

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
Apastron Capital Limited	BIT492	Licensed Corporation	1, 6
Chaos International Financial Limited	ABE516	Licensed Corporation	2
Hang Tung Securities Limited	AAF264	Licensed Corporation	1
Jomon Investment Management (HK) Limited	BGM011	Licensed Corporation	9
LT Finance Management Limited	AZR488	Licensed Corporation	4, 5
LT Securities Limited	AWJ633	Licensed Corporation	1, 2
Ocean Global Capital Management Limited	BMW057	Licensed Corporation	4, 9
Sun Raise Capital Limited	ACS201	Licensed Corporation	4, 6
Tristar Capital Advisors Limited	BAB279	Licensed Corporation	9
Vontobel Asset Management (Hong Kong) Limited	BCQ309	Licensed Corporation	9
AHALLAL Mohamed Amine	ART212	Licensed Representative	1, 2
AN Ziqi	BKH800	Licensed Representative	1, 6
ASSAD Edward William	BMD018	Licensed Representative	9
AU Pui Ho	BNX486	Licensed Representative	1, 2, 7
BAI Jie	BAF088	Licensed Representative	1
BAKKER Jarrod Kane	BJC069	Licensed Representative	1, 2
BHAMMER Vishal Mahendra Kumar	AHF765	Licensed Representative	9
BIAN Wei	BNY293	Licensed Representative	4, 6
BOA Yuk Wah Yuan	BNG891	Licensed Representative	1, 4, 9
BROWNING Martin Mario	AZH664	Licensed Representative	6
BUTCHER Timothy Andrew	BDZ177	Licensed Representative	1, 2
BUTT Yuk Foon	BLD574	Licensed Representative	1
CAI Shuting	BID096	Licensed Representative	1, 4, 6
CAI Tsz Yeung	AWH561	Licensed Representative	1
CAO Jiayi	BAJ036	Licensed Representative	9
CAO Xueyue	BKR593	Licensed Representative	10
CHAK Cheuk Lun	BNN805	Licensed Representative	9
CHAN Alvin	BFX653	Licensed Representative	1, 4, 6
CHAN Che Kan	ABO128	Licensed Representative	1, 2, 4, 5
CHAN Chi Hang	BBB603	Licensed Representative	1, 2, 4, 5
CHAN Chi Kong	ACX510	Licensed Representative	6
CHAN Chi Yu	AWI799	Licensed Representative	1
CHAN Chung Yin, Vincent	BCK671	Licensed Representative	1
CHAN Ka Chun	BIJ823	Licensed Representative	3
CHAN Ka Yu	APQ350	Licensed Representative	4
CHAN Kam Fai, Gilfred	BFP142	Licensed Representative	1, 4
CHAN Kin Kwan	AUN432	Licensed Representative	1, 4, 9
CHAN Kwok Ying	BKT312	Licensed Representative	1, 2, 4, 5
CHAN Pui Kei	BGC822	Licensed Representative	1
CHAN Raymond Siu Wai	AXB536	Licensed Representative	1, 4, 9
CHAN Shing Leung, Eric	AFM312	Licensed Representative	1
CHAN Tak Kee, Douglas	AMB242	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Tat Hong	BMV838	Licensed Representative	1, 2, 4, 5
CHAN Tin Ki	BOG714	Licensed Representative	1, 4
CHAN Tsz Kwan	BEE952	Licensed Representative	1
CHAN Wing Hong	AZR588	Licensed Representative	1
CHAN Yat Yuen	ABJ735	Licensed Representative	1, 2
CHAN Yin Fei Fornia	BBS736	Licensed Representative	1
CHANDRA Mihir	AXF009	Licensed Representative	1
CHAY Lei	BID402	Licensed Representative	1, 4, 9
CHEN Chen	BEZ285	Licensed Representative	6
CHEN Chih Che	BIY473	Licensed Representative	1, 4, 9
CHEN Daqi	BHN986	Licensed Representative	1, 6
CHEN Hock Soon Simon	BDT638	Licensed Representative	10
CHEN Jiongfa	BKI623	Licensed Representative	1, 6
CHEN Junling	BAH717	Licensed Representative	1, 4
CHEN Qiang	BHO455	Licensed Representative	1
CHEN Qiwen	BKL749	Licensed Representative	1, 2, 4
CHEN Szu-Wei	AWO626	Licensed Representative	1, 4
CHEN Tzu-ming, Daphne	BIR629	Licensed Representative	1, 2, 4
CHEN Zeen	AWR548	Licensed Representative	1, 4
CHENG Hok Kan	BMQ754	Licensed Representative	1
CHENG Pak To	APA030	Licensed Representative	1
CHENG Ying Yee	BKH127	Licensed Representative	1, 2, 4, 5
CHEUNG Chi Man	BHW896	Licensed Representative	2, 5
CHEUNG Ka Chun, Desmond	ATO779	Licensed Representative	1, 4, 9
CHEUNG Lee Yee	BDI119	Licensed Representative	1, 4
CHEUNG Man Hin	BFS154	Licensed Representative	1, 2, 4, 5
CHEUNG Sze Hoi	BAP742	Licensed Representative	1
CHEUNG Yik Shan	APP580	Licensed Representative	1, 2
CHO Kevin Yong Joon	AOB354	Licensed Representative	9
CHOI David	AAZ043	Licensed Representative	4, 5, 9
CHOI Young Hyun	BIB393	Licensed Representative	1, 4, 9
CHONG Sum	BOH687	Licensed Representative	1
CHOW Fung Kuen	ABF567	Licensed Representative	1, 2
CHOW Long Ning	BME755	Licensed Representative	1
CHU Ka Kin	AVP248	Licensed Representative	4, 5, 9
CHU King Wing	BLR897	Licensed Representative	1
CHU Man Fai	BMR126	Licensed Representative	1, 6
CHUN Kin Keung	AWN401	Licensed Representative	1
CHUNG Oscar Chor Chun	BNI033	Licensed Representative	1, 2
DENG Zhuo	AXO917	Licensed Representative	1, 4, 9
DIEPPEDALLE-LEFEBVRE Alexandre Marie B.	BJR853	Licensed Representative	2, 5
DING Meiyuan	BEW209	Licensed Representative	1, 2, 4, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
DONOVAN James William	ARA301	Licensed Representative	1, 2
DUNN Christian	BAV660	Licensed Representative	1, 4, 9
DYER Ashley George	ACO919	Licensed Representative	1
EIO Jingfeng Jonathan	BKR579	Licensed Representative	1
FANG Qiuyan	BIO887	Licensed Representative	1, 4, 9
FANG Shiwen	BOA781	Licensed Representative	1
FENG Yang	BIX445	Licensed Representative	1, 4, 9
FOK Shuang Hong Shan Cie	BDK993	Licensed Representative	1
FOORD Tara Michelle	BFE629	Licensed Representative	1, 2, 7
FRANKLIN Marc Richard	BDV938	Licensed Representative	1, 4, 9
FRIEDMAN John William	BHX263	Licensed Representative	1
FUNG Chun Kit	BGI391	Licensed Representative	1, 4
FUNG Kwan Yin, James	AKS995	Licensed Representative	4, 9
FUNG Shing Yan	BFD151	Licensed Representative	4, 9
FUNG Siu Kwun Foster	BNU555	Licensed Representative	6
FUNG Siu Lai, Florence	AUM743	Licensed Representative	1
GEE King Yip	AAE096	Licensed Representative	1
GELBER Randy Scott	ACR602	Licensed Representative	6
GISBOURNE Ian Mark	ACS236	Licensed Representative	4
GLEESON James Anthony	AHT088	Licensed Representative	1, 2
GONG Rui	BLF289	Licensed Representative	1, 4, 9
GONG Tao	BAP758	Licensed Representative	1
GREEN Nicholas Alain	BMX570	Licensed Representative	1, 4, 6
GRIFFITHS David Alexander	AXY598	Licensed Representative	10
GU Andrew Ye Yan	ALQ626	Licensed Representative	1, 2, 4, 5, 9
GU Hao	AXN883	Licensed Representative	6
GU Yinmin	BIW823	Licensed Representative	1, 4
GUO Xiangyun	BLL341	Licensed Representative	10
GUPTE Nishad Dileep	BKY105	Licensed Representative	1, 2
HAMIDJAJA Sastra	BMX948	Licensed Representative	4
HAN Yu	BNJ594	Licensed Representative	9
HAO Yimei	BMQ130	Licensed Representative	4, 5
HASSAN Glenn Perdana Firasli	BNU062	Licensed Representative	1, 4, 6
HE Qingshan	BMW798	Licensed Representative	1
