

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
Altive Limited	BPK587	Licensed Corporation	4, 9
Amasse Asset Management Limited	BOI290	Licensed Corporation	4, 9
Ascentium Group Limited	BPL883	Licensed Corporation	1
Direction Capital Limited	BMW729	Licensed Corporation	4, 9
Eddid Capital Limited	BOZ865	Licensed Corporation	1, 6
Great Ample Asset Management Company Limited	BPE657	Licensed Corporation	4, 9
Kietex Capital Limited	BPH785	Licensed Corporation	4, 9
Mission Capital Management Limited	BPK428	Licensed Corporation	4, 9
Ocean Cedar Asset Management Company Limited	BPB294	Licensed Corporation	4, 9
Redient Capital HK Limited	BOX013	Licensed Corporation	4, 9
Yunrui Asset Management Limited	BPF567	Licensed Corporation	4, 9
AU-YEUNG Wing Sum	BDU814	Licensed Representative	6
BANA Aashvi	BPK829	Licensed Representative	1, 2
BENJELLOUN Abdelouahed	BPP034	Licensed Representative	1, 2, 7
BI Wei	ATC372	Licensed Representative	9
BIAN Ruiii	BPO548	Licensed Representative	4
BROWNE Daniel Joseph	AVC916	Licensed Representative	1
CAI Baohui	BPN369	Licensed Representative	4
CHAN Cho Man	BPM940	Licensed Representative	1
CHAN Jeffrey Siu Yuen	BPN233	Licensed Representative	1, 6
CHAN Kar Kei Calvin	BPP012	Licensed Representative	1, 6
CHAN Kin On	BMV939	Licensed Representative	1, 4
CHAN Siu Man	BPM586	Licensed Representative	1, 4
CHAN TSANG MO	BMP705	Licensed Representative	4, 9
CHAN Tsui Wa	BGN070	Licensed Representative	1, 4
CHAN Wing Kwong, Nicholas	ACC181	Licensed Representative	1, 4, 9
CHAN Yat Fu, Edward	BGH405	Licensed Representative	1, 2
CHANG Chun Chieh	BPO661	Licensed Representative	1, 4, 9
CHANG Hsiao Hsin	BPL974	Licensed Representative	1, 9
CHAU Hoi Ting	BJI240	Licensed Representative	4, 9
CHEN Han	BPL438	Licensed Representative	4, 9
CHEN Hei Hung, William	BPM729	Licensed Representative	6
CHEN Moxin	BPK869	Licensed Representative	9
CHEN Sheng	BPL918	Licensed Representative	1, 6
CHEN Xi	BFZ506	Licensed Representative	1, 4
CHEN Yuxiao	BPM781	Licensed Representative	4, 9
CHEN Ziyao	BPJ838	Licensed Representative	1, 4, 6
CHENG Chi Hong	BPM589	Licensed Representative	1, 4
CHENG Chia-Yi	BPK718	Licensed Representative	4
CHENG Ming Chun	BEU986	Licensed Representative	9
CHENG Tsz Ming Solomon	BPN580	Licensed Representative	1, 2
CHENG Xinxing	BPQ163	Licensed Representative	4
CHEUNG Chun Tung Tony	BPM885	Licensed Representative	1, 4
CHEUNG Kam Fong	ADY085	Licensed Representative	1, 4, 9
CHEUNG Lik Ping	AFJ470	Licensed Representative	4
CHEUNG Lok Tung Phoebe	BPJ909	Licensed Representative	1
CHO SZE KWOK	BPM369	Licensed Representative	9
CHOI Terese	BPN261	Licensed Representative	1, 4
CHOW Tin Pui	BPL647	Licensed Representative	1, 6
CHU Ho Yuen, Matthias	BPM075	Licensed Representative	9
CHUA Ryan Yih Ting	APH802	Licensed Representative	9
CHUNG Sung-min	AVS891	Licensed Representative	1, 6
CORRIGAN Marcus Lee	BPN740	Licensed Representative	1
CUI Can	BPM918	Licensed Representative	1, 6
CUMMINGS Nneka A Chike-obi	BPN593	Licensed Representative	10

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
DAI Fangqi	BPP059	Licensed Representative	4
DENG Chao	BPN224	Licensed Representative	9
DENG Simiao	BPL805	Licensed Representative	9
DING Hanying	BPM849	Licensed Representative	4, 9
DONG Yunyu	BPN234	Licensed Representative	1, 4, 9
DONOVAN Sean Patrick	BPM569	Licensed Representative	4
DWIVEDI Prashant	BPN735	Licensed Representative	1, 4
ENGMANN Matthew David George	BPN565	Licensed Representative	1
FAN CHUN YIN	BPN274	Licensed Representative	1
FAN Yiwon	BPN733	Licensed Representative	6
FARHAT Sarah	BPM811	Licensed Representative	1, 2
FENG Chaofeng	BPM951	Licensed Representative	1, 2
FENG Jingyan	BPM186	Licensed Representative	1, 6
FOK Kin Man	AQQ313	Licensed Representative	1, 4
FOK Wai Hung	BJL159	Licensed Representative	4, 9
FONG Anthony Chek Hien	BPN271	Licensed Representative	1, 2, 9
FUNG Kin Wing	BPL468	Licensed Representative	1, 4
GAO Yingjie	BPM234	Licensed Representative	1, 2, 4, 5, 9
GILMAN Lisa	BPN232	Licensed Representative	1, 2, 4, 5, 7
GRAVANIS Anthony	ALW210	Licensed Representative	9
GUO Min	BPB539	Licensed Representative	4
GUO Xinyun	BPK830	Licensed Representative	4, 9
HAGIWARA Kenichi	BKF268	Licensed Representative	9
HE Jiaozhi	BPN704	Licensed Representative	1, 4, 6
