

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Bennelong Asset Management HK Limited	BFX505	Licensed Corporation	9
Donghai International Futures (Hong Kong) Limited	BGW612	Licensed Corporation	2
ETRADE Securities (Hong Kong) Limited	ACT764	Licensed Corporation	1, 4
Guo Tong Capital Management Company Limited	AUP420	Licensed Corporation	4, 9
Naga Capital Partners (HK) Limited	AZT817	Licensed Corporation	9
Schroder Adveq Management (Hong Kong) Limited	BAE354	Licensed Corporation	1, 4
YH Securities (Hong Kong) Limited	BMC886	Licensed Corporation	1
ALAVI Arash	BIS583	Licensed Representative	1, 6
ALFORD Terrence Miguel	ADX525	Licensed Representative	4
ANG Weiyu	BDM047	Licensed Representative	4
ARIMITSU Ken	AT1163	Licensed Representative	9
AU-YEUNG Emily Ying Ka	ART701	Licensed Representative	1, 4, 9
BAHL Mudita	BHH331	Licensed Representative	1
BAUM Brandon Michael	BHQ349	Licensed Representative	1, 6
BERGER Anthony	AOJ226	Licensed Representative	9
BHALLA Sumeet Kumar	BLH891	Licensed Representative	1, 9
BRYAN Christopher James Churchill	BDM732	Licensed Representative	1, 4, 6
CAO Wenjia	BFY122	Licensed Representative	1, 4, 6
CHAK Kam Hong	AGD337	Licensed Representative	1
CHAN Cheuk Ki Jacky	AOE774	Licensed Representative	1
CHAN Chun Tim	BJR824	Licensed Representative	1
CHAN Deirdre	AEM976	Licensed Representative	4, 9
CHAN Frankie Shu Chun	BNP494	Licensed Representative	1, 2
CHAN Hiu Ha	BJW835	Licensed Representative	9
CHAN Lai Ming	AOD290	Licensed Representative	1
CHAN Ngai Lung	AWO108	Licensed Representative	1, 4
CHAN Wai Sun	BJG673	Licensed Representative	6
CHAN Wang Fung	BNC843	Licensed Representative	4
CHEN Chia-hui	BFH422	Licensed Representative	1, 4, 9
CHEN Jinyuan	BLZ483	Licensed Representative	1
CHEN Shengda	BNK583	Licensed Representative	1, 4
CHEN Tianhao	BJV302	Licensed Representative	1, 6
CHEN Wei-Hung	ACK844	Licensed Representative	4
CHEN Wenhao	BLU972	Licensed Representative	1, 2, 3
CHEN Yi-Chen	BKC029	Licensed Representative	1, 4, 6
CHEN Zhonghuang	AYF880	Licensed Representative	6
CHENG Hiu Ying Karen	BNW215	Licensed Representative	1, 4, 6
CHENG Hoi Man	BDA596	Licensed Representative	1, 4
CHENG Ka Chun	BGP569	Licensed Representative	1
CHENG Ka Chun Chad	BMW975	Licensed Representative	1, 4
CHENG Man Loch, Charlotte	APZ994	Licensed Representative	1, 2
CHENG Stanley Ming-fai	AZG053	Licensed Representative	4, 9
CHENG Ting Chiu	BJC900	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Weiyi	BMJ730	Licensed Representative	1, 4, 9
CHENG Yuk Hing, Bonnie	APH771	Licensed Representative	1
CHEONG Fung Nean	ADZ294	Licensed Representative	1, 2, 3
CHEUN Wan Foon	BNC094	Licensed Representative	1
CHEUNG Jonathan Che Wing	BHG129	Licensed Representative	4, 9
CHEUNG Yau Lung	AQA982	Licensed Representative	1, 9
CHIK Kar Lun, Karison	BNP244	Licensed Representative	1
CHIU Ka Yu	BGM809	Licensed Representative	6
CHIU Wing	AGP697	Licensed Representative	4
CHOI Chun Yin	BEC911	Licensed Representative	3
CHOI Hon Man	AGO786	Licensed Representative	3
CHOI Soyun	AYI864	Licensed Representative	1, 4
CHOW Jacqueline Pearl Yun-yin	BBW837	Licensed Representative	1, 6
CHOW Mandy	BHY521	Licensed Representative	1, 6
CHOY Hoi Yin	BNI851	Licensed Representative	1
CHUA Ryan Yih Ting	APH802	Licensed Representative	1, 6
CHUE Wai Hing	AJL604	Licensed Representative	1, 2
CHUNG Chi Hang	BGP040	Licensed Representative	1
CHUNG Jia Hsung	BLJ657	Licensed Representative	1, 4
DE TINGUY DU POUET Stanislas Paul F M B	BGR299	Licensed Representative	6
DENG Xiangyou	BIJ513	Licensed Representative	1, 2
DENG Yu	BNP015	Licensed Representative	1, 2
DOU Dou	BHY623	Licensed Representative	1, 4
DUAN Haixiao	BMV672	Licensed Representative	1, 4, 6
DUNYACH Quentin Jean-jacques	BNP199	Licensed Representative	1, 4
ESHRAGI Shameem	BFC869	Licensed Representative	1, 6
EVANS Joshua Webb	AMH709	Licensed Representative	9
FENG Shanchuan	BLB687	Licensed Representative	4
FENG Zicai	AWU832	Licensed Representative	1, 4, 9
FOK Kee Hung	ABC138	Licensed Representative	1
FU Brian Ying Yiu	BJB716	Licensed Representative	9
FUNG Ha Yung	BJR433	Licensed Representative	1, 4, 5
FUNG Kim Wan	AGA031	Licensed Representative	1, 2
FUNG Kwok Lun	BNG807	Licensed Representative	1, 2
GE Zi	BIX028	Licensed Representative	1, 4, 6
GEN Yik Sin	ABE360	Licensed Representative	1, 4
GENTLE Sally Kate	AOG288	Licensed Representative	1, 4, 9
GONZALEZ MORALES Diego Alejandro	BCU193	Licensed Representative	4, 6, 9
GOORANAH Avrish	BNG841	Licensed Representative	2, 5
GUO Ge	BNO197	Licensed Representative	4, 9
GUPTA Karan	BJK234	Licensed Representative	4
HAN Bruce Wook	ACO279	Licensed Representative	1, 4, 9
HAN Chin Tang	AVH609	Licensed Representative	1, 2, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HAN Seung Soo	BOA480	Licensed Representative	1, 4
HAO Boyuan	BNM908	Licensed Representative	1, 4, 9
HE Hui	BHU494	Licensed Representative	1
HE Lanjian	BLT554	Licensed Representative	6
HE Liu	BII019	Licensed Representative	1
HEDTKE Nathan Harrington	ARG770	Licensed Representative	1, 2
HO Bianca Kar-Man	BFS722	Licensed Representative	1, 6
HO Chun Yat, Timothy	BBB767	Licensed Representative	1, 2
HO Hiu Yin	BMT543	Licensed Representative	2
HONG Dae Suk	AOO559	Licensed Representative	1
HORCHANI Tareck	BIY463	Licensed Representative	1, 2, 3
HUANG Zheng	BNF933	Licensed Representative	1, 2
HUI Fung	BJK855	Licensed Representative	1
HUNG Chung Tak	BGY612	Licensed Representative	1
HWANG Junehyun	BDW695	Licensed Representative	4
IP Alan Wai Luen	AQY200	Licensed Representative	9
JACKMAN John Paul	BFX755	Licensed Representative	1, 6
JAIN Amit	AUZ627	Licensed Representative	1
JIANG Mengdie	BGH554	Licensed Representative	4
KELLY JR Harold Andrew	AZV486	Licensed Representative	1, 9
KEUNG Ming Kit	BHJ454	Licensed Representative	1
KIM Ki Soo	AFJ899	Licensed Representative	1, 4, 9
KIM Pau Lam	BBM050	Licensed Representative	9
KIM Young Rin	BLZ368	Licensed Representative	4
KOH Youngjun	BNJ133	Licensed Representative	4
KONG Shiu Lun	ADZ541	Licensed Representative	1, 4
KUNG Wang Leong	BLR820	Licensed Representative	6
KWAN Bo Li Bonnie	AWS225	Licensed Representative	1
LAI Man Fung	BDD008	Licensed Representative	1
LAI Ming Fung	AGC971	Licensed Representative	9
LAI Po Lin	AAB698	Licensed Representative	1
