

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
AJ Futures Limited	BOF740	Licensed Corporation	2
BaoHeng (Hong Kong) Investment Limited	BOK411	Licensed Corporation	9
CPIC Investment Management (H.K.) Company Limited	AVQ695	Licensed Corporation	4, 9
Domineer Asset Management Limited	BOC271	Licensed Corporation	4, 9
First Cornerstone Capital Limited	BOF256	Licensed Corporation	4, 9
Gateway Private Markets (HK) Limited	BOF598	Licensed Corporation	1, 9
Green Harmony Securities Limited	BNZ903	Licensed Corporation	1, 4
HakuCapital Global Investment Advisers Limited	BOG286	Licensed Corporation	9
Hang Sing China Securities Limited	BOA307	Licensed Corporation	1
HC International Asset Management Co., Limited	BOA938	Licensed Corporation	9
Homily Worldwide Securities Company Limited	BOC087	Licensed Corporation	1, 4
Hua Liang Capital Limited	BOI871	Licensed Corporation	6
Inherust Asset Management Limited	BOD273	Licensed Corporation	4, 9
Kingsman HK Capital Limited	BOC313	Licensed Corporation	6
Lee Go Securities Limited	BNS703	Licensed Corporation	1
Mont Avenir Capital Limited	BOG353	Licensed Corporation	6
Prime China Investments and Advisory Limited	BOE729	Licensed Corporation	4, 9
Square Capital Management Limited	BOC642	Licensed Corporation	4, 9
SynerWealth Capital Limited	BOB650	Licensed Corporation	6
Technistock Capital (HK) Limited	BNV794	Licensed Corporation	9
Tongfang Wealth Management Limited	BNX166	Licensed Corporation	1, 4, 9
Well King Capital Limited	BNX640	Licensed Corporation	4, 9
Yishao Capital Management (HK) Limited	BOD918	Licensed Corporation	9
Yonghe Securities (Hong Kong) Limited	BOH689	Licensed Corporation	1
Zerobridge Partners Asset Management Limited	BNX059	Licensed Corporation	9
ALLEN William David Macdonald	BBH972	Licensed Representative	1
AYADI Yassine	BOJ332	Licensed Representative	1
BAN Chengwei	BOL644	Licensed Representative	1, 4, 9
BHATTACHARYA Devraj	BOJ789	Licensed Representative	1, 2
BIRLENBACH Michael Dieter	APK741	Licensed Representative	1, 2, 7
CAI Jinge	BIK509	Licensed Representative	1, 4
CAI Qiushi	BOJ455	Licensed Representative	1, 4, 6
CAI Wenjun	BOK496	Licensed Representative	1, 2, 4, 9
CAI Zhiwei	BOK250	Licensed Representative	6
CARROLL Gavan Mark	BOM388	Licensed Representative	1, 4
CHAN Avery	BON489	Licensed Representative	4
CHAN Dixon	AUL684	Licensed Representative	2
CHAN Fung Ping	AOU775	Licensed Representative	1
CHAN Ho Yin Ronald	BOL429	Licensed Representative	1, 4
CHAN Hon Shui Leo	APG915	Licensed Representative	1, 4, 9
CHAN Karl Man Ho	BOM418	Licensed Representative	4
CHAN KIN WAI	BOM068	Licensed Representative	1, 4
CHAN King Shan Karen	BOJ970	Licensed Representative	1, 4, 9
CHAN Ming Yan	BOL140	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Yu Yun	BON531	Licensed Representative	1, 4
CHAN Yuen Ching Sarah	BOK367	Licensed Representative	1, 2, 9
CHANG Chit Yee	BON470	Licensed Representative	1
CHANG Pui Shan, Melissa	ARN180	Licensed Representative	1
CHEE Mauhoong	BOJ855	Licensed Representative	3
CHEN Fang	BOK895	Licensed Representative	1, 6
CHEN Jlayao	BOJ665	Licensed Representative	4, 9
CHEN Ka Yip, Walter	AQW933	Licensed Representative	9
CHEN Ling	BOJ664	Licensed Representative	1
CHEN Liujing	BOL202	Licensed Representative	1
CHEN Mengru	BOM630	Licensed Representative	1, 4, 9
CHEN Min	BOK369	Licensed Representative	2
CHEN Song	BOK270	Licensed Representative	4, 9
CHEN Xuanren	BOK882	Licensed Representative	1, 4, 9
CHEN Yongshan	BFZ092	Licensed Representative	1, 4, 6
CHEN Zhexu	BNO686	Licensed Representative	1, 4, 9
CHEUNG Bingzhe	BOL227	Licensed Representative	1, 2
CHEUNG Chao	BOL366	Licensed Representative	1, 6
CHEUNG Jingyi	BJV081	Licensed Representative	4
CHEUNG Shun Yat Adrian	BOL068	Licensed Representative	1, 4
CHEUNG Xi	BOL384	Licensed Representative	1, 4
CHEUNG Hoi Ting	BHM242	Licensed Representative	9
CHEUNG Angela On Ki	AFT714	Licensed Representative	1, 4, 9
CHEUNG Chor Hei	BOM283	Licensed Representative	1, 2
CHEUNG Lit Wan, Kenneth	ACO074	Licensed Representative	1
CHIANG Pei-Chia	BOL205	Licensed Representative	9
CHING Mun Yui, Roland	BJR344	Licensed Representative	1, 2
CHIU Chun Han	BOK914	Licensed Representative	4
CHIU Wing Chor	AGH933	Licensed Representative	4
CHOI Sau Fong, Peggy	ALN485	Licensed Representative	1
CHOI Steven Seungwook	BOK418	Licensed Representative	1, 4, 9
CHONG Hei Lin Joan	BOK226	Licensed Representative	1
CHOO Sung Min	BOJ583	Licensed Representative	1, 2
CHOUGULE Swapnil	BOJ016	Licensed Representative	1, 2
CHOW Wing Fung	BDY730	Licensed Representative	1, 4
CHU Siu Chung	BOK735	Licensed Representative	1, 2
CHU Wai Luen	AHJ402	Licensed Representative	1
CHUI Raybourne Wai Bong	BOM707	Licensed Representative	1, 6
CHUNG Ka Yu Gary	BOK137	Licensed Representative	4, 9
CHUNG Pui Ching	BML858	Licensed Representative	1
CHUNG Tung Hoi	BOM438	Licensed Representative	4, 9
CHUNG Wing Yee	BOM558	Licensed Representative	1
CHUNG Yee Sze	BOH785	Licensed Representative	1
CINDRA	BOK593	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
COONEY Richard Francis	BOL342	Licensed Representative	1, 4
COULON Xavier, Gerard, Marcel, Joseph	BOM401	Licensed Representative	9
COWNEY Nicholas Edward	BOL583	Licensed Representative	1, 4, 9
CUI Hai	BOL294	Licensed Representative	4, 9
CUSACK Andrew Mark	AZX878	Licensed Representative	1
DANG Yi	BOK337	Licensed Representative	1, 6
DECLIPPELEIR Olivier, Francois	BOL720	Licensed Representative	2, 5
DENG Shaquan	BOM875	Licensed Representative	1, 6
DENG Xueyan	BOL101	Licensed Representative	1, 4
DENG Yuchen	BOK324	Licensed Representative	1, 2, 4
DENHOY Rajbir Singh	BOK488	Licensed Representative	4
DHAND Vikram	BOL406	Licensed Representative	9
DING Binbin	BOM497	Licensed Representative	4
DING Jiawen	BOK368	Licensed Representative	4
DING Weiao	BOL432	Licensed Representative	1, 2
DING Yiming	AXG896	Licensed Representative	1, 4
DONG Xu	BOM884	Licensed Representative	1, 4
DORMER Mark Christian	BOL495	Licensed Representative	4, 9
DUNYACH Quentin Jean-jacques	BNP199	Licensed Representative	1, 4
DUPONT-VECCHIERINI Victoire Anne Francine	BOL065	Licensed Representative	1, 2
EDWARDS Matthew Scott	BCN449	Licensed Representative	4, 9
ENGEL Josephine Elise Silvia	BOL195	Licensed Representative	1, 4, 9
EVANS-HENDRICK Ian Geoffrey	BOJ806	Licensed Representative	1, 2
FENG Yangyang	BOM713	Licensed Representative	4
FENG Yilin	BDH472	Licensed Representative	1
FENG Yun	BOL022	Licensed Representative	4, 9
FERGUSON Ewan James	BOM489	Licensed Representative	1, 9
FISHER Oliver James	BOL515	Licensed Representative	1, 2
FLORENT Gabriel	BOJ010	Licensed Representative	1, 2
FOLAN Gregory Edward	BOL435	Licensed Representative	1
FOO Woon Howe	BOL201	Licensed Representative	9
FU Choi Fung	BEA835	Licensed Representative	1, 2
FUKASE Yoshiya	BOM120	Licensed Representative	1, 4, 6
GAN Jia C	AQN362	Licensed Representative	1, 4
GAN Man Yi	BOK897	Licensed Representative	1, 2, 4, 5
GAO Jiabei	BBP855	Licensed Representative	1, 6
GAO Shengliang	BOL193	Licensed Representative	1, 4, 6
GAWDA Michael Gregory	BOJ809	Licensed Representative	1, 2
GERRARD Elizabeth Sarah	BON891	Licensed Representative	1, 4
GSCHWEND Marco	BOK759	Licensed Representative	4, 9
GU Haohan	BOI044	Licensed Representative	1
HAMNAA Philip Axel Peter	BOL333	Licensed Representative	1, 4, 9
HARTLEY Andrew Eric	BOH914	Licensed Representative	1, 4, 7
HE Binhui	AXL913	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
HE Jiajun	BFA671	Licensed Representative	1
HE Munan	BOL046	Licensed Representative	1, 2, 4
HENG Zhi Ying Genevieve	BOL394	Licensed Representative	1
HILL John Andrew	BMT336	Licensed Representative	1