HONG Alfred	BCF952	Licensed Representative	1, 4, 9
HU Xing	BGE917	Licensed Representative	9
HUANG Han	BPN734	Licensed Representative	1, 4
HUANG Longwei	BPM927	Licensed Representative	1, 6
HUANG Shaodong	BNW283	Licensed Representative	1, 4
HUANG Weiwei	BPM771	Licensed Representative	1
HUANG Xiaoxi	BPN094	Licensed Representative	4
HUANG Yanzhuo	BJR435	Licensed Representative	1, 6
HUANG Ying	BPN577	Licensed Representative	1, 2, 9
HUI Chi Kong	BPM436	Licensed Representative	1, 4
HUI Wai Pong	AMM423	Licensed Representative	1, 4
HUNG Chia-Chun	BPL829	Licensed Representative	4
JI Wenxiu	BPM773	Licensed Representative	1
JI Xinyu	BPN159	Licensed Representative	4
JIM Kai Chung	BPL064	Licensed Representative	1, 4
JIN Fengyi	BPN524	Licensed Representative	1, 6
JOFFE David Richard	BPO495	Licensed Representative	1, 2
KAM Paul Tak Ming	BPB405	Licensed Representative	1, 4, 9
KANG Yu Chia	BPM785	Licensed Representative	6
KANNO Kunihiro	AUW980	Licensed Representative	9
KIM Minji	BPP291	Licensed Representative	1, 2
KNOWD Graeme David	APJ205	Licensed Representative	10
KO Hyun Woo	BJR302	Licensed Representative	1
KONG Xiangyun	BPO550	Licensed Representative	6
KUI Hon See	BPN239	Licensed Representative	1
KWOK Fung Kiu	BPM164	Licensed Representative	2, 5
KWOK Tsz Hin	BPM583	Licensed Representative	4, 5, 9
LAI Chin Pang	BAE060	Licensed Representative	1, 4, 9
LAI Fai Fai	BPN921	Licensed Representative	1
LAM Kin Wing Mitchell	BMX105	Licensed Representative	1, 4, 9
LAM Ming Wai	BPN254	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Sze Hang Agnes	BEN081	Licensed Representative	1, 4, 9
LAM Tsz Cheung	AGF836	Licensed Representative	4, 6, 9
LASJUNIES Aurelien Matthieu	BFS899	Licensed Representative	1, 4
LAU Kwai Chun	BPO605	Licensed Representative	4, 9
LAU Tony Yik Tung	ARM315	Licensed Representative	1, 4
LAW Ngai Fung	BPN366	Licensed Representative	1, 4
LAW Sze Chung	BPL908	Licensed Representative	9
LAW Tsz Yan	BPM584	Licensed Representative	1, 2
LAW Tung Keung	BPO593	Licensed Representative	9
LAW Yuen Ting	BPN581	Licensed Representative	1
LEE Ching Hang	APF457	Licensed Representative	1, 4
LEE Kin Man, Desmond	AFK515	Licensed Representative	9
LEE Pei-Ching	BPL909	Licensed Representative	1, 9
LEE Shon Kee, Kenneth	ARI072	Licensed Representative	1
LEE Shu Ting	BPL991	Licensed Representative	1, 4, 9
LEUNG Hiu Fung, Steven	BDN057	Licensed Representative	9
LEUNG Ka Chun	BPL750	Licensed Representative	2
LEUNG King Chun Horace	BPM231	Licensed Representative	1, 4, 9
LEUNG Yuk Lun	BDC810	Licensed Representative	4
LI Bin	BPN269	Licensed Representative	4
LI Cheuk Yin, Clive	BAJ284	Licensed Representative	1, 2
LI Linhai	BPK753	Licensed Representative	4
LI Tim Yan Lilian	BPN243	Licensed Representative	1
LI Tsz Hei	BPM372	Licensed Representative	1, 4
LI Yaxin	BPM808	Licensed Representative	1, 6
LI Yi	BPM007	Licensed Representative	1, 4
LI Yunwei	BPO588	Licensed Representative	1, 4, 9
LI Zhen	BPN223	Licensed Representative	1, 4
LIAN Katherine Qing	BPS183	Licensed Representative	1, 4, 9
LIANG Jie	BPB357	Licensed Representative	1, 2
LIANG Sheng	BPN681	Licensed Representative	1, 2
LIM Yew Keng Benjamin	BPK437	Licensed Representative	1
LIN Jinyi	BPN379	Licensed Representative	1, 4, 9
LIN Kai Shing, Jeff	BAF826	Licensed Representative	1, 4, 9
LIU Chao	AZH606	Licensed Representative	1, 4, 9
LIU Chenyu	BMR269	Licensed Representative	1
LIU Hanbing	BPM949	Licensed Representative	4, 9
LIU Hongpeng	BPN150	Licensed Representative	1, 6
LIU Hsiang-Wen	AHG443	Licensed Representative	1, 4, 9
LIU Huashi	BPQ331	Licensed Representative	1, 2, 4
LIU Ka Yi	BPO718	Licensed Representative	3
LIU Ting	BOL066	Licensed Representative	1, 4
LIU Xiaoyu	BPL890	Licensed Representative	1, 4, 9
LIU Yanlianzhi	BPK738	Licensed Representative	1, 4, 9
LIU Yu	BPM217	Licensed Representative	4
LO King San	BHT733	Licensed Representative	4, 9
LU Tsz Hin, David	BPM954	Licensed Representative	4, 9
LU Yanjie	BNY382	Licensed Representative	1, 4, 9
LUK Colvin	BPQ276	Licensed Representative	1, 4, 6
LUNG Tsan Yu	BPO804	Licensed Representative	1, 2
LUO Zhaohui	BPL738	Licensed Representative	4, 9
MA Mingyang	BPO557	Licensed Representative	1, 2
MA Siqi	AVS796	Licensed Representative	6
MANI Praveen Ayyappa	AUV603	Licensed Representative	9
MARTIRANI Massimiliano	BEV149	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