HE Yufeng	APO996	Licensed Representative	1, 4, 6
HIRAYAMA Ryo	BMV324	Licensed Representative	1, 4, 6
HO Wing Sum, Veda	AOA335	Licensed Representative	1, 4, 9
HOLMES John Palmer	ADW190	Licensed Representative	4, 9
HSIAO Wei-Yuan	BKS405	Licensed Representative	1
HSU Andrew Tak Jun	BHL349	Licensed Representative	9
HU Chenhe	BMW916	Licensed Representative	1
HU Jiabin	BKU255	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Jinzi	AXZ093	Licensed Representative	1, 4
HUANG Xikun	BIU917	Licensed Representative	1, 2
HUANG Yang	BDE150	Licensed Representative	1
HUEN Hoi Fai	AAG776	Licensed Representative	1
HUI Chuen Kei	AUN813	Licensed Representative	1
HUNG Man Yuk, Dicson	AMS061	Licensed Representative	9
HUNG Nien-Chun	AVW108	Licensed Representative	9
HUNG Shu Ying	BIA120	Licensed Representative	4
HWANG Kyusan	BOM397	Licensed Representative	1, 2
IP Yau Lung	AKI626	Licensed Representative	1
IU Chong Chi	AMM097	Licensed Representative	1, 2
JAMIESON Matthew Keith	AGW113	Licensed Representative	10
JASUJA Radhika	AID481	Licensed Representative	1, 4
JUNG Haemin	BNB427	Licensed Representative	1, 6
KAM Chun Lai	BMC230	Licensed Representative	1, 4
KAN Chi Kit	BIN210	Licensed Representative	1, 4
KAPADIA Amit Damodar	BIW068	Licensed Representative	9
KETLEY Matthew Thomas	ANY074	Licensed Representative	2, 5
KHOO Vivien	AEH754	Licensed Representative	1
KIM Jaehyun	BFE335	Licensed Representative	4
KIM James Yong Min	AWW389	Licensed Representative	1, 4, 9
KIM Young Roe	ATP155	Licensed Representative	4
KINOSHITA Tomoo	AMY877	Licensed Representative	4, 5
KLEINHEINZ John Burke	BEV294	Licensed Representative	1, 2, 7
KO Mei Yin, Emily	AYA149	Licensed Representative	1, 4
KO Siu Ling	AAA450	Licensed Representative	1
KOH Lauren Ashleigh	BDH655	Licensed Representative	1, 2
KONG Tze Yee	BHJ788	Licensed Representative	1, 2
KOON Siu Kin	APO089	Licensed Representative	3
KOTHARI Aditya	BHK842	Licensed Representative	1, 2
KUO Su-Tsen	AXG369	Licensed Representative	10
KWAN Chung Kin	BGB583	Licensed Representative	1, 2, 4, 5
KWOK Kar Fai	BFW392	Licensed Representative	1
KWONG Chi Hang	BEF242	Licensed Representative	1, 2
KWONG Ming Yan, Fanny	AQR940	Licensed Representative	1, 4, 9
LAI Chi Chuen	ARS055	Licensed Representative	1, 4
LAI Ka Ho	AEQ243	Licensed Representative	1
LAI Kai Wing	AFV860	Licensed Representative	1, 2, 7
LAM Chuen Ming Thomas	ADW501	Licensed Representative	1
LAM Kai Cheong	AAI167	Licensed Representative	1
LAM Kin To	AEY972	Licensed Representative	1
LAM Mei Ying	ARN630	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Shun Hei	APD341	Licensed Representative	1
LAM Sui Hong	BIX243	Licensed Representative	1
LAU Chi Wai	ADD637	Licensed Representative	1
LAU Chiu	AZG520	Licensed Representative	1
LAU Tsz Lung	AJL083	Licensed Representative	9
LAU Wai Man	ASE757	Licensed Representative	1
LAW Chi Wo	ASN344	Licensed Representative	1
LEE Kin Hang	BKY138	Licensed Representative	1
LEE Lucy	AMQ618	Licensed Representative	1
LEE Siu Yuen	ADH412	Licensed Representative	1
LEE Wan Siu, Joyce	AGG841	Licensed Representative	1, 4
LEE Yuk Wa	AXU695	Licensed Representative	1, 2
LEI Hei	AIY110	Licensed Representative	4
LENG Jing	BOA902	Licensed Representative	1, 6
LEUNG Ka Ho	BET102	Licensed Representative	1, 2
LEUNG Richard Chi Wing	APZ101	Licensed Representative	9
LEUNG Siulun Gary	BLE481	Licensed Representative	1, 4, 9
LEUNG Wai Tung	BNX270	Licensed Representative	1
LEUNG Wai Yip	AQS036	Licensed Representative	1
LEUNG Wilson	AUM484	Licensed Representative	1
LI Chun Chung	AEU687	Licensed Representative	4
LI Edwin Chun Yeung	BCG370	Licensed Representative	1, 4
LI Haopeng	BJJ555	Licensed Representative	1, 6
LI Jiaying	BNB932	Licensed Representative	4, 6
LI Lucheng	BKL414	Licensed Representative	1, 6
LI Mei Yen	BNA728	Licensed Representative	1, 4, 9
LI Ming	BLR011	Licensed Representative	1, 4, 9
LI Shuguo	BOF250	Licensed Representative	4
LI Sihui	ASE821	Licensed Representative	1, 4
LI Sui Ki	BEC264	Licensed Representative	1
LI Xiang	BLM865	Licensed Representative	9
LI Xiaohan	BLI045	Licensed Representative	1, 2
LI Yan Ying	BNL092	Licensed Representative	1
LI Yee Ki	BKF279	Licensed Representative	4, 9
LI Ying Wai	BLM060	Licensed Representative	1
LI Ziyi	BNP008	Licensed Representative	4, 9
LIAN Bo	BBM345	Licensed Representative	1, 4, 6
LIAO Biyi	BKO922	Licensed Representative	1, 4, 9
LIAO Chuqin	BLI484	Licensed Representative	9
LIN I Ming	BHJ453	Licensed Representative	1, 2, 4
LIU Bing	BOF626	Licensed Representative	1, 4, 9
LIU Da	BNC440	Licensed Representative	4, 5, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Hanyi	BKS592	Licensed Representative	1, 4, 6
LIU Jianing	BFH717	Licensed Representative	6
LIU Ke Ru	BOG479	Licensed Representative	6
LIU Ruiqi	BNF981	Licensed Representative	1, 4, 6
LIU Wenyun	AUI940	Licensed Representative	1, 4, 6
LIU Yifan	BDZ938	Licensed Representative	1
LO Ching Ting	AZJ727	Licensed Representative	1, 2
LO Ka Yee Diana Erica	AUW724	Licensed Representative	1
LOK Chi Fung	AXT570	Licensed Representative	1
LORD CARNEGIE Charles Duff	BCH492	Licensed Representative	4
LOU Jiayi	BEV723	Licensed Representative	1
LOYA Dinesh Kumar	BGY829	Licensed Representative	1, 4
LU Li Ying	BHV405	Licensed Representative	1, 2, 4, 5, 9
LU Yue	BNN209	Licensed Representative	1, 4, 6
LUI Ho Ting	BLK723	Licensed Representative	1, 2
LUI Tze Fai	ABS467	Licensed Representative	1
LUK Kwok San	BJW652	Licensed Representative	1, 2
LUN Choi Yee	BNB379	Licensed Representative	1, 4, 9
LUO Man	BLL571	Licensed Representative	1, 4
LUO Mi	BMQ330	Licensed Representative	6
MA Chau Ho	AVL489	Licensed Representative	1, 2
MADHABUSHI Santoshi Roopaswati	BFK377	Licensed Representative	4, 9
MAK Chi Wai	BGD704	Licensed Representative	1, 2
MALIK Jasween	AUW634	Licensed Representative	9
MANSUKHANI Monish Manik	AWL683	Licensed Representative	1, 4
MILLER Justin Daniel	AOU076	Licensed Representative	1
MILLER Steven Michael	AHF976	Licensed Representative	9
MOK Wan Fung	AQI677	Licensed Representative	1, 4
MONDONEDO Miguel Yarisantos	BHH063	Licensed Representative	1, 4
MONTGOMERY Alexander James	ATB717	Licensed Representative	1, 2
NANJO Takafumi	BAF490	Licensed Representative	9
NG Ching Yee	BMW775	Licensed Representative	1, 4, 9
NG Kwan Yi Queenie	AXL684	Licensed Representative	1, 4, 9
NG Man Ho	BEZ150	Licensed Representative	1, 4, 9
NG Oi Chu	ASZ370	Licensed Representative	1, 2, 4, 5
NG Wai Kit	AZD315	Licensed Representative	1, 2
