

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
BNP PARIBAS ASSET MANAGEMENT ASIA Limited - BNP PARIBAS ASSET MANAGEMENT ASIA Limited (ABA779) and BNP Paribas Dealing Services Asia Limited (AEK771) amalgamated on 1 January 2019, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is BNP PARIBAS ASSET MANAGEMENT ASIA LIMITED (BNS488).	ABA779	Licensed Corporation	1, 4, 5, 9
BNP Paribas Dealing Services Asia Limited - BNP Paribas Dealing Services Asia Limited (AEK771) and BNP PARIBAS ASSET MANAGEMENT ASIA Limited (ABA779) amalgamated on 1 January 2019, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is BNP PARIBAS ASSET MANAGEMENT ASIA LIMITED (BNS488).	AEK771	Licensed Corporation	1, 2
China Hanya Securities Limited	BIJ698	Licensed Corporation	1
Dagong Global Credit Rating (Hong Kong) Co., Limited	BAY548	Licensed Corporation	10
Hercules Capital Limited	AIB856	Licensed Corporation	6
HX Innovation Capital Management Co. Limited	BGL022	Licensed Corporation	9
IIFL Private Wealth Hong Kong Limited	AXC525	Licensed Corporation	1, 4
MF Global Hong Kong Limited	AMB200	Licensed Corporation	1, 2, 3, 4, 5
One Financial Markets Asia Limited	BFZ621	Licensed Corporation	3
Orion Partners Advisors Limited	BBG612	Licensed Corporation	4, 6
Pan Asian Ratings Limited	BCP176	Licensed Corporation	10
Providence Equity Asia Limited	AOY516	Licensed Corporation	1, 4
ADVANI Alokik Indru	AVL308	Licensed Representative	1, 2
AGARWAL Vishal	AQM860	Licensed Representative	1, 4, 9
ASHIDA Jun Charles	BDQ753	Licensed Representative	1, 2
BANFIELD Matthew James	AXQ334	Licensed Representative	1, 2, 7
BERGMAN Melissa Ann	BMV360	Licensed Representative	4, 9
BOEY Shihui Vanessa Estelle	BJP843	Licensed Representative	1
CAO Yuhao	BFX037	Licensed Representative	1, 6
CHAN Angela	AMY550	Licensed Representative	1, 4
CHAN Cho Yin	BAR088	Licensed Representative	4
CHAN Fai Shing	AWU704	Licensed Representative	1
CHAN Ka Him	BML433	Licensed Representative	1
CHAN Kin Bun	BIN569	Licensed Representative	2, 3
CHAN Ngai Lam	BAZ975	Licensed Representative	1, 2
CHAN Oi Yin, Jennifer	ARD532	Licensed Representative	1, 4
CHAN Shu Ting	APQ569	Licensed Representative	1, 2, 3
CHAN Siu Ki, Eric	AXG825	Licensed Representative	1
CHAN Suk Ngai, Tiffany	AQB021	Licensed Representative	1, 2
CHAN Tak Ying	ABE491	Licensed Representative	1
CHAN Wing Cheung, Paul	ABY118	Licensed Representative	1
CHAN Yiu Ting	ACJ836	Licensed Representative	1, 2
CHAN Yuk Ming, Phonda	AZQ766	Licensed Representative	1
CHANG William Wei Han	AVI629	Licensed Representative	4, 9
CHARNI Jamel	BCG010	Licensed Representative	1, 2
CHAU Suk Ling	APJ233	Licensed Representative	1, 2, 9
CHAU Tsz Yan	BEI467	Licensed Representative	1
CHEN Beifang	BIC145	Licensed Representative	1, 4, 9
CHEN Jou Ting Tina	BJV550	Licensed Representative	1, 4
CHEN Mingjing	BFP967	Licensed Representative	1, 2, 4
CHEN Xiaohua	BAM436	Licensed Representative	1, 2
CHEN Xinxuan	BKF807	Licensed Representative	1, 6
CHEN Yizhou	BLK647	Licensed Representative	1
CHEN Yu	BFD957	Licensed Representative	1, 2
CHEN Yu	BLN446	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Yuxi	BHC860	Licensed Representative	1, 6
CHENG Chee Wang	AZM728	Licensed Representative	1, 4, 9
CHENG Kit Ying	APP239	Licensed Representative	4, 9
CHENG Kwok Sum	AXR842	Licensed Representative	4, 9
CHENG Samson Tsz Kit	APL250	Licensed Representative	1, 6
CHENG Yiu Ting	BGM269	Licensed Representative	1, 2
CHEOK Sui Gake	ANW198	Licensed Representative	1, 2
CHEUNG Chun	AXL778	Licensed Representative	1
CHEUNG Chun Chuen	AEJ788	Licensed Representative	1, 2
CHEUNG King Hing	BMH293	Licensed Representative	1, 4
CHEUNG Lai Yee	ATY571	Licensed Representative	1, 2
CHEUNG Man Ying	AYP964	Licensed Representative	6
CHEUNG Sau Lai	AAB136	Licensed Representative	1
CHEUNG Sau Lam, Trista	AYC968	Licensed Representative	1, 4, 9
CHEUNG Tsz Ming	BGC381	Licensed Representative	1
CHI Haocheng	BJE002	Licensed Representative	4
CHING Wai Chung Wyson	BEF180	Licensed Representative	1, 4
CHIU Lap Kei	AYP123	Licensed Representative	1, 2, 5
CHOW Douglas	BIM410	Licensed Representative	1, 6
CHOW Lai Kit	AOU722	Licensed Representative	1, 4, 9
CHOW Ming Keung	BJT473	Licensed Representative	1, 4, 9
CHUI Ka Ching	AGI180	Licensed Representative	1
CHUNG Cheuk Man	AIC833	Licensed Representative	1
CHUNG Hei Tong	BGB389	Licensed Representative	1, 4, 9
CHUNG Ka Ki	ABV204	Licensed Representative	1, 2
CHUNG Kin Keung	AFL296	Licensed Representative	1
COATES David Lee	ARJ844	Licensed Representative	9
CONRAD Gregor Abraham	BFC668	Licensed Representative	1, 4, 9
DAI Andy Andong	BKD313	Licensed Representative	1, 6
DAI Angela	BFR745	Licensed Representative	1, 4, 9
DAI Letian	BHP288	Licensed Representative	1, 6
DELORME Augustin, Marie, Jerome	BGB271	Licensed Representative	4, 6, 9
DIJKSTRA Krijn Jelle	BEC504	Licensed Representative	4
DONG Jixuan	BMQ566	Licensed Representative	4
DU Qing	AMT911	Licensed Representative	9
DU Yi	BGV069	Licensed Representative	1, 4, 6
DUNNE Terry K	AUW515	Licensed Representative	4
ENDO Takashi	BDM015	Licensed Representative	1, 4
EVANS Michael George	BFM980	Licensed Representative	1, 4, 6
FAN Kit Wing, Katherine	BFP026	Licensed Representative	1, 4
FARBMAN Ethan Charles	AJY727	Licensed Representative	1, 6
FENG Yilin	BDH472	Licensed Representative	1, 4
FOK Fung Ling	AAW015	Licensed Representative	1
FOK Kam Kong	BJD167	Licensed Representative	1, 2
FROMENTIN Valentine Jeanne Renee Marie	BGQ197	Licensed Representative	1
FU Lai King	AAY155	Licensed Representative	1
FUNG Hong Yiu	BMI521	Licensed Representative	1, 2
GAJAPATI RAJU Sidharth	BFK457	Licensed Representative	4, 9
GANDHI Vivek Subhash	AQM706	Licensed Representative	1
GAO Yedong	BAI002	Licensed Representative	4
GIBERT Olivier Thierry Jacques Jean	BFK689	Licensed Representative	6
GLOANEC Francois Charles Victor	BFIO48	Licensed Representative	1, 7
GU Can	BEI706	Licensed Representative	1, 4, 5, 9
GU Tianxing	BFK957	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HA Sae Young	AOY833	Licensed Representative	1, 9
HAMARD Eric, Jean-Claude, Lucien	ATF066	Licensed Representative	1, 2
HAN Dong Ok	BMP067	Licensed Representative	1, 4
HAUPT Michael	BDO476	Licensed Representative	1, 4
HEASMAN Nicholas James	ART784	Licensed Representative	2
HO Amanda Jean	BEE960	Licensed Representative	4, 9
HO Ka Hang	ANH116	Licensed Representative	1
HO Sze Ka, Catherine	ANZ530	Licensed Representative	1
HO Tsz Shun	BEC501	Licensed Representative	1
HO Wai Cheung	AYQ848	Licensed Representative	1, 2
HO Wai Hoong, Sebastian	BJJ383	Licensed Representative	1, 4
HO Wai Ting	BHA300	Licensed Representative	1
HONG Suet Kei Fanny	AXD247	Licensed Representative	1, 2
HSU David Ka-Leung	AGU753	Licensed Representative	1, 4
HSU Li Chu	BJO067	Licensed Representative	1
HU Chenwen	BDL902	Licensed Representative	10
HUANG Ching-Feng	BJL041	Licensed Representative	4
HUANG Sha	BHJ425	Licensed Representative	1
HUANG Yue	BJS142	Licensed Representative	1
HUANG Yujia	AZY952	Licensed Representative	1, 4
HUI Jie	BIJ550	Licensed Representative	1, 4
HUNG Wai Ching	BMA875	Licensed Representative	1, 2, 3
HUNG Yuk Fan	ABV180	Licensed Representative	1
IM Jiun	BHF470	Licensed Representative	4