MCCONNELL David Patrick Anthony	ACT680	Licensed Representative	1
MECHOUK Mehdi	BPQ521	Licensed Representative	1, 2
MILLS Jesuran Samuel Manickanayagam	BPO549	Licensed Representative	1, 6
MIVELAZ Gregoire Bernard	ASD683	Licensed Representative	1
MIYATA Hiroshi	BPM775	Licensed Representative	4, 9
MORRISON Thomas James	AZT479	Licensed Representative	1, 2
MOUDOUTE EBOUMBOU Frantz	AXH217	Licensed Representative	9
MUI Hok Hin	BDF758	Licensed Representative	4, 9
NG Ho Hin	BPQ182	Licensed Representative	1, 4, 6
NG Keng Luen	BAH967	Licensed Representative	4
NG Tow Kwong	BPN275	Licensed Representative	4, 9
NG Wai Bun, Reuben	ACJ672	Licensed Representative	1
NG Yeuk Hon	BPN514	Licensed Representative	1, 4, 6
NG Yin Lam	AGK085	Licensed Representative	1, 4
O'SULLIVAN Ian James	AAX447	Licensed Representative	9
OUYANG Dengyan	BPN030	Licensed Representative	1, 4, 9
PANG Richard Chig Kwong	AMS053	Licensed Representative	1, 2
PARK Chaeyoung	BPM782	Licensed Representative	1, 4
PARK Han Jun	BPN178	Licensed Representative	1, 4
PARK Soo Bin	BPM359	Licensed Representative	4
PENG Xia	BPM757	Licensed Representative	4
POON Wing Ho	BEY781	Licensed Representative	10
QIU Hanxuan	BPN270	Licensed Representative	4
REN Peilin	BPM524	Licensed Representative	1, 4, 9
REN Tingting	BPM216	Licensed Representative	1, 4
RICHDALÉ Kate Gaskell	AFZ874	Licensed Representative	1, 4
ROBERSON Keri Anna	BPM469	Licensed Representative	1, 2
RYU Tantan	AQQ257	Licensed Representative	1
SCHLOEGEL Fabrice Robert Marius Claude	BPO546	Licensed Representative	4
SHEN Juan	BPN843	Licensed Representative	4
SHI David	BPL904	Licensed Representative	1, 6
SHIU Lap Kei	AOI979	Licensed Representative	1, 4, 9
SHU Wenqing	BPN632	Licensed Representative	1, 4, 6
SIMONS Warwick Dean	AWT996	Licensed Representative	9
SIT Chi Kan	AGH561	Licensed Representative	4
SO Benjamin Chun Fung	BPN561	Licensed Representative	1, 2
SO Tsz To	BCZ064	Licensed Representative	1, 4
SUN Congshi	BPQ381	Licensed Representative	4, 9
TAM Chor Kiu	AFE731	Licensed Representative	1
TAM KAM FAI	BPN435	Licensed Representative	2
TAM Kwok Fung	BPO998	Licensed Representative	4, 9
TAN De Cheng	BPO625	Licensed Representative	3
TANG Huan	BPO604	Licensed Representative	4
TANG Yick Shun	BPM248	Licensed Representative	1
TANG Yuen Ying	BPK352	Licensed Representative	9
TANG Yuk Sang	ASO687	Licensed Representative	1, 4, 9
TAO Wen	BPM184	Licensed Representative	1, 2, 3
TO Benjamin Ngai Mun	BPN335	Licensed Representative	1
TONG Rachel	AEH156	Licensed Representative	4, 9
TSANG Man Shan, Isabella	ABQ288	Licensed Representative	1, 4
TSE Sing Lun Howard	BPO921	Licensed Representative	4, 5
TSE Tze Kwan, Nicole	BPM754	Licensed Representative	6
TSOI Chun Hin	BPP105	Licensed Representative	1
UBERTO Theophile	BPN066	Licensed Representative	1
VAISHAMPAYAN Ashlesha Himanshu	BPL286	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Chao	ATO947	Licensed Representative	9
WANG Cindy Tzu Yu	BIC109	Licensed Representative	1, 2
WANG Fei	BPL973	Licensed Representative	1, 4, 6
WANG Haowei	BMR381	Licensed Representative	1, 4
WANG Haoyu	BPM948	Licensed Representative	4, 9
WANG Jiayang	BPN336	Licensed Representative	3
WANG Jing	BPL596	Licensed Representative	1, 4, 9
WANG Jingyuan	BPM730	Licensed Representative	1
WANG Jundi	BLS790	Licensed Representative	1, 2, 4
WANG Ruguo	BPM686	Licensed Representative	1, 6
WANG Shiqing	BPO888	Licensed Representative	1, 6
WANG Siyu	BPM165	Licensed Representative	1, 6
WANG Ta-Yu	BPN502	Licensed Representative	1, 2, 9
WANG Weiyang	AUK275	Licensed Representative	4
WANG Zhe	BPP008	Licensed Representative	1, 6
WANG ZHONG	BPN597	Licensed Representative	1, 4
WANG Zonghao	BPL905	Licensed Representative	1, 4
WEI Ou	BPO672	Licensed Representative	1, 6
WEN Chan-chun	BPM734	Licensed Representative	1, 4
WONG Cheuk Lam	ASX897	Licensed Representative	4, 9
WONG Ka Kwan	BPP109	Licensed Representative	1
WONG Ka Leung	BPM822	Licensed Representative	1
WONG Kai Yuen	BPN374	Licensed Representative	1
WONG Kin Chuen	BKA550	Licensed Representative	1, 4
WONG Kin Ming	ACS342	Licensed Representative	3
WONG Pui Yee	BPL915	Licensed Representative	9
WONG Shun Ming	BLX189	Licensed Representative	1
WONG Siu Man	BPL956	Licensed Representative	1, 2
WONG Yick	BDP475	Licensed Representative	1, 2, 4
