

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ADV Partners Limited	BNU944	Licensed Corporation	1, 4
Benington Capital Partners Limited	BOQ821	Licensed Corporation	4, 9
COS-Capital Hong Kong International Co. Limited	BOR627	Licensed Corporation	1, 4, 9
Jupiter Research Capital (Asia) Limited	BOX085	Licensed Corporation	9
Lumineux Securities Limited	BOU462	Licensed Corporation	1, 4
Rykadan Capital Management Limited	BOR619	Licensed Corporation	9
The Peak International Asset Management Co. Limited	BOT724	Licensed Corporation	4, 9
VBG Asset Management Limited	BPD344	Licensed Corporation	4, 9
Vellum Limited	BOW474	Licensed Corporation	1, 4, 9
Wings Securities Limited	BOU754	Licensed Corporation	1, 4, 6
AGARWALLA Vikash	BPC434	Licensed Representative	4
AN Chang	BPI969	Licensed Representative	4
BARKER Stephen	AWZ978	Licensed Representative	4
BERNARD Vianney Gil Jean-Martin	BPJ629	Licensed Representative	1, 4, 9
BHAMMER Vishal Mahendra Kumar	AHF765	Licensed Representative	9
BIN MAHAT Muhammad Suffian	BPC656	Licensed Representative	1, 2, 4, 5
BIN MOHAMAD Aiman	BPI615	Licensed Representative	4
BO Houyang	BPF602	Licensed Representative	10
CAI Linhan	BON797	Licensed Representative	9
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative	1, 2
CHAN Ka Chun	BKC972	Licensed Representative	9
CHAN Ngok Yan, Elton	AGA656	Licensed Representative	1, 4
CHAN Shirley	BOC048	Licensed Representative	9
CHAN Wing Yu	BBT845	Licensed Representative	1
CHANG Lawrence	BGA353	Licensed Representative	1, 6
CHAO Ran Ryan	BPJ879	Licensed Representative	1, 4
CHEN Lin	BPI346	Licensed Representative	4, 9
CHEN Roger K	BPG243	Licensed Representative	1, 4, 9
CHEN Runmin	BPI887	Licensed Representative	1, 4
CHEN Si	BPH849	Licensed Representative	6
CHEN Xin	BPH529	Licensed Representative	1
CHEN Xinyi	BPI536	Licensed Representative	2, 5
CHEN Yu	BLN446	Licensed Representative	1
CHENG Chi Ho	BPI590	Licensed Representative	4, 9
CHEUNG Ho Wan Chris	BNV412	Licensed Representative	1, 2, 7
CHEUNG Hok Lun	BPH519	Licensed Representative	1
CHEUNG Lai Man, Loretta	ADB867	Licensed Representative	1
CHEUNG Lap Wai	BHS392	Licensed Representative	1, 4, 9
CHIANG Kam Wah	BFL529	Licensed Representative	9
CHIANG Tiffany C	BPD512	Licensed Representative	4, 9
CHITTLEBOROUGH Harry Michael	BPI262	Licensed Representative	9
CHIU Shih-Min	BHF087	Licensed Representative	9
CHO Woo Yeon	BPG313	Licensed Representative	1, 2
CHOE Seunggho	BPI271	Licensed Representative	1, 4
CHOI Kien Lun, Kevin	BLI507	Licensed Representative	2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOU Hung	BPJ434	Licensed Representative	1, 9
CHOW Tat Yan Richard	BPG150	Licensed Representative	1, 4
CHOY Hiu Tung	BPH538	Licensed Representative	1
CHU Pui Yan	BPI540	Licensed Representative	1, 2
CHUNG Cham Yik	BPH798	Licensed Representative	1
CHUNG Sze King Samantha	BPG704	Licensed Representative	1, 4
CHWEI Mein Sze	AJK607	Licensed Representative	4
CLAIRON Adrien Henri Arturo	BNG157	Licensed Representative	1, 2
CLIFFORD Paul	BPF324	Licensed Representative	1
CROIX Nicholas Ryan	BPI561	Licensed Representative	9
CUI Lin	BPI049	Licensed Representative	1, 4
CUI Sunfeiyue	BPG134	Licensed Representative	1
DANIEL Thomas Jude	AOE195	Licensed Representative	1
DELION Ludovic Jean-Louis Jean-rene J.	BOA766	Licensed Representative	1, 4, 9
DENG Bin	BPC621	Licensed Representative	1, 4, 9
DENG Yiqiu	BPJ279	Licensed Representative	1
DING Ning	BPJ449	Licensed Representative	4, 9
DONG Yucheng	BPI206	Licensed Representative	1
FAN Wenchao	AUQ956	Licensed Representative	1, 4
FLEMING Joseph James	BPF591	Licensed Representative	9
FONG Kwun Wai, Matthew	ARS734	Licensed Representative	1, 4, 9
FONG Long Lok, Elvis	BPI250	Licensed Representative	1, 4, 9
FU Chap Yin	BGY187	Licensed Representative	1
FU Mei Ling	BPI833	Licensed Representative	1, 4
GAO Luyan	BPG262	Licensed Representative	1
GAO Yuan	BPH525	Licensed Representative	1, 2
GE Lei	BOU627	Licensed Representative	1
GONG Jiacheng	BPJ311	Licensed Representative	1, 4, 9
GROVER Pranav	BPJ737	Licensed Representative	4
GU Yinmin	BIW823	Licensed Representative	9
GUEVARRA Maria Joelette T.	ADE250	Licensed Representative	4, 9
GUO Dawei	BPG692	Licensed Representative	4, 9
HE Mu Yuan	BPH401	Licensed Representative	1
HE Xue	BPJ546	Licensed Representative	2, 5
HO Kai Hong	BPJ526	Licensed Representative	1
HUANG Chun Pang	BJL381	Licensed Representative	1, 4