LAM Chak Shun, Jason	BAM915	Licensed Representative	1
LAM Eric Chun Yin	AXV212	Licensed Representative	4, 9
LAM Ho Howard	BJX688	Licensed Representative	1, 4, 9
LAM Hoi Kwan, Andrea	BGL079	Licensed Representative	1, 4, 9
LAM Lap Yen, Roger	ACQ984	Licensed Representative	1
LAM Mei Fai	ARG361	Licensed Representative	9
LAM Pui Chung	BHQ261	Licensed Representative	3
LAMAR Mia Danielle	BKK695	Licensed Representative	1
LAU Chi Keung	AGN131	Licensed Representative	1
LAU Hoi Yan	BHK033	Licensed Representative	1, 6
LAU Sze Nga	ARD193	Licensed Representative	1
LAU Wai Man	BIQ232	Licensed Representative	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Yi	BNQ530	Licensed Representative	1, 2
LAW Kai Tak	BLV846	Licensed Representative	1
LAW Wai Han	BNH675	Licensed Representative	1, 4
LECOQ Charles Makoto	AXA178	Licensed Representative	2, 5
LEE Kai Chung	BIV157	Licensed Representative	1, 2, 4, 5
LEE Kin Tak	BIN970	Licensed Representative	1
LEE King Wah	APR137	Licensed Representative	1
LEE Kitty Yin Ting	BEB225	Licensed Representative	9
LEE Shuk Yee	AY1120	Licensed Representative	1, 6
LEE Wai Shan	BFO357	Licensed Representative	1
LEE Youn Wen	AHA183	Licensed Representative	1
LESCAROUX Frederic Paul	BLX958	Licensed Representative	1, 2
LEUNG Hei Tung, Hitomi	BJK540	Licensed Representative	1, 6
LEUNG Ka Kiu	BHJ769	Licensed Representative	1, 4, 9
LEUNG Pak Hin	BMV366	Licensed Representative	1, 2
LEUNG Wai Ha	AGP653	Licensed Representative	1, 4
LEUNG Yin Hing, Benny	ARR385	Licensed Representative	1
LEUNG Yiu Ming, Eric	AED697	Licensed Representative	1
LI Canhuang	BHX641	Licensed Representative	1, 2
LI Chee Chung	AXF758	Licensed Representative	9
LI Chuheng	BLB153	Licensed Representative	1
LI Siying	BJA891	Licensed Representative	2
LI Wai Kwan	ALU154	Licensed Representative	1, 4, 6
LI Zhengyang	BGZ454	Licensed Representative	1, 4, 9
LIAN Liangqing	AWJ609	Licensed Representative	1
LIANG Liang	AUM947	Licensed Representative	1
LIANG Shuang	BJG128	Licensed Representative	4, 9
LIANG Yubin	BGZ296	Licensed Representative	4
LIAO Weiyi	BHF070	Licensed Representative	1, 2
LIU Chin Ting	ANM327	Licensed Representative	2
LIU Chun	BDR497	Licensed Representative	1, 2
LIU Guangyu	BOG300	Licensed Representative	1, 4, 6
LIU Jian	BNC023	Licensed Representative	1, 6
LIU Kwai Lin	BDN260	Licensed Representative	1
LIU Lucy	BJP230	Licensed Representative	1
LIU Yicheng	BKG339	Licensed Representative	1, 4, 6
LIU Yuanyuan	BHQ134	Licensed Representative	1
LO Hiu Yeung	AVD958	Licensed Representative	3
LO Szu-Yuan	AXF983	Licensed Representative	4
LOOK Rosalind	AKJ147	Licensed Representative	1, 2
LORETO Lowell Lao	AXT102	Licensed Representative	1, 4, 9
LOUIE Hau Tak	BND174	Licensed Representative	6
LU Dongfeng	ANL953	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Steven Shiming	AOJ443	Licensed Representative	1, 4, 6
LUDIN Siward Mandira	BIK136	Licensed Representative	1, 4
LUI June Yi Vivian	BGD194	Licensed Representative	1, 4
LUI Yat Yin	ATT820	Licensed Representative	1, 2
MA Kwun Yea	AEF918	Licensed Representative	4
MADSEN Benjamin Thomas	BGN036	Licensed Representative	1
MAK Ho Ming	BAJ419	Licensed Representative	1, 4
MAK Mei Kuen	BBZ805	Licensed Representative	6
MANN Duncan Rodney James	BMQ347	Licensed Representative	6
MIROCHNIK Leon	BEO717	Licensed Representative	4, 9
MOK Eric	AHX010	Licensed Representative	1, 4
MORTON Douglas James Macleod	BEO419	Licensed Representative	1, 4
NAKAMURA Weston Kaisei	BHQ366	Licensed Representative	1
Navita	AWA227	Licensed Representative	1
NG Chi Kong	ABO861	Licensed Representative	1
NG Chung Min Marvin	BKC173	Licensed Representative	9
NGUYEN Stephane Khai	BCD691	Licensed Representative	2
NISHIDA Morio	BFM564	Licensed Representative	1, 4
NOGUCHI Kenichi	AVY124	Licensed Representative	4, 9
OH Min Suk	BJO636	Licensed Representative	1, 4
OKUYAMA Ayuko	ALE928	Licensed Representative	1
OONG Mei Fong	BCU767	Licensed Representative	1
PARCHI Rowan Paolo	BAD447	Licensed Representative	1
PATON James Ferguson	AGJ575	Licensed Representative	4, 9
PHILIP Adam Stuart	BCK002	Licensed Representative	2
POH Lay Hong	BAP394	Licensed Representative	1, 4
POON Pui Ming, Madelan	AGB219	Licensed Representative	1, 4
POON Wai Man, Isomil	AME468	Licensed Representative	3
QI Shanshan	BMG536	Licensed Representative	1
QIN Ziheng	BJK232	Licensed Representative	1, 6
RIZVI Syed Hassan Tallal	BGG840	Licensed Representative	1
ROBY Carolyn Anne	BGK733	Licensed Representative	1, 4, 9
SEDDON James Edward	ANG455	Licensed Representative	1, 2, 7
SEKINUMA Joji	BMC988	Licensed Representative	1
SHETH Mihir Milindra	ATO049	Licensed Representative	9
SHI Shuming	BJM471	Licensed Representative	6
SHIMMORI Genki	BGQ862	Licensed Representative	1, 4
SHOLAPURKAR Aditi	BKA583	Licensed Representative	1
SHRIVASTAVA Ritu	BOB400	Licensed Representative	1, 2, 4
SIVASUBRAMANIAM Sivasakthi D/O	AUW890	Licensed Representative	4
SOHN Charles Chongho	AUA852	Licensed Representative	1
SUN Changyu	BES708	Licensed Representative	1, 2, 7
SUN Shaoyun	BHC776	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Tao	BNW051	Licensed Representative	9
SUN Yanjun	AKX669	Licensed Representative	1, 4
TAN Weixin	BKM833	Licensed Representative	1
TANG Sheung Har	ABN511	Licensed Representative	1
TANG Wing Chung	BDF858	Licensed Representative	1, 2, 3
TANG Yuk Hin Richard	ADC308	Licensed Representative	4, 9
TE KLOOT Rowan James	BHI930	Licensed Representative	1, 6
TELFORD Tom Daniel	BDX241	Licensed Representative	4
TO Kin On	AUN902	Licensed Representative	1, 4, 9
TO Kwok Chien, Ronald	AEN382	Licensed Representative	1
TSE Ka Wai	BLD343	Licensed Representative	1, 2
TSE Tat Ki	AZH740	Licensed Representative	1
TSUI Chun Kuen Ken	AJY009	Licensed Representative	1, 4
TSUI Terence	AVA788	Licensed Representative	6
TSUNG Howard	BOD284	Licensed Representative	9
TUNG Man Juon	AYW276	Licensed Representative	4, 9
URQUHART Nathan Kyle	BJB189	Licensed Representative	1, 9
VAZQUEZ MARTINEZ Santiago	AZI799	Licensed Representative	4, 9
WAI Sun Keung, Andy	AFT455	Licensed Representative	1, 2
WAN Pak Hang	BNC266	Licensed Representative	9
WANG Huimin	BGA480	Licensed Representative	1, 6
WANG Keyi	BDQ199	Licensed Representative	6
WANG Qiong	BJW110	Licensed Representative	1, 6
