

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING	01/2010		
CE Name	CE No.	Licence Type	Types of Regulated Activity
Anbang Asset Management (Hong Kong) Co. Limited	AYY544	Licensed Corporation	1, 4, 9
AXA Rosenberg Investment Management Asia Pacific Limited (trading as AXA Rosenberg Asia Pacific; AXA Investment Managers)	ABF948	Licensed Corporation	1, 4
Champus Asset Management Limited	AHV458	Licensed Corporation	4
Frontier Asia Capital Hong Kong Limited	ARD550	Licensed Corporation	4, 9
AO Yiyu	BEX794	Licensed Representative	1, 4, 9
ARNOLD James Robert	BFS333	Licensed Representative	1, 6
AU Pok Wai	BJC529	Licensed Representative	1, 2
AU YEUNG Shuk Kwan	AWC291	Licensed Representative	1, 4
BAI Yang	BDQ057	Licensed Representative	4, 9
BAXTER Thomas Wilbur	AYY701	Licensed Representative	4
BIAN Jing	BMY570	Licensed Representative	1
BRENNAN Christopher Patrick	BLE951	Licensed Representative	1, 4
BUTLER Joseph John	AVF494		
'		Licensed Representative	1, 2, 4, 5, 7
CANNON Formet Woller	BLJ235	Licensed Representative	9
CANNON Forrest Waller	AMC982	Licensed Representative	1, 2
CHAINANI Harry Lal	BLC840	Licensed Representative	1, 4
CHAN Andrew	BMW498	Licensed Representative	1, 4, 6
CHAN Chau Kam	BHP654	Licensed Representative	1, 2, 4
CHAN Cheuk Lai	AFS043	Licensed Representative	1
CHAN Chi Ho	BMB260	Licensed Representative	9
CHAN Chi Ho	BNO852	Licensed Representative	9
CHAN Chi Keung	AUY945	Licensed Representative	1
CHAN Chi Keung, Peter	ARF365	Licensed Representative	4, 9
CHAN Cho Leung	AFC450	Licensed Representative	1, 2
CHAN Chung Ho	BHC972	Licensed Representative	1
CHAN Hoi	ABD539	Licensed Representative	1
CHAN Hoi Yan	AFZ453	Licensed Representative	1
CHAN Ki Fung	BIE114	Licensed Representative	1, 4
CHAN Kin Ho	BNK084	Licensed Representative	1
CHAN Kin Yen, Kenneth	BGD426	Licensed Representative	1
CHAN Kwan Shing	BLV204	Licensed Representative	4, 9
CHAN Lai Yung	APN870	Licensed Representative	1
CHAN Lap Ming Castor	BIV286	Licensed Representative	1, 4
CHAN Man Pong, Irvin	AZK627	Licensed Representative	1
CHAN Poh Kiat	ANM131	Licensed Representative	1
CHAN Pui Shuen	AQM267	Licensed Representative	1, 4
CHAN Pui Yan	BNB926	Licensed Representative	1
CHAN Sau To	BNK726	Licensed Representative	1
CHAN Tsz Him	BNI826	Licensed Representative	1
CHAN Wai Kin, Ronald	BFY644	Licensed Representative	1, 4
CHAN Yee Ting	BCH569	Licensed Representative	6
CHAN Yin Chun	AWN395	Licensed Representative	1
CHAN Yin Fung	AXQ403	Licensed Representative	9
CHAN Yiu Kie	ABP391	Licensed Representative	1
CHANG Che-hao	BLO467	Licensed Representative	1, 2, 4
CHARUIT Quentin Bruno Guy Georges	BOB436	Licensed Representative	1
CHAY Chin Leong	BGL914	Licensed Representative	1
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CHEN Hung Vi	BIO223	Licensed Representative	1, 4, 9
CHEN Hung-Yu	BLU262	Licensed Representative	1, 2, 4, 5



CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Kuei Mei	BHB587	Licensed Representative	1, 4, 9
CHEN Ling Hua	AFE305	Licensed Representative	1, 2, 4
CHEN Oceane	BIZ761	Licensed Representative	4, 9
CHEN Phillip	BCT467	Licensed Representative	9
CHEN Qing	AXV729	Licensed Representative	1, 4, 9
CHEN Yang	BKL280	Licensed Representative	10
CHEN Yu	BDY972	Licensed Representative	4
CHENG Hoi Tung Jamie	BHI876	Licensed Representative	1, 4, 9
CHENG Jize	BML617	Licensed Representative	4, 9
CHENG Siu Yin	AVE025	Licensed Representative	1
CHENG So Ping	ADN789	Licensed Representative	1
CHENG Virgil	AUX418	Licensed Representative	1
CHEUNG Kim Kong	ACK241	Licensed Representative	1, 3
CHEUNG Sze Tung	BMC519	Licensed Representative	1
CHEUNG Wai Ka, Frederica	BMF468	Licensed Representative	1
CHEUNG Wan Leong	AXG281	Licensed Representative	1, 2
CHEUNG Yung Yung, Annie	AEW947	Licensed Representative	1
CHIK Kwan Choi, Katherine	AVL718	Licensed Representative	1, 4
CHIN Chun Ho	BMA531	Licensed Representative	1
CHIU Kin Ngai	AMH245	Licensed Representative	1, 4
CHIU Se Chung, Samuel	AEK269	Licensed Representative	1
CHIU Sung Kit Lewis	BEF844	Licensed Representative	1, 6
CHO Jong Ug	AQD245	Licensed Representative	4
CHOI Hyerim	BEV344	Licensed Representative	1, 4
CHOI Wing Lun	BJD733	Licensed Representative	1, 9
CHOI Yu Pong	BNN718	Licensed Representative	1
CHONG Kwan	AGI891	Licensed Representative	3
CHONG Pui Nam	AEN300	Licensed Representative	1, 2
CHOW Ka Lap	BNS274	Licensed Representative	1
CHOW Shuk Wan	AYD333	Licensed Representative	1
CHOW Yuen Ying	ATO521	Licensed Representative	1, 2
CHU Tak Long	AGG942	Licensed Representative	1, 4, 9
CHU Wing See, Winnie	BJP793	Licensed Representative	1, 4
CHU Yip Fai	AAB316	Licensed Representative	1
CHUANG Yung-Chieh	BMV022	Licensed Representative	4, 9
CHUN Jongbo	BDR033	Licensed Representative	1
CHUNG Calvin Yue Man	AVM450	Licensed Representative	9
CHUNG Jeffrey Jin Wook	AEA620	Licensed Representative	4, 9
CHUNG Shun Ho	AVM902	Licensed Representative	1
CHUNG Tsun Kee	BKM101	Licensed Representative	1, 2
DANG Tianchu	BME229	Licensed Representative	4, 9
DAVIS Bernard Steven	AWZ387	Licensed Representative	1, 4
DE DIEGO YENES Luis Maria	BLX547	Licensed Representative	1, 6
DHILLON Bobby	BAZ401	Licensed Representative	2, 5
DU Chang	BJR694	Licensed Representative	1, 4, 6
DUNPHY Roger Patrick	ACJ019	Licensed Representative	1
DUVALL Margret Eva	AWY016	Licensed Representative	1
DYMOND Nicholas James	AVV851	Licensed Representative	1, 9
FANG Fang	BLR664	Licensed Representative	9
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (I =	
CE Name	CE No.	Licence Type	Types of Regulated Activity
FANG Zhen	BKC091	Licensed Representative	1
FENG Qijin	BNC306	Licensed Representative	1, 4, 6
FERNANDES Lyle Paul	BGD648	Licensed Representative	4
FERNANDOPULLE Jonathan Jude	BNY391	Licensed Representative	9
FONG Chung Loon, David	AUT314	Licensed Representative	1
FRASER Duncan Robert	BHX752	Licensed Representative	1, 9
FRIEDHEIM Arie	BJV569	Licensed Representative	1, 4
FUNG Kin Leung	APP362	Licensed Representative	1, 2
FUNG Ming Lit	BGE059	Licensed Representative	1, 2
FUNG Po Yuk	BIN045	Licensed Representative	1
GAO Zhengyang	BHW858	Licensed Representative	1, 4, 6
GE Peng	BNQ602	Licensed Representative	1, 4, 9
GEARY Nathan James	BIQ213	Licensed Representative	1, 4
GOH Jia Yi	BKT926	Licensed Representative	1, 4, 6
GORDON Michael	BKD973	Licensed Representative	1
GREENAN Clare Rie	BIX611	Licensed Representative	1
GU Ke	BAC325	Licensed Representative	6
GU Shuncong	BKO335	Licensed Representative	1, 4, 6
GUI Tao	BFJ328	Licensed Representative	1
HAN Yi	BDO027	Licensed Representative	1, 2
HANN Sai Wunn Max	BOA911	Licensed Representative	1, 2, 4, 5
HE Wenging	BML595	Licensed Representative	1
HO Alistair Jor Ting	AQC553	Licensed Representative	1, 4
•	AAC481	Licensed Representative	1
HO Sai Chung, Danny			6
HO Shing Hin, Jason	APC215	Licensed Representative	-
HO Tsun Hong	BJN143	Licensed Representative	1, 6
HO Wai Hin	BNH576	Licensed Representative	6
HO Wing Yee, Vera	AJH338	Licensed Representative	1, 9
HUANG Chengcheng	BFE585	Licensed Representative	4, 9
HUANG Haidong	AXV603	Licensed Representative	1
HUANG Huang	BBY197	Licensed Representative	1, 4, 6
HUANG Jingheng	BJQ666	Licensed Representative	1, 2, 4
HUANG Xiaozhe	BNS327	Licensed Representative	1, 9
HUANG Xinjun	BJK614	Licensed Representative	1, 2
HUANG Yanzhuo	BJR435	Licensed Representative	1, 6
HUI Ryan	BNS261	Licensed Representative	1, 2, 3
HUNG Chun Kit	BLM883	Licensed Representative	1, 4
HUNG Chun Yu	BES357	Licensed Representative	1, 4, 9
HUR Min Kyong	BGJ410	Licensed Representative	1, 2
IMAIZUMI Jun	BLX952	Licensed Representative	1
IP Wing Lam	AQU167	Licensed Representative	1, 6
JAMET Thomas	BDF799	Licensed Representative	2, 5
JIN Sisi	AVP861	Licensed Representative	1, 6
JUKES Marc Samuel	ANT249	Licensed Representative	1, 4
KANG Le	BFH967	Licensed Representative	1
KAPRA Bilal Ahmed	AXX077	Licensed Representative	1
KARTHIGESU Ganeshan Ramesh	APY695	Licensed Representative	9
KHAN Rehna Yasmin	BGG163	Licensed Representative	4, 9
KIM Chul	AZG142	Licensed Representative	1, 4
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Jung Kyong	BJW029	Licensed Representative	4
KITCHENHAM Elizabeth Claire	BEO897	Licensed Representative	1, 4, 9
KO Kin Ka	BML659	Licensed Representative	1
KO Yuen Sing	APY422	Licensed Representative	1
KOBAYASHI Takefumi	BNH408	Licensed Representative	4