HO Chung Yin	BOM905	Licensed Representative	1
HO Sai Wah	BOK128	Licensed Representative	1
HO Siu Man	BHW617	Licensed Representative	1
HO Suet Ying	BON560	Licensed Representative	1
HO Wai Yee, Winnie	AFD506	Licensed Representative	1, 4
HO Yan Shing	BOM657	Licensed Representative	1
HO Ying Fong	BON625	Licensed Representative	1
HONG Felix	BOM817	Licensed Representative	1, 4
HONG Xinan	BOK055	Licensed Representative	1, 2
HSU Shirley Sui-Wei	AHF797	Licensed Representative	4, 9
HU Xiaojun	BOM157	Licensed Representative	1, 6
HUANG Daxin	BON566	Licensed Representative	1, 6
HUANG Kangli	BOK655	Licensed Representative	1, 4, 6
HUANG Po Jui	BOM844	Licensed Representative	1, 4
HUANG Rong	BNG235	Licensed Representative	6
HUANG Sisi	BOJ037	Licensed Representative	1
HUANG Wenxiang	BOI289	Licensed Representative	10
HUANG Yunhao	BON476	Licensed Representative	1, 2
HUI Hiu Sum	BOM269	Licensed Representative	2
HUNG Karrie Ka Ki	BOK601	Licensed Representative	1, 6
HUNG Ngai Lam	BDO427	Licensed Representative	1
HUNG Wing Kai David	BOI743	Licensed Representative	1, 4, 9
HUNG Yin	BOL276	Licensed Representative	1
HUO Yu Chen	BOK338	Licensed Representative	4, 9
HUSSEY Killian Sean	BOM585	Licensed Representative	1
HWANG Jae Hyuk	AYS870	Licensed Representative	1, 4
IP Ka Ho	BOM542	Licensed Representative	1, 2
ITO Akira	BOL204	Licensed Representative	9
JANG Mustafa	BIY990	Licensed Representative	4, 9
JIANG Guihong	BOL346	Licensed Representative	1, 2, 9
JIANG Mengyi	BOL381	Licensed Representative	6
JIANG Rongxianzi	BOK452	Licensed Representative	1
JIANG Zhiyue	BKU700	Licensed Representative	1, 4, 6
JIM Chi Tsun	BFL566	Licensed Representative	4
KAHLING Andrew James	BNR871	Licensed Representative	1, 2
KE Zicong	BOK119	Licensed Representative	4
KHANOLKAR Rohan Kishor	BEY881	Licensed Representative	4
KHONGRUNGPHAKORN Supattra	BOJ694	Licensed Representative	1
KIM Jung-su	BOK336	Licensed Representative	1
KING Betty	ADJ204	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
KO Goldie Tik	BAH961	Licensed Representative	1, 4, 9
KONG Linghao	BOK898	Licensed Representative	1, 2, 4, 5
KONG Xiangming	AZW193	Licensed Representative	4, 9
KUANG Peiqin	BOJ312	Licensed Representative	4
KUANG Weijia	BOJ488	Licensed Representative	1, 6
KWAN Wai Man	ACJ105	Licensed Representative	9
KWOK Chun Hei	APW669	Licensed Representative	1, 4
KWOK Wai Kwong	BOI754	Licensed Representative	1, 4, 9
KWONG Maisie Jenny	BOM902	Licensed Representative	1, 2
LAI Chun Kit	BGH428	Licensed Representative	4, 9
LAI Shengnan	BOL250	Licensed Representative	1, 4, 9
LAI Wing Yee	ARF548	Licensed Representative	1, 2
LAM King Hung	BOJ215	Licensed Representative	1
LAM Mun Yiu	BBN042	Licensed Representative	1, 2
LAM Yik Sen	BOK129	Licensed Representative	1, 4
LAU Alfred Ying	BOM451	Licensed Representative	1, 2
LAU Chun Kit	BOM892	Licensed Representative	1
LAU Chun Tung	AWB700	Licensed Representative	1
LAU Ho Lam Rex	BOL692	Licensed Representative	1, 4, 6
LAU Hong	BOK451	Licensed Representative	1
LAU Ka Ming	AGP191	Licensed Representative	1
LAU King Yee	BOK401	Licensed Representative	1
LAU Wing Lam	BOL345	Licensed Representative	1, 4
LAU Yi Chung	BOM564	Licensed Representative	1, 4
LAU Yuk Hang Alberto	BOM750	Licensed Representative	1, 2
LAW HILARY	BOK923	Licensed Representative	1, 4
LAW Yuk Man	BNS413	Licensed Representative	1, 4
LE Trung Cuong Steven	BOK430	Licensed Representative	1, 2, 7
LEE Doohyun	BOM887	Licensed Representative	1, 2, 7
LEE Ho Ting	BOM562	Licensed Representative	3
LEE Kai Him	BON467	Licensed Representative	1, 2
LEE Kyungjoon	BOJ333	Licensed Representative	1, 4
LEE Siu Ki Ricky	BOL677	Licensed Representative	1, 4
LEE Wing Han	BOK642	Licensed Representative	1, 2, 4
LEE Yee Chung	ABW652	Licensed Representative	1, 4
LEE Young Suk Julianne	AQS657	Licensed Representative	1, 2
LEI Anran	BON535	Licensed Representative	1, 4
LEI Chao	BOM745	Licensed Representative	1, 4
LEUNG Chun Kin	BOJ546	Licensed Representative	1, 2, 4
LEUNG Chun Kit	BON564	Licensed Representative	1
LEUNG Darren Tat-Lun	BOJ934	Licensed Representative	4
LEUNG Kai Tong	ADU276	Licensed Representative	4
LEUNG Kar Lak	BBF229	Licensed Representative	1, 2
LEUNG Mei Shan	BIW785	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LEUNG Terence Ho Chi	APF834	Licensed Representative	1, 9
LEUNG Vincent Gar-Gene	BJH154	Licensed Representative	9
LEW Kyunghyun	BOK907	Licensed Representative	1, 4, 9
Li Bojie	BOK456	Licensed Representative	1, 2
Li Chin Yiu	BOL538	Licensed Representative	4, 9
Li Jingrui	BOI501	Licensed Representative	4, 9
Li Jingting	BKO985	Licensed Representative	1, 4
Li Jizhe	BOM152	Licensed Representative	1, 6
Li Kit Lun	BOL398	Licensed Representative	9
Li Kun-Chih	ARM569	Licensed Representative	1, 4
Li Litong	BOM365	Licensed Representative	1, 2
Li Lok Hang	APF782	Licensed Representative	4
Li Pik Fan	BOK833	Licensed Representative	1
Li Shi Kit	BOM504	Licensed Representative	9
Li Tai	BOK534	Licensed Representative	9
Li Xian	BOL428	Licensed Representative	6
Li Xiao Yu	BOM496	Licensed Representative	4
Li Xin	BON648	Licensed Representative	1, 4
Li Yilun	BOL472	Licensed Representative	1
Li Ying	BOH935	Licensed Representative	1, 4, 9
Li Yu-yun	BJK904	Licensed Representative	4
Li Zhenbang	BOJ358	Licensed Representative	1, 4
Li Zhiyi	BOJ305	Licensed Representative	4
LIANG Danlin	BOK627	Licensed Representative	9
LIANG Xiujing	BOJ887	Licensed Representative	1, 4
LIANG Yabing	BOM625	Licensed Representative	1
LIAO Sishi	BOI902	Licensed Representative	1
LIM Krystal Kian Peng	BOK902	Licensed Representative	1
LIN Han	BFA306	Licensed Representative	6
LIN Hanwei	BNL463	Licensed Representative	6
LIN Haohua	BOI926	Licensed Representative	1, 4, 6
LIN Ke	BOL043	Licensed Representative	1, 6
LIN Qinyao	BOM087	Licensed Representative	1, 2
LIN Ru-Shiuan	BOK132	Licensed Representative	1, 4
LIN Yaojie	BOH991	Licensed Representative	1, 4, 6
LINDSAY James Stuart	BOL436	Licensed Representative	9
LIU Gangxian	BOK824	Licensed Representative	1, 4
LIU Jimmy Chenxi	BOG388	Licensed Representative	1, 4
LIU Jing	BOI167	Licensed Representative	1, 6
LIU Ka Fai	BOJ750	Licensed Representative	1, 2, 9
LIU Kwok Wai	BOC813	Licensed Representative	4, 9
LIU Mengzhe	BON503	Licensed Representative	4
LIU Tang	BOL063	Licensed Representative	1, 4
LIU Yanzhan	BND753	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LIU YaoLong	BOM668	Licensed Representative	4, 9
LIU Ye	BOM872	Licensed Representative	1
LIU Yikai	BOM904	Licensed Representative	4
LIU Yuchen	BOM500	Licensed Representative	1, 4, 6
LO Ching Hin	AQD470	Licensed Representative	1
LO Tsam Yi Sammie	BOL707	Licensed Representative	1, 4
LOKE Chern Yang Ian	BOM372	Licensed Representative	1, 4, 6
LU Chen	BKH244	Licensed Representative	1, 4
LUI Hong Tat, Henry	ARW188	Licensed Representative	1, 4, 9
LUNG Tak Sau, Eric	AOV821	Licensed Representative	1, 2, 7
LUO Xifeng	BOL638	Licensed Representative	1, 6
LUO Zirong	BOL650	Licensed Representative	1, 4, 6
MA Chui Yee	AUI050	Licensed Representative	1, 4
MA Shuting	BOL304	Licensed Representative	9
MA Xing	BOM109	Licensed Representative	1, 4
MAGUIRE Ian Paul	BOL635	Licensed Representative	1, 4, 9
MAK Cheuk Man	BKB778	Licensed Representative	1
MAK Kit Ying Winnie	BOM066	Licensed Representative	1
MAOLANON Nond	BOL719	Licensed Representative	1, 2, 4, 5
MARZOUQ H Ahamed	BOM546	Licensed Representative	1, 4, 9
MATSUO Kai	BOM712	Licensed Representative	1, 4, 6
MENNESSON Thomas	AQX047	Licensed Representative	1
MIROCHNIK Leon	BEO717	Licensed Representative	4, 9
MOHTA Devvrat	BOL777	Licensed Representative	9
MOK Tien Fong, Vanessa	BOL497	Licensed Representative	1