HUANG Kaiyao	BPJ462	Licensed Representative	1
HUANG Mengqin	BPG455	Licensed Representative	1, 2
HUANG Xiao	BPJ487	Licensed Representative	1, 4, 6
HUANG Yan	BPI827	Licensed Representative	4, 9
HUNG Chiu Loi	AUS181	Licensed Representative	1, 2, 4
HUNG WING SHAN	BPJ837	Licensed Representative	1, 2
IP Shing Chung	BAN015	Licensed Representative	1, 2, 9
IP Tsz Kin	BLU979	Licensed Representative	1
JAIN Ashish	AQT168	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Anduo	BOX456	Licensed Representative	1
JIANG Fangshu	BPG559	Licensed Representative	1, 2
JIANG Hai	BON452	Licensed Representative	1, 2, 4, 5, 7
JIANG Yanwen	BPI530	Licensed Representative	1, 4, 6
JOHNSON Brian David	AFS218	Licensed Representative	4
JU Ruihua	BPI570	Licensed Representative	1, 4, 6
KARHANI Omar	BPI642	Licensed Representative	1, 4, 9
KIM Young Min	BPI300	Licensed Representative	1, 4
KNIGHT Anna Nakamura	BPF744	Licensed Representative	1, 2
KONG Lingshu	BPJ277	Licensed Representative	6
KONG Tin Chun	BOF311	Licensed Representative	4
KONG Zaohui	BPJ249	Licensed Representative	1
KUO Mei Yin	BPG381	Licensed Representative	1, 2
KWAN Chi Ching	BPJ378	Licensed Representative	1, 4
KWAN Ming Tak, Milton	BHB478	Licensed Representative	9
KWOK Chi Ho	BBU152	Licensed Representative	9
KWOK Sin Hung	AFS186	Licensed Representative	4
LAI Aaron Ting Chung	BPG392	Licensed Representative	1, 4, 9
LAI Chi Wang	BPJ943	Licensed Representative	1, 2
LAI Tat Ming	BPI647	Licensed Representative	1, 2, 4
LAI WEINA	BPG758	Licensed Representative	1, 4, 9
LAM Khoa Nguyen	BOT129	Licensed Representative	1, 2
LAM Mee Sing	AQJ548	Licensed Representative	1, 2
LAM Wan Tsiang	BPH402	Licensed Representative	1, 6
LAM Wing Hang	BPI085	Licensed Representative	1, 4, 9
LAU Jacky Chun Ho	AUG743	Licensed Representative	9
LAU King Yu	BPJ466	Licensed Representative	3
LAU Verda Woon Yan	BPE999	Licensed Representative	3
LAU Yee Long	BPF770	Licensed Representative	1, 4
LAW Ho Yan, Bianca	AGO374	Licensed Representative	1, 4
LEE Chun Yuen	BPG135	Licensed Representative	1
LEE Ho Yong	BPI512	Licensed Representative	1, 6
LEE Kwun Ting	BPJ763	Licensed Representative	1, 4
LEIGH Eun Young	BPH393	Licensed Representative	1, 4, 9
LEUNG Chau Ying Marlica	BJF047	Licensed Representative	1, 4
LEUNG Ho Pan	BPH625	Licensed Representative	1, 2
LEUNG Kin Keung Leslie	BPI248	Licensed Representative	1, 2
LEUNG Ling Ching	BMV151	Licensed Representative	9
LEUNG On Ki	BPG705	Licensed Representative	1
LI Da	AQE527	Licensed Representative	9
LI Danyu	BPJ263	Licensed Representative	1, 4, 9
LI Han	BPD525	Licensed Representative	9
LI Huiying	BPI531	Licensed Representative	1, 4, 9
LI Jiaqi	BPI185	Licensed Representative	1, 6
LI LIU Kevin	BPJ648	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Ruoran	BPF761	Licensed Representative	1
LI Xia	BPJ493	Licensed Representative	4, 9
LI Xinhui	BNR280	Licensed Representative	1, 4
LI Yunjun	BOB952	Licensed Representative	1, 4, 9
LI Zhuoyan	BPH540	Licensed Representative	1
LIM Ben Shane	BPH547	Licensed Representative	4
LIN I Ming	BHJ453	Licensed Representative	1, 4, 9
LIN Jiali	BPI586	Licensed Representative	4
LIN Ko An	BOZ577	Licensed Representative	1, 4, 9
LIN Sijie	BPI420	Licensed Representative	1, 4
LIU Chao	BPJ488	Licensed Representative	1, 4, 6
LIU Heru	BPI235	Licensed Representative	1, 4
LIU Jinjin	BPG574	Licensed Representative	1
LIU Junru	BPG648	Licensed Representative	9
LIU Kaishun	BPJ391	Licensed Representative	1, 4, 6
LIU Qiang	BPH668	Licensed Representative	4, 9
LIU Ting Him	BPH475	Licensed Representative	1
LIU Yanping	BPJ467	Licensed Representative	1, 6
LIU Yuqing	BOD559	Licensed Representative	1, 4
LO Deni	BPG003	Licensed Representative	4, 9
LO Hiu Yeung	AVD958	Licensed Representative	1
LO Samuel	BPJ031	Licensed Representative	4
LOMHOLT Axel Carl Christian	AVI187	Licensed Representative	1, 4, 9
LOO Renee Oi Pui	BPJ459	Licensed Representative	1, 2
LU Shezhi	BEW113	Licensed Representative	4
LU Yang	BPJ266	Licensed Representative	1, 9
MA Liangliang	BNF140	Licensed Representative	1, 9
MA Rong	BPJ506	Licensed Representative	4
MA Wenhui	BPI237	Licensed Representative	1, 6
MAK HOU TING	BPI128	Licensed Representative	1, 4
MAK Shuk Ying	BPG382	Licensed Representative	1, 4, 9
MATSUMOTO Iori	BPH425	Licensed Representative	4, 5, 9
MCCORMICK-HOUSTON Hugh Sinclair	BPJ531	Licensed Representative	1
MENG Chao	BPK059	Licensed Representative	1, 4, 6