WANG Shuai	BNB844	Licensed Representative	1
WANG Sichen	BIM096	Licensed Representative	1, 4, 9
WANG Siew Li Joelle	AYF319	Licensed Representative	1, 2
WANG Xiaoying	BEU969	Licensed Representative	10
WANG Zheng	BGV212	Licensed Representative	1, 4
WEI Hong Jie	BHT132	Licensed Representative	1, 6
WEN Jie	BLM870	Licensed Representative	1, 9
WILLCOCKS Christopher Richard	AWU560	Licensed Representative	1
WONG Chiu Kwan	BMI114	Licensed Representative	4, 9
WONG Ka Ho	AXX645	Licensed Representative	1, 6
WONG Kelman Kai Man	BDZ079	Licensed Representative	1, 2
WONG Kwan Chi	AYJ373	Licensed Representative	1
WONG Leung Kwong Peter	BED789	Licensed Representative	1, 4
WONG Qin Zhi	BJW656	Licensed Representative	1, 6
WONG Sin Man	BEM913	Licensed Representative	9
WONG Wai Hon	ACI516	Licensed Representative	1
WONG Wai Yan	AWY281	Licensed Representative	9
WONG Wai Yu	ALU552	Licensed Representative	4, 9
WONG Wan Kin	AVM904	Licensed Representative	1, 6
WONG Yun Fung	BEE599	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Hon Yuen Ivan	BFN451	Licensed Representative	1, 2
WU Mo Hung	ASP712	Licensed Representative	1
WU Sheung Mun, Sharon	AMR689	Licensed Representative	4, 6
WU Tingting	AYQ131	Licensed Representative	1, 4, 6
WU Wanfei	BNJ803	Licensed Representative	9
WU Wei	BCB665	Licensed Representative	1, 4, 9
WU Youchuang	BGH149	Licensed Representative	4, 9
WU YU	BLK496	Licensed Representative	1, 4
XIAO Jian	ATV900	Licensed Representative	4, 9
XIE Zhengyang	AXW931	Licensed Representative	2
XU Jiewei	BJJ366	Licensed Representative	10
XU Xiangli	ALG318	Licensed Representative	1, 4, 9
YAM Edward Tze Bong	BAE425	Licensed Representative	6
YANG Guang	BIM659	Licensed Representative	4
YANG Yiqing	BHU638	Licensed Representative	1, 6
YANG Yiran	BKU216	Licensed Representative	6
YANG Yutong	BIX047	Licensed Representative	1, 2, 4, 9
YANG Zequan	BLO153	Licensed Representative	1, 4
YAU Chi Hang	AVD349	Licensed Representative	1
YAU Yuk Ling	APJ469	Licensed Representative	1
YEUNG Chi Man	AVM936	Licensed Representative	1
YEUNG Chi Pan	BEG177	Licensed Representative	1, 4
YEUNG Sheung Hi	BJX601	Licensed Representative	4, 9
YEUNG Tai Tai	BFJ579	Licensed Representative	1
YIP Shu Choi Barry	AXD820	Licensed Representative	1
YIU Yan Ki	BGQ377	Licensed Representative	4
YOSHIDA GENKI	BJJ183	Licensed Representative	1, 4, 6
YOU Jian	BDD076	Licensed Representative	1, 4, 9
YU Kam Chiu William	BDV879	Licensed Representative	4, 9
YU Pak Hang	AOA850	Licensed Representative	1, 4, 9
YU Pedro	BNO056	Licensed Representative	1, 6
YU Tsz Ming, Jimmy	BGE362	Licensed Representative	1
YU Wenrui	BIZ616	Licensed Representative	4
YU Xiang	ARS233	Licensed Representative	9
YU Xikang	BHN703	Licensed Representative	1
YUAN Mingyue	BLA439	Licensed Representative	4, 6, 9
YUAN Zhefei	APL225	Licensed Representative	2
YUEN CHAN Chihon Johnny	BMV489	Licensed Representative	1
YUEN Sze Ming	AYE803	Licensed Representative	1, 4, 9
YUEN Wing Yee	AQG441	Licensed Representative	4, 9
YUEN Yiu Hei	BDD728	Licensed Representative	1, 4
YUNUS Anthony	BEF078	Licensed Representative	4
ZHANG Elisabeth Ting	BJV996	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Jiaqi	BLT202	Licensed Representative	1, 4, 9
ZHANG Peng	BNF922	Licensed Representative	1, 6
ZHANG Wenzhou	BNO706	Licensed Representative	1, 6
ZHANG Xinying	BDT775	Licensed Representative	1, 2, 9
ZHANG Yaowu	BNF349	Licensed Representative	4, 9
ZHANG Yiang	BJJ330	Licensed Representative	1, 4, 9
ZHAO Xiaotian	BKE566	Licensed Representative	9
ZHENG Lixia	BDQ700	Licensed Representative	1, 2, 4
ZHENG Long	BCY708	Licensed Representative	1, 2
ZHENG Xiaofen	BJK477	Licensed Representative	9
ZHONG Tianfan	APU590	Licensed Representative	1, 6
ZHONG Ye	BDP512	Licensed Representative	1, 2, 3
ZHOU Xikun	BGH805	Licensed Representative	1, 4
ZHU Chen	AYY540	Licensed Representative	1, 6
ZHU Sihui	BLG149	Licensed Representative	1, 2
ZHU Yanan	BEV970	Licensed Representative	4
ZHUANG Lingyi	BMV470	Licensed Representative	1, 9
BERG Paul Nicholas Louis	AJB792	Licensed Representative - Responsible Officer	9
CHEN Wei-Hung	ACK844	Licensed Representative - Responsible Officer	9
CHEN Ying	APU686	Licensed Representative - Responsible Officer	9
CHINNICK Michael Philip	AME172	Licensed Representative - Responsible Officer	9
CHOI Chun Yin	BEC911	Licensed Representative - Responsible Officer	1, 2
CHUN Yee Wah Eva	ABT383	Licensed Representative - Responsible Officer	1, 4
COOKE Stuart James	BFZ624	Licensed Representative - Responsible Officer	3
DUBOIS Cedric Jean-Marie	AOB373	Licensed Representative - Responsible Officer	1, 2, 4, 5
EVANS Joshua Webb	AMH709	Licensed Representative - Responsible Officer	1
HENRY Paul Anthony	ADS891	Licensed Representative - Responsible Officer	9
HO Kar Mei, Nancy	ABY581	Licensed Representative - Responsible Officer	1
HO Yiu Bun, William	ALW317	Licensed Representative - Responsible Officer	4
KIM John Sungtak	AFF179	Licensed Representative - Responsible Officer	9
KITAHARA Mamoru	ADL465	Licensed Representative - Responsible Officer	1, 4, 9
KWAN Kate	ANQ329	Licensed Representative - Responsible Officer	1, 4
LAI Koon Ho	ALB158	Licensed Representative - Responsible Officer	4, 9
LAI Ming Fung	AGC971	Licensed Representative - Responsible Officer	4
LAM Mei Fai	ARG361	Licensed Representative - Responsible Officer	1
LAM Yip Chai	BOB934	Licensed Representative - Responsible Officer	1
LEE Siu Wah	AAE157	Licensed Representative - Responsible Officer	1, 4
LEE Suk Wah, Inessa	ACH127	Licensed Representative - Responsible Officer	9
LEUNG Man Kit	ABM580	Licensed Representative - Responsible Officer	6
LIANG Liang	AUM947	Licensed Representative - Responsible Officer	6
LIN I-Wen	AOV710	Licensed Representative - Responsible Officer	1, 4
MA Wai Kwok, Alvin	AEC588	Licensed Representative - Responsible Officer	4, 9
MUSICCO Nicole Theresa	BBW308	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
OH Min Suk	BJO636	Licensed Representative - Responsible Officer	6
PANG Hing Chung, Alfred	ACJ625	Licensed Representative - Responsible Officer	6
REN Guohua	ADO422	Licensed Representative - Responsible Officer	4
SENGUPTA Amit	BJO508	Licensed Representative - Responsible Officer	1, 4
SHIH Hung	AAX349	Licensed Representative - Responsible Officer	4
