
KM Wealth Advisors Limited	BNE632	Licensed Corporation	4, 9
LQ Pacific Partners Limited	BNN105	Licensed Corporation	1, 4, 9
Methodology Securities (HK) Company Limited	BNP845	Licensed Corporation	1, 4
Oceanpine Capital Management Limited	BNC590	Licensed Corporation	9
Pearl Bridge Asset Management Limited	BNC895	Licensed Corporation	4, 9
Pearl Bridge Securities Limited	BNE724	Licensed Corporation	1, 4
Plutus Asset Management Limited	BNJ533	Licensed Corporation	4, 9
Plutus Securities Limited	BNJ530	Licensed Corporation	1
Precision Securities Limited	BND568	Licensed Corporation	1, 4
Rongchong Finance Management Limited	BNF572	Licensed Corporation	1, 4, 9
Sfund International Asset Management Limited	BND736	Licensed Corporation	9
Silverbricks Asset Management Company Limited	BNJ665	Licensed Corporation	4, 9
Soliton Capital Management Limited	BMX491	Licensed Corporation	4, 9
Soliton Securities Limited	BMX492	Licensed Corporation	1, 4
Suntek Financial Investment Company Limited	BNG583	Licensed Corporation	1, 4, 9
Wynson Asset Management Limited	BNN768	Licensed Corporation	4, 9
XHK Limited	BNN565	Licensed Corporation	1, 2
Xuantie International Investment Co., Ltd	BNN599	Licensed Corporation	2, 5
AKAO Reiko	BNY358	Licensed Representative	1, 4
AKATSUKA Yo	ACW146	Licensed Representative	1, 4, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AN Ningning	BNW179	Licensed Representative	4
AN Peng	BNW176	Licensed Representative	4
AOKI Takeo	BNV887	Licensed Representative	1, 4, 6
AU Shuk Ying	BNY420	Licensed Representative	4, 9
AU-YEUNG Emily Ying Ka	ART701	Licensed Representative	1, 4, 9
BAI Feng	BNU730	Licensed Representative	1, 4
BAI Jintao	BNW959	Licensed Representative	1, 4, 6
BAI Yang	BNR483	Licensed Representative	9
BALAISYTE Jurgita	BNY628	Licensed Representative	4
BARWICK Richard John	ART684	Licensed Representative	4
BEDDARD Henry Terence	AOQ827	Licensed Representative	1, 2
BENHAMOU Laurent	BNY263	Licensed Representative	1, 2
BERRY Cedric Julien	BNV752	Licensed Representative	10
BHEN Ka Ho	BNX272	Licensed Representative	6
BIAN Wei	BNY293	Licensed Representative	4, 6
BIDASARIA Shrey	BNX253	Licensed Representative	1, 4, 6
BOUZENAD Antoine Merwan	BNX453	Licensed Representative	9
CAI Quan	BNV036	Licensed Representative	1, 4
CANG Tianyang	BAZ566	Licensed Representative	1, 2, 4
CAO Wei	BNY258	Licensed Representative	1, 4, 6
CAO Yishan	AXI390	Licensed Representative	1, 4, 9
CAO Yu	BNV040	Licensed Representative	1, 4, 6
CAO Ziyang	BNC628	Licensed Representative	1, 4
CHAN Edmund Tat Yung	BNY641	Licensed Representative	4, 9
CHAN Ho Tung	BDH691	Licensed Representative	1, 4
CHAN Hon Yin	AYO720	Licensed Representative	6
CHAN Joseph	BNX362	Licensed Representative	1, 6
CHAN Ka Chun	APC075	Licensed Representative	1, 2
CHAN Ka Ho	BOA261	Licensed Representative	9
CHAN Kevin Jun Xian	BNX489	Licensed Representative	4
CHAN King Yuet	AHF243	Licensed Representative	1, 4, 9
CHAN Nok Yan	BNX030	Licensed Representative	1, 2
CHAN Po Lam	BNY394	Licensed Representative	1, 2
CHAN Shing Ho Johnny	BNY460	Licensed Representative	4, 9
CHAN Wai Man Andy	BCB828	Licensed Representative	9
CHANG Chao An	BNU440	Licensed Representative	6
CHANG Tsz Ling	BNX454	Licensed Representative	1, 4
CHARTRES Pierre	BLI962	Licensed Representative	1
CHEN Che-Pin	BOA076	Licensed Representative	4, 9
CHEN Fei	BNX299	Licensed Representative	1
CHEN Ge	BNV441	Licensed Representative	1, 6
CHEN Huanming	AIU879	Licensed Representative	1, 4, 9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Kwok On	AOD820	Licensed Representative	1, 2
CHEN Pei-Chi	BNX700	Licensed Representative	1, 4
CHEN Sicong	BNV383	Licensed Representative	6
CHEN Siting	BNX002	Licensed Representative	1, 4, 9
CHEN Tian Yi	BNW184	Licensed Representative	4, 5, 9
CHEN Xigejiao	BNV496	Licensed Representative	10
CHEN Zehua	BNU835	Licensed Representative	4
CHEN Zhangqing	BNX771	Licensed Representative	4, 9
CHEN Zhong Wei	AMM502	Licensed Representative	1, 4, 9
CHENG Hiu Ying Karen	BNW215	Licensed Representative	1, 4, 6
CHENG Jing	BNV936	Licensed Representative	1, 6
CHENG Tsang Shin	BNW984	Licensed Representative	1, 2
CHENG Tsz Lok	BHC891	Licensed Representative	4, 9
CHENG Yakun	BNV265	Licensed Representative	1, 4, 9
CHENG Ya-wei	BNW174	Licensed Representative	1, 2, 9
CHENG Yu Sum	BNV471	Licensed Representative	1, 4
CHENG Yuen Ha	AGY625	Licensed Representative	4, 9
CHEUNG Lo Yee Sandy	BNY266	Licensed Representative	1, 2
CHEUNG Ming Tak	ACS870	Licensed Representative	1
CHEUNG Sze Yu	BNX063	Licensed Representative	1, 4, 9
CHEUNG William Wai Yin	BNY428	Licensed Representative	1, 2
CHEUNG Wing Yan	BNX008	Licensed Representative	1, 2
CHEUNG Wing Yee, Magdalen	BAH299	Licensed Representative	9
CHEUNG Yuet Hoi	BAI842	Licensed Representative	1
CHI Cheng	BNY458	Licensed Representative	1, 4, 6
CHIA Wei Chen	ALZ911	Licensed Representative	9
CHIK Kwan Choi, Katherine	AVL718	Licensed Representative	1, 4
CHINEN OKANO Vinicius	BNW027	Licensed Representative	1
CHIU Lee Lee	BNW889	Licensed Representative	1
CHIU Sai Kit	BNV340	Licensed Representative	1, 6
CHOI Chin Chung	BNY031	Licensed Representative	1, 2
CHOI Hojun	BNY511	Licensed Representative	9
CHOI Ka Hing	BNX487	Licensed Representative	1, 4
CHOI Sanghyun	BNV870	Licensed Representative	1, 2
CHOI Shan Lee	BNU390	Licensed Representative	1
CHOI Sing Hin	BNY355	Licensed Representative	1, 4
CHOI Suk Man	BNX819	Licensed Representative	1, 4
CHOPRA Abhishek	BNU940	Licensed Representative	2, 5
CHOU Chi-An	BNV282	Licensed Representative	4
CHOUDHRY Usman Zafar	BNX809	Licensed Representative	9
CHOW Wai Yip	AFE673	Licensed Representative	1, 2
CHU Ka Wang Henry	BNV860	Licensed Representative	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHU Peter	AIR824	Licensed Representative	1, 2
CHUNG Hay Wo, Martin	BNV563	Licensed Representative	1, 2
CHUNG Wai Man	AJC763	Licensed Representative	1, 4, 9
CLAVEL Mathieu Pierre Luc	BGG689	Licensed Representative	1, 9
COOK Geoffrey John	APB534	Licensed Representative	1, 4
DAI Baiquan	BNW181	Licensed Representative	9
DAI Ning	BNU970	Licensed Representative	6
DAMERACHARLA Prithvi Raj	BNV548	Licensed Representative	9
DENG Hengyu	BNY194	Licensed Representative	1, 4
DIU Dave	BIN437	Licensed Representative	6
DONG Lei	BNX294	Licensed Representative	1, 4, 9
DONG Lijuan	BNV834	Licensed Representative	3
DORVAL Julien Thierry Henri	BNX685	Licensed Representative	1, 2
DU Liwan	BNY441	Licensed Representative	1, 2
DUAN Bohao	BNV457	Licensed Representative	1, 4
DUAN Mohao	BNW282	Licensed Representative	2
EMERY Bradley Miles	BNX812	Licensed Representative	4, 6, 9
ESCANO Lorenzo Lim	BAZ778	Licensed Representative	2
EWERT Nicolas Erik Arpad	BNU708	Licensed Representative	6
FAN Xinyue	BNV693	Licensed Representative	1, 6
FAN Zhongzhe	BNW951	Licensed Representative	1, 4, 6
FANG Feiyun	BNX537	Licensed Representative	4
FANG He	BNY025	Licensed Representative	1, 4, 9
FANG Hongjing	BNU751	Licensed Representative	1
FANG Jianfeng	BNV382	Licensed Representative	4, 9
FANG Wenhui	BNN921	Licensed Representative	4, 6
FARRELL Shaun Michael	BNY766	Licensed Representative	9
FENG Xuezhi	BNX429	Licensed Representative	4
FENG Yuchen	BNG655	Licensed Representative	2
FIRTH Charles Richard Bartholomew	AIR808	Licensed Representative	1, 2, 4
FITZGERALD Brian Patrick	BNV132	Licensed Representative	4
FOK Man Chun	BNV262	Licensed Representative	1, 4, 9
FONG Ka Yan	BNV833	Licensed Representative	3
FONG Tsz Sin	BBU625	Licensed Representative	4, 9
FOO Wen Yun	BNX010	Licensed Representative	1
FUNG Kin Chueng	ALZ307	Licensed Representative	1
FUNG Nga Chung, Sabina	ADI123	Licensed Representative	4, 9
FUNG Vincent Hector	BNW864	Licensed Representative	1
GAGE Brett Tyler	BNY371	Licensed Representative	1, 6
GAO Fang	BNY749	Licensed Representative	1, 2, 4
GAO Ge	BNX136	Licensed Representative	1, 4, 9
GAO Ruidong	BNX180	Licensed Representative	4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
GENG Yidan	BER115	Licensed Representative	1, 4, 6
GEORGE Tristan James	BHU035	Licensed Representative	9
GHOSH Soujit	AOJ195	Licensed Representative	1, 2, 4
GUO Jiahua	BHF803	Licensed Representative	1, 6
GUO Peng	BNX688	Licensed Representative	4
GUO Zhen	BNZ011	Licensed Representative	1, 4
HE Meng	BNR853	Licensed Representative	1