MI Hanjie	BPH764	Licensed Representative	4
MIGNARD Christophe Nicolas Philippe	BPI357	Licensed Representative	1, 2, 4
MIURA Naoya	BOF203	Licensed Representative	4
NG Pak Yiu	BPD519	Licensed Representative	4, 9
NG Shuk Yin Ashley	BPJ677	Licensed Representative	9
NG Siu Fai	BPI991	Licensed Representative	9
NG Thomas Sai Kidd	BPK383	Licensed Representative	1
NG Tsz Wai	BPI988	Licensed Representative	4
NG Zhi Wei Aaron	BPJ671	Licensed Representative	9
NOVAK Theodore Justin	BOZ913	Licensed Representative	1, 4
OLLIVIER Alexandre Jean Stephane	BPI502	Licensed Representative	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
OONG Mei Fong	BCU767	Licensed Representative	1
PAN Weixiong	BPI644	Licensed Representative	1, 2
PANG Siu Fai Jeffery	BPH417	Licensed Representative	1, 4
PARIKH Rishit	BPC123	Licensed Representative	4
PARK Hyo Eun	BPJ793	Licensed Representative	1, 4, 9
PARK Jin Sup	BAP231	Licensed Representative	9
PARK Seongwoo	BPG770	Licensed Representative	1, 6
PENG Cong	BPH844	Licensed Representative	1, 4, 9
PENG Qing	BPI527	Licensed Representative	1, 4, 6
QIN Haodong	BPD780	Licensed Representative	4, 9
QIU Guoquan	BPH565	Licensed Representative	6
QU Jialin	BPJ011	Licensed Representative	1, 2, 4
QU Xiaohui	BPI573	Licensed Representative	1, 4, 6
RHEE Dongu	BPH989	Licensed Representative	1, 4
RRAGAMI Edison	BPJ252	Licensed Representative	1, 9
SCHUTTE Daniel Johannes	AHD687	Licensed Representative	4
SHAO Yang	BPG209	Licensed Representative	6
SHEN Zhiyuan	BPJ390	Licensed Representative	4
SHI Liang	BPG328	Licensed Representative	1, 4
SHIN Timothy	BPI995	Licensed Representative	9
SHING Jasmine See-Lung	BPG102	Licensed Representative	1, 4
SIM Ken Hiong Shawn	BPI323	Licensed Representative	1, 4, 9
SIMOTAS Michael Gregory	BPH677	Licensed Representative	4
SIU Elodie Ying-ying	BPI505	Licensed Representative	1
SMITH Stephen Mark	BFA905	Licensed Representative	1, 7
SO Ying Sze	BPF980	Licensed Representative	1
SONG Yinong	BPJ302	Licensed Representative	4, 9
SOON Li Ting	BPH537	Licensed Representative	4
SRIVASTAVA Chirag	BPJ417	Licensed Representative	1
STANTON David Andrew	AWT701	Licensed Representative	4
STUBBS Matthew Leslie	BIN588	Licensed Representative	1
SUMMERFIELD David Angus	AEP603	Licensed Representative	1
SUN Xiaolin	BOZ587	Licensed Representative	1, 4
SZE Chi Ming	BPG241	Licensed Representative	1, 4
TAM Raymond Hin Tat	ADT748	Licensed Representative	1, 4, 9
THU Zuo Hao Fernando Troeman	BPF604	Licensed Representative	1, 2, 7
TONG Chia Yin	BPJ750	Licensed Representative	1, 4, 9
TONG Sze Man	BPG563	Licensed Representative	1, 4
TOWNSEND Lucian	BPH561	Licensed Representative	1, 4, 9
UEBERSCHAER Ulf	BPI932	Licensed Representative	1, 4
VOON Ryan Yang Kai	BPI876	Licensed Representative	1, 2
WAN Haoyi	BOP609	Licensed Representative	1, 4, 9
WAN Li	BOZ747	Licensed Representative	1, 2, 4, 5, 6, 9
WANG Anqi	BPJ528	Licensed Representative	1, 4, 9
WANG Chengjie	BPI459	Licensed Representative	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Cheng-Wei	BPF603	Licensed Representative	1, 2
WANG Chuzhao	BPI409	Licensed Representative	1, 6
WANG Shenglian	BPI572	Licensed Representative	1, 4
WANG Ziming	BPJ797	Licensed Representative	1, 2, 7
WEI Mingqiu	BPH534	Licensed Representative	4, 5, 9
WEI Yihui	BPJ027	Licensed Representative	1, 2, 4
WEI Zhanyi	BPJ465	Licensed Representative	1, 6
WEN Yuxin	BPI575	Licensed Representative	1, 6
WIDJAJA Emerensia	BPF838	Licensed Representative	1, 4, 9
WINATA Nico	BPI516	Licensed Representative	1, 2, 7
WONG Ka Chun	BPI985	Licensed Representative	4
WONG Kai Hei	BPJ841	Licensed Representative	1, 2
WONG Wai Chi	BMR056	Licensed Representative	1, 4
WONG Yan Tik	BPK707	Licensed Representative	1, 2
WONG Yu Wo	BJW187	Licensed Representative	1, 4
WONG Yuen Ting	APH012	Licensed Representative	1, 2
WOOD Graham Douglas	AKT391	Licensed Representative	9
WU Charles	BPJ222	Licensed Representative	1, 4, 6
WU Dingding	BPJ766	Licensed Representative	1
WU Ping Hei Ronald	BPI141	Licensed Representative	4
WU Yuhe	BPJ248	Licensed Representative	4
XIAO Yuewei	BPJ152	Licensed Representative	1, 4, 9
XIE Chen	BPF588	Licensed Representative	4
XIE Juanyi	BPI415	Licensed Representative	1, 6
XU Shi	BPH690	Licensed Representative	4
XU Weiwei	BPI310	Licensed Representative	4
XU Ziyi	BPJ858	Licensed Representative	1, 4
XUAN Bangzheng	BPI852	Licensed Representative	4, 9
XUE Jiani	BPE502	Licensed Representative	6
