

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Akuna Hong Kong Limited	BNS250	Licensed Corporation	1, 2
Alpha Quantum Capital Limited	BNV892	Licensed Corporation	4, 9
Alpha Win Capital Limited	BNI045	Licensed Corporation	1, 4, 9
Andar Capital Management HK Limited	BND511	Licensed Corporation	9
BPEA Capital Limited	BNR191	Licensed Corporation	1, 4
Destination Partners Limited	BNR638	Licensed Corporation	9
Hill Ocean Capital Limited	BNR600	Licensed Corporation	9
JT International Financial Limited	BNN794	Licensed Corporation	4, 9
Lego Asset Management Limited	BJM198	Licensed Corporation	4, 9
Luzheng International Futures Limited	BNU946	Licensed Corporation	2
Oakwise Capital Management Limited	BNN920	Licensed Corporation	4, 9
Regent Capital Management Limited	BNW042	Licensed Corporation	4, 9
Royston Securities Limited	BMG243	Licensed Corporation	1, 4, 9
SCK Assets Management Limited	BNO308	Licensed Corporation	9
Sino Point Global Asset Management Limited	BNC312	Licensed Corporation	4, 9
Springwaters Financial Securities Limited	BNP661	Licensed Corporation	1, 4, 9
VG Asset Management Limited	BNM911	Licensed Corporation	4, 9
WB Asset Management (Hong Kong) Limited	BNQ762	Licensed Corporation	4, 9
Yaw Kai Financial Group Limited	BNI747	Licensed Corporation	1, 4
Yee Tai Capital Limited	BNN736	Licensed Corporation	4, 9
AMOYAL Alexandra Keren	BOC074	Licensed Representative	1, 2, 7
AN BOME E	BOC541	Licensed Representative	1, 4
AO Anqi	BOB117	Licensed Representative	4
AU YEUNG Ming Yin Gordon	BOE408	Licensed Representative	1, 9
AU Ying Man	BOD482	Licensed Representative	1, 4
BAIRSTOW Hayden Peter	AUZ522	Licensed Representative	4
BELL Matthew Tresilian	BOB148	Licensed Representative	2, 5
BILGI Cem	BOC626	Licensed Representative	1
BOOCOCK Patrick Matthew	BOA912	Licensed Representative	4
CAI Anran	BOC563	Licensed Representative	4
CAI Xiankai Anselm	BOB653	Licensed Representative	9
CAO Fengqiao	BKM347	Licensed Representative	4, 9
CARRINGTON Andrew Anaru Kenneth	BOA172	Licensed Representative	9
CHADHA Vaibhav	BOB427	Licensed Representative	1, 4
CHALK Nicholas Gyles Edward	BNY415	Licensed Representative	4
CHAN Ho Fung	BOD961	Licensed Representative	1
CHAN Hok Sing	ACS382	Licensed Representative	4, 9
CHAN Kelvin Ka Yin	BOC028	Licensed Representative	1, 2
CHAN Kwai Pak	BOB408	Licensed Representative	1, 4
CHAN Pak Wai	BOD513	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Samantha Lisa	BOC259	Licensed Representative	1, 2
CHAN Tat Wai	BOB284	Licensed Representative	4
CHAN Tsz Fung	BNV854	Licensed Representative	1, 2
CHAN Tsz Yin	BOC697	Licensed Representative	1, 4, 9
CHAN Yee Wai	BOB561	Licensed Representative	4
CHAN Yik Pang	BOC128	Licensed Representative	1, 2
CHAN Ying Tung	BOB781	Licensed Representative	1, 4
CHAN Yuk Pan	BOD136	Licensed Representative	1, 4
CHAN Yuk Wah	BOA335	Licensed Representative	1, 4
CHANG Hsiang Jen	BOC432	Licensed Representative	1, 4, 9
CHANG Kin Long	BOB281	Licensed Representative	1, 4
CHEN Fu	BOB667	Licensed Representative	4
CHEN Huilin	APC643	Licensed Representative	1, 4, 9
CHEN Jianlan	BOA928	Licensed Representative	1
CHEN Kai	BOD382	Licensed Representative	1, 2, 4
CHEN Ke	AZL562	Licensed Representative	1
CHEN Kunjie	BOC807	Licensed Representative	4
CHEN Ping	BOB623	Licensed Representative	4
CHEN Qi	BOB783	Licensed Representative	4, 9
CHEN Qijian	BNH103	Licensed Representative	1, 2
CHEN Qiujun	BOC396	Licensed Representative	1, 4
CHEN Rupeng	BNZ819	Licensed Representative	1
CHEN Xin	BEO543	Licensed Representative	1, 9
CHEN Yang	BOD220	Licensed Representative	1
CHEN Yu Chun	BOC414	Licensed Representative	1, 4, 9
CHEN Ziyi	BNY396	Licensed Representative	4
CHENG Billy Chung Leung	AHY095	Licensed Representative	1, 2
CHENG Chuhang	BOD630	Licensed Representative	6
CHENG Daryl	BOE151	Licensed Representative	1, 4, 6
CHENG Manli	ANH106	Licensed Representative	1, 4, 6
CHENG Stephanie	BOD511	Licensed Representative	1, 2
CHENG Tzu-Yu	BOD652	Licensed Representative	1
CHEOK Sui Gake	ANW198	Licensed Representative	1, 2
CHEUNG Ka Chun, Desmond	ATO779	Licensed Representative	1, 4, 9
CHEUNG Ka Yin Kelvin	BNU904	Licensed Representative	1, 2, 4
CHEUNG Kar Ying	BOC354	Licensed Representative	1, 4, 6
CHEUNG Kin Wah, Peter	ACX463	Licensed Representative	1
CHEUNG Man Ling	ANM983	Licensed Representative	1
CHEUNG Sek Yuen, Martin	APH639	Licensed Representative	1, 2
CHEUNG Wai Shing	BOB727	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Yuk Fung	BOD506	Licensed Representative	9
CHI Mei	BNP624	Licensed Representative	9
CHIN Kwan Yiu, Genny	BDY727	Licensed Representative	4
CHITKARA Ajay Kumar	BOC026	Licensed Representative	1
CHOE Sung Woo	BOC360	Licensed Representative	1
CHOI John Kyu-seong	BCY954	Licensed Representative	1, 4
CHOI Jun	BOC520	Licensed Representative	1, 4
CHONG Ka Fai	APY423	Licensed Representative	1
CHONG Ka Na	BOA769	Licensed Representative	1
CHOONG Loke Hung	BOC437	Licensed Representative	4
CHOPRA Anmol	BOC499	Licensed Representative	1, 9
CHOU Chia-Wei	BOC939	Licensed Representative	1, 2
CHOW Chi Man	BOB978	Licensed Representative	1, 4
CHOW Wesley Bowah	BOB290	Licensed Representative	1, 2
CHOY Sze Ching	BOC509	Licensed Representative	1
CHUNG Amy Nga Yen	BOA475	Licensed Representative	2, 5
CHUNG Miu Kuen	BOC859	Licensed Representative	4, 9
CHUNG Tin Ngai	BOB860	Licensed Representative	1, 6
CHUNG Wai Ting	BOB604	Licensed Representative	9
CIACCIA Jessica Marie	BOC062	Licensed Representative	1, 4, 6
COOPER Daniel Mark	BOC500	Licensed Representative	1, 9
DENG Yuqing	BOC301	Licensed Representative	1, 6
DINCER Ty	BOB738	Licensed Representative	1
DING Jun	BNX725	Licensed Representative	1, 2
DO Tanya Truc Thanh	BOA564	Licensed Representative	1
DONG Fangyuan	BOB771	Licensed Representative	1, 4, 9
DOYLE Simon Robert	BOC482	Licensed Representative	1
D'SOUZA Darren Karl	BNG420	Licensed Representative	9
DU Min	BOB919	Licensed Representative	1, 4, 9
DU Mingchong	BOC872	Licensed Representative	1, 6
DU Shiwei	BOB661	Licensed Representative	4
DU Yiping	BOA881	Licensed Representative	4, 9
ENGEL Edward Lee	BNZ300	Licensed Representative	4
FAN Chengjie	BOB988	Licensed Representative	4
FAN Mei Kei, Maggie	BGP119	Licensed Representative	1
FANG Tiantian	BOA592	Licensed Representative	1
FENG Zining	BOC162	Licensed Representative	1, 2
FLORRY Harry Simon Richard	BOD531	Licensed Representative	1, 4, 6
FUNG Chi Yeung	BOD915	Licensed Representative	1
FUNG Man Wai	BOD280	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNG Pui Shi, Patsy	BNG999	Licensed Representative	1, 4, 9
GALLAGHER Thomas Joseph	AJB335	Licensed Representative	1, 4
GAO Feng	BCW547	Licensed Representative	9
GAO Xiang	AZK746	Licensed Representative	4, 9
GAO Xue	BOB035	Licensed Representative	9
GAO Yuzhong	BOC287	Licensed Representative	1, 4
GONG Shuai	BOB672	Licensed Representative	4
GU Hao	BOB524	Licensed Representative	9
GU Jiaqi	BOF463	Licensed Representative	4, 5
GULATI Hitesh	BOB657	Licensed Representative	4
GUO Mingzhe	BOC397	Licensed Representative	1, 2, 7
GUO Tianxiao	BOC664	Licensed Representative	6
HA Chun Kit	ALY336	Licensed Representative	1, 4
HA Ming Chung	BBQ113	Licensed Representative	1, 6
HAHESY Mark Francis	BNC459	Licensed Representative	1, 6
HAN James Doh-won	BOD931	Licensed Representative	9
HAN Jonathan Yuchen	BNZ863	Licensed Representative	1, 4
HAN Seung Soo	BOA480	Licensed Representative	1, 4
HAN Xiaoyu	BOA684	Licensed Representative	4
HAN Yushan	BOD281	Licensed Representative	4, 9
HARVEY Cameron Philip	BOC215	Licensed Representative	9
HE Zheming	BNS060	Licensed Representative	1, 4
HO Ka Shun	AMK149	Licensed Representative	1, 9
HO Ka Yu	BOD838	Licensed Representative	1, 4
HO Nga Ying	BOC816	Licensed Representative	1, 4
HO Wing Yan	ASH897	Licensed Representative	1
HO Yiu Chung	BOC926	Licensed Representative	1