HE Xi	BCC252	Licensed Representative	1, 4, 9
HE Yulei	BNX569	Licensed Representative	1, 2
HO Cheuk Hay	BNU522	Licensed Representative	4, 9
HO Ho Yin	BNY370	Licensed Representative	4
HO Ka Wing	BNU990	Licensed Representative	1
HO Mei Lok	AMY548	Licensed Representative	1
HO Siu Keung Ohms	BLX115	Licensed Representative	1
HO Tsun Hei Adrian	BNV796	Licensed Representative	1
HO Wing Sze	BNU793	Licensed Representative	1, 2
HONG Tao	BNV287	Licensed Representative	4
HONG Xueting	BGR488	Licensed Representative	6, 9
HOU Ke	BCJ570	Licensed Representative	4, 9
HOWE Nicholas Gregory Msitu	BNV666	Licensed Representative	9
HSIAO Yu Chung	BNY555	Licensed Representative	1
HU Jie	BNV460	Licensed Representative	1, 4
HU Shutong	BNY725	Licensed Representative	1, 4, 6
HUA Changchun	BBM630	Licensed Representative	4
HUA Pengwei	BNW178	Licensed Representative	4
HUA Zhongnan	BNU873	Licensed Representative	1, 6
HUANG Ping Hung	BNY554	Licensed Representative	2
HUANG Ruolan	BNW030	Licensed Representative	1, 4
HUANG Tao	BNY405	Licensed Representative	4
HUANG Yung Hsien	BHS017	Licensed Representative	4, 9
HUI Sheung Yin, Daniel	AGC425	Licensed Representative	1, 4, 9
HUI Yuanyuan	BNY635	Licensed Representative	1
HUNG Peng-Sheng	BNU789	Licensed Representative	1, 2
IMAZU Nanako	AVU642	Licensed Representative	1, 2
INOUE Tetsuji	BNU214	Licensed Representative	4, 9
IP Ho Ting	BNW289	Licensed Representative	1, 4
JAIN Sanjay	AUW893	Licensed Representative	4
JAMES Robert Charles	BFW365	Licensed Representative	9
JI Yuan	BNV436	Licensed Representative	6
JIA Xiping	BNX455	Licensed Representative	6
JIANG Lingli	BNP423	Licensed Representative	4, 9
JIANG Ruo Yu	AIR858	Licensed Representative	4, 9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
JU Guoxian	BNW287	Licensed Representative	4
KAKKAD Gaurang Udaykumar	BNV452	Licensed Representative	4
KANG Mina	BGE792	Licensed Representative	1
KEDIA Gaurav Pradip	BNO405	Licensed Representative	4
KELLY Dylan Francis Tuck	BNX937	Licensed Representative	4
KO Cheuk Ming	BNU439	Licensed Representative	1, 6
KO Chon Man	BMK670	Licensed Representative	1, 4, 6
KO Kwai Lan	BNY634	Licensed Representative	1
KO Ming Fai	BNP140	Licensed Representative	1, 4, 9
KO Sung H	BNY625	Licensed Representative	1
KOO Chun Ho	BAF365	Licensed Representative	1
KU Chung	BNV246	Licensed Representative	2
KUANG Shi	BNV294	Licensed Representative	4
KUMAR Avinash	BNV566	Licensed Representative	9
KURAHASHI Yumiko	AGO357	Licensed Representative	1, 4
KWAN Kin Shing	BNW890	Licensed Representative	1, 2
KWONG Ming Ngai Ronald	BNV484	Licensed Representative	1
LAI Shenhua	BNW200	Licensed Representative	1
LAI Wai Lun	BLI131	Licensed Representative	1
LAI Wai Nam	BNY633	Licensed Representative	1, 4
LAI Weng Si Vivian	BNU128	Licensed Representative	1, 4
LAM Ho Kan	BMQ110	Licensed Representative	1, 2, 3, 4, 5
LAM Sammi Ka Yan	BNX160	Licensed Representative	1
LAM Sum Kei	BNV038	Licensed Representative	9
LAM Tak Wai, Derek	BEZ160	Licensed Representative	1, 4, 6
LAM Tsz Ching	ASY518	Licensed Representative	1, 9
LAM Wai Yee	BNX898	Licensed Representative	6
LAM Wing Nga	AEX193	Licensed Representative	4, 9
LAN Mengyu	BNU138	Licensed Representative	1
LAO Peiwen	BNV650	Licensed Representative	1, 4, 6
LAO Yan Yuen Brenda	BNX189	Licensed Representative	10
LAU Nga Pin	BNU295	Licensed Representative	1, 4
LAU Sum Yi	BNW971	Licensed Representative	1, 4
LAU Yuen Wai	BNW041	Licensed Representative	6
LEE Hsin-ju	BNV168	Licensed Representative	4, 9
LEE Hye Soo	AXV597	Licensed Representative	1, 4, 9
LEE Jae Young	BNU375	Licensed Representative	2, 5
LEE Kar Ming Chris	BNW053	Licensed Representative	1
LEE Patrick Maung	AMY547	Licensed Representative	4, 9
LEE Po Kum, Jessica	AEP635	Licensed Representative	1, 2
LEE Poh Sing	BNV845	Licensed Representative	1, 4
LEE Pui Man	BNX490	Licensed Representative	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Seungyoung	BNY246	Licensed Representative	1, 4
LEUNG Ching Yiu, Crystal	BCA262	Licensed Representative	4, 9
LEUNG Edward	AZT649	Licensed Representative	9
LEUNG Fionna Leony	BNY526	Licensed Representative	1, 4, 9
LEUNG Mei Yan	BNV678	Licensed Representative	1
LEUNG Yuen Ting	BNX539	Licensed Representative	1, 6
LI Chunliang	AQA052	Licensed Representative	4, 9
LI Guangchen	BNY840	Licensed Representative	4, 9
LI Jiachen	BNY381	Licensed Representative	1, 2
LI Jiayao	BNU745	Licensed Representative	1, 4, 6
LI Jingming	BNV899	Licensed Representative	9
LI Kai Yuen	BNY185	Licensed Representative	1
LI Kwan Ho	BNX690	Licensed Representative	1, 6
LI Lyuzhi	BNW037	Licensed Representative	2
LI Sha	BNV167	Licensed Representative	4
LI Yaozhu	BNV391	Licensed Representative	4, 9
LI Yingwen	BNZ182	Licensed Representative	6
LI Yuan Hong	BII903	Licensed Representative	4, 9
LIANG Daping	BNV495	Licensed Representative	1, 2, 3
LIM Weijern	AWA189	Licensed Representative	4, 9
LIN Chien Nan	BNW993	Licensed Representative	1, 2
LIN Jie	BAE449	Licensed Representative	9
LIN Ruhan	BNX931	Licensed Representative	4
LIN Songguo	BMS692	Licensed Representative	6
LIN Yiwei	BNY408	Licensed Representative	4, 9
LISTER Cassandra	BNO036	Licensed Representative	9
LIU Baixian	BNT242	Licensed Representative	6
LIU Enyan	BNU864	Licensed Representative	2, 5
LIU Haoyu	BLC497	Licensed Representative	1
LIU Hongyi	BES156	Licensed Representative	10
LIU Kang	BNV270	Licensed Representative	1
LIU Lanyu	BNU682	Licensed Representative	1
LIU Liangping	BCK257	Licensed Representative	1
LIU Mengzhuo	BNY348	Licensed Representative	4
LIU Qiuyi	AXS672	Licensed Representative	1, 4, 6
LIU Shuen Kong	BNV062	Licensed Representative	9
LIU Tianlin	BNX606	Licensed Representative	4, 9
LIU Xu	BNX596	Licensed Representative	9
LIU Xuefeng	BNX004	Licensed Representative	4
LIU Yiyan	BNY064	Licensed Representative	1, 6
LIU Zheyuan	BCA351	Licensed Representative	9
LO Chun Tung Patrick	BNU781	Licensed Representative	9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Ho Kwan	BNX552	Licensed Representative	1, 2
LU Ang	BNY294	Licensed Representative	1
LU Leshu	BNX283	Licensed Representative	4, 9
LU Yilin	BNY463	Licensed Representative	4
MAK Chi Pui	BNX941	Licensed Representative	1, 2
MAO Dongjing	BNY465	Licensed Representative	1
MAO Yiyi	BNY155	Licensed Representative	1, 4, 6
MARGO Jonathan Casper Colin	BNW249	Licensed Representative	9
MENG Qing	BFA703	Licensed Representative	1
MIAO Huaxin	BDE609	Licensed Representative	4, 9
MICHELON Jeremie	BNY491	Licensed Representative	1, 2
MOK Oi Ying	BNW893	Licensed Representative	1, 2
MOK Raymond	BHJ465	Licensed Representative	4
MOK Wing Yan, Anita	BNV037	Licensed Representative	1, 2
MONNET Arthur Benoit	BNV078	Licensed Representative	1, 4, 6
MOORE Christopher David	BNY257	Licensed Representative	1, 4
MUTO Jumpei	BNY640	Licensed Representative	1, 2, 4
NAMIKI Yoshiyuki	BNV342	Licensed Representative	9
NEWMAN Neil Lionel	ABF170	Licensed Representative	4
NG Chung Tat Johnson	BNW997	Licensed Representative	1, 4
NG Kam Tong Donny	BLT108	Licensed Representative	1, 2, 4, 5
NG Ying Kwan	BNV332	Licensed Representative	1, 6
NG Yu Kwong	ARF648	Licensed Representative	1, 4
OOI Wei Ming	BNX372	Licensed Representative	1
OYAMA Hideki	BNT228	Licensed Representative	1, 4, 6
PANG Po Tung Eugene	BNV841	Licensed Representative	4, 9
PAO Wai Man	ADS272	Licensed Representative	9
PAREKH Tejas	BNX028	Licensed Representative	1, 2
PATHARAVANAKUL Vilailuck	BNX269	Licensed Representative	4
PENG Jingyuan	BNV526	Licensed Representative	6
PENG Yangyi	BNV257	Licensed Representative	1, 4, 6
PFRANG Christian Werner	BNX394	Licensed Representative	9
PIM Jacob Samuel	BNY556	Licensed Representative	9
POH Tze Hua	ANZ960	Licensed Representative	4, 9
QIAN Runmin	BNW937	Licensed Representative	1, 4
QIAO Xiaowei	BGQ506	Licensed Representative	1, 6
QIAO Yijie	BNX896	Licensed Representative	6
QIU Xin	BNX424	Licensed Representative	1, 4
SAKAMOTO Gosuke	BBQ740	Licensed Representative	1
SAKKA Bessam	BNV465	Licensed Representative	1, 2
SAKURAI Aya	BNY395	Licensed Representative	4
SAN Wan Yan	BNU615	Licensed Representative	1, 2, 3





**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SELLERS Paul Justin	BNY478	Licensed Representative	4, 9
SHAO Yili	BNX607	Licensed Representative	1, 2, 4