SMITH Dennis Michael	AFI982	Licensed Representative - Responsible Officer	4, 6
TAM Yvonne Yuk Ching	APJ124	Licensed Representative - Responsible Officer	6
TANG Oi Ying	BKG376	Licensed Representative - Responsible Officer	1
TEO Yin Chian	AYR078	Licensed Representative - Responsible Officer	6
TIBBETT Amanda Jayne	BKB056	Licensed Representative - Responsible Officer	1
TO Kwok Chien, Ronald	AEN382	Licensed Representative - Responsible Officer	4, 9
TSE Tin Shing	BFC853	Licensed Representative - Responsible Officer	1, 4, 9
TSUI Chi Hin	AGH786	Licensed Representative - Responsible Officer	3
TSUI Terence	AVA788	Licensed Representative - Responsible Officer	1
WAN Yuk Ling	AGY915	Licensed Representative - Responsible Officer	1, 4
WONG Sor Ying	BAO806	Licensed Representative - Responsible Officer	1, 4, 9
WONG Stuart Wai Chung	AJL485	Licensed Representative - Responsible Officer	6
XIANG Pinggui	AOJ791	Licensed Representative - Responsible Officer	4, 9
XIANG Zuo	AUG690	Licensed Representative - Responsible Officer	9
XIE Zhengyang	AXW931	Licensed Representative - Responsible Officer	1, 4
YIEN Ling Ying, Linen	ADS757	Licensed Representative - Responsible Officer	9
YUAN Zhefei	APL225	Licensed Representative - Responsible Officer	1, 4
AGRAWAL Anurag	BHN025	Licensed Representative (Provisional Licence)	1, 2
ARORA Rohit	ART681	Licensed Representative (Provisional Licence)	4
BEAK Russell Stuart	BOF484	Licensed Representative (Provisional Licence)	1, 4, 9
BEDNARSKI Derek Joseph Lee	BKA610	Licensed Representative (Provisional Licence)	9
BHAGAT Abbas Taher	BOG354	Licensed Representative (Provisional Licence)	1, 4, 6
BHESANIA Armeen	BNL413	Licensed Representative (Provisional Licence)	4
BO Qiaochu	AZJ491	Licensed Representative (Provisional Licence)	1, 6
BROWNELL James Llewellyn	BOI146	Licensed Representative (Provisional Licence)	1, 2
BUCKBY Guy Christopher	BOG073	Licensed Representative (Provisional Licence)	1, 4
BUCKINGHAM Geraldine Joyce	BNY930	Licensed Representative (Provisional Licence)	1, 2, 4, 6, 9
BURMAN Nilisha	BOG729	Licensed Representative (Provisional Licence)	1
BUSIRI Muhammad Zakky	BOG749	Licensed Representative (Provisional Licence)	1, 2
BUT Ming Sze	BOG288	Licensed Representative (Provisional Licence)	4, 9
CAI Yuxiang	BOF908	Licensed Representative (Provisional Licence)	1, 4, 6
CAO Jian	BOG527	Licensed Representative (Provisional Licence)	4, 9
CAO Yuanyuan	BOG925	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Chi Wing	BOG901	Licensed Representative (Provisional Licence)	1
CHAN King On, Dennis	ADA743	Licensed Representative (Provisional Licence)	1, 4
CHAN Kwan Yin	BNY274	Licensed Representative (Provisional Licence)	1, 2
CHAN Mei Tak	ABQ011	Licensed Representative (Provisional Licence)	1, 9
CHAN Shih Huai	BOH184	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Shing Chung	BDK078	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wing Lam	BKJ927	Licensed Representative (Provisional Licence)	1, 4, 6
CHANG Gary Yu Chia	BEM480	Licensed Representative (Provisional Licence)	9
CHAUDHRY Zartasha Salman	BOI872	Licensed Representative (Provisional Licence)	1
CHEN Po-Liang	BOG416	Licensed Representative (Provisional Licence)	9
CHEN Tzu Yuan	BOG778	Licensed Representative (Provisional Licence)	4, 9
CHEN Wai Lee	BOH824	Licensed Representative (Provisional Licence)	1
CHEN Wen	BOF580	Licensed Representative (Provisional Licence)	1
CHEN Zhenhong	BOG688	Licensed Representative (Provisional Licence)	1, 4
CHEN Zhexu	BOG046	Licensed Representative (Provisional Licence)	1, 4
CHENG Yu Man	AQN907	Licensed Representative (Provisional Licence)	1, 6
CHETWODE Sharmini Priya	BOG864	Licensed Representative (Provisional Licence)	1, 4
CHEUK Hiu Shui Akiho Amy	BOH326	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kam Wai	AWL269	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Kevin	BOI082	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kin Hang, Kenneth	BEW358	Licensed Representative (Provisional Licence)	1
CHIA Jonathan Kuen Hung	BOG760	Licensed Representative (Provisional Licence)	1, 4, 9
CHIANG Kuan-ting	BOH028	Licensed Representative (Provisional Licence)	1
CHONG Wing Hong	BKN190	Licensed Representative (Provisional Licence)	1
CHOW Chi Wai	BOG069	Licensed Representative (Provisional Licence)	6
CHOW Ting Fai, Stephen Benny	ANE749	Licensed Representative (Provisional Licence)	9
CHUANG Shih-yen	BBN891	Licensed Representative (Provisional Licence)	1, 2, 4
CHUM Po San	AQE973	Licensed Representative (Provisional Licence)	1
CHUNG Tin Lok	BOH049	Licensed Representative (Provisional Licence)	6
DAI Chunhua	BOI339	Licensed Representative (Provisional Licence)	6
DANG Xinlong	BOH002	Licensed Representative (Provisional Licence)	1, 4
DEGOY Olivier Jean- Baptiste Marie	BOH045	Licensed Representative (Provisional Licence)	1, 2
DENG Ziyu	BOI116	Licensed Representative (Provisional Licence)	1, 4, 6
DILLON Joshua Han Bo	BOJ187	Licensed Representative (Provisional Licence)	1
DORME Julien David	BOG267	Licensed Representative (Provisional Licence)	1, 2, 7
DUAN Lian	BOH861	Licensed Representative (Provisional Licence)	4
FAN Chik Chuen, Daniel	AAY753	Licensed Representative (Provisional Licence)	1, 4
FAN Lili	AOT501	Licensed Representative (Provisional Licence)	4, 9
FANG Bin	BNF090	Licensed Representative (Provisional Licence)	1, 2
FANG Yingping	BOF903	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Xue	AQA574	Licensed Representative (Provisional Licence)	1, 6
FUKUSHIMA Teruhisa	BOC947	Licensed Representative (Provisional Licence)	1
FUQUA Joseph Ben	BOF969	Licensed Representative (Provisional Licence)	9
GALVIN Simon Stephen	AXY950	Licensed Representative (Provisional Licence)	6
GONG Yue	BOH928	Licensed Representative (Provisional Licence)	1, 4, 9
GONG Yuelai	BOI129	Licensed Representative (Provisional Licence)	4
GOTO Masahiro	BOG007	Licensed Representative (Provisional Licence)	1, 4, 6
GU Siyu	BOG548	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	License Type	Types of Regulated Activity
GUPTA Pooja	BIC159	Licensed Representative (Provisional Licence)	9
HADIWIDJOJO Pramadwiandika	BEV992	Licensed Representative (Provisional Licence)	1