HU Yuzhou	BOB674	Licensed Representative	4
HU Zhangyi	BOD135	Licensed Representative	1, 4
HUANG Bai-Sung	BOB713	Licensed Representative	4, 9
HUANG Chutian	BOC568	Licensed Representative	6
HUANG Xinyi	BOD558	Licensed Representative	1, 4, 6
HUANG Yue	BJS142	Licensed Representative	9
HUANG Yujian	BOC869	Licensed Representative	1, 6
HUI Shuk Sum Susanna	BOA527	Licensed Representative	1, 4
HUNG Sze Tsun	BOC219	Licensed Representative	2
JIA Jianing	BMD873	Licensed Representative	1
JIA Mengdi	BOC431	Licensed Representative	4
JIANG Qianlei	BHD907	Licensed Representative	1, 4
JIANG Danqing	BOD769	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
JO Gil Won	BOA389	Licensed Representative	10
JOSHI Omkar Hemant	BOB525	Licensed Representative	9
JUNG Il Suk	BOE260	Licensed Representative	1, 2, 4, 5
KHOO Wei-Coln Ian	BOD547	Licensed Representative	1, 4, 9
KIJIMA Tsutomu	BOA534	Licensed Representative	4
KIM Mi Hyun	BOC366	Licensed Representative	4
KIM Seongjae	BOC107	Licensed Representative	1
KIM Sungeun	BIE125	Licensed Representative	4
KIM Sungjin	BOD884	Licensed Representative	9
KOESOEMO Mario	BOD638	Licensed Representative	1, 2, 4
KOH Kai Loon	BOC391	Licensed Representative	1
KONIK Randal Joseph	BOC881	Licensed Representative	4
KUAN Yu Hao	BOA464	Licensed Representative	1, 2, 4
KWAN Kin Wang, Gary	BDO430	Licensed Representative	1
KWAN Po Shan	BOD890	Licensed Representative	1
KWOK Chun Yiu	BOC489	Licensed Representative	1
KWOK Wai Fai	BCR063	Licensed Representative	1, 2, 4
KWOK Wing Yuet	BOD533	Licensed Representative	6
LAI Chun Hung	BOC389	Licensed Representative	1, 4
LAI Ka Tak, Albert	BHU911	Licensed Representative	1, 4, 9
LAI Kwan Yee	BOC778	Licensed Representative	1
LAM Ka Ho	BOB970	Licensed Representative	1, 2, 4
LAM Koon Chau	BKL135	Licensed Representative	9
LAM Leung Sang Andrew	BHF740	Licensed Representative	9
LAM Tin Yan	BNY559	Licensed Representative	10
LAM Wai Kin	BOB982	Licensed Representative	1, 9
LAM Wing Lun	AXB594	Licensed Representative	1, 9
LAM Yu Chor Paul	BKH877	Licensed Representative	1, 4
LANG Joseph Shie Jay	BEW614	Licensed Representative	1, 4, 6
LAU Chun Hung	BOB493	Licensed Representative	6
LAU King Hei	BOA378	Licensed Representative	4
LAU Yiu Ki	BOC423	Licensed Representative	1, 4
LAW Chun Hin	BOC701	Licensed Representative	9
LAW Hau Fai	BOD051	Licensed Representative	1
LEE Cheng Kown, George	BFY204	Licensed Representative	4, 5, 9
LEE Ching Shun	BOC891	Licensed Representative	1, 2, 4
LEE Jin Won	BOB213	Licensed Representative	1, 4
LEE King Yeung	BOC949	Licensed Representative	9
LEE Lok Tung	AJO214	Licensed Representative	1, 9
LEE Sung Yol	BOE156	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Tak Kuen Kenny	BOB152	Licensed Representative	1
LEE Tien Soon	BJT065	Licensed Representative	1, 4
LEE Yei Him	AOW773	Licensed Representative	1, 9
LEONG Lillian	BOE490	Licensed Representative	1, 2
LETHIELLEUX Aymeric, Nicolas, David	ATQ947	Licensed Representative	1, 2
LEUNG Chi Man	AQV179	Licensed Representative	1, 2, 4, 5
LEUNG Ho Hon	BOC310	Licensed Representative	1, 9
LEUNG Pui Chi	BOB434	Licensed Representative	1, 4
LEUNG Tsz Yan	BOD389	Licensed Representative	1, 6
LEUNG Tung Ming	AZK679	Licensed Representative	1
LEUNG Wai Chung	BOC966	Licensed Representative	1, 4
LEUNG Wing	BOD839	Licensed Representative	2
LEUNG Yu Hin Eugene	BOB544	Licensed Representative	4
LEUNG Yu Ki	AFU437	Licensed Representative	4, 9
LI Bowen	BNZ147	Licensed Representative	1, 4
LI Chen	BOC669	Licensed Representative	1, 4, 6
LI Chenchen	BOD527	Licensed Representative	4, 9
LI Cheuk Yin, Clive	BAJ284	Licensed Representative	1, 2
LI Darrell Arthur	BOD521	Licensed Representative	1, 6
LI Kong Ho	BOD301	Licensed Representative	1
LI LIN	BNX134	Licensed Representative	9
LI Mu	BNY406	Licensed Representative	1, 6
LI Ping	BOC782	Licensed Representative	1, 4, 6
LI Qi	BNY952	Licensed Representative	1, 4, 5
LI Shiue	BOD097	Licensed Representative	1, 4, 6
LI Shumin	BNY034	Licensed Representative	9
LI Tak Hong	AOB022	Licensed Representative	1, 4
LI Yat Hung	BOE285	Licensed Representative	1, 4, 9
LI Yihan	BOC772	Licensed Representative	1, 4
LI Yunjun	BOB952	Licensed Representative	1, 2
LI Zheng	BOF462	Licensed Representative	4, 5
LIEW Alice Siao Yun	BOD405	Licensed Representative	4, 6
LIM Marco Jun Kit	AMM425	Licensed Representative	4
LIN Caihe	BOD917	Licensed Representative	1, 4, 6
LIN Tingting	AXI337	Licensed Representative	1, 4, 6
LIN Weichun	BOC248	Licensed Representative	2
LIU Chao	BOC157	Licensed Representative	1
LIU Honglin	BNZ815	Licensed Representative	1
LIU Lingge	BOC864	Licensed Representative	1, 6
LIU Shijie	BOE207	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xiaofei	BOB984	Licensed Representative	4
LIU Xin	BOD614	Licensed Representative	1
LIU Xinguo	BOD325	Licensed Representative	4, 9
LIU Yong Liang	AYW275	Licensed Representative	1, 4
LIU Zhenhua	BHT759	Licensed Representative	9
LIU Zheyu	BOC008	Licensed Representative	4, 9
LIU Zhongyi	BOA823	Licensed Representative	2, 5
LIU Zishan	BOA862	Licensed Representative	1
LO Yuen Mei	BAL307	Licensed Representative	1, 4, 9
LOH Sin Niew	BJC093	Licensed Representative	1
LOK Chun Kit	BBH787	Licensed Representative	1, 4, 6
LU Calvin Sean	BOC023	Licensed Representative	4, 9
LU Jiuzhou	ATR182	Licensed Representative	1, 6
LU Yang	BOD373	Licensed Representative	1, 4
LU Ying	BOB656	Licensed Representative	4
LUK Kar Yan	BOD514	Licensed Representative	1, 4, 6
LUK Wai Man	BOC116	Licensed Representative	1, 4
LUNCASU Naoko	BOD650	Licensed Representative	1
LUO Yu	BOD922	Licensed Representative	1, 6
MA Huan	BOD100	Licensed Representative	6
MA Jiaqi	BOC924	Licensed Representative	9
MA Xiaotong	BOB737	Licensed Representative	1, 4, 9
MACARTHUR-STANHAM George James	BOA639	Licensed Representative	1, 6
MACKENZIE Alistair Mel Arthurs	BOB533	Licensed Representative	4
MAN Chi Hang	BOD299	Licensed Representative	1
MAN Wing Pong	AHC716	Licensed Representative	1, 6
MANSOUR Charles Marcus	BNH611	Licensed Representative	1, 9
MAO Deyi	BCC878	Licensed Representative	1, 6
MCALINDEN Barry	APF630	Licensed Representative	1, 4, 9
MEI Jingfu	BOC775	Licensed Representative	4, 9
MENG Qiang	BOA386	Licensed Representative	4
MHATRE Aniket Sadanand	BOA863	Licensed Representative	4
MILLER Jason Evans Billings	BOB307	Licensed Representative	1, 4, 9
MILLER Matthew Louis	BNY967	Licensed Representative	1
MOK Ka Wai	BOB109	Licensed Representative	1, 2
MOK Wai Lap Gavin	ALD459	Licensed Representative	1, 4
MULHERN John Craig	BOC957	Licensed Representative	1, 2
NELSON Joel James	AQM059	Licensed Representative	1
NEVATIA Sumangal Rajesh	BLK492	Licensed Representative	4
NG Sin Yi	BOE946	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Tony Chi-Ping	BNY249	Licensed Representative	1, 4
NG Wen Ying	BOA465	Licensed Representative	1, 6
NG Wing Kay Elaine	BOD517	Licensed Representative	4
NG Yick Wai David	BOB679	Licensed Representative	1
NIU Ziming	BOD145	Licensed Representative	1
NOLAN David John	ACL800	Licensed Representative	9
OGINO REIJI	BOC299	Licensed Representative	1
ONG Chin Aisling	AYE538	Licensed Representative	1, 4
OU Ruiming	BOB542	Licensed Representative	4
OU YONG Xuan Sheng	BOC428	Licensed Representative	1, 4
PAJJURI Srinivas Reddy	BOC912	Licensed Representative	4
PANG Karon Kin Lok	BOC623	Licensed Representative	2
PEARSE Matthew Stephen	BOB086	Licensed Representative	1
PERROT Guillaume Charles-evrard	BOB645	Licensed Representative	9
PILCHER Bradford Larkin	BKQ459	Licensed Representative	1
POLAK David Trevellyan	BOB979	Licensed Representative	1
POWELL Charlotte Fleming	BOA451	Licensed Representative	1, 4
PRANATA Robert Paul	BOD099	Licensed Representative	4
PUN Felix	BNY629	Licensed Representative	4
QIAN John Ziang	BOC241	Licensed Representative	1, 2