KOGAR Samir	AUT095	Licensed Representative	1
KOIZUMI Megumi	BGL222	Licensed Representative	1, 4
KOK Kin Lin	AFM771	Licensed Representative	1, 4
KONG Peishi	BBN890	Licensed Representative	1, 2, 3, 4, 5
KOU Lingyan	BNC026	Licensed Representative	1, 6
KUAN Bernhard	AIU443	Licensed Representative	1
KUO Yan Ki	AWE687	Licensed Representative	1, 4
KWAN Cheong Wah, Benjamin	AQQ326	Licensed Representative	1, 4
KWAN Sum Yu	AGF180	Licensed Representative	3
KWOK Cheong Ping, Charles	ACJ620	Licensed Representative	1, 4, 5
KWOK Denise Jocelyn	AEG896	Licensed Representative	1, 4, 9
KWOK Sze Yiu Gordon	AJG607	Licensed Representative	9
KWOK Yin Yi	BGO464	Licensed Representative	1
LAFAYEEDNEY Jean-Louis	AVL720	Licensed Representative	4
LAI Kin On	ASY005	Licensed Representative	1
LAI Shuk Ting	AVD128	Licensed Representative	9
LAM Adrian Jeng Foong	BGP788	Licensed Representative	1, 4, 9
LAM Cheuk Yin, Raymond	BGL264	Licensed Representative	4
LAM Chi Kwan	AGA429	Licensed Representative	9
LAM Chiu Yee	BKD534	Licensed Representative	6
LAM Deborah	BHZ436	Licensed Representative	1
LAM Man Kit	BHW802	Licensed Representative	1, 4, 9
LAM Norman Kwok	AZT024	Licensed Representative	6
LAM Wing Sze Winnie	BHJ659	Licensed Representative	9
LAU Chak Sum	BBZ144	Licensed Representative	4, 9
LAU CHUN KIT	BLN150	Licensed Representative	1
LAU Chun Tung	BNR388	Licensed Representative	1, 4
LAU Tin Yau	BKL785	Licensed Representative	1, 2
LAW Cheung Chi	ANB452	Licensed Representative	4
LAW Wing Hang	BGE442	Licensed Representative	1
LEE Chin Cheet	BGQ969	Licensed Representative	1, 6
LEE Christy	BHF337	Licensed Representative	1, 6
LEE Christy LEE Chui Shan			
	AWO107	Licensed Representative	1, 9
LEE David Byung Kon	BGE374 BJG438	Licensed Representative	4, 9
LEE Hoan Soo		Licensed Representative	4, 9
LEE Hyung Jin	ADX373	Licensed Representative	2, 5, 9
LEE James Siu Lung	BBZ816	Licensed Representative	1, 2, 6
LEE John	BDL400	Licensed Representative	1
LEE Ka Ho	BMO616	Licensed Representative	4
LEE Kwan Hung, Jackson	AFE047	Licensed Representative	1, 2
LEE Kyung Min	AVH974	Licensed Representative	1, 6
LEE Lip Jin	AQS923	Licensed Representative	1, 2
LEE Philip King Leung	ATT865	Licensed Representative	1, 4, 9
LEE Po Kum, Jessica	AEP635	Licensed Representative	1, 2



CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Siu Lie, Julia	AEO838	Licensed Representative	1, 4
LEE So Ching	BID598	Licensed Representative	1
LEE Wai Hung, Thomas	AFE909	Licensed Representative	1, 4
LENG Xuan	BKY229	Licensed Representative	6
LEUNG Chiu Lun	BJT513	Licensed Representative	1
LEUNG Chun Chau	AFV937	Licensed Representative	1
LEUNG Ka Wai	BMG819	Licensed Representative	1
	ABX158	· ·	
LEUNG Kin Chung		Licensed Representative	3
LEUNG Oy Ling	AFL990	Licensed Representative	1
LEUNG Sheung Bun	BIW863	Licensed Representative	4
LEUNG Yuk Ching, Grace	ASD886	Licensed Representative	1
LEWIS Geoffrey Richard	ACC213	Licensed Representative	1, 4, 5, 9
LI Chenchen	BOD527	Licensed Representative	4, 9
LI Cheong Faat	AYO609	Licensed Representative	9
LI Heng	BEA213	Licensed Representative	4
LI Hoi Yan	AYV657	Licensed Representative	1, 2
LI Lai Ching	BFC272	Licensed Representative	1
LILei	BHC504	Licensed Representative	6
LI Wan Chau	BDR015	Licensed Representative	4, 9
LI Yin Lam, Iris	ADX865	Licensed Representative	1, 4
LI Ying	AWP050	Licensed Representative	9
LI Yuen Yan	BNB380	Licensed Representative	2, 5
LI Zhuoli	BOH660	Licensed Representative	4
LIAO Maojiang	BMI130	Licensed Representative	1, 4
LIM Seow Keng	BNG472	Licensed Representative	4, 9
LIM Weijern	AWA189	Licensed Representative	4, 9
LISTER Cassandra	BNO036	Licensed Representative	9
LIU Difan	BJS874	Licensed Representative	1, 2, 9
LIU Hongyi	BES156	Licensed Representative	10
LIU Kao-Jen	AQA155	Licensed Representative	1, 2
LIU Ke	BNG769	Licensed Representative	1
LIU Muzi	BKL412	Licensed Representative	1, 6
LIU Ningyu	AYH764	Licensed Representative	1, 2
LIU Shuk Ming, Angie	AYW745	Licensed Representative	1, 2, 3, 4
LIU Wen Ying	BND681	Licensed Representative	1, 2
LIU Xiao	AYD438	Licensed Representative	6
LIU Xiaoshi	ALR021	Licensed Representative	6
LIU Yung Ping	AXU628	Licensed Representative	1
LO Kong Kin	ATJ079	Licensed Representative Licensed Representative	1, 4
LO Man Hong, Cinderella	AWM897		
LO Man Hon Bryan	BMO103	Licensed Representative	1
LOK Chin Tung, Terence	BAI193	Licensed Representative	1, 6
LOU Yuxiang	BKK546	Licensed Representative	1, 6
LU Huali	BNV836	Licensed Representative	1
LUI Jonathan Junyan	BAH853	Licensed Representative	1
LUO Chuyan	BOG507	Licensed Representative	1, 4, 6
LUO Wen	BIJ012	Licensed Representative	1, 4
MA Kin Man	ADJ356	Licensed Representative	4, 9
MA Shek Ying, Antony	AOO190	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (I	
CE Name	CE No.	Licence Type	Types of Regulated Activity
MA Sin Yee	BIJ680	Licensed Representative	1
MA Xiao	BBQ430	Licensed Representative	1, 4, 6
MADAR Solomon Husain	BBF292	Licensed Representative	1, 2
MAK Ka Yat	BEE579	Licensed Representative	1, 2
MAK Ling Kai	ANU488	Licensed Representative	1
MAK Mee Ha	APH266	Licensed Representative	1, 4
MAK Oi Mei	AND944	Licensed Representative	1
MAN Bernard	AKP432	Licensed Representative	4
MAN Ka Hei	BNG160	Licensed Representative	1
MANG Wai Hay	BJI745	Licensed Representative	1, 4
MARSH Edward John	BIK172	Licensed Representative	1, 2
MARTINEZ ACOSTA Jorge Carlos	AMJ476	Licensed Representative	1, 6
MCKENNA Angela Sou-wai	AWT846	Licensed Representative	1
MCWILLIAM Paul David	ATR977	Licensed Representative	1, 4
MEGLIO Adrien Sebastien	BLT538	Licensed Representative	9
MEI Jin	BHJ006	Licensed Representative	1
MIAO Fan	ATB864	Licensed Representative	4
MINERS - JONES Tristram David	BMN427	Licensed Representative	9
MOK Gunda	AUC825	Licensed Representative	1
MOK Suk Yee	AVS044	Licensed Representative	1
MOON Youngho	BDG933	Licensed Representative	1
MORGAN Robert Paul	APB505	Licensed Representative	1
MORIWAKI Takashi	AQU580	Licensed Representative	4
MURPHY II Steven Patrick	BNP472	Licensed Representative	9
MUTO Jumpei	BNY640	Licensed Representative	1, 2, 4
NAM Yea Hyun	ADD073	Licensed Representative	4, 9
NARAYAN Naresh	BLW958	Licensed Representative	1, 2, 4
NARAYAN Santhosh	BMA503	Licensed Representative	9
NG Chi Fai	AQJ964		1, 2
		Licensed Representative	
NG Chi Fung	BMH483	Licensed Representative	9
NG Chun Kwong	AFS379	Licensed Representative	1, 2
NG Hiu Mung	BIL475	Licensed Representative	9
NG Hoi Yau	AVV115	Licensed Representative	1
NG Jason Ka Chuen	AHG763	Licensed Representative	1, 2
NG Sau Po, Betty	AAA916	Licensed Representative	1
NG Wai Man	AAU344	Licensed Representative	1
NG Yee Chun	BNF984	Licensed Representative	1, 4
NGAN Yi Sing	BEM410	Licensed Representative	1
NI Mengjiao	BMW396	Licensed Representative	1, 6
NIIMITSU Jun	AFP812	Licensed Representative	4, 6
NOVAK Alexander Stanley	ATI171	Licensed Representative	1, 2, 7
OGURA Manabu	AQE629	Licensed Representative	1, 4
ONG Su-Mei, Samantha Nichola	ALD531	Licensed Representative	1
PAI Sien Chin	ADS091	Licensed Representative	1
PANG Chung Po	BKF499	Licensed Representative	1
PAO Wai Man	ADS272	Licensed Representative	9
PEARSE Matthew Stephen	BOB086	Licensed Representative	1
PINSET Victor Balthazar	ARQ494	Licensed Representative	2, 5
PUN Tze Wah	BGY767	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (
CE Name	CE No.	Licence Type	Types of Regulated Activity
REN Shanshan	BNA805	Licensed Representative	4
RHEE Wonsuk	AZS948	Licensed Representative	4
SAKURAI Makoto	BMW883	Licensed Representative	1
SALCEDO Andres Fabrizio	BOG356	Licensed Representative	1
SATO Wakako	BBI874	Licensed Representative	4
SETO Pui Lum	BIC413	Licensed Representative	1
SHAHAPURKAR Nilesh	ATX901	Licensed Representative	6
SHEN Chong	ATH920	Licensed Representative	1, 4, 9
SHEN Dehua	AMU085	Licensed Representative	6
SHEW Hing Lai, Hadley	AVM415	Licensed Representative	1
SHIH CO Kathryn Y.	ALW842	Licensed Representative	1
SHIN Hansol	BJP632	Licensed Representative	1, 4, 6
SHUM Kwong Wai, Edmond	AOU146	Licensed Representative	4
SIN Chui Wah	AXC063	Licensed Representative	1
SINGH Santosh Kumar	BNG997	Licensed Representative	4
SIU Lai Ki	BGP986	Licensed Representative	1, 2, 9
SIU Pak Chuen	AAH215	Licensed Representative	1
SIU Tsz Ying, Paul	AXK861	Licensed Representative	1