INCI Ufuk Dany	BFY671	Licensed Representative	1, 2, 7
IP Wai Lam	ANP650	Licensed Representative	1, 2
JALANDONI ORROS Maria Lourdes	AAZ512	Licensed Representative	4
JI Sanghoon	BGX585	Licensed Representative	1, 4
JIANG Wenju	BGN056	Licensed Representative	9
JIAO Yunchu	BII058	Licensed Representative	1
JINDO Koki	AJL494	Licensed Representative	1, 6
KAM Lam, Gordon	BIJ907	Licensed Representative	1, 4, 9
KAM Wai Ling, Bonny	APV920	Licensed Representative	1
KAM Wing Shu, Stephen	AAI338	Licensed Representative	1, 2
KAO Yu Lin	BLE983	Licensed Representative	1, 4, 9
KIM Jongwon	BGM424	Licensed Representative	1
KIM Jungwoo	BCA283	Licensed Representative	4
KIM Minsang	BIJ090	Licensed Representative	1, 4, 6
KIM Su Hee	BHU272	Licensed Representative	1, 2
KIM Sung Hun	BNU578	Licensed Representative	1, 4, 9
KIM Wee Kwang	BEF650	Licensed Representative	1, 4, 6
KING Elliott Ethan	BCS968	Licensed Representative	6
KISTLER Mami	BEL596	Licensed Representative	1, 2
KOST Alexander Stephen	BKN871	Licensed Representative	1, 2
KRAKE Paul Edward	ADT713	Licensed Representative	4
KWAN Ting Gee	AUR559	Licensed Representative	1, 4, 9
KWAN Yok Bing, Wendy	AEH770	Licensed Representative	1, 4
LAI Chengdi	BDL588	Licensed Representative	9
LAI Ming Kit, Franki	AAA316	Licensed Representative	1, 2
LAI Wing Yan	BDL928	Licensed Representative	1
LAI Wing Yin	BLP002	Licensed Representative	1, 4
LAM Boon Ho, Benjamin	AHA421	Licensed Representative	1, 2
LAM Chi Pui	BID982	Licensed Representative	1, 2, 4
LAM Wai Shing	AYJ113	Licensed Representative	1, 2, 4, 5

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Cheung Ka, Daniel	AlB406	Licensed Representative	4, 9
LAU Chi Hang	BMC986	Licensed Representative	1, 4
LAU Hon Yuen	BFI264	Licensed Representative	1, 2
LAU Sze Man, Geri	BIX784	Licensed Representative	1
LAU Wai Ngar Veronica	BJC923	Licensed Representative	10
LAU Yue Che, Adam	AGF159	Licensed Representative	1, 2, 4, 5
LAW Kin Hang	BNR911	Licensed Representative	6
LE BINH Khoi	BKP233	Licensed Representative	5
LEE Chang Yoon	BGB082	Licensed Representative	1, 2
LEE Eun Ju	BHM518	Licensed Representative	4, 9
LEE Hok Yat	BGI451	Licensed Representative	1, 2
LEE King Wai, Karen	AKL742	Licensed Representative	1, 2, 7
LEE Kwan Chak	BEI201	Licensed Representative	1, 4, 6
LEE Michel Kai Tai	AOJ780	Licensed Representative	6
LEE Rebecca	AXO695	Licensed Representative	4, 9
LEE Sin Tung	BBQ225	Licensed Representative	1
LEUNG Ho Yim	BEW801	Licensed Representative	1, 2
LEUNG Ka Yiu	BLE442	Licensed Representative	1, 4, 9
LEUNG King Sum	BGY632	Licensed Representative	1
LEUNG Man Kit	AGJ166	Licensed Representative	1, 2
LEUNG Mei Yan	BNV678	Licensed Representative	1
LEUNG Pui Ling, Polly	AJB855	Licensed Representative	1, 4
LEUNG Yiu Lun	AFV160	Licensed Representative	1, 4, 9
LI Anqi	BGM433	Licensed Representative	1, 4
LI Guangjie	AHH526	Licensed Representative	2, 6, 9
LI Jingting	BKO985	Licensed Representative	1, 4, 6
LI Juan	AZE781	Licensed Representative	10
LI Kai	BBE978	Licensed Representative	6
LI Qingzhu	BFT877	Licensed Representative	4
LI Tianci	BMX450	Licensed Representative	1, 4
LI Tsz Ling	APF455	Licensed Representative	1
LI Weinian	BFK175	Licensed Representative	1
LI Yize	BNS503	Licensed Representative	6
LIAN Wei	AYY394	Licensed Representative	6
LIAN-GREEN Anny Hairong	AGN064	Licensed Representative	1, 6
LIAO Lanke	BLK484	Licensed Representative	1, 6
LIM Shan-Jia, Alvin	AZW886	Licensed Representative	1, 4
LIM Weisheng Vincent	BFY293	Licensed Representative	1, 4
LIN Kwai Ying	BAU238	Licensed Representative	1, 9
LING Weiye	BHN807	Licensed Representative	1
LIU Boyang	BEA891	Licensed Representative	1, 4, 6
LIU Dongbo	BAP245	Licensed Representative	4, 9
LIU Jing	BLP418	Licensed Representative	9
LIU Ning	APC265	Licensed Representative	1
LIU Ting	BHZ148	Licensed Representative	1, 6
LIU Tsz Ting	BMV621	Licensed Representative	1, 2
LIU Yixing	BIG093	Licensed Representative	1, 4, 9
LIU Zhongwen	BID794	Licensed Representative	1, 4, 6
LO Po King	AEB571	Licensed Representative	1
LO Wai Lung	BLK912	Licensed Representative	1, 4
LO Wai Tak, Michael	AFT404	Licensed Representative	4, 9
LOW Christopher Swee Kiat	BKW526	Licensed Representative	1, 2
LU Bingnan	BMR636	Licensed Representative	2, 5
LUI Wai Ching	BMO772	Licensed Representative	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LUO Yue	BLD589	Licensed Representative	1, 4, 6
LY Johnny	BLP129	Licensed Representative	1, 4, 9
MA Ivan Man Chun	AJI673	Licensed Representative	1
MA Jiaxin	BJD660	Licensed Representative	1, 2, 7
MA Nan	BHZ145	Licensed Representative	1, 6
MAK Chi Kit, Jason	AZR697	Licensed Representative	6
MAKPOLO Marleeka Keyala Brodie	BMN881	Licensed Representative	1
MALLIK UPASANA	BLC104	Licensed Representative	4
MARTIN Joseph John	AJE474	Licensed Representative	1
MOK Hoi Ki, Ricky	ASJ715	Licensed Representative	1, 4, 9
MOORE Alexander William Damant	ALS540	Licensed Representative	1, 6
MORELAND Bradley Anthony	ARG325	Licensed Representative	1
NAM Dong Soo	AOY486	Licensed Representative	2
NESSI Andreas Martin	BFY117	Licensed Representative	9
NG Chi Ying, Jenny	AEE090	Licensed Representative	4, 9
NG Ching Yee	AOH043	Licensed Representative	1, 2
NG Jun Jie	BJG157	Licensed Representative	2, 5
NG Kit Yee, Kitty	ARH868	Licensed Representative	9
NG Pun Wing	APV910	Licensed Representative	1
NOMURA Kan	AIU759	Licensed Representative	1, 4
OBRIST Christian Yves	BDY901	Licensed Representative	1, 4
OLDFIELD Stephen Michael	ADQ675	Licensed Representative	4
PALGHAT Kumar Narayan	BGK421	Licensed Representative	1, 4
PANG Man Wai	ARD488	Licensed Representative	1, 4, 9
PARK Ji Eun	AYZ987	Licensed Representative	1, 2
PARKER Douglas Gordon	APZ516	Licensed Representative	1, 6
PARMET Andrew Charles	ABY125	Licensed Representative	9
PATEL Suhail Yusuf	BHJ819	Licensed Representative	1, 2
QIAN Junhong	BKR991	Licensed Representative	4
QIN Xiaoxiao	BGJ086	Licensed Representative	1, 4
QIN Yunshan	BJG589	Licensed Representative	1, 4
REN Lixing	BGA681	Licensed Representative	2, 5
ROSMARIN Trevor Larry	AMS252	Licensed Representative	1
SARKAR Abhijit	AOV447	Licensed Representative	4, 5, 9
SCHOR Adam David	BGT027	Licensed Representative	1, 4
SEEWALD Michael, Rainer	BHY705	Licensed Representative	10
SEQUEIRA Clarence Edward	AFM612	Licensed Representative	1
SHEN Jiaojiao	BCM624	Licensed Representative	1, 4, 9
SHEN Xi	AXP599	Licensed Representative	10
SHEN Xiaotong	AWU655	Licensed Representative	1, 6
SHI Shaoming	BGG802	Licensed Representative	1, 2
SHIN Seung Jun	AMY283	Licensed Representative	1, 4
SIRVINT Michael David	BEO574	Licensed Representative	1
SIU Man	AMK414	Licensed Representative	1
SIU Wing Chun	BMG846	Licensed Representative	1
SO Lai Shan, Jennifer	AHA295	Licensed Representative	4, 9
SONG Kyungmin	BDV098	Licensed Representative	1, 4
SPIEWAK Martin Sigmund Anthony	BFS500	Licensed Representative	4
STEWART Robert Patrick Gordon	BDF019	Licensed Representative	1, 4, 9
SUN Lidong	AJE753	Licensed Representative	1, 2
SYN Li-Min, Mark	AGG540	Licensed Representative	9
SZE Lap Sum	AVC721	Licensed Representative	1
SZE Yim Ching	BHT051	Licensed Representative	1, 6