NG Wing Lun	AYS156	Licensed Representative	1, 4
NG Wing Yip	APW472	Licensed Representative	1, 2
NG Yu Hei	BNP568	Licensed Representative	9
NHAN Ngoc Le	AVY677	Licensed Representative	1
OGASAWARA Fumihito	BFC759	Licensed Representative	1, 4
OH Christopher Chin Huat	AWJ697	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
OH Keum Hee	AXI086	Licensed Representative	10
ONG Ho Yee Jennifer	BFD433	Licensed Representative	1, 4, 9
PAN Hao	BBT506	Licensed Representative	4, 9
PEH Chong Ghee	ADV635	Licensed Representative	4
PERIC Ivan-Pavao John Paul	BHD774	Licensed Representative	1, 2
PILLI NAGENDRA KUMAR	BFE853	Licensed Representative	1, 2
PLOTKIN Joseph Brenner	BFF023	Licensed Representative	1, 2
PONG Chui Ming	ASE458	Licensed Representative	1
POON King Fat	BHW676	Licensed Representative	1, 4
PU Jingsu	BJO694	Licensed Representative	1, 4
PUN Kam Wing Linus	BKE839	Licensed Representative	1
RAJASINGHAM Arjuna	AVG783	Licensed Representative	9
RAY Hung Pang	BID045	Licensed Representative	1
RENARD Jean Noel, Charles	BLD169	Licensed Representative	1, 4
RHODES Paul Simon	AJD741	Licensed Representative	1
ROSE Caroline Mairi	BAC296	Licensed Representative	1
RUNGTA Nitin	BLB608	Licensed Representative	2, 5
SAADAT MELLI Ali	BAO796	Licensed Representative	1, 2
SAMBRANI Sagar	BHP070	Licensed Representative	1, 2
SARANGI Asheefa Anne	BEA309	Licensed Representative	4
SHI Falin	BFP493	Licensed Representative	1
SHIGETOMI Hyunsu	BCT026	Licensed Representative	1, 2
SIN Wai Ki	BNR978	Licensed Representative	1
SINGH Manmohan	AFV085	Licensed Representative	4
SINGH Nidhi	BNI914	Licensed Representative	1
SLOW Andy Jinhui	ANR867	Licensed Representative	1, 4
SITT Oliver H	BFY807	Licensed Representative	1, 2
SIU Lai Ming	BJU714	Licensed Representative	1, 2
SONG Eunbin	BBE743	Licensed Representative	4, 9
SRI RAMANATHAN Shivaraj	BKN105	Licensed Representative	1, 2
STARBECKER Jonathan Marlow	BHM294	Licensed Representative	1, 4, 6
SUN Yi	BGQ037	Licensed Representative	4
SZE Man Lee, Eddy	ADJ779	Licensed Representative	1, 2, 9
TAM Jun Yuan Matheu	BNH816	Licensed Representative	1, 2
TAM Sau Man Jesper	ATB601	Licensed Representative	1, 2
TAN Geok Hua	AJM691	Licensed Representative	1
TAN Zhi Wei	BLL122	Licensed Representative	1, 4, 6
TANG Chui Shan	BMR931	Licensed Representative	1
TANG Lingjia	BKG281	Licensed Representative	1, 4, 6
TANG Long Ting	BHS542	Licensed Representative	1, 2
TANG Nancy	BKN861	Licensed Representative	1, 2, 4
TAYLOR Lindsay Drummond	BHB897	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TEO Choon Chiang	ARA887	Licensed Representative	1, 2
TO Hin Hang	BDL553	Licensed Representative	1, 4
TRABAUD Eva	BLH215	Licensed Representative	1
TSANG Chun Lam	BHJ641	Licensed Representative	6
TSANG Wai Kee	BKQ450	Licensed Representative	1, 4
TSIM Wing Kit	AGF800	Licensed Representative	9
TSOI Ching Ying	BNU277	Licensed Representative	4, 9
TSOI Chung Wo	BNZ817	Licensed Representative	1
TSOI Kuok Fai	BIS042	Licensed Representative	1, 2
TSUI Kin Hang	BDV692	Licensed Representative	1
TSUN Douglas Patrick	BMB251	Licensed Representative	6
VUKOVICH Matthias	BGY640	Licensed Representative	1, 6
WAH Anthony Ming Chit	ACM458	Licensed Representative	1
WANG Baocheng	AJZ269	Licensed Representative	1, 2
WANG Bitan	AZJ488	Licensed Representative	6
WANG David Bo	BGE277	Licensed Representative	9
WANG Fang	BGV049	Licensed Representative	1, 6
WANG JIAXIN	BJV079	Licensed Representative	1, 4, 6
WANG Jue	BHX885	Licensed Representative	4
WANG Qilong	BGR137	Licensed Representative	4, 6
WANG Siyuan	BJC337	Licensed Representative	1, 4
WANG Tinghao	BKU321	Licensed Representative	1
WANG Will Way	BLH658	Licensed Representative	2
WANG Xichen	BNO523	Licensed Representative	1, 6
WANG Zhen Zhen	AGM872	Licensed Representative	1, 4
WEI Hong-Si	BLN672	Licensed Representative	4
WENG Meimei	BDW414	Licensed Representative	6
WHYATT Nicholas Matthew Alexander	BAJ268	Licensed Representative	9
WONG Chan Wing	AHF633	Licensed Representative	1
WONG Chun Pong	BAF086	Licensed Representative	1
WONG Ho Yin	AXZ546	Licensed Representative	1, 4
WONG Hok Ko Gordon	ADT261	Licensed Representative	1, 2
WONG Ki Cheong	BIO248	Licensed Representative	1, 4
WONG Kwok Wing	BBH901	Licensed Representative	1
WONG Nok Hang	AZT776	Licensed Representative	1
WONG Pan	ARE172	Licensed Representative	1, 4, 6
WONG Siu Ching	AOX654	Licensed Representative	4
WONG Sze Wing	BAS663	Licensed Representative	1, 4
WONG Tai Chit	ACQ746	Licensed Representative	1
WONG Timothy	AUG576	Licensed Representative	1, 2, 3
WONG Tsz Kwan	BNV837	Licensed Representative	1, 4
WONG Willie Long Chi	AEO807	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WORTHINGTON Adam Joseph	AOU960	Licensed Representative	1, 6
WU Chun Yin, Steven	AVZ964	Licensed Representative	1, 4, 9
WU Chun Yu Charles	BDV215	Licensed Representative	1, 2
WU Di	BED059	Licensed Representative	6
WU Ka Yui	BJQ334	Licensed Representative	1, 4
WU Qiong	ATQ759	Licensed Representative	9
WU Ting Hin	BJM464	Licensed Representative	1, 4
WU Yang	BMI878	Licensed Representative	4, 9
XIE Yanqing	BEM822	Licensed Representative	9
XIONG David Le	AYQ799	Licensed Representative	6
XU Jingli	BHH332	Licensed Representative	1
XU Zhiling	BHX269	Licensed Representative	1, 6
XU Ziyao	BHU591	Licensed Representative	1, 4, 9
YANG Dezhong	BGX441	Licensed Representative	9
YANG Siqi	BJN135	Licensed Representative	4, 9
YANG Tianyi	BID345	Licensed Representative	6
YANG Yang	BHT590	Licensed Representative	1, 6
YANG Yang	BLN452	Licensed Representative	4, 9
YAO Andrew E	ACD402	Licensed Representative	4, 9
YAU Winnie Wing Yee	BKS181	Licensed Representative	1, 4
YEP Hing Leung	BAV215	Licensed Representative	1, 4
YEUNG Pui Man	AVV238	Licensed Representative	1
YIM Wan Ming	AMY840	Licensed Representative	1
YIN Xiaomin	BLO685	Licensed Representative	1
YIN Yue	AXZ897	Licensed Representative	9
YIN Yuran	BIG092	Licensed Representative	4, 9
YIP Chui Yin	AYA009	Licensed Representative	1, 4
YIP Tang Pang	BBJ914	Licensed Representative	1, 2
YIP Yuk Kwan	AGP554	Licensed Representative	4
YONG Lai Har, Angela	AFS492	Licensed Representative	1, 2
YOSHIDA Takahide	AMF760	Licensed Representative	1, 4, 6
YU Chin Pang	ALP444	Licensed Representative	4
YU Chung Ho	BGX570	Licensed Representative	1, 2
YU Jin	BNF959	Licensed Representative	1, 4
YU Mo	AFC933	Licensed Representative	1
YU Pak Ho, Nicholas	BOA925	Licensed Representative	9
YU Wenting	BNV558	Licensed Representative	1