SIU Yeung	BAV317	Licensed Representative	1, 4
SO Chi Keung, Jeffrey	AMC258	Licensed Representative	1
SO Winton Wayne Tung	BII265	Licensed Representative	1, 4, 6
SON Chin Hung	BNX266	Licensed Representative	1, 4
<u> </u>	BAR092	·	4
SU Baoliang	BDU719	Licensed Representative	2, 5
SU Xiqiang		Licensed Representative	
SUEN Chak Yeung	AUB978	Licensed Representative	1, 2, 4
SUN Lingxiao	AXO391	Licensed Representative	4
SUN Yijun	BGF968	Licensed Representative	1, 4, 6
TAM Ho Kei	BBB716	Licensed Representative	1, 4, 9
TAM Ka Wai	AQB100	Licensed Representative	1, 2
TAM Kin Kei	AZW907	Licensed Representative	1
TAM Tsz Cheong	BNK220	Licensed Representative	1, 4
TAM Wai Ling	BAN071	Licensed Representative	1
TAN Hui Hua	BKC670	Licensed Representative	1, 6
TANG Lai Hing	ANU819	Licensed Representative	1
TANG Lai Sha	BDG905	Licensed Representative	1
TANG Sze Lok	AOU404	Licensed Representative	1, 4
TANG Tsz Kin, Kenneth	BAO936	Licensed Representative	1, 4
TANG Wai Kong	ANX094	Licensed Representative	1, 4, 9
TANG Wing Suen	AUY973	Licensed Representative	4, 9
TANG Yan Yi, Michelle	ALS715	Licensed Representative	1, 4
TEO Yuan Zhi	AYK656	Licensed Representative	1, 4
TIAN Jingjing	AVE808	Licensed Representative	1
TIOJAYA Wirawan	BLY722	Licensed Representative	1, 4
TO Man Wai	BJU411	Licensed Representative	1
TO Sau Fun	BIA132	Licensed Representative	1, 4
TONG Lai Kin	ARN805	Licensed Representative	1, 2
TONG Wing Yan, Wing	API139	Licensed Representative	1, 2
TONG Yana	BNX559	Licensed Representative	1, 4
TONG Ying	BIJ653	Licensed Representative	4
TORO TING	הכסרום	Licensed Nepresentative	7



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING OF CE Name	CE No.	Licence Type	Types of Regulated Activity
TRUEMAN Nicholas Scott	BGC830	Licensed Representative	1, 4
TSAI Jamison Yao Cheng	BOG078	Licensed Representative	1, 2
TSAI Lieh	AYK764	Licensed Representative	1, 4, 6
TSANG Chi Fai	BAX634	Licensed Representative	1, 4
TSANG Hing Hung	AFG417	Licensed Representative	9
TSANG Lok See, Roxane	AXG322	Licensed Representative	4
TSANG Shun Shun	BEL252	Licensed Representative	1, 9
TSE Hok Man	BNR213	Licensed Representative	1, 4
TSE Kai Sang	ADI777	Licensed Representative	3
TSE Nga On, Joyce	AGO671	Licensed Representative	1
TSE Yan Wah, Ivy	AZR326	Licensed Representative	1, 4, 6
TSOI Chiu Kwan	AVH068	Licensed Representative	1, 2
TSOI Wing Yan	AXK969	Licensed Representative	1
TSUI Chu Hung	BHP152	Licensed Representative	1
VARDHANABHUTI Kasedith	BBK687	Licensed Representative	9
WAHLBERG Tracey Danielle	BGQ203	Licensed Representative	1, 4, 9
WANG Diming	BIZ246	Licensed Representative	1, 6
WANG Edwin Meng Bai	BMX625	Licensed Representative	1, 4
WANG Jing	BLB402	Licensed Representative	1, 4, 6
WANG Jing	BLW782	Licensed Representative	1
WANG Li	BGL717	Licensed Representative	1, 9
WANG Teng	AXK862	Licensed Representative	4
WANG Xiaoxuan	BJI011	Licensed Representative	4, 9
WANG Yang	BGQ910	Licensed Representative	1, 2
WEI Weiqi	ARE228	Licensed Representative	1, 2
WONG Cheuk Hung	AFC567	Licensed Representative	1
WONG Cheung Fai	AFK825	Licensed Representative	1, 4, 9
WONG Chiu	BDR379	Licensed Representative	1
WONG Chun Lung	BIW983	Licensed Representative	2
WONG Gary Wai Hung	ABM237	Licensed Representative	1, 2, 4
WONG Ka Lun, Gillian	AGE841	Licensed Representative	1
WONG Kim Chung	ACS374	Licensed Representative	1
WONG Kin Tao Jonathan	BNR392	Licensed Representative	1
WONG Kwok Sing	BHX703	Licensed Representative	1
WONG Kwok Wang	AVR362	Licensed Representative	1
WONG Lie Ping	ABW904	Licensed Representative	1
WONG Ngan, Jacqueline	ADX528	Licensed Representative	1, 4
WONG Pak Hin	APR160	Licensed Representative	1
WONG Po Yee	AOC980	Licensed Representative	4, 9
WONG Pui Yee, Polly	AGK064	Licensed Representative	1
WONG Shuk Ha	ACM193	Licensed Representative	1, 2, 4, 5
WONG Shun Yin	BCT477	Licensed Representative	1, 2, 4, 5, 9
WONG Wah Shing	BGG633	Licensed Representative	4, 9
WONG Wah Wing, Christopher	ALE977	Licensed Representative	4
WONG Wing Hung	AXY572	Licensed Representative	1, 4
WU Ka Ho, Stanley	ALQ855	Licensed Representative	1
WU Kefan	BHA163	Licensed Representative	1
WU Yajun	BNH607	Licensed Representative	1, 4, 6
WU Yan	BFW634	Licensed Representative	4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING O	CE No.	Licence Type	Types of Regulated Activity
			Types of Regulated Activity
WUNG Sheng-Bin	AQS401	Licensed Representative	
XIE Rong	ATT939	Licensed Representative	1
XIONG Xiang	BFU200	Licensed Representative	3
XU Jian	BCC269	Licensed Representative	1
XU Kuang	BEV807	Licensed Representative	1, 4, 9
XU Lu	BJC558	Licensed Representative	1, 4, 6
XU Qian	BGQ738	Licensed Representative	1, 2, 4, 5
YANAMANDRA Venkata Ravi Kiran	BFA205	Licensed Representative	2, 5
YANG Chun Wai	ALL411	Licensed Representative	1
YAU Jonsy	BIM930	Licensed Representative	1, 2
YE Jingjing	BIH076	Licensed Representative	4, 6
YE Shaohua	BNF086	Licensed Representative	1
YEH Wen-Kai	BIJ819	Licensed Representative	1, 2, 4, 5
YEUNG Chun Kit Eric	BIM422	Licensed Representative	1
YEUNG Kan Ming	AHK744	Licensed Representative	1
YEUNG Kwok Chu	BBW910	Licensed Representative	1, 4
YEUNG Lai Kuen	AFL598	Licensed Representative	4
YEUNG Ting Hin	BHO979	Licensed Representative	9
YEUNG Tsz Kit	ATM283	Licensed Representative	1
YIM Chun Sing	AAB246	Licensed Representative	1, 2
YIP Mei Ha	BFH407	Licensed Representative	1, 4
YIU Kwai Cheung	AFR099	Licensed Representative	1
YOU Chenyun	BJD771	Licensed Representative	1, 4, 9
YU Bohui	ANT208	Licensed Representative	4, 6, 9
YU Ching Wai	BFO346	Licensed Representative	4, 9
YU Chunmei	BDD497	Licensed Representative	1, 4, 9
YU Ling Fun	ABR801	Licensed Representative	1
YU Mun Shan Pearl	BNJ551	Licensed Representative	1, 6
YU Wai Yan, Joanne	BNU516	Licensed Representative	1
YU Wing Ka	AXO694	Licensed Representative	1, 2, 7
YUAN Mengfan	BLC023	Licensed Representative	1, 2, 4, 5
YUEN Chun Yiu, Curtis	AXH949	Licensed Representative	4, 9
YUEN King Pun	ACK006	Licensed Representative	1, 3
YUEN Moon Chung, Wallace	AAE322	Licensed Representative	4, 9
ZENG Fanyuan	BOD551	Licensed Representative	1, 6
ZENOUZI Babak	BEH721	Licensed Representative	1
ZHANG Jiangfan	BMX541	Licensed Representative	1, 2, 4
ZHANG Luoxi	BMX569	Licensed Representative	1, 4, 6
ZHANG Qi	AVA621	Licensed Representative	4, 9
ZHANG Xiaoming	AVA621 ALG453	Licensed Representative	6
ZHAO Bencai	BOG408	Licensed Representative	1, 4, 9
ZHAO Yi	AXD838	Licensed Representative	1, 6
	BBA758		4, 9
ZHENG Xiaohong		Licensed Representative	
ZHENG Yupeng	BGO678	Licensed Representative	1
ZHONG Junyi	BLU919	Licensed Representative	1, 6
ZHONG Xiaotong	BJM474	Licensed Representative	6
ZHU Cai	BJZ402	Licensed Representative	4, 9
ZHU Huilong	BJO521	Licensed Representative	1, 4
ZHU Jueying	BNB407	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING O	CE No.	Licence Type	Types of Regulated Activity
ZHU Xiaoyun	BCG194	Licence Type Licensed Representative	6
ZHU Xiaoyun ZHU Yinjun	BCG194 BBI767	Licensed Representative Licensed Representative	9
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AURELLE Antoine Alexandre	ATR663	Licensed Representative - Responsible Officer	1, 2
BAXTER Thomas Wilbur CAMPION James Edmund	AYY701 AEQ897	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1, 2, 9
CHAN Hon Kei, Brian CHAN Pak Kui, Paul	AKI936 ACJ742	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1, 2, 4, 5
	ACJ742 AAI176		1, 4, 5, 9
CHAN Wai Ping		Licensed Representative - Responsible Officer	1
CHAN Yi Ching	AJK627	Licensed Representative - Responsible Officer	
CHEN Jianfeng Peter	BHM019	Licensed Representative - Responsible Officer	4, 9
CHENG Sze Ming, Rebecca	AAE443	Licensed Representative - Responsible Officer	1, 4
CHEUNG Ho	ALZ587	Licensed Representative - Responsible Officer	4, 9
CHEUNG Kai Kwong	AAD666	Licensed Representative - Responsible Officer	1
CHEUNG Kai Man	AFV390	Licensed Representative - Responsible Officer	1
CHEUNG Ming Tak, Rickael	ACZ049	Licensed Representative - Responsible Officer	1, 2
CHO Jong Ug	AQD245	Licensed Representative - Responsible Officer	1
CHOFFEL Thierry Jose	AEI588	Licensed Representative - Responsible Officer	9
CHOI Jae Woo	AQR482	Licensed Representative - Responsible Officer	1, 2
CHOW Shou Sang, Susan	AFM532	Licensed Representative - Responsible Officer	1