TAM Hiu Ching	BMO581	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAM Ka Chun	BIS737	Licensed Representative	1
TAM King Yin, Kenneth	AQA664	Licensed Representative	1, 2, 4
TAM Mayce	ADW542	Licensed Representative	1, 4
TAM Wai Hang	AXF378	Licensed Representative	9
TAN Keng Siong David	BLC295	Licensed Representative	1
TAN Rachel Xiao Wei	BKS199	Licensed Representative	1, 4, 9
TANG Yuewen	BNU982	Licensed Representative	1, 4
TAY Li Choo	ALY829	Licensed Representative	1
TAYLOR Keith James	BDN981	Licensed Representative	4, 9
TEO Pau Ying	BLI958	Licensed Representative	1, 4, 6
THOMAS Francois Ferdinand Adrien	ARF105	Licensed Representative	1, 2
THURSBY Nicholas Geoffrey	AWD032	Licensed Representative	1, 4, 6
TIAN Yiran	BJS900	Licensed Representative	9
TING Lai Ching	AFF358	Licensed Representative	1
TONG Cheuk Kwan	BLW923	Licensed Representative	4, 9
TONG Jason C Y	BNC826	Licensed Representative	6
TSANG Ka Li	AlB690	Licensed Representative	1, 4
TSE Chi Kin, Henry	ABA164	Licensed Representative	2
TSE Kwong Yuen	BBN111	Licensed Representative	1, 4
TSE Tsun Hang	BMV713	Licensed Representative	4
TSE Wing Yee	BID679	Licensed Representative	1, 4
TSE Yan	BLK428	Licensed Representative	1
TSOI Chun Hoi	BFI211	Licensed Representative	1
TSUI Wing Ping	BKZ983	Licensed Representative	1
VAJPAYEE Bhavtosh	ASO493	Licensed Representative	1, 4
VAN VEEN Arjan Francis	AUX492	Licensed Representative	4
VERMA Madhav	BHU425	Licensed Representative	1, 4, 6
WAN ER	BDY964	Licensed Representative	1, 6
WANG Yunjun	BFB083	Licensed Representative	4, 9
WANG Guanyi	BBU539	Licensed Representative	1, 4, 6
WANG Jiayue	BKB891	Licensed Representative	4, 9
WANG Mingxiong	BNP408	Licensed Representative	9
WANG Shengyu	BDV081	Licensed Representative	9
WANG Yan	BFX198	Licensed Representative	9
WANG Yaqiao	BHM958	Licensed Representative	10
WANG Yi	BIH253	Licensed Representative	1, 4, 9
WANG Yilin	BMV921	Licensed Representative	2
WANG Yufei	AZP336	Licensed Representative	1, 6
WEN Xiao	BGL467	Licensed Representative	4
WONG Hiu Wa	BJB491	Licensed Representative	1, 4
WONG Cheuk Fung	BMV587	Licensed Representative	1, 4, 6
WONG Hei Yui	BMR630	Licensed Representative	4
WONG Hoi Wai	BES907	Licensed Representative	1
WONG Justin Chinwo	BIY246	Licensed Representative	1, 4, 9
WONG Kim Cheong	BGP476	Licensed Representative	4, 9
WONG Man Tao, Michael	BCO770	Licensed Representative	4, 9
WONG Wai Chi	BMR056	Licensed Representative	1
WONG Wai Fan, Gary	ACP892	Licensed Representative	1
WONG Wai Yu, Carina	AGO609	Licensed Representative	1
WONG Yi	BCN215	Licensed Representative	1, 4
WONG Yiu Kwong	BGO349	Licensed Representative	1
WU Daniel Yang	BII022	Licensed Representative	6
WU Hao	BGH588	Licensed Representative	3
WU Liangying	BLI001	Licensed Representative	2, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Meng	BAW611	Licensed Representative	1, 6
WU Min	BLH639	Licensed Representative	1, 4
WU Yahong	AVF001	Licensed Representative	1, 4, 6
WU Ziyin	BMV591	Licensed Representative	1
XIA Yuan	BHU711	Licensed Representative	4, 9
XIE Xiaotian	BHP635	Licensed Representative	4, 9
XIONG Baiyang	BMU935	Licensed Representative	1, 4, 5, 9
XU Feng	ATS770	Licensed Representative	1, 6
XU Hantian	BIE314	Licensed Representative	1
XU Ji	BJP058	Licensed Representative	1, 2
XU Jinzhao	BKQ453	Licensed Representative	1, 4, 6
YANG Haiyang	BDJ027	Licensed Representative	4
YANG Hui Ting	AFL691	Licensed Representative	1
YAO Qian	BAC294	Licensed Representative	4
YAU Kearia Ching Fan	ADR274	Licensed Representative	1
YE Zhi	BFB220	Licensed Representative	1, 6
YEH Richard	ATH757	Licensed Representative	1, 4
YEO Jyoon	BHN843	Licensed Representative	2
YEUNG Cheuk Ki	BDO775	Licensed Representative	9
YI Ping	BGP734	Licensed Representative	1, 4, 9
YIN PEI	BLC078	Licensed Representative	1, 4
YIN Wenbo	BHG631	Licensed Representative	1, 4, 6
YIN Yanhang	BNR675	Licensed Representative	1, 6
YIP Gloria Sze Wan	BJC786	Licensed Representative	1
YIP Wa	ADI974	Licensed Representative	1, 2
YIP Yuk Man	BJV738	Licensed Representative	9
YIU Chun Kit Ernest	AZG432	Licensed Representative	1, 4
YIU Hoi yan	BEX258	Licensed Representative	1
YIU Hung Han	BEU963	Licensed Representative	1, 4
YU Wai Chiu	AXI616	Licensed Representative	1, 6
ZENG YanLing	BFC897	Licensed Representative	4, 9
ZHANG Jingyu	BML605	Licensed Representative	1, 4
ZHANG Xiaoning	BDI527	Licensed Representative	4
ZHAO Chenfan	AOS450	Licensed Representative	1
ZHAO Xinyi	BJO522	Licensed Representative	4
ZHAO Yingxiang	AVK731	Licensed Representative	4
ZHAO Yingzhe	AYQ976	Licensed Representative	4, 9
ZHENG Lei	ATR472	Licensed Representative	4, 9
ZHONG Wusijie	BNC738	Licensed Representative	4, 9
ZHOU Zheren	BGI286	Licensed Representative	1, 2, 3, 9
ZHU Guanhua	AVG924	Licensed Representative	1, 4
ZHU Haoran	BFO321	Licensed Representative	1
ZHU JieLun	AYW803	Licensed Representative	1, 4
ZHUANG Jingyu	BFA116	Licensed Representative	9
ZUO Yichao	BKO558	Licensed Representative	1, 2, 4
AVANIAN Alexandre	ARV353	Licensed Representative - Responsible Officer	4, 5, 9
BAADER Klaus Alvaro	AZE954	Licensed Representative - Responsible Officer	1, 2, 4, 5
BOYLE James Joseph	AZC785	Licensed Representative - Responsible Officer	1, 2, 4, 5, 7
BRASIER Jean-paul Yves	ACR440	Licensed Representative - Responsible Officer	4, 9
CHAK Hubert	AAW614	Licensed Representative - Responsible Officer	9
CHAN Kin Bun	BIN569	Licensed Representative - Responsible Officer	1
CHAN Ping Lit, Victor	AEZ466	Licensed Representative - Responsible Officer	4, 9
CHAN Shek Ping	AFS352	Licensed Representative - Responsible Officer	4, 9
CHENG Kit Bing Jennifer	AWK702	Licensed Representative - Responsible Officer	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIAH Kok Kuan	BEA636	Licensed Representative - Responsible Officer	9
CHUA Eng Kee, Christina	ABH543	Licensed Representative - Responsible Officer	1
CSELLAK Linda Marie	ADG936	Licensed Representative - Responsible Officer	4, 5, 9
FONG Chi Wah	AJC111	Licensed Representative - Responsible Officer	9
FUNG Man Dong, Ronald	AAH649	Licensed Representative - Responsible Officer	1
GAO Jiran	BJM109	Licensed Representative - Responsible Officer	1
GARNIER Yann, Arthur, David, Pierre	ASE643	Licensed Representative - Responsible Officer	1, 4
GU Allen Yuhui	AMJ971	Licensed Representative - Responsible Officer	9
HAUPT Michael	BDO476	Licensed Representative - Responsible Officer	9
HEASMAN Nicholas James	ART784	Licensed Representative - Responsible Officer	1
HENDERSON Andrew Joseph Davies	AKP098	Licensed Representative - Responsible Officer	9
HEW Yew Wah	AWE266	Licensed Representative - Responsible Officer	1
HO Wing Leung	AKS665	Licensed Representative - Responsible Officer	1
HO Yuet Ming, Capty	AMV045	Licensed Representative - Responsible Officer	1
HSU David Ka-Leung	AGU753	Licensed Representative - Responsible Officer	9
HSU Tina Michelle	AQD031	Licensed Representative - Responsible Officer	1
HUNG Hing Kit, Jackie	ALU753	Licensed Representative - Responsible Officer	3
JALANDONI ORROS Maria Lourdes	AAZ512	Licensed Representative - Responsible Officer	9
KWEI Chen Chi, Randolph	AAF099	Licensed Representative - Responsible Officer	1
LEE Ka Ho	BDK123	Licensed Representative - Responsible Officer	4, 9