SHEN Yichen	BNV145	Licensed Representative	1, 4
SHI Lulu	BNU385	Licensed Representative	10
SINGH Nirbhay Kumar	BNU579	Licensed Representative	1
SKORA Daniel Robert	BNZ125	Licensed Representative	1, 4
SO Ching Yu Calvin	BNX953	Licensed Representative	1, 9
SO Kwai Lin	BNV804	Licensed Representative	1, 4
SO Kwun Cheong	BNX001	Licensed Representative	1, 4
SO Man Ting	BHM659	Licensed Representative	1, 2
SU Chaoran	BNZ149	Licensed Representative	1, 4
SU Xiaobo	BNV856	Licensed Representative	1, 4
SU Zijian	BNV821	Licensed Representative	1, 6
SUN Jingxuan	BNX657	Licensed Representative	1, 6
SUN Tsai Hsuan	BGY960	Licensed Representative	9
SUN Xiaolin	BNW056	Licensed Representative	1, 4
SZE Kim Kwan	BIZ382	Licensed Representative	1, 2, 4
SZE Yau Nam	BNY673	Licensed Representative	1, 4
TAKESHIMA Tomoki	BNW290	Licensed Representative	4
TAM Cheuk Wai	BNY532	Licensed Representative	1
TAM Tak Man	BNV462	Licensed Representative	1, 4
TAM Yuen Lam, Angela	AUA445	Licensed Representative	4, 9
TAN Lok Him	BNV023	Licensed Representative	1
TAN Yujun	BKU720	Licensed Representative	1, 4, 9
TANG Chi Ho	AQS223	Licensed Representative	1, 2, 4, 9
TANG Ho Lam	BNX944	Licensed Representative	9
TANG Kit Ha Alice	BNY631	Licensed Representative	1
TANG Kwan Lok	BNV751	Licensed Representative	1, 4
TANG Qinghua	BNX452	Licensed Representative	1
TANG Shu Haur	BNY205	Licensed Representative	4, 9
THEODORUS Billy	BNW206	Licensed Representative	1, 4, 6
TIAN Ce	BNX411	Licensed Representative	1, 4, 9
TONG Yana	BNX559	Licensed Representative	1, 4
TSANG Wai Man	BKQ306	Licensed Representative	9
TSANG Yuet Lai Michelle	AMB380	Licensed Representative	1, 4
TSE Ka Yi Nakita	BKZ927	Licensed Representative	1, 2
TSE Man Nga	BNW855	Licensed Representative	1, 4, 9
TSOI Chung Wo	BNZ817	Licensed Representative	1
TSUI Kar Yan	BOA234	Licensed Representative	1, 4
TUNG Ka Man	BNW175	Licensed Representative	1, 2
UM Maynard J	AXW559	Licensed Representative	4
VANA Constantin Alexander	BNV416	Licensed Representative	6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
VARU Sachit	BNV207	Licensed Representative	1, 2
VAVREK Egon	BNZ816	Licensed Representative	4, 9
VISMARA Daniele	BNV065	Licensed Representative	1, 2
WAN Yin Chung	AVK969	Licensed Representative	1, 4
WAN Yin Shan	BNX041	Licensed Representative	1, 9
WANG Dingwen	AZL196	Licensed Representative	9
WANG Hao	BMW948	Licensed Representative	1, 2
WANG Hao	BNW052	Licensed Representative	1
WANG Hao Yang	BNV490	Licensed Representative	6
WANG Huiquan	BNX003	Licensed Representative	1, 2
WANG Kai	BNY839	Licensed Representative	9
WANG Miao	BNY284	Licensed Representative	1, 4, 9
WANG Ruojun	BNU420	Licensed Representative	9
WANG Shenyu	BNX841	Licensed Representative	1
WANG Taosha	AWW072	Licensed Representative	1, 4, 9
WANG Tianyu	BDD888	Licensed Representative	1, 4, 9
WANG Xintian	BNV028	Licensed Representative	10
WANG Xu	BNX572	Licensed Representative	1
WANG Yao	BNY393	Licensed Representative	9
WANG Yingfeng	BNY361	Licensed Representative	9
WANG Yinpeng	BNY940	Licensed Representative	1, 4, 6
WANG Yulong	BNX005	Licensed Representative	4
WANG Yuwei	BNY470	Licensed Representative	4
WATANABE SAKI	BNY669	Licensed Representative	1, 4, 6
WEI Hongyu	BNV461	Licensed Representative	1, 4
WILLIAMS Edward Ieuan Aubrey	BNU443	Licensed Representative	1
WONG Carlie	BNY671	Licensed Representative	1, 4, 9
WONG Chun Hin	BNX137	Licensed Representative	1, 2
WONG Chun Sang	BNX602	Licensed Representative	4
WONG Darwin Ho Wan	BNU520	Licensed Representative	1, 4, 6
WONG Kung Ho, Alexander	AGC609	Licensed Representative	4, 9
WONG Nathan	BNZ944	Licensed Representative	1, 7
WONG Tsz Kwan	BNV837	Licensed Representative	1, 4
WONG Tsz Sing	BNU600	Licensed Representative	1, 4
WONG Yat Tim	BNX336	Licensed Representative	1
WONG Yin Ming	BNW887	Licensed Representative	1, 2
WONG Yui Yin	BNY123	Licensed Representative	1, 6
WONG Yun Na	BNU780	Licensed Representative	1, 4
WU Bei-Jeng	BNV726	Licensed Representative	1, 4, 9
WU JR John	BNV414	Licensed Representative	1, 4
WULF Finn-ole	BNV674	Licensed Representative	1, 4, 6
WUN Suet Ling	BNV433	Licensed Representative	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
XIAN Zhiping	BNY285	Licensed Representative	1
XIANG Yuqiu	ARF778	Licensed Representative	4, 9
XIE Shaowei	BNP481	Licensed Representative	1
XIE Xingyun	BNW032	Licensed Representative	1, 6
XIE Zizhu	BNV256	Licensed Representative	1, 4, 6
XING Yifan	BNV475	Licensed Representative	1, 4
XU Aolei	BNY224	Licensed Representative	1, 4
XU Kuo	BNX682	Licensed Representative	1, 6
XUE Ke	BNV464	Licensed Representative	6
YAN Mengyuan	BNU530	Licensed Representative	1, 2
YANG Joseph Poeyon	BOA328	Licensed Representative	9
YANG Qingqing	BNV453	Licensed Representative	1
YANG Yuting	BNX861	Licensed Representative	1, 4
YANG Zifan	BNV388	Licensed Representative	4, 9
YAO Wenjun	BGG930	Licensed Representative	1, 4, 9
YE Xiang	BNY014	Licensed Representative	9
YEUNG Cheung Ho Charles	BNX671	Licensed Representative	1
YEUNG Chi Kit	BNX448	Licensed Representative	1
YEUNG Fung Ching	BNY969	Licensed Representative	1, 2, 4
YEUNG Hoi Yu	BNX686	Licensed Representative	1, 4, 6
YEUNG Man Chuen	BNU223	Licensed Representative	1, 4
YEUNG On Lit	AQE939	Licensed Representative	1, 2, 3
YEUNG Suet Pan	BNV621	Licensed Representative	1, 2, 4
YIP Chun	BNW221	Licensed Representative	1, 2
YIP Kin Kong	ADS751	Licensed Representative	9
YIP Siu Fong	ART514	Licensed Representative	1
YIP Yuk Fong	BNI019	Licensed Representative	6
YOUNG Ting Kar	AEY445	Licensed Representative	1, 4
YU Chun Hoi	BKH615	Licensed Representative	1
YU Gao	BNX006	Licensed Representative	4
YU Miao	BNX631	Licensed Representative	1
YU Mofan	BNX262	Licensed Representative	1, 6
YU Wenting	BNV558	Licensed Representative	1
YUAN Meng	BNV835	Licensed Representative	1, 6
YUAN Yuchen	BNV055	Licensed Representative	4
YUAN ZUOYANG	BNY028	Licensed Representative	1
ZALDANA CALLES Raul Armando	BNY374	Licensed Representative	1
ZENG Chan	BNV293	Licensed Representative	4
ZENG Jia	BMR925	Licensed Representative	9
ZENG Tu	BNV472	Licensed Representative	1, 2
ZENG Yujie	BNX151	Licensed Representative	1
ZHANG Jun	BNV728	Licensed Representative	1, 4, 9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Panbo	BNY455	Licensed Representative	1
ZHANG Qizhao	BOA039	Licensed Representative	1, 6
ZHANG Qun	BOB833	Licensed Representative	1
ZHANG Shuping	BNX787	Licensed Representative	1, 4
ZHANG Tianyu	BNX135	Licensed Representative	1, 9
ZHANG Xiaobin	BNV054	Licensed Representative	4
ZHANG Yang	BNC049	Licensed Representative	1, 4
ZHANG Yaoyu	BDX540	Licensed Representative	1, 4, 9
ZHANG Ziyang	BNV350	Licensed Representative	4
ZHAO Jiaqi	BNV828	Licensed Representative	1, 6
ZHAO Xiaoyu	BNY603	Licensed Representative	4, 9
ZHAO Yunhan	BNX276	Licensed Representative	1, 4, 6
ZHENG Yan	BNV818	Licensed Representative	1, 6
ZHOU Heng	AQP580	Licensed Representative	1
ZHOU Junzhi	BNV557	Licensed Representative	4
ZHOU Liyang	BJG897	Licensed Representative	1
ZHOU Luoyan	BNW999	Licensed Representative	4, 9
ZHOU Miaoja	BNU920	Licensed Representative	1, 4
ZHOU Yingjun	BNX392	Licensed Representative	1, 2
ZHU Jiang	AKO829	Licensed Representative	4, 9
ZHU Shihao	BNY733	Licensed Representative	1, 6
ZHU Xu	BNV448	Licensed Representative	1, 4, 9
ZHU Yihong	AXZ071	Licensed Representative	1, 4, 9
ZHUO Shikai	BNV463	Licensed Representative	4
ZUO Peijing	BNX233	Licensed Representative	1, 2, 7
ANAND Paras Kishore	BNN477	Licensed Representative - Responsible Officer	1, 4, 9
BAEUERLE Dagmar	AVC059	Licensed Representative - Responsible Officer	9
BRADSHAW Andrew Peter	BEN891	Licensed Representative - Responsible Officer	1, 2
CHAN Chi Hung	ALZ821	Licensed Representative - Responsible Officer	1, 4
CHAN Justin Chang Yi	ARL414	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Vivian Rivera	BNU407	Licensed Representative - Responsible Officer	4, 9
CHEN Chia Ling	ADV930	Licensed Representative - Responsible Officer	9
CHEN Wei Hua	AZL826	Licensed Representative - Responsible Officer	9
CHEUNG Fan Lin, Flora	ACS617	Licensed Representative - Responsible Officer	4, 9
CHEUNG Wai Hung	AGY670	Licensed Representative - Responsible Officer	1, 2
CHIN To, Tony	AVA538	Licensed Representative - Responsible Officer	9