HAN Bowen	BHV171	Licensed Representative (Provisional Licence)	1, 4
HAO Xingzhou	BOH484	Licensed Representative (Provisional Licence)	1, 4, 6
HARASHIMA Kenji	APB637	Licensed Representative (Provisional Licence)	1, 2
HAYWARD Brandon Mark	BOF138	Licensed Representative (Provisional Licence)	1, 6
HE Bitao	BOF744	Licensed Representative (Provisional Licence)	1
HE Xiaoshen	BOF577	Licensed Representative (Provisional Licence)	1, 2
HENELY Lee Paul	BHH489	Licensed Representative (Provisional Licence)	1, 4, 6
HENG Chi Hao Derrick	BOH556	Licensed Representative (Provisional Licence)	4
HO Cheuk Kei	BIS728	Licensed Representative (Provisional Licence)	1, 4
HO Kenneth Kar-hin	BOG656	Licensed Representative (Provisional Licence)	1, 4
HO Pui Sai, Josephine	BOG569	Licensed Representative (Provisional Licence)	1, 2
HORNE Kathryn Jean	BOI309	Licensed Representative (Provisional Licence)	1, 4
HSU Chun-Yuan	ASD142	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
HUANG Guanyu	BOF489	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Hongjiang	BOH725	Licensed Representative (Provisional Licence)	1, 2
HUANG JIN	BOF429	Licensed Representative (Provisional Licence)	1, 6
HUANG Wei	AVF558	Licensed Representative (Provisional Licence)	9
HUANG Xin	BEK861	Licensed Representative (Provisional Licence)	1, 2
HUANG Yi	BOG447	Licensed Representative (Provisional Licence)	1, 6
HUANG Yilong	BOG576	Licensed Representative (Provisional Licence)	1, 4
HUNG Lau Yung	BOI276	Licensed Representative (Provisional Licence)	9
IGARASHI Akihiro	BOG495	Licensed Representative (Provisional Licence)	1, 4, 6
IGARI KAYO	BOH011	Licensed Representative (Provisional Licence)	1, 2
IP Hon Lam, Hiram	AIB932	Licensed Representative (Provisional Licence)	1, 4
ISKANDAR Boris	BOG068	Licensed Representative (Provisional Licence)	1, 2
ITO Kazumasa	BOH371	Licensed Representative (Provisional Licence)	1, 4, 6
JAIN Purvi	BOG860	Licensed Representative (Provisional Licence)	1, 2
JIANG Da	BOG352	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Jingyun	BCY115	Licensed Representative (Provisional Licence)	1
JIANG Shangnan	BOH532	Licensed Representative (Provisional Licence)	1, 2
KIM Young Wook	BOF298	Licensed Representative (Provisional Licence)	2, 5
KOIKE Hiroyasu	BOG126	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Tik Yee	BOH721	Licensed Representative (Provisional Licence)	1, 4
KU Yuen Leung	AEE533	Licensed Representative (Provisional Licence)	1
KUO Cheung	ACZ555	Licensed Representative (Provisional Licence)	9
KURIHARA Yuji	BOF765	Licensed Representative (Provisional Licence)	1, 4, 6
KWON Taeyoun	BOF420	Licensed Representative (Provisional Licence)	1
LAI Chun Wai	BCE967	Licensed Representative (Provisional Licence)	1, 4
LAI Chun Ho	BOH524	Licensed Representative (Provisional Licence)	1
LAI Kam Hei	BOG573	Licensed Representative (Provisional Licence)	1
LAI Mei Han	BCZ362	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Chun Hung	AHA454	Licensed Representative (Provisional Licence)	2, 5
LAM Yuen Hei	AXF651	Licensed Representative (Provisional Licence)	9
LAU Cheuk Yin, Jacqueline	BGY951	Licensed Representative (Provisional Licence)	1, 2
LAU Chi Chiu, Terry	AUQ993	Licensed Representative (Provisional Licence)	1, 2
LAU Gwen	BFK205	Licensed Representative (Provisional Licence)	1, 4
LAU Kai Chung Kelvin	BOG518	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LAU Tak Wai	BOH199	Licensed Representative (Provisional Licence)	1
LAU Yin Kwan	BOH328	Licensed Representative (Provisional Licence)	1, 4
LAW Cheuk Yan, Vanessa	BOH187	Licensed Representative (Provisional Licence)	1, 4
LEE Alyssa Kai Wing	BOH364	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Chi Wei	BOG482	Licensed Representative (Provisional Licence)	1, 2
LEE Ching Lam Matthew	BOH420	Licensed Representative (Provisional Licence)	1, 2
LEE Hee Sung	BOG908	Licensed Representative (Provisional Licence)	1, 4
LEE Ho Yin	BCI442	Licensed Representative (Provisional Licence)	6
LEE Thurston R	BOG360	Licensed Representative (Provisional Licence)	1, 4
LEI Chi-hua	ARJ348	Licensed Representative (Provisional Licence)	1
LEUNG Brian Dik-Lun	BOG779	Licensed Representative (Provisional Licence)	1
LEUNG Chun Yik	BOF926	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Hoi Kin Kurtis	BOH170	Licensed Representative (Provisional Licence)	1, 4
LEUNG Pak Kan	AFU746	Licensed Representative (Provisional Licence)	1
LI Feng	BOD543	Licensed Representative (Provisional Licence)	1, 6
LI Hualin	BOH730	Licensed Representative (Provisional Licence)	1, 4
LI Sing Yeung	ANK461	Licensed Representative (Provisional Licence)	1, 4
LI Siqi	BOF938	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhuoli	BOH660	Licensed Representative (Provisional Licence)	4
LIN Tsz Wai	BOH627	Licensed Representative (Provisional Licence)	1, 4
LING Yue	BOH780	Licensed Representative (Provisional Licence)	9
LIU Wen Lan	BOG083	Licensed Representative (Provisional Licence)	1
LIPSCHITZ David Abraham	BOD849	Licensed Representative (Provisional Licence)	4
LIU Hui	BOG884	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Shucen	BOH435	Licensed Representative (Provisional Licence)	1, 6
LIU Wenxi	BOG293	Licensed Representative (Provisional Licence)	6
LIU Xuyang	BOG135	Licensed Representative (Provisional Licence)	1, 6
LIU YEH Li-chen, Holly	AHU954	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Yi Chun	BOH459	Licensed Representative (Provisional Licence)	1
LIU Zhendong	BOH046	Licensed Representative (Provisional Licence)	1, 6
LO Chris Cze Wai	BOG658	Licensed Representative (Provisional Licence)	1, 6
LO Ho Chi	BOG852	Licensed Representative (Provisional Licence)	1
LO Lok Sum	BOH647	Licensed Representative (Provisional Licence)	1
LO Ming Chin	BOG851	Licensed Representative (Provisional Licence)	1, 4
LOK Tsan Yeung	BOG929	Licensed Representative (Provisional Licence)	1, 4
LONG Yu	BOG511	Licensed Representative (Provisional Licence)	4
LOW Yik Kee, Nicholas	ARI658	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LUI Ho Ming	BOH856	Licensed Representative (Provisional Licence)	1, 6
LUO Chendi	BGU665	Licensed Representative (Provisional Licence)	1, 4
LUO Chuyan	BOG507	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Wenting	BOG866	Licensed Representative (Provisional Licence)	1, 4