MURKYA Rahul	BOJ303	Licensed Representative	4
NAJI Ramin	APH952	Licensed Representative	6
NAKATSUKASA Seiji	BOL278	Licensed Representative	1, 4, 6
NEYRINCK Alexandre Francis Bernard	BOJ021	Licensed Representative	1, 4
NG Chi Hung	BOJ714	Licensed Representative	1, 2
NG Chun Kin	BOI219	Licensed Representative	4, 9
NG Fo Chun	BOL456	Licensed Representative	9
NG Hoi Hin	BOK450	Licensed Representative	1, 4
NG Ka Shun, Carson	ARE772	Licensed Representative	4
NG King Shan	BON528	Licensed Representative	1
NG Ling Chi	AIR476	Licensed Representative	9
NG Man Yi	BOL782	Licensed Representative	1
NG Wang Yan Philip	BHA302	Licensed Representative	9
NGAI Ying Tim	BOK373	Licensed Representative	4, 5, 9
NI Bintuo	BOM533	Licensed Representative	4
NISHIMURA Shintaro	BOI034	Licensed Representative	1, 4, 6
NIU Zhixiang	BKF244	Licensed Representative	1, 4, 9
OEI Chun Kit Emerson	BON908	Licensed Representative	4, 9
OKUBO Kaori	BOM072	Licensed Representative	1, 2, 4, 5

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CE Name	CE No.	License Type	Types of Regulated Activity
ONG Rui Hua, Parsley	BOM419	Licensed Representative	4
OUNES Faouzi	BOJ404	Licensed Representative	2
OUYANG Miao	BOJ110	Licensed Representative	4
PAIN Matthew Henry	AWS453	Licensed Representative	1, 4
PALLAV Peeyush	BOK687	Licensed Representative	1, 4
PAN Huiying	BOL180	Licensed Representative	1, 2, 7
PAN Jian	BOL466	Licensed Representative	4
PANG Shu Wai	BOJ969	Licensed Representative	4, 9
PANG Siu Fun, Pinky	BOL145	Licensed Representative	4, 9
PAU William	APB248	Licensed Representative	1, 4
PENG Xiaoquan	BOK515	Licensed Representative	1, 4
PHAM John Le Hien	AXQ759	Licensed Representative	9
POON Kit Ying	BOL513	Licensed Representative	1
POON Yuen Ping	AFB359	Licensed Representative	1
QI Yanling	BOL859	Licensed Representative	1
QIAN Haochen	BOM673	Licensed Representative	4, 9
QIN Yuanya	BJI010	Licensed Representative	1
QIU Kang	AQX035	Licensed Representative	1
QIU Xiaochuan	BOI996	Licensed Representative	9
QU Chenchen	BOL131	Licensed Representative	4
QUMU Ake	BNT237	Licensed Representative	1, 4
RACCAH Saul George	APD694	Licensed Representative	9
RATTI Upwan	BDY281	Licensed Representative	1, 4
REVELLE Aaron Jonathon	BNX563	Licensed Representative	9
SAKAMOTO Minoru	BOL098	Licensed Representative	1, 4, 6
SALATI Giasone Ulisse	BOI944	Licensed Representative	4
SHAH Dharmik Dilip	BON733	Licensed Representative	1, 2
SHAN Shan	BOJ070	Licensed Representative	1, 4, 9
SHAN Zhongbei	BOL151	Licensed Representative	2
SHANG Linzheng	BON473	Licensed Representative	1, 4, 6
SHEN Zhenqi	BOJ292	Licensed Representative	1, 4
SHI Jinxing	BOJ302	Licensed Representative	4
SHI Shuangshuang	BOJ298	Licensed Representative	4
SHU Zhi	BEW399	Licensed Representative	9
SINDONE Mark Tindaro	BOK014	Licensed Representative	1, 2
SINGH Ravtej	BOI973	Licensed Representative	1, 2, 7
SIRSALEWALA Mayank Sitaram	BOJ560	Licensed Representative	1, 2
SIU Yeung Yiu	BOL307	Licensed Representative	4, 9
SO Chak Ming	BOJ610	Licensed Representative	4, 9
SON Do Un	BOK462	Licensed Representative	1
SONG Jing	BOM733	Licensed Representative	1, 4
SONG Pengcheng	BIO003	Licensed Representative	4, 9
SONG Rui	BOL182	Licensed Representative	4, 5, 9
SONG Zhiwen	BOI636	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
SU Aiyu	BOL350	Licensed Representative	1, 6
SU Guangya	BOK570	Licensed Representative	1, 4, 9
SUBBARAMAN Sahana Lakshmi	BOK335	Licensed Representative	1, 4
SUN Chi Keung, Alex	AMR298	Licensed Representative	1, 4, 9
SUN Jia	BOL365	Licensed Representative	4
TAN Xianrong	BOK046	Licensed Representative	1, 2, 4, 5, 6, 9
TANG Lizhi	BOH605	Licensed Representative	2
TANG Siu Fung	AGK701	Licensed Representative	1
TANG Wai Kwan	ALZ222	Licensed Representative	4
TAO Bo	BOI172	Licensed Representative	1, 6
TENG Wai Li	BOK328	Licensed Representative	4, 9
TEO Ngee Kuan	AOA888	Licensed Representative	9
TIAN Tian	BOJ642	Licensed Representative	1
TING Julian Yew Wei	BOJ619	Licensed Representative	1, 4, 9
TING Zaixiong Shaun	BOK227	Licensed Representative	1
TO Kit Lam	BOL231	Licensed Representative	1
TO Nga Sze, Annie	AJC326	Licensed Representative	1
TONG Junhao	BOM895	Licensed Representative	1, 6
TONG Kin Tung	BKC447	Licensed Representative	2
TSAI Ka Man	BOM503	Licensed Representative	9
TSANG Kin Ki	BOL868	Licensed Representative	1
TSANG Wai Ming	ACE376	Licensed Representative	1, 4, 9
TSE Shing Hin	BAV391	Licensed Representative	9
TSE Siu Hung	BOM816	Licensed Representative	1
TSO King On	BOH943	Licensed Representative	1, 4
TSOI Pak Pan	BHB618	Licensed Representative	1
TSOI Scott Kin Chung	BOJ201	Licensed Representative	4, 9
TSUI Ka Chun	BNE461	Licensed Representative	1, 2
TSUI Kun Yu	BOL146	Licensed Representative	4, 9
TSUI Stephen Tien-wei	BON490	Licensed Representative	4
TU Shuying	BOK186	Licensed Representative	1
UEMURA Ryuta	BOL603	Licensed Representative	1
WAI Tsui Yee	BKC175	Licensed Representative	1, 4, 9
WAN Chun Sing	BOM747	Licensed Representative	1, 2
WANG Bingjie	BOL715	Licensed Representative	1, 4
WANG Chen	BOK395	Licensed Representative	4
WANG Duan	BOM051	Licensed Representative	1, 4
WANG Fei	BOK298	Licensed Representative	1, 4, 9
WANG Jianyu	BOP243	Licensed Representative	1
WANG Jiatai	BOJ805	Licensed Representative	1, 4, 9
WANG Jie	BOL190	Licensed Representative	1, 6
WANG Jiju	BOJ291	Licensed Representative	1, 4
WANG Jingwen	BOK894	Licensed Representative	1, 6
WANG Jun	BEO183	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Lihu	BOI900	Licensed Representative	6
WANG Pengfei	BOK901	Licensed Representative	1, 6
WANG Pu	BOL362	Licensed Representative	1, 4
WANG Ruizhi	BOL901	Licensed Representative	1, 4, 6
WANG Ting	AON292	Licensed Representative	1, 4
WANG YUAN	BOL438	Licensed Representative	6
WANG Yujie	BOJ430	Licensed Representative	4
WANG Zi	BOK314	Licensed Representative	1, 2
WANG Ziqian	BOL740	Licensed Representative	1, 4, 9
WEI Fenghua	BON488	Licensed Representative	2, 5
WEI Ziqing	BOI575	Licensed Representative	4
WELFORD Peter James	BOM089	Licensed Representative	4
WEN Qingyuan	BOL383	Licensed Representative	4, 9
WEN Xin	BOL194	Licensed Representative	1, 2
WEN Yuxiang	BOF947	Licensed Representative	9
WHALE Patrick Xavier	ARO879	Licensed Representative	1, 2, 4, 5
WONG Chi Man	BJY066	Licensed Representative	1, 2
WONG Chun Tat	BOL059	Licensed Representative	1, 6
WONG Chun Yiu, Clayton	BJP845	Licensed Representative	9
WONG Chung Kwok Raymond	BOK188	Licensed Representative	1, 4
WONG Hiu Ming	BOH289	Licensed Representative	1, 4, 9
WONG Ka Hei	BOJ191	Licensed Representative	10
WONG Ka Yan	BOM903	Licensed Representative	1
WONG Ka Yu	BLC844	Licensed Representative	10
WONG Kam Tung	BOK840	Licensed Representative	1
WONG Kim Ping	BOM671	Licensed Representative	1, 4
WONG Kin Keung	AXO285	Licensed Representative	1, 2
WONG Leung Kit	BOK889	Licensed Representative	9
WONG Po Shan, Rosana	BAH739	Licensed Representative	1, 4
WONG Pui Yu, Jolie	BON837	Licensed Representative	1, 4
WONG Sio Leng	BOL813	Licensed Representative	1, 4, 9
WONG Ting Ting	BOM090	Licensed Representative	1
WONG Tsun Yip	BOL434	Licensed Representative	1, 4
WONG Wai Lam	AEL134	Licensed Representative	1, 2
WONG Wai Ming	ASD119	Licensed Representative	1, 2
WONG Wai Tong, William	BOK274	Licensed Representative	4, 9
WONG Wing Lam	BOK417	Licensed Representative	1, 2
WOO Yung Hong Kelly	BOF102	Licensed Representative	9
WOODCOCK Morgan Anne	AXG147	Licensed Representative	1
WU Huijuan	BOM807	Licensed Representative	1
WU Jie	BOI951	Licensed Representative	1
WU Oden Ren Hao	BOM400	Licensed Representative	1, 9
WU Xia	BOM890	Licensed Representative	1, 4