LEE Michel Kai Tai	AOJ780	Licensed Representative - Responsible Officer	1, 2
LI Guangjie	AHH526	Licensed Representative - Responsible Officer	1
LI Steven Allen M H	ABD065	Licensed Representative - Responsible Officer	4
LI Yan Yan	AHT423	Licensed Representative - Responsible Officer	4
LI Zhongyuan	AXD238	Licensed Representative - Responsible Officer	6
LIU Kai Ming	ABT714	Licensed Representative - Responsible Officer	1
LIU Xi	AUQ721	Licensed Representative - Responsible Officer	9
MAU Ying Yuen	AAC213	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
NAM Dong Soo	AOY486	Licensed Representative - Responsible Officer	1, 4
NESSI Andreas Martin	BFY117	Licensed Representative - Responsible Officer	1, 4
NG Siu Fai, Stephen	ATX477	Licensed Representative - Responsible Officer	1, 4, 9
ROBERTS Alistair Charles Alton	AVU825	Licensed Representative - Responsible Officer	1, 4
RYANG Gene Lee	ABD443	Licensed Representative - Responsible Officer	9
SCHITTLY Agathe	BML968	Licensed Representative - Responsible Officer	1
SHEN Jian Guang	APV792	Licensed Representative - Responsible Officer	4
SIM Koon Yin, Edmund	AGK071	Licensed Representative - Responsible Officer	1, 4
STEAR Guy Benedict	AXZ734	Licensed Representative - Responsible Officer	1, 2, 4, 5
SUM Nai Bun, Stephen	ACP406	Licensed Representative - Responsible Officer	4, 9
TANG Hing Sing	AEN538	Licensed Representative - Responsible Officer	1, 4, 6, 9
TSANG Chi Ming	AAC019	Licensed Representative - Responsible Officer	4, 9
TSANG Kwong Fai	AFX327	Licensed Representative - Responsible Officer	6
TSUI Wai Kei	AEF381	Licensed Representative - Responsible Officer	1
VARGA Zoltan	AOI870	Licensed Representative - Responsible Officer	1, 9
XI Wenlei	AWP558	Licensed Representative - Responsible Officer	1
YANG Xinlin	APG517	Licensed Representative - Responsible Officer	1, 4, 9
YEUNG Shing Fung, Samson	ACR751	Licensed Representative - Responsible Officer	9
YUEN Shu Ming	ABZ015	Licensed Representative - Responsible Officer	1
ZHANG Anzhi	AJX187	Licensed Representative - Responsible Officer	6
ZHU JieLun	AYW803	Licensed Representative - Responsible Officer	6
AKAO Reiko	BNY358	Licensed Representative (Provisional Licence)	1, 4
AKATSUKA Yo	ACW146	Licensed Representative (Provisional Licence)	1, 4, 6
AN Ningning	BNW179	Licensed Representative (Provisional Licence)	4
AN Peng	BNW176	Licensed Representative (Provisional Licence)	4
ANAND Paras Kishore	BNN477	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
AOKI Takeo	BNV887	Licensed Representative (Provisional Licence)	1, 4, 6
ARAI Taku	BFT949	Licensed Representative (Provisional Licence)	1
AU Shuk Ying	BNY420	Licensed Representative (Provisional Licence)	4, 9
AU-YEUNG Emily Ying Ka	ART701	Licensed Representative (Provisional Licence)	1, 4, 9
BAI Feng	BNU730	Licensed Representative (Provisional Licence)	1, 4
BAI Jintao	BNW959	Licensed Representative (Provisional Licence)	1, 4, 6
BALAISYTE Jurgita	BNY628	Licensed Representative (Provisional Licence)	4
BARWICK Richard John	ART684	Licensed Representative (Provisional Licence)	4
BEDDARD Henry Terence	AOQ827	Licensed Representative (Provisional Licence)	1, 2
BENHAMOU Laurent	BNY263	Licensed Representative (Provisional Licence)	1, 2
BHEN Ka Ho	BNX272	Licensed Representative (Provisional Licence)	6
BIDASARIA Shrey	BNX253	Licensed Representative (Provisional Licence)	1, 4, 6
BOUZENAD Antoine Merwan	BNX453	Licensed Representative (Provisional Licence)	9
CAI Quan	BNV036	Licensed Representative (Provisional Licence)	1, 4
CANG Tianyang	BAZ566	Licensed Representative (Provisional Licence)	1, 2, 4
CAO Wei	BNY258	Licensed Representative (Provisional Licence)	1, 4, 6
CAO Yishan	AXI390	Licensed Representative (Provisional Licence)	1, 4, 9
CAO Yu	BNV040	Licensed Representative (Provisional Licence)	1, 4, 6
CAO Ziyang	BNC628	Licensed Representative (Provisional Licence)	1, 4
CHAN Hon Yin	AYO720	Licensed Representative (Provisional Licence)	6
CHAN Joseph	BNX362	Licensed Representative (Provisional Licence)	1, 6
CHAN Ka Chun	APC075	Licensed Representative (Provisional Licence)	1, 2
CHAN Kevin Jun Xian	BNX489	Licensed Representative (Provisional Licence)	4
CHAN Nok Yan	BNX030	Licensed Representative (Provisional Licence)	1, 2
CHAN Po Lam	BNY394	Licensed Representative (Provisional Licence)	1, 2
CHANG Chao An	BNU440	Licensed Representative (Provisional Licence)	6
CHARTRES Pierre	BLI962	Licensed Representative (Provisional Licence)	1
CHEN Ge	BNV441	Licensed Representative (Provisional Licence)	1, 6
CHEN Huanming	AIU879	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Kwok On	AOD820	Licensed Representative (Provisional Licence)	1, 2
CHEN Pei-Chi	BNX700	Licensed Representative (Provisional Licence)	1, 4
CHEN Sicong	BNV383	Licensed Representative (Provisional Licence)	6
CHEN Siting	BNX002	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Tian Yi	BNW184	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Zehua	BNU835	Licensed Representative (Provisional Licence)	4
CHEN Zhong Wei	AMM502	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Hiu Ying Karen	BNW215	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Jing	BNV936	Licensed Representative (Provisional Licence)	1, 6
CHENG Tsang Shin	BNW984	Licensed Representative (Provisional Licence)	1, 2
CHENG Tsz Lok	BHC891	Licensed Representative (Provisional Licence)	4, 9
CHENG Yakun	BNV265	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Ya-wei	BNW174	Licensed Representative (Provisional Licence)	1, 2, 9
CHEUNG Lo Yee Sandy	BNY266	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Wai Hung	AGY670	Licensed Representative (Provisional Licence)	1, 2
CHEUNG William Wai Yin	BNY428	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Yuet Hoi	BAI842	Licensed Representative (Provisional Licence)	1
CHI Cheng	BNY458	Licensed Representative (Provisional Licence)	1, 4, 6
CHIA Wei Chen	ALZ911	Licensed Representative (Provisional Licence)	9
CHINEN OKANO Vinicius	BNW027	Licensed Representative (Provisional Licence)	1
CHIU Lee Lee	BNW889	Licensed Representative (Provisional Licence)	1
CHOI Chin Chung	BNY031	Licensed Representative (Provisional Licence)	1, 2
CHOI Sanghyun	BNV870	Licensed Representative (Provisional Licence)	1, 2
CHOPRA Abhishek	BNU940	Licensed Representative (Provisional Licence)	2, 5
CHOU Chi-An	BNV282	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Wai Yip	AFE673	Licensed Representative (Provisional Licence)	1, 2
CHU Ka Wang Henry	BNV860	Licensed Representative (Provisional Licence)	1
CHU Peter	AIR824	Licensed Representative (Provisional Licence)	1, 2
CHUNG Hay Wo, Martin	BNV563	Licensed Representative (Provisional Licence)	1, 2
CLAVEL Mathieu Pierre Luc	BGG689	Licensed Representative (Provisional Licence)	1, 9
COOK Geoffrey John	APB534	Licensed Representative (Provisional Licence)	1, 4
DAI Baiquan	BNW181	Licensed Representative (Provisional Licence)	9
DAMERACHARLA Prithvi Raj	BNV548	Licensed Representative (Provisional Licence)	9
DENG Hengyu	BNY194	Licensed Representative (Provisional Licence)	1, 4
DONG Lei	BNX294	Licensed Representative (Provisional Licence)	1, 4, 9
DONG Lijuan	BNV834	Licensed Representative (Provisional Licence)	3
