

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
Apollo Management Asia Pacific Limited - Apollo Management Hong Kong Limited (BNC156) and another company within the same group amalgamated on 2 July 2020, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is Apollo Management Asia Pacific Limited (BPQ879).	BPQ879	Licensed Corporation	1
Atlas Investment Management Limited	BPN151	Licensed Corporation	9
Boxin Jiayuan Limited	BPJ126	Licensed Corporation	4, 9
Capital 9 Limited	BPI631	Licensed Corporation	6
CCB Principal Asset Management (Hong Kong) Co., Limited	BOS228	Licensed Corporation	4, 9
CreditEase Securities (HK) Limited	BOF261	Licensed Corporation	6
Dimension Management (HK) Limited	BPQ156	Licensed Corporation	9
GFH Ace Asset Management (HK) Company Limited	BPL622	Licensed Corporation	9
Golden Mountain Asset Management Limited	BOU580	Licensed Corporation	9
Hongkong Gaotejia Investment Management Co., Limited	BPJ745	Licensed Corporation	9
Huizhong Global Asset Management Limited	BPN513	Licensed Corporation	4, 9
Huobi Asset Management (Hong Kong) Limited	BPK544	Licensed Corporation	4, 9
Jinluo Securities Limited	BOK354	Licensed Corporation	1
Longqi Scientific (Hong Kong) Limited	BPL583	Licensed Corporation	9
Merger China Group Capital (Hong Kong) Co., Limited	BPM177	Licensed Corporation	6
PingPong Intelligence Limited	BOW876	Licensed Corporation	3
Protoss Capital Limited	BPI074	Licensed Corporation	4, 9
Sirius Capital Management Limited	BOF248	Licensed Corporation	4, 9
Sparc Capital Management Limited	BPB919	Licensed Corporation	1, 4, 9
Ten Asset Management Limited	BPL879	Licensed Corporation	9
XCcap Partners Limited	BOP567	Licensed Corporation	1, 4, 6
ADOUM Nabil	BPS242	Licensed Representative	1, 2
ALLEY Andrew Kenneth	BPP020	Licensed Representative	1
AU Alexander	BPQ776	Licensed Representative	9
BALME Remi Diego Thomas	BPT480	Licensed Representative	1, 2
BAO Weiwei	AYS974	Licensed Representative	4, 9
BAW KA WAI BARRY	BPW919	Licensed Representative	1
BEIDZINA Tatsiana	BMX878	Licensed Representative	4, 9
BI Ran	BPS488	Licensed Representative	6
BIAN Jing	BMV570	Licensed Representative	1, 6
BIRD Nicholas James	AXT520	Licensed Representative	9
BOUDAUD Lucas Jean Berenger	BPR559	Licensed Representative	1, 2, 7
BROWNELL James Llewellyn	BOI146	Licensed Representative	1, 2
BURR Alan John Phillip	AJO422	Licensed Representative	2
CAO Jialin	BPS607	Licensed Representative	4
CAO Xiangwei	AXV946	Licensed Representative	4, 9
CHAN Hiu Lam	BPU737	Licensed Representative	1, 4, 9
CHAN Ho Chuen Bosco	BPU783	Licensed Representative	1, 6
CHAN Kam Fung Angus	BPU758	Licensed Representative	1, 4, 9
CHAN King Wo Daniel	BPU210	Licensed Representative	1, 4
CHAN Kwun Siu, Frankie	ASD479	Licensed Representative	1, 4, 9
CHAN Shing Ho Howard	BPT284	Licensed Representative	1
CHAN Shui Kwan	AJQ126	Licensed Representative	1
CHAN Zhen Wei	BGH259	Licensed Representative	1, 2
CHANG Chi-Hao	ARG563	Licensed Representative	10
CHANG George Tsung-Chi	AMR404	Licensed Representative	4
CHANG Jae Ho	BPU322	Licensed Representative	1, 4
CHAUDET Marc-Antoine	BPV270	Licensed Representative	1, 2, 4, 5
CHEN Jianfang	BEK767	Licensed Representative	9
CHEN Junyi	BPU740	Licensed Representative	1, 4, 6
CHEN Mengqi	BPW128	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
CHEN Na Ning	BPT423	Licensed Representative	1
CHEN Wei Jie	BPS419	Licensed Representative	4, 9
CHEN Yi Chin	ATQ774	Licensed Representative	1, 4, 9
CHEN Zixuan	BPT986	Licensed Representative	1, 4, 9
CHENG Chen	BPT388	Licensed Representative	4
CHENG Ching Wan	BBW618	Licensed Representative	9
CHENG Chung Lim	ALO504	Licensed Representative	1, 4
CHENG Ka Yee	BDX497	Licensed Representative	1, 4
CHEUNG Mei Yee	BJM381	Licensed Representative	1, 4, 6
CHEUNG Yan Kit	BPT168	Licensed Representative	1
CHEUNG Yun Tim	ASO238	Licensed Representative	1
CHI Fengting	BPT471	Licensed Representative	1
CHIANG Chien Yin	BPU845	Licensed Representative	1
CHO Tak Chi	AKV282	Licensed Representative	1, 4, 9
CHOI Yuen Ting Venus	APF371	Licensed Representative	1
CHOW Chun Pang	AWH239	Licensed Representative	1, 4
Christine	BPT055	Licensed Representative	1, 2
CHU Ka Wang Henry	BNV860	Licensed Representative	9
CHU Oi Shan	BPR743	Licensed Representative	1
CHUNG Chau Kam	BPS236	Licensed Representative	1
CHUNG Jia Hsung	BLJ657	Licensed Representative	1, 4, 9
CHUNG Siu Pang	AQE690	Licensed Representative	1, 2
DANG Yuanchu	BPU138	Licensed Representative	1, 2
DENG Yi	BAT630	Licensed Representative	9
DONG Wei	BMR596	Licensed Representative	4, 9
DU Yiping	BOA881	Licensed Representative	4, 9
FAN Zifang	BPT121	Licensed Representative	1, 4, 6
FANG Tiantian	BOA592	Licensed Representative	1, 4, 9
FENG Shuangyue	BPS237	Licensed Representative	1
FENG Yuyang	BPU408	Licensed Representative	9
FONG Chat Hing	BMW510	Licensed Representative	1
FONG Yuk, Sunny	ADK348	Licensed Representative	1
FU Yingying	BPV589	Licensed Representative	1
FUNG Yu Chun	BPU998	Licensed Representative	1, 4, 9
GAO Bin	BPV900	Licensed Representative	1, 2
GAO Jian	BPQ838	Licensed Representative	9
GONG Junjie	BPO658	Licensed Representative	1, 6
GONG Yuan	BPN595	Licensed Representative	4, 9
GOURLUCK Lutece Emily Elizabeth	BPS875	Licensed Representative	9
GU Huanhao	BNR192	Licensed Representative	1
GU Tianxing	BFK957	Licensed Representative	6
GU Ying	BPM525	Licensed Representative	10
HAMOUR Ben Chohra	BPT053	Licensed Representative	1, 2