WU Ying	BOJ939	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WU ZISANG	BOL198	Licensed Representative	4, 9
XIA Yichao	BON913	Licensed Representative	4
XIANG Zihan	BON532	Licensed Representative	4, 9
XIE Wenjun	BOK590	Licensed Representative	1
XIE Yi	BOL641	Licensed Representative	1
XIE Yue	BGQ491	Licensed Representative	1, 4, 6
XU Jing	BOP968	Licensed Representative	9
XU Yifeng	BOM893	Licensed Representative	1, 6
YAMAKAWA Masami	BOK402	Licensed Representative	1, 4, 6
YAN Huichen	BOK817	Licensed Representative	1, 4
YAN Kuo	BOM672	Licensed Representative	1, 4, 7
YANG Bing	BOM868	Licensed Representative	1, 4
YANG Feng	BEZ148	Licensed Representative	9
YANG Guangning	BOO275	Licensed Representative	1, 9
YANG Haodong	BOM896	Licensed Representative	4
YANG Haoming	BOK461	Licensed Representative	1, 6
YANG Liu	BOO238	Licensed Representative	9
YANG Sigao	BCV189	Licensed Representative	1, 4
YAO Cheng	BOM288	Licensed Representative	4
YAU Ka Man	BOK106	Licensed Representative	4, 9
YEO Cheng Hong, Alex	BOK517	Licensed Representative	1, 4, 9
YEUNG Adrian	BOG580	Licensed Representative	1, 9
YEUNG Cheuk Lai	AOM639	Licensed Representative	1, 2
YEUNG Hei Chun	BOL793	Licensed Representative	1
YEUNG Tak Yi	BOK641	Licensed Representative	1
YI Weichen	BOL430	Licensed Representative	1
YIM Chun Kit	BOM730	Licensed Representative	1
YING To Ming	BON462	Licensed Representative	1, 4
YIP Kwan Tak	BOM501	Licensed Representative	1, 2
YU Gengen	BOI162	Licensed Representative	1, 6
YU Hongtao	BOM317	Licensed Representative	1, 4
YU Ka Kit	BOM761	Licensed Representative	1, 6
YU Pei Chin	BOL062	Licensed Representative	1, 2
YU Sau Ling	BOM666	Licensed Representative	9
YUAN Yingjun	AWT865	Licensed Representative	1, 4
YUAN Yue	BOK326	Licensed Representative	1, 4
YUAN Zhefei	APL225	Licensed Representative	1, 4
YUEN Kelvin Kwan Tai	BCZ313	Licensed Representative	1, 4, 9
YUM Sum Yi	BOM627	Licensed Representative	1, 4
YUNG Wai Lung	BOM535	Licensed Representative	1
ZENG Angie Yan	AOV453	Licensed Representative	6
ZHAI Yujia	BOK770	Licensed Representative	1, 4, 6
ZHAN Xinyang	BOM762	Licensed Representative	1
ZHANG Han	BOJ588	Licensed Representative	2, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Hao	BNS470	Licensed Representative	4, 9
ZHANG Hengwei	BOH008	Licensed Representative	6
ZHANG Keming	BOL768	Licensed Representative	1
ZHANG Long	BGM921	Licensed Representative	1, 2
ZHANG Lunan	BOK331	Licensed Representative	1
ZHANG Rui	BOE234	Licensed Representative	1, 4
ZHANG Xuelai	BOM870	Licensed Representative	1, 2, 7
ZHANG Yingnan	BOL526	Licensed Representative	1, 4, 9
ZHANG Yixin	BOM527	Licensed Representative	1, 4
ZHANG Ziran	BFC896	Licensed Representative	1, 6
ZHAO Peilin	BOM281	Licensed Representative	1, 2
ZHENG Shuwen	BOK574	Licensed Representative	1, 4, 9
ZHONG Chengxi	BHS398	Licensed Representative	1
ZHONG Yiyang	BON565	Licensed Representative	1, 6
ZHOU Alexandre Yu-Qing	BOM635	Licensed Representative	9
ZHOU Chu	BOK408	Licensed Representative	1, 2
ZHOU Xiaowei	BOK594	Licensed Representative	1
ZHOU Yi	BON542	Licensed Representative	1, 4
ZHU Feiyang	BON484	Licensed Representative	1, 4, 6
ZHU Haibin	BMY274	Licensed Representative	4
ZHU Jishun	BOI112	Licensed Representative	1, 2
ZHU Zikai	BOP342	Licensed Representative	9
AHMED Mohammad Mekail Enver Adil	ASO723	Licensed Representative - Responsible Officer	1, 9
CARIERI Joseph	BOJ785	Licensed Representative - Responsible Officer	1
CARON Patrick Paul	BOH194	Licensed Representative - Responsible Officer	1, 4
CHEN Gong	BOB236	Licensed Representative - Responsible Officer	4
CHEN Huan	BOF621	Licensed Representative - Responsible Officer	9
CHEN Jun	ASN928	Licensed Representative - Responsible Officer	4, 9
CHEN Michael Huang	BOI039	Licensed Representative - Responsible Officer	9
CHENG Kang	BOH183	Licensed Representative - Responsible Officer	1, 4, 6, 9
CHENG Kwai Ping, Margaret	AAJ042	Licensed Representative - Responsible Officer	1, 4
DEL CASTILLO Roel James Gannaban	BNW874	Licensed Representative - Responsible Officer	9
FENG Xilai	BNY530	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
FOX Robin Jonathan Gibbs	AAJ578	Licensed Representative - Responsible Officer	1, 4
GEHIN Wilfried Dominique Lucas	ART639	Licensed Representative - Responsible Officer	1
HE Jiayin	BOC652	Licensed Representative - Responsible Officer	4, 9
HO Kwong Kiu	APU675	Licensed Representative - Responsible Officer	4, 9
JANSSEN Remco	BNT196	Licensed Representative - Responsible Officer	9
KATSUKI Kosuke	BOJ217	Licensed Representative - Responsible Officer	1
KHO Richard Alex De Ocampo	BNW877	Licensed Representative - Responsible Officer	9
KWAN Wai Man	ACJ105	Licensed Representative - Responsible Officer	1, 2, 3, 4, 5
LAM Hung, Allan	AAF858	Licensed Representative - Responsible Officer	4, 9
LAM Kwok Fung Kenny	BIX396	Licensed Representative - Responsible Officer	1
LIU Kwok Hang	BJM358	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LOU Choi Chi, Nathalie	AGH565	Licensed Representative - Responsible Officer	4, 9
MA Kit Man, Maria	AJB850	Licensed Representative - Responsible Officer	1, 4
MAK Chi Liang	AQZ857	Licensed Representative - Responsible Officer	1
MARQUARDT Michael Timothy	AUI825	Licensed Representative - Responsible Officer	9
MCDUGALL Alexander Henry	AWH550	Licensed Representative - Responsible Officer	1, 4, 9
MIN Ji Hong	ADU316	Licensed Representative - Responsible Officer	9
MOK Ka Ho	AVY704	Licensed Representative - Responsible Officer	1, 4, 9
SHEN Zheqing	ANQ750	Licensed Representative - Responsible Officer	1, 6
TROUBLAIEWITCH Rachel	BOF677	Licensed Representative - Responsible Officer	1, 9
WU Di	BOG730	Licensed Representative - Responsible Officer	9
XIE Jun	BOC408	Licensed Representative - Responsible Officer	1, 4, 9
YANG Ning	AVM735	Licensed Representative - Responsible Officer	4, 9
YOW Su Chin	BOI909	Licensed Representative - Responsible Officer	4, 9
YU Chi Chiu, Andrew	AGI481	Licensed Representative - Responsible Officer	1, 4, 6
YU Chunlin	BEM065	Licensed Representative - Responsible Officer	9
YU Haining	BNH890	Licensed Representative - Responsible Officer	4, 9
YUEN Sze Fun, Keith	ASP615	Licensed Representative - Responsible Officer	9
ZHANG Weiyi	BGK395	Licensed Representative - Responsible Officer	9
ZHAO Feng	BOB240	Licensed Representative - Responsible Officer	9
ZHOU Chenggang	AVJ131	Licensed Representative - Responsible Officer	4
ACTON Daniel Thomas	BOM505	Licensed Representative (Provisional Licence)	2, 5
ANTONELLI Christopher George	AQJ320	Licensed Representative (Provisional Licence)	9
BAI Yiyang	BOR008	Licensed Representative (Provisional Licence)	4
BHALLA Sumeet Kumar	BLH891	Licensed Representative (Provisional Licence)	4, 9
BIRLENBACH Michael Dieter	APK741	Licensed Representative (Provisional Licence)	1, 2, 7
BUNN Malcolm	AQM778	Licensed Representative (Provisional Licence)	1, 4
CAI Jiapan	BOP942	Licensed Representative (Provisional Licence)	1, 2
CAI Mingzhu	BON486	Licensed Representative (Provisional Licence)	2, 5
CAI Yanan	BOP963	Licensed Representative (Provisional Licence)	1, 6
CHAK Wai Hung	BDY891	Licensed Representative (Provisional Licence)	9
CHAK Wai Nga	BOO797	Licensed Representative (Provisional Licence)	1
CHAN Chi Yan	BOQ995	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Chi Yin	BOP754	Licensed Representative (Provisional Licence)	1, 2
CHAN Chiu Yuet	BOQ011	Licensed Representative (Provisional Licence)	1, 2
CHAN Emily Mei-Yee	AHN696	Licensed Representative (Provisional Licence)	1
CHAN Hiang Wei, Elgin	AOV932	Licensed Representative (Provisional Licence)	1, 4