QIAN Liefei	BOC016	Licensed Representative	4
RAMACHANDRAN Rachael	BOC619	Licensed Representative	1, 2, 7
REN Ying	BJJ188	Licensed Representative	6
SAFSTRAND Michael Tomas	BOB734	Licensed Representative	1, 2
SANCHETI Abhishek	BOC285	Licensed Representative	1, 2
SANGHRAJKA Satyan Arvind	BGB565	Licensed Representative	1, 4
SETIADI Bob	BOB994	Licensed Representative	4
SHAH Aarti Pramod	BLU258	Licensed Representative	1, 4
SHAO Sining	BNZ119	Licensed Representative	1, 4
SHEA Hoi Kan	BOA161	Licensed Representative	1, 6
SHEK Chi Ying	BOC240	Licensed Representative	1, 2
SHEN Li	BOD923	Licensed Representative	6
SHEN Shibai	BOC294	Licensed Representative	1, 6
SHEN Tao	AUS961	Licensed Representative	4
SHEN Xiaotong	AWU655	Licensed Representative	1, 4, 6
SHERIDAN Tiana Maria	BNA730	Licensed Representative	1, 4
SHIH Jen Ming, Kenneth	AVM355	Licensed Representative	1, 4
SILVERMAN Noah Eric	BOC355	Licensed Representative	1, 2
SINGHVI Ravi	BOB774	Licensed Representative	4, 9
SIT Yin Ping	BND610	Licensed Representative	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
SIU Wai Ching, Shirley	ABP528	Licensed Representative	1, 4
SMITH Stephen Mark	BFA905	Licensed Representative	1, 7
SO Darren Tsu Hei	BOA443	Licensed Representative	2, 5
SO Tsz Kit	BCQ151	Licensed Representative	1, 4
SO Wan Mann Margarita	BOC809	Licensed Representative	1, 2, 4
SONG Yunzhe	BOC357	Licensed Representative	1, 4, 9
ST JOHN Michael Joseph Beauchamp	BOC670	Licensed Representative	1
STERTZENBACH Herbert Peter	BNY538	Licensed Representative	1, 4, 9
SU SHU	BOB397	Licensed Representative	10
SU Tong	BOD919	Licensed Representative	1, 6
SUEN So Ming	BOD345	Licensed Representative	4, 9
SUN Eric Fu Yuan	BOC030	Licensed Representative	1, 4
SZE Hau Yung	ASS759	Licensed Representative	1
TAKALKAR Hemant Anil	BOB259	Licensed Representative	1
TAKEUCHI Naoki	BOA600	Licensed Representative	4, 9
TAKUMI Hiroki	BOD987	Licensed Representative	1, 6
TAM Man Yung, Clarence	ATR858	Licensed Representative	1, 4, 9
TAM Yuet Fung	BAY295	Licensed Representative	1
TAN Lucas Adnan Soen Hwat	ABQ528	Licensed Representative	1
TAN Minling	BOB969	Licensed Representative	4
TAN Weiqiang Alvin	BNO597	Licensed Representative	6
TANG Hin Lun	BOD386	Licensed Representative	1
TANG Ho Ming Edmond	BOB953	Licensed Representative	4, 9
TANG Jacqueline Lucy	BOD920	Licensed Representative	1, 2, 7
TANG Jing	BOD806	Licensed Representative	1, 4, 6
TANG Wai Man, Anna	APW677	Licensed Representative	1
TANG Wenchang	BOB991	Licensed Representative	4
TANG Xudong	BOB104	Licensed Representative	1, 2
TAO Liangji	BOA999	Licensed Representative	1
TESVICH Kathy Haw Mun	BOB131	Licensed Representative	1
TIAN Yingyu	BOC129	Licensed Representative	1, 2
TOMS Matthew Howard	BOA278	Licensed Representative	1, 2
TREANOR Jonathan Anthony	BOD277	Licensed Representative	1, 2
TSANG Kwong Yat	BOC394	Licensed Representative	2, 5
TSE Cheuk Lam	BOB004	Licensed Representative	10
TSE Chun Ho	BOD636	Licensed Representative	1, 6
TSE Shing Cheong	AFR472	Licensed Representative	1
TSE Wing Lam, Michelle	AVB832	Licensed Representative	6
TSOI Ka Yan	BOB564	Licensed Representative	1, 4, 9
TSOI San Kit	BGT693	Licensed Representative	2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSUNG Howard	BOD284	Licensed Representative	9
TUNG Nok Karen	BNY999	Licensed Representative	1, 4
TUSI Jessica Yijia	BOD510	Licensed Representative	1, 2, 7
WAJNGARTEN Matthew Simon	BME751	Licensed Representative	1, 2
WALUJO Richardo Putra	BOD324	Licensed Representative	4
WAN Kam Hung	BOC672	Licensed Representative	1
WANG Chuan	BHF645	Licensed Representative	1
WANG Congshi	BOC646	Licensed Representative	1, 4, 6
WANG Hansen	BGI310	Licensed Representative	6
WANG Huize	BNY409	Licensed Representative	1, 2
WANG Jiamin	BOA197	Licensed Representative	1, 4
WANG Jing	BNZ118	Licensed Representative	1
WANG Lan	BOB393	Licensed Representative	10
WANG Luming	BOC117	Licensed Representative	1
WANG Shijun	BOC013	Licensed Representative	1
WANG Wenbei	BCS501	Licensed Representative	6
WANG Wengang	BOC014	Licensed Representative	1
WANG Xinyuan	BOD279	Licensed Representative	1, 6
WANG Yachao	BKJ923	Licensed Representative	1, 2
WANG Yangjunnan	BOC173	Licensed Representative	1, 6
WANG Yongfeng	BOC780	Licensed Representative	4
WANG Ziang	BOD653	Licensed Representative	1, 6
WEN Bo	BOC082	Licensed Representative	9
WILLIS Matthew Samuel Warren	BOA135	Licensed Representative	9
WINOTO Yusuf Ade	BOC205	Licensed Representative	4
WONG Angus Chak Ki	BOB946	Licensed Representative	4
WONG Choi Mei	ABT161	Licensed Representative	1, 2, 4, 9
WONG Chun Kit	AVJ133	Licensed Representative	6
WONG Ka Long	BAR480	Licensed Representative	1, 2
WONG Kam Yiu	BOC673	Licensed Representative	1, 2
WONG Kin Chung	BOB735	Licensed Representative	1, 2
WONG Lok Yee Ronnie	BOE240	Licensed Representative	1, 4
WONG Man Chan	BOB411	Licensed Representative	6
WONG Tak Yan, Frances	ARP090	Licensed Representative	1
WONG Tse Shan	BOB082	Licensed Representative	6
WONG Yeung	BOB548	Licensed Representative	1, 2
WONG Yuk Cheung	BOD886	Licensed Representative	4, 9
WU Changxuan	BOC390	Licensed Representative	1
WU Hei Ting Fiona	BOD282	Licensed Representative	1
WU Juanjuan	BOC032	Licensed Representative	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Sui Lung	BOC779	Licensed Representative	1
WU Wing Hoi Brendan	BOD553	Licensed Representative	1, 2
WU Ying Kit	BOD098	Licensed Representative	9
WU You	BOC281	Licensed Representative	1, 2
WU Yu-Huan	BOC024	Licensed Representative	1, 6
WU Yuyang	BOC290	Licensed Representative	1, 6
WU Zhenyu	BOC025	Licensed Representative	1, 2, 7
WU Zhihong	BOD916	Licensed Representative	1, 6
XIA Zhen	BOC238	Licensed Representative	1
XIAO Han	BOB352	Licensed Representative	1, 4, 6
XIAO Xiangyu	BOB658	Licensed Representative	1
XIE Haituo	BOD009	Licensed Representative	2
XIE Lijun	BNS465	Licensed Representative	9
XU Mingyu	BOB244	Licensed Representative	9
XU Weimin	BOC648	Licensed Representative	1
XU Zhirui	BNZ882	Licensed Representative	1, 2
YAMAMURA Junko	BES915	Licensed Representative	4
YAN Oi Yi	BOD848	Licensed Representative	1
YAN Yan	BNP295	Licensed Representative	1, 4
YANG Jiaying	BOD519	Licensed Representative	4
YANG JIAYI	BOB671	Licensed Representative	9
YANG Yao Bo	BEJ406	Licensed Representative	9
YAO Chunguan	BOB129	Licensed Representative	1, 2
YE Dandan	BOD783	Licensed Representative	1, 4, 9
YE Shuang	BOC874	Licensed Representative	4, 9
YEE Wai Chee Karen	BOC776	Licensed Representative	9
YEO Sze Huai, Grace	BOC662	Licensed Representative	1
YEUNG Chi Tat, Chester	ASQ208	Licensed Representative	1, 4, 9
YI Chen	BOC216	Licensed Representative	1, 4, 6
YICK Ho Yin	BOC352	Licensed Representative	1
YIN Hao Chi, Alec	ALZ060	Licensed Representative	1, 4
YIN Jufeng	BOB625	Licensed Representative	4
YOO Chan Ho	BOB149	Licensed Representative	2, 5
YU Cong	BOC182	Licensed Representative	1, 2
YU Sau Ling	AYN663	Licensed Representative	4, 9
YU Shek Lun	ATQ882	Licensed Representative	1, 4
YU Tao	BOC108	Licensed Representative	5
YU Xingcheng	BOB673	Licensed Representative	1, 6
YU Yan	BOC521	Licensed Representative	1
YUAN Fengzhou	BOD058	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUAN Lingnan	BOB216	Licensed Representative	1, 2
YUNG Kwok Fai	BOC094	Licensed Representative	1
ZENG Chao	ANZ628	Licensed Representative	1, 4
ZENG Ling	BOB143	Licensed Representative	9
ZHANG Chao	BOB130	Licensed Representative	4
ZHANG Dawei	BOD326	Licensed Representative	1, 2
ZHANG Fangyuan	BNY907	Licensed Representative	4, 9
ZHANG Hongwei	BOA855	Licensed Representative	6
ZHANG Jianyu	BNY962	Licensed Representative	4, 9
ZHANG Jinguo	BOB395	Licensed Representative	10
ZHANG Lu	BNY936	Licensed Representative	1
ZHANG Peter Sihao	BOC242	Licensed Representative	1, 6
ZHANG Ruizhi	BOB961	Licensed Representative	1