CHUM Pak Hing, Terrace	ACZ116	Licensed Representative - Responsible Officer	9
FISHMAN Andrew Mark	BNT963	Licensed Representative - Responsible Officer	9
GERJARUSAK Sarakorn	ACN942	Licensed Representative - Responsible Officer	4, 9
GUO Biao	AXK321	Licensed Representative - Responsible Officer	4, 9
HAN Dong	BNI983	Licensed Representative - Responsible Officer	1, 6
HAN Jundong	AOQ780	Licensed Representative - Responsible Officer	4, 9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Choon Leng, Patrick	AMY851	Licensed Representative - Responsible Officer	1, 4, 9
HUNG Siu Woon, Pauline	AJJ497	Licensed Representative - Responsible Officer	1, 4
KAY Yuen Ling, Fara	AQJ213	Licensed Representative - Responsible Officer	4, 9
KIRKBY Matthew	ADY177	Licensed Representative - Responsible Officer	4, 6
KO Philip	ABS536	Licensed Representative - Responsible Officer	2
KURASAKO Hideaki	APN840	Licensed Representative - Responsible Officer	4
LAI Sin Wan, Jenny	ACS303	Licensed Representative - Responsible Officer	1, 4, 9
LAU Kam Tim	ABY921	Licensed Representative - Responsible Officer	9
LAU On Kwok	AFJ680	Licensed Representative - Responsible Officer	4, 9
LEUNG Tsun Ip	AOD136	Licensed Representative - Responsible Officer	9
LEUNG Wing Shing	AQA981	Licensed Representative - Responsible Officer	1
LING Colin Celan	ABU679	Licensed Representative - Responsible Officer	1, 2
LIU Chung Kuang	ANG127	Licensed Representative - Responsible Officer	4, 9
MAN Kin Kwan	BBX828	Licensed Representative - Responsible Officer	1
MATSUMOTO Jun	BAZ871	Licensed Representative - Responsible Officer	9
O Zac	BNO728	Licensed Representative - Responsible Officer	5, 9
OKUBO Kazutoshi	BNQ457	Licensed Representative - Responsible Officer	9
PANG Ka Keung	AVF502	Licensed Representative - Responsible Officer	1, 4
POON Pak Ho, Raymond	ACO263	Licensed Representative - Responsible Officer	1, 6
POSTHUMA Gerald Richard	BNU792	Licensed Representative - Responsible Officer	1, 2, 4, 9
ROSE Daniel John	AYA429	Licensed Representative - Responsible Officer	9
SMITH Charles Wilkie	BNM910	Licensed Representative - Responsible Officer	9
SUN Fengqiang	AVS893	Licensed Representative - Responsible Officer	4, 9
VAN ELDIK Martijn	BBF265	Licensed Representative - Responsible Officer	1, 4
WAN Hui Yeung	BGB760	Licensed Representative - Responsible Officer	9
WANG Heqian	BKB654	Licensed Representative - Responsible Officer	4, 9
WANG Qing	AOX224	Licensed Representative - Responsible Officer	9
WANG Qingren	BGW994	Licensed Representative - Responsible Officer	1, 4, 9
WARNES Peter James	AFG745	Licensed Representative - Responsible Officer	4, 9
WATKINS Daniel James	BNX163	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
WILLIAMSON David White	AAD818	Licensed Representative - Responsible Officer	1, 4
WONG Hur Ming	BNU552	Licensed Representative - Responsible Officer	1
WU Chien-Tso	BNN897	Licensed Representative - Responsible Officer	4, 9
YANG Yong	BLW774	Licensed Representative - Responsible Officer	4, 9
YAO William Zhongbing	BNC598	Licensed Representative - Responsible Officer	9
YU Jason Kai Hong	BNU950	Licensed Representative - Responsible Officer	1, 4
ZHANG Dongmei	ASI046	Licensed Representative - Responsible Officer	9
ZONG Qi	BNJ899	Licensed Representative - Responsible Officer	4, 9
ABEYGUNAWARDANA Shihan Chamila	BOA865	Licensed Representative (Provisional Licence)	4
AKATSUKA Yo	ACW146	Licensed Representative (Provisional Licence)	1, 4, 6
ALLEN Benjamin Lang	BOB487	Licensed Representative (Provisional Licence)	1, 4
AMOYAL Alexandra Keren	BOC074	Licensed Representative (Provisional Licence)	1, 2, 7



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AN Jiangze	BOA602	Licensed Representative (Provisional Licence)	1, 2
ANAND Paras Kishore	BNN477	Licensed Representative (Provisional Licence)	1, 4, 9
ANDERSSON Magnus Carl Gustav	BNY357	Licensed Representative (Provisional Licence)	1, 6
ANSPACH Krisztina Mirjam	BOA216	Licensed Representative (Provisional Licence)	1
AO Anqi	BOB117	Licensed Representative (Provisional Licence)	4
AOKI Takeo	BNV887	Licensed Representative (Provisional Licence)	1, 4, 6
ASKHAM Craig Gerrard	BNY632	Licensed Representative (Provisional Licence)	1, 4, 6
AU-YEUNG Emily Ying Ka	ART701	Licensed Representative (Provisional Licence)	1, 4, 9
BAE Sung Hyeon Martin	BOA336	Licensed Representative (Provisional Licence)	1
BAI Run Lin	BNY488	Licensed Representative (Provisional Licence)	1
BAI Yang	BNZ129	Licensed Representative (Provisional Licence)	9
BEARDALL Jonathan Robert	BOB501	Licensed Representative (Provisional Licence)	9
BEDDARD Henry Terence	AOQ827	Licensed Representative (Provisional Licence)	1, 2
BENHAMOU Laurent	BNY263	Licensed Representative (Provisional Licence)	1, 2
BEYNON Chad Charles	BNZ830	Licensed Representative (Provisional Licence)	4
BHAGWATI Jahnavi	BOB064	Licensed Representative (Provisional Licence)	1
BIAN Sijia	BOB217	Licensed Representative (Provisional Licence)	1, 2
BOUDIER Julien Vincent	ATC527	Licensed Representative (Provisional Licence)	1, 4
BOURHIS Damien Pierre Olivier	BAI360	Licensed Representative (Provisional Licence)	1, 2
BOURMAYAN Vahe Olivier	AJD563	Licensed Representative (Provisional Licence)	1, 2
BRAMLEY Stephen Lee	BNY937	Licensed Representative (Provisional Licence)	1
BREWER James Christopher	BHB321	Licensed Representative (Provisional Licence)	4, 9
BURMESTER Nicholas	BOB146	Licensed Representative (Provisional Licence)	1, 4
BUXTON Charles Robert James	BNV161	Licensed Representative (Provisional Licence)	1, 4
CAI Xiankai Anselm	BOB653	Licensed Representative (Provisional Licence)	9
CARRINGTON Andrew Anaru Kenneth	BOA172	Licensed Representative (Provisional Licence)	9
CEN Jianghua	BOA253	Licensed Representative (Provisional Licence)	1, 6
CHAI Yiqi	BOA221	Licensed Representative (Provisional Licence)	1, 4, 9
CHAM Alvin Ho-Cheun	BOA745	Licensed Representative (Provisional Licence)	1, 2
CHAN Belle	BOA033	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Charles Yip Ming	BOB432	Licensed Representative (Provisional Licence)	1
CHAN Cheuk Wei	BOA606	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Hei Ming	BBA473	Licensed Representative (Provisional Licence)	1
CHAN Hon Yin	AYO720	Licensed Representative (Provisional Licence)	6
CHAN Ka Chun	APC075	Licensed Representative (Provisional Licence)	1, 2
CHAN Ka Ki	BOA550	Licensed Representative (Provisional Licence)	10
CHAN King Ping	BNZ999	Licensed Representative (Provisional Licence)	1, 2, 9
CHAN Kwai Pak	BOB408	Licensed Representative (Provisional Licence)	1, 4
CHAN Siu Chi	ADF951	Licensed Representative (Provisional Licence)	1, 4
CHAN Yee Wai	BOB561	Licensed Representative (Provisional Licence)	4
CHAN Ying Tung	BOB781	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuk Wah	BOA335	Licensed Representative (Provisional Licence)	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHANG Cheek Hong	BNZ158	Licensed Representative (Provisional Licence)	4
CHARPENTIER Laurane Morgane Milene	BOA489	Licensed Representative (Provisional Licence)	1
CHAUDHRY Naveed Haider	BOA220	Licensed Representative (Provisional Licence)	1
CHEN Bijun	BOA182	Licensed Representative (Provisional Licence)	1
CHEN Han	BOC085	Licensed Representative (Provisional Licence)	1
CHEN Huilin	APC643	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Jianlan	BOA928	Licensed Representative (Provisional Licence)	1
CHEN Juming	BNY744	Licensed Representative (Provisional Licence)	1, 4
CHEN Ping	BOB623	Licensed Representative (Provisional Licence)	4
CHEN Qi	BOB783	Licensed Representative (Provisional Licence)	4, 9
CHEN Rupeng	BNZ819	Licensed Representative (Provisional Licence)	1
CHEN XI	BNY354	Licensed Representative (Provisional Licence)	4, 5
CHEN Yaochao	BOA062	Licensed Representative (Provisional Licence)	1, 4
CHEN Ziyi	BNY396	Licensed Representative (Provisional Licence)	4
CHENG Wing Sze	BOA491	Licensed Representative (Provisional Licence)	6
CHEUNG Chi Pong Jack	BOA297	Licensed Representative (Provisional Licence)	1
CHEUNG Chung Yin	BNZ123	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chung Yin	BOB016	Licensed Representative (Provisional Licence)	1
CHEUNG Ka Kit	AOI950	Licensed Representative (Provisional Licence)	9