MA Kwok Chau	BOG876	Licensed Representative (Provisional Licence)	1
MA Ming Yau Sharif	BOH817	Licensed Representative (Provisional Licence)	1, 4
MA Yejia	BOG865	Licensed Representative (Provisional Licence)	1, 6
MAI Xiaomin	BOG763	Licensed Representative (Provisional Licence)	1, 4
MAK Hoi See	AFG868	Licensed Representative (Provisional Licence)	1, 4
MAN Kin Kau	AXH501	Licensed Representative (Provisional Licence)	1
MIN Jung-Ki	BOH711	Licensed Representative (Provisional Licence)	1, 6
MING Jia	BEN354	Licensed Representative (Provisional Licence)	1, 4
MO Han	BOG355	Licensed Representative (Provisional Licence)	1, 6
MOGI Yutaka	BOA593	Licensed Representative (Provisional Licence)	1, 4, 6
MOU Zhengwen	BOG478	Licensed Representative (Provisional Licence)	6
MURRAY Craig Richard	BOC137	Licensed Representative (Provisional Licence)	1, 6
NG Chi To, Graham	AAI128	Licensed Representative (Provisional Licence)	1, 2, 4, 5
NG Ho Yin	BOG485	Licensed Representative (Provisional Licence)	9
NG Tsz Wing	BOI100	Licensed Representative (Provisional Licence)	1, 6
NG Yu Hin John	ATW740	Licensed Representative (Provisional Licence)	1, 4
NGAN Tsung Wen, Melody	AJL556	Licensed Representative (Provisional Licence)	6
NIE Tianyuan	BOG396	Licensed Representative (Provisional Licence)	4, 9
NIETSCH Eric Clark	BIA055	Licensed Representative (Provisional Licence)	10
NOUJAIM Serge	BOH043	Licensed Representative (Provisional Licence)	1, 2
OBORG Daniel Geoffrey	BOF792	Licensed Representative (Provisional Licence)	1, 4
ONO Takaaki	BOH802	Licensed Representative (Provisional Licence)	1, 4
OR Ka Shing Alex	BOF643	Licensed Representative (Provisional Licence)	1, 2, 4
PANDAY Rahul Vijay Kumar	BOI060	Licensed Representative (Provisional Licence)	1, 4, 6
PARK Bum Chul	BOG746	Licensed Representative (Provisional Licence)	1, 4
POON Chun Ning, Linus	BJO277	Licensed Representative (Provisional Licence)	1, 2
PREVOST Guillaume Eudes Marie	BOH327	Licensed Representative (Provisional Licence)	1, 2
QI Nan	BIC343	Licensed Representative (Provisional Licence)	1, 4
QIAN Guangsen	BOH806	Licensed Representative (Provisional Licence)	1, 2, 7
QIU Pinru	BOH925	Licensed Representative (Provisional Licence)	4
SCHULZ Kristan	BOG774	Licensed Representative (Provisional Licence)	1, 2
SHARMA Saumya	BOG275	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Hanxiao	BOI232	Licensed Representative (Provisional Licence)	4
SHEN Zuiyi	BOG697	Licensed Representative (Provisional Licence)	1, 4, 9
SHI Feng	BOG670	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Zhensong	AUR648	Licensed Representative (Provisional Licence)	1, 2
SHIBATA Tatsuhiro	BOE638	Licensed Representative (Provisional Licence)	1, 2, 4
SHIMIZU Akiko	BOG502	Licensed Representative (Provisional Licence)	1, 4, 6
SHIRAIWA Chiyuki	BOG725	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	License Type	Types of Regulated Activity
SIT Chi Hang	APK619	Licensed Representative (Provisional Licence)	1, 2
SMITH Andrew Peter	BOH855	Licensed Representative (Provisional Licence)	1, 2
SONG Jin	BOH029	Licensed Representative (Provisional Licence)	1
STIMSON Mark Grant	APK595	Licensed Representative (Provisional Licence)	1, 4, 6
SUEN Wo Ping, Shofia	AFU310	Licensed Representative (Provisional Licence)	1, 2
SUN Yu	BOH047	Licensed Representative (Provisional Licence)	1, 6
TAKAHASHI Hiroki	BOI205	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Chung Shun, Isaac	BOF043	Licensed Representative (Provisional Licence)	2, 5
TANG Sing Lung	BMW207	Licensed Representative (Provisional Licence)	4, 9
TANG Vivian Lok Sze	BOH648	Licensed Representative (Provisional Licence)	1, 4, 9
TEO Zhaoxiong Kelvin	BOC268	Licensed Representative (Provisional Licence)	1, 4, 9
THONG Bo Wei	BOH796	Licensed Representative (Provisional Licence)	1, 4
TJIA Novita	BOG817	Licensed Representative (Provisional Licence)	1
TSAI Jamison Yao Cheng	BOG078	Licensed Representative (Provisional Licence)	1, 2
TU Zhengfeng	BOF927	Licensed Representative (Provisional Licence)	1, 4, 6
UEMURA Tai	BOH784	Licensed Representative (Provisional Licence)	1
ULPO Lodevico Jr. Mabunga	BOH399	Licensed Representative (Provisional Licence)	4, 9
URQUIDI Pedro	ADU888	Licensed Representative (Provisional Licence)	1, 4, 9
VASILESCU Laurent Andre	BOG562	Licensed Representative (Provisional Licence)	4
WAN Bruce	BOG327	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Changhao	BOF494	Licensed Representative (Provisional Licence)	1, 4
WANG Fei	BOG390	Licensed Representative (Provisional Licence)	1
WANG Juntao	BOI064	Licensed Representative (Provisional Licence)	1
WANG Ling Yun	BOH801	Licensed Representative (Provisional Licence)	1, 4
WANG Lu	AXX186	Licensed Representative (Provisional Licence)	1, 4
WANG Tsai Yun	ARF694	Licensed Representative (Provisional Licence)	1
WANG Xiaowen	BBZ714	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yong	BOG558	Licensed Representative (Provisional Licence)	1, 4
WANG Zhihe	BOG689	Licensed Representative (Provisional Licence)	1
WEE Li Feng, Janice	BOG446	Licensed Representative (Provisional Licence)	1, 4, 9
WOLF Ryuji Rafael	BLN156	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Adrian Kin Man	BOH818	Licensed Representative (Provisional Licence)	1, 2
WONG Cheuk Man Clement	BOG762	Licensed Representative (Provisional Licence)	1, 2, 3, 4, 5
WONG Chi Leong	BOH572	Licensed Representative (Provisional Licence)	1, 2, 3, 4
WONG Ching Wai	BOG074	Licensed Representative (Provisional Licence)	1
WONG Hiu Chun	BBA877	Licensed Representative (Provisional Licence)	1
WONG Hoi Cheung	BOH836	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Ka Lok	BOH714	Licensed Representative (Provisional Licence)	1, 6
WONG Ken	BDG904	Licensed Representative (Provisional Licence)	1, 4
WONG Lung Chun	BOI020	Licensed Representative (Provisional Licence)	1, 4
WONG Mo Pun	BOG491	Licensed Representative (Provisional Licence)	1
WONG Nga Yan	BOG151	Licensed Representative (Provisional Licence)	1, 2, 9
WONG Sandy Ka Hung	BOI343	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	License Type	Types of Regulated Activity
WONG Sze Ngar	AQS366	Licensed Representative (Provisional Licence)	1