CLARKSON Paul Gregory	AXG984	Licensed Representative - Responsible Officer	10
DE BRUIJCKERE Marcel Johan	ALI118	Licensed Representative - Responsible Officer	1
DE FILIPPO Vincent	BFJ409	Licensed Representative - Responsible Officer	1
DE WAARD Ferdinand	ASM100	Licensed Representative - Responsible Officer	2
DENG KaiXiang	BNN936	Licensed Representative - Responsible Officer	9
DIU Chin Fai	ACU910	Licensed Representative - Responsible Officer	4, 9
DURACK Christopher James	BGY586	Licensed Representative - Responsible Officer	1, 4
FAN Lei	BFN296	Licensed Representative - Responsible Officer	4, 9
FAN Ye	AZB952	Licensed Representative - Responsible Officer	9
FENG Lei Ming	ACN453	Licensed Representative - Responsible Officer	9
FUNG Geoffrey	ALO578	Licensed Representative - Responsible Officer	1, 4
FUNG Siu Wah, Stephen	AAE419	Licensed Representative - Responsible Officer	1, 4
FUNG Wing See	AEC031	Licensed Representative - Responsible Officer	1, 4
GUO Jin	APL205	Licensed Representative - Responsible Officer	1, 4, 9
HA Wai Cheung, Steve	ARQ021	Licensed Representative - Responsible Officer	1
HEIDEN-BLUNT Heide Rose-Maria	AOM503	Licensed Representative - Responsible Officer	9
HEINE Christopher Wilfried	AFD205	Licensed Representative - Responsible Officer	4
HMELNITSKY Leonid Michael	BFJ365	Licensed Representative - Responsible Officer	1, 2
HO Connie	AGL152	Licensed Representative - Responsible Officer	1, 4, 6
HO Shing Hin, Jason	APC215	Licensed Representative - Responsible Officer	1
HU Michael	BKR588	Licensed Representative - Responsible Officer	1
HUNG Cho Yan	ALF771	Licensed Representative - Responsible Officer	1
JI Jiangfan	BDF391	Licensed Representative - Responsible Officer	1, 4
JI Qingyu	ASD333	Licensed Representative - Responsible Officer	3
JU Suyong	BFA558	Licensed Representative - Responsible Officer	4, 9
KATELEY Richard Lawson	BAE195	Licensed Representative - Responsible Officer	1, 4
KIRKBY Matthew	ADY177	Licensed Representative - Responsible Officer	4, 6
KO Choi Kam, Jacqueline	ARQ098	Licensed Representative - Responsible Officer	4
KO Miu Shan, Katherine	AFZ537	Licensed Representative - Responsible Officer	1, 4
KONG Man Ki	AOE692	Licensed Representative - Responsible Officer	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING O	CE No.	Licence Type	Types of Regulated Activity
KOPFLER Michael Dixon	BAI836	Licensed Representative - Responsible Officer	1, 9
LAI Chun Yin, Antony	AFW707	Licensed Representative - Responsible Officer	1, 4, 9
LAI Hoi Wah, Virginia	AAF692	Licensed Representative - Responsible Officer	1
LAM Kin Man, Sufan	ACS679	Licensed Representative - Responsible Officer	1
LAN Mingan	BLR908	Licensed Representative - Responsible Officer	9
LAU Kwan Ho	ALB117	Licensed Representative - Responsible Officer	9
LAU Pui Kit	AMN578	Licensed Representative - Responsible Officer	9
LEADER Rory Colledge	AAB762	Licensed Representative - Responsible Officer	1
LEE Chi-Wei	ALW544	Licensed Representative - Responsible Officer	9
LEE Hyung Jin	ADX373	Licensed Representative - Responsible Officer	1, 4
LEE Yuan Chuan	AQZ552	Licensed Representative - Responsible Officer	9
LEUNG Chun Sing	ALN127	Licensed Representative - Responsible Officer	1, 2, 4
LI Chun Wah Robin	BBE234	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
LI Koon Lok	BFK460	Licensed Representative - Responsible Officer	4, 9
LI Xinping	AFZ532	Licensed Representative - Responsible Officer	1
LI Yaoqi	BCF785	Licensed Representative - Responsible Officer	9
LO Lok See	BHP752	Licensed Representative - Responsible Officer	3
LO Yuen Kin	ACT012	Licensed Representative - Responsible Officer	4, 9
LONG Tien lan	ADV780	Licensed Representative - Responsible Officer	1, 4, 6
LOONG Ping Tong, Peter	AAF698	Licensed Representative - Responsible Officer	1
LU Rong	ACB322	Licensed Representative - Responsible Officer	4, 9
LUI Shu Hui Rebekah	BJM382	Licensed Representative - Responsible Officer	9
LUK Wai Yip	APK359	Licensed Representative - Responsible Officer	1, 6
MA Hui Ming	AYA620	Licensed Representative - Responsible Officer	1, 4
MAK Ling Kai	ANU488	Licensed Representative - Responsible Officer	4, 9
MARTIRANI Massimiliano	BEV149	Licensed Representative - Responsible Officer	4, 9
MASON Grant Maurice	BAN012	Licensed Representative - Responsible Officer	1, 4, 9
NAVLAKHA Nilesh	AYI936	Licensed Representative - Responsible Officer	1, 4, 7
NEOH Soon Chong	BKQ724	Licensed Representative - Responsible Officer	9
NG Mei Kuen, Vivian	AEK109	Licensed Representative - Responsible Officer	1, 4
NG Wai Kei	AOU650	Licensed Representative - Responsible Officer	4
O'NEILL Daniel Dillon	BAZ557	Licensed Representative - Responsible Officer	1, 4
RAJPAL Samir	BKR766	Licensed Representative - Responsible Officer	4, 9
	BNX521	Licensed Representative - Responsible Officer	1
RANA Manjot RAPP Todd Emerson	BHQ131		9
		Licensed Representative - Responsible Officer	
ROBINSON Timothy Loughnan	ALL222	Licensed Representative - Responsible Officer	4, 5, 9
RUJS Maarten	AFD793	Licensed Representative - Responsible Officer	1, 4, 6
SHAH Amit Nitin	AXC530	Licensed Representative - Responsible Officer	1, 4
SHANG Xiaoou	BJJ283	Licensed Representative - Responsible Officer	1, 4
SHEN Leung	ALF003	Licensed Representative - Responsible Officer	1, 4, 9
SMITH Charles Wilkie	BNM910	Licensed Representative - Responsible Officer	9
SO Chi Keung, Jeffrey	AMC258	Licensed Representative - Responsible Officer	6
SU Xiqiang	BDU719	Licensed Representative - Responsible Officer	1, 4
SULLIVAN Luke Joseph	ARJ503	Licensed Representative - Responsible Officer	4, 9
TAKEDA Shinichi	ADR470	Licensed Representative - Responsible Officer	4, 9
TAM Ho Kuen	AEI265	Licensed Representative - Responsible Officer	1, 2, 9
TAN Tee Ween	ARG856	Licensed Representative - Responsible Officer	4, 6
TANG Yu Ming, Nelson	ADT740	Licensed Representative - Responsible Officer	4, 9
TIAN Jingjing	AVE808	Licensed Representative - Responsible Officer	6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING ()7/2019	T	
CE Name	CE No.	Licence Type	Types of Regulated Activity
TONG Ho Yin	AQH049	Licensed Representative - Responsible Officer	9
TSUI Chi Man	AAA736	Licensed Representative - Responsible Officer	1, 4
TYE Lee Pang	BKR771	Licensed Representative - Responsible Officer	4, 9
VAN MAANEN Alexander Antonius	BFP029	Licensed Representative - Responsible Officer	1
WAI Sing Kwong	AAE785	Licensed Representative - Responsible Officer	1
WAN Ming Kwan	AFU394	Licensed Representative - Responsible Officer	9
WANG Huan	BEV006	Licensed Representative - Responsible Officer	9
WANG Jerry Gerui	AVH935	Licensed Representative - Responsible Officer	4, 9
WANG Queenie	BDV091	Licensed Representative - Responsible Officer	3
WANG Vivian Nan	BGZ177	Licensed Representative - Responsible Officer	4, 9
WANG Yao-Ting	ARK549	Licensed Representative - Responsible Officer	9
WESTERKAMP George Johannes Joseph	BFP140	Licensed Representative - Responsible Officer	1
WONG Chan Wing	ALO261	Licensed Representative - Responsible Officer	1, 4, 9
WONG Chiu Hoi, Frederick	ACG597	Licensed Representative - Responsible Officer	4, 9
WONG Hoi Yan	APO633	Licensed Representative - Responsible Officer	9
WONG Wai Ching, Kenman	ADJ032	Licensed Representative - Responsible Officer	9
WU Jun Tung	AIT869	Licensed Representative - Responsible Officer	4, 9
WU Yingmin	BMS557	Licensed Representative - Responsible Officer	1, 4, 6
WU Zheng	BGR449	Licensed Representative - Responsible Officer	1, 4, 9
	AQS401		4, 9
WUNG Sheng-Bin		Licensed Representative - Responsible Officer	
YEH V Nee	AAX565	Licensed Representative - Responsible Officer	1, 4, 9
YEUNG Luk Mei, Venus	AAG717	Licensed Representative - Responsible Officer	1, 2, 4
YEUNG Vai Chung	AAE289	Licensed Representative - Responsible Officer	1
YU Yan	BHV329	Licensed Representative - Responsible Officer	1, 4, 9
YUNG Tze Mei, Dorothy	AJN060	Licensed Representative - Responsible Officer	4, 9
ZHANG Liwei	AKU933	Licensed Representative - Responsible Officer	4, 9
ZHANG Xiaohan	BHF541	Licensed Representative - Responsible Officer	2
ALLEN William David Macdonald	BBH972	Licensed Representative (Provisional Licence)	1
AYADI Yassine	BOJ332	Licensed Representative (Provisional Licence)	1
BAN Chengwei	BOL644	Licensed Representative (Provisional Licence)	1, 4, 9