HAN Dongxue	BPU182	Licensed Representative	4
HIRANO Naomi	BPO696	Licensed Representative	1, 2, 9
HO Hiu Yin	BMT543	Licensed Representative	2, 5
HO Ji Nok	BPV248	Licensed Representative	4, 9
HO Shing Sat	BPU221	Licensed Representative	1
HO Wai Ling	BPN701	Licensed Representative	9
HON Hau On Henry	AUN312	Licensed Representative	1, 4, 9
HU Zhicheng	BPR871	Licensed Representative	4, 9
HUA Zheng	BPT200	Licensed Representative	1, 4
HUANG He	BPU023	Licensed Representative	1, 6

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CE Name	CE No.	License Type	Types of Regulated Activity
HUANG Huang	BBY197	Licensed Representative	1, 4, 6
HUI Kan Yuen	BPS755	Licensed Representative	1, 4
HUNG Gee Chun Lucas	BPK677	Licensed Representative	1, 2
HUNG Hsi-Ming	ATO440	Licensed Representative	1, 9
ILLINGWORTH Piers	BPU518	Licensed Representative	1, 4, 9
JEONG Jin Woong	BPM147	Licensed Representative	1, 6
JIAN Tingting	BPV086	Licensed Representative	4, 6, 9
JIANG Xinnan	BPT218	Licensed Representative	6
JIANG Yuxi	AYR792	Licensed Representative	1
JIAO Yiwei	BPP242	Licensed Representative	1, 4, 6
KA Yue Shun, Renata Frances	AHA921	Licensed Representative	1
KASHIMPURIA Nikhilkumar Subhash	BPS820	Licensed Representative	1, 4
KASTENHOLZ Mika Arnold	BPU387	Licensed Representative	1, 2
KE Dehua	BPU128	Licensed Representative	4, 9
KIKUCHI Kentaro	BPU390	Licensed Representative	1, 4, 6
KIM Ki Soo	AFJ899	Licensed Representative	1, 4
KIM Minji	BPU734	Licensed Representative	1, 4, 9
KONG Matthew F	BPU196	Licensed Representative	9
KUAI Jian	BPT856	Licensed Representative	4
LAGNEAU Francois Xavier Guy Andre	BPR826	Licensed Representative	1, 2
LAI Andrew Kwan Chuen	BCF391	Licensed Representative	4, 9
LAI Siu Wa	BPV534	Licensed Representative	6
LAM Chai Hei	BPT049	Licensed Representative	1
LAM Chung Ming	BFP706	Licensed Representative	9
LAM Galand Marco	BPU772	Licensed Representative	4
LAM Ka Chun	BPS090	Licensed Representative	1, 4
LAM Ka Wai	ARL775	Licensed Representative	1, 4
LAM Kam Keung	BPV315	Licensed Representative	1
LAM Keung	ADZ888	Licensed Representative	1
LAM San Lee	BPU151	Licensed Representative	1, 4, 9
LAM Wai Ying	BPS645	Licensed Representative	6
LAU Chak Wong	AXY507	Licensed Representative	1, 4
LAU Chi Chiu, Marcus	AAC292	Licensed Representative	1, 4, 7
LAU Chung Yan, Joanne	BPT331	Licensed Representative	4
LAU Hin Man	BPT108	Licensed Representative	1
LAU Patrick Park To	AFU392	Licensed Representative	1
LAU Yuen Ling Agnes	BPV190	Licensed Representative	1
LAW Alice	BOG528	Licensed Representative	1, 2
LEE Kai Yiu	BPV065	Licensed Representative	1, 6
LEE Min Sung	BPT366	Licensed Representative	10
LEE Wai Yin	AYW252	Licensed Representative	1, 4
LEE Yin Han	AWX947	Licensed Representative	1, 2
LEE Yuen Tsun	BIM241	Licensed Representative	2
LEE Zi Jin Matthew	BPT371	Licensed Representative	1, 4
LEI Lei	BPT207	Licensed Representative	4
LEUNG Cheuk Shun, Jonas	BPN743	Licensed Representative	1, 4
LEUNG Hung Kin	BFZ496	Licensed Representative	1, 4
LEUNG Ka Wai Jan	BPT839	Licensed Representative	1, 4
LEUNG Lai Kar	AEY943	Licensed Representative	6
LEUNG Lai Wah	BPT149	Licensed Representative	1
LEUNG Tye, Jason	AOB897	Licensed Representative	1
LI Bin	BPQ645	Licensed Representative	4, 9
LI Chui In	BPT479	Licensed Representative	4

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CE Name	CE No.	License Type	Types of Regulated Activity
LI Colin Yi	BPR494	Licensed Representative	1, 4, 9
LI Jiajun	BPS587	Licensed Representative	4, 9
LI Junjie	BFD822	Licensed Representative	1, 6
LI Kei Wing	BOR849	Licensed Representative	1
LI Li	BEI715	Licensed Representative	1, 4
LI Miao	BPU661	Licensed Representative	4
LI Sha	BPN447	Licensed Representative	4, 9
LI Sicong	BPT150	Licensed Representative	1, 4
LI Tsz Hin	BPT037	Licensed Representative	1, 4
LI Yani	BPQ889	Licensed Representative	9
LI Yimin	BPT203	Licensed Representative	4
LI Zihao	BPS748	Licensed Representative	1, 4
LIANG Xi	BPS691	Licensed Representative	4
LIEN Cheng-Yang	BPT214	Licensed Representative	1
LIN Shuming	BPS247	Licensed Representative	1
LIN Yen-ping	BPN450	Licensed Representative	4, 9
LING Man Sum	ANR261	Licensed Representative	4, 9
LIU Yifan	AXV269	Licensed Representative	1, 4, 6
LIU YUXIN	BPV092	Licensed Representative	4, 6, 9
LIU Zhipeng	BEU992	Licensed Representative	4
LLOYD Matthew Peter	BPS141	Licensed Representative	1, 4, 9
LONG Ting	BPT102	Licensed Representative	1, 4, 6
LOU Ching Lan	AQM181	Licensed Representative	1
LUI Ka Kee	BPS602	Licensed Representative	1
LUI Kin Kar, Eddy	ABX648	Licensed Representative	1, 2
LUI Martin Wing Kei	AOH219	Licensed Representative	1, 4
LUI Ming Yan	BPV109	Licensed Representative	1
MA Chun Ki	BPT169	Licensed Representative	1
MA Hei Tung Fiona	BPT650	Licensed Representative	1, 4, 9
MA Sai Yan, Patrick	BPN766	Licensed Representative	1, 4, 5, 9
MA Xiaoqing	BPW068	Licensed Representative	1
MAK Hiu Ying	BPU519	Licensed Representative	4, 9
MAK JING KEI	BPU781	Licensed Representative	2
MAK Ka Chun	BPS633	Licensed Representative	1
MARESCHAL DE CHARENTENAY Ghislain, Baptiste, Marie	AXW260	Licensed Representative	1, 4
MATSUSHITA Akifumi	BPT817	Licensed Representative	1, 4
MOK King Lun, Philip	AWF599	Licensed Representative	9
NG Mong Suet	BIX324	Licensed Representative	9
NG Pui Ying	BAP670	Licensed Representative	1, 2, 4, 9
NGAN Dickson	BPU676	Licensed Representative	1, 2
OKINAKA Corey Arthur	BPU312	Licensed Representative	9