YAN Ximin	BPG215	Licensed Representative	6
YANG Hank H W	BPJ234	Licensed Representative	1, 4
YANG Li-Hsiu	APC571	Licensed Representative	1
YANG Pu	BPI403	Licensed Representative	1, 6
YANG Shuchao	BPJ523	Licensed Representative	4, 9
YANG Shuyi	BIL572	Licensed Representative	3
YANG Yinnuo	BPJ813	Licensed Representative	4
YE Pan	BPG575	Licensed Representative	1, 2
YE Qianzhi	BPJ675	Licensed Representative	1, 6
YEUNG Ho Lam	BPI571	Licensed Representative	1, 2
YEUNG Man Hon	BPI929	Licensed Representative	1
YIN Hang	BPJ460	Licensed Representative	1, 2
YIP Chung Ming	BPI648	Licensed Representative	1, 2, 4
YIP Johnny Ka Chun	AXB565	Licensed Representative	1, 4
YOON Joon Yeong	BPG346	Licensed Representative	10
YUAN Chi	ATL424	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUEN Ho Lam Fedora	BMQ792	Licensed Representative	4, 9
YUEN Shan Han	BPJ794	Licensed Representative	4, 9
ZHANG Duo	BPJ118	Licensed Representative	1, 2, 4
ZHANG Han	BPG327	Licensed Representative	1, 4, 9
ZHANG Qinglin	BPI623	Licensed Representative	1, 4
ZHANG Wendi	BPF832	Licensed Representative	4, 6
ZHANG Xiaofeng	BPJ638	Licensed Representative	1, 4, 6
ZHANG XUAN	BPJ846	Licensed Representative	1, 4, 9
ZHANG Ylchi	BPI591	Licensed Representative	4
ZHANG Yong	ANT437	Licensed Representative	1, 4
ZHANG Yuanxia	BPJ120	Licensed Representative	2
ZHANG Zhiwei	AUW629	Licensed Representative	9
ZHANG Zhiyun	BPH521	Licensed Representative	1, 2
ZHAO Michael	BPI889	Licensed Representative	1, 4
ZHAO Tian	ANR067	Licensed Representative	1, 4
ZHAO Yujie	BPI672	Licensed Representative	6
ZHENG Lei	ATR472	Licensed Representative	4, 9
ZHENG Qin	ASP724	Licensed Representative	2
ZHOU Jian	BPI812	Licensed Representative	1, 4
ZHOU Yang	BPI188	Licensed Representative	1, 6
ZHU TIANAN	BPI565	Licensed Representative	1, 4
ZHU Yuan	BPG310	Licensed Representative	1, 2, 4
CHAN Pik Yin, Ivan	ACM640	Licensed Representative - Responsible Officer	1
CHAN Sing Ho, Joseph	AAI566	Licensed Representative - Responsible Officer	9
CHAN Wan Hei Pierre	BOT231	Licensed Representative - Responsible Officer	9
CHEUNG Keung Tak	ANU725	Licensed Representative - Responsible Officer	1, 2, 4, 9
CHING Ah Chye	AAG308	Licensed Representative - Responsible Officer	1
CHIU Chi Man	ABW341	Licensed Representative - Responsible Officer	1, 4
CHUNG Shiang Chang	BOU393	Licensed Representative - Responsible Officer	4, 9
COHAS Francois Jean Claude	ARP451	Licensed Representative - Responsible Officer	1
DAW Jason Wray Dominic	BPE546	Licensed Representative - Responsible Officer	4, 5
FAN Lei	BFN296	Licensed Representative - Responsible Officer	4, 9
FEI Peng	AWH287	Licensed Representative - Responsible Officer	4, 9
Fu Wan Hin	ASG129	Licensed Representative - Responsible Officer	1, 4, 9
KIM Minseok	BPE126	Licensed Representative - Responsible Officer	4, 9
KONDO Katsuyoshi	BPF341	Licensed Representative - Responsible Officer	1, 2, 4, 5
LANDES Bradley Dean	ACI712	Licensed Representative - Responsible Officer	1, 4
LAU Cheuk Lam, Chester	AQN200	Licensed Representative - Responsible Officer	1, 2
LAU Jacky Chun Ho	AUG743	Licensed Representative - Responsible Officer	1, 4
LIN Patrick C	BJV407	Licensed Representative - Responsible Officer	1
LIU Jie	BPB707	Licensed Representative - Responsible Officer	4, 9
LU Lingfei	BNJ768	Licensed Representative - Responsible Officer	1, 4, 9
NG Ka Pui, Gabriel	AZS372	Licensed Representative - Responsible Officer	1, 4, 9
PEH Chek Leng	APB335	Licensed Representative - Responsible Officer	9
SHU Kenichi	AEN523	Licensed Representative - Responsible Officer	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Xiaolin	BOZ587	Licensed Representative - Responsible Officer	9
TENG Wei	AMC295	Licensed Representative - Responsible Officer	4, 9
TSE Raymond	ABF543	Licensed Representative - Responsible Officer	1, 4, 9
WAN Yuk Ling	AGY915	Licensed Representative - Responsible Officer	1, 4, 9
WANG Chun-Way	BGX780	Licensed Representative - Responsible Officer	1, 4, 9
WANG Jun Ping	ADW638	Licensed Representative - Responsible Officer	4, 6
WANG Li-Jen	AVH868	Licensed Representative - Responsible Officer	4, 9
WONG Wai Tat Terence	BPJ055	Licensed Representative - Responsible Officer	4, 9
WU Sheung Mun, Sharon	AMR689	Licensed Representative - Responsible Officer	6
XIA Gang	BNC917	Licensed Representative - Responsible Officer	4, 9
XIE Huanyu	BOZ850	Licensed Representative - Responsible Officer	9