BHATTACHARYA Devraj	BOJ789	Licensed Representative (Provisional Licence)	1, 2
BIRLENBACH Michael Dieter	APK741	Licensed Representative (Provisional Licence)	1, 2, 7
CAI Qiushi	BOJ455	Licensed Representative (Provisional Licence)	1, 4, 6
CAI Zhiwei	BOK250	Licensed Representative (Provisional Licence)	6
CHAN Fung Ping	AOU775	Licensed Representative (Provisional Licence)	1
CHAN Ho Yin Ronald	BOL429	Licensed Representative (Provisional Licence)	1, 4
CHAN Hon Shui Leo	APG915	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ming Yan	BOL140	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuen Ching Sarah	BOK367	Licensed Representative (Provisional Licence)	1, 2, 9
CHANG Chit Yee	BON470	Licensed Representative (Provisional Licence)	1
CHANG Pui Shan, Melissa	ARN180	Licensed Representative (Provisional Licence)	1
CHEE Mauhoong	BOJ855	Licensed Representative (Provisional Licence)	3
CHEN Fang	BOK895	Licensed Representative (Provisional Licence)	1, 6
CHEN Ka Yip, Walter	AQW933	Licensed Representative (Provisional Licence)	9
CHEN Ling	BOJ664	Licensed Representative (Provisional Licence)	1
CHEN Liujing	BOL202	Licensed Representative (Provisional Licence)	1
CHEN Min	BOK369	Licensed Representative (Provisional Licence)	2
CHEN Yongshan	BFZ092	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Chao	BOL366	Licensed Representative (Provisional Licence)	1, 6
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (CE No.	Licence Type	Types of Regulated Activity
CHENG Shun Yat Adrian CHENG Xi	BOL068 BOL384	Licensed Representative (Provisional Licence)	1,4
		Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chor Hei	BOM283	Licensed Representative (Provisional Licence)	1, 2
CHIANG Pei-Chia	BOL205	Licensed Representative (Provisional Licence)	9
CHING Mun Yui, Roland	BJR344	Licensed Representative (Provisional Licence)	1, 2
CHIU Wing Chor	AGH933	Licensed Representative (Provisional Licence)	4
CHOI Sau Fong, Peggy	ALN485	Licensed Representative (Provisional Licence)	1
CHOI Steven Seungwook	BOK418	Licensed Representative (Provisional Licence)	1, 4, 9
CHONG Hei Lin Joan	BOK226	Licensed Representative (Provisional Licence)	1
CHOO Sung Min	BOJ583	Licensed Representative (Provisional Licence)	1, 2
CHOUGULE Swapnil	BOJ016	Licensed Representative (Provisional Licence)	1, 2
CHOW Wing Fung	BDY730	Licensed Representative (Provisional Licence)	1, 4
CHU Siu Chung	BOK735	Licensed Representative (Provisional Licence)	1, 2
CHU Wai Luen	AHJ402	Licensed Representative (Provisional Licence)	1
CHUI Raybourne Wai Bong	BOM707	Licensed Representative (Provisional Licence)	1, 6
CHUNG Wing Yee	BOM558	Licensed Representative (Provisional Licence)	1
CHUNG Yee Sze	BOH785	Licensed Representative (Provisional Licence)	1
CINDRA	BOK593	Licensed Representative (Provisional Licence)	1, 2
COWNEY Nicholas Edward	BOL583	Licensed Representative (Provisional Licence)	1, 4, 9
CUI Hai	BOL294	Licensed Representative (Provisional Licence)	4, 9
CUSACK Andrew Mark	AZX878	Licensed Representative (Provisional Licence)	1
DANG YI	BOK337	Licensed Representative (Provisional Licence)	1, 6
DECLIPPELEIR Olivier, Francois	BOL720	Licensed Representative (Provisional Licence)	2, 5
DENG Shaquan	BOM875	Licensed Representative (Provisional Licence)	1, 6
DENG Yuchen	BOK324	Licensed Representative (Provisional Licence)	1, 2, 4
DENHOY Rajbir Singh	BOK488	Licensed Representative (Provisional Licence)	4
DHAND Vikram	BOL406	Licensed Representative (Provisional Licence)	9
DING Jiawen	BOK368	Licensed Representative (Provisional Licence)	4
DING Weiao	BOL432	Licensed Representative (Provisional Licence)	1, 2
DING Yiming	AXG896	Licensed Representative (Provisional Licence)	1, 4
DONG Xu	BOM884	Licensed Representative (Provisional Licence)	1, 4
DUNYACH Quentin Jean-jacques	BNP199	Licensed Representative (Provisional Licence)	1, 4
DUPONT-VECCHIERINI Victoire Anne Francine	BOL065	Licensed Representative (Provisional Licence)	1, 2
EDWARDS Matthew Scott	BCN449	Licensed Representative (Provisional Licence)	4, 9
ENGEL Josephine Elise Silvia	BOL195	Licensed Representative (Provisional Licence)	1, 4, 9
EVANS-HENDRICK lan Geoffrey	BOJ806	Licensed Representative (Provisional Licence)	1, 2
FENG Yangyang	BOM713	Licensed Representative (Provisional Licence)	4
FENG Yilin	BDH472	Licensed Representative (Provisional Licence)	1
FENG Yun	BOL022	Licensed Representative (Provisional Licence)	4, 9
FERGUSON Ewan James	BOL022 BOM489	Licensed Representative (Provisional Licence)	1, 9
FLORENT Gabriel	BOJ010	Licensed Representative (Provisional Licence)	1, 2
		, , , , , , , , , , , , , , , , , , , ,	1
FOLAN Gregory Edward	BOL435	Licensed Representative (Provisional Licence)	
FOO Woon Howe	BOL201	Licensed Representative (Provisional Licence)	9
FUICAGE Vicilia	BEA835	Licensed Representative (Provisional Licence)	1, 2
FUKASE Yoshiya	BOM120	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Jiabei	BBP855	Licensed Representative (Provisional Licence)	1, 6
GAO Shengliang	BOL193	Licensed Representative (Provisional Licence)	1, 4, 6
GAWDA Michael Gregory	BOJ809	Licensed Representative (Provisional Licence)	1, 2
GERRARD Elizabeth Sarah	BON891	Licensed Representative (Provisional Licence)	1, 4



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 0	7/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity
GU Haohan	BOI044	Licensed Representative (Provisional Licence)	1
HARTLEY Andrew Eric	BOH914	Licensed Representative (Provisional Licence)	1, 4, 7
HE Binhui	AXL913	Licensed Representative (Provisional Licence)	1, 6
HE Jiajun	BFA671	Licensed Representative (Provisional Licence)	1
HE Munan	BOL046	Licensed Representative (Provisional Licence)	1, 2, 4
HENG Zhi Ying Genevieve	BOL394	Licensed Representative (Provisional Licence)	1
HILL John Andrew	BMT336	Licensed Representative (Provisional Licence)	1
HO Sai Wah	BOK128	Licensed Representative (Provisional Licence)	1
HO Siu Man	BHW617	Licensed Representative (Provisional Licence)	1
HO Yan Shing	BOM657	Licensed Representative (Provisional Licence)	1
HONG Xinan	BOK055	Licensed Representative (Provisional Licence)	1, 2
HU Xiaojun	BOM157	Licensed Representative (Provisional Licence)	1, 6
HU Zhengyang	BNV627	Licensed Representative (Provisional Licence)	4
HUANG Daxin	BON566	Licensed Representative (Provisional Licence)	1, 6
HUANG Kangli	BOK655	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Po Jui	BOM844	Licensed Representative (Provisional Licence)	1, 4
HUANG Rong	BNG235	Licensed Representative (Provisional Licence)	6
HUANG Sisi	BOJ037	Licensed Representative (Provisional Licence)	1
HUI Hiu Sum	BOM269	Licensed Representative (Provisional Licence)	2
HUNG Karrie Ka Ki	BOK601	Licensed Representative (Provisional Licence)	1, 6
HUNG Yin	BOL276	Licensed Representative (Provisional Licence)	1
HUO Yu Chen	BOK338	Licensed Representative (Provisional Licence)	4, 9
HUSSEY Killian Sean	BOM585	Licensed Representative (Provisional Licence)	1
HWANG Jae Hyuk	AYS870	Licensed Representative (Provisional Licence)	1, 4
IP Ka Ho	BOM542	Licensed Representative (Provisional Licence)	1, 2
ITO Akira	BOL204	Licensed Representative (Provisional Licence)	9
JANG Mustafa	BIY990	Licensed Representative (Provisional Licence)	4, 9
JANSSEN Remco	BNT196	Licensed Representative (Provisional Licence)	9
JIANG Guihong	BOL346	Licensed Representative (Provisional Licence)	1, 2, 9
JIANG Mengyi	BOL381	Licensed Representative (Provisional Licence)	6
JIANG Rongxianzi	BOK452	Licensed Representative (Provisional Licence)	1
JIANG Zhiyue	BKU700	Licensed Representative (Provisional Licence)	1, 4, 6
KE Zicong	BOK119	Licensed Representative (Provisional Licence)	4
KHANOLKAR Rohan Kishor	BEY881	Licensed Representative (Provisional Licence)	4
KHONGRUNGPHAKORN Supattra	BOJ694	Licensed Representative (Provisional Licence)	1
KIM Jung-su	BOK336	Licensed Representative (Provisional Licence)	1
KUANG Weijia	BOJ488	Licensed Representative (Provisional Licence)	1, 6
KWOK Chun Hei	APW669	Licensed Representative (Provisional Licence)	1, 4
KWONG Maisie Jenny	BOM902	Licensed Representative (Provisional Licence)	1, 2
LAI Chun Kit	BGH428	Licensed Representative (Provisional Licence)	4, 9
LAM Mun Yiu	BBN042	Licensed Representative (Provisional Licence)	1, 2
LAU Alfred Ying	BOM451	Licensed Representative (Provisional Licence)	1, 2