OUYANG Shirui	BIN775	Licensed Representative	4
PAN Lixia	BPS883	Licensed Representative	1, 6
PARK Suyeon	BPU789	Licensed Representative	1, 4
PENG Bo	BPU240	Licensed Representative	1, 4
PING Zhiyuan	BPS471	Licensed Representative	6
PO Ho Sang	BPS594	Licensed Representative	6
POON Winnie	BPR756	Licensed Representative	1
POULIOT Nicolas	AJO505	Licensed Representative	6
QI Yao	BPU043	Licensed Representative	1, 6
QIAO Xin	BPS241	Licensed Representative	1, 4, 9
QIU Yong	BPQ798	Licensed Representative	1, 4, 6
RANJANA	BGQ893	Licensed Representative	1

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CE Name	CE No.	License Type	Types of Regulated Activity
SHAH Vishal Deepak	BPS872	Licensed Representative	1, 2, 7
SHAO Stephen Tian-cheng	BPS474	Licensed Representative	6
SHI Haoyu	BPT278	Licensed Representative	1, 2, 9
SHI Zhongqi	BOZ394	Licensed Representative	1, 4, 9
SHU Junzhe	BPT296	Licensed Representative	1, 6
SIN Wing Sze Raincy	BPU767	Licensed Representative	1, 4
SLOW Kwan Pheng Alan	BPT229	Licensed Representative	1, 4, 9
SIT Ka Ming	BPU724	Licensed Representative	9
SIT Wai	BPK676	Licensed Representative	9
SO Chi Ming	BOI594	Licensed Representative	9
SO Pui Cui	BPU325	Licensed Representative	4, 9
SOHN Ji Woo	BPM446	Licensed Representative	1, 6
SONG Zhihao	BPS481	Licensed Representative	6
STADLER Francesco Antonio Alois	BPV392	Licensed Representative	4, 9
SU Jiayuan	BJR397	Licensed Representative	1, 6
SUEN CHUN HO	BPU089	Licensed Representative	1
SUN Hao	ANP669	Licensed Representative	1, 4
TAM Mei Cheng	BPT484	Licensed Representative	1, 4
TAM Oi Lun	BPV125	Licensed Representative	1, 4
TAN Tim Hoi, Dennis	AIR786	Licensed Representative	1, 2, 4
TANG Siu Kei	AXF535	Licensed Representative	1, 2
TANG Tsz Chun	BPU889	Licensed Representative	1, 6
TANG Wai Yeen Gwyneth	BPS377	Licensed Representative	1
TANG Zipei	BPU409	Licensed Representative	4
TIWARI Sudhir Kumar	BPU393	Licensed Representative	1, 7
TO Chi Ho	BPU381	Licensed Representative	1, 4, 9
TONG Kwong Yuen, James	BPV560	Licensed Representative	6
TONG Yim	AHM284	Licensed Representative	1
TRETHEWAY Daniel Glenn	BPW688	Licensed Representative	1, 4
TRINH Suehanh	AVF632	Licensed Representative	4
TSAI King Wa Kingsway	BIK695	Licensed Representative	1, 2
TSANG Man Shun Marshall	BPR952	Licensed Representative	1, 4
TSANG Yung Fat	BJX769	Licensed Representative	9
TSE Ka Man, Carmen	BBA612	Licensed Representative	1, 4
TSE Sze Man	AKS678	Licensed Representative	4
TSOI Hiu Ping Icey	BJT917	Licensed Representative	1, 4, 9
VISWANATHAN Anup	BPS349	Licensed Representative	1, 2, 4
WALKER Niamh Catriona	BPT317	Licensed Representative	4
WANG Chaolue	BPM763	Licensed Representative	1, 6
WANG Chuan	BPT611	Licensed Representative	1
WANG Edwin Meng Bai	BMX625	Licensed Representative	1, 4
WANG Grace	APP106	Licensed Representative	9
WANG Jifei	BPN465	Licensed Representative	6
WANG Jinyue	BPT336	Licensed Representative	1, 2, 4
WANG Shihui	BIG680	Licensed Representative	6
WANG Wenyi	BPT202	Licensed Representative	4
WANG Xingyi	BPL212	Licensed Representative	2, 5
WANG Yanchen	BPV130	Licensed Representative	4
WANG Yang	BPV614	Licensed Representative	4, 9
WANG Yixin	BPR543	Licensed Representative	1, 4
WILLS Darren Edward	BLH178	Licensed Representative	1, 4, 9
WONG Aileen	BPU742	Licensed Representative	1, 4
WONG Fusheng Tenzan	BPU192	Licensed Representative	1, 4, 9

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CE Name	CE No.	License Type	Types of Regulated Activity
WONG Hei Lim	BIX437	Licensed Representative	1
WONG Hiu Tung	BOH006	Licensed Representative	1, 4, 9
WONG Hon Tin	BLB504	Licensed Representative	1, 2
WONG Jenni	BPT151	Licensed Representative	9
WONG Jinrong Andy	ANX808	Licensed Representative	9
WONG Kin Fung	BIB895	Licensed Representative	1, 6
WONG Kwok Man	BJZ698	Licensed Representative	1, 2
WONG Ming Yuk	BPT474	Licensed Representative	2, 5
WONG Shu Yui, Ivana	BGK507	Licensed Representative	1, 4, 9
WONG Shun Ho	BPU126	Licensed Representative	1
WONG Sze Ho	BMW970	Licensed Representative	1
WONG Wing Kwan	AXH995	Licensed Representative	1, 9
WONG Wing Man	AVX175	Licensed Representative	1, 2
WONG Wing Suet Rachael	BPS615	Licensed Representative	1, 4
WU Bing	BPS093	Licensed Representative	2
WU Haorui	BPS173	Licensed Representative	4, 9
WU Wei	BPS730	Licensed Representative	6
WU Yangbin	BID880	Licensed Representative	1
XIAO Yi	BPS047	Licensed Representative	4
XIE Fei	BPU123	Licensed Representative	4, 9
XU Bin	BPQ624	Licensed Representative	2
XU Jiayi	BPU659	Licensed Representative	4
XU Leon Cai Yang	BPT885	Licensed Representative	1, 2
XU Ruowei	BPQ795	Licensed Representative	4
XU Yishan	BPT206	Licensed Representative	4
XU Yongbin	BDD523	Licensed Representative	4, 9
YAN Man Fai	AAA960	Licensed Representative	1
YAN Tianyi	BPT623	Licensed Representative	4
YAN Yuen Cheung	BPV918	Licensed Representative	1, 2
YANG Fan	BPS696	Licensed Representative	4
YANG Jinhan	BPU415	Licensed Representative	9
YANG Lin	BPT315	Licensed Representative	4
YANG Qilin	BPV249	Licensed Representative	4, 9
YANG Wenjing	BPT282	Licensed Representative	1, 4, 9
YE Guangji	BPS627	Licensed Representative	4, 9
YEUNG Ho Hung	BPT126	Licensed Representative	1
YIM Tang Yiu	BPU832	Licensed Representative	1, 2
YIM Wan Hei Vince	BPU165	Licensed Representative	1
YIP CHUN FAI	BPT468	Licensed Representative	1
YOU Yang	BPS100	Licensed Representative	4
YU Botao	BPT391	Licensed Representative	1, 4
YU Chi Chu	BPM090	Licensed Representative	1, 9