YIM Wai Pang Andrew	BOV362	Licensed Representative - Responsible Officer	4, 9
ZHAO Tian	BGW991	Licensed Representative - Responsible Officer	9
ZHOU Peng	AUS211	Licensed Representative - Responsible Officer	6
ACHAREKAR Priyanka Manish	BPK200	Licensed Representative (Provisional Licence)	1
AMMANN Yannick	BPK580	Licensed Representative (Provisional Licence)	1, 9
AOTO Seira	BPJ898	Licensed Representative (Provisional Licence)	9
AU Chun Ming	BPI880	Licensed Representative (Provisional Licence)	1, 2
BEACHAM Andrew	BPK357	Licensed Representative (Provisional Licence)	1, 4
BERNARD Vianney Gil Jean-Martin	BPJ629	Licensed Representative (Provisional Licence)	1, 4, 9
BLATTER Jerome	AZR991	Licensed Representative (Provisional Licence)	9
BURBIDGE Rupert William Strahan	BPK798	Licensed Representative (Provisional Licence)	1, 2
CARROLL Conor Joseph	BPG624	Licensed Representative (Provisional Licence)	1
CHAN Chun Yat	BPK434	Licensed Representative (Provisional Licence)	9
CHAN Hiu Lan	BPK249	Licensed Representative (Provisional Licence)	1, 4
CHAN Kam Hang	BEW493	Licensed Representative (Provisional Licence)	9
CHAN Kee Fung Aaron	BPK400	Licensed Representative (Provisional Licence)	1, 4
CHAN Sai Yiu	BEM926	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Shu Ching	BPK591	Licensed Representative (Provisional Licence)	4
CHAN Ting Hei	BPK999	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG Vincent	BPK234	Licensed Representative (Provisional Licence)	9
CHARDONNAY Guillaume Pierre Robert	BPK351	Licensed Representative (Provisional Licence)	1, 4
CHEN Celine	BPK019	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEN Geng	AOY114	Licensed Representative (Provisional Licence)	9
CHEN Liang	BPK472	Licensed Representative (Provisional Licence)	6
CHEN Zhiyuan	BGB379	Licensed Representative (Provisional Licence)	9
CHEUNG Hoi Ki	BPH626	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Man Chung	BPK018	Licensed Representative (Provisional Licence)	3
CHIU Ngai Han	BPL258	Licensed Representative (Provisional Licence)	4
CHIU Sai Kit	BKZ194	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHOI Kien Lun, Kevin	BLI507	Licensed Representative (Provisional Licence)	2
CHOI Tsz Lok	BPK495	Licensed Representative (Provisional Licence)	1, 4
CHOO Arthur Jung Han	BPK429	Licensed Representative (Provisional Licence)	9
CHOW Wai Chung	BPK422	Licensed Representative (Provisional Licence)	1, 2
CHU Chun-Chi	BPK449	Licensed Representative (Provisional Licence)	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
CLEVENOT Adrian Julien Charles	BPJ807	Licensed Representative (Provisional Licence)	4, 9
CORONES Georgia Kate	BDV251	Licensed Representative (Provisional Licence)	1, 2
CUI Sunfeiyue	BPG134	Licensed Representative (Provisional Licence)	1
CUI Yu	BPK725	Licensed Representative (Provisional Licence)	4
DAI Shuchang	BPK768	Licensed Representative (Provisional Licence)	4
DENG Yiqiu	BPJ279	Licensed Representative (Provisional Licence)	1
DING Jiaowan	BPJ942	Licensed Representative (Provisional Licence)	4
DING Yueli	BPK064	Licensed Representative (Provisional Licence)	4
FAN Jia	BEB083	Licensed Representative (Provisional Licence)	1, 2
FENG Tong	BBP739	Licensed Representative (Provisional Licence)	9
FITZGERALD Paul Gavin	AOB567	Licensed Representative (Provisional Licence)	1
FITZWILLIAMS Angharad Elizabeth Anne	AZT732	Licensed Representative (Provisional Licence)	9
FONG Kwun Wai, Matthew	ARS734	Licensed Representative (Provisional Licence)	1, 4, 9
FU Ruiming	BPK781	Licensed Representative (Provisional Licence)	1, 6
GANLEY Conor Francis	BPK066	Licensed Representative (Provisional Licence)	9
GIBSON Julia Lauren	BPK837	Licensed Representative (Provisional Licence)	1, 4, 9
GONG Rui	BLF289	Licensed Representative (Provisional Licence)	4, 9
GROVER Pranav	BPJ737	Licensed Representative (Provisional Licence)	4
GU Yujia	BGN078	Licensed Representative (Provisional Licence)	6
HAO Dapeng	BPK183	Licensed Representative (Provisional Licence)	1
HE Qian	BPJ307	Licensed Representative (Provisional Licence)	1
HE Xue	BPJ546	Licensed Representative (Provisional Licence)	2, 5
HE Yanzhe	BPD565	Licensed Representative (Provisional Licence)	1, 4, 6
HU Chenwen	BDL902	Licensed Representative (Provisional Licence)	10
HU Ke Hsin	BPK462	Licensed Representative (Provisional Licence)	1, 2, 7