WU Jiaqing	BPQ162	Licensed Representative	4
WU Miaomiao	BPN758	Licensed Representative	1, 4, 9
WUN Wai Yee	BPM869	Licensed Representative	1
XIA Wei	bfd770	Licensed Representative	1, 2
XIA Zihong	BPM152	Licensed Representative	6
XIE Jia Yue	AMU495	Licensed Representative	1, 2, 4, 9
XU Jiaman	BPM099	Licensed Representative	6
XU Jianhong	BPN300	Licensed Representative	1, 4, 6
XU Zhiwei	BPM799	Licensed Representative	1
YANG Huaibin	BNR146	Licensed Representative	1, 4
YANG Xudong	BAW694	Licensed Representative	4, 9
YANG Yadu	BPN266	Licensed Representative	1, 6
YAO Xiangchen	BPN852	Licensed Representative	4
YAO Xue	AWK470	Licensed Representative	1, 4
YAU Chun Wing	BPM780	Licensed Representative	2
YAU Man Wing	ATY275	Licensed Representative	1, 2
YE Fang	BLN946	Licensed Representative	1, 4, 6
YING Chongxi	BDL301	Licensed Representative	4
YU Zheng	BPN334	Licensed Representative	1, 4, 9
YU Hoi On	AOM779	Licensed Representative	9
YUEN Si Tsing	BPN308	Licensed Representative	1
YUN Jing	BPN086	Licensed Representative	4
YUN Jung Yoo	BPN532	Licensed Representative	9
YUNG Man Ching	BFP330	Licensed Representative	1, 4
ZENG Siyang	BPP117	Licensed Representative	4
ZHANG Mengxi	BPN589	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Shida	BPO914	Licensed Representative	9
ZHANG Xukun	BOA129	Licensed Representative	1, 4, 6
ZHANG Yilin	BNP263	Licensed Representative	1, 6
ZHANG Zhixin	BPO659	Licensed Representative	6
ZHAO Daihong	ALZ348	Licensed Representative	1, 4, 6
ZHENG Jialiang	AZB711	Licensed Representative	9
ZHENG Jiashou	BOL158	Licensed Representative	1
ZHOU Gengyun	BPL714	Licensed Representative	4
ZHOU Pei	BPM792	Licensed Representative	6
ZHOU Yulong	BPB475	Licensed Representative	1, 4
ZHOU Yuting	BPN759	Licensed Representative	4, 6
ZHU Maxim Muzi	BPO917	Licensed Representative	9
BAI Yang	BDQ057	Licensed Representative - Responsible Officer	9
BROWNE Luke Richard Tyrrell	BLY989	Licensed Representative - Responsible Officer	4, 5, 9
CHAN Chun Luen	AHC381	Licensed Representative - Responsible Officer	4, 9
CHAN Shu Kai	AAJ626	Licensed Representative - Responsible Officer	1, 4, 9
CHOI Kwan Ho	BPL894	Licensed Representative - Responsible Officer	1
FAN Kei Shun, Lester	AGT998	Licensed Representative - Responsible Officer	4, 9
FENG Shuyan	BOX222	Licensed Representative - Responsible Officer	4, 9
GUO Yi Xi	AFB192	Licensed Representative - Responsible Officer	4, 9
JACKMAN John Paul	BFX755	Licensed Representative - Responsible Officer	9
JIN Ming	AYI109	Licensed Representative - Responsible Officer	4, 9
JONES Andrew Mark	BDO007	Licensed Representative - Responsible Officer	1, 6
KAN Yiu Kwong	BDC075	Licensed Representative - Responsible Officer	2, 5
KOBAYASHI Masanori	BOG617	Licensed Representative - Responsible Officer	1, 4, 9
LEE Hoi Yan	AJL855	Licensed Representative - Responsible Officer	1
LI Shan	BOJ361	Licensed Representative - Responsible Officer	4, 9
LIU Chin Pang	BDN242	Licensed Representative - Responsible Officer	4, 9
LIU Xiaodong	BPC299	Licensed Representative - Responsible Officer	9
LIU Yiyang	BPK314	Licensed Representative - Responsible Officer	1, 4, 9
NEWMAN Neil Lionel	ABF170	Licensed Representative - Responsible Officer	9
NING An	BMW180	Licensed Representative - Responsible Officer	1, 4, 9
SATO Hiromi	ANS087	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6
SHEEHAN Paul	AFE190	Licensed Representative - Responsible Officer	9
THORN Peter John	ACR444	Licensed Representative - Responsible Officer	9
WONG Doris Yuk Ying	ADA301	Licensed Representative - Responsible Officer	4, 9
WONG Ho Yin	AXZ546	Licensed Representative - Responsible Officer	4, 9
WONG Ka Bong	BPK782	Licensed Representative - Responsible Officer	9
WONG Shiu Hong Viren	BOX220	Licensed Representative - Responsible Officer	4, 9
YANG Xu	BPI539	Licensed Representative - Responsible Officer	9
YAP Wy-En	BPJ444	Licensed Representative - Responsible Officer	9
YAU Yin Hung	AUI767	Licensed Representative - Responsible Officer	4, 9
YU Hsiao-Tsui	AXG205	Licensed Representative - Responsible Officer	9
AN Yifu	BPP249	Licensed Representative (Provisional Licence)	4
BANA Aashvi	BPK829	Licensed Representative (Provisional Licence)	1, 2
BENJELLOUN Abdelouahed	BPP034	Licensed Representative (Provisional Licence)	1, 2, 7