YU Jiaqi	BPT201	Licensed Representative	4
YU Kwong Kin	BPT853	Licensed Representative	1, 2
YU Seunghee	BPO498	Licensed Representative	9
YU Ta-Wei	BPS592	Licensed Representative	1, 4
YU Tong	BPL437	Licensed Representative	9
YU Ya-Chu	BPM093	Licensed Representative	1, 9
YUEN Ka Fung	BLK135	Licensed Representative	1
ZHA Hao	BPT204	Licensed Representative	4
ZHANG Colin	BDN956	Licensed Representative	1, 4, 9
ZHANG Jiameng	BPT376	Licensed Representative	1
ZHANG Jiawei	BPT385	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
ZHANG Jiayi	BJH510	Licensed Representative	9
ZHANG Shaozhi	BPS634	Licensed Representative	1, 4
ZHANG Xiaowen	BPS936	Licensed Representative	1, 6
ZHANG Zhengqing	BPS708	Licensed Representative	4
ZHAO Chen	BPU414	Licensed Representative	4
ZHAO Qianyu	BPV595	Licensed Representative	4, 6
ZHAO Yuefeng	BPU173	Licensed Representative	4
ZHENG Binbin	BPU517	Licensed Representative	4
ZHENG Jiantao	BLK421	Licensed Representative	2
ZHENG Meimei	BEG152	Licensed Representative	1, 4
ZHOU Han	BNZ885	Licensed Representative	1
ZHOU Huilin	BPT318	Licensed Representative	4
ZHOU Robert Yau	BPK832	Licensed Representative	1, 2
ZHOU Yi	BKG251	Licensed Representative	1, 6
ZHU Chun	BPU236	Licensed Representative	9
ZHU JING	BPU491	Licensed Representative	4
ZHU Kai	BOE523	Licensed Representative	4, 9
ZHU Meng	BPR728	Licensed Representative	1, 4
ZHU Qianqi	BPT481	Licensed Representative	1, 4, 6
ZHU Tianqi	BPV454	Licensed Representative	1, 6
ZHU Yunhan	BPU697	Licensed Representative	1, 4
AMANO Hirohito	ALS714	Licensed Representative - Responsible Officer	1, 2, 4
CHAN Lap Chung	AJ099	Licensed Representative - Responsible Officer	9
CHIU Chih Ning	AUW231	Licensed Representative - Responsible Officer	4, 9
CHIU Kung Chak	BGH562	Licensed Representative - Responsible Officer	1, 4
CHUNG Chi Kin, Kenneth	ARB660	Licensed Representative - Responsible Officer	6
CUI Zheng	BGD405	Licensed Representative - Responsible Officer	6
GAO Daguang	BCF482	Licensed Representative - Responsible Officer	1, 4
GRISHIN Ivan	BGZ079	Licensed Representative - Responsible Officer	1, 4
GROELLER Johannes	BPL971	Licensed Representative - Responsible Officer	1, 4, 6
HWANG Sung June	ABX334	Licensed Representative - Responsible Officer	9
IVANOV Ivan Petev	BPM399	Licensed Representative - Responsible Officer	9
JONES Nicolas David	BPF600	Licensed Representative - Responsible Officer	1, 4
KURIHARA Takuya	AFQ478	Licensed Representative - Responsible Officer	1, 4
LAI Koon Ho	ALB158	Licensed Representative - Responsible Officer	4, 9
LAW David William Stopford	ABW268	Licensed Representative - Responsible Officer	6
LEUNG Ka Yee Andrew	ALK461	Licensed Representative - Responsible Officer	9
LI Bohan	BOW746	Licensed Representative - Responsible Officer	4, 9
LI Henry Hoi Yan	BGQ471	Licensed Representative - Responsible Officer	9
LI Tao	ANZ637	Licensed Representative - Responsible Officer	9
LIN Chang-Chung	ANC156	Licensed Representative - Responsible Officer	1, 4
LO Cho Yan	ACR637	Licensed Representative - Responsible Officer	3
LUK Pui Yee, Yvonne	AMM424	Licensed Representative - Responsible Officer	3
MA Zhongshu	BPN394	Licensed Representative - Responsible Officer	9
MACDONALD Ian Robert	ARU238	Licensed Representative - Responsible Officer	1, 4
MIZUNO Kosuke	ADP243	Licensed Representative - Responsible Officer	1
NAGAI Naoto	BPV627	Licensed Representative - Responsible Officer	1, 4, 9
SHANNON James	BKA517	Licensed Representative - Responsible Officer	1, 2, 4, 9
SHIMBO Kazuhisa	BPU879	Licensed Representative - Responsible Officer	1, 4, 9
SO Po Ching	ALD639	Licensed Representative - Responsible Officer	4, 9
TINKER Mark Andrew	BBT883	Licensed Representative - Responsible Officer	4, 9
TSANG Hin Cheung	AEE952	Licensed Representative - Responsible Officer	6
WU Xuejing	BJH007	Licensed Representative - Responsible Officer	4, 9

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CE Name	CE No.	License Type	Types of Regulated Activity
XU Jing	BOW742	Licensed Representative - Responsible Officer	4, 9
ZHANG Jian	AJN917	Licensed Representative - Responsible Officer	4, 9
ZHANG Xiaonan	BPL841	Licensed Representative - Responsible Officer	9
ZHU Xiaokang	BPL587	Licensed Representative - Responsible Officer	9
AGARWAL Sambhav	BPX096	Licensed Representative (Provisional Licence)	1, 4, 6
AU Alexander	BPQ776	Licensed Representative (Provisional Licence)	9
BALME Remi Diego Thomas	BPT480	Licensed Representative (Provisional Licence)	1, 2
BARBARA Romain	BPW962	Licensed Representative (Provisional Licence)	1, 2
BEL Alexandre Abraham Teferi	BPX336	Licensed Representative (Provisional Licence)	1
BENITA Avi	BPX074	Licensed Representative (Provisional Licence)	9
BOUAKLINE Yacine	BPW363	Licensed Representative (Provisional Licence)	1, 2
BROWNELL James Llewellyn	BOI146	Licensed Representative (Provisional Licence)	1, 2
CAO Xiangwei	AXV946	Licensed Representative (Provisional Licence)	4, 9
CHAI Tak Loon	AWH859	Licensed Representative (Provisional Licence)	1, 4
CHAN Ching Wan	AFH098	Licensed Representative (Provisional Licence)	4, 9
CHAN Hiu Lam	BPU737	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ho Chuen Bosco	BPU783	Licensed Representative (Provisional Licence)	1, 6
CHAN Ho Ming Sydney	BPW987	Licensed Representative (Provisional Licence)	1, 4
CHAN Kan Yin	BPW428	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Lok Sang	BPW692	Licensed Representative (Provisional Licence)	1, 2