CHAN Kam Lung	BOP663	Licensed Representative (Provisional Licence)	1, 2
CHAN King Fong	BOP353	Licensed Representative (Provisional Licence)	1
CHAN Kit Man Grace Christina	BOP226	Licensed Representative (Provisional Licence)	1, 4
CHAN Kwun San	BOO702	Licensed Representative (Provisional Licence)	6
CHAN Pui Man	AUT998	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Scott Tsz Kwong	BOO164	Licensed Representative (Provisional Licence)	9
CHAN Tin Chi	BOQ042	Licensed Representative (Provisional Licence)	6
CHANG Bernard Yee Tak	BON685	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
CHANG Cheng Yu	BOP561	Licensed Representative (Provisional Licence)	1, 4
CHANG Chit Yee	BON470	Licensed Representative (Provisional Licence)	1
CHAWLA RAM-RAJ ROBERT	BOP163	Licensed Representative (Provisional Licence)	9
CHE Ka Cheung	BOP651	Licensed Representative (Provisional Licence)	1
CHEN Haofei	AJD676	Licensed Representative (Provisional Licence)	4
CHEN Jiadian	BOP349	Licensed Representative (Provisional Licence)	1
CHEN Li	BOQ080	Licensed Representative (Provisional Licence)	1, 4
CHEN Mengzhou	BON839	Licensed Representative (Provisional Licence)	1, 4
CHEN Pei-Wen	AOE209	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Ruijing	BOP902	Licensed Representative (Provisional Licence)	1, 6
CHEN Yi	BON686	Licensed Representative (Provisional Licence)	4, 5
CHEN Yuhang	BHB750	Licensed Representative (Provisional Licence)	1, 6
CHEN Zihan	BOP985	Licensed Representative (Provisional Licence)	1, 6
CHENG Sze Man	BOO286	Licensed Representative (Provisional Licence)	9
CHENG Tsz Hin	BOO417	Licensed Representative (Provisional Licence)	1
CHENG Wai Yi	BOQ051	Licensed Representative (Provisional Licence)	1
CHENG Yu	BON965	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Edward	BOP546	Licensed Representative (Provisional Licence)	1
CHEUNG Ho Loon Alan	BOL668	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ngan Ying	BMV784	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Siu Kong	BON966	Licensed Representative (Provisional Licence)	1
CHEUNG Wing Sing	ATM574	Licensed Representative (Provisional Licence)	1, 2
CHIA Li An	BOP904	Licensed Representative (Provisional Licence)	1, 2
CHING Mun Yui, Roland	BJR344	Licensed Representative (Provisional Licence)	1, 2
CHIU Wing Chor	AGH933	Licensed Representative (Provisional Licence)	4
CHIU Yin Shan	BOP046	Licensed Representative (Provisional Licence)	1
CHO Taejung	BKM785	Licensed Representative (Provisional Licence)	1
CHONG Yuk Ting	BEJ355	Licensed Representative (Provisional Licence)	1, 2
CHOW Wing Cheung, Lincoln	ATT574	Licensed Representative (Provisional Licence)	1
CHU Kwok Hang	BOL829	Licensed Representative (Provisional Licence)	1, 4, 9
CHUA Ian Yong Kwang	BNX268	Licensed Representative (Provisional Licence)	6
CHUI Raybourne Wai Bong	BOM707	Licensed Representative (Provisional Licence)	1, 6
CHUNG King Cheuk	BOO443	Licensed Representative (Provisional Licence)	2, 5
CLEMENTS Robert Scott	BOP659	Licensed Representative (Provisional Licence)	1, 4
CUI Yue	BOP952	Licensed Representative (Provisional Licence)	4, 9
D'ANETHAN Justin Jean F.	BOP856	Licensed Representative (Provisional Licence)	1, 2
DAUREL Thomas	BOO147	Licensed Representative (Provisional Licence)	9
DING Yan	BOP667	Licensed Representative (Provisional Licence)	1
DOMMENGKE Kevin Michael	APF352	Licensed Representative (Provisional Licence)	9
FAN Lijin	BON632	Licensed Representative (Provisional Licence)	4
FAN Xiang	BOP437	Licensed Representative (Provisional Licence)	1, 2
FAYARD Franck Nicolas Michel	BBP230	Licensed Representative (Provisional Licence)	1, 2, 7
FENG Yihui	BOP028	Licensed Representative (Provisional Licence)	1, 9
FENG Yilin	BDH472	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
FENG Zhenglin	BOQ060	Licensed Representative (Provisional Licence)	1, 4
FONG Hon Kwan	BOO886	Licensed Representative (Provisional Licence)	1, 2
FRANCIS Adam James Antony	BFY018	Licensed Representative (Provisional Licence)	9
FRANCOIS Paul	BOL447	Licensed Representative (Provisional Licence)	1, 2
FU Kun	BOP240	Licensed Representative (Provisional Licence)	1
FU Rulin	BOO629	Licensed Representative (Provisional Licence)	1
FULTON Guy Charles Jeffreys	ARV592	Licensed Representative (Provisional Licence)	1
FUNG Hong Fai	BOP583	Licensed Representative (Provisional Licence)	3
GAI Benyang	BOP110	Licensed Representative (Provisional Licence)	2, 5
GAO Jiabei	BBP855	Licensed Representative (Provisional Licence)	1, 6
GERRARD Elizabeth Sarah	BON891	Licensed Representative (Provisional Licence)	1, 4
GHANEKAR Aayasha Anil	BOO869	Licensed Representative (Provisional Licence)	9
GHIM Taek Min	BOP172	Licensed Representative (Provisional Licence)	1, 4, 9
GOULD III Richard Gerardus	BOC417	Licensed Representative (Provisional Licence)	1, 4, 7
GOYAL Nayan Mahendra	BON973	Licensed Representative (Provisional Licence)	1, 4, 9
GRZINIC James Robert	BOR034	Licensed Representative (Provisional Licence)	4
GUO Linfeng	BOO899	Licensed Representative (Provisional Licence)	6
GUO Siyuan	BOQ059	Licensed Representative (Provisional Licence)	1
GUO Yanchao	BOP991	Licensed Representative (Provisional Licence)	1, 6
GUPTA Vikram	BOM634	Licensed Representative (Provisional Licence)	1
GURNANI Jitesh Motiram	BOM523	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Siyu	BOP777	Licensed Representative (Provisional Licence)	4
HAN Xiao	BOO400	Licensed Representative (Provisional Licence)	1, 6
HAN Xinyue	BOQ098	Licensed Representative (Provisional Licence)	1, 6
HARYANI Jatin Shyamlal	BOQ841	Licensed Representative (Provisional Licence)	1, 4, 9
HATADA Makoto	BML436	Licensed Representative (Provisional Licence)	1, 4
HE Benjin	BOM906	Licensed Representative (Provisional Licence)	1, 4
HE Jinchang	BEC496	Licensed Representative (Provisional Licence)	4, 9
HE Ruoheng	BOP885	Licensed Representative (Provisional Licence)	1, 6
HE Wenqing	BML595	Licensed Representative (Provisional Licence)	1, 2
HECHT John Douglas	BOO434	Licensed Representative (Provisional Licence)	4
HO Winston Hon Tao	BDK999	Licensed Representative (Provisional Licence)	1, 2
HON Po Sang	BOQ090	Licensed Representative (Provisional Licence)	1
HONG Runze	BOJ612	Licensed Representative (Provisional Licence)	1
HORI Mikinao	BOM644	Licensed Representative (Provisional Licence)	1
HSIAO Irena	AGC715	Licensed Representative (Provisional Licence)	1
HSU Chih Kang	BOP781	Licensed Representative (Provisional Licence)	1
HU Huiying	BOP002	Licensed Representative (Provisional Licence)	1, 4, 9
HU Jie	AWQ290	Licensed Representative (Provisional Licence)	1, 4
HU Jingxuan	BOR001	Licensed Representative (Provisional Licence)	1, 6
HU Rui	BOP983	Licensed Representative (Provisional Licence)	1, 6
HU Saiya	BON680	Licensed Representative (Provisional Licence)	1
HUANG Jiayan	BOP961	Licensed Representative (Provisional Licence)	1, 6
HUANG Jing	BEM790	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
HUANG Po Jui	BOM844	Licensed Representative (Provisional Licence)	1, 4
HUANG Xiaoyi	BOM845	Licensed Representative (Provisional Licence)	1
HUANG Xin	BON536	Licensed Representative (Provisional Licence)	4
HUANG Xinzhe	BOP111	Licensed Representative (Provisional Licence)	1, 4, 6
HUI Yuen Fai, Mannix	BON629	Licensed Representative (Provisional Licence)	1, 4, 9
HUSSEY Killian Sean	BOM585	Licensed Representative (Provisional Licence)	1
IZUMI Yoshiharu	BOK094	Licensed Representative (Provisional Licence)	1, 4
JAIN Abhinandan	BOP547	Licensed Representative (Provisional Licence)	1, 6
JANG Soo Yeon	BOP847	Licensed Representative (Provisional Licence)	1, 9