DORVAL Julien Thierry Henri	BNX685	Licensed Representative (Provisional Licence)	1, 2
DUAN Bohao	BNV457	Licensed Representative (Provisional Licence)	1, 4
DUAN Mohao	BNW282	Licensed Representative (Provisional Licence)	2
ESCANO Lorenzo Lim	BAZ778	Licensed Representative (Provisional Licence)	2
EWERT Nicolas Erik Arpad	BNU708	Licensed Representative (Provisional Licence)	6
FAN Xinyue	BNV693	Licensed Representative (Provisional Licence)	1, 6
FAN Zhongzhe	BNW951	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Bin	BNF090	Licensed Representative (Provisional Licence)	1, 2
FANG Feiyun	BNX537	Licensed Representative (Provisional Licence)	4
FANG Hongjing	BNU751	Licensed Representative (Provisional Licence)	1
FARRELL Shaun Michael	BNY766	Licensed Representative (Provisional Licence)	9
FENG Xuezhi	BNX429	Licensed Representative (Provisional Licence)	4
FENG Yuchen	BNG655	Licensed Representative (Provisional Licence)	2
FIRTH Charles Richard Bartholomew	AIR808	Licensed Representative (Provisional Licence)	1, 2, 4
FITZGERALD Brian Patrick	BNV132	Licensed Representative (Provisional Licence)	4
FOK Man Chun	BNV262	Licensed Representative (Provisional Licence)	1, 4, 9
FONG Ka Yan	BNV833	Licensed Representative (Provisional Licence)	3
FONG Tsz Sin	BBU625	Licensed Representative (Provisional Licence)	4, 9
FOO Wen Yun	BNX010	Licensed Representative (Provisional Licence)	1
FUNG Kin Chueng	ALZ307	Licensed Representative (Provisional Licence)	1
FUNG Vincent Hector	BNW864	Licensed Representative (Provisional Licence)	1
GAGE Brett Tyler	BNY371	Licensed Representative (Provisional Licence)	1, 6
GAO Fang	BNY749	Licensed Representative (Provisional Licence)	1, 2, 4
GAO Ge	BNX136	Licensed Representative (Provisional Licence)	1, 4, 9
GAO Ruidong	BNX180	Licensed Representative (Provisional Licence)	4
GENG Yidan	BER115	Licensed Representative (Provisional Licence)	1, 4, 6
GHOSH Soujit	AOJ195	Licensed Representative (Provisional Licence)	1, 2, 4
GUO Jiahua	BHF803	Licensed Representative (Provisional Licence)	1, 6
GUO Peng	BNX688	Licensed Representative (Provisional Licence)	4
GUO Zhen	BNZ011	Licensed Representative (Provisional Licence)	1, 4
HAN Jundong	AOQ780	Licensed Representative (Provisional Licence)	4, 9
HE Meng	BNR853	Licensed Representative (Provisional Licence)	1
HE Xi	BCC252	Licensed Representative (Provisional Licence)	1, 4, 9
HE Yulei	BNX569	Licensed Representative (Provisional Licence)	1, 2
HO Ho Yin	BNY370	Licensed Representative (Provisional Licence)	4
HO Ka Wing	BNU990	Licensed Representative (Provisional Licence)	1
HO Mei Lok	AMY548	Licensed Representative (Provisional Licence)	1
HO Tsun Hei Adrian	BNV796	Licensed Representative (Provisional Licence)	1
HONG Tao	BNV287	Licensed Representative (Provisional Licence)	4
HONG Xueting	BGR488	Licensed Representative (Provisional Licence)	6, 9
HOU Ke	BCJ570	Licensed Representative (Provisional Licence)	4, 9
HOWE Nicholas Gregory Msitu	BNV666	Licensed Representative (Provisional Licence)	9
HSIAO Yu Chung	BNY555	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HU Jie	BNV460	Licensed Representative (Provisional Licence)	1, 4
HU Shutong	BNY725	Licensed Representative (Provisional Licence)	1, 4, 6
HUA Changchun	BBM630	Licensed Representative (Provisional Licence)	4
HUA Pengwei	BNW178	Licensed Representative (Provisional Licence)	4
HUA Zhongnan	BNU873	Licensed Representative (Provisional Licence)	1, 6
HUANG Jiemei	BMX553	Licensed Representative (Provisional Licence)	1
HUANG Ping Hung	BNY554	Licensed Representative (Provisional Licence)	2
HUANG Ruolan	BNW030	Licensed Representative (Provisional Licence)	1, 4
HUANG Tao	BNY405	Licensed Representative (Provisional Licence)	4
HUI Yuanyuan	BNY635	Licensed Representative (Provisional Licence)	1
HUNG Peng-Sheng	BNU789	Licensed Representative (Provisional Licence)	1, 2
IMAZU Nanako	AVU642	Licensed Representative (Provisional Licence)	1, 2
INOUE Tetsuji	BNU214	Licensed Representative (Provisional Licence)	4, 9
IP Ho Ting	BNW289	Licensed Representative (Provisional Licence)	1, 4
JAIN Sanjay	AUW893	Licensed Representative (Provisional Licence)	4
JI Yuan	BNV436	Licensed Representative (Provisional Licence)	6
JIANG Lingli	BNP423	Licensed Representative (Provisional Licence)	4, 9
JIANG Ruo Yu	AIR858	Licensed Representative (Provisional Licence)	4, 9
JU Guoxian	BNW287	Licensed Representative (Provisional Licence)	4
KAKKAD Gaurang Udaykumar	BNV452	Licensed Representative (Provisional Licence)	4
KANG Mina	BGE792	Licensed Representative (Provisional Licence)	1
KELLY Dylan Francis Tuck	BNX937	Licensed Representative (Provisional Licence)	4
KO Cheuk Ming	BNU439	Licensed Representative (Provisional Licence)	1, 6
KO Chon Man	BMK670	Licensed Representative (Provisional Licence)	1, 4, 6
KO Kwai Lan	BNY634	Licensed Representative (Provisional Licence)	1
KO Ming Fai	BNP140	Licensed Representative (Provisional Licence)	1, 4, 9
KO Sung H	BNY625	Licensed Representative (Provisional Licence)	1
KOO Chun Ho	BAF365	Licensed Representative (Provisional Licence)	1
KU Chung	BNV246	Licensed Representative (Provisional Licence)	2
KUANG Shi	BNV294	Licensed Representative (Provisional Licence)	4
KUMAR Avinash	BNV566	Licensed Representative (Provisional Licence)	9
KURAHASHI Yumiko	AGO357	Licensed Representative (Provisional Licence)	1, 4
KWAN Kin Shing	BNW890	Licensed Representative (Provisional Licence)	1, 2
LAI Shenhua	BNW200	Licensed Representative (Provisional Licence)	1
LAI Wai Lun	BLI131	Licensed Representative (Provisional Licence)	1
LAI Weng Si Vivian	BNU128	Licensed Representative (Provisional Licence)	1, 4
LAM Sammi Ka Yan	BNX160	Licensed Representative (Provisional Licence)	1
LAM Tak Wai, Derek	BEZ160	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Tsz Ching	ASY518	Licensed Representative (Provisional Licence)	1, 9
LAM Wai Yee	BNX898	Licensed Representative (Provisional Licence)	6
LAN Mengyu	BNU138	Licensed Representative (Provisional Licence)	1
LAO Peiwen	BNV650	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Nga Pin	BNU295	Licensed Representative (Provisional Licence)	1, 4
LAU Sum Yi	BNW971	Licensed Representative (Provisional Licence)	1, 4
LAU Yuen Wai	BNW041	Licensed Representative (Provisional Licence)	6
LEE Jae Young	BNU375	Licensed Representative (Provisional Licence)	2, 5
LEE Kar Ming Chris	BNW053	Licensed Representative (Provisional Licence)	1
LEE Po Kum, Jessica	AEP635	Licensed Representative (Provisional Licence)	1, 2
LEE Poh Sing	BNV845	Licensed Representative (Provisional Licence)	1, 4
LEE Seungyoung	BNY246	Licensed Representative (Provisional Licence)	1, 4
LEUNG Fionna Leony	BNY526	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Mei Yan	BNV678	Licensed Representative (Provisional Licence)	1
LEUNG Wing Shing	AQA981	Licensed Representative (Provisional Licence)	1
LEUNG Yuen Ting	BNX539	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LI Chunliang	AQA052	Licensed Representative (Provisional Licence)	4, 9
LI Guangchen	BNY840	Licensed Representative (Provisional Licence)	4, 9
LI Jiachen	BNY381	Licensed Representative (Provisional Licence)	1, 2