CHEUNG Man Ling	ANM983	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Wai Shing	BOB727	Licensed Representative (Provisional Licence)	1, 2
CHEUNG William Wai Yin	BNY428	Licensed Representative (Provisional Licence)	1, 2
CHEW Cheng Yuan	BNV903	Licensed Representative (Provisional Licence)	1, 4, 6
CHONG Ka Na	BOA769	Licensed Representative (Provisional Licence)	1
CHOW Rick Kaiwui	BCO960	Licensed Representative (Provisional Licence)	9
CHOW Wesley Bowah	BOB290	Licensed Representative (Provisional Licence)	1, 2
CHOW Wing Yan	BOB123	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Peter	AIR824	Licensed Representative (Provisional Licence)	1, 2
CHU Yin Yin	BJX010	Licensed Representative (Provisional Licence)	1, 9
CHUI Ka Lung	BOA075	Licensed Representative (Provisional Licence)	1, 6
CHUNG Amy Nga Yen	BOA475	Licensed Representative (Provisional Licence)	2, 5
CLAVEL Mathieu Pierre Luc	BGG689	Licensed Representative (Provisional Licence)	1, 9
COOK Geoffrey John	APB534	Licensed Representative (Provisional Licence)	1, 4
DAI Kang	BOA313	Licensed Representative (Provisional Licence)	4
DAMERACHARLA Prithvi Raj	BNV548	Licensed Representative (Provisional Licence)	9
DE CHABOT Florian Sebran Francois Jacques Marie	BBO920	Licensed Representative (Provisional Licence)	1, 2
DELION Ludovic Jean-Louis Jean-rene J.	BOA766	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Hengyu	BNY194	Licensed Representative (Provisional Licence)	1, 4
DING Yan	BOA233	Licensed Representative (Provisional Licence)	1, 6
DING Zhengning	BNW197	Licensed Representative (Provisional Licence)	1, 4
DIU Felix Chi Ho	BOB732	Licensed Representative (Provisional Licence)	1, 2
DONG Yixiao	BOA872	Licensed Representative (Provisional Licence)	1, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
DORVAL Julien Thierry Henri	BNX685	Licensed Representative (Provisional Licence)	1, 2
DRABKIN Mark Thompson	APL247	Licensed Representative (Provisional Licence)	9
DU Shiwei	BOB661	Licensed Representative (Provisional Licence)	4
DU Yiping	BOA881	Licensed Representative (Provisional Licence)	4, 9
DUAN Haiwen	BOB001	Licensed Representative (Provisional Licence)	9
FAN Jianqiao	BOB392	Licensed Representative (Provisional Licence)	9
FANG Tiantian	BOA592	Licensed Representative (Provisional Licence)	1
FARRELL Shaun Michael	BNY766	Licensed Representative (Provisional Licence)	9
FERNANDOPULLE Jonathan Jude	BNY391	Licensed Representative (Provisional Licence)	9
FOO Kai-shen Isaac	BOB398	Licensed Representative (Provisional Licence)	1, 4, 9
FU Linzhao	BHC760	Licensed Representative (Provisional Licence)	4
FU Pengkai	BNY736	Licensed Representative (Provisional Licence)	1, 6
GABBAI Maurizio Mose	BIC486	Licensed Representative (Provisional Licence)	1
GAO Baiwen	BMW176	Licensed Representative (Provisional Licence)	1
GAO Chang	BNW240	Licensed Representative (Provisional Licence)	1, 4, 9
GAYRAUD Charles Arthur Olivier	BOB163	Licensed Representative (Provisional Licence)	1, 4
GIDWANI Varsha	BOA330	Licensed Representative (Provisional Licence)	1
GOH Heston Xiu Hsien	BOA510	Licensed Representative (Provisional Licence)	1, 4
GORO Harunobu	ALV033	Licensed Representative (Provisional Licence)	4
GU Charles Liangcao	BOB716	Licensed Representative (Provisional Licence)	1, 2, 9
GUO Lei	BNY419	Licensed Representative (Provisional Licence)	4
GURUNG Prashant	BNY519	Licensed Representative (Provisional Licence)	9
HA Chun Kit	ALY336	Licensed Representative (Provisional Licence)	1, 4
HAN Dongfang	BNY976	Licensed Representative (Provisional Licence)	6
HAN Jonathan Yuchen	BNZ863	Licensed Representative (Provisional Licence)	1, 4
HAN Jundong	AOQ780	Licensed Representative (Provisional Licence)	4, 9
HAN Meng	BOB845	Licensed Representative (Provisional Licence)	1, 6
HAN Shengtao	BOB221	Licensed Representative (Provisional Licence)	9
HANN Sai Wunn Max	BOA911	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HARTMANN Jacob David	BOA171	Licensed Representative (Provisional Licence)	1, 6
HE Lin	APD379	Licensed Representative (Provisional Licence)	4, 5, 9
HE Ruizhe	BOA048	Licensed Representative (Provisional Licence)	1, 6
HO Ka Kuen	BNY636	Licensed Representative (Provisional Licence)	9
HONG Fei	BNA729	Licensed Representative (Provisional Licence)	1
HOU Ho Wan	BOA854	Licensed Representative (Provisional Licence)	1, 4
HSIAO Yu Chung	BNY555	Licensed Representative (Provisional Licence)	1
HU Jin	BNY988	Licensed Representative (Provisional Licence)	4
HU Yuzhou	BOB674	Licensed Representative (Provisional Licence)	4
HU Zhaoze	BOB676	Licensed Representative (Provisional Licence)	6
HUANG Ping Hung	BNY554	Licensed Representative (Provisional Licence)	2
HUANG Tao	BNY405	Licensed Representative (Provisional Licence)	4
HUANG Xiaomi	BOA522	Licensed Representative (Provisional Licence)	1, 6





**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HUI Ming Kit, Max	BOB463	Licensed Representative (Provisional Licence)	1, 6
IP Jeremy Gar Yin	AFC747	Licensed Representative (Provisional Licence)	4, 9
JIANG Ruo Yu	AIR858	Licensed Representative (Provisional Licence)	4, 9
JIE Jiangyi	BNZ878	Licensed Representative (Provisional Licence)	1, 2
JIN Jia	AZJ097	Licensed Representative (Provisional Licence)	1, 4
JIN Ziwei	BOA864	Licensed Representative (Provisional Licence)	1, 2, 4
JONES Nicholas Paul	BBS616	Licensed Representative (Provisional Licence)	1
KAM Yuen Ting	BNZ825	Licensed Representative (Provisional Licence)	1, 4
KAMARUZZAMAN Nor Hisham Bin	BNS445	Licensed Representative (Provisional Licence)	1
KAN Ching-Jen	AOX099	Licensed Representative (Provisional Licence)	4
KAN I Man	BGL399	Licensed Representative (Provisional Licence)	1, 4
KAPUR Gautam Pradeep Kumar	BNY553	Licensed Representative (Provisional Licence)	1, 7
KARANOVIC Vladimir	BOB372	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KEENAN Daniel Francis	BOA542	Licensed Representative (Provisional Licence)	1, 2
KIM Kee Bum	BNY955	Licensed Representative (Provisional Licence)	1, 4
KIM Minkyu	BEO107	Licensed Representative (Provisional Licence)	1, 2
KO Sung H	BNY625	Licensed Representative (Provisional Licence)	1
KU Chak Ying, Jenny	BNY351	Licensed Representative (Provisional Licence)	1, 4
KWAK Seung Ju	BOA240	Licensed Representative (Provisional Licence)	4, 9
LAI Honghui	BNY346	Licensed Representative (Provisional Licence)	1, 4, 6
LAI Wai Lun	BLI131	Licensed Representative (Provisional Licence)	1
LAM CHUN KIT	BOA519	Licensed Representative (Provisional Licence)	1, 2
LAM Chun Ying	BOA982	Licensed Representative (Provisional Licence)	1, 2
LAM Ho Cheung	BOA255	Licensed Representative (Provisional Licence)	4
LAM Hong Yu	BOC005	Licensed Representative (Provisional Licence)	1, 4
LAU Chiu Hung	BOA471	Licensed Representative (Provisional Licence)	1
LAU Claudia Ka Man	BOA232	Licensed Representative (Provisional Licence)	1
LAU Fu Keung	AVW356	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Lai Yin	BNZ092	Licensed Representative (Provisional Licence)	1
LAU Loi Tat	BOA229	Licensed Representative (Provisional Licence)	1
LEE Ho	BHN975	Licensed Representative (Provisional Licence)	1, 2, 3
LEE Michel Kai Tai	AOJ780	Licensed Representative (Provisional Licence)	1, 4
LEE Sandra Soyong	AGD096	Licensed Representative (Provisional Licence)	1, 4
LEE Tien Soon	BJT065	Licensed Representative (Provisional Licence)	1, 4
LEE Yu Chuan Austin	BNZ198	Licensed Representative (Provisional Licence)	1, 2, 7
LEI Man Hang	AVI279	Licensed Representative (Provisional Licence)	9
LEI Xinwei	BNZ958	Licensed Representative (Provisional Licence)	1, 4, 9
LENG Jing	BOA902	Licensed Representative (Provisional Licence)	1, 6
LETHIELLEUX Aymeric, Nicolas, David	ATQ947	Licensed Representative (Provisional Licence)	1, 2
LEUNG Cheuk Fung	BLT903	Licensed Representative (Provisional Licence)	1
LEUNG Ka Wing	BNZ086	Licensed Representative (Provisional Licence)	9
LI Bowen	BNZ147	Licensed Representative (Provisional Licence)	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Guangchen	BNY840	Licensed Representative (Provisional Licence)	4, 9
LI Hongfei	BOA605	Licensed Representative (Provisional Licence)	1, 6
LI Hui	ATX931	Licensed Representative (Provisional Licence)	1, 6