HUANG Chih Yu	BPK563	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HUANG Chiyao	BPJ520	Licensed Representative (Provisional Licence)	1, 4
HUANG Kaiyao	BPJ462	Licensed Representative (Provisional Licence)	1
HUANG Li	BDE796	Licensed Representative (Provisional Licence)	1, 4
HUI Pak Kin	BNG010	Licensed Representative (Provisional Licence)	1, 2
HUI Yuk Tak	BPK439	Licensed Representative (Provisional Licence)	1, 2, 3, 4
HUNG Yung Yung	BPK594	Licensed Representative (Provisional Licence)	1, 2
IBRAHIM Samuel	BPJ810	Licensed Representative (Provisional Licence)	1, 4, 9
INGRAM Bruce Richard	BBP717	Licensed Representative (Provisional Licence)	4
IULIANO Domenico	BPK086	Licensed Representative (Provisional Licence)	9
JIN Juexin	BPK421	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Yue	BPK289	Licensed Representative (Provisional Licence)	1, 6
JOCK Kevin Andrew	BPK387	Licensed Representative (Provisional Licence)	3
KE Chiyuan	BPL209	Licensed Representative (Provisional Licence)	1, 4
KONG Man Ki	AOE692	Licensed Representative (Provisional Licence)	1
KONG Tin Chun	BOF311	Licensed Representative (Provisional Licence)	4
KWAN Kevin	BPK524	Licensed Representative (Provisional Licence)	1, 4
KWAN Ming Tak, Milton	BHB478	Licensed Representative (Provisional Licence)	9
KWOK Chak Lam	BPJ593	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Kai Pong	BPK288	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Tin Yeung, Edward	BPK883	Licensed Representative (Provisional Licence)	1
LAM Wai Kei	BGD647	Licensed Representative (Provisional Licence)	9
LAN Yilan	BPK293	Licensed Representative (Provisional Licence)	1, 6
LAU Ka Po, Jasmine	BGH952	Licensed Representative (Provisional Licence)	1, 2, 4
LAU King Yu	BPJ466	Licensed Representative (Provisional Licence)	3
LAU Nga Ping Kennis	BPK865	Licensed Representative (Provisional Licence)	4
LAU Wai Ho	BIJ840	Licensed Representative (Provisional Licence)	1, 6
LEE Chun Yuen	BPG135	Licensed Representative (Provisional Licence)	1
LEE Sing Yu	BPK686	Licensed Representative (Provisional Licence)	4, 9
LEUNG Kenneth Po Hin	BPK709	Licensed Representative (Provisional Licence)	1, 6
LEUNG Mei Wai, Janice	BPK023	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Wai Choi	BBT681	Licensed Representative (Provisional Licence)	9
LI Da	AQE527	Licensed Representative (Provisional Licence)	9
LI Him Tai	BPK445	Licensed Representative (Provisional Licence)	1
LI Huikai	BPK500	Licensed Representative (Provisional Licence)	1, 2, 4
LI Jiayu	BPK716	Licensed Representative (Provisional Licence)	1, 9
LI Siu Yui	AEI201	Licensed Representative (Provisional Licence)	1, 4, 9
LIEN Eugene	ANT917	Licensed Representative (Provisional Licence)	9
LIU Ting	BOL066	Licensed Representative (Provisional Licence)	1, 4
LIU Wenqi	BPK424	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yirong	BPK717	Licensed Representative (Provisional Licence)	4, 9
LIU Yusi	BPJ516	Licensed Representative (Provisional Licence)	4
LO Chia-Chen	ARG670	Licensed Representative (Provisional Licence)	1
LO Hiu Yeung	AVD958	Licensed Representative (Provisional Licence)	1
LU Ye	BPJ875	Licensed Representative (Provisional Licence)	1, 4, 9
LUAN Qian	BPK584	Licensed Representative (Provisional Licence)	1, 4
LUI Cheuk Fung	BGP038	Licensed Representative (Provisional Licence)	4, 9
LUK Kin Long, Canon	BPJ494	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MA Rong	BPJ506	Licensed Representative (Provisional Licence)	4
MENG Chao	BPK059	Licensed Representative (Provisional Licence)	1, 4, 6
MITTELBACH Jacqueline Christine	BPK823	Licensed Representative (Provisional Licence)	1
MOK Hoi Ki, Ricky	ASJ715	Licensed Representative (Provisional Licence)	1, 4
MUDIGDO Andre Prasetya	BPK024	Licensed Representative (Provisional Licence)	1, 4, 9
NATHIKANCHANALAB Arthip	BPJ627	Licensed Representative (Provisional Licence)	1, 4
NG Chee Khoon	AXV873	Licensed Representative (Provisional Licence)	1, 4
NG Long Sang	BPK327	Licensed Representative (Provisional Licence)	2, 5
NG Thomas Sai Kidd	BPK383	Licensed Representative (Provisional Licence)	1
NG Tsz Lok	BPK465	Licensed Representative (Provisional Licence)	1
OKANO Ryuta	AWV082	Licensed Representative (Provisional Licence)	1, 2, 7
OURA Tetsuo	BPJ544	Licensed Representative (Provisional Licence)	1, 4, 6
PANG Bang	BPI693	Licensed Representative (Provisional Licence)	1, 6
PANG Kar Ho Albert	BJW188	Licensed Representative (Provisional Licence)	4, 9