BERTRAM William Karel	BPQ207	Licensed Representative (Provisional Licence)	1, 2, 7
BIAN Jing	BMY570	Licensed Representative (Provisional Licence)	1, 6
BRADER Lawrence Henry Burnell	AVI839	Licensed Representative (Provisional Licence)	4, 9
BREL Maxime	BFZ187	Licensed Representative (Provisional Licence)	1, 2
BRIGGS Christopher David Robarts	BPO717	Licensed Representative (Provisional Licence)	1, 4, 9
BROWNE Daniel Joseph	AVC916	Licensed Representative (Provisional Licence)	1
CAI Quan	BNV036	Licensed Representative (Provisional Licence)	9
CANN Richard David	BPP311	Licensed Representative (Provisional Licence)	1
CAO Jun	APW805	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CAO Rongji	BPQ858	Licensed Representative (Provisional Licence)	1
CAPPELLINI Matteo	BPQ516	Licensed Representative (Provisional Licence)	1
CHAN Derrick	BDE476	Licensed Representative (Provisional Licence)	1, 4
CHAN Hwai Chey George	BPQ259	Licensed Representative (Provisional Licence)	1, 4
CHAN Kai Yin	BAC398	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAN Kin On	BMV939	Licensed Representative (Provisional Licence)	1, 4
CHAN Ryan	BPQ503	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsan Tuen	AUB811	Licensed Representative (Provisional Licence)	1, 2
CHAN TSANG MO	BMP705	Licensed Representative (Provisional Licence)	4, 9
CHAN Yuen Ki, Winnie	AXC390	Licensed Representative (Provisional Licence)	1
CHANG Chun Chieh	BPO661	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Hsiao Hsin	BPL974	Licensed Representative (Provisional Licence)	1, 9
CHAR Yee Lam	BPQ421	Licensed Representative (Provisional Licence)	1, 4
CHEN Chao	BPQ445	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Jingjing	BPQ952	Licensed Representative (Provisional Licence)	1, 6
CHEN Moxin	BPK869	Licensed Representative (Provisional Licence)	9
CHEN Nan	ARG251	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Xun	BPP313	Licensed Representative (Provisional Licence)	1
CHEN Yiwen	BPQ338	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhenguang	BPP191	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhenhao	BPR665	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhihui	BPQ379	Licensed Representative (Provisional Licence)	9
CHENG Sijing	BPQ740	Licensed Representative (Provisional Licence)	1, 2
CHENG Yizhe	BPQ729	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Kam Fong	ADY085	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Lik Ping	AFJ470	Licensed Representative (Provisional Licence)	4
CHEUNG Yuen Pik	AFJ426	Licensed Representative (Provisional Licence)	1
CHU Oi Shan	BPR743	Licensed Representative (Provisional Licence)	1
CHUI Lai Man	BPM528	Licensed Representative (Provisional Licence)	1
CHUNG Sung-min	AVS891	Licensed Representative (Provisional Licence)	1, 6
CONRAD Michael Bradford	BPL710	Licensed Representative (Provisional Licence)	1
DAI Chuhang	BPL899	Licensed Representative (Provisional Licence)	1, 6
DAI Wenwen	BPQ334	Licensed Representative (Provisional Licence)	1, 2, 4
DANG Samantha	BPQ453	Licensed Representative (Provisional Licence)	1, 2, 4, 9
DENG Ti Shun	AER867	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Yatian	BPR498	Licensed Representative (Provisional Licence)	1, 6
DENG Yong	BPR803	Licensed Representative (Provisional Licence)	4
DONG Xiaohua	BPQ390	Licensed Representative (Provisional Licence)	1, 6
DONOVAN Sean Patrick	BPM569	Licensed Representative (Provisional Licence)	4
DU Quan	BPL901	Licensed Representative (Provisional Licence)	1, 6
FANG Jiwen	BPP344	Licensed Representative (Provisional Licence)	1
FENYVES Peter	BPN746	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Ching Wan, Jackie	AOB388	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Sheung Yin	BPR542	Licensed Representative (Provisional Licence)	1, 2
GRIVEAU Alain Henri Jean-paul	BPR586	Licensed Representative (Provisional Licence)	1, 2
GUAN Hongyu	BPR918	Licensed Representative (Provisional Licence)	1, 6
HAGIWARA Kenichi	BKF268	Licensed Representative (Provisional Licence)	9
HAU Jacquelynne	BLQ406	Licensed Representative (Provisional Licence)	1, 2, 4
HE Xiyu	BDI120	Licensed Representative (Provisional Licence)	1, 4
HO Huen Pui	BPQ372	Licensed Representative (Provisional Licence)	1
HONG Soo Min	BPQ864	Licensed Representative (Provisional Licence)	1, 2