LI Jiayao	BNU745	Licensed Representative (Provisional Licence)	1, 4, 6
LI Kai Yuen	BNY185	Licensed Representative (Provisional Licence)	1
LI Kwan Ho	BNX690	Licensed Representative (Provisional Licence)	1, 6
LI Lyuzhi	BNW037	Licensed Representative (Provisional Licence)	2
LI Sha	BNV167	Licensed Representative (Provisional Licence)	4
LI Yaozhu	BNV391	Licensed Representative (Provisional Licence)	4, 9
LI Yuan Hong	BI903	Licensed Representative (Provisional Licence)	4, 9
LIN Chien Nan	BNW993	Licensed Representative (Provisional Licence)	1, 2
LIN Ruhan	BNX931	Licensed Representative (Provisional Licence)	4
LIN Yiwei	BNY408	Licensed Representative (Provisional Licence)	4, 9
LISTER Cassandra	BNO036	Licensed Representative (Provisional Licence)	9
LIU Baixian	BNT242	Licensed Representative (Provisional Licence)	6
LIU Enyan	BNU864	Licensed Representative (Provisional Licence)	2, 5
LIU Haoyu	BLC497	Licensed Representative (Provisional Licence)	1
LIU Kang	BNV270	Licensed Representative (Provisional Licence)	1
LIU Liangping	BCK257	Licensed Representative (Provisional Licence)	1
LIU Mengzhuo	BNY348	Licensed Representative (Provisional Licence)	4
LIU Shuen Kong	BNV062	Licensed Representative (Provisional Licence)	9
LIU Tianlin	BNX606	Licensed Representative (Provisional Licence)	4, 9
LIU Xuefeng	BNX004	Licensed Representative (Provisional Licence)	4
LIU Yiyun	BNY064	Licensed Representative (Provisional Licence)	1, 6
LIU Zheyuan	BCA351	Licensed Representative (Provisional Licence)	9
LO Ho Kwan	BNX552	Licensed Representative (Provisional Licence)	1, 2
LU Yilin	BNY463	Licensed Representative (Provisional Licence)	4
LU Zijuan	BMR361	Licensed Representative (Provisional Licence)	1
MAO Dongjing	BNY465	Licensed Representative (Provisional Licence)	1
MAO Yiyi	BNY155	Licensed Representative (Provisional Licence)	1, 4, 6
MARGO Jonathan Casper Colin	BNW249	Licensed Representative (Provisional Licence)	9
MENG Qing	BFA703	Licensed Representative (Provisional Licence)	1
MIAO Huaxin	BDE609	Licensed Representative (Provisional Licence)	4, 9
MICHELON Jeremie	BNY491	Licensed Representative (Provisional Licence)	1, 2
MOK Oi Ying	BNW893	Licensed Representative (Provisional Licence)	1, 2
MOK Wing Yan, Anita	BNV037	Licensed Representative (Provisional Licence)	1, 2
MONNET Arthur Benoit	BNV078	Licensed Representative (Provisional Licence)	1, 4, 6
MOORE Christopher David	BNY257	Licensed Representative (Provisional Licence)	1, 4
MUTO Jumpei	BNY640	Licensed Representative (Provisional Licence)	1, 2, 4
NAMIKI Yoshiyuki	BNV342	Licensed Representative (Provisional Licence)	9
NEWMAN Neil Lionel	ABF170	Licensed Representative (Provisional Licence)	4
NG Chung Tat Johnson	BNW997	Licensed Representative (Provisional Licence)	1, 4
NG Yu Kwong	ARF648	Licensed Representative (Provisional Licence)	1, 4
OOI Wei Ming	BNX372	Licensed Representative (Provisional Licence)	1
OYAMA Hideki	BNT228	Licensed Representative (Provisional Licence)	1, 4, 6
PAREKH Tejas	BNX028	Licensed Representative (Provisional Licence)	1, 2
PATHARAVANAKUL Vilailuck	BNX269	Licensed Representative (Provisional Licence)	4
PENG Yangyi	BNV257	Licensed Representative (Provisional Licence)	1, 4, 6
PFRANG Christian Werner	BNX394	Licensed Representative (Provisional Licence)	9
PIM Jacob Samuel	BNY556	Licensed Representative (Provisional Licence)	9
POH Tze Hua	ANZ960	Licensed Representative (Provisional Licence)	4, 9
QIAN Runmin	BNW937	Licensed Representative (Provisional Licence)	1, 4
QIAO Xiaowei	BGQ506	Licensed Representative (Provisional Licence)	1, 6
QIAO Yijie	BNX896	Licensed Representative (Provisional Licence)	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SAKAMOTO Gosuke	BBQ740	Licensed Representative (Provisional Licence)	1
SAKKA Bessam	BNV465	Licensed Representative (Provisional Licence)	1, 2
SAKURAI Aya	BNY395	Licensed Representative (Provisional Licence)	4
SAN Wan Yan	BNU615	Licensed Representative (Provisional Licence)	1, 2, 3
SHAO Yili	BNX607	Licensed Representative (Provisional Licence)	1, 2, 4
SHEN Yichen	BNV145	Licensed Representative (Provisional Licence)	1, 4
SINGH Nirbhay Kumar	BNU579	Licensed Representative (Provisional Licence)	1
SKORA Daniel Robert	BNZ125	Licensed Representative (Provisional Licence)	1, 4
SO Ching Yu Calvin	BNX953	Licensed Representative (Provisional Licence)	1, 9
SO Kwai Lin	BNV804	Licensed Representative (Provisional Licence)	1, 4
SO Kwun Cheong	BNX001	Licensed Representative (Provisional Licence)	1, 4
SO Man Ting	BHM659	Licensed Representative (Provisional Licence)	1, 2
SU Chaoran	BNZ149	Licensed Representative (Provisional Licence)	1, 4
SU Xiaobo	BNV856	Licensed Representative (Provisional Licence)	1, 4
SU Zijian	BNV821	Licensed Representative (Provisional Licence)	1, 6
SUN Jingxuan	BNX657	Licensed Representative (Provisional Licence)	1, 6
SUN Tsai Hsuan	BGY960	Licensed Representative (Provisional Licence)	9
SUN Xiaolin	BNW056	Licensed Representative (Provisional Licence)	1, 4
SZE Kim Kwan	BIZ382	Licensed Representative (Provisional Licence)	1, 2, 4
SZE Yau Nam	BNY673	Licensed Representative (Provisional Licence)	1, 4
TAKESHIMA Tomoki	BNW290	Licensed Representative (Provisional Licence)	4
TAM Cheuk Wai	BNY532	Licensed Representative (Provisional Licence)	1
TAM Tak Man	BNV462	Licensed Representative (Provisional Licence)	1, 4
TAN Lok Him	BNV023	Licensed Representative (Provisional Licence)	1
TAN Yujun	BKU720	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Kit Ha Alice	BNY631	Licensed Representative (Provisional Licence)	1
TANG Kwan Lok	BNV751	Licensed Representative (Provisional Licence)	1, 4
TANG Qinghua	BNX452	Licensed Representative (Provisional Licence)	1
TANG Shu Haur	BNY205	Licensed Representative (Provisional Licence)	4, 9
THEODORUS Billy	BNW206	Licensed Representative (Provisional Licence)	1, 4, 6
TIAN Ce	BNX411	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Yana	BNX559	Licensed Representative (Provisional Licence)	1, 4
TSE Ka Yi Nakita	BKZ927	Licensed Representative (Provisional Licence)	1, 2
TSE Man Nga	BNW855	Licensed Representative (Provisional Licence)	1, 4, 9
TSUI Kar Yan	BOA234	Licensed Representative (Provisional Licence)	1, 4
TUNG Ka Man	BNW175	Licensed Representative (Provisional Licence)	1, 2
UM Maynard J	AXW559	Licensed Representative (Provisional Licence)	4
VANA Constantin Alexander	BNV416	Licensed Representative (Provisional Licence)	6
VARU Sachit	BNV207	Licensed Representative (Provisional Licence)	1, 2
VAVREK Egon	BNZ816	Licensed Representative (Provisional Licence)	4, 9
VISMARA Daniele	BNV065	Licensed Representative (Provisional Licence)	1, 2
WAN Yin Chung	AVK969	Licensed Representative (Provisional Licence)	1, 4
WANG Dingwen	AZL196	Licensed Representative (Provisional Licence)	9
WANG Hao	BMW948	Licensed Representative (Provisional Licence)	1, 2
WANG Hao	BNW052	Licensed Representative (Provisional Licence)	1
WANG Huiquan	BNX003	Licensed Representative (Provisional Licence)	1, 2
WANG Kai	BNY839	Licensed Representative (Provisional Licence)	9
WANG Miao	BNY284	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Shenyu	BNX841	Licensed Representative (Provisional Licence)	1