PARK Soyup	BOI977	Licensed Representative (Provisional Licence)	1, 2
PENET Sebastien Jean Marcel	BPK342	Licensed Representative (Provisional Licence)	9
PINCKERS Mark Lene	BPJ309	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
PONS Guillaume	BIH843	Licensed Representative (Provisional Licence)	9
POON Cheuk Wing Janet	BPK657	Licensed Representative (Provisional Licence)	9
POON Yin Ling	ALQ658	Licensed Representative (Provisional Licence)	9
PUN Man Fung	BPK757	Licensed Representative (Provisional Licence)	1, 4
QIAN Chenyue	BPK319	Licensed Representative (Provisional Licence)	1, 6
QIAN Hongyu	BPK287	Licensed Representative (Provisional Licence)	1, 6
QIN Zhiyi	BPG629	Licensed Representative (Provisional Licence)	9
QIU Sha	BPK296	Licensed Representative (Provisional Licence)	1, 6
RANKIN Stuart James	BPJ630	Licensed Representative (Provisional Licence)	1, 4, 9
RAO Chenan	BPJ491	Licensed Representative (Provisional Licence)	6
RATTANATAM Thewinta	BPK515	Licensed Representative (Provisional Licence)	1
REN Haoyao	BPL061	Licensed Representative (Provisional Licence)	1, 4, 9
REN Tianjun	BPJ275	Licensed Representative (Provisional Licence)	1, 6
RICCELLI Fabio	BPI506	Licensed Representative (Provisional Licence)	1
SAWAI Ankit Kumar	AUV595	Licensed Representative (Provisional Licence)	1
SHAM Marco Bak Ou	BPK329	Licensed Representative (Provisional Licence)	1, 2, 4
SHEFFORD Blake Anthony	BPJ842	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Dehua	AMU085	Licensed Representative (Provisional Licence)	6
SHI Hanzhou	BPK470	Licensed Representative (Provisional Licence)	1, 2, 9
SHI LIU	BPK532	Licensed Representative (Provisional Licence)	1, 4
SHUM Pak Wai Prudence	BPK529	Licensed Representative (Provisional Licence)	6
SHUM Wai Chun	AHJ589	Licensed Representative (Provisional Licence)	1, 4, 9
SINGH Mohit	BPK481	Licensed Representative (Provisional Licence)	1, 2, 4, 6
SIU Yeung	BAV317	Licensed Representative (Provisional Licence)	1, 4
SNOEK Dirk Willem	BPJ308	Licensed Representative (Provisional Licence)	1, 2
SO Lai Shing	BPK384	Licensed Representative (Provisional Licence)	1
SONG Yan	BPK486	Licensed Representative (Provisional Licence)	1
SORENSEN Troy Michael	BPK127	Licensed Representative (Provisional Licence)	1, 4
STADDON Thomas William	BPK036	Licensed Representative (Provisional Licence)	1
STERNBY Bjoern Petter Waldemar	AWS041	Licensed Representative (Provisional Licence)	6
SUGIYAMA Yoshinobu	BPH536	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Xiaolu	AYT327	Licensed Representative (Provisional Licence)	1
SUZUKI Misato	BOG810	Licensed Representative (Provisional Licence)	1
SZYMANSKI Dylan	ARJ017	Licensed Representative (Provisional Licence)	9
TAM Ho Yan	BMB205	Licensed Representative (Provisional Licence)	1, 2, 9
TAM Kin Kei	AZW907	Licensed Representative (Provisional Licence)	1, 2
TAM Raymond Hin Tat	ADT748	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Yibing	BPG156	Licensed Representative (Provisional Licence)	1, 2, 7
TANG Xinlu	BPK236	Licensed Representative (Provisional Licence)	1, 4
TENG AN-CHI	BPK595	Licensed Representative (Provisional Licence)	1, 4, 9
THU Zuo Hao Fernando Troeman	BPF604	Licensed Representative (Provisional Licence)	1, 2, 7
TIAN Lei	BPK390	Licensed Representative (Provisional Licence)	1, 4
TING Chak Ki	BPK137	Licensed Representative (Provisional Licence)	1, 4
TSANG Chiu King	BPJ478	Licensed Representative (Provisional Licence)	9
TSE Ka Chun	BAD807	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Siu Hung	BPJ490	Licensed Representative (Provisional Licence)	1, 6
VINCENT Michael Charles	BOE529	Licensed Representative (Provisional Licence)	1
WANG Cheng-Wei	BPF603	Licensed Representative (Provisional Licence)	1, 2
WANG SHANQIONG	BPK380	Licensed Representative (Provisional Licence)	1
WAT Pui Lam	BPK265	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Yihui	BPJ027	Licensed Representative (Provisional Licence)	1, 2, 4
WILLIS Benjamin Adam	BEV552	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WINATA Nico	BPI516	Licensed Representative (Provisional Licence)	1, 2, 7
WON Sang Hoon	AOB339	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Charles Yau Kung	AUN422	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Chin Lun	BIQ867	Licensed Representative (Provisional Licence)	1, 2
WONG Hop On	BPK710	Licensed Representative (Provisional Licence)	1, 2