LI Jing	BNY899	Licensed Representative (Provisional Licence)	1, 4
LI Junxian	BNV483	Licensed Representative (Provisional Licence)	1, 6
LI LIN	BNX134	Licensed Representative (Provisional Licence)	9
LI Mu	BNY406	Licensed Representative (Provisional Licence)	1, 6
LI Peng	BOA529	Licensed Representative (Provisional Licence)	1, 4
LI Qi	BNY952	Licensed Representative (Provisional Licence)	1, 4, 5
LI Shumin	BNY034	Licensed Representative (Provisional Licence)	9
LI Zimu	ANP911	Licensed Representative (Provisional Licence)	4, 9
LIANG Chenxi	BOA859	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Chun Pan	AXO212	Licensed Representative (Provisional Licence)	2
LIN Li	BOB598	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Rongye	BNY994	Licensed Representative (Provisional Licence)	4
LIN Shu-Wei	BFD026	Licensed Representative (Provisional Licence)	9
LIN Tingting	AXI337	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Honglin	BNZ815	Licensed Representative (Provisional Licence)	1
LIU Jia	BOA885	Licensed Representative (Provisional Licence)	1, 6
LIU Ngai Kan	BOA983	Licensed Representative (Provisional Licence)	1, 6
LIU Xiaoning	BFD449	Licensed Representative (Provisional Licence)	9
LIU Xiaoyan	BNZ142	Licensed Representative (Provisional Licence)	9
LIU Yueming	BOB659	Licensed Representative (Provisional Licence)	1, 6
LIU Yujing	BHU905	Licensed Representative (Provisional Licence)	1
LIU Zheyuan	BCA351	Licensed Representative (Provisional Licence)	9
LO Ming Cheung	BOB241	Licensed Representative (Provisional Licence)	1
LO Siu Chung	BKE292	Licensed Representative (Provisional Licence)	1
LO Yun-Hsi	AQU620	Licensed Representative (Provisional Licence)	1
LOKESH Lekith Kumar Chikanayak	BOB235	Licensed Representative (Provisional Licence)	1, 4
LU Kuen-Lin	ASD704	Licensed Representative (Provisional Licence)	1, 4
LU Ying	BOB656	Licensed Representative (Provisional Licence)	4
LUK So Yi, Sylvia	BFY655	Licensed Representative (Provisional Licence)	1
LUO Yi-Lin	BOB660	Licensed Representative (Provisional Licence)	4
MA Oscar Ho-Hin	BNJ387	Licensed Representative (Provisional Licence)	1, 4, 9
MA Tengying	AZD807	Licensed Representative (Provisional Licence)	9
MA Xiaotong	BOB737	Licensed Representative (Provisional Licence)	1, 4, 9
MACKENZIE Alistair Mel Arthurs	BOB533	Licensed Representative (Provisional Licence)	4
MAK Ho Fung	BEA927	Licensed Representative (Provisional Licence)	1
MAK Ho Leung	BNQ526	Licensed Representative (Provisional Licence)	6
MAN Wing Pong	AHC716	Licensed Representative (Provisional Licence)	1, 6
MATTHEWS Adam Wells	AQI581	Licensed Representative (Provisional Licence)	1, 4
MCCARTHY Conor William	BNA754	Licensed Representative (Provisional Licence)	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
MENG Qiang	BOA386	Licensed Representative (Provisional Licence)	4
MHATRE Aniket Sadanand	BOA863	Licensed Representative (Provisional Licence)	4
MIKKILINENI Bharath	BOA108	Licensed Representative (Provisional Licence)	1, 4, 6
MILLER Matthew Louis	BNY967	Licensed Representative (Provisional Licence)	1
MOK Ka Wai	BOB109	Licensed Representative (Provisional Licence)	1, 2
MU Zejun	BOB848	Licensed Representative (Provisional Licence)	1, 4, 9
MURATA Takashi	BOA247	Licensed Representative (Provisional Licence)	1, 2
NAGATANI Toshitake	BNZ988	Licensed Representative (Provisional Licence)	9
NG Chun Yuen, Alexander	ARQ354	Licensed Representative (Provisional Licence)	1, 4
NG Jun Peng, Gabriel	AQN278	Licensed Representative (Provisional Licence)	1, 4, 9
NIU Shih Hon	BKG707	Licensed Representative (Provisional Licence)	1
NOLAN David John	ACL800	Licensed Representative (Provisional Licence)	9
OGDEN Jonathan William	AOM764	Licensed Representative (Provisional Licence)	9
ONG Jun You	BOA770	Licensed Representative (Provisional Licence)	1, 2
OYAMA Hideki	BNT228	Licensed Representative (Provisional Licence)	1, 4, 6
PANG Pui Yue	BOA514	Licensed Representative (Provisional Licence)	6
PARK Eunshik	BNZ881	Licensed Representative (Provisional Licence)	1
PATRON ORTIZ Alvaro Fernando	BOA027	Licensed Representative (Provisional Licence)	1, 4, 6
PERRROT Guillaume Charles-evrard	BOB645	Licensed Representative (Provisional Licence)	9
POON Chi Kai Dana May	BNZ136	Licensed Representative (Provisional Licence)	9
POON Ting	BOA050	Licensed Representative (Provisional Licence)	1
POWELL Charlotte Fleming	BOA451	Licensed Representative (Provisional Licence)	1, 4
PUN Felix	BNY629	Licensed Representative (Provisional Licence)	4
QIAN Liefei	BOC016	Licensed Representative (Provisional Licence)	4
REN Ying	BJJ188	Licensed Representative (Provisional Licence)	6
RUAN Xiaonan	BOA720	Licensed Representative (Provisional Licence)	1, 6
SAFSTRAND Michael Tomas	BOB734	Licensed Representative (Provisional Licence)	1, 2
SALKOVIC Robert	BLX130	Licensed Representative (Provisional Licence)	1
SAMAMA Guillaume Marc	BNZ076	Licensed Representative (Provisional Licence)	9
SAUDREAU Delphine Pantxika Marie	BOA513	Licensed Representative (Provisional Licence)	1, 2
SAUNIER Eric Clement Rene	BOB038	Licensed Representative (Provisional Licence)	1, 2
SEWARD Thomas William	BOA532	Licensed Representative (Provisional Licence)	1, 4, 6
SHAO Sining	BNZ119	Licensed Representative (Provisional Licence)	1, 4
SHEN Tao	AUS961	Licensed Representative (Provisional Licence)	4
SHI Bohu	BOA222	Licensed Representative (Provisional Licence)	1, 6
SINGHVI Ravi	BOB774	Licensed Representative (Provisional Licence)	4, 9
SIU Chun Fung	BKE439	Licensed Representative (Provisional Licence)	1
SKORA Daniel Robert	BNZ125	Licensed Representative (Provisional Licence)	1, 4
SO Darren Tsu Hei	BOA443	Licensed Representative (Provisional Licence)	2, 5
SU Chaoran	BNZ149	Licensed Representative (Provisional Licence)	1, 4
SUM Pik Yee, Jenny	BOA609	Licensed Representative (Provisional Licence)	1, 2, 3
SUN Shuren	BOB088	Licensed Representative (Provisional Licence)	1, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
TAN Wenxuan, Aaron	BOB886	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Xiaoyong	BOA243	Licensed Representative (Provisional Licence)	1, 6
TAN Yong Sern	BOA815	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Ni	BOA591	Licensed Representative (Provisional Licence)	1, 6
TANG Qinghua	BNX452	Licensed Representative (Provisional Licence)	1
TANG Wai Ho	BOA484	Licensed Representative (Provisional Licence)	1
TANG Wenchang	BOB991	Licensed Representative (Provisional Licence)	4
TANG Xudong	BOB104	Licensed Representative (Provisional Licence)	1, 2
TANG Xun	BOA903	Licensed Representative (Provisional Licence)	1, 6
TANG Yadi	BNY494	Licensed Representative (Provisional Licence)	1, 4, 9
TAO Liangji	BOA999	Licensed Representative (Provisional Licence)	1
TEH Gim Aik	BNY708	Licensed Representative (Provisional Licence)	1, 2, 4
TESVICH Kathy Haw Mun	BOB131	Licensed Representative (Provisional Licence)	1
TIAN Juan	BNZ160	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Chen	BNZ082	Licensed Representative (Provisional Licence)	1
TONG Chun Ki	BOA424	Licensed Representative (Provisional Licence)	1, 4, 6
TSAI Bin-Ju	BOA617	Licensed Representative (Provisional Licence)	4
TSANG Cheuk Ho	BNZ989	Licensed Representative (Provisional Licence)	1
TSE Man Tik Mondy	BOA777	Licensed Representative (Provisional Licence)	1, 6
TSOI San Kit	BGT693	Licensed Representative (Provisional Licence)	2
TSUI Kar Yan	BOA234	Licensed Representative (Provisional Licence)	1, 4
TSUI Tung Hang	BIY626	Licensed Representative (Provisional Licence)	1, 2, 4
UNSAL Salih	AZU989	Licensed Representative (Provisional Licence)	1, 2
VANDERPUMP William James	BEG371	Licensed Representative (Provisional Licence)	4
VARADARAJAN Vivek	BNX511	Licensed Representative (Provisional Licence)	1, 2
VAVREK Egon	BNZ816	Licensed Representative (Provisional Licence)	4, 9
WAN Derek Chun Yip	BOA541	Licensed Representative (Provisional Licence)	1, 2
WANE Mohamed Sada	BFD994	Licensed Representative (Provisional Licence)	1, 2
WANG Ding	BOB212	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Dingwen	AZL196	Licensed Representative (Provisional Licence)	9
WANG Huangji	BNY649	Licensed Representative (Provisional Licence)	1, 6
WANG Huize	BNY409	Licensed Representative (Provisional Licence)	1, 2