ZHANG Tailai	BOD343	Licensed Representative	1, 2, 9
ZHANG Yifei	BOC561	Licensed Representative	1, 2
ZHANG Zhirou	BOC888	Licensed Representative	6
ZHAO Lei	BNX911	Licensed Representative	4
ZHAO Yicheng	BOD231	Licensed Representative	1, 6
ZHENG Boyu	BOB297	Licensed Representative	1, 6
ZHENG Kaien	BOB758	Licensed Representative	4
ZHENG Ke	BET699	Licensed Representative	1, 2, 7
ZHONG Ke	BOA861	Licensed Representative	6
ZHOU Fangqiu	BOE423	Licensed Representative	1, 2
ZHOU Tianyou	BOC622	Licensed Representative	1, 2, 7
ZHOU Yating	BOC810	Licensed Representative	4
ZHUANG Jin	AZT481	Licensed Representative	1, 4, 9
BUXTON Charles Robert James	BNV161	Licensed Representative - Responsible Officer	1, 4
CHAN Siu Chi	ADF951	Licensed Representative - Responsible Officer	1, 4
CHAN Wai Lung	AAC760	Licensed Representative - Responsible Officer	1
CHAN Yik Fan	AWZ157	Licensed Representative - Responsible Officer	1, 4, 9
CHEN Xiaofang	BNR541	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Man Ling	ANM983	Licensed Representative - Responsible Officer	4, 9
CHI Mei	BNP624	Licensed Representative - Responsible Officer	4
CORDES Patrick John	ANX474	Licensed Representative - Responsible Officer	1, 4
DAN Pauline Kai Yin	ADC335	Licensed Representative - Responsible Officer	4, 9
FUNG Sui Sang, Tommy	AFN762	Licensed Representative - Responsible Officer	4, 9
HUNG Gary	AAB182	Licensed Representative - Responsible Officer	4, 9
JIA Hongbo	BOB798	Licensed Representative - Responsible Officer	4, 9
KAM Chi Leung	ACK007	Licensed Representative - Responsible Officer	1, 4
KAMYAR Adam	AUN905	Licensed Representative - Responsible Officer	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Man Kiu, Michael	AMO552	Licensed Representative - Responsible Officer	4, 9
LAM Yip Chai	BOB934	Licensed Representative - Responsible Officer	1
LEE Eu Han	ARJ450	Licensed Representative - Responsible Officer	4
LEUNG Ho Pong, Ronald	AGN975	Licensed Representative - Responsible Officer	4, 9
LIM Marco Jun Kit	AMM425	Licensed Representative - Responsible Officer	9
LIN Yuri Yee-Lee	AMG021	Licensed Representative - Responsible Officer	9
LIU Hui	BNP689	Licensed Representative - Responsible Officer	4, 9
LIU Jiangfan	BKW230	Licensed Representative - Responsible Officer	4, 9
MA Hoi Wai	ADS048	Licensed Representative - Responsible Officer	1, 4, 9
MACKAY Eric Gunn	BDA818	Licensed Representative - Responsible Officer	1, 2, 9
MEN Jianzhao	AXR149	Licensed Representative - Responsible Officer	4, 9
NEWMAN Mark Harrison	BHK234	Licensed Representative - Responsible Officer	9
NG Ka Wing	ARB120	Licensed Representative - Responsible Officer	1, 4
POLLARO JR Joseph Patrick	BJO682	Licensed Representative - Responsible Officer	1, 4, 9
QIU Hoi Fung	ALA484	Licensed Representative - Responsible Officer	4, 9
SCHOLLUM Myo Wai	AMP186	Licensed Representative - Responsible Officer	1
SKINNER Mitchell David	AQY011	Licensed Representative - Responsible Officer	1, 2
SUM Poon Yun, Johnny	AED718	Licensed Representative - Responsible Officer	4, 9
TENG Chia-Wen	BDM861	Licensed Representative - Responsible Officer	4, 9
THORN Nicholas Michael Gordon	AYD173	Licensed Representative - Responsible Officer	1
TSANG Cheuk Ho	BNZ989	Licensed Representative - Responsible Officer	1
TSANG Michael	ADX573	Licensed Representative - Responsible Officer	1, 2, 7
TSANG Wing Ming, Tony	ADQ064	Licensed Representative - Responsible Officer	9
WAN Howe Chung	BNV174	Licensed Representative - Responsible Officer	9
WONG Man Hin, Charles	AAE237	Licensed Representative - Responsible Officer	1
WU Huai-En	BDM852	Licensed Representative - Responsible Officer	4, 9
WU Tao	BGQ271	Licensed Representative - Responsible Officer	9
XIAO Long	BHF480	Licensed Representative - Responsible Officer	2
XU Ke	BNL254	Licensed Representative - Responsible Officer	4, 9
XUE Lan	ANY095	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
YIH Susanna Suk Chi	BHF979	Licensed Representative - Responsible Officer	1, 2
YU Xiaoling	BNP644	Licensed Representative - Responsible Officer	9
ZHANG Yong	BBP222	Licensed Representative - Responsible Officer	1
ALTIERI Mario Letterio	BOE412	Licensed Representative (Provisional Licence)	1, 2
ANG Chiu On	BOE755	Licensed Representative (Provisional Licence)	1, 4
ANSARI Saira Abul	BOF645	Licensed Representative (Provisional Licence)	1
ASVATHITANONTA Kamolwan	BOG394	Licensed Representative (Provisional Licence)	1
AU Wilson Wai Kwan	ATY188	Licensed Representative (Provisional Licence)	1, 4, 9
AUBIN Edouard Jean Laurent	BLT655	Licensed Representative (Provisional Licence)	1, 4
AU-YEUNG Kin Hang	AXI948	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
BAI Yang	BOE426	Licensed Representative (Provisional Licence)	1, 6
BERNARDO Jessie	BAM369	Licensed Representative (Provisional Licence)	1, 4
BING Zhujun	BOF315	Licensed Representative (Provisional Licence)	1, 4, 9
CEREDA PARINI Flavio Romano Achille	BDD072	Licensed Representative (Provisional Licence)	4
CHAN Ernest	BOF190	Licensed Representative (Provisional Licence)	2, 5
CHAN Fung Ping	AOU775	Licensed Representative (Provisional Licence)	1
CHAN Hiu Yung Crystal	BOE956	Licensed Representative (Provisional Licence)	4, 9
CHAN Ka Wai	BOF448	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Suet Sze	BOF035	Licensed Representative (Provisional Licence)	1
CHAN Suet Ying	BOF948	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ut leong	AWF498	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wang Wai	BOE732	Licensed Representative (Provisional Licence)	1, 2
CHAUDHURI Kaustav	BOE528	Licensed Representative (Provisional Licence)	1, 2
CHEN Han	BOE243	Licensed Representative (Provisional Licence)	1
CHEN Hui	BOE948	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Qiujun	BOC396	Licensed Representative (Provisional Licence)	1, 4
CHEN Shaowen	BOF188	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Weibin	BOD694	Licensed Representative (Provisional Licence)	4
CHEN Wuhao	BOF915	Licensed Representative (Provisional Licence)	1, 4
CHEN Xiaohua	BAM436	Licensed Representative (Provisional Licence)	1, 2
CHEN Yilun	BOF343	Licensed Representative (Provisional Licence)	1
CHEN Zhixin	BOD028	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Sze Lok	BOD979	Licensed Representative (Provisional Licence)	1
CHEUNG Fei Chau	AGC679	Licensed Representative (Provisional Licence)	1
CHEUNG Yuk Fung	BOD506	Licensed Representative (Provisional Licence)	9
CHIN Yao Chou	BOD846	Licensed Representative (Provisional Licence)	1, 2, 4
CHING Mung Kiu	BOF397	Licensed Representative (Provisional Licence)	1
CHIU Hiu Lam Emily	BOE627	Licensed Representative (Provisional Licence)	1, 4, 9
CHOI Ho Jun	BOF337	Licensed Representative (Provisional Licence)	1
CHOI Lai Chuen	BOE246	Licensed Representative (Provisional Licence)	1
CHOI Tung Yin, Patrick	AVA754	Licensed Representative (Provisional Licence)	1, 2
CHOW Chung, Apollo	BOF134	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Chung Lim	BOE385	Licensed Representative (Provisional Licence)	1, 2, 9
CHU Kin Chiu	BOF222	Licensed Representative (Provisional Licence)	1, 9
CHUANG Shih-yen	BBN891	Licensed Representative (Provisional Licence)	1, 2, 4
CHUNG Tin Ngai	BOB860	Licensed Representative (Provisional Licence)	1, 6
CLARK Miranda Helen	BOD965	Licensed Representative (Provisional Licence)	4
DEMAIO Thomas Vincent	AML645	Licensed Representative (Provisional Licence)	9
DI FUCCI John Stephen	BOG204	Licensed Representative (Provisional Licence)	4
DICKERSON Joseph Eric	BOG047	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
DILLARD Brian Wesley	BOF093	Licensed Representative (Provisional Licence)	1, 4
DOULL Matthew William Monteith	BOC819	Licensed Representative (Provisional Licence)	1, 4