JAS Bir Kaur Lol Singh	BOO215	Licensed Representative (Provisional Licence)	1, 4, 9
JEON Jong Min	BOO898	Licensed Representative (Provisional Licence)	1, 4, 9
JI Xuan	BON850	Licensed Representative (Provisional Licence)	1, 4
JIANG Xiaole	BON526	Licensed Representative (Provisional Licence)	4
JIANG Xiaonan	BOP324	Licensed Representative (Provisional Licence)	1, 4
JIANG Yining	BOP986	Licensed Representative (Provisional Licence)	1, 6
JIANG Ziyang	BOP911	Licensed Representative (Provisional Licence)	1, 6
KEANEY Jonathan	BOO277	Licensed Representative (Provisional Licence)	1, 7
KIM Ji Eum	BOP662	Licensed Representative (Provisional Licence)	1, 2
KIM Joohyung	BOP067	Licensed Representative (Provisional Licence)	1, 4
KIM Young Rin	BLZ368	Licensed Representative (Provisional Licence)	4
KIR Eddie Jen	AOD040	Licensed Representative (Provisional Licence)	1
KO Ka Long	BOP235	Licensed Representative (Provisional Licence)	1, 4, 9
KOHLI Sneha Shah	AVP148	Licensed Representative (Provisional Licence)	1
KOO Dawson	AQV537	Licensed Representative (Provisional Licence)	1
KUNG Pei Hsuan	AVW189	Licensed Representative (Provisional Licence)	1
KWAN Chun Liang Nicholas	BOQ875	Licensed Representative (Provisional Licence)	1
KWOK Yee Huen	BOP344	Licensed Representative (Provisional Licence)	1, 4
LAI Kam Chiu	BON812	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Tak Pui	BOQ911	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Andrew Koon Ning	BGV313	Licensed Representative (Provisional Licence)	9
LAM Mun Yiu	BBN042	Licensed Representative (Provisional Licence)	1, 2
LAU Chun Wing	BOP085	Licensed Representative (Provisional Licence)	1
LAU Ho Lam Rex	BOL692	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Sing Chong	AOE376	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Yim Ling, Elaine	AAJ813	Licensed Representative (Provisional Licence)	1, 2, 4
LAW Chun Man	BOP241	Licensed Representative (Provisional Licence)	1, 4, 9
LAW Siu Chung	AKO881	Licensed Representative (Provisional Licence)	1, 4
LAW Ting Hin	BOP803	Licensed Representative (Provisional Licence)	1, 2
LAW Wing Yee	BOP165	Licensed Representative (Provisional Licence)	1, 4
LEE Elizabeth Yi Lin	AXU784	Licensed Representative (Provisional Licence)	9
LEE Halim	BOP329	Licensed Representative (Provisional Licence)	1, 4
LEE Huk Bun	ADZ881	Licensed Representative (Provisional Licence)	4, 9
LEE Jaehun	BON487	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Jason	BOH673	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LEE Ka Chun Robin James	BOP967	Licensed Representative (Provisional Licence)	1
LEE Ka Ming	BOP928	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Keen Yong Dick	BON975	Licensed Representative (Provisional Licence)	1, 6
LEE Yu-Feng	BOQ102	Licensed Representative (Provisional Licence)	1, 2
LEUNG Lai Ling	BJX874	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Matthew Ting Pok	BOP252	Licensed Representative (Provisional Licence)	6
LEUNG Wing Yee	BOP023	Licensed Representative (Provisional Licence)	1
LI Bei	AUX239	Licensed Representative (Provisional Licence)	9
LI Bojie	BOK456	Licensed Representative (Provisional Licence)	1, 2
LI Gen	BOP352	Licensed Representative (Provisional Licence)	1, 4, 6
LI Hengzhao	BOP548	Licensed Representative (Provisional Licence)	4
LI Meng	BOP307	Licensed Representative (Provisional Licence)	1, 9
LI Ming Gang	BOP843	Licensed Representative (Provisional Licence)	1, 2
LI Tiejiang	BJT718	Licensed Representative (Provisional Licence)	4, 9
LI Xiyin	BHN672	Licensed Representative (Provisional Licence)	1, 2
LI Yongjian	BOQ850	Licensed Representative (Provisional Licence)	1, 6
LI Yuan	BOP982	Licensed Representative (Provisional Licence)	1, 6
LIAN Foo Kean, Paul	BOO766	Licensed Representative (Provisional Licence)	1, 6
LIANG Shulin	BOP261	Licensed Representative (Provisional Licence)	1, 4, 9
LIANG Wei Zhong Marcus	BOL869	Licensed Representative (Provisional Licence)	1, 6
LIANG Xiujing	BOJ887	Licensed Representative (Provisional Licence)	1, 4
LIANG Zhao	BOP908	Licensed Representative (Provisional Licence)	1, 4, 9
LIM San Seng	BOP228	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Tow Lee	AOW936	Licensed Representative (Provisional Licence)	1, 2
LIN Danwei	BOQ910	Licensed Representative (Provisional Licence)	1
LIN Hanwei	BNL463	Licensed Representative (Provisional Licence)	6
LIN Hsueh Fen	AGI775	Licensed Representative (Provisional Licence)	1
LIN Ru-Shiuan	BOK132	Licensed Representative (Provisional Licence)	1, 4
LIN Xiaoling	APH362	Licensed Representative (Provisional Licence)	9
LINDSAY James Stuart	BOL436	Licensed Representative (Provisional Licence)	9
LIU Anzhe	BOP775	Licensed Representative (Provisional Licence)	6
LIU Bin	BOP951	Licensed Representative (Provisional Licence)	1
LIU Mengzhe	BON503	Licensed Representative (Provisional Licence)	4
LIU Peng	BOP136	Licensed Representative (Provisional Licence)	4
LIU Ruby Ching Yee	BOP849	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yaokun	BJI665	Licensed Representative (Provisional Licence)	4
LIU Yuchen	BOM500	Licensed Representative (Provisional Licence)	1, 4, 6
LUK Sze Ip Lydia	BOP455	Licensed Representative (Provisional Licence)	1, 2
LUO Lingxiao	BOP535	Licensed Representative (Provisional Licence)	1, 4, 9
MA Ka Man Carmen	BOR009	Licensed Representative (Provisional Licence)	1, 4
MA Ying	BOP135	Licensed Representative (Provisional Licence)	2, 5
MACKLEM Richard Gray	BON634	Licensed Representative (Provisional Licence)	1
MADERNA Pankaj	BOP582	Licensed Representative (Provisional Licence)	1, 2
MAKPOLO Marleeka Keyala Brodie	BMN881	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
MATSUO Kai	BOM712	Licensed Representative (Provisional Licence)	1, 4, 6
MATSUOKA Mikihiro	BOJ438	Licensed Representative (Provisional Licence)	1, 4
MCCASKER Grant David	ATL666	Licensed Representative (Provisional Licence)	4
MOHIDEEN Khaleelur Rahman	ABM107	Licensed Representative (Provisional Licence)	1, 2
MORI Hiroshi	BOK212	Licensed Representative (Provisional Licence)	4
NAJI Ramin	APH952	Licensed Representative (Provisional Licence)	6
NAN Nan	AXG319	Licensed Representative (Provisional Licence)	4, 9
NAYYAR Varun	BON694	Licensed Representative (Provisional Licence)	9
NG Chi Kwan	BOP920	Licensed Representative (Provisional Licence)	1, 2, 4
NG Chun Lok	BOP168	Licensed Representative (Provisional Licence)	1, 6
NG Lai Ying	BOM227	Licensed Representative (Provisional Licence)	1, 4, 6
NG Ming Hui Sancia	BGS699	Licensed Representative (Provisional Licence)	1, 2
NG Yao Lin Calvin	BOO529	Licensed Representative (Provisional Licence)	4
NI Bintuo	BOM533	Licensed Representative (Provisional Licence)	4
NI Chen	BAD740	Licensed Representative (Provisional Licence)	1
OEI Chun Kit Emerson	BON908	Licensed Representative (Provisional Licence)	4, 9
OKITA Kazuki	BOM838	Licensed Representative (Provisional Licence)	1, 4
ONG Yan Han Sherman	BOM349	Licensed Representative (Provisional Licence)	9
ONG Zi Hao	BOP402	Licensed Representative (Provisional Licence)	1, 2
PAN Yangyang	BOM085	Licensed Representative (Provisional Licence)	4
PANG Yuting	BOO863	Licensed Representative (Provisional Licence)	1, 2
PARK Sunyeol	BOP725	Licensed Representative (Provisional Licence)	1, 2
PEI Yining	BOP921	Licensed Representative (Provisional Licence)	1, 2
PINSET Victor Balthazar	ARQ494	Licensed Representative (Provisional Licence)	9
PORT Ronald Erich Konrad	BOL664	Licensed Representative (Provisional Licence)	4, 9
QI Yanling	BOL859	Licensed Representative (Provisional Licence)	1
QIAN Cheng	BOO785	Licensed Representative (Provisional Licence)	1, 6