YU Xiao	BDL907	Licensed Representative	1
YUAN Jun	BJT931	Licensed Representative	9
YUAN Shuo	BCK232	Licensed Representative	9
YUEN Siu Ting	AHF360	Licensed Representative	1, 4, 9
YUNG Wing Ping, Melody	ALT790	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZALDANA CALLES Raul Armando	BNY374	Licensed Representative	1
ZHAI Seth Shi	BGR297	Licensed Representative	1, 2
ZHANG Jianyu	BNY962	Licensed Representative	4, 9
ZHANG Junyi	BCH497	Licensed Representative	1, 2, 4, 5
ZHANG Liangzhi	BNR138	Licensed Representative	1, 4, 6
ZHANG Ping	BOA899	Licensed Representative	1, 6
ZHANG Pingting	BMU803	Licensed Representative	1, 4, 9
ZHANG Quan	BEM321	Licensed Representative	1, 6
ZHANG Shuo	BKX402	Licensed Representative	1, 6
ZHAO Hui	BJR221	Licensed Representative	1, 4
ZHAO Qing	AYV301	Licensed Representative	1, 2, 4
ZHENG Lingqi	BMQ069	Licensed Representative	6
ZHENG Qin	ASP724	Licensed Representative	2, 5
ZHONG Lei	APQ822	Licensed Representative	1, 4
ZHU Zhengben	AWI937	Licensed Representative	4, 9
ZUO Honggang	AYS532	Licensed Representative	1
ADAMCZYK Alexis Thomas David	ARN635	Licensed Representative - Responsible Officer	6
AGGARWAL Sandeep	ASY696	Licensed Representative - Responsible Officer	1, 2
ALONSO Sixto Luis	BJO633	Licensed Representative - Responsible Officer	3
ARGAL Ajay	AXV576	Licensed Representative - Responsible Officer	1, 4, 5, 9
AU Mo Cheung, Alex	AAF576	Licensed Representative - Responsible Officer	9
BHATT Jay Hemant	ADR152	Licensed Representative - Responsible Officer	1, 4, 9
BROWN Anthony Richard	BCA158	Licensed Representative - Responsible Officer	1, 4
CAI Rena	AUZ068	Licensed Representative - Responsible Officer	1
CARANGO Anthony	AQF555	Licensed Representative - Responsible Officer	1, 4, 6
CHAN Sai Ping, Ricky	ACE690	Licensed Representative - Responsible Officer	1, 2
CHENG Man Pan	AHC605	Licensed Representative - Responsible Officer	9
CHEUNG Wai Hung	AGY670	Licensed Representative - Responsible Officer	1, 2
CHIU Tung	ABS926	Licensed Representative - Responsible Officer	1, 4
CHOI Man Kay, Peter	AAA964	Licensed Representative - Responsible Officer	1, 4
CHOW Kwok Wai	AEM266	Licensed Representative - Responsible Officer	4
CHU Richard Shan Yao	AUW031	Licensed Representative - Responsible Officer	9
CIMAFRANCA Ramir Roque Clavano	AVS727	Licensed Representative - Responsible Officer	1, 2
CONG Lin	ANN418	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
DEVINE David Nicholas	ADB301	Licensed Representative - Responsible Officer	9
DING Liqi	AYJ941	Licensed Representative - Responsible Officer	4, 9
FAN Bing	BFD824	Licensed Representative - Responsible Officer	1, 4, 6
FIRKINS Jonathan Graham	BDP992	Licensed Representative - Responsible Officer	4
GAASBEEK Arieen Christiaan	ARH982	Licensed Representative - Responsible Officer	1, 2
GROVER Akashdeep	AFD207	Licensed Representative - Responsible Officer	1, 4
HU Hiiga	BET277	Licensed Representative - Responsible Officer	1, 4
HUNG Man Yuk, Dicson	AMS061	Licensed Representative - Responsible Officer	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
IP Tsz Mun	APO416	Licensed Representative - Responsible Officer	9
KIM Chul Young	ANA066	Licensed Representative - Responsible Officer	1, 6
KIM Min Soo	AEP532	Licensed Representative - Responsible Officer	1, 4
KODMANI Omar	AMM149	Licensed Representative - Responsible Officer	1, 4
KWOK Chor Man	AGL485	Licensed Representative - Responsible Officer	4, 9
LAI Carmen	AMN729	Licensed Representative - Responsible Officer	1, 4
LAI Chung Cheong	ADZ903	Licensed Representative - Responsible Officer	3
LAM Ka Ling	AYC315	Licensed Representative - Responsible Officer	1, 4
LAM Tim, Ricky	ABF282	Licensed Representative - Responsible Officer	1, 9
LAM Ty Kin	ABI078	Licensed Representative - Responsible Officer	1, 2, 4, 9
LAU Hon Wai	ACI109	Licensed Representative - Responsible Officer	1, 4
LAU Ka Ho, Gordon	AVF159	Licensed Representative - Responsible Officer	1, 4, 9
LEE Michael	ARP397	Licensed Representative - Responsible Officer	9
LEI For	AIU418	Licensed Representative - Responsible Officer	1, 6
LEWIS David Jaye	BOB094	Licensed Representative - Responsible Officer	1, 4
LI Minghuang	BIC836	Licensed Representative - Responsible Officer	9
LI Woon Chi	AAN717	Licensed Representative - Responsible Officer	2
LI Xuan	AIX361	Licensed Representative - Responsible Officer	9
LIEN Eugene	ANT917	Licensed Representative - Responsible Officer	9
LIN Verna Yu-ting	ASK367	Licensed Representative - Responsible Officer	1, 4
LIU Dongmei, Amy	AMM436	Licensed Representative - Responsible Officer	1, 4, 6
LU Edmund Lingjian	AGL936	Licensed Representative - Responsible Officer	9
LU Priscilla Marilyn	BHN998	Licensed Representative - Responsible Officer	1, 4, 9
LU Yuan-chung	BIY024	Licensed Representative - Responsible Officer	1, 4
LUY King Hang, Henry	AAG329	Licensed Representative - Responsible Officer	4, 6
MAK Raymond	AMF438	Licensed Representative - Responsible Officer	4, 9
NISHIDA Takeshi	BFE985	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6
POON Wing Chuen, Tony	ACJ392	Licensed Representative - Responsible Officer	1
PU Jingsu	BJO694	Licensed Representative - Responsible Officer	9
RICHDALE Kate Gaskell	AFZ874	Licensed Representative - Responsible Officer	1, 6
SHAW Philip John	ADP224	Licensed Representative - Responsible Officer	1, 2
SUN Wing Man, Doris	ACQ917	Licensed Representative - Responsible Officer	1
TANG Han Yin	ACG084	Licensed Representative - Responsible Officer	9
TSE Man Chi, Bonnie	ADM298	Licensed Representative - Responsible Officer	1, 2
TSUI Chek Ming	AET441	Licensed Representative - Responsible Officer	9
UEDA Eiji	AYZ602	Licensed Representative - Responsible Officer	1, 2, 4
VAN ABBEMA Dirk Olivier	BKL728	Licensed Representative - Responsible Officer	1, 2
WANG Luchen	BMV930	Licensed Representative - Responsible Officer	1
WANG Will Way	BLH658	Licensed Representative - Responsible Officer	1, 4
WONG Lam	AIY402	Licensed Representative - Responsible Officer	4
WU Chun Wah	AHA661	Licensed Representative - Responsible Officer	1, 4, 9
XIA Xiaoning	AFR423	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZEE John	AAE260	Licensed Representative - Responsible Officer	3
ZENG Yu	AVY463	Licensed Representative - Responsible Officer	4, 6, 9
ZHAO Tian	ANR067	Licensed Representative - Responsible Officer	1, 6
ZHU Yuan	BIT556	Licensed Representative - Responsible Officer	9