WONG Kim Sing	ALF360	Licensed Representative (Provisional Licence)	1
WONG Wei Man	ANR767	Licensed Representative (Provisional Licence)	1, 2
WONG Yu Wo	BJW187	Licensed Representative (Provisional Licence)	1, 4
WOO Eric Ka Ho	BPK884	Licensed Representative (Provisional Licence)	1, 6
WU Di	BPK499	Licensed Representative (Provisional Licence)	1, 4
WU Di	BPK521	Licensed Representative (Provisional Licence)	1, 4
WU Ming Hung	BKL305	Licensed Representative (Provisional Licence)	1, 2
WU Ming Yan	BHK413	Licensed Representative (Provisional Licence)	9
WU Yuyan	BPK436	Licensed Representative (Provisional Licence)	4, 9
XIE Yingying	BPK575	Licensed Representative (Provisional Licence)	1, 4, 9
XING Mengwei	BFR807	Licensed Representative (Provisional Licence)	4, 9
XU Yi	BPI136	Licensed Representative (Provisional Licence)	1, 4, 6
XU Yingda	BPJ808	Licensed Representative (Provisional Licence)	9
XU Ziyi	BPJ858	Licensed Representative (Provisional Licence)	1, 4
YAN Ke	BPJ799	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Shuyi	BIL572	Licensed Representative (Provisional Licence)	3
YANG Xiaoxi	BLU778	Licensed Representative (Provisional Licence)	1, 2, 4, 9
YASUOKA Nippe	BAH382	Licensed Representative (Provisional Licence)	1, 2
YAU Choi Kan	BPH580	Licensed Representative (Provisional Licence)	1, 2
YEUNG Kit Yu	BPK525	Licensed Representative (Provisional Licence)	1, 2
YEUNG Pui Tak	AJI792	Licensed Representative (Provisional Licence)	1, 2, 4
YIM Vicki Wing Kiu	BPK708	Licensed Representative (Provisional Licence)	1
YIN Hang	BPJ460	Licensed Representative (Provisional Licence)	1, 2
YIP Siu Kee	ADT749	Licensed Representative (Provisional Licence)	1, 4, 9
YU Jingwen	BPK197	Licensed Representative (Provisional Licence)	1, 6
YUAN Zhenting	BPK767	Licensed Representative (Provisional Licence)	4, 9
YUNG Yi Tak	BPL011	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG Luhai	AQQ661	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAI Seth Shi	BGR297	Licensed Representative (Provisional Licence)	9
ZHANG HAN	BPJ938	Licensed Representative (Provisional Licence)	4
ZHANG Hao	BPK074	Licensed Representative (Provisional Licence)	1
ZHANG Haobin	BPJ748	Licensed Representative (Provisional Licence)	4, 9
ZHANG Jiqiang	AMB145	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Qi	BPK199	Licensed Representative (Provisional Licence)	9
ZHANG Yong	ANT437	Licensed Representative (Provisional Licence)	1, 4
ZHENG Xiaolin	BPK283	Licensed Representative (Provisional Licence)	1
ZHONG Shaohui	BPJ803	Licensed Representative (Provisional Licence)	1
ZHOU Suyang	BPK286	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yang	BPJ140	Licensed Representative (Provisional Licence)	2
ZHU Chang	BPJ700	Licensed Representative (Provisional Licence)	1
ZHU Honghao	BPK542	Licensed Representative (Provisional Licence)	1, 6
ZHU Jiamin	BPK324	Licensed Representative (Provisional Licence)	1, 6
CHAN Ling Tung, Kristy	BFT513	Licensed Representative (Temporary Licence)	1
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
HOLICK Jonathan Christopher	BIM661	Licensed Representative (Temporary Licence)	1, 4
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
LINDSEY Hugh William Giffard	BPK153	Licensed Representative (Temporary Licence)	1
MORGAN Daniel Gregory	AVH378	Licensed Representative (Temporary Licence)	4
NISHINO Takaaki	AZQ786	Licensed Representative (Temporary Licence)	1, 4
NORDENBERG John Stefan Andreas	BPE235	Licensed Representative (Temporary Licence)	1, 4
REDDY Sriram Keesara	AZT312	Licensed Representative (Temporary Licence)	1
SEE Kwang Yew	BPA587	Licensed Representative (Temporary Licence)	1, 4
SHOOKUS Jeffrey David	BFA606	Licensed Representative (Temporary Licence)	1
TAN Wei Ning Rachael	BIE894	Licensed Representative (Temporary Licence)	4
TAY Yow Ken	BPJ731	Licensed Representative (Temporary Licence)	4
TECSON Sarah Ann Javinez	BOT986	Licensed Representative (Temporary Licence)	1
TECSON STANIEL JAVINEZ	BOR080	Licensed Representative (Temporary Licence)	1
TEGUH Erwan	BNU858	Licensed Representative (Temporary Licence)	4
TEVES Joni Mae	BFA936	Licensed Representative (Temporary Licence)	4
WEBSTER Scott James	BPJ809	Licensed Representative (Temporary Licence)	1
XIONG Chenghui	BPK020	Licensed Representative (Temporary Licence)	4
YIU Wong Ki	AYW262	Licensed Representative (Temporary Licence)	4