QIN Bo	BOO258	Licensed Representative (Provisional Licence)	4, 9
QIN Meng	BOP524	Licensed Representative (Provisional Licence)	1
QU Simon Tao	AFN658	Licensed Representative (Provisional Licence)	1, 4
RACCAH Saul George	APD694	Licensed Representative (Provisional Licence)	9
RAMADASAN Mullikkottu Veetil	ANT736	Licensed Representative (Provisional Licence)	1, 4
ROBERTS Wei Lim	BOP287	Licensed Representative (Provisional Licence)	1, 4, 9
ROBINSON Gregory Alexander	AXH226	Licensed Representative (Provisional Licence)	1, 4
RU Patrick Cheng	BOO472	Licensed Representative (Provisional Licence)	1, 4, 9
SAGE Robert Ian	BOP253	Licensed Representative (Provisional Licence)	4
SAMESHIMA Toyoki	AMY453	Licensed Representative (Provisional Licence)	1, 4
SARR Mamadou-Abou	BOO653	Licensed Representative (Provisional Licence)	1
SASAKI Daisuke	BOJ795	Licensed Representative (Provisional Licence)	1, 4, 6
SATO Wakako	BBI874	Licensed Representative (Provisional Licence)	1
SHAH Dharmik Dilip	BON733	Licensed Representative (Provisional Licence)	1, 2
SHAN Hui	BOP859	Licensed Representative (Provisional Licence)	1, 4
SHANG Linzheng	BON473	Licensed Representative (Provisional Licence)	1, 4, 6
SHE Pui Ka	BOO733	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
SHEN Chen	BOP987	Licensed Representative (Provisional Licence)	1, 6
SHEN Di	BOP465	Licensed Representative (Provisional Licence)	1, 2
SHEN Yangwen	BOM502	Licensed Representative (Provisional Licence)	1
SHI Zhenchun	BOO987	Licensed Representative (Provisional Licence)	1, 2, 4
SIDDHARTH Rahul	BOP861	Licensed Representative (Provisional Licence)	1
SIOUTI Charles	BOP323	Licensed Representative (Provisional Licence)	1, 2, 7
SMITH Fraser Clyde Mclellan	BOM753	Licensed Representative (Provisional Licence)	4, 9
SONG Jing	BOM733	Licensed Representative (Provisional Licence)	1, 4
SUBRAHMANIAN Karthik	BOP776	Licensed Representative (Provisional Licence)	1, 4
SUN Changyu	BES708	Licensed Representative (Provisional Licence)	1, 6
SUN Xin	BOP483	Licensed Representative (Provisional Licence)	1, 4
SUN Yile	BOQ096	Licensed Representative (Provisional Licence)	1, 6
SUNDERLAND Bradford Morimura	BOR107	Licensed Representative (Provisional Licence)	1
TAM Sui Hei	BHT517	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Qiyao	BOP442	Licensed Representative (Provisional Licence)	1, 4
TANG Xiaodan	BOM624	Licensed Representative (Provisional Licence)	6
TAO Xiangrui	BOP401	Licensed Representative (Provisional Licence)	1, 6
TAY Chin Khim	BOQ052	Licensed Representative (Provisional Licence)	1
TECHASOMBOON Dollapon	BOO308	Licensed Representative (Provisional Licence)	1
TEE Brian Benedict Oh	AXP239	Licensed Representative (Provisional Licence)	1, 4
TEO Nicholas Haw Yuh	BOQ894	Licensed Representative (Provisional Licence)	1, 6
TERNG Ke Tian Christine	BOP866	Licensed Representative (Provisional Licence)	1, 4
TEY Khang Yee	BOP469	Licensed Representative (Provisional Licence)	1, 2
THEUNISSEN Jennifer Zelena	BFC242	Licensed Representative (Provisional Licence)	1, 9
TIAN Dan	BOP614	Licensed Representative (Provisional Licence)	1, 6
TIAN Guanhua	BOP471	Licensed Representative (Provisional Licence)	1, 6
TING Shu Hao	BOO993	Licensed Representative (Provisional Licence)	4
TSANG Tak Ki	BMC017	Licensed Representative (Provisional Licence)	1, 2
UREN Paul Stephen James	BON475	Licensed Representative (Provisional Licence)	1, 4, 6
WAN Lai Sze	BOP273	Licensed Representative (Provisional Licence)	1
WAN Li	BOO731	Licensed Representative (Provisional Licence)	1
WAN Lik Kuen	ANX818	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Fan	BOP759	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Jiyuan	BOP922	Licensed Representative (Provisional Licence)	1
WANG Qiong	BJW110	Licensed Representative (Provisional Licence)	6
WANG Shan Shan	BJH956	Licensed Representative (Provisional Licence)	1, 2
WANG Xi	BOK305	Licensed Representative (Provisional Licence)	1
WANG Xi	BOR247	Licensed Representative (Provisional Licence)	1, 6
WANG Yiheng	BOP544	Licensed Representative (Provisional Licence)	4
WANG Yuyang	BOP434	Licensed Representative (Provisional Licence)	4
WANG Zhao	BOP251	Licensed Representative (Provisional Licence)	1, 6
WEI Fenghua	BON488	Licensed Representative (Provisional Licence)	2, 5
WEI Lulu	BOM881	Licensed Representative (Provisional Licence)	1, 4
WEI Yuzhen	BOP658	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WEN bin	BOK439	Licensed Representative (Provisional Licence)	4
WONG Cheuk Yin	AXS455	Licensed Representative (Provisional Licence)	1
WONG Chi Ming	ANN089	Licensed Representative (Provisional Licence)	4
WONG Hang Sze	BOO309	Licensed Representative (Provisional Licence)	1
WONG Hon Yeung	BMN644	Licensed Representative (Provisional Licence)	1, 9
WONG Ka Wai, Kavi	ALQ402	Licensed Representative (Provisional Licence)	4, 9
WONG On Ieng	BOP629	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Pui Yu, Jolie	BON837	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Fu	BOP660	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Kin, Ricky	AQQ122	Licensed Representative (Provisional Licence)	1, 2, 3
WONG Yin Yin	BOP804	Licensed Representative (Provisional Licence)	1, 2
WU Chien-Hsing	BOP623	Licensed Representative (Provisional Licence)	9
WU Jiayi	BON836	Licensed Representative (Provisional Licence)	1, 4, 9
WU Kaiyi	BOP351	Licensed Representative (Provisional Licence)	4
WU Kelvin Chih Wei	AMB765	Licensed Representative (Provisional Licence)	9
WU Yanjun	BON649	Licensed Representative (Provisional Licence)	1, 4
WU Yao	BOP984	Licensed Representative (Provisional Licence)	1, 6
XIA Yichao	BON913	Licensed Representative (Provisional Licence)	4
XIE Ci	BOO281	Licensed Representative (Provisional Licence)	1, 6
XIE Yue	BGQ491	Licensed Representative (Provisional Licence)	1, 4, 6
XU Fangqing	BOP463	Licensed Representative (Provisional Licence)	1, 4
XU Weichang	BDR768	Licensed Representative (Provisional Licence)	1, 4, 6, 9
XU Wentao	BOP661	Licensed Representative (Provisional Licence)	1, 4
XU Zijun	BOP957	Licensed Representative (Provisional Licence)	1, 6
YAM Lap Fan, Fanny	AFR221	Licensed Representative (Provisional Licence)	1
YAN Kai	BOK933	Licensed Representative (Provisional Licence)	4, 9
YANG Feng	BEZ148	Licensed Representative (Provisional Licence)	9
YANG Geyue	BHV155	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Guangning	BOO275	Licensed Representative (Provisional Licence)	1, 9
YANG Hao	BOO280	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Haodong	BOM896	Licensed Representative (Provisional Licence)	4
YANG Liu	BOO238	Licensed Representative (Provisional Licence)	9
YANG Yongqing	BOM626	Licensed Representative (Provisional Licence)	1
YAO Chen	BOP084	Licensed Representative (Provisional Licence)	1, 2
YAU Ka Faat	BOQ868	Licensed Representative (Provisional Licence)	1, 2
YEUNG Cheuk Lai	AOM639	Licensed Representative (Provisional Licence)	1, 2
YEUNG Kwok Fai	AVQ425	Licensed Representative (Provisional Licence)	1, 2
YING To Ming	BON462	Licensed Representative (Provisional Licence)	1, 4
YOUK Su Jeong	BLV881	Licensed Representative (Provisional Licence)	1, 4
YU An Li	BIT643	Licensed Representative (Provisional Licence)	1, 2, 4
YU Ming Yan	BID401	Licensed Representative (Provisional Licence)	4, 9
YU Sai Wo	BON601	Licensed Representative (Provisional Licence)	1
YU Zhonghai	BOP246	Licensed Representative (Provisional Licence)	1, 4
YUAN Jiayi	BOP947	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
YUEN Ching Ngai	BOO627	Licensed Representative (Provisional Licence)	1, 2