CHAN Ngae Chau	BPW468	Licensed Representative (Provisional Licence)	1, 2
CHAN Stephen	BPW596	Licensed Representative (Provisional Licence)	1
CHAN Tsz Ching	BPW846	Licensed Representative (Provisional Licence)	1, 4
CHAN Yat Leung	BPW720	Licensed Representative (Provisional Licence)	1
CHAN Yee Ting	BCH569	Licensed Representative (Provisional Licence)	6
CHANG Jae Ho	BPU322	Licensed Representative (Provisional Licence)	1, 4
CHANG Shik Fen	BPW072	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Show Shin	BPV961	Licensed Representative (Provisional Licence)	1
CHANG Shui Man Jacqueline	BPV278	Licensed Representative (Provisional Licence)	1, 2
CHANG Wei Hsuan	BPV643	Licensed Representative (Provisional Licence)	6
CHAU Wai Hing	AOU403	Licensed Representative (Provisional Licence)	1, 4, 9
CHAUDET Marc-Antoine	BPV270	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEN Andy Gengyu	BPV045	Licensed Representative (Provisional Licence)	1, 2
CHEN Cheng	BCS506	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Jianfang	BEK767	Licensed Representative (Provisional Licence)	9
CHEN Junyi	BPU740	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Linyuan	BPW234	Licensed Representative (Provisional Licence)	9
CHEN Xingmin	BPW992	Licensed Representative (Provisional Licence)	1, 4
CHEN Ye	BPV962	Licensed Representative (Provisional Licence)	4
CHEN Yi Ru	ALP979	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yiqiao	BPW911	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yiyi	BPU388	Licensed Representative (Provisional Licence)	1
CHENG Ka Yee	BDX497	Licensed Representative (Provisional Licence)	1, 4
CHENG Ki Sum	AXU779	Licensed Representative (Provisional Licence)	4, 9
CHEONG Chi Chong	BPW817	Licensed Representative (Provisional Licence)	1
CHEUNG Tsz Fong	BPY092	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Yan Yin	BPX015	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Yau Wai Jonathan	BPX411	Licensed Representative (Provisional Licence)	1, 2
CHIANG Chien Yin	BPU845	Licensed Representative (Provisional Licence)	1
CHIANG TSZ SHAN	BPW635	Licensed Representative (Provisional Licence)	1, 4, 9
CHIEN Shao Yu	BPX091	Licensed Representative (Provisional Licence)	1, 4, 9
CHIN Lip Ting	BPW335	Licensed Representative (Provisional Licence)	4
CHIU Chanel Mun Lum	BEI462	Licensed Representative (Provisional Licence)	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
CHIU Ka Hing, Peter	AJB224	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Chun Pang	AWH239	Licensed Representative (Provisional Licence)	1, 4
CHOW Wai Kuen	BPW547	Licensed Representative (Provisional Licence)	1, 4
CHU Hoi Yuen	BPX685	Licensed Representative (Provisional Licence)	1, 4
CHU Yiwei	BPW311	Licensed Representative (Provisional Licence)	1, 4, 6
CHUGH Shaan	BPX024	Licensed Representative (Provisional Licence)	9
CLAIR Alois Quilian Joseph	BPW770	Licensed Representative (Provisional Licence)	1, 4
DATTENBERG-DOYLE Finn Walter Patrick	BPW367	Licensed Representative (Provisional Licence)	1, 2, 7
DENG Yuqing	BOC301	Licensed Representative (Provisional Licence)	1, 4
DONG Lihua	BPX397	Licensed Representative (Provisional Licence)	1, 2
DONG Shen	BPP983	Licensed Representative (Provisional Licence)	9
DU Manjing	BPU849	Licensed Representative (Provisional Licence)	1, 2, 9
EVANS Richard William	BPV471	Licensed Representative (Provisional Licence)	1, 2
FAN Gang	BPW733	Licensed Representative (Provisional Licence)	9
FAN Hiu Ying Joyce	BPW847	Licensed Representative (Provisional Licence)	1, 2, 4, 9
FAN Zifang	BPT121	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Chen	BPW297	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Yanhe	BPW811	Licensed Representative (Provisional Licence)	4
FANG Yuanhao	BPW058	Licensed Representative (Provisional Licence)	1, 4
FENG Jiayan	BPW563	Licensed Representative (Provisional Licence)	1, 4
FENG Jingshan	BPV684	Licensed Representative (Provisional Licence)	1, 4
FLYNN Paul Terrence	AMB598	Licensed Representative (Provisional Licence)	9
FOK Tsz Ching	BPX014	Licensed Representative (Provisional Licence)	1, 2
FONG Ying Ching	AXO784	Licensed Representative (Provisional Licence)	1
FRANCOMME Gregory Fred Lucien	BPX097	Licensed Representative (Provisional Licence)	1, 2
FU Yingying	BPV589	Licensed Representative (Provisional Licence)	1
FUNG Hoi Yan	BPW901	Licensed Representative (Provisional Licence)	1, 2, 3
FUNG Yu Chun	BPU998	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Bin	BPV900	Licensed Representative (Provisional Licence)	1, 2
GAO Mengyuan	BPW693	Licensed Representative (Provisional Licence)	1, 4, 6
GE Ziqi	BPS976	Licensed Representative (Provisional Licence)	1, 4, 6
GELIS Etienne Maxime	BPV803	Licensed Representative (Provisional Licence)	1, 2
GHATTAS Haitham Alexander	BMX679	Licensed Representative (Provisional Licence)	6
GONG Jiaolei	BPW796	Licensed Representative (Provisional Licence)	1
GU Junlun	BPV394	Licensed Representative (Provisional Licence)	4, 9
GU Shangxuan	BPU698	Licensed Representative (Provisional Licence)	4, 9
GUAN Ruicheng	BPW794	Licensed Representative (Provisional Licence)	4, 5, 9
HO Chak Pan	BPW822	Licensed Representative (Provisional Licence)	1, 2
HO HOI SHAN	BMP009	Licensed Representative (Provisional Licence)	1
HO Tsz Lok	BPW940	Licensed Representative (Provisional Licence)	4
HU Qiaolin	BPJ704	Licensed Representative (Provisional Licence)	2, 5