DOYLE Simon Robert	BOC482	Licensed Representative (Provisional Licence)	1
D'SOUZA Darren Karl	BNG420	Licensed Representative (Provisional Licence)	9
DUAN Yanyan	BOD921	Licensed Representative (Provisional Licence)	1
ELIE TANUJAYA Kevin	BEF300	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
ENGEL Edward Lee	BNZ300	Licensed Representative (Provisional Licence)	4
ENLUND Dianna	APU795	Licensed Representative (Provisional Licence)	1, 4, 9
ER Wilson	APK732	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Cheuk Yin	BOF139	Licensed Representative (Provisional Licence)	4
FAN Ka Wai	BOD914	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Lili	AOT501	Licensed Representative (Provisional Licence)	4, 9
FANG Shuang	BOE652	Licensed Representative (Provisional Licence)	4, 9
FENG Chongguang	BOD615	Licensed Representative (Provisional Licence)	4
FENG Xiao	BOA927	Licensed Representative (Provisional Licence)	1, 4, 9
FENG Yutong	BOF258	Licensed Representative (Provisional Licence)	4
FENG Zhangpeng	BOE863	Licensed Representative (Provisional Licence)	4, 9
FISHMAN Edward Laurence	BOF167	Licensed Representative (Provisional Licence)	1
FREEMAN Sebastian Oliver	BOC820	Licensed Representative (Provisional Licence)	1, 2
FU Yu	BOE212	Licensed Representative (Provisional Licence)	1, 4, 5, 9
GARG Mukul	BOD966	Licensed Representative (Provisional Licence)	4
GE Mier	BOC131	Licensed Representative (Provisional Licence)	1, 6
GLAISNER Quentin	BOE206	Licensed Representative (Provisional Licence)	1, 2, 7
GUO Mingzhe	BOC397	Licensed Representative (Provisional Licence)	1, 2, 7
GUO Shiyi	BOE952	Licensed Representative (Provisional Licence)	1, 4
HAHESY Mark Francis	BNC459	Licensed Representative (Provisional Licence)	1, 6
HAM Tae Gyu	BOF166	Licensed Representative (Provisional Licence)	1, 4
HAN James Doh-won	BOD931	Licensed Representative (Provisional Licence)	9
HAU Wai Tak	BKV826	Licensed Representative (Provisional Licence)	4, 9
HAYMAN Grace Susan	BOF496	Licensed Representative (Provisional Licence)	1
HAYWARD Brandon Mark	BOF138	Licensed Representative (Provisional Licence)	1, 6
HEWITT David James	APG723	Licensed Representative (Provisional Licence)	4
HILL Daniel William	BFY595	Licensed Representative (Provisional Licence)	9
HO Ka Lun	BIN776	Licensed Representative (Provisional Licence)	1, 4
HO Siu Man, Gideon	AOR642	Licensed Representative (Provisional Licence)	4, 9
HO Sui Yi	BOC629	Licensed Representative (Provisional Licence)	1, 4
HON Wing Lun	AVP857	Licensed Representative (Provisional Licence)	4
HOU Jiaqi	BOF906	Licensed Representative (Provisional Licence)	1, 4
HSU Chiao Chih	AXW468	Licensed Representative (Provisional Licence)	4
HU Deyue	BOF356	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Letian	BDI847	Licensed Representative (Provisional Licence)	1, 4, 9
HU Wanli	BOF614	Licensed Representative (Provisional Licence)	1, 4
HU Wenqin	BFQ045	Licensed Representative (Provisional Licence)	1, 6
HUANG Hantao	BOF082	Licensed Representative (Provisional Licence)	1, 4
HUANG Xinyi	BOE864	Licensed Representative (Provisional Licence)	1, 6
HUANG Xinyue	BOF252	Licensed Representative (Provisional Licence)	1, 4
HUDSON Alexander Jo	BOD306	Licensed Representative (Provisional Licence)	1, 7
HUDSON Scott Lyndon	AUZ661	Licensed Representative (Provisional Licence)	4
HUH Samuel	BOD124	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Jie	BIJ550	Licensed Representative (Provisional Licence)	1, 4
INDERST Andreas	BOE621	Licensed Representative (Provisional Licence)	4
JENKINS Benjamin Taylor	BOF078	Licensed Representative (Provisional Licence)	1
JIANG Hao	BOE811	Licensed Representative (Provisional Licence)	1, 6
JIANG Xinhao	BOE414	Licensed Representative (Provisional Licence)	1, 4
JUNG Il Suk	BOE260	Licensed Representative (Provisional Licence)	1, 2, 4, 5
KANG Puay Ju	BJB545	Licensed Representative (Provisional Licence)	1
KAWAMOTO Yushi	BOF144	Licensed Representative (Provisional Licence)	4
KELLY Robert James	BOD307	Licensed Representative (Provisional Licence)	1, 7
KIM Sungjin	BOD884	Licensed Representative (Provisional Licence)	9
KO Wing Leong Algje	BOD857	Licensed Representative (Provisional Licence)	9
KURIAN Ashik	BOD722	Licensed Representative (Provisional Licence)	4
KWAN Irene Michelle	BOF426	Licensed Representative (Provisional Licence)	9
KWEK Li Ern Joy	ANQ606	Licensed Representative (Provisional Licence)	6
KWOK Kin	BOF020	Licensed Representative (Provisional Licence)	1
KWOK Luk Pun	BGB730	Licensed Representative (Provisional Licence)	1, 4
LAI Man Ling	AWX953	Licensed Representative (Provisional Licence)	4
LAI Tianxing	BOE375	Licensed Representative (Provisional Licence)	1, 6
LAM Chak Yu	BOE255	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chi Kong	BOF458	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Chun Hung	AHA454	Licensed Representative (Provisional Licence)	2, 5
LAM Hiu Tung	BOF254	Licensed Representative (Provisional Licence)	1, 6
LAM Ka Ho	BOE175	Licensed Representative (Provisional Licence)	1, 2
LAM Kin Sang	AOX351	Licensed Representative (Provisional Licence)	1, 2
LAM Nok Sze Christie	BOC922	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yip Chai	BOB934	Licensed Representative (Provisional Licence)	1
LAU Chi Chiu, Terry	AUQ993	Licensed Representative (Provisional Licence)	1
LAU Hiu Ting	BOE257	Licensed Representative (Provisional Licence)	1
LAU Yun Mei	BNV579	Licensed Representative (Provisional Licence)	1
LAW Hung Yan Verona	BOF186	Licensed Representative (Provisional Licence)	9
LAW Sum Yee	BOG017	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LE GOFF Yannick Christian	ASO642	Licensed Representative (Provisional Licence)	1, 2
LEE Seng Wang	BOC935	Licensed Representative (Provisional Licence)	1
LEE Shi Hyun	BFT723	Licensed Representative (Provisional Licence)	1, 6
LEE Yee Man, Cheris	AOV542	Licensed Representative (Provisional Licence)	1, 4, 9
LEONG Lillian	BOE490	Licensed Representative (Provisional Licence)	1, 2
LEOW Wei Heng, Timothy	BOC065	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Chi Man	AQV179	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEUNG Kenrick Kwong Wick	ADG978	Licensed Representative (Provisional Licence)	9
LEUNG Sze Wan	BOD304	Licensed Representative (Provisional Licence)	1, 2
LEUNG Wing	BOD839	Licensed Representative (Provisional Licence)	2
LI Chenchen	BOD527	Licensed Representative (Provisional Licence)	4, 9
LI Dan	ARA997	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jiaming	BOE640	Licensed Representative (Provisional Licence)	4
LI Jing	AMI391	Licensed Representative (Provisional Licence)	4, 9
LI Man Luk	AWZ595	Licensed Representative (Provisional Licence)	1, 4, 9
LI Meng	BOF794	Licensed Representative (Provisional Licence)	1, 2, 4
LI Mengxuan	BDR393	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shuguo	BOF250	Licensed Representative (Provisional Licence)	4
LI Xinran	BOF623	Licensed Representative (Provisional Licence)	4, 9
LI Xueqing	BOC858	Licensed Representative (Provisional Licence)	10
LI Yat Hung	BOE285	Licensed Representative (Provisional Licence)	1, 4, 9
LI Yizhen	BOB293	Licensed Representative (Provisional Licence)	9
LIANG lianjin	BOE506	Licensed Representative (Provisional Licence)	4, 9
LIANG Rong	BOE547	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Quan	BOE947	Licensed Representative (Provisional Licence)	2, 5
LIN Wenjing	BOF231	Licensed Representative (Provisional Licence)	1
LIN Xiayuan	BFN094	Licensed Representative (Provisional Licence)	1, 4
LIN Yongxue	BOD836	Licensed Representative (Provisional Licence)	1, 2
LING Ka Kin	AOM643	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Bohong	BOF017	Licensed Representative (Provisional Licence)	1