HOU Siqi	BPQ103	Licensed Representative (Provisional Licence)	1, 4, 9
HU Yi	BPQ102	Licensed Representative (Provisional Licence)	1, 6
HU Zhicheng	BPR871	Licensed Representative (Provisional Licence)	4, 9
HUANG Weiwei	BPM771	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Zeyan	BJN130	Licensed Representative (Provisional Licence)	4, 9
HUI Kan Yuen	BPS755	Licensed Representative (Provisional Licence)	1, 4
HUYNH Spencer Simon	BPR490	Licensed Representative (Provisional Licence)	1, 2
HWANG Min Wen	BHF276	Licensed Representative (Provisional Licence)	1
JEONG Jintaek	BPO884	Licensed Representative (Provisional Licence)	1, 6
JIA Yifei	BPM148	Licensed Representative (Provisional Licence)	1, 6
JIANG Ting	BPQ540	Licensed Representative (Provisional Licence)	1, 4
JIN Xiaoxuan	BNC033	Licensed Representative (Provisional Licence)	1, 6
KANG Junhui	BPR702	Licensed Representative (Provisional Licence)	1, 4
KIM David Jaemin	AMC260	Licensed Representative (Provisional Licence)	1
KIM Minji	BPP291	Licensed Representative (Provisional Licence)	1, 2
KNOWD Graeme David	APJ205	Licensed Representative (Provisional Licence)	10
KUMAR Navin	BPR526	Licensed Representative (Provisional Licence)	1, 2
KWOK Hoi Ming	BPO908	Licensed Representative (Provisional Licence)	1, 7
LAGNEAU Francois Xavier Guy Andre	BPR826	Licensed Representative (Provisional Licence)	1, 2
LAI Ho Ling	BPQ098	Licensed Representative (Provisional Licence)	1
LAM Alexander	BPQ762	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Cheuk Yin, Raymond	BGL264	Licensed Representative (Provisional Licence)	4
LAM Kin Wing Mitchell	BMX105	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Pui Ming	BPR551	Licensed Representative (Provisional Licence)	1
LAU Chi Chiu, Marcus	AAC292	Licensed Representative (Provisional Licence)	1, 4, 7
LAW David William Stopford	ABW268	Licensed Representative (Provisional Licence)	6
LEE Jaewook	BPO620	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ji Yan Christen	AVH059	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Pei-Ching	BPL909	Licensed Representative (Provisional Licence)	1, 9
LEE Sau Ming	BPN596	Licensed Representative (Provisional Licence)	1
LEE Shih-Hsin	AIB828	Licensed Representative (Provisional Licence)	1
LEUNG C Jun Christopher	AOU632	Licensed Representative (Provisional Licence)	1
LEUNG Hang Yuk	BPP099	Licensed Representative (Provisional Licence)	1
LEUNG Ho Wing	BHR780	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Wai Yee Edna	BPQ197	Licensed Representative (Provisional Licence)	1, 4
LI Bowen	BPS171	Licensed Representative (Provisional Licence)	1, 6
LI Chi Ngai	BBP668	Licensed Representative (Provisional Licence)	9
LI Colin Yi	BPR494	Licensed Representative (Provisional Licence)	1, 4, 9
LI Han	BPO810	Licensed Representative (Provisional Licence)	1, 4, 7
LI Lin Fung, Linus	AOV147	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yao	BPQ459	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yidan	BDR383	Licensed Representative (Provisional Licence)	9
LIANG Shiyi	BPQ797	Licensed Representative (Provisional Licence)	1
LIAO Chenxiang	BPR902	Licensed Representative (Provisional Licence)	1, 2, 4
LIAO Wei	BPQ349	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Qi	BPO746	Licensed Representative (Provisional Licence)	1, 2
LIN Shuming	BPS247	Licensed Representative (Provisional Licence)	1
LIN Xiaoxiang	BIY895	Licensed Representative (Provisional Licence)	1
LIU Hongcai	AVK619	Licensed Representative (Provisional Licence)	2
LIU Hsiang-Wen	AHG443	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Huashi	BPQ331	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Shang	BPQ440	Licensed Representative (Provisional Licence)	1
LIU Xueyang	BPL903	Licensed Representative (Provisional Licence)	1, 6
LIU Yida	BHD554	Licensed Representative (Provisional Licence)	6
LONG Shishi	BPS035	Licensed Representative (Provisional Licence)	9
LOW Wei-en	BPQ857	Licensed Representative (Provisional Licence)	1
LU Jun	BPM400	Licensed Representative (Provisional Licence)	1, 6
LU xinzhen	BPR644	Licensed Representative (Provisional Licence)	1, 2, 4
LUI Man See Grace	BPR809	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUI Martin Wing Kei	AOH219	Licensed Representative (Provisional Licence)	1, 4