HU Sen	BPW640	Licensed Representative (Provisional Licence)	4, 9
HUANG Bo	BPV112	Licensed Representative (Provisional Licence)	9
HUANG Xiren	BOX461	Licensed Representative (Provisional Licence)	1, 9
HUANG Zixiao	BPX104	Licensed Representative (Provisional Licence)	1, 2, 9
HUI Hang Yung	BPU417	Licensed Representative (Provisional Licence)	1, 4, 6
ILLINGWORTH Piers	BPU518	Licensed Representative (Provisional Licence)	1, 4, 9
JI Zihan	BPW361	Licensed Representative (Provisional Licence)	1
JIANG Chun	BNU101	Licensed Representative (Provisional Licence)	4
JIANG HONGYU	BPX099	Licensed Representative (Provisional Licence)	1, 4, 6
JIO Rina Lookman	BPX089	Licensed Representative (Provisional Licence)	1, 4
KAI Mingda	BPK278	Licensed Representative (Provisional Licence)	9
KAMISAKU Hidekazu	BPU963	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
KANG Chang Hee	BPU771	Licensed Representative (Provisional Licence)	1
KE Yixiong	BPW539	Licensed Representative (Provisional Licence)	9
KEE Kin Hang	BPW487	Licensed Representative (Provisional Licence)	1, 4, 5, 9
KIM Byung Joo	BJE661	Licensed Representative (Provisional Licence)	9
KIM Han Soo	BPX119	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Yohan	BPT874	Licensed Representative (Provisional Licence)	1, 2
KIM Yoon Hyun	BPW560	Licensed Representative (Provisional Licence)	1, 2
KONG Ying Hong	BPU691	Licensed Representative (Provisional Licence)	1, 4, 9
KUAI Jian	BPT856	Licensed Representative (Provisional Licence)	4
KWAN Chi Yan Lawrence	AQC409	Licensed Representative (Provisional Licence)	1, 2, 7
KWOK Chun Ho	BPW106	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KWOK Kam Ho	BPX005	Licensed Representative (Provisional Licence)	1
LAI Siu Wa	BPV534	Licensed Representative (Provisional Licence)	6
LAI Sze Wan	BPX362	Licensed Representative (Provisional Licence)	1
LAM Cham	ARA670	Licensed Representative (Provisional Licence)	1, 4
LAM Fan	BPR601	Licensed Representative (Provisional Licence)	1, 2, 4
LAM Fung	BPV203	Licensed Representative (Provisional Licence)	1, 2, 4
LAM Ping Ping	BPY067	Licensed Representative (Provisional Licence)	1, 4
LAM Pui King	BPX472	Licensed Representative (Provisional Licence)	1, 2
LAM Yi Nok Cameron	BPW772	Licensed Representative (Provisional Licence)	1, 4, 6
LAW Alice	BOG528	Licensed Representative (Provisional Licence)	1, 2
LAW Lok	BPT105	Licensed Representative (Provisional Licence)	1, 4
LE Xintong	BPW925	Licensed Representative (Provisional Licence)	9
LEE Heejin	BPT368	Licensed Representative (Provisional Licence)	10
LEE Kai Yiu	BPV065	Licensed Representative (Provisional Licence)	1, 6
LEE Tsz Wing	BPW069	Licensed Representative (Provisional Licence)	1
LEE Yin Han	AWX947	Licensed Representative (Provisional Licence)	1, 2
LEI Ming	BPW417	Licensed Representative (Provisional Licence)	4
LEUNG Hei Man	BPX142	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Yin Piu	BEE272	Licensed Representative (Provisional Licence)	1, 4, 9
LI CHANGQIAN	BPX251	Licensed Representative (Provisional Licence)	1, 4
LI Kei Wing	BOR849	Licensed Representative (Provisional Licence)	1
LI Li	BPW976	Licensed Representative (Provisional Licence)	1, 4
LI Liangde	BPW620	Licensed Representative (Provisional Licence)	1
LI Longfei	BPX648	Licensed Representative (Provisional Licence)	1, 4, 6
LI Man Kit	ATH561	Licensed Representative (Provisional Licence)	1
LI Quanwei	AXP766	Licensed Representative (Provisional Licence)	4, 9
LI Wanqing	BPY097	Licensed Representative (Provisional Licence)	1, 4
LI Xiaoyuan	BPW686	Licensed Representative (Provisional Licence)	1
LI Yu Chiu	BPW866	Licensed Representative (Provisional Licence)	1, 6
LI Yun	BPX447	Licensed Representative (Provisional Licence)	1, 4
LIANG Xiaotong	BPV686	Licensed Representative (Provisional Licence)	1, 4, 6
LIAO Elanna	ATT452	Licensed Representative (Provisional Licence)	1
LIAO Yuezhi	BPV950	Licensed Representative (Provisional Licence)	1
LIAUW Giok Chang	BPX489	Licensed Representative (Provisional Licence)	1, 4
LIM Yi Jun	BPV935	Licensed Representative (Provisional Licence)	1, 2
LIN Chang-Chung	ANC156	Licensed Representative (Provisional Licence)	1, 4
LIN Juan	BPV623	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Yang	BPU163	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Chang	BPX390	Licensed Representative (Provisional Licence)	1
LIU Di	BOF492	Licensed Representative (Provisional Licence)	1, 2
LIU Majin	BNK629	Licensed Representative (Provisional Licence)	9
LIU Peng	BOO746	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Yicheng	BPX400	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yifan	AXV269	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zhipeng	BEU992	Licensed Representative (Provisional Licence)	4
LO Pak Lam	BPW653	Licensed Representative (Provisional Licence)	4, 5, 9
LONG Qianqian	BPW785	Licensed Representative (Provisional Licence)	1, 4, 9
LONG Tien Ian	ADV780	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Mang Sze, Marcella	AET173	Licensed Representative (Provisional Licence)	9
LUO Jiefu	BPU392	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Jingyu	BPX012	Licensed Representative (Provisional Licence)	1
MA Bofei	BPX341	Licensed Representative (Provisional Licence)	1, 4, 6
MAHESHWARI Vashistha	BKW497	Licensed Representative (Provisional Licence)	1, 6
MAO Cui	BPW621	Licensed Representative (Provisional Licence)	1
MENG Yanyi	BPW530	Licensed Representative (Provisional Licence)	1, 2