ZENG Yu Kwan, Andrew	BNQ708	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Chunwang	BOQ932	Licensed Representative (Provisional Licence)	2
ZHANG Jingjing	BOP790	Licensed Representative (Provisional Licence)	4
ZHANG Jinhong	BHR791	Licensed Representative (Provisional Licence)	1, 4
ZHANG Long	BGM921	Licensed Representative (Provisional Licence)	1, 2
ZHANG Motong	BOO377	Licensed Representative (Provisional Licence)	1, 4
ZHANG Shida Anson	BOO897	Licensed Representative (Provisional Licence)	1
ZHANG Shitong	BOQ896	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xuelai	BOM870	Licensed Representative (Provisional Licence)	1, 2, 7
ZHANG Yingnan	BOL526	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Chuangkai	BOQ971	Licensed Representative (Provisional Licence)	4
ZHENG Dongying	BOP959	Licensed Representative (Provisional Licence)	6
ZHENG Linhong	BOP888	Licensed Representative (Provisional Licence)	1
ZHENG Yang	BAA900	Licensed Representative (Provisional Licence)	1, 4
ZHENG Zhixiao	BOI559	Licensed Representative (Provisional Licence)	1
ZHONG Xiaoyu	BOP317	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Alexandre Yu-Qing	BOM635	Licensed Representative (Provisional Licence)	9
ZHOU Chu	BOK408	Licensed Representative (Provisional Licence)	1, 2
ZHOU Jia	BOM781	Licensed Representative (Provisional Licence)	1, 6
ZHOU Shiyu	BOP250	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Feiyang	BON484	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Lin	BOP079	Licensed Representative (Provisional Licence)	1, 2
ZHU Yuxiang	BER747	Licensed Representative (Provisional Licence)	2
ZHUO Le	BOO006	Licensed Representative (Provisional Licence)	2
ZUO Yiming	BOO827	Licensed Representative (Provisional Licence)	10
AKIE Satera	BOP403	Licensed Representative (Temporary Licence)	1, 2
ALAUDIN ADATIA Samir	BOP298	Licensed Representative (Temporary Licence)	4
AREVALO Alejandro	BME537	Licensed Representative (Temporary Licence)	1
BASS Matthew David	AWT777	Licensed Representative (Temporary Licence)	4
BURTON Michael John	BOP286	Licensed Representative (Temporary Licence)	4
CAVKA Anthony	BNU143	Licensed Representative (Temporary Licence)	2
CHAN Kah Ling	AFW039	Licensed Representative (Temporary Licence)	10
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	4
CHIA Liting Michelle	BNG518	Licensed Representative (Temporary Licence)	4
COSTELLO Manus James Macgregor	BOP288	Licensed Representative (Temporary Licence)	4
CURRY Jonathan Iain	BHD383	Licensed Representative (Temporary Licence)	1
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DEAKIN-BELL John Anthony	BNV617	Licensed Representative (Temporary Licence)	4
DENNIS Mia Youngmi	BOO675	Licensed Representative (Temporary Licence)	1, 4
DERESIEWICZ Robert Leslie	BME186	Licensed Representative (Temporary Licence)	1, 4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GEORGE Jacob	BNK022	Licensed Representative (Temporary Licence)	1, 2
GRAHAM Stuart Oliver	BDP994	Licensed Representative (Temporary Licence)	4
HATTORI Enna (Kristina)	BOP467	Licensed Representative (Temporary Licence)	1, 2
HIROSE (KUWAHARA) Akiko	AOB564	Licensed Representative (Temporary Licence)	4
HOLLAND Greg Anthony	BMQ913	Licensed Representative (Temporary Licence)	1, 4
HUBBARD Cara	BNN588	Licensed Representative (Temporary Licence)	1, 4
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
ISHINO Masahiko	BGZ614	Licensed Representative (Temporary Licence)	4
IWASAKI Masahiro	BNC630	Licensed Representative (Temporary Licence)	1
KABRA Manish Jitendra	BHI967	Licensed Representative (Temporary Licence)	4
KAVOUR Dimitrios	BOR076	Licensed Representative (Temporary Licence)	1
KEATING Edward Peter	BHQ307	Licensed Representative (Temporary Licence)	1, 4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	4
KIPPING Bryan Edward	ACR175	Licensed Representative (Temporary Licence)	1
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LEE Sei Cheol	BLY339	Licensed Representative (Temporary Licence)	4
LEE Su Sie	BFU417	Licensed Representative (Temporary Licence)	4
LEE Wei Yuan	ALQ973	Licensed Representative (Temporary Licence)	1, 4
LEHMANN Arnaud Jerome	BDM631	Licensed Representative (Temporary Licence)	4
LIU Chiung-Fang	BMY071	Licensed Representative (Temporary Licence)	4
LIU Xin	BOO975	Licensed Representative (Temporary Licence)	1, 4
LOH Hui Ling Hailey	BIE948	Licensed Representative (Temporary Licence)	1, 4
LYE Peixian	BOO712	Licensed Representative (Temporary Licence)	1
MASTERS David John	BOL535	Licensed Representative (Temporary Licence)	10
MATHUR Aditya	BEQ279	Licensed Representative (Temporary Licence)	4
MATURO Mario	BOO678	Licensed Representative (Temporary Licence)	1, 4
MEGAN Sossi Amirian	BOM238	Licensed Representative (Temporary Licence)	1
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MOOKIM Sanjay Kumar	AUW976	Licensed Representative (Temporary Licence)	4
MULLER-WIDMER Michael Horst	BLN919	Licensed Representative (Temporary Licence)	4
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAKAHARA Shuichi	BGS896	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
NICHOLSON Wendy Caroline	BFW996	Licensed Representative (Temporary Licence)	4
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
PARK Sung Mee	BFT696	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity
RASTOGI Abhishek	AYB018	Licensed Representative (Temporary Licence)	1
RAYABSANGDUAN Pornthipa	AYY247	Licensed Representative (Temporary Licence)	4
RICHARDSON Jeremy Bruce	BHL344	Licensed Representative (Temporary Licence)	1, 4
RUSSELL Kevin Gary	BHG762	Licensed Representative (Temporary Licence)	1, 4
SCHAUER Adam Michael	BOH519	Licensed Representative (Temporary Licence)	1, 4
SCOTT Samuel Charles	BOP232	Licensed Representative (Temporary Licence)	1
SINGH Saurabh Devendra	AVO929	Licensed Representative (Temporary Licence)	4
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4
SUKKAWALA Mehl Premal	BOM889	Licensed Representative (Temporary Licence)	10
TAKAHASHI Miyuki	BOM464	Licensed Representative (Temporary Licence)	1, 2
TAKAHASHI Tetsuaki	BAI982	Licensed Representative (Temporary Licence)	1
TAM Raymond Hing Luen	BOM757	Licensed Representative (Temporary Licence)	1
TAYAL Kunal	BBL045	Licensed Representative (Temporary Licence)	4
TECSON STANIEL JAVINEZ	BOR080	Licensed Representative (Temporary Licence)	1
UPADHYAY Nishant	BIS763	Licensed Representative (Temporary Licence)	1
WEE May Ling	AFV960	Licensed Representative (Temporary Licence)	1, 4
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WEN Chung-Yee	AXL994	Licensed Representative (Temporary Licence)	1
WIETHUECHTER Martin Domini	BOQ794	Licensed Representative (Temporary Licence)	1, 4
WILKINSON Gregory John	BOP627	Licensed Representative (Temporary Licence)	4
WONG Joshua Zhihao	BLD957	Licensed Representative (Temporary Licence)	1
WOOD Robert Philip	BNR607	Licensed Representative (Temporary Licence)	1, 4
WRENN James Joseph	BJT987	Licensed Representative (Temporary Licence)	1
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YANEZ HINOJOSA Anibal David	BEV153	Licensed Representative (Temporary Licence)	1, 2
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YEO Newton Chiong	APY329	Licensed Representative (Temporary Licence)	1
YOO Jongwoo	BEX905	Licensed Representative (Temporary Licence)	4
YOSHIDA Arifumi	BEA842	Licensed Representative (Temporary Licence)	4
YU In Cheol	BLU691	Licensed Representative (Temporary Licence)	4
ZAVOLOCK Marina Gena	BOP884	Licensed Representative (Temporary Licence)	1, 4
ZHANG Weixuan	BNT218	Licensed Representative (Temporary Licence)	4
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1