LIU Guangyu	BOG300	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Lingyu	BOF624	Licensed Representative (Provisional Licence)	1, 2
LIU Yan Ying	BOD906	Licensed Representative (Provisional Licence)	1
LIU Yaqing	BOE954	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Yirui	BOE480	Licensed Representative (Provisional Licence)	1, 4
LO Kin MIng	BOE930	Licensed Representative (Provisional Licence)	1
LO Lawrence	BOB793	Licensed Representative (Provisional Licence)	4, 9
LO Wai Yee	BOE563	Licensed Representative (Provisional Licence)	1
LO Yuk Fung	BOE831	Licensed Representative (Provisional Licence)	1, 6
LOH Sin Niew	BJC093	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LOK Man Kit	BOF165	Licensed Representative (Provisional Licence)	1
LONGO Anthony	BOE827	Licensed Representative (Provisional Licence)	4
LOW Andrew Donald	AKJ197	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Ching Yan	AXG549	Licensed Representative (Provisional Licence)	1, 2
LUK Hing Fung	BOF810	Licensed Representative (Provisional Licence)	1, 4
LUO Yu	BOD922	Licensed Representative (Provisional Licence)	1, 6
MA Ho Lun	BOF292	Licensed Representative (Provisional Licence)	1, 2
MADDOCK Warwick Curtis Lee	BOF087	Licensed Representative (Provisional Licence)	1, 4, 6
MAN Ho Fung	BOC698	Licensed Representative (Provisional Licence)	1, 4
MARDJUKI Jonathan Steven	BOF045	Licensed Representative (Provisional Licence)	4
MING Jia	BEN354	Licensed Representative (Provisional Licence)	1, 4
MIURA Naoya	BOF203	Licensed Representative (Provisional Licence)	4
MO Man Chun	BOE636	Licensed Representative (Provisional Licence)	4
MO Man Yi	BCZ767	Licensed Representative (Provisional Licence)	1, 2
MO XIANJUE	BOG034	Licensed Representative (Provisional Licence)	1
MOK Ian Ka Yat	BOD623	Licensed Representative (Provisional Licence)	1, 9
MULHERN John Craig	BOC957	Licensed Representative (Provisional Licence)	1, 2
MUNDORF Philipp William	BOE966	Licensed Representative (Provisional Licence)	9
MURRAY Craig Richard	BOC137	Licensed Representative (Provisional Licence)	1, 6
NAM Chun Ho	BOG203	Licensed Representative (Provisional Licence)	1, 2
NG Man Yee Vivian	BOE489	Licensed Representative (Provisional Licence)	1
NG Siu Lung, Derek	AFG442	Licensed Representative (Provisional Licence)	1, 4, 9
NI Siliang	BOF053	Licensed Representative (Provisional Licence)	1, 2
NIP Yu Wang Victor	BOF140	Licensed Representative (Provisional Licence)	1, 4, 6
NISHI Ako	BOD407	Licensed Representative (Provisional Licence)	1, 2, 9
NOH James Hyon	BKG328	Licensed Representative (Provisional Licence)	1, 2, 7
OHLWEILER Jeffrey Lyon	AQN994	Licensed Representative (Provisional Licence)	4
OMURA Shunta	BBH669	Licensed Representative (Provisional Licence)	1
OR Ka Shing Alex	BOF643	Licensed Representative (Provisional Licence)	1, 2, 4
PAK Chung Kan	BOD555	Licensed Representative (Provisional Licence)	6
PANG Lai Kuen	BOE382	Licensed Representative (Provisional Licence)	1
PARK Jung Ah	BAE816	Licensed Representative (Provisional Licence)	4
PAULIN Gary David	BBY517	Licensed Representative (Provisional Licence)	1
PENG Yu-Chia	BOF450	Licensed Representative (Provisional Licence)	1
QI Jiawen	BOE919	Licensed Representative (Provisional Licence)	1, 6
QI Mingyu	BNZ982	Licensed Representative (Provisional Licence)	1, 2
QIU Changwei	BOE192	Licensed Representative (Provisional Licence)	4
QIU Qingzi	BOE232	Licensed Representative (Provisional Licence)	1, 6
QUE Weichen	BOE862	Licensed Representative (Provisional Licence)	1, 6
RAMACHANDRAN Rachael	BOC619	Licensed Representative (Provisional Licence)	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
REN sisi	BOD202	Licensed Representative (Provisional Licence)	1, 4
SALCEDO Andres Fabrizio	BOG356	Licensed Representative (Provisional Licence)	1
SATO Hiroyuki	BOE376	Licensed Representative (Provisional Licence)	1, 4, 6
SHAH Aarti Pramod	BLU258	Licensed Representative (Provisional Licence)	1, 4
SHAH Sanjay Bharat	BOE770	Licensed Representative (Provisional Licence)	1, 4, 9
SHENG Xu	BOF257	Licensed Representative (Provisional Licence)	4
SHERIDAN Tiana Maria	BNA730	Licensed Representative (Provisional Licence)	1, 4
SIT Tak Li	BOF245	Licensed Representative (Provisional Licence)	1
SIU Ming	BOE955	Licensed Representative (Provisional Licence)	9
SIU Sing Man, Joanna	ADX868	Licensed Representative (Provisional Licence)	1, 2, 4
SIU Wai Ching, Shirley	ABP528	Licensed Representative (Provisional Licence)	1, 4
SMITH Stephen Mark	BFA905	Licensed Representative (Provisional Licence)	1, 7
SO Lai Shan, Jennifer	AHA295	Licensed Representative (Provisional Licence)	4, 9
SO Lydia Wing	BOG389	Licensed Representative (Provisional Licence)	1
SO Tsz Kit	BCQ151	Licensed Representative (Provisional Licence)	1, 4
SONG Aolin	BOE496	Licensed Representative (Provisional Licence)	4, 9
SU Akimi	BOE421	Licensed Representative (Provisional Licence)	1, 2, 9
SU Tong	BOD919	Licensed Representative (Provisional Licence)	1, 6
SZETO Wing Ho	BOF200	Licensed Representative (Provisional Licence)	1, 4
TAKAHASHI Kei	AOQ614	Licensed Representative (Provisional Licence)	4
TAKEUCHI Naoki	BOA600	Licensed Representative (Provisional Licence)	4, 9
TAKUMI Hiroki	BOD987	Licensed Representative (Provisional Licence)	1, 6
TAM Man Yung, Clarence	ATR858	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Chun Ki	BOF259	Licensed Representative (Provisional Licence)	1, 4
TANG Hoy Chun Mervyn Joseph	BER817	Licensed Representative (Provisional Licence)	10
TANG Jacqueline Lucy	BOD920	Licensed Representative (Provisional Licence)	1, 2, 7
THAM GUI DAN	BOE566	Licensed Representative (Provisional Licence)	1, 4
THETHI Amarinder Singh Ajit Singh	BDA820	Licensed Representative (Provisional Licence)	1, 4, 6
TING Wing Kit, Zarus	BKA871	Licensed Representative (Provisional Licence)	9
TONG Jason C Y	BNC826	Licensed Representative (Provisional Licence)	6
TRISNO Merissa Paramitha	BBU408	Licensed Representative (Provisional Licence)	4
TSAI Jamison Yao Cheng	BOG078	Licensed Representative (Provisional Licence)	1, 2
TSANG Kai Ho	BOF507	Licensed Representative (Provisional Licence)	1, 2, 3, 4
TSANG Michael	ADX573	Licensed Representative (Provisional Licence)	1, 2, 7
TSANG Wai Yan, Wayne	AIH007	Licensed Representative (Provisional Licence)	9
TSE Chun Ho	BOD636	Licensed Representative (Provisional Licence)	1, 6
TSUI Ho Chuen, Samson	BHB670	Licensed Representative (Provisional Licence)	1, 2
TSUNG Howard	BOD284	Licensed Representative (Provisional Licence)	9
WANG Chuan	BHF645	Licensed Representative (Provisional Licence)	1
WANG Congshi	BOC646	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Dien	BOE236	Licensed Representative (Provisional Licence)	4
WANG Hansen	BGI310	Licensed Representative (Provisional Licence)	6
WANG Mingzan	BOD845	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ruokun	BOE567	Licensed Representative (Provisional Licence)	1, 4
WANG Siyan	BOE433	Licensed Representative (Provisional Licence)	1, 2, 4
WAT Chi Ming	ADU895	Licensed Representative (Provisional Licence)	1, 9
WEI Dejun	BOE745	Licensed Representative (Provisional Licence)	1, 6
WEYNS Guy Joseph M.	BLM858	Licensed Representative (Provisional Licence)	4
WIBOWO Matthew	BOF909	Licensed Representative (Provisional Licence)	1
WONG Calvin Chee Jun	AYF520	Licensed Representative (Provisional Licence)	4
WONG Chin Ho	BNY278	Licensed Representative (Provisional Licence)	6
WONG Gabrielle	BOE457	Licensed Representative (Provisional Licence)	1, 6
WONG Kar Fai Alan	BEA777	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Lok Yee Ronnie	BOE240	Licensed Representative (Provisional Licence)	1, 4
WONG Long Hin Ambrose	BOF441	Licensed Representative (Provisional Licence)	1, 4
WONG Man Hei Katrina	BOD930	Licensed Representative (Provisional Licence)	1