MIN Ruichao	BMQ142	Licensed Representative (Provisional Licence)	9
MOORHEAD Jonathan James Stevenson	BPW741	Licensed Representative (Provisional Licence)	1, 2
MORI Mike Kohzo	BPX121	Licensed Representative (Provisional Licence)	1, 4, 6
MU Danying	BPW423	Licensed Representative (Provisional Licence)	1, 6
NAGAI Naoto	BPV627	Licensed Representative (Provisional Licence)	1, 4, 9
NAN Ying	BFU986	Licensed Representative (Provisional Licence)	1
NG Ka Ki Arny	BPV304	Licensed Representative (Provisional Licence)	1
NG Sin Lui	BPV232	Licensed Representative (Provisional Licence)	1, 4
NG Wai Man	ACT918	Licensed Representative (Provisional Licence)	4, 9
NG Wing Sing, James	ADW711	Licensed Representative (Provisional Licence)	1
NGUYEN Duc Thang	BPW385	Licensed Representative (Provisional Licence)	1
NIXON Timothy William	BPW792	Licensed Representative (Provisional Licence)	1, 4
NOBLE Lance	BPX521	Licensed Representative (Provisional Licence)	4
OH Sun Kyung	BPU791	Licensed Representative (Provisional Licence)	1, 2
OKINAKA Corey Arthur	BPU312	Licensed Representative (Provisional Licence)	9
PAN Fei	BPV622	Licensed Representative (Provisional Licence)	1, 6
PAPANTONIOU John Peter William	BPW007	Licensed Representative (Provisional Licence)	1, 2
PATEL Rakesh	ALI605	Licensed Representative (Provisional Licence)	9
PENG Qianqian	BPV767	Licensed Representative (Provisional Licence)	1, 4
POON Yee Man Alwin	AUJ147	Licensed Representative (Provisional Licence)	1
PRADANA Jeff Arif	APJ987	Licensed Representative (Provisional Licence)	1, 2
QI Sihan	BPW397	Licensed Representative (Provisional Licence)	1, 4, 6
QIN Yue	BPW980	Licensed Representative (Provisional Licence)	1
RAY Hung Pang	BID045	Licensed Representative (Provisional Licence)	1, 2, 4
RHEE Eun Ji	BPT602	Licensed Representative (Provisional Licence)	4
RUAN Fei	BPW156	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
RUBIO MOLINA PRADOS Francisco Eugenio	BNH339	Licensed Representative (Provisional Licence)	1, 2, 7
SAPSFORD Tyler Hayashi	BPW832	Licensed Representative (Provisional Licence)	1, 2
SCHMITZ Charline Florence	BPX545	Licensed Representative (Provisional Licence)	1, 2
SHEK Ho Man Hubert	BPU784	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Jing	BOZ611	Licensed Representative (Provisional Licence)	1, 4
SHEN Yixuan	BBS245	Licensed Representative (Provisional Licence)	1, 4, 9
SHENG jie	BPV046	Licensed Representative (Provisional Licence)	1
SHI Guangyuan	BPW979	Licensed Representative (Provisional Licence)	1, 4
SHI Tianyi	BPV473	Licensed Representative (Provisional Licence)	1, 7
SHIH Wen-Ping	BPV357	Licensed Representative (Provisional Licence)	4
SINGH Rehmat	BPW368	Licensed Representative (Provisional Licence)	1, 2
SIT Kwun Kit	BPX051	Licensed Representative (Provisional Licence)	1, 2
SIU Lai Ki	BGP986	Licensed Representative (Provisional Licence)	1, 2, 4
SMILEY William Timothy John	AVA653	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
SO Ka Ho Benjamin	AXZ272	Licensed Representative (Provisional Licence)	4, 9
SONG Ting	BPX399	Licensed Representative (Provisional Licence)	1, 4
STADLER Francesco Antonio Alois	BPV392	Licensed Representative (Provisional Licence)	4, 9
STANTON Angela	BPW834	Licensed Representative (Provisional Licence)	1, 2, 7
SUN Charles Kar Jon	BGY848	Licensed Representative (Provisional Licence)	1, 2
SUN He	AWF650	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Shishuang	BPW542	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Yat Chun, Andy	BJH204	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Yisi	BPU860	Licensed Representative (Provisional Licence)	1, 2
TAM Hoi Yiu	BPT854	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Lok Man	BPV002	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Wan Rou, Denise	AQS365	Licensed Representative (Provisional Licence)	1, 2, 7
TAN Chao	BPV293	Licensed Representative (Provisional Licence)	4, 9
TANG Lap Yan	ACG574	Licensed Representative (Provisional Licence)	1, 4
TANG Tzu Yao	BPW996	Licensed Representative (Provisional Licence)	1
TANG Zipei	BPU409	Licensed Representative (Provisional Licence)	4
TIAN Yuanhui	BPW492	Licensed Representative (Provisional Licence)	1, 4, 9
TOH Alfred Kay Boon	BPX067	Licensed Representative (Provisional Licence)	1, 4
TONG Kimberly Elaine	BPW541	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Kwong Yuen, James	BPV560	Licensed Representative (Provisional Licence)	6
TRETHEWAY Daniel Glenn	BPW688	Licensed Representative (Provisional Licence)	1, 4
TSAI Chi-Lin	BPP284	Licensed Representative (Provisional Licence)	1, 4
TSAI King Wa Kingsway	BIK695	Licensed Representative (Provisional Licence)	1, 2
TSANG Wai Chor	BPX093	Licensed Representative (Provisional Licence)	1, 4
TSE Ka Lai	BPX504	Licensed Representative (Provisional Licence)	1, 2
TSE Ka Wun	BPW652	Licensed Representative (Provisional Licence)	1, 2
TSUN Douglas Patrick	BMB251	Licensed Representative (Provisional Licence)	1
TUCKWELL Zachary Scott	ADT336	Licensed Representative (Provisional Licence)	1
TYLER Nicholas Christian	BPW960	Licensed Representative (Provisional Licence)	1, 6
VAN DEN BERGH Candice	BPX098	Licensed Representative (Provisional Licence)	9
WAN Ka Kuen	BPX295	Licensed Representative (Provisional Licence)	1, 2
WANG Edwin Meng Bai	BMX625	Licensed Representative (Provisional Licence)	1, 4