WONG Tsz Hei Herbert	BJX760	Licensed Representative (Provisional Licence)	1, 4
WONG Yuen Ling Sheila	BOH517	Licensed Representative (Provisional Licence)	1, 4
WONK-URAI Weerapat	BOH307	Licensed Representative (Provisional Licence)	4
WU Hong	AVM922	Licensed Representative (Provisional Licence)	4, 5, 9
WU Jiaying	BOF644	Licensed Representative (Provisional Licence)	1, 4, 9
WU Jing	BOA536	Licensed Representative (Provisional Licence)	9
WU Oi Chung, Jocelyn	ALR004	Licensed Representative (Provisional Licence)	1
WU Sihui	BOI294	Licensed Representative (Provisional Licence)	1, 9
WU Yaguang	BOH365	Licensed Representative (Provisional Licence)	1, 4, 6
WU Ziyin	BMV591	Licensed Representative (Provisional Licence)	1
XI Qi	BNQ524	Licensed Representative (Provisional Licence)	1, 4, 6
XIA GUANGMIN	BOE427	Licensed Representative (Provisional Licence)	4, 9
XIAO Xiao	BOH759	Licensed Representative (Provisional Licence)	4
XIONG Yangli	BLY016	Licensed Representative (Provisional Licence)	10
XU Chanjuan	BOI213	Licensed Representative (Provisional Licence)	1, 4
XU Keyue	BOH251	Licensed Representative (Provisional Licence)	1, 6
XU Lu	AYE980	Licensed Representative (Provisional Licence)	1
XU Xiaowei	BOH255	Licensed Representative (Provisional Licence)	1, 6
YAMAGUCHI Ryunosuke	BOF594	Licensed Representative (Provisional Licence)	1, 2
YANG Fang	BOF933	Licensed Representative (Provisional Licence)	9
YANG Yiwon	BOH230	Licensed Representative (Provisional Licence)	1, 4
YANG Zhao	BOC022	Licensed Representative (Provisional Licence)	1, 2
YATSU Naoki	BOH697	Licensed Representative (Provisional Licence)	1, 9
YEUNG Ka Ho	BOI246	Licensed Representative (Provisional Licence)	1, 4
YIN Xiong	BOG184	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Man Hon	BHZ902	Licensed Representative (Provisional Licence)	4
YONG Jocelyn Huey Yee	BFJ362	Licensed Representative (Provisional Licence)	1, 4, 6
YOSHIJIMA Kei	BOH050	Licensed Representative (Provisional Licence)	9
YU Feng	BOF949	Licensed Representative (Provisional Licence)	4, 9
YU Hengsen	BOI866	Licensed Representative (Provisional Licence)	1, 9
YU Na	BOG685	Licensed Representative (Provisional Licence)	10
YUAN Jing	BOI253	Licensed Representative (Provisional Licence)	6
YUEN Man In	BOH542	Licensed Representative (Provisional Licence)	1, 4, 9
YUEN Tsz Lung	ATI409	Licensed Representative (Provisional Licence)	1, 2
YUN Tsz Chun	BOH294	Licensed Representative (Provisional Licence)	1, 2
ZHANG Chi	BOE432	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Huizhong	BOH197	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Kaiyi	BOC395	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ruxin	BOH822	Licensed Representative (Provisional Licence)	4
ZHANG Shuqin	BOH321	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yiwon	BOG776	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yuehua	BOG559	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	License Type	Types of Regulated Activity
ZHAO Co Co Ke	BOH322	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jian	BNJ300	Licensed Representative (Provisional Licence)	2
ZHAO Wei	BEE813	Licensed Representative (Provisional Licence)	4, 9
ZHAO Yi	AXD838	Licensed Representative (Provisional Licence)	1, 6
ZHOU Jun	BOH662	Licensed Representative (Provisional Licence)	4, 9
ZHOU Qian	BDV676	Licensed Representative (Provisional Licence)	1
ZHU Qian	BOG200	Licensed Representative (Provisional Licence)	4, 9
ZHU Qin	BOH821	Licensed Representative (Provisional Licence)	4, 9
ZHU Shuai	BOG690	Licensed Representative (Provisional Licence)	4
ZHU Yixiao	BOG754	Licensed Representative (Provisional Licence)	1, 4
ZHU Yunlong	BOF634	Licensed Representative (Provisional Licence)	10
ZU Guopeng	AYV659	Licensed Representative (Provisional Licence)	4
ADEWALE Amanda Patricia	BBO925	Licensed Representative (Temporary Licence)	1
AHUJA Vivek Manohar	ARI512	Licensed Representative (Temporary Licence)	4
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
AUBENAS Michel Alain	BOI310	Licensed Representative (Temporary Licence)	1, 4
BASU Sougata	BHZ553	Licensed Representative (Temporary Licence)	1
BETTONI TRAUBE Ernesto	AQO506	Licensed Representative (Temporary Licence)	1, 4
BICHER III George Anthony	BOH353	Licensed Representative (Temporary Licence)	1, 4
BOOKER Lawrence Roger	BOB785	Licensed Representative (Temporary Licence)	1
BRIEST Michael Joseph	BOJ247	Licensed Representative (Temporary Licence)	4
BUTTERWORTH Gregory John	ANJ643	Licensed Representative (Temporary Licence)	1
CAO Haifeng	BFU746	Licensed Representative (Temporary Licence)	4
CARLSSON-SZLEZAK Philipp Theodor	BOG248	Licensed Representative (Temporary Licence)	4
CARON Stephan Malcolm	BOI883	Licensed Representative (Temporary Licence)	1, 4
CARPENTER Seth Bernard	BLO850	Licensed Representative (Temporary Licence)	4
CARRIER Lucie Anne Lise	BEK760	Licensed Representative (Temporary Licence)	1, 4
CHAN Xian Ning	BOH765	Licensed Representative (Temporary Licence)	4
CHARLIER Pierre-Emmanuel	BOJ670	Licensed Representative (Temporary Licence)	1, 2
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	4
CHOI Hongkyu	BOG980	Licensed Representative (Temporary Licence)	1
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
CHUA Minguang Donald	BEF380	Licensed Representative (Temporary Licence)	4
CHUANG Tzu-Chiang	BOA794	Licensed Representative (Temporary Licence)	1
CORNELL Emily Lawless	BOJ674	Licensed Representative (Temporary Licence)	1
DAWSON James	BCA004	Licensed Representative (Temporary Licence)	1
DUTTON Charles Keith Hawkins	AEM367	Licensed Representative (Temporary Licence)	4
ERRINGTON David Justin	BAM261	Licensed Representative (Temporary Licence)	4
FARLEY Daniel Patrick	BJR810	Licensed Representative (Temporary Licence)	1, 4, 5
FERRARA William Stephen	BOD034	Licensed Representative (Temporary Licence)	1, 2
FLETCHER David Alan Fraser	BOI772	Licensed Representative (Temporary Licence)	1, 4
GEORGE Jacob	BNK022	Licensed Representative (Temporary Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GERHARD Albert Ulrich	BOG329	Licensed Representative (Temporary Licence)	1, 4
GOOCH Andrew William	AQJ190	Licensed Representative (Temporary Licence)	2
GOYAL Megha	BKR107	Licensed Representative (Temporary Licence)	1