LAU Chun Kit	BOM892	Licensed Representative (Provisional Licence)	1
LAU Chun Tung	AWB700	Licensed Representative (Provisional Licence)	1
LAU Ho Lam Rex	BOL692	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Hong	BOK451	Licensed Representative (Provisional Licence)	1
LAU Ka Ming	AGP191	Licensed Representative (Provisional Licence)	1
LAU King Yee	BOK401	Licensed Representative (Provisional Licence)	1



CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Yuk Hang Alberto	BOM750	Licensed Representative (Provisional Licence)	1, 2
LAW HILARY	BOK923	Licensed Representative (Provisional Licence)	1, 4
LE Trung Cuong Steven	BOK430	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Doohyun	BOM887	Licensed Representative (Provisional Licence)	1, 2, 7
LEE Ho Ting	BOM562	Licensed Representative (Provisional Licence)	3
LEE Kai Him	BON467	Licensed Representative (Provisional Licence)	1, 2
LEE Kyungjoon	BOJ333	Licensed Representative (Provisional Licence)	1, 4
LEE Seng Wang	BOC935	Licensed Representative (Provisional Licence)	1
LEE Siu Ki Ricky	BOL677	Licensed Representative (Provisional Licence)	1, 4
LEE Wing Han	BOK642	Licensed Representative (Provisional Licence)	1, 2, 4
LEE Young Suk Julianne	AQS657	Licensed Representative (Provisional Licence)	1, 2
LEI Anran	BON535	Licensed Representative (Provisional Licence)	1, 4
LEI Chao	BOM745	Licensed Representative (Provisional Licence)	1, 4
LEUNG Chun Kin	BOJ546	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Chun Kit	BON564	Licensed Representative (Provisional Licence)	1
LEUNG Darren Tat-Lun	BOJ934	Licensed Representative (Provisional Licence)	4
	ADU276	Licensed Representative (Provisional Licence)	4
LEUNG Kai Tong		, , , , , , , , , , , , , , , , , , , ,	1, 2
LEUNG Kar Lak	BBF229	Licensed Representative (Provisional Licence)	,
LEW Kyunghyun	BOK907	Licensed Representative (Provisional Licence)	1, 4, 9
LI Bojie	BOK456	Licensed Representative (Provisional Licence)	1, 2
LI Jingting	BKO985	Licensed Representative (Provisional Licence)	1, 4
LI Jizhe	BOM152	Licensed Representative (Provisional Licence)	1, 6
LI Kun-Chih	ARM569	Licensed Representative (Provisional Licence)	1, 4
LI Lok Hang	APF782	Licensed Representative (Provisional Licence)	4
LI Pik Fan	BOK833	Licensed Representative (Provisional Licence)	1
LITai	BOK534	Licensed Representative (Provisional Licence)	9
LI Xian	BOL428	Licensed Representative (Provisional Licence)	6
LI Xin	BON648	Licensed Representative (Provisional Licence)	1, 4
LI Yilun	BOL472	Licensed Representative (Provisional Licence)	1
LI Ying	BOH935	Licensed Representative (Provisional Licence)	1, 4, 9
LI Yu-yun	BJK904	Licensed Representative (Provisional Licence)	4
LI Zhenbang	BOJ358	Licensed Representative (Provisional Licence)	1, 4
LIANG Danlin	BOK627	Licensed Representative (Provisional Licence)	9
LIANG Xiujing	BOJ887	Licensed Representative (Provisional Licence)	1, 4
LIANG Yabing	BOM625	Licensed Representative (Provisional Licence)	1
LIAO Sishi	BOI902	Licensed Representative (Provisional Licence)	1
LIM Krystal Kian Peng	BOK902	Licensed Representative (Provisional Licence)	1
LIN Han	BFA306	Licensed Representative (Provisional Licence)	6
LIN Hanwei	BNL463	Licensed Representative (Provisional Licence)	6
LIN Haohua	BOI926	Licensed Representative (Provisional Licence)	1, 4, 6
LIN Ke	BOL043	Licensed Representative (Provisional Licence)	1, 6
LIN Qinyao	BOM087	Licensed Representative (Provisional Licence)	1, 2
LIN Ru-Shiuan	BOK132	Licensed Representative (Provisional Licence)	1, 4
LIN Yaojie	BOH991	Licensed Representative (Provisional Licence)	1, 4, 6
LINDSAY James Stuart	BOL436	Licensed Representative (Provisional Licence)	9
LIU Dongxin	AMW737	Licensed Representative (Provisional Licence)	1
LIU Gangxian	BOK824	Licensed Representative (Provisional Licence)	1, 4
LIU Jimmy Chenxi	BOG388	Licensed Representative (Provisional Licence)	1, 4
LIU Ka Fai	BOJ750	Licensed Representative (Provisional Licence)	1, 2, 9
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Mengzhe	BON503	Licensed Representative (Provisional Licence)	4
LIU Tang	BOL063	Licensed Representative (Provisional Licence)	1, 4
LIU Ye	BOM872	Licensed Representative (Provisional Licence)	1
LIU Yikai	BOM904	Licensed Representative (Provisional Licence)	4
LIU Yuchen	BOM500	Licensed Representative (Provisional Licence)	1, 4, 6
LO Tsam Yi Sammie	BOL707	Licensed Representative (Provisional Licence)	1, 4
LOKE Chern Yang lan	BOM372	Licensed Representative (Provisional Licence)	1, 4, 6
LU Chen	BKH244	Licensed Representative (Provisional Licence)	1, 4
LUNG Tak Sau, Eric	AOV821	Licensed Representative (Provisional Licence)	1, 2, 7
LUO Xifeng	BOL638	Licensed Representative (Provisional Licence)	1, 6
LUO Zirong	BOL650	Licensed Representative (Provisional Licence)	1, 4, 6
MA Shuting	BOL304	Licensed Representative (Provisional Licence)	9
MA Xing	BOM109	Licensed Representative (Provisional Licence)	1, 4
MAGUIRE Ian Paul	BOL635	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Kit Ying Winnie	BOM066	Licensed Representative (Provisional Licence)	1
MAOLANON Nond	BOL719	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MARZOUQ H Ahamed	BOM546	Licensed Representative (Provisional Licence)	1, 4, 9
MATSUO Kai	BOM712	Licensed Representative (Provisional Licence)	1, 4, 6
MCDOUGALL Alexander Henry	AWH550	Licensed Representative (Provisional Licence)	1, 4, 9
MENNESSON Thomas	AQX047	Licensed Representative (Provisional Licence)	1
MIROCHNIK Leon	BEO717	Licensed Representative (Provisional Licence)	4, 9
MOHTA Devvrat	BOL777	Licensed Representative (Provisional Licence)	9
MOK Tien Fong, Vanessa	BOL497	Licensed Representative (Provisional Licence)	1
NAJI Ramin	APH952	Licensed Representative (Provisional Licence)	6
NAKATSUKASA Seiji	BOL278	Licensed Representative (Provisional Licence)	1, 4, 6
NEYRINCK Alexandre Francis Bernard	BOJ021	Licensed Representative (Provisional Licence)	1, 4
NG Fo Chun	BOL456	Licensed Representative (Provisional Licence)	9
NG Hoi Hin	BOK450	Licensed Representative (Provisional Licence)	1, 4
NG Ka Shun, Carson	ARE772	Licensed Representative (Provisional Licence)	4
NG Ling Chi	AIR476	Licensed Representative (Provisional Licence)	9
NGAI Ying Tim	BOK373	Licensed Representative (Provisional Licence)	4, 5, 9
NI Bintuo	BOM533	Licensed Representative (Provisional Licence)	4
NISHIMURA Shintaro	BOI034	Licensed Representative (Provisional Licence)	1, 4, 6
OEI Chun Kit Emerson	BON908	Licensed Representative (Provisional Licence)	4, 9
OKUBO Kaori	BOM072	Licensed Representative (Provisional Licence)	1, 2, 4, 5
OUYANG Miao	BOJ110	Licensed Representative (Provisional Licence)	4
PALLAV Peeyush	BOK687	Licensed Representative (Provisional Licence)	1, 4
PAN Huiying	BOL180	Licensed Representative (Provisional Licence)	1, 2, 7
PAN Jian	BOL160	Licensed Representative (Provisional Licence)	4
PAU William	APB248	Licensed Representative (Provisional Licence)	1, 4
PHAM John Le Hien	AXQ759	Licensed Representative (Provisional Licence)	9
POON Kit Ying	BOL513	Licensed Representative (Provisional Licence)	1
QI Yanling	BOLS13 BOL859	Licensed Representative (Provisional Licence)	1
*			
QIAN Haochen	BOM673	Licensed Representative (Provisional Licence)	4, 9
QIU Kang	AQX035	Licensed Representative (Provisional Licence)	
QIU Xiaochuan	BOI996	Licensed Representative (Provisional Licence)	9
QU Chenchen	BOL131	Licensed Representative (Provisional Licence)	4
QUMU Ake	BNT237	Licensed Representative (Provisional Licence)	1, 4
RACCAH Saul George	APD694	Licensed Representative (Provisional Licence)	9



CE Name	CE No.	Licence Type	Types of Regulated Activity
RATTI Upwan	BDY281	Licensed Representative (Provisional Licence)	1, 4
SAKAMOTO Minoru	BOL098	Licensed Representative (Provisional Licence)	1, 4, 6
SALATI Giasone Ulisse	BOI944	Licensed Representative (Provisional Licence)	4
SHAH Dharmik Dilip	BON733	Licensed Representative (Provisional Licence)	1, 2
SHAN Shan	BOJ070	Licensed Representative (Provisional Licence)	1, 4, 9
SHAN Zhongbei	BOL151	Licensed Representative (Provisional Licence)	2
SHANG Linzheng	BON473	Licensed Representative (Provisional Licence)	1, 4, 6
SHU Zhi	BEW399	Licensed Representative (Provisional Licence)	9
SINDONE Mark Tindaro	BOK014	Licensed Representative (Provisional Licence)	1, 2