LUK Colvin	BPQ276	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Yi	BPQ501	Licensed Representative (Provisional Licence)	1, 2, 7
MA Siqi	AVS796	Licensed Representative (Provisional Licence)	6
MA Zheng	BPQ345	Licensed Representative (Provisional Licence)	1, 4
MACFARLANE Gavin James	BPQ194	Licensed Representative (Provisional Licence)	1, 4, 9
MANI Praveen Ayyappa	AUV603	Licensed Representative (Provisional Licence)	9
MECHOUK Mehdi	BPQ521	Licensed Representative (Provisional Licence)	1, 2
MILES Michael Christopher	AZT872	Licensed Representative (Provisional Licence)	9
MILLS Jesuran Samuel Manickanayagam	BPO549	Licensed Representative (Provisional Licence)	1, 6
MITKARI Mukul	AXL947	Licensed Representative (Provisional Licence)	1, 6
MOK Chun Hung	BPQ831	Licensed Representative (Provisional Licence)	6
NEWMAN Neil Lionel	ABF170	Licensed Representative (Provisional Licence)	9
NG Ho Hin	BPQ182	Licensed Representative (Provisional Licence)	1, 4, 6
NG Ping	BPP218	Licensed Representative (Provisional Licence)	1, 4
NG Wai Ching, Christine	AFU121	Licensed Representative (Provisional Licence)	1
NG Yu Xuan	BPQ255	Licensed Representative (Provisional Licence)	1, 2
NING Anning	BPQ320	Licensed Representative (Provisional Licence)	1, 6
OH Seung Ha	BPQ321	Licensed Representative (Provisional Licence)	1, 6
ONG Charlie Yveline Jeanne	BLG082	Licensed Representative (Provisional Licence)	9
PARK Wanbae	BPQ862	Licensed Representative (Provisional Licence)	2
QIAO Xin	BPS241	Licensed Representative (Provisional Licence)	1, 4, 9
QIAO Yi	BPQ849	Licensed Representative (Provisional Licence)	1
QIN Ruicheng	BPS113	Licensed Representative (Provisional Licence)	9
RASTOGI Vishesh	BPL436	Licensed Representative (Provisional Licence)	1, 2
ROHOF Jan Wilhelm	BPQ451	Licensed Representative (Provisional Licence)	1, 4
SATO Hiromi	ANS087	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 6
SHI Jian	BOB756	Licensed Representative (Provisional Licence)	1, 6
SHI Tuo	BPR541	Licensed Representative (Provisional Licence)	4
SHU Weikang	BPR700	Licensed Representative (Provisional Licence)	1, 4
SINGLA Jimitesh	BPR866	Licensed Representative (Provisional Licence)	1, 2
SIU Sing Leong	BPR731	Licensed Representative (Provisional Licence)	6
SRIVASTAVA Manish	ANE868	Licensed Representative (Provisional Licence)	9
STAFF Neil David	BPK453	Licensed Representative (Provisional Licence)	1, 2
SU Shixian	BPQ691	Licensed Representative (Provisional Licence)	1, 2
SUGITO Timur Tzu Lung	BCE461	Licensed Representative (Provisional Licence)	9
SUN Congshi	BPQ381	Licensed Representative (Provisional Licence)	4, 9
SUN Tianhao	BPQ090	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Yu	BPQ765	Licensed Representative (Provisional Licence)	6
SUZUKI (KORSUWAN) Ran (Rosalyn)	BPS240	Licensed Representative (Provisional Licence)	1, 7
SYAL Sumit	AXL025	Licensed Representative (Provisional Licence)	1, 2, 4, 5
TAM Kwok Fung	BPO998	Licensed Representative (Provisional Licence)	4, 9
TAN Kean Ee	BJA918	Licensed Representative (Provisional Licence)	4, 9
TAN Wei	BPQ633	Licensed Representative (Provisional Licence)	1, 4, 6
TANEJA Karan	BPQ514	Licensed Representative (Provisional Licence)	1
TANG Hoi Kan	BPK771	Licensed Representative (Provisional Licence)	1, 2
TANG Huan	BPO604	Licensed Representative (Provisional Licence)	4
TANG Wing Kit	BPS283	Licensed Representative (Provisional Licence)	1
TAO Xiaotong	BPM585	Licensed Representative (Provisional Licence)	4
TAYLOR Luke Richard	BPL111	Licensed Representative (Provisional Licence)	9
TIAN Xiaosu	BIF037	Licensed Representative (Provisional Licence)	9
TO Ka Yan	BPO750	Licensed Representative (Provisional Licence)	1
TONG Rachel	AEH156	Licensed Representative (Provisional Licence)	4, 9
TSAI Chen-Che	BPQ450	Licensed Representative (Provisional Licence)	9
TSE Cho Yan	BPP167	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Kwei Ying	APC906	Licensed Representative (Provisional Licence)	1, 4
TSE Sing Lun Howard	BPO921	Licensed Representative (Provisional Licence)	4, 5
TSE Sze Man	AKS678	Licensed Representative (Provisional Licence)	4
TSO Faye	BPN744	Licensed Representative (Provisional Licence)	1, 2
TSUI Ting Kwan Quentin	BHL217	Licensed Representative (Provisional Licence)	1, 6
UBERTO Theophile	BPN066	Licensed Representative (Provisional Licence)	1