WONG Man Tai	BGY959	Licensed Representative (Provisional Licence)	1
WONG Tsz Fung	BOE233	Licensed Representative (Provisional Licence)	1
WONG Tung Chie	AEN615	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wai Ching	BLI488	Licensed Representative (Provisional Licence)	1, 2
WU Hong	AVM922	Licensed Representative (Provisional Licence)	4, 5, 9
WU Hsiang Yun	BOE758	Licensed Representative (Provisional Licence)	1, 4, 9
WU Jiajia	BMH821	Licensed Representative (Provisional Licence)	1
WU Kiu Fung	APJ914	Licensed Representative (Provisional Licence)	1, 2, 9
WU Mengqi	BGJ341	Licensed Representative (Provisional Licence)	1, 4, 9
WU Tianhao	BOF052	Licensed Representative (Provisional Licence)	1, 6
WU Wing Hoi Brendan	BOD553	Licensed Representative (Provisional Licence)	1, 2
WU Xiyang	BFB077	Licensed Representative (Provisional Licence)	4, 9
WU You	BOC281	Licensed Representative (Provisional Licence)	1, 2
WU Zhenyu	BOC025	Licensed Representative (Provisional Licence)	1, 2, 7
WU Zhihong	BOD916	Licensed Representative (Provisional Licence)	1, 6
XI Wang	BOE256	Licensed Representative (Provisional Licence)	1, 4, 9
XIAO Han	BOB352	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Senhan	BOE455	Licensed Representative (Provisional Licence)	1, 4
XIE Wangqin	BOE435	Licensed Representative (Provisional Licence)	1, 6
XIONG Wenyu	BOF732	Licensed Representative (Provisional Licence)	1, 6
XU Lan	BOE103	Licensed Representative (Provisional Licence)	1, 4
XU Lu	BOC876	Licensed Representative (Provisional Licence)	1, 4, 6
XU Pei	BOC286	Licensed Representative (Provisional Licence)	1, 6
XU Shaohong, Alex	ARG166	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Yizhe	BOF546	Licensed Representative (Provisional Licence)	1, 6
YAN Charlie Cong	BOG407	Licensed Representative (Provisional Licence)	1
YAN Oi Yi	BOD848	Licensed Representative (Provisional Licence)	1
YANG Buyun	BDQ418	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Daidong	BOG279	Licensed Representative (Provisional Licence)	4
YANG Fang	BOF933	Licensed Representative (Provisional Licence)	9
YANG Jiaxing	BOD519	Licensed Representative (Provisional Licence)	4
YANG Jieyu	BOE258	Licensed Representative (Provisional Licence)	1, 6
YANG William Wenhan	BOE633	Licensed Representative (Provisional Licence)	1, 4
YANG Yen-Ju	ARV136	Licensed Representative (Provisional Licence)	1, 2
YE Bo	BOD134	Licensed Representative (Provisional Licence)	9
YE Qingxin	BFZ056	Licensed Representative (Provisional Licence)	9
YONEYA Taichi	BOF973	Licensed Representative (Provisional Licence)	9
YOSHIOKA Takahiro	BOD267	Licensed Representative (Provisional Licence)	9
YU Jiaolong	BOE957	Licensed Representative (Provisional Licence)	1, 2, 4
YU Xinning	BOE725	Licensed Representative (Provisional Licence)	1, 2, 7
YUEH Mu Hua	BOE261	Licensed Representative (Provisional Licence)	1, 4
YUEN Ronald	BOE223	Licensed Representative (Provisional Licence)	6
ZHAN Ni	BOF583	Licensed Representative (Provisional Licence)	4
ZHANG Chong	BOF047	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiabin	ARA230	Licensed Representative (Provisional Licence)	1, 4
ZHANG Junran	BOD525	Licensed Representative (Provisional Licence)	1
ZHANG Lei	BOF318	Licensed Representative (Provisional Licence)	1, 4
ZHANG Rui	BOE234	Licensed Representative (Provisional Licence)	1, 4
ZHANG Wenhui	BOE558	Licensed Representative (Provisional Licence)	1
ZHANG Xiaoyi	BOF077	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yifei	BOC561	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yiting	BOF097	Licensed Representative (Provisional Licence)	1, 2
ZHAO Bingnan	BOF532	Licensed Representative (Provisional Licence)	4
ZHAO Di	BOG045	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAO Jian	BNJ300	Licensed Representative (Provisional Licence)	2
ZHAO Jiaqi	BOE936	Licensed Representative (Provisional Licence)	1, 6
ZHAO Kang	BOG308	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Qingling	BOA539	Licensed Representative (Provisional Licence)	2, 5
ZHAO Xiaohan	BOF377	Licensed Representative (Provisional Licence)	4, 9
ZHAO Yicheng	BOD231	Licensed Representative (Provisional Licence)	1, 6
ZHENG Jingting	BOF788	Licensed Representative (Provisional Licence)	4, 9
ZHENG Lang	BOF945	Licensed Representative (Provisional Licence)	1
ZHOU Fangqiu	BOE423	Licensed Representative (Provisional Licence)	1, 2
ZHOU Tianyou	BOC622	Licensed Representative (Provisional Licence)	1, 2, 7

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHU Biyun	BOE742	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Kai	BOE523	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Minyue	AUE243	Licensed Representative (Provisional Licence)	1, 2, 4
ZHUANG Jin	AZT481	Licensed Representative (Provisional Licence)	1, 4, 9
ZHUO Ruolin	BOF162	Licensed Representative (Provisional Licence)	1, 4
ALI Salman	BDY341	Licensed Representative (Temporary Licence)	4
AMORIM TONIATO Joao Batista	BOF289	Licensed Representative (Temporary Licence)	4
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
ARAI Tatsuyuki	BOF225	Licensed Representative (Temporary Licence)	4
ARROWSMITH Natalie Jane	AIG958	Licensed Representative (Temporary Licence)	1
ARYA Vivek	BEN904	Licensed Representative (Temporary Licence)	4
BALDINGER Michael Werner	BOG033	Licensed Representative (Temporary Licence)	1, 4
BARROWS Ross Christopher	BFC529	Licensed Representative (Temporary Licence)	4
BEIRNE Colin Seamus	BOD572	Licensed Representative (Temporary Licence)	1
BLIEBENICHT Meike	BNC875	Licensed Representative (Temporary Licence)	1
BOURNE Andrew Robert	AOY105	Licensed Representative (Temporary Licence)	1, 2
BRACKETT Robert Alan	BBA483	Licensed Representative (Temporary Licence)	4
BRIGGS Martyn George	BOE766	Licensed Representative (Temporary Licence)	4
BRILLOIS Arnaud Quantin	BMX119	Licensed Representative (Temporary Licence)	1, 4
BRUNTON Jamie Robert	BCE784	Licensed Representative (Temporary Licence)	1
BRUPBACHER Daniele	BDF052	Licensed Representative (Temporary Licence)	4
BYRNE Eric Charles Sprague	BII787	Licensed Representative (Temporary Licence)	1, 4
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Temporary Licence)	1
CASTLE Jarrod	AWA482	Licensed Representative (Temporary Licence)	4
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHANG Sei Yoon	BFI932	Licensed Representative (Temporary Licence)	1
CHENG Yi	BOF918	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Helen Camille	BIZ981	Licensed Representative (Temporary Licence)	1
CHOPRA Rohit	BOD315	Licensed Representative (Temporary Licence)	1, 4
CHUA Ian Yong Kwang	BNX268	Licensed Representative (Temporary Licence)	6
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
COHEN Rebecca Nicole	BOE890	Licensed Representative (Temporary Licence)	1
COLLINGE Edward James	BNV253	Licensed Representative (Temporary Licence)	1
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DAVIES Huw Morgan	BOF091	Licensed Representative (Temporary Licence)	1
DEL CIOPPO Eugene	BOF207	Licensed Representative (Temporary Licence)	1, 4
DEYLAMIGILANI TEHRAN Lea, Kimia	BOE466	Licensed Representative (Temporary Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
DONALD James Maitland	BFS964	Licensed Representative (Temporary Licence)	1, 4
DONNENFELD Robert Scott	BOG058	Licensed Representative (Temporary Licence)	4
DONOHOE Shannon David	AMN833	Licensed Representative (Temporary Licence)	1
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
EDRIDGE Dominic Andrei	AWA561	Licensed Representative (Temporary Licence)	4
ELKHADEM Khaled Magdi Mohamed Galaleldin	BOC814	Licensed Representative (Temporary Licence)	1
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
ENGEL Olivia Jane	BIL522	Licensed Representative (Temporary Licence)	1, 4, 5