SINGH Ravtej	BOI973	Licensed Representative (Provisional Licence)	1, 2, 7
SIRSALEWALA Mayank Sitaram	BOJ560	Licensed Representative (Provisional Licence)	1, 2
SON Do Un	BOK462	Licensed Representative (Provisional Licence)	1
SONG Jing	BOM733	Licensed Representative (Provisional Licence)	1, 4
SONG Pengcheng	BIO003	Licensed Representative (Provisional Licence)	4, 9
SONG Rui	BOL182	Licensed Representative (Provisional Licence)	4, 5, 9
SU Aiyu	BOL350	Licensed Representative (Provisional Licence)	1, 6
SU Guangya	BOK570	Licensed Representative (Provisional Licence)	1, 4, 9
SUBBARAMAN Sahana Lakshmi	BOK335	Licensed Representative (Provisional Licence)	1, 4
SUN Chi Keung, Alex	AMR298	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Jia	BOL365	Licensed Representative (Provisional Licence)	4
TAN Xianrong	BOK046	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 6, 9
TENG Wai Li	BOK328	Licensed Representative (Provisional Licence)	4, 9
TEO Ngee Kuan	AOA888	Licensed Representative (Provisional Licence)	9
TIAN Tian	BOJ642	Licensed Representative (Provisional Licence)	1
TING Zaixiong Shaun	BOK227	Licensed Representative (Provisional Licence)	1
TO Kit Lam	BOL231	Licensed Representative (Provisional Licence)	1
TONG Junhao	BOM895	Licensed Representative (Provisional Licence)	1, 6
TSANG Kin Ki	BOL868	Licensed Representative (Provisional Licence)	1
TSE Shing Hin	BAV391	Licensed Representative (Provisional Licence)	9
TSO King On	BOH943	Licensed Representative (Provisional Licence)	1, 4
TSUI Ka Chun	BNE461	Licensed Representative (Provisional Licence)	1, 2
TU Shuying	BOK186	Licensed Representative (Provisional Licence)	1
UEMURA Ryuta	BOL603	Licensed Representative (Provisional Licence)	1
WAN Chun Sing	BOM747	Licensed Representative (Provisional Licence)	1, 2
WAN Yim Na	AUX005	Licensed Representative (Provisional Licence)	1
WANG Bingjie	BOL715	Licensed Representative (Provisional Licence)	1, 4
WANG Chen	BOK395	Licensed Representative (Provisional Licence)	4
WANG Duan	BOM051	Licensed Representative (Provisional Licence)	1, 4
WANG Fei	BOK298	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jiatai	BOJ805	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Jie	BOL190	Licensed Representative (Provisional Licence)	1, 6
WANG Jingwen	BOK894	Licensed Representative (Provisional Licence)	1, 6
WANG Pengfei	BOK901	Licensed Representative (Provisional Licence)	1, 6
WANG Pu	BOL362	Licensed Representative (Provisional Licence)	1, 4
WANG Qiupeng	BOL362 BOL293	Licensed Representative (Provisional Licence)	3
	BOL293 BOL901	, , , , , , , , , , , , , , , , , , , ,	
WANG Ruizhi		Licensed Representative (Provisional Licence)	1, 4, 6
WANG YUAN	BOL438	Licensed Representative (Provisional Licence)	6
WANG Yujie	BOJ430	Licensed Representative (Provisional Licence)	4
WANG Zi	BOK314	Licensed Representative (Provisional Licence)	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (CE Name	CE No.	Licence Type	Types of Regulated Activity
	BOL740		
WANG Ziqian	BON488	Licensed Representative (Provisional Licence)	1, 4, 9
WEI Fenghua		Licensed Representative (Provisional Licence)	2, 5
WEI Ziqing	BOI575	Licensed Representative (Provisional Licence)	4
WELFORD Peter James	BOM089	Licensed Representative (Provisional Licence)	
WEN Qingyuan	BOL383	Licensed Representative (Provisional Licence)	4, 9
WHALE Patrick Xavier	ARO879	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WONG Chi Man	BJY066	Licensed Representative (Provisional Licence)	1, 2
WONG Chun Tat	BOL059	Licensed Representative (Provisional Licence)	1, 6
WONG Chung Kwok Raymond	BOK188	Licensed Representative (Provisional Licence)	1, 4
WONG Ka Hei	BOJ191	Licensed Representative (Provisional Licence)	10
WONG Ka Yu	BLC844	Licensed Representative (Provisional Licence)	10
WONG Kim Ping	BOM671	Licensed Representative (Provisional Licence)	1, 4
WONG Kin Keung	AXO285	Licensed Representative (Provisional Licence)	1, 2
WONG Po Shan, Rosana	BAH739	Licensed Representative (Provisional Licence)	1, 4
WONG Pui Yu, Jolie	BON837	Licensed Representative (Provisional Licence)	1, 4
WONG Ting Ting	BOM090	Licensed Representative (Provisional Licence)	1
WONG Tsun Yip	BOL434	Licensed Representative (Provisional Licence)	1, 4
WONG Wai Lam	AEL134	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Ming	ASD119	Licensed Representative (Provisional Licence)	1, 2
WONG Wai Tong, William	BOK274	Licensed Representative (Provisional Licence)	4, 9
WOO Yung Hong Kelly	BOF102	Licensed Representative (Provisional Licence)	9
WOODCOCK Morgan Anne	AXG147	Licensed Representative (Provisional Licence)	1
WU Huijuan	BOM807	Licensed Representative (Provisional Licence)	1
WU Jie	BOI951	Licensed Representative (Provisional Licence)	1
WU Xia	BOM890	Licensed Representative (Provisional Licence)	1, 4
WU Ying	BOJ939	Licensed Representative (Provisional Licence)	1
WU ZISANG	BOL198	Licensed Representative (Provisional Licence)	4, 9
XIA Yichao	BON913	Licensed Representative (Provisional Licence)	4
XIANG Zihan	BON532	Licensed Representative (Provisional Licence)	4, 9
XIE Wenjun	BOK590	Licensed Representative (Provisional Licence)	1
XIE Yi	BOL641	Licensed Representative (Provisional Licence)	1
XIE Yue	BGQ491	Licensed Representative (Provisional Licence)	1, 4, 6
XU Yifeng	BOM893	Licensed Representative (Provisional Licence)	1, 6
		Licensed Representative (Provisional Licence)	1, 4, 6
YAMAKAWA Masami	BOK402 BOK817	, , , , , ,	
YAN Huichen		Licensed Representative (Provisional Licence)	1, 4
YAN Kuo	BOM672	Licensed Representative (Provisional Licence)	1, 4, 7
YANG Bing	BOM868	Licensed Representative (Provisional Licence)	1, 4
YANG Feng	BEZ148	Licensed Representative (Provisional Licence)	9
YANG Guangning	BOO275	Licensed Representative (Provisional Licence)	1, 9
YANG Haodong	BOM896	Licensed Representative (Provisional Licence)	4
YANG Haoming	BOK461	Licensed Representative (Provisional Licence)	1, 6
YANG Liu	BOO238	Licensed Representative (Provisional Licence)	9
YANG Sigao	BCV189	Licensed Representative (Provisional Licence)	1, 4
YAO Cheng	BOM288	Licensed Representative (Provisional Licence)	4
YAU Ka Man	BOK106	Licensed Representative (Provisional Licence)	4, 9
YEO Cheng Hong, Alex	BOK517	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Cheuk Lai	AOM639	Licensed Representative (Provisional Licence)	1, 2
YEUNG Hei Chun	BOL793	Licensed Representative (Provisional Licence)	1
YEUNG Tak Yi	BOK641	Licensed Representative (Provisional Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (CE No.	Licence Type	Types of Regulated Activity
		,	Types of Regulated Activity
YI Weichen YIM Chun Kit	BOL430 BOM730	Licensed Representative (Provisional Licence)	1
		Licensed Representative (Provisional Licence)	
YING To Ming	BON462	Licensed Representative (Provisional Licence)	1, 4
YIP Kwan Tak	BOM501	Licensed Representative (Provisional Licence)	1, 2
YU Hongtao	BOM317	Licensed Representative (Provisional Licence)	1, 4
YU Ka Kit	BOM761	Licensed Representative (Provisional Licence)	1, 6
YU Pei Chin	BOL062	Licensed Representative (Provisional Licence)	1, 2
YU Sau Ling	BOM666	Licensed Representative (Provisional Licence)	9
YUAN Yingjun	AWT865	Licensed Representative (Provisional Licence)	1, 4
YUAN Yue	BOK326	Licensed Representative (Provisional Licence)	1, 4
YUAN Zhefei	APL225	Licensed Representative (Provisional Licence)	1, 4
YUEN Kelvin Kwan Tai	BCZ313	Licensed Representative (Provisional Licence)	1, 4, 9
ZHAI Yujia	BOK770	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAN Xinyang	BOM762	Licensed Representative (Provisional Licence)	1
ZHANG Han	BOJ588	Licensed Representative (Provisional Licence)	2, 5
ZHANG Hengwei	BOH008	Licensed Representative (Provisional Licence)	6
ZHANG Keming	BOL768	Licensed Representative (Provisional Licence)	1
ZHANG Long	BGM921	Licensed Representative (Provisional Licence)	1, 2
ZHANG Lunan	BOK331	Licensed Representative (Provisional Licence)	1
ZHANG Rui	BOE234	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xuelai	BOM870	Licensed Representative (Provisional Licence)	1, 2, 7
ZHANG Yingnan	BOL526	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yixin	BOM527	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ziran	BFC896	Licensed Representative (Provisional Licence)	1, 6
ZHAO Peilin	BOM281	Licensed Representative (Provisional Licence)	1, 2