WANG Taosha	AWW072	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Tianyu	BDD888	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xintian	BNV028	Licensed Representative (Provisional Licence)	10
WANG Xu	BNX572	Licensed Representative (Provisional Licence)	1
WANG Yingchen	BMR382	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Yulong	BNX005	Licensed Representative (Provisional Licence)	4
WANG Yuwei	BNY470	Licensed Representative (Provisional Licence)	4
WATANABE SAKI	BNY669	Licensed Representative (Provisional Licence)	1, 4, 6
WATKINS Daniel James	BNX163	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
WEI Hongyu	BNV461	Licensed Representative (Provisional Licence)	1, 4
WILLIAMS Edward Ieuan Aubrey	BNU443	Licensed Representative (Provisional Licence)	1
WONG Carlie	BNY671	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Chun Hin	BNX137	Licensed Representative (Provisional Licence)	1, 2
WONG Chun Sang	BNX602	Licensed Representative (Provisional Licence)	4
WONG Darwin Ho Wan	BNU520	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Hur Ming	BNU552	Licensed Representative (Provisional Licence)	1
WONG Nathan	BNZ944	Licensed Representative (Provisional Licence)	1, 7
WONG Tsz Kwan	BNV837	Licensed Representative (Provisional Licence)	1, 4
WONG Tsz Sing	BNU600	Licensed Representative (Provisional Licence)	1, 4
WONG Yat Tim	BNX336	Licensed Representative (Provisional Licence)	1
WONG Yin Ming	BNW887	Licensed Representative (Provisional Licence)	1, 2
WU JR John	BNV414	Licensed Representative (Provisional Licence)	1, 4
WULF Finn-ole	BNV674	Licensed Representative (Provisional Licence)	1, 4, 6
WUN Suet Ling	BNV433	Licensed Representative (Provisional Licence)	1
XIAN Zhiping	BNY285	Licensed Representative (Provisional Licence)	1
XIANG Yuqiu	ARF778	Licensed Representative (Provisional Licence)	4, 9
XIE Shaowei	BNP481	Licensed Representative (Provisional Licence)	1
XIE Xingyun	BNW032	Licensed Representative (Provisional Licence)	1, 6
XIE Yatong	BNB392	Licensed Representative (Provisional Licence)	4
XIE Zizhu	BNV256	Licensed Representative (Provisional Licence)	1, 4, 6
XING Yifan	BNV475	Licensed Representative (Provisional Licence)	1, 4
XU Aolei	BNY224	Licensed Representative (Provisional Licence)	1, 4
XU Kuo	BNX682	Licensed Representative (Provisional Licence)	1, 6
XUE Ke	BNV464	Licensed Representative (Provisional Licence)	6
YANG Joseph Poeyon	BOA328	Licensed Representative (Provisional Licence)	9
YANG Qingqing	BNV453	Licensed Representative (Provisional Licence)	1
YANG Yuting	BNX861	Licensed Representative (Provisional Licence)	1, 4
YANG Zifan	BNV388	Licensed Representative (Provisional Licence)	4, 9
YANG Ziyin	BMW698	Licensed Representative (Provisional Licence)	1, 6
YAO Wenjun	BGG930	Licensed Representative (Provisional Licence)	1, 4, 9
YE Xiang	BNY014	Licensed Representative (Provisional Licence)	9
YEUNG Cheung Ho Charles	BNX671	Licensed Representative (Provisional Licence)	1
YEUNG Chi Kit	BNX448	Licensed Representative (Provisional Licence)	1
YEUNG Fung Ching	BNY969	Licensed Representative (Provisional Licence)	1, 2, 4
YEUNG Hoi Yu	BNX686	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Man Chuen	BNU223	Licensed Representative (Provisional Licence)	1, 4
YEUNG On Lit	AQE939	Licensed Representative (Provisional Licence)	1, 2, 3
YEUNG Suet Pan	BNV621	Licensed Representative (Provisional Licence)	1, 2, 4
YIN Tao	BMR365	Licensed Representative (Provisional Licence)	1
YIP Chun	BNW221	Licensed Representative (Provisional Licence)	1, 2
YU Gao	BNX006	Licensed Representative (Provisional Licence)	4
YU Miao	BNX631	Licensed Representative (Provisional Licence)	1
YU Mofan	BNX262	Licensed Representative (Provisional Licence)	1, 6
YU Wenting	BNV558	Licensed Representative (Provisional Licence)	1
YUAN Meng	BNV835	Licensed Representative (Provisional Licence)	1, 6
YUAN Yuchen	BNV055	Licensed Representative (Provisional Licence)	4
ZENG Chan	BNV293	Licensed Representative (Provisional Licence)	4
ZENG Tu	BNV472	Licensed Representative (Provisional Licence)	1, 2
ZENG Yujie	BNX151	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Jun	BNV728	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Panbo	BNY455	Licensed Representative (Provisional Licence)	1
ZHANG Qizhao	BOA039	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shuping	BNX787	Licensed Representative (Provisional Licence)	1, 4
ZHANG Tianyu	BNX135	Licensed Representative (Provisional Licence)	1, 9
ZHANG Xiaobin	BNV054	Licensed Representative (Provisional Licence)	4
ZHANG Yang	BNC049	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ziyang	BNV350	Licensed Representative (Provisional Licence)	4
ZHAO Jiaqi	BNV828	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yunhan	BNX276	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Lu	BNE578	Licensed Representative (Provisional Licence)	4
ZHENG Yan	BNV818	Licensed Representative (Provisional Licence)	1, 6
ZHOU Junzhi	BNV557	Licensed Representative (Provisional Licence)	4
ZHOU Miaojia	BNU920	Licensed Representative (Provisional Licence)	1, 4
ZHOU Yingjun	BNX392	Licensed Representative (Provisional Licence)	1, 2
ZHU Shihao	BNY733	Licensed Representative (Provisional Licence)	1, 6
ZHU Xu	BNV448	Licensed Representative (Provisional Licence)	1, 4, 9
ZHUO Shikai	BNV463	Licensed Representative (Provisional Licence)	4
ZONG Qi	BNJ899	Licensed Representative (Provisional Licence)	4, 9
ZUO Peijing	BNX233	Licensed Representative (Provisional Licence)	1, 2, 7
AGRAWAL Anurag	BHN025	Licensed Representative (Temporary Licence)	1, 2
AHMAD Zeba	BOA085	Licensed Representative (Temporary Licence)	1
ANDERL Maximilian	BGD222	Licensed Representative (Temporary Licence)	1, 4
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
BAKHURU Girish	BMX520	Licensed Representative (Temporary Licence)	4
BARRON Jason	BF1936	Licensed Representative (Temporary Licence)	1
BASU Sougata	BHZ553	Licensed Representative (Temporary Licence)	1
BEZALEL Ariel Meir	BCA040	Licensed Representative (Temporary Licence)	1
BREZINSKI Brad Thomas	BMT657	Licensed Representative (Temporary Licence)	1
BRUNNER Anais Roxane	BJP592	Licensed Representative (Temporary Licence)	1, 4
CAPANNA Derek Emmett	ARJ060	Licensed Representative (Temporary Licence)	1
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHAKRABORTY Samiran	BGU585	Licensed Representative (Temporary Licence)	4
CHEEVAVICHAWALKUL Amornrat	AOX756	Licensed Representative (Temporary Licence)	4
CHEONG Rebecca Ka Wing	BIQ011	Licensed Representative (Temporary Licence)	1
CHEONG Taeyong	BGC155	Licensed Representative (Temporary Licence)	1
CHIA Liting Michelle	BNG518	Licensed Representative (Temporary Licence)	4
CHUANG Tzu-Chiang	BOA794	Licensed Representative (Temporary Licence)	1
CLUSE Reinhard Paul Johannes	AXK265	Licensed Representative (Temporary Licence)	4
CONWAY Malie	BNX799	Licensed Representative (Temporary Licence)	1
DE LA HAYE SAINT HILAIRE Adrien Bernard Bertrand Rob	BJE670	Licensed Representative (Temporary Licence)	4
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
DUNNETT Brian David	BBH893	Licensed Representative (Temporary Licence)	1
EDWARDS Shane Grant	AJG195	Licensed Representative (Temporary Licence)	1