AN Yaze	BOT242	Licensed Representative (Provisional Licence)	4
ANGLIN Thomas William	AVI264	Licensed Representative (Provisional Licence)	1, 2
AO Ran	BOR742	Licensed Representative (Provisional Licence)	1, 4, 6
AU Chee Wah Patricia	BOS908	Licensed Representative (Provisional Licence)	1, 2
AU Chiu Fai	BOQ097	Licensed Representative (Provisional Licence)	1
AZZA Mehdi	BOR732	Licensed Representative (Provisional Licence)	1, 2
BACKWELL Michael Charles	BOR335	Licensed Representative (Provisional Licence)	1
BAI Yanan	BOS478	Licensed Representative (Provisional Licence)	4, 9
BAI Yang	BOR612	Licensed Representative (Provisional Licence)	1, 4, 6
BATTEN Jason	BOR489	Licensed Representative (Provisional Licence)	1, 2
BATUNSKIY Mark Vladimir	BOR364	Licensed Representative (Provisional Licence)	1
BU Yunzhi	BOS102	Licensed Representative (Provisional Licence)	1, 6
CAO Li	BOS632	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Chi Yan	BOQ995	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Chiao Fen	BOR225	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Chiu Yuet	BOQ011	Licensed Representative (Provisional Licence)	1, 2
CHAN Chun Wang Simon	BCP548	Licensed Representative (Provisional Licence)	1, 2
CHAN Jacky Ka Ki	AVF622	Licensed Representative (Provisional Licence)	4
CHAN Ka Ho	BOP869	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ka Ming	BEC229	Licensed Representative (Provisional Licence)	1
CHAN Kin Lung	BOT321	Licensed Representative (Provisional Licence)	1
CHAN Kwok Kin	BOS587	Licensed Representative (Provisional Licence)	1, 4
CHAN Lai Na	BOT312	Licensed Representative (Provisional Licence)	1, 2
CHAN Min Sheng	BOR258	Licensed Representative (Provisional Licence)	4
CHAN Nam Tau	BOS146	Licensed Representative (Provisional Licence)	1, 2
CHAN Reuben Junren	BOR459	Licensed Representative (Provisional Licence)	1, 6
CHAN Tat Wai	AFS989	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Tsz Kei	BOS056	Licensed Representative (Provisional Licence)	1, 2, 9
CHAN Wai Hin	BOR220	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Wai Sun	BJG673	Licensed Representative (Provisional Licence)	6
CHAN Wing Yan	BOT660	Licensed Representative (Provisional Licence)	4
CHAN Yan Wa	BOR219	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Peter Cheng Pang	BOR325	Licensed Representative (Provisional Licence)	1, 6
CHAU Kwai Mui	BOS338	Licensed Representative (Provisional Licence)	1, 4
CHAU Tak Shun	BOT801	Licensed Representative (Provisional Licence)	1, 4, 6
CHAU Wai Man, Josephine	AXD293	Licensed Representative (Provisional Licence)	1
CHAU Yi Yeung	BOS649	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Allen	BOQ989	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Chin-Yi	BAT983	Licensed Representative (Provisional Licence)	4
CHEN Du	BOQ853	Licensed Representative (Provisional Licence)	1, 6
CHEN Ming	BOT554	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Na	BOM818	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Pei-Wen	AOE209	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Rujun	BOR747	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Simin	BOS815	Licensed Representative (Provisional Licence)	1, 2
CHEN Xinquan	BOR543	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Xinru	BOT804	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yang	BKL280	Licensed Representative (Provisional Licence)	9
CHEN Yang	BOQ086	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yaxin	BOD774	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yi	BOP678	Licensed Representative (Provisional Licence)	2, 5
CHEN Yi	BOR533	Licensed Representative (Provisional Licence)	1, 4
CHEN Yi-chung	AZZ228	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhiyong	BOT250	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhuangyi	BOT806	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Zilin	BOS492	Licensed Representative (Provisional Licence)	1, 6
CHENG Fang Hsuan	BOR918	Licensed Representative (Provisional Licence)	4, 5
CHENG Michael Siang Chai	BOS498	Licensed Representative (Provisional Licence)	1, 4
CHENG Tin Hang Enzo	BOT880	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Yu	BOR982	Licensed Representative (Provisional Licence)	1, 6
CHENG Yuet Ying	BOS252	Licensed Representative (Provisional Licence)	1
CHEUK Fei Fan	ANE903	Licensed Representative (Provisional Licence)	1
CHEUNG Hoi Man Michelle	BOS272	Licensed Representative (Provisional Licence)	1, 2
CHIANG Che An	BOP887	Licensed Representative (Provisional Licence)	1
CHIU Hsin Hsun	ARP100	Licensed Representative (Provisional Licence)	1
CHIU Wei Chia	BOQ895	Licensed Representative (Provisional Licence)	1, 2
CHIU Wing Hong David	BOQ897	Licensed Representative (Provisional Licence)	9
CHLADEK Thomas Francois	BOR733	Licensed Representative (Provisional Licence)	4, 9
CHOI Tak On	BOR708	Licensed Representative (Provisional Licence)	1, 4
CHOW Gilles Alexander	BOP509	Licensed Representative (Provisional Licence)	1
CHOW Hoi Tik, Heidi	BOT532	Licensed Representative (Provisional Licence)	1, 4
CHOW Ka Yuet, Kathy	BOR403	Licensed Representative (Provisional Licence)	1, 4
CHU Yin Lam, Fannie	BOR159	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Chor Kiu, Janice	BHY506	Licensed Representative (Provisional Licence)	1, 6
CHUNG Ian	BOR246	Licensed Representative (Provisional Licence)	9
COHEN Adrien Aaron	BOO313	Licensed Representative (Provisional Licence)	9
COTTEAU Guewen Remy Brian	AWV230	Licensed Representative (Provisional Licence)	1, 2
CROSBIE-WALSH Gordon Michael	ACD934	Licensed Representative (Provisional Licence)	1
CUI Zijian	BOQ926	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Baiquan	BNW181	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
DAI Chuan	BOS186	Licensed Representative (Provisional Licence)	4
DANDINE Jerome Theodore	AMQ624	Licensed Representative (Provisional Licence)	1, 2
DANG Wenjie	BOP992	Licensed Representative (Provisional Licence)	1, 6
DAVIES Matthew Drummond	BOG758	Licensed Representative (Provisional Licence)	9
DENG Shuxing	BOS269	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Yukun	BOT690	Licensed Representative (Provisional Licence)	1, 4, 6
DENG Zhixing	BOR748	Licensed Representative (Provisional Licence)	1
DING Xuan	BOR743	Licensed Representative (Provisional Licence)	1, 2
DING Yan	BOP667	Licensed Representative (Provisional Licence)	1
DOUGLAS Natsuko	BOR425	Licensed Representative (Provisional Licence)	4