ZHAO Yu Qian, Rachel	AKI199	Licensed Representative (Provisional Licence)	4
ZHENG Shuwen	BOK574	Licensed Representative (Provisional Licence)	1, 4, 9
ZHONG Yiyang	BON565	Licensed Representative (Provisional Licence)	1, 6
ZHOU Alexandre Yu-Qing	BOM635	Licensed Representative (Provisional Licence)	9
ZHOU Chu	BOK408	Licensed Representative (Provisional Licence)	1, 2
ZHOU Xiaowei	BOK594	Licensed Representative (Provisional Licence)	1
ZHOU Yi	BON542	Licensed Representative (Provisional Licence)	1, 4
ZHU Feiyang	BON484	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Haibin	BMY274	Licensed Representative (Provisional Licence)	4
ZHU Jishun	BOI112	Licensed Representative (Provisional Licence)	1, 2
ALAUDIN ADATIA Samir	BOP298	Licensed Representative (Temporary Licence)	4
AREVALO Alejandro	BME537	Licensed Representative (Temporary Licence)	1
BALASUBRAMANIAM Venkatesh	BDT263	Licensed Representative (Temporary Licence)	4
BARRON Jason	BFI936	Licensed Representative (Temporary Licence)	1
BASS Matthew David	AWT777	Licensed Representative (Temporary Licence)	4
BRENNAN Anthony Paul	BDU992	Licensed Representative (Temporary Licence)	4
BURTON Michael John	BOP286	Licensed Representative (Temporary Licence)	4
	BNU143	Licensed Representative (Temporary Licence)	2
CANKA Anthony			10
CHAN Kah Ling	AFW039	Licensed Representative (Temporary License)	4
CHHUGANI Gautam Prakash	BIO676	Licensed Representative (Temporary Licence)	
CHIA Liting Michelle	BNG518	Licensed Representative (Temporary Licence)	4
CHOPRA Raashi	BEE682	Licensed Representative (Temporary Licence)	4
COLLINGE Edward James	BNV253	Licensed Representative (Temporary Licence)	1
CURRY Jonathan lain	BHD383	Licensed Representative (Temporary Licence)	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING (
CE Name	CE No.	Licence Type	Types of Regulated Activity
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DENNIS Mia Youngmi	BOO675	Licensed Representative (Temporary Licence)	1, 4
DERESIEWICZ Robert Leslie	BME186	Licensed Representative (Temporary Licence)	1, 4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
DOWD Daniel Vincent	BNZ296	Licensed Representative (Temporary Licence)	4
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	4
EZAWA Kota	BDY402	Licensed Representative (Temporary Licence)	4
FALZON SANT MANDUCA Mark Andrew	BIK048	Licensed Representative (Temporary Licence)	4
FANG Chenjun	BJQ180	Licensed Representative (Temporary Licence)	4
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FREDERICKS Michael John	BDF015	Licensed Representative (Temporary Licence)	1, 4
GARRE Venugopal	AML716	Licensed Representative (Temporary Licence)	4
GEORGE Jacob	BNK022	Licensed Representative (Temporary Licence)	1, 2
GORDON-SMITH Clifford Ian	BJQ973	Licensed Representative (Temporary Licence)	1
GRAHAM Stuart Oliver	BDP994	Licensed Representative (Temporary Licence)	4
GREYSON Matthew Ross	BOL870	Licensed Representative (Temporary Licence)	1, 2
HASHIMOTO Takashi	BIJ499	Licensed Representative (Temporary Licence)	4
HATTORI Enna (Kristina)	BOP467	Licensed Representative (Temporary Licence)	1, 2
HIROSE (KUWAHARA) Akiko	AOB564	Licensed Representative (Temporary Licence)	4
HOLLAND Greg Anthony	BMQ913	Licensed Representative (Temporary Licence)	1, 4
HUBBARD Cara	BNN588	Licensed Representative (Temporary Licence)	1, 4
HUDSON Sarah Henrietta Elizabeth	BIT630	Licensed Representative (Temporary Licence)	1, 4
HWANG Seung Hyun	AVA346	Licensed Representative (Temporary Licence)	1
IKEDA Atsushi	BEM322	Licensed Representative (Temporary Licence)	4
ISHINO Masahiko	BGZ614	Licensed Representative (Temporary Licence)	4
IWASAKI Masahiro	BNC630	Licensed Representative (Temporary Licence)	1
KABRA Manish Jitendra	BHI967	Licensed Representative (Temporary Licence)	4
KEATING Edward Peter	BHQ307	Licensed Representative (Temporary Licence)	1, 4
KEDIA Sahil Sudhir	AZW848	Licensed Representative (Temporary Licence)	4
KIPPING Bryan Edward	ACR175	Licensed Representative (Temporary Licence)	1
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LEE Kai An, Brandon (Li Kaian)	AXN040	Licensed Representative (Temporary Licence)	4
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LEE Sei Cheol	BLY339	Licensed Representative (Temporary Licence)	4
LEHMANN Arnaud Jerome	BDM631	Licensed Representative (Temporary Licence)	4
LI Yanying	APR655	Licensed Representative (Temporary Licence)	4
LIU Chiung-Fang	BMY071	Licensed Representative (Temporary Licence)	4
LIU Xin	BOO975	Licensed Representative (Temporary Licence)	1, 4
LIU Yaokun	BJI665	Licensed Representative (Temporary Licence)	4
LOH Hui Ling Hailey	BIE948	Licensed Representative (Temporary Licence)	1, 4
LYE Peixian	BOO712	Licensed Representative (Temporary Licence)	1
MASTERS David John	BOL535	Licensed Representative (Temporary Licence)	10
MATHUR Aditya	BEO279	Licensed Representative (Temporary Licence)	4
MATURO Mario	BOO678	Licensed Representative (Temporary Licence)	1, 4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
MULLER-WIDMER Michael Horst	BLN919	Licensed Representative (Temporary Licence)	4



CE Name	CE No.	Licence Type	Types of Regulated Activity
MUNAKATA Minami	BIX260	Licensed Representative (Temporary Licence)	4
NAKAHARA Shuichi	BGS896	Licensed Representative (Temporary Licence)	4
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1
			1
NISHIGAKI Takeshi	BOC621	Licensed Representative (Temporary Licence)	
NIWA Koichi	AUW702	Licensed Representative (Temporary Licence)	4
PARK Sung Mee	BFT696	Licensed Representative (Temporary Licence)	4
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
RASTOGI Abhishek	AYB018	Licensed Representative (Temporary Licence)	1
RAYABSANGDUAN Pornthipa	AYY247	Licensed Representative (Temporary Licence)	4
REDFERN William James	BGX417	Licensed Representative (Temporary Licence)	4
RICHARDSON Jeremy Bruce	BHL344	Licensed Representative (Temporary Licence)	1, 4
RUDLAND Michael James	BJD156	Licensed Representative (Temporary Licence)	1
RUSSELL Kevin Gary	BHG762	Licensed Representative (Temporary Licence)	1, 4
SCHAUER Adam Michael	BOH519	Licensed Representative (Temporary Licence)	1, 4
SCOTT Samuel Charles	BOP232	Licensed Representative (Temporary Licence)	1
SHEN Wei	BFM497	Licensed Representative (Temporary Licence)	4
SMITH April Tam	BOL675	Licensed Representative (Temporary Licence)	1, 2
STOPFORD Patrick John Richard	AQE998	Licensed Representative (Temporary Licence)	4
SUKKAWALA Mehul Premal	BOM889	Licensed Representative (Temporary Licence)	10
TAKAHASHI Miyuki	BOM464	Licensed Representative (Temporary Licence)	1, 2
TAKAHASHI Tetsuaki	BAI982	Licensed Representative (Temporary Licence)	1
TAM Raymond Hing Luen	BOM757	Licensed Representative (Temporary Licence)	1
TEH Kim Yuan	BJL410	Licensed Representative (Temporary Licence)	10
TIWARI Atul Kumar	BDT253	Licensed Representative (Temporary Licence)	4
TSURUO Mitsunobu	BHD023	Licensed Representative (Temporary Licence)	4
UPADHYAY Nishant	BIS763	Licensed Representative (Temporary Licence)	1
WARBURTON Bradford Timothy	BOG429	Licensed Representative (Temporary Licence)	1, 6
WEE May Ling	AFV960	Licensed Representative (Temporary Licence)	1, 4
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WEN Chung-Yee	AXL994	Licensed Representative (Temporary Licence)	1
WILKINSON Gregory John	BOP627	Licensed Representative (Temporary Licence)	4
WOLAVER Alexander U	BNI123	Licensed Representative (Temporary Licence)	1, 2
WOOD Robert Philip	BNR607	Licensed Representative (Temporary Licence)	1, 4
WRENN James Joseph	BJT987	Licensed Representative (Temporary Licence)	1
WU Han-Wen	BOM514	Licensed Representative (Temporary Licence)	1
XIA Yinyin	BJQ416	Licensed Representative (Temporary Licence)	4
YAMASHITA Chihiro	BNE474	Licensed Representative (Temporary Licence)	1
YANEZ HINOJOSA Anibal David	BEV153	Licensed Representative (Temporary Licence)	1, 2
YEO Newton Chiong	APY329	Licensed Representative (Temporary Licence)	1
YOO Jongwoo	BEX905	Licensed Representative (Temporary Licence)	4
	BEA905 BEA842		4
YOSHIDA Arifumi YU In Cheol		Licensed Representative (Temporary License)	4
	BLU691	Licensed Representative (Temporary Licence)	
ZAVOLOCK Marina Gena	BOP884	Licensed Representative (Temporary Licence)	1, 4
ZHONG Tiantian	AZB127	Licensed Representative (Temporary Licence)	1