ELLIS Dominic Haydon	BOA988	Licensed Representative (Temporary Licence)	1
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
ENGEL Edward Lee	BNZ300	Licensed Representative (Temporary Licence)	4
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FINCH Philip Julian Magnus	ATI170	Licensed Representative (Temporary Licence)	4
FISHER III David Nichols	BOA024	Licensed Representative (Temporary Licence)	1, 4
FORNARO Celine	BAL508	Licensed Representative (Temporary Licence)	4
FRANCIS Joy Godwin	BOB558	Licensed Representative (Temporary Licence)	1
GARFIN Mitchell Seth	BJE835	Licensed Representative (Temporary Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	License Type	Types of Regulated Activity
GILBERT Benjamin Joseph William	AVB999	Licensed Representative (Temporary Licence)	4
GILLIAM Samuel Lewis	BOB429	Licensed Representative (Temporary Licence)	1
GONZALES Emeterio III David	AAI265	Licensed Representative (Temporary Licence)	4
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GREENBURG Evan David	BMQ334	Licensed Representative (Temporary Licence)	1, 4
GUPTA Ashwin	BNQ654	Licensed Representative (Temporary Licence)	1, 2
GYSENS Bart	BAP664	Licensed Representative (Temporary Licence)	1, 4
HAAS Ian Stewart	BNY870	Licensed Representative (Temporary Licence)	4
HARFORD Suni Pedersen	BLH426	Licensed Representative (Temporary Licence)	1, 4
HARRISON Lyanne Marie Leung	BNZ874	Licensed Representative (Temporary Licence)	4
HEINEL Lori Miller	BOA168	Licensed Representative (Temporary Licence)	4
HOLLAND Greg Anthony	BMQ913	Licensed Representative (Temporary Licence)	1, 4
HOPKINS Joseph Alexander	BOA205	Licensed Representative (Temporary Licence)	1, 4, 5
HORNE Martin Scott	BNR304	Licensed Representative (Temporary Licence)	1, 4
HOU Xiaoting	BGB587	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HU Chang	BJR685	Licensed Representative (Temporary Licence)	4
HUMMEL Patrick Torsten	BKW550	Licensed Representative (Temporary Licence)	4
IVASCYN Daniel John	AZS735	Licensed Representative (Temporary Licence)	1, 4
JACKSON Andrew John	AYN419	Licensed Representative (Temporary Licence)	1
JACKSON Ian Robert	BGQ060	Licensed Representative (Temporary Licence)	1, 2
JAVELLANA Nicole Marie Fortune Tan	BFH781	Licensed Representative (Temporary Licence)	1
JUE Stephen Bailey	BNX804	Licensed Representative (Temporary Licence)	1
KALB Victoria Stacey	AHT205	Licensed Representative (Temporary Licence)	4
KANG Chang Joo	BGV243	Licensed Representative (Temporary Licence)	1, 4
KHANNA Abhinav	BNB363	Licensed Representative (Temporary Licence)	1
KILLORAN Matthew David	BOA795	Licensed Representative (Temporary Licence)	1
KIM Gil Hyung	AUQ480	Licensed Representative (Temporary Licence)	4
KIT Wei Zheng	BEB420	Licensed Representative (Temporary Licence)	4
KOMMERS Bob	BOA063	Licensed Representative (Temporary Licence)	4
KUMAR Satish	AQX050	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAKE Fiona Maeve	ART583	Licensed Representative (Temporary Licence)	4
LANGFORD Stehpen Richard	BKI493	Licensed Representative (Temporary Licence)	1
LAU Nai Fai Daniel	BNY804	Licensed Representative (Temporary Licence)	1
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Jeremy Ryan	BHC802	Licensed Representative (Temporary Licence)	1, 4
LEE Suzanna Soh	BNZ992	Licensed Representative (Temporary Licence)	1
LEMMENS Patrick Johannes Anna Franciscus	BLS913	Licensed Representative (Temporary Licence)	1
LESNE David Laurent	AXE221	Licensed Representative (Temporary Licence)	4
LI Kunlun	BNU121	Licensed Representative (Temporary Licence)	4
LIM Siew Khee	BMV539	Licensed Representative (Temporary Licence)	4
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
LINMANEECHOTE Jiraporn	BGT722	Licensed Representative (Temporary Licence)	4
LIU Chiung-Fang	BMV071	Licensed Representative (Temporary Licence)	4
LOCK Mun Yee	BMV531	Licensed Representative (Temporary Licence)	4
MACDONALD Alistair John	AVW485	Licensed Representative (Temporary Licence)	4
MALONEY Kailee Shenae	BOB122	Licensed Representative (Temporary Licence)	1
MANZONE Jaime Che	BMU994	Licensed Representative (Temporary Licence)	4
MATSUHASHI Eisuke	BNY191	Licensed Representative (Temporary Licence)	1
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1, 2
MAZZUCATO Federica	BNH371	Licensed Representative (Temporary Licence)	2
MITTERMAIER Markus Manfred Helmut	BLX368	Licensed Representative (Temporary Licence)	4
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NAJARIAN Leanne Erika	BDH956	Licensed Representative (Temporary Licence)	4
NARUSAWA Koh	AZK463	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
NOWAK Brian Thomas	BAW836	Licensed Representative (Temporary Licence)	1, 4
OHDAIRA Mitsuyuki	BGS887	Licensed Representative (Temporary Licence)	4
OPPIKOFER Matthias Hans	BNZ297	Licensed Representative (Temporary Licence)	1, 4
PEIGNEUX LOJO Guillermo	BER729	Licensed Representative (Temporary Licence)	4
PETROVICIK Kevin Patrick	AZL215	Licensed Representative (Temporary Licence)	1, 4
PHUA Boon Po	AYJ025	Licensed Representative (Temporary Licence)	2, 5
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
PRUNRATANAMALA Kasem	BMW395	Licensed Representative (Temporary Licence)	4
RAMACHANDRAN Ganesh	BOA088	Licensed Representative (Temporary Licence)	1, 4
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	4
REDBOURN Paul Robert	AFQ906	Licensed Representative (Temporary Licence)	1
REDEKER Hans Gunter Wilhelm	BFF385	Licensed Representative (Temporary Licence)	1, 4, 5
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
RIGBY Jonathon	BAG214	Licensed Representative (Temporary Licence)	4
RYAN Matthew Hugh-Alfred	BLW777	Licensed Representative (Temporary Licence)	4
SAPUNOVA Alexandrina	BBK047	Licensed Representative (Temporary Licence)	1, 2
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
SINGLA Anuj	BLW779	Licensed Representative (Temporary Licence)	4
SINIAKOV Chris	BNX710	Licensed Representative (Temporary Licence)	4
STOTT Andrew Gregory	BDR228	Licensed Representative (Temporary Licence)	4
SUKSUMRUN Komsun	BDY660	Licensed Representative (Temporary Licence)	4
TAKEHANA Takatoshi	BNP701	Licensed Representative (Temporary Licence)	1
TAN Yawen	BJP932	Licensed Representative (Temporary Licence)	4
TE John Victor Cabarles	BJC545	Licensed Representative (Temporary Licence)	4
TSUI Terence Mun-sion	BDD866	Licensed Representative (Temporary Licence)	1, 4
WALSH Michael Gerald	BNY642	Licensed Representative (Temporary Licence)	1
WANG Eson	APB798	Licensed Representative (Temporary Licence)	1
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
XIE Jiaoyue	BHU354	Licensed Representative (Temporary Licence)	1, 4
YAMAUCHI Riki	BNQ777	Licensed Representative (Temporary Licence)	1
YAU Hoe Kiat, Patrick	AML197	Licensed Representative (Temporary Licence)	4
YOSHIKAWA Ken	BMX497	Licensed Representative (Temporary Licence)	1
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5
ZELLER Hubert Leon Maurice	BJL212	Licensed Representative (Temporary Licence)	1, 4
ZEZAS Michael Dennis	BOB147	Licensed Representative (Temporary Licence)	1, 4, 5
HSBC Private Bank (Suisse) SA	AGN346	Registered Institution	1, 4