WANG Jiamin	BOA197	Licensed Representative (Provisional Licence)	1, 4
WANG Jian	BOA990	Licensed Representative (Provisional Licence)	1, 6
WANG Kai	BNY839	Licensed Representative (Provisional Licence)	9
WANG Ke	BOB557	Licensed Representative (Provisional Licence)	1, 6
WANG Kunyang	BNZ845	Licensed Representative (Provisional Licence)	4
WANG Shijun	BOC013	Licensed Representative (Provisional Licence)	1
WANG Taosha	AWW072	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Wanting	BOA100	Licensed Representative (Provisional Licence)	1, 6
WANG Wengang	BOC014	Licensed Representative (Provisional Licence)	1
WANG Xiaoxiao	BNY369	Licensed Representative (Provisional Licence)	1, 2



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Zhi	BNY758	Licensed Representative (Provisional Licence)	1, 6
WATANABE SAKI	BNY669	Licensed Representative (Provisional Licence)	1, 4, 6
WATKINS Daniel James	BNX163	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
WEI Hongyu	BNV461	Licensed Representative (Provisional Licence)	1, 4
WEN Jiajia	BKL277	Licensed Representative (Provisional Licence)	1, 4, 9
WHITLOCK Christopher Peter	AXW254	Licensed Representative (Provisional Licence)	9
WILLIS Matthew Samuel Warren	BOA135	Licensed Representative (Provisional Licence)	9
WILSON Sterling Charles	BNZ077	Licensed Representative (Provisional Licence)	1, 6
WIRTZ Sebastian	BOB089	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Angus Chak Ki	BOB946	Licensed Representative (Provisional Licence)	4
WONG Chak Hin	BNY873	Licensed Representative (Provisional Licence)	4
WONG Cheong Lok	BNZ867	Licensed Representative (Provisional Licence)	1, 6
WONG Cheung Pui	BOA319	Licensed Representative (Provisional Licence)	1, 6
WONG Kin Chung	BOB735	Licensed Representative (Provisional Licence)	1, 2
WONG Man Ming, Melinda	AIZ873	Licensed Representative (Provisional Licence)	1, 6
WONG Nathan	BNZ944	Licensed Representative (Provisional Licence)	1, 7
WONG Tang Kit	APP796	Licensed Representative (Provisional Licence)	4
WONG Tse Shan	BOB082	Licensed Representative (Provisional Licence)	6
WONG Wai Kin	BOB036	Licensed Representative (Provisional Licence)	1
WONG Yu Ming	BOB624	Licensed Representative (Provisional Licence)	1, 2
WU Wenqi	BOB527	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yilin	BOA252	Licensed Representative (Provisional Licence)	1, 4
WU Zechun	BOA563	Licensed Representative (Provisional Licence)	2
XIA Bingqing	BOA052	Licensed Representative (Provisional Licence)	1, 4, 6
XIA Yicong	BOA579	Licensed Representative (Provisional Licence)	4
XIANG Difei	BHA450	Licensed Representative (Provisional Licence)	6
XIAO Shu	BNY741	Licensed Representative (Provisional Licence)	1, 6
XIAO Xiangyu	BOB658	Licensed Representative (Provisional Licence)	1
XIE Boji	BOA530	Licensed Representative (Provisional Licence)	1, 4, 6
XU Enyu	ARI896	Licensed Representative (Provisional Licence)	4, 9
XU Lu	BNY481	Licensed Representative (Provisional Licence)	1, 4
XU Zhijun	BOA244	Licensed Representative (Provisional Licence)	1, 6
YAN Tao	BNY700	Licensed Representative (Provisional Licence)	1, 2
YANG Chaozhong	BOB378	Licensed Representative (Provisional Licence)	4, 9
YANG Haoruo	BOB119	Licensed Representative (Provisional Licence)	1, 4
YANG JIAYI	BOB671	Licensed Representative (Provisional Licence)	9
YANG Jie	BNY322	Licensed Representative (Provisional Licence)	1, 4
YANG Joseph Poeyon	BOA328	Licensed Representative (Provisional Licence)	9
YAO Hsin Ting	BOA970	Licensed Representative (Provisional Licence)	1, 4, 9
YAO Peirong	AXB357	Licensed Representative (Provisional Licence)	1, 4, 6
YAO Wenjun	BGG930	Licensed Representative (Provisional Licence)	1, 4, 9
YAO Xun	BOA225	Licensed Representative (Provisional Licence)	1, 6



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YE Tong	BOA761	Licensed Representative (Provisional Licence)	1, 2
YE Xiang	BNY014	Licensed Representative (Provisional Licence)	9
YEUNG Fung Ching	BNY969	Licensed Representative (Provisional Licence)	1, 2, 4
YING Ka Wai	BNZ056	Licensed Representative (Provisional Licence)	1
YOO Dayeon	BOB214	Licensed Representative (Provisional Licence)	1, 4, 9
YOSHIMURA Takuya	BOA831	Licensed Representative (Provisional Licence)	1, 4, 6
YU Miao	BOA584	Licensed Representative (Provisional Licence)	1, 4, 6
YU Pak Ho, Nicholas	BOA925	Licensed Representative (Provisional Licence)	9
YU Xingcheng	BOB673	Licensed Representative (Provisional Licence)	1, 6
YUAN Lingnan	BOB216	Licensed Representative (Provisional Licence)	1, 2
YUAN Peiyun	BIX564	Licensed Representative (Provisional Licence)	1, 6
YUE Wei	ANY425	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG Ling	BOB143	Licensed Representative (Provisional Licence)	9
ZHA Liuyun	BOA256	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ao	BOA239	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chao	BOB130	Licensed Representative (Provisional Licence)	4
ZHANG Han	BOA571	Licensed Representative (Provisional Licence)	4
ZHANG Kuo	BNY965	Licensed Representative (Provisional Licence)	1
ZHANG Ling	ALI450	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ping	BOA899	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qizhao	BOA039	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xukun	BOA129	Licensed Representative (Provisional Licence)	6
ZHANG Yifan	BOB273	Licensed Representative (Provisional Licence)	6
ZHANG Yingyi	BOB980	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yuan	BOA246	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yueyan	BOB287	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Baoming	BNZ230	Licensed Representative (Provisional Licence)	1, 6
ZHAO Lei	BNX911	Licensed Representative (Provisional Licence)	4
ZHAO Wei	ALD676	Licensed Representative (Provisional Licence)	1, 4
ZHENG Boyu	BOB297	Licensed Representative (Provisional Licence)	1, 6
ZHENG Zida	BNY356	Licensed Representative (Provisional Licence)	1, 6
ZHU Yingjing	BOB162	Licensed Representative (Provisional Licence)	1
ZONG Qi	BNJ899	Licensed Representative (Provisional Licence)	4, 9
AGRAWAL Anurag	BHN025	Licensed Representative (Temporary Licence)	1, 2
AHMAD Zeba	BOA085	Licensed Representative (Temporary Licence)	1
ANDERL Maximilian	BGD222	Licensed Representative (Temporary Licence)	1, 4
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
BAKHRU Girish	BMX520	Licensed Representative (Temporary Licence)	4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BASU Sougata	BHZ553	Licensed Representative (Temporary Licence)	1
BEZALEL Ariel Meir	BCA040	Licensed Representative (Temporary Licence)	1
BREZINSKI Brad Thomas	BMT657	Licensed Representative (Temporary Licence)	1



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
BRUNNER Anais Roxane	BJP592	Licensed Representative (Temporary Licence)	1, 4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHEEVAVICHAWALKUL Amornrat	AOX756	Licensed Representative (Temporary Licence)	4
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHEONG Taeyong	BGC155	Licensed Representative (Temporary Licence)	1
CHEUNG Ho Wan	BNV412	Licensed Representative (Temporary Licence)	1, 2
CHIA Liting Michelle	BNG518	Licensed Representative (Temporary Licence)	4
CHUANG Tzu-Chiang	BOA794	Licensed Representative (Temporary Licence)	1
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
CONWAY Malie	BNX799	Licensed Representative (Temporary Licence)	1
CURLEY Marcus Christopher	BFR251	Licensed Representative (Temporary Licence)	4
DARK Adrian John	BFA326	Licensed Representative (Temporary Licence)	4
DE LA HAYE SAINT HILAIRE Adrien Bernard Bertrand Robert	BJE670	Licensed Representative (Temporary Licence)	4
DESHMUKH Prasad Gulabrao	BAR266	Licensed Representative (Temporary Licence)	4
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
DUNNETT Brian David	BBH893	Licensed Representative (Temporary Licence)	1
EDWARDS Shane Grant	AJG195	Licensed Representative (Temporary Licence)	1
ELLIS Dominic Haydon	BOA988	Licensed Representative (Temporary Licence)	1
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
ENGEL Edward Lee	BNZ300	Licensed Representative (Temporary Licence)	4
FAN Jim Shujun	BNO496	Licensed Representative (Temporary Licence)	2, 5