EE Daryl Hock Heng	ARR388	Licensed Representative (Provisional Licence)	1, 4
EUGSTER Samuel	BOS155	Licensed Representative (Provisional Licence)	1, 2
FAN Xiang	BOP437	Licensed Representative (Provisional Licence)	1, 2
FEI Meiling	BOQ807	Licensed Representative (Provisional Licence)	1, 4
FLORIN Benjamin Harry	BOS187	Licensed Representative (Provisional Licence)	2, 5
FU Bingrun	BOS817	Licensed Representative (Provisional Licence)	1, 6
FU Sixia	BOQ856	Licensed Representative (Provisional Licence)	1, 6
FU Yuen Yeh	BOS479	Licensed Representative (Provisional Licence)	1, 4, 6
FUNG Po Wun	BOB678	Licensed Representative (Provisional Licence)	1
FUNG Yiu Ping	BOR984	Licensed Representative (Provisional Licence)	1, 2
GAO Feng	BOR209	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Xiaoyu	BOS950	Licensed Representative (Provisional Licence)	4, 9
GAO Xinhong	BOS740	Licensed Representative (Provisional Licence)	4
GE Xueting	BOS651	Licensed Representative (Provisional Licence)	1, 4, 6
GOH Kee Lun	BOR206	Licensed Representative (Provisional Licence)	4, 9
GOMEZ Bienvenido Antonio Monponbanua	BOR296	Licensed Representative (Provisional Licence)	1
GOVIND Eric Rishi	BOR602	Licensed Representative (Provisional Licence)	1, 2, 7
GU Xiaochen	BOR420	Licensed Representative (Provisional Licence)	1, 6
GUO Jia	BOT043	Licensed Representative (Provisional Licence)	1, 4
GUO Yilai	BOR871	Licensed Representative (Provisional Licence)	1, 4, 6
HABRE Adel Nicolas	BOP901	Licensed Representative (Provisional Licence)	9
HALABY Alexis Anne	BOT615	Licensed Representative (Provisional Licence)	1
HARB Elfarouk Yasser Farouk Mohamed Aly	BOR829	Licensed Representative (Provisional Licence)	1, 2
HARIMOTO Yoshinari	BOR433	Licensed Representative (Provisional Licence)	1, 4, 6
HARTER James Hassett	BOR939	Licensed Representative (Provisional Licence)	1, 6
HAW Peter Han Beng	BOR458	Licensed Representative (Provisional Licence)	1, 2
HE Jia	AZL185	Licensed Representative (Provisional Licence)	1
HE Zhanghong	BOR343	Licensed Representative (Provisional Licence)	9
HO Cheuk Wing	BOR240	Licensed Representative (Provisional Licence)	1, 2
HO Chun Ho	BOT522	Licensed Representative (Provisional Licence)	9
HO Kok Kyu	AYS138	Licensed Representative (Provisional Licence)	1
HO Lam Hoi	BOP788	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Siu Wai	BOP905	Licensed Representative (Provisional Licence)	1, 4
HO Yee Wah	BOR153	Licensed Representative (Provisional Licence)	4, 9
HONG Ying Hui	AWT694	Licensed Representative (Provisional Licence)	2, 5
HOU Yutong	BDO983	Licensed Representative (Provisional Licence)	4, 9
HSU An Hua	BOP065	Licensed Representative (Provisional Licence)	1
HU Jingxuan	BOR001	Licensed Representative (Provisional Licence)	1, 6
HU Jingyi	BOQ993	Licensed Representative (Provisional Licence)	1, 6
HU Liang	BOO997	Licensed Representative (Provisional Licence)	1, 4, 6
HU Na	BOR741	Licensed Representative (Provisional Licence)	1, 2
HUA Xueyi	BOS306	Licensed Representative (Provisional Licence)	1, 2
HUANG Chuan	BOQ810	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Daru	BOS309	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Jialin	BOR942	Licensed Representative (Provisional Licence)	1, 2
HUANG Shan	BOQ899	Licensed Representative (Provisional Licence)	10
HUANG Wenyi	BOP722	Licensed Representative (Provisional Licence)	2, 5
HUANG XIAOYI	BOR781	Licensed Representative (Provisional Licence)	1
HUANG Xin	BON536	Licensed Representative (Provisional Licence)	4
HUANG Yujiao	BOS985	Licensed Representative (Provisional Licence)	1, 4
HUDSON Scott Lyndon	AUZ661	Licensed Representative (Provisional Licence)	4
HUEN Kit Ying	BFW361	Licensed Representative (Provisional Licence)	1, 4, 9
HUGHES Simon Austin	BOR495	Licensed Representative (Provisional Licence)	1, 2
HUI Ching Hang Ruby	BOS349	Licensed Representative (Provisional Licence)	1, 4
HUI Fung	BJK855	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
IKEDA-THEW Kosuke Robert	BIC279	Licensed Representative (Provisional Licence)	1, 9
IP Wing Lam	AQU167	Licensed Representative (Provisional Licence)	1, 6
JAIN Divakar	BOJ873	Licensed Representative (Provisional Licence)	1, 2
JANG Soo Yeon	BOP847	Licensed Representative (Provisional Licence)	1, 9
Jl Qingzhou	BOR856	Licensed Representative (Provisional Licence)	1, 2, 3
JIA Hui	BOS827	Licensed Representative (Provisional Licence)	1, 4
JIANG Wenjia	BOS356	Licensed Representative (Provisional Licence)	1
JIANG Yiqin	BOQ101	Licensed Representative (Provisional Licence)	1, 6
JIN Yi	BOR293	Licensed Representative (Provisional Licence)	1, 4
JIN YUE	BOT280	Licensed Representative (Provisional Licence)	1
JUNG Young Il	BOQ990	Licensed Representative (Provisional Licence)	1, 6
KAM Man Sze, Jennifer	APC387	Licensed Representative (Provisional Licence)	1
KANG So Yeon	BOR776	Licensed Representative (Provisional Licence)	1
KAO Tze Jing	BOS059	Licensed Representative (Provisional Licence)	1, 6
KE Yuqiao	BOR751	Licensed Representative (Provisional Licence)	1, 4, 6
KELLEHER Rebecca Therese May	BCH440	Licensed Representative (Provisional Licence)	1, 2
KHAIRPURI Prashant Kumar	BOQ804	Licensed Representative (Provisional Licence)	1, 2
KIHARA Takao	BOP616	Licensed Representative (Provisional Licence)	1, 4, 6
KNIGHT James	BON642	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
KO Minhyeok	BOQ944	Licensed Representative (Provisional Licence)	1, 6
KO Sung Hoon	BOQ930	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Zhengqiao	BOR810	Licensed Representative (Provisional Licence)	1
KOO Bon Soo	BOR645	Licensed Representative (Provisional Licence)	1, 4
KUANG Xiaofei	BOP622	Licensed Representative (Provisional Licence)	1, 4, 9
KURIAN Ashik	BOD722	Licensed Representative (Provisional Licence)	4
KWAN Chun Liang Nicholas	BOQ875	Licensed Representative (Provisional Licence)	1
KWAN Wing Yee Vivian	BOS534	Licensed Representative (Provisional Licence)	1, 4
KWOK Po Shan Christine	BOQ100	Licensed Representative (Provisional Licence)	1, 4
LAI Hei Ting	BOQ020	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Shun	BOT068	Licensed Representative (Provisional Licence)	1, 4
LAI Yu Kuang	BOQ994	Licensed Representative (Provisional Licence)	1, 6
LALOOBHAI Dharma Kishor Bhakabhai	BOR398	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chloe Tak Yee	BOR194	Licensed Representative (Provisional Licence)	1