WAN Jing	BPQ723	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Chao	ATO947	Licensed Representative (Provisional Licence)	9
WANG Diwen	BPQ763	Licensed Representative (Provisional Licence)	1, 6
WANG Hao	BPO904	Licensed Representative (Provisional Licence)	1, 4
WANG Linjin	BPQ393	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Minchen	BPS537	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ran	BPQ519	Licensed Representative (Provisional Licence)	1, 2
WANG Shiyuan	BPR524	Licensed Representative (Provisional Licence)	4
WANG Shuai	BPO910	Licensed Representative (Provisional Licence)	1, 2
WANG ZHONG	BPN597	Licensed Representative (Provisional Licence)	1, 4
WANG Zichao	BNV387	Licensed Representative (Provisional Licence)	1, 4, 9
WEI Chuyang	BGC776	Licensed Representative (Provisional Licence)	1, 6
WEI Zhenbang	BPM582	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Chi Hong, Hugo	BPQ332	Licensed Representative (Provisional Licence)	1
WONG Hei Lim	BIX437	Licensed Representative (Provisional Licence)	1
WONG Ka Yi	BPQ346	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Pang	BGP175	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Sze	ANW429	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Wing Yan	BPS190	Licensed Representative (Provisional Licence)	1, 4
WU Bing	BPS093	Licensed Representative (Provisional Licence)	2
WU Daiyu	BPR799	Licensed Representative (Provisional Licence)	4
WU Lap Heung	AAC013	Licensed Representative (Provisional Licence)	1, 2
WU Qian	BPQ766	Licensed Representative (Provisional Licence)	4
XIA Ming	BPQ544	Licensed Representative (Provisional Licence)	1, 6
XIA Yang	BPQ936	Licensed Representative (Provisional Licence)	9
XIE Yangping	BPR616	Licensed Representative (Provisional Licence)	4, 9
XING Ziyang	BLY552	Licensed Representative (Provisional Licence)	1, 4, 6
XU Fang	BPL704	Licensed Representative (Provisional Licence)	1, 6
XU Han	BPS327	Licensed Representative (Provisional Licence)	4
XU Mengmeng	BPQ333	Licensed Representative (Provisional Licence)	1, 4, 6
XU Zhuonan	BPR695	Licensed Representative (Provisional Licence)	1, 4
XUE Mengchen	BPL902	Licensed Representative (Provisional Licence)	1, 6
YAN Ping	BPM581	Licensed Representative (Provisional Licence)	1, 2
YANG Jingjing	BPO570	Licensed Representative (Provisional Licence)	1, 4
YANG Shen	BPQ101	Licensed Representative (Provisional Licence)	1, 4
YANG Xiaotian	BPR904	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Xiaoxiao	BPR483	Licensed Representative (Provisional Licence)	1
YANG Yadu	BPN266	Licensed Representative (Provisional Licence)	1, 6
YEUNG Hau Yip	BDP513	Licensed Representative (Provisional Licence)	1, 2, 9
YI Zhenyong	BPO662	Licensed Representative (Provisional Licence)	4
YONG Dun He	BPS174	Licensed Representative (Provisional Licence)	1, 4, 9
YOU Yang	BPS100	Licensed Representative (Provisional Licence)	4
YU Quan	BPR500	Licensed Representative (Provisional Licence)	4, 9
YU Shuyang	BPR903	Licensed Representative (Provisional Licence)	1, 4, 6
YUNG Wai Chung	BPQ625	Licensed Representative (Provisional Licence)	1
ZENG Siyang	BPP117	Licensed Representative (Provisional Licence)	4
ZHANG Jingyi	BPR656	Licensed Representative (Provisional Licence)	4
ZHANG Shida	BPO914	Licensed Representative (Provisional Licence)	9
ZHANG Ziyao	BPS102	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Jianan	BHT616	Licensed Representative (Provisional Licence)	1, 6
ZHAO Lijian	BPR677	Licensed Representative (Provisional Licence)	1, 4
ZHAO Ningda	BPP315	Licensed Representative (Provisional Licence)	4
ZHENG Jiashou	BOL158	Licensed Representative (Provisional Licence)	1
ZHOU Yi	BKG251	Licensed Representative (Provisional Licence)	1, 6
ZHU Junliang	BEN145	Licensed Representative (Provisional Licence)	9
ZHU Maxim Muzi	BPO917	Licensed Representative (Provisional Licence)	9
CHAN Chi Yu	BOT867	Licensed Representative (Temporary Licence)	4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
LIU Xin	BOO975	Licensed Representative (Temporary Licence)	1, 4
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
WANG Zhe	BEG251	Licensed Representative (Temporary Licence)	4
YIN Xinch	BOT875	Licensed Representative (Temporary Licence)	4
YUAN Jiancong	BPR499	Licensed Representative (Temporary Licence)	4