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FERKET Peter Jozef Josephina	BOB381	Licensed Representative (Temporary Licence)	1
FINCH Philip Julian Magnus	AT1170	Licensed Representative (Temporary Licence)	4
FIOTAKIS Themistoklis	BKU701	Licensed Representative (Temporary Licence)	4
FISHER III David Nichols	BOA024	Licensed Representative (Temporary Licence)	1, 4
FORNARO Celine	BAL508	Licensed Representative (Temporary Licence)	4
FRANCIS Joy Godwin	BOB558	Licensed Representative (Temporary Licence)	1
FUKUSHI Ryosuke	BOB141	Licensed Representative (Temporary Licence)	1, 2
GARFIN Mitchell Seth	BJE835	Licensed Representative (Temporary Licence)	1, 4
GILBERT Benjamin Joseph William	AVB999	Licensed Representative (Temporary Licence)	4
GILL Barry John	BHP725	Licensed Representative (Temporary Licence)	1, 4
GILLIAM Samuel Lewis	BOB429	Licensed Representative (Temporary Licence)	1
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GREENBURG Evan David	BMQ334	Licensed Representative (Temporary Licence)	1, 4
GUO Xinya	BNZ866	Licensed Representative (Temporary Licence)	1
GYSENS Bart	BAP664	Licensed Representative (Temporary Licence)	1, 4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAAS Ian Stewart	BNY870	Licensed Representative (Temporary Licence)	4
HARFORD Suni Pedersen	BLH426	Licensed Representative (Temporary Licence)	1, 4
HARRISON Lyanne Marie Leung	BNZ874	Licensed Representative (Temporary Licence)	4
HEINEL Lori Miller	BOA168	Licensed Representative (Temporary Licence)	4
HOLLAND Greg Anthony	BMQ913	Licensed Representative (Temporary Licence)	1, 4
HOPKINS Joseph Alexander	BOA205	Licensed Representative (Temporary Licence)	1, 4, 5
HORNE Martin Scott	BNR304	Licensed Representative (Temporary Licence)	1, 4
HOSHI Naoki	AWP716	Licensed Representative (Temporary Licence)	1, 2
HOU Xiaoting	BGB587	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HUMMEL Patrick Torsten	BKW550	Licensed Representative (Temporary Licence)	4
IVASCYN Daniel John	AZS735	Licensed Representative (Temporary Licence)	1, 4
JACKSON Andrew John	AYN419	Licensed Representative (Temporary Licence)	1
JACKSON Ian Robert	BGQ060	Licensed Representative (Temporary Licence)	1, 2
JAIN Aditya	BNQ984	Licensed Representative (Temporary Licence)	4
JANSEN Michael	BEI461	Licensed Representative (Temporary Licence)	1, 2
JAVELLANA Nicole Marie Fortune Tan	BFH781	Licensed Representative (Temporary Licence)	1
JUE Stephen Bailey	BNX804	Licensed Representative (Temporary Licence)	1
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KANG Chang Joo	BGV243	Licensed Representative (Temporary Licence)	1, 4
KHANNA Abhinav	BNB363	Licensed Representative (Temporary Licence)	1
KILLORAN Matthew David	BOA795	Licensed Representative (Temporary Licence)	1
KIM Gil Hyung	AUQ480	Licensed Representative (Temporary Licence)	4
KIT Wei Zheng	BEB420	Licensed Representative (Temporary Licence)	4
KOMMERS Bob	BOA063	Licensed Representative (Temporary Licence)	4
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
KUMAR Satish	AQX050	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAKE Fiona Maeve	ART583	Licensed Representative (Temporary Licence)	4
LAM Karen Antonia	BEM899	Licensed Representative (Temporary Licence)	1
LANGFORD Stehpen Richard	BKI493	Licensed Representative (Temporary Licence)	1
LAU Nai Fai Daniel	BNY804	Licensed Representative (Temporary Licence)	1
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Jeremy Ryan	BHC802	Licensed Representative (Temporary Licence)	1, 4
LEE Suzanna Soh	BNZ992	Licensed Representative (Temporary Licence)	1
LEMMENS Patrick Johannes Anna Franciscus	BLS913	Licensed Representative (Temporary Licence)	1
LESNE David Laurent	AXE221	Licensed Representative (Temporary Licence)	4
LI Kunlun	BNU121	Licensed Representative (Temporary Licence)	4
LIE Vivi Handoyo	BEN583	Licensed Representative (Temporary Licence)	4
LIM Siew Khee	BMV539	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LINMANEECHOTE Jiraporn	BGT722	Licensed Representative (Temporary Licence)	4





**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Chiung-Fang	BMU071	Licensed Representative (Temporary Licence)	4
LLOYD David	BEF285	Licensed Representative (Temporary Licence)	4
LOCK Mun Yee	BMU531	Licensed Representative (Temporary Licence)	4
MACDONALD Alistair John	AVW485	Licensed Representative (Temporary Licence)	4
MALONEY Kailee Shenae	BOB122	Licensed Representative (Temporary Licence)	1
MANZONE Jaime Che	BMU994	Licensed Representative (Temporary Licence)	4
MATSUHASHI Eisuke	BNY191	Licensed Representative (Temporary Licence)	1
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1, 2
MAZZUCATO Federica	BNH371	Licensed Representative (Temporary Licence)	2
MC NEILL Amy Engelhardt	BOA588	Licensed Representative (Temporary Licence)	1, 2
MIAH Thashmim	BNO741	Licensed Representative (Temporary Licence)	1
MITTERMAIER Markus Manfred Helmut	BLX368	Licensed Representative (Temporary Licence)	4
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MUKHLIS Helmi Arman	BDY347	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NAJARIAN Leanne Erika	BDH956	Licensed Representative (Temporary Licence)	4
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
NEOGY Abhijeet	AYN498	Licensed Representative (Temporary Licence)	1
NISHIMURA Keitaro	AYT962	Licensed Representative (Temporary Licence)	1
NISHIMURA Seiji	BLJ206	Licensed Representative (Temporary Licence)	1
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	1, 4
OHDAIRA Mitsuyuki	BGS887	Licensed Representative (Temporary Licence)	4
OPPIKOFER Matthias Hans	BNZ297	Licensed Representative (Temporary Licence)	1, 4
PATEL Jinal	BNX942	Licensed Representative (Temporary Licence)	4
PEIGNEUX LOJO Guillermo	BER729	Licensed Representative (Temporary Licence)	4
PETROVCIK Kevin Patrick	AZL215	Licensed Representative (Temporary Licence)	1, 4
PHUA Boon Po	AYJ025	Licensed Representative (Temporary Licence)	2, 5
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
PRUNRATANAMALA Kasem	BMW395	Licensed Representative (Temporary Licence)	4
RAMACHANDRAN Ganesh	BOA088	Licensed Representative (Temporary Licence)	1, 4
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	4
REDBOURN Paul Robert	AFQ906	Licensed Representative (Temporary Licence)	1
REDEKER Hans Gunter Wilhelm	BFF385	Licensed Representative (Temporary Licence)	1, 4, 5
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
RIGBY Jonathon	BAG214	Licensed Representative (Temporary Licence)	4
RYAN Matthew Hugh-Alfred	BLW777	Licensed Representative (Temporary Licence)	4
SAPUNOVA Alexandrina	BBK047	Licensed Representative (Temporary Licence)	1, 2
SCHORR Roman	BNY364	Licensed Representative (Temporary Licence)	10
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019**

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHINGLER Alexander Miles	BIO194	Licensed Representative (Temporary Licence)	1, 4
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SINIAKOV Chris	BNX710	Licensed Representative (Temporary Licence)	4
STOTT Andrew Gregory	BDR228	Licensed Representative (Temporary Licence)	4
SUKSUMRUN Komsun	BDY660	Licensed Representative (Temporary Licence)	4
SWAN Dominic Noel	AUQ036	Licensed Representative (Temporary Licence)	1
TAN Yawen	BJP932	Licensed Representative (Temporary Licence)	4
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TE John Victor Cabarles	BJC545	Licensed Representative (Temporary Licence)	4
TEEGER Sam Thomas	BHK845	Licensed Representative (Temporary Licence)	4
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TOMS Matthew Howard	BOA278	Licensed Representative (Temporary Licence)	1, 2
TSUI Terence Mun-sion	BDD866	Licensed Representative (Temporary Licence)	1, 4
VISWANATHA IYER Rishi	BNC587	Licensed Representative (Temporary Licence)	1
WALSH Michael Gerald	BNY642	Licensed Representative (Temporary Licence)	1
WANG Eson	APB798	Licensed Representative (Temporary Licence)	1
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YAMAUCHI Riki	BNQ777	Licensed Representative (Temporary Licence)	1
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZELLER Hubert Leon Maurice	BJL212	Licensed Representative (Temporary Licence)	1, 4
ZEZAS Michael Dennis	BOB147	Licensed Representative (Temporary Licence)	1, 4, 5