GOYAL Surendra Kumar	BEO301	Licensed Representative (Temporary Licence)	4
GRISCTI-SOLER Andrew	BOI796	Licensed Representative (Temporary Licence)	1
GUPTA-CHAUDHARY Raghav	BOF051	Licensed Representative (Temporary Licence)	4
HANNA Andrew Patrick	BOD036	Licensed Representative (Temporary Licence)	1, 2
HARCHANDANI Amit Bhagwan	BOI771	Licensed Representative (Temporary Licence)	4
HARDING Gordon Iain	BOI779	Licensed Representative (Temporary Licence)	1, 4
HARRIS Lloyd Spencer	BMV463	Licensed Representative (Temporary Licence)	1
HAVERFIELD Lachlan De Jong	BOJ747	Licensed Representative (Temporary Licence)	1
HEBERT JR Edward Michael	BGN068	Licensed Representative (Temporary Licence)	4
HORTON Natalie	BMW230	Licensed Representative (Temporary Licence)	1
HOU Xiaoting	BGB587	Licensed Representative (Temporary Licence)	4
HOWELL Andrew Martin	BOI888	Licensed Representative (Temporary Licence)	4
HUEFNER Felix Paul	BMV915	Licensed Representative (Temporary Licence)	4
HUO Ran	BOH561	Licensed Representative (Temporary Licence)	2
JAIN Aditya	BNQ984	Licensed Representative (Temporary Licence)	4
JAIPURIAR Priyesh	BDY980	Licensed Representative (Temporary Licence)	1, 2
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KANE Joshua Alexander	BOE928	Licensed Representative (Temporary Licence)	1
KEVIE Aditya	BNS436	Licensed Representative (Temporary Licence)	4
KHANDROS Vladislav	BEW991	Licensed Representative (Temporary Licence)	1
KIM Donghyun	BOI278	Licensed Representative (Temporary Licence)	1, 2
KIM Nara	BHO992	Licensed Representative (Temporary Licence)	1
KOEKKOEK Remmert Adriaan	BOI476	Licensed Representative (Temporary Licence)	1
KONG Robert Patrick	BDQ917	Licensed Representative (Temporary Licence)	4
LANDRUM Lee Robert	BOG624	Licensed Representative (Temporary Licence)	1, 4
LAWRENCE Richard	BMU800	Licensed Representative (Temporary Licence)	1
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEMMENS Patrick Johannes Anna Franciscus	BLS913	Licensed Representative (Temporary Licence)	1
LIN Ming-De	BEC282	Licensed Representative (Temporary Licence)	4
LLOYD David	BEF285	Licensed Representative (Temporary Licence)	4
MACDONALD Alistair John	AVW485	Licensed Representative (Temporary Licence)	4
MAIR Melodie Nicola	BOF497	Licensed Representative (Temporary Licence)	1, 6
MARSH Christopher Ryan	BNX187	Licensed Representative (Temporary Licence)	1
MC QUILLEN Mary Jane Catherine	BOI728	Licensed Representative (Temporary Licence)	1
MCCASKER Grant David	ATL666	Licensed Representative (Temporary Licence)	4
MCNAMARA Michael Paul	BND495	Licensed Representative (Temporary Licence)	1
MCTAGGART Paul Joseph	AUW886	Licensed Representative (Temporary Licence)	4
MIYATA Kotaro	BOI284	Licensed Representative (Temporary Licence)	1
MOLDOVANYI Gregory Paul	BOJ548	Licensed Representative (Temporary Licence)	4
NANDRA-KOEHRER Kiranpal Kaur	BOJ026	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
NAYLOR-LEYLAND Edward Frederick	BLG378	Licensed Representative (Temporary Licence)	1
NG Kheng Siang	AWH494	Licensed Representative (Temporary Licence)	1, 4
NGOUMOU-JIKAM Jean-Olivier Happi	BOJ459	Licensed Representative (Temporary Licence)	1, 4
O'HARA Timothy Patrick	BLJ146	Licensed Representative (Temporary Licence)	1, 4
O'REILLY Gerard Kieran	BLB714	Licensed Representative (Temporary Licence)	1
PATRICIA -	BNS270	Licensed Representative (Temporary Licence)	4
PETIT Philippe Louis Pierre	BOI809	Licensed Representative (Temporary Licence)	1
PINEDA Arthur San Diego	AGL866	Licensed Representative (Temporary Licence)	4
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1
RYAN Charlotte Sophie	BOH779	Licensed Representative (Temporary Licence)	2, 5
SAIFEE Hussaini	BJV163	Licensed Representative (Temporary Licence)	4
SALTZMAN Jeffrey Matthew	BBG550	Licensed Representative (Temporary Licence)	4
SANDERSE Menno Gerard Cornelis	AUX424	Licensed Representative (Temporary Licence)	1, 4
SCHAUER Adam Michael	BOH519	Licensed Representative (Temporary Licence)	1, 4
SCHINDLER Nathaniel Holmes	BIG463	Licensed Representative (Temporary Licence)	4
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
SHAH Amish Chandrakant	AUW978	Licensed Representative (Temporary Licence)	4
SHEIKH Talib	BFC541	Licensed Representative (Temporary Licence)	1
SHIEH Ming-Yung	AYI567	Licensed Representative (Temporary Licence)	1
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SPENCE Magnus Andrew Eric	AXT334	Licensed Representative (Temporary Licence)	1
SWAN Dominic Noel	AUQ036	Licensed Representative (Temporary Licence)	1
TEEGER Sam Thomas	BHK845	Licensed Representative (Temporary Licence)	4
TEO Erwin Hsien Wei	BOK259	Licensed Representative (Temporary Licence)	1
THOMAS Frederic Francois Mathieu	BJN830	Licensed Representative (Temporary Licence)	1
TITAREVA Anna	BOH850	Licensed Representative (Temporary Licence)	4
TOH Shikai	BFI045	Licensed Representative (Temporary Licence)	1, 2
TOMLINS James Edward	BOI295	Licensed Representative (Temporary Licence)	1
TOON Sarah Louise	BNJ887	Licensed Representative (Temporary Licence)	1
TRUCANO David Peter	BOI648	Licensed Representative (Temporary Licence)	1, 4
VERBERK Victor	BNO793	Licensed Representative (Temporary Licence)	1
VICAS Benjamin	BMV968	Licensed Representative (Temporary Licence)	2, 5
VISWANATHA IYER Rishi	BNC587	Licensed Representative (Temporary Licence)	1
VOGELHUT Ethan Sinclair Diamond	BOI156	Licensed Representative (Temporary Licence)	1
WELCH Michael Godfrey	BGN066	Licensed Representative (Temporary Licence)	4
WILKE Lutz Peter	BOJ293	Licensed Representative (Temporary Licence)	1, 4
WITTENBURG Fabrizio Martin	AVJ440	Licensed Representative (Temporary Licence)	1, 2
WU Hsin-Lun	BEJ785	Licensed Representative (Temporary Licence)	1
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YEFREMOV Aleksey Vladimirovich	BOI423	Licensed Representative (Temporary Licence)	4
ZELLER Hubert Leon Maurice	BJL212	Licensed Representative (Temporary Licence)	1, 4
ZHAO Kevin	BKV242	Licensed Representative (Temporary Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZUERCHER Roxana	BMS303	Licensed Representative (Temporary Licence)	1
Taiwan Business Bank, Ltd.	AFV299	Registered Institution	1