LAM Chun Ho	BOP792	Licensed Representative (Provisional Licence)	1
LAM Kwok Kei, Franco	AMI313	Licensed Representative (Provisional Licence)	10
LAM On Lok	BOS975	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Pak Wing	BOR667	Licensed Representative (Provisional Licence)	1
LAM Pui Yin	BOR983	Licensed Representative (Provisional Licence)	1
LAM Yuen Ho	BOS276	Licensed Representative (Provisional Licence)	1, 4
LAO Jiewen	BOP791	Licensed Representative (Provisional Licence)	1, 2
LAU Ching Yan	BOT981	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Ka Chun, Ringo	AEL837	Licensed Representative (Provisional Licence)	1
LAU Siu Man	BOT073	Licensed Representative (Provisional Licence)	1, 4
LAW Chung Ho Colin	BOR943	Licensed Representative (Provisional Licence)	1
LAW Ka Yan	AYD030	Licensed Representative (Provisional Licence)	1
LAW Wai Ping	AFJ941	Licensed Representative (Provisional Licence)	9
LEE Chung Hin Nicholas	BOQ912	Licensed Representative (Provisional Licence)	1, 2
LEE Derek Ho Yin	AOR573	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Jason	BOH673	Licensed Representative (Provisional Licence)	1, 2
LEE Jeok	ANH621	Licensed Representative (Provisional Licence)	1
LEE Joon Woo	BOO623	Licensed Representative (Provisional Licence)	1, 4, 6
LEE Yee Wah Cindy	BOR766	Licensed Representative (Provisional Licence)	1, 4
LEUNG Chi Kan	BOP837	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Long Hay Charlton	BOQ798	Licensed Representative (Provisional Licence)	1, 2
LEUNG On Ki	BOR127	Licensed Representative (Provisional Licence)	1
LEUNG Po Yan	BOR541	Licensed Representative (Provisional Licence)	1
LEUNG Siu Fung	BOR536	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yun Bo	BOP789	Licensed Representative (Provisional Licence)	1
LI Chengxi	BOQ996	Licensed Representative (Provisional Licence)	1, 6
LI Chun Kit, Joseph	ALU441	Licensed Representative (Provisional Licence)	1, 4
LI Cuifan	BOR609	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Jiaheng	BOR665	Licensed Representative (Provisional Licence)	1
LI Meng	BOR408	Licensed Representative (Provisional Licence)	1, 4, 9
LI Quentin Chun Yin	BOS053	Licensed Representative (Provisional Licence)	1, 2, 9
LI Runxing	BOR990	Licensed Representative (Provisional Licence)	1, 2
LI Shuo	BOS628	Licensed Representative (Provisional Licence)	1, 4
LI Tong	BOR141	Licensed Representative (Provisional Licence)	6
LI Weidong	BOS568	Licensed Representative (Provisional Licence)	1, 4
LI Wenxin	BOR554	Licensed Representative (Provisional Licence)	6
LI Xianqi	BOS183	Licensed Representative (Provisional Licence)	1, 6
LI Xumeng	BOT948	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yanting	BOB078	Licensed Representative (Provisional Licence)	9
LI Yongjian	BOQ850	Licensed Representative (Provisional Licence)	1, 6
LI Yuemin	BOS230	Licensed Representative (Provisional Licence)	1, 6
LIANG Danhui	BOR212	Licensed Representative (Provisional Licence)	9
LIANG Shulin	BOP261	Licensed Representative (Provisional Licence)	1, 4, 9
LIAO Gehan	BOP001	Licensed Representative (Provisional Licence)	1, 4, 6
LIAO Yuan	BOO625	Licensed Representative (Provisional Licence)	1, 4, 6
LIM Athsaseda Anthony	BOS251	Licensed Representative (Provisional Licence)	1, 2, 7
LIM Wen-tzen	ALN484	Licensed Representative (Provisional Licence)	1, 4
LIN Hongwei	BOR991	Licensed Representative (Provisional Licence)	4
LIN I-chen	BOR350	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Man Shan, Mason	BOR900	Licensed Representative (Provisional Licence)	1, 2
LIN Weijia	BOP932	Licensed Representative (Provisional Licence)	1, 6
LIN Xiaodong	BOS553	Licensed Representative (Provisional Licence)	1
LIU Anzhe	BOP775	Licensed Representative (Provisional Licence)	6
LIU Bin	BOP951	Licensed Representative (Provisional Licence)	1
LIU Feihua	BOS055	Licensed Representative (Provisional Licence)	1, 6
LIU Jie	BOS505	Licensed Representative (Provisional Licence)	1, 2
LIU Lingda	BOT945	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Xiaowen	BOR663	Licensed Representative (Provisional Licence)	1
LIU Ye	BOQ988	Licensed Representative (Provisional Licence)	1, 6
LIU Yewei	AZM917	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yijun	BOQ791	Licensed Representative (Provisional Licence)	1
LIU Yilu	BOS357	Licensed Representative (Provisional Licence)	1, 6
LIU Zhanpeng	BOR927	Licensed Representative (Provisional Licence)	1, 4, 6
LO Ki Cheung	BIN969	Licensed Representative (Provisional Licence)	6
LO Wai Chun	BOP760	Licensed Representative (Provisional Licence)	1, 4, 9
LOCK Wing To	BOS193	Licensed Representative (Provisional Licence)	2, 5
LOKE Phui Meng Wendy	BOS634	Licensed Representative (Provisional Licence)	1, 4
LU Ertai	BOR368	Licensed Representative (Provisional Licence)	9
LU Siyu	BOQ997	Licensed Representative (Provisional Licence)	1, 6
LU Wei Lun	BOS254	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Yi	BOR941	Licensed Representative (Provisional Licence)	1
LUK Kai Man Victor	BOO790	Licensed Representative (Provisional Licence)	1, 4, 6
LUM Paula	BOJ356	Licensed Representative (Provisional Licence)	1
LUNG Tsz Him	BOR218	Licensed Representative (Provisional Licence)	1, 4
LUO Jiake	BOS021	Licensed Representative (Provisional Licence)	1, 6
LUO Jiaren	BON493	Licensed Representative (Provisional Licence)	1, 4
LUO Jiarong	BOR756	Licensed Representative (Provisional Licence)	4
LUO Jing	BOS477	Licensed Representative (Provisional Licence)	1, 6
LUO Qian	BOS552	Licensed Representative (Provisional Licence)	1
MA Buyun	BOS189	Licensed Representative (Provisional Licence)	4
MA Chi Chung	BOS334	Licensed Representative (Provisional Licence)	1, 2
MA Fung Chiu	AVI659	Licensed Representative (Provisional Licence)	1, 4
MA Ka Man Carmen	BOR009	Licensed Representative (Provisional Licence)	1, 4
MAK Ho Ming	BAJ419	Licensed Representative (Provisional Licence)	4, 9
MAK Kin Bond	BOS652	Licensed Representative (Provisional Licence)	1, 4
MAK Wing Ho	BOR214	Licensed Representative (Provisional Licence)	1, 4
MANKUDE BHASKER RAO Ravi Kiran	ANK084	Licensed Representative (Provisional Licence)	1, 9
MAO Linze	BIB161	Licensed Representative (Provisional Licence)	1, 2, 3
MEDNIKOV Ivan	BOR757	Licensed Representative (Provisional Licence)	1, 2, 7
MEHTA Rahil Rakesh	BOR764	Licensed Representative (Provisional Licence)	1, 4, 9
MICHAELSON Edward David	BOO913	Licensed Representative (Provisional Licence)	9