WANG Jiawei	BPW730	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Keyi	BDQ199	Licensed Representative (Provisional Licence)	6
WANG Lei	BPW175	Licensed Representative (Provisional Licence)	1, 6
WANG Minnan	BPW751	Licensed Representative (Provisional Licence)	4
WANG Senquan	BPX070	Licensed Representative (Provisional Licence)	4
WANG Shaozhong	BPW187	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Su	BPX318	Licensed Representative (Provisional Licence)	1, 6
WANG Xingyi	BPL212	Licensed Representative (Provisional Licence)	2, 5
WANG Yanchen	BPV130	Licensed Representative (Provisional Licence)	4
WANG Yifei	BPV766	Licensed Representative (Provisional Licence)	1, 4
WANG Zhuojun	BPX118	Licensed Representative (Provisional Licence)	1, 4, 6
WEBB Anthony Patrick	BGI051	Licensed Representative (Provisional Licence)	1
WEI Jingmeng	BPV402	Licensed Representative (Provisional Licence)	2
WEN Yijin	BPV421	Licensed Representative (Provisional Licence)	2
WONG Ho Yin	BPW957	Licensed Representative (Provisional Licence)	1, 2, 7
WONG Ka Chun	BPU964	Licensed Representative (Provisional Licence)	1, 2
WONG Kam Yui	BPV268	Licensed Representative (Provisional Licence)	1
WONG Ming Yuk	BPT474	Licensed Representative (Provisional Licence)	2, 5
WONG Wai Ping, Esther	AMP022	Licensed Representative (Provisional Licence)	4
WONG Wai Yuen, Matthew	AFV519	Licensed Representative (Provisional Licence)	9
WONG Wing Kwan	AXH995	Licensed Representative (Provisional Licence)	1, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Ying Ting	BPV039	Licensed Representative (Provisional Licence)	1, 4, 9
WOO Wai Man, Wyman Karen	AQU150	Licensed Representative (Provisional Licence)	6
WU Xinran	BPW070	Licensed Representative (Provisional Licence)	4
WUN Lok Wing	BPX002	Licensed Representative (Provisional Licence)	6
WURFBAIN Oliver Roderick Joan	BPX674	Licensed Representative (Provisional Licence)	1, 2
XI Yiru	BPX069	Licensed Representative (Provisional Licence)	1, 2
XIN Xing	BPV806	Licensed Representative (Provisional Licence)	1, 7
XU Hanshu	BPX438	Licensed Representative (Provisional Licence)	1, 6
XU Minruijun	BPX307	Licensed Representative (Provisional Licence)	1, 6
XU Ruowei	BPQ795	Licensed Representative (Provisional Licence)	4
XU Zelun	BPW476	Licensed Representative (Provisional Licence)	1, 2, 4
YAN Yuen Cheung	BPV918	Licensed Representative (Provisional Licence)	1, 2
YANG Lu	BPS226	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Shuying	BPW872	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Tianhong	BPE741	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Xinlin	APG517	Licensed Representative (Provisional Licence)	4, 9
YAO Fanjiang	BPW953	Licensed Representative (Provisional Licence)	1, 2
YAO Junfei	BPV703	Licensed Representative (Provisional Licence)	1
YAO Wang	BPW377	Licensed Representative (Provisional Licence)	1
YE WenLiang	BPX001	Licensed Representative (Provisional Licence)	1, 7
YIU Chi Shing	BPW483	Licensed Representative (Provisional Licence)	1, 2
YIU Kin Chung Desmond	BPX103	Licensed Representative (Provisional Licence)	1, 2, 9
YIU Tze Wai	APS748	Licensed Representative (Provisional Licence)	4, 9
YU Chi Chu	BPM090	Licensed Representative (Provisional Licence)	1, 9
YU Chunyan	BPW528	Licensed Representative (Provisional Licence)	1, 9
YU Tianbo	BIO480	Licensed Representative (Provisional Licence)	9
YU Ya-Chu	BPM093	Licensed Representative (Provisional Licence)	1, 9
YUAN Qinghui	BPW879	Licensed Representative (Provisional Licence)	4
YUE Tat Ho	BPW601	Licensed Representative (Provisional Licence)	1, 4
ZAYCO Matthew Dionisio	BPW594	Licensed Representative (Provisional Licence)	1
ZHANG Chengshun	BPR910	Licensed Representative (Provisional Licence)	1
ZHANG Colin	BDN956	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Dayang	BPV545	Licensed Representative (Provisional Licence)	4
ZHANG Jingyi	BPT882	Licensed Representative (Provisional Licence)	1, 4
ZHANG Lunke	BPX023	Licensed Representative (Provisional Licence)	1, 4
ZHANG Siqi	BPS603	Licensed Representative (Provisional Licence)	6
ZHANG Xinyi	BPV932	Licensed Representative (Provisional Licence)	1, 2
ZHANG Xinyuan	BPW712	Licensed Representative (Provisional Licence)	4
ZHANG Yulong	BPW299	Licensed Representative (Provisional Licence)	4
ZHAO Chen	BPU414	Licensed Representative (Provisional Licence)	4
ZHAO Chenchen	BPW861	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yuefeng	BPU173	Licensed Representative (Provisional Licence)	4
ZHOU Chak Hon	BPW107	Licensed Representative (Provisional Licence)	4, 9
ZHOU Guang	ALS668	Licensed Representative (Provisional Licence)	1, 4
ZHOU Jin	BPW629	Licensed Representative (Provisional Licence)	1, 4
ZHOU Wenqi	BPS629	Licensed Representative (Provisional Licence)	1, 4
ZHOU yueyi	BPX271	Licensed Representative (Provisional Licence)	4
ZHU Min	BPX372	Licensed Representative (Provisional Licence)	4, 9
ZHU Tianqi	BPV454	Licensed Representative (Provisional Licence)	1, 6
ZHU Yuguang	BPX084	Licensed Representative (Provisional Licence)	9
CHUAH Chia Ern	BMQ946	Licensed Representative (Temporary Licence)	1, 4, 6
GRASHOFF Dennis	BGH024	Licensed Representative (Temporary Licence)	1
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	4
MIYATA Kotaro	BOI284	Licensed Representative (Temporary Licence)	1
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
SANO Fumihiko	BPW837	Licensed Representative (Temporary Licence)	1, 6
SUZUKI Seiichiro	AUK287	Licensed Representative (Temporary Licence)	4