FAIRCLOUGH Jason Robert	BFP935	Licensed Representative (Temporary Licence)	4
FRANKISH Ian Peter	AVJ538	Licensed Representative (Temporary Licence)	1
FUKUDA Rui	BOE886	Licensed Representative (Temporary Licence)	2, 5
GRAY Nicholas	AVZ123	Licensed Representative (Temporary Licence)	1
GUGOLZ KIEFER Christine Gloria	BOG039	Licensed Representative (Temporary Licence)	1, 4
GUPTA-CHAUDHARY Raghav	BOF051	Licensed Representative (Temporary Licence)	4
HARADA Jun	AOR374	Licensed Representative (Temporary Licence)	4
HARASHIMA Kenji	APB637	Licensed Representative (Temporary Licence)	1, 2
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1, 4
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	1
HATHEWAY Lawrence Howell	AVE410	Licensed Representative (Temporary Licence)	1
HILL Brian Thomas	BFS625	Licensed Representative (Temporary Licence)	1
HO Michael Chimin	BOF374	Licensed Representative (Temporary Licence)	1, 4
HOLIAN James Simon	BJA888	Licensed Representative (Temporary Licence)	1
HONG Ying Hui	AWT694	Licensed Representative (Temporary Licence)	2, 5
HOTTA Kenjin Tom	AZV542	Licensed Representative (Temporary Licence)	4
HOU Xiaoting	BGB587	Licensed Representative (Temporary Licence)	4
HUANG Han-Ping	BNU192	Licensed Representative (Temporary Licence)	10
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
HUANG Lucy Jing	BOE897	Licensed Representative (Temporary Licence)	4
HUCKER Brett Andrew	BHH564	Licensed Representative (Temporary Licence)	1
HWANG Jennifer Nicole	AXG088	Licensed Representative (Temporary Licence)	1
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
JUSMAN Selvie	BMR057	Licensed Representative (Temporary Licence)	1, 4
KADIRI Anass	BOC812	Licensed Representative (Temporary Licence)	1
KALBREIER Malte Manuel	BIL892	Licensed Representative (Temporary Licence)	1, 4
KANE Joshua Alexander	BOE928	Licensed Representative (Temporary Licence)	1
KARNAUSKAS Robyn Shelton	BOE117	Licensed Representative (Temporary Licence)	4
KAUNE Federico	AOS290	Licensed Representative (Temporary Licence)	1, 4
KAWASAKI Satsuki	BJE606	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Gil Hyung	AUQ480	Licensed Representative (Temporary Licence)	4
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
LAM Kan Wai	BOD788	Licensed Representative (Temporary Licence)	4
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LEE Moses	BDE482	Licensed Representative (Temporary Licence)	2, 5
LIU Yaokun	BJI665	Licensed Representative (Temporary Licence)	4
LOKE Weng Yew Kevin	BKT336	Licensed Representative (Temporary Licence)	1, 2
MACLELLAN Christopher Scott	BOE764	Licensed Representative (Temporary Licence)	1, 4
MACONOCHIE Sholto James Alexander	BOE932	Licensed Representative (Temporary Licence)	4
MACONOCHIE Sholto James Alexander	BOE932	Licensed Representative (Temporary Licence)	4
MARWAH Nuveen	BKY640	Licensed Representative (Temporary Licence)	1, 2
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1, 2
MCCASKER Grant David	ATL666	Licensed Representative (Temporary Licence)	4
MCDONAGH Colm James	BOC818	Licensed Representative (Temporary Licence)	1, 4
MCINTOSH James David	BOF474	Licensed Representative (Temporary Licence)	1
MCKENZIE Rory Edward	BOE929	Licensed Representative (Temporary Licence)	4
MEERS William Neame	BID388	Licensed Representative (Temporary Licence)	1
MIAO Junwei	BJD793	Licensed Representative (Temporary Licence)	1
MIKKELSEN Hans Ole Aerendahl	BDJ464	Licensed Representative (Temporary Licence)	4
MILLER Alexander Henry Julian	BMV831	Licensed Representative (Temporary Licence)	4
MOHAN Wamsi	BHD332	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MULHOLLAND David Terence	BMJ692	Licensed Representative (Temporary Licence)	4
NA Eun Chae	BGC163	Licensed Representative (Temporary Licence)	4
NA PATTALOONG Natchutha	BIO006	Licensed Representative (Temporary Licence)	4
NAPIER Jason Clive	BIN751	Licensed Representative (Temporary Licence)	4
NELSON Nicholas Scott	AXI169	Licensed Representative (Temporary Licence)	4
NEWELL Robert Joseph	BJO116	Licensed Representative (Temporary Licence)	1, 4
NICHOLS Jennifer Elizabeth	BOE073	Licensed Representative (Temporary Licence)	1, 4
Nikita Anand	BNJ826	Licensed Representative (Temporary Licence)	10
NOGAWA Chieko	BBA268	Licensed Representative (Temporary Licence)	1, 4
OOSTERWOUD Martijn Klaas	BOG063	Licensed Representative (Temporary Licence)	1, 4
PETRICONE Matthew Robert	BGG002	Licensed Representative (Temporary Licence)	1
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PHILLIPSON Daniel Frank	BOD628	Licensed Representative (Temporary Licence)	1, 4
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
PREUSSER Ralf Alexander	BGJ102	Licensed Representative (Temporary Licence)	4
REDMAN Simon David	BLF884	Licensed Representative (Temporary Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ROOPAN Allan Lionel	BM472	Licensed Representative (Temporary Licence)	4
ROSCOVAN Viorel	BOF793	Licensed Representative (Temporary Licence)	1
RUSSELL Henry Alden Verplanck	BHT391	Licensed Representative (Temporary Licence)	1
RYAN Alastair William	BAJ168	Licensed Representative (Temporary Licence)	4
SALES Michael James	BEZ878	Licensed Representative (Temporary Licence)	1
SAMET Jerry	BOD296	Licensed Representative (Temporary Licence)	1
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	4
SATHAWORAWONG Pimolrat	BEM318	Licensed Representative (Temporary Licence)	4
SHEIKH Talib	BFC541	Licensed Representative (Temporary Licence)	1
SONG Ting-Wen	APH123	Licensed Representative (Temporary Licence)	1
SPARTALIS Sophie Despina	BIM602	Licensed Representative (Temporary Licence)	4
SPENCER Raymond Mark	BNU220	Licensed Representative (Temporary Licence)	4
SPROULES Brendan Allan	BGO985	Licensed Representative (Temporary Licence)	4
STREET Lee Alexander	AUE090	Licensed Representative (Temporary Licence)	1
SUBRAMANI Deepak	BCH627	Licensed Representative (Temporary Licence)	1
TAN Wei Ning Rachael	BIE894	Licensed Representative (Temporary Licence)	4
TAN Yawen	BJP932	Licensed Representative (Temporary Licence)	4
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
THOMPSON Jeffrey John	BOE723	Licensed Representative (Temporary Licence)	1, 4
TODD David Robert	BJA909	Licensed Representative (Temporary Licence)	1, 4
TOH Shaowei	BHB312	Licensed Representative (Temporary Licence)	1, 4
TRAN Felix	BOE771	Licensed Representative (Temporary Licence)	4
TRUELOVE Keith Alan	AWF626	Licensed Representative (Temporary Licence)	1
UGLOW Benedict Ernest	BGG227	Licensed Representative (Temporary Licence)	1, 4
VINCENT Michael Charles	BOE529	Licensed Representative (Temporary Licence)	1
VON EHRLICH-TREUENSTATT Tanja Carmen	BOE934	Licensed Representative (Temporary Licence)	1, 4
VON REICHE Hans-Christoph	BOE296	Licensed Representative (Temporary Licence)	1
WATANABE Hideharu	BHC546	Licensed Representative (Temporary Licence)	1
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	4
WEINSTEIN Ellen	BJA818	Licensed Representative (Temporary Licence)	1
WEISSER Johannes Anton Markus	BNH705	Licensed Representative (Temporary Licence)	1
WERNER Michael Joseph	AUO124	Licensed Representative (Temporary Licence)	4
WILSON Philipp Andrew	BHB791	Licensed Representative (Temporary Licence)	1
WONG Ee Kay Geoffrey	BFZ518	Licensed Representative (Temporary Licence)	1, 4
WRAITH John William	BLD291	Licensed Representative (Temporary Licence)	4
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YAMADA Shusuke	BJG468	Licensed Representative (Temporary Licence)	4
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZACCHE VAZQUEZ Leonardo	BOC905	Licensed Representative (Temporary Licence)	4
ZHANG Xinyue	BOD412	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZNETYNIAK Mykolaj David	BNU262	Licensed Representative (Temporary Licence)	2, 5