MODI Harsh Wardhan	BOR210	Licensed Representative (Provisional Licence)	4
MOROTA Toshiharu	BOS374	Licensed Representative (Provisional Licence)	1, 4
MOUNTFORD Nicholas William	BOR486	Licensed Representative (Provisional Licence)	1, 2
NG Yau Chung	BOP626	Licensed Representative (Provisional Licence)	9
NISHIZAWA Arashi	BOR475	Licensed Representative (Provisional Licence)	4
ODA Shohei	BOS946	Licensed Representative (Provisional Licence)	1, 4
OH Jee Seon	BOR658	Licensed Representative (Provisional Licence)	1, 6
OH Kuan Yu	AUI149	Licensed Representative (Provisional Licence)	1, 4, 9
ONO Shingo	BOS033	Licensed Representative (Provisional Licence)	9
OTA Yuma	BOR428	Licensed Representative (Provisional Licence)	1, 4, 6
OUYANG Di	BOT326	Licensed Representative (Provisional Licence)	1, 4
PAN Chenglin	BOS130	Licensed Representative (Provisional Licence)	1
PAN Deng	BOR075	Licensed Representative (Provisional Licence)	1, 6
PAN Jianhui	BOM819	Licensed Representative (Provisional Licence)	1, 4, 6
PAN Rong	BOS508	Licensed Representative (Provisional Licence)	1
PAN Wenqi	BOR702	Licensed Representative (Provisional Licence)	4
PANG Lai Kuen	BOE382	Licensed Representative (Provisional Licence)	1
PANG Ming	BAH389	Licensed Representative (Provisional Licence)	4
PANG Tsz Wai	BOS807	Licensed Representative (Provisional Licence)	1, 4, 9
PARK Guitae	BOQ848	Licensed Representative (Provisional Licence)	1, 6
PASHKOVA Natalia	BOQ040	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
PENG Hanchi	BOJ151	Licensed Representative (Provisional Licence)	1, 4
PENG Shuaijie	BNJ202	Licensed Representative (Provisional Licence)	1
PERIWAL Prashant	BOR331	Licensed Representative (Provisional Licence)	4, 9
PONG Wing In	BOS539	Licensed Representative (Provisional Licence)	9
POON Steven Colin	APL765	Licensed Representative (Provisional Licence)	1, 4
PRAKASH Tapan	AVL496	Licensed Representative (Provisional Licence)	1, 2
QASMI Sajjad Ahmed	BOR270	Licensed Representative (Provisional Licence)	1
QI Xianqing	BOP956	Licensed Representative (Provisional Licence)	1, 6
QIAN Jun	BOM466	Licensed Representative (Provisional Licence)	1
QIN Yi	BOS493	Licensed Representative (Provisional Licence)	1, 6
QIU Xiaolin	BEE257	Licensed Representative (Provisional Licence)	1, 4
QUAH Timothy Luke Lai Hock	BOS528	Licensed Representative (Provisional Licence)	9
QUAIFE Matthew Samuel Heighes	AXX236	Licensed Representative (Provisional Licence)	4, 9
RAVEENDRAN Benoy	BOR070	Licensed Representative (Provisional Licence)	9
SAGE Robert Ian	BOP253	Licensed Representative (Provisional Licence)	4
SEALEY Ian	BOR771	Licensed Representative (Provisional Licence)	4
SEKARIA Gauri	BOR921	Licensed Representative (Provisional Licence)	1, 9
SHAH Amit	BOR097	Licensed Representative (Provisional Licence)	1, 2
SHAN Hui	BOP859	Licensed Representative (Provisional Licence)	1, 4
SHANG Chong	BOR198	Licensed Representative (Provisional Licence)	2, 5
SHAO Jun Ning Wilfred	BOS635	Licensed Representative (Provisional Licence)	4, 9
SHAO Yuqing	BOR975	Licensed Representative (Provisional Licence)	1, 6
SHEN Ao	BOR931	Licensed Representative (Provisional Licence)	1, 4
SHEN Ran	BOT271	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Shuyuan	BOS494	Licensed Representative (Provisional Licence)	1, 6
SHI Dingding	BOS485	Licensed Representative (Provisional Licence)	4
SHI Jianan	ATH351	Licensed Representative (Provisional Licence)	4, 9
SHI Zhongwei	BOQ900	Licensed Representative (Provisional Licence)	1, 2
SHIN Yi-Seul	BOS535	Licensed Representative (Provisional Licence)	1, 4
SHROFF Sammy Patrick	BOD357	Licensed Representative (Provisional Licence)	1
SHU Jian	BOR730	Licensed Representative (Provisional Licence)	1, 4
SHUM Ching Leung	BOS303	Licensed Representative (Provisional Licence)	1, 4, 9
SIT Yiu Fai	BEK739	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SOLLIER Florent Julien Anthony	BOS509	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
SON Benjamin Yong	BOR491	Licensed Representative (Provisional Licence)	1, 4, 6
SONG Haoze	BOR367	Licensed Representative (Provisional Licence)	1, 2, 4, 5
SONG Yanlan	BOT091	Licensed Representative (Provisional Licence)	1, 4, 9
SRIBALASUNDARAM Nathan	BOR792	Licensed Representative (Provisional Licence)	4, 9
SU Xiaoxia	BOI952	Licensed Representative (Provisional Licence)	1
SUN Jiexi	BOR399	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Jingfan	BOS196	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Ximeng	BOQ099	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUNG Tsung Ping	AVD304	Licensed Representative (Provisional Licence)	1, 4, 9
TAI Wing Sum, Winson	AOV063	Licensed Representative (Provisional Licence)	9
TAM Tsz Tsung	BOO635	Licensed Representative (Provisional Licence)	1, 4, 6
TAM Tsz Yeung, Alan	ALI351	Licensed Representative (Provisional Licence)	4, 9
TAN Xinyi	BOS039	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Yue	BOR500	Licensed Representative (Provisional Licence)	4
TANAKA Shun	BOS538	Licensed Representative (Provisional Licence)	1, 4
TANG Chung Kit Adrian	BOS589	Licensed Representative (Provisional Licence)	1
TANG Qiyue	BOQ820	Licensed Representative (Provisional Licence)	9
TANG Tsz Hin	BOS424	Licensed Representative (Provisional Licence)	1
TANG Wenhan	BOQ928	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Yin Chung	BOS674	Licensed Representative (Provisional Licence)	6
TANIGAKI Hiroshi	BON950	Licensed Representative (Provisional Licence)	1, 4, 6
TAO Jiakuan	BOR405	Licensed Representative (Provisional Licence)	1, 4, 9
TEE Xun Chun	BOP272	Licensed Representative (Provisional Licence)	1, 2
TEO Nicholas Haw Yuh	BOQ894	Licensed Representative (Provisional Licence)	1, 6
TEO Yung Keong	BFY076	Licensed Representative (Provisional Licence)	4
TOJO Tatsuya	AY1142	Licensed Representative (Provisional Licence)	9
TONG Bizhan Modarressi	BOR349	Licensed Representative (Provisional Licence)	1, 4, 9
TONG Minlong	BOT267	Licensed Representative (Provisional Licence)	1, 2
TSANG Chi Lok	BOT503	Licensed Representative (Provisional Licence)	1
TSANG Marie Ming Wai	BOP657	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Wai Han Christine	BOR696	Licensed Representative (Provisional Licence)	1, 4
TSANG Wai Lun Felix	BOT130	Licensed Representative (Provisional Licence)	1, 2
TSE Chau Yeung	BOR199	Licensed Representative (Provisional Licence)	1, 4
TSE Hillary Wai Yi	BOQ785	Licensed Representative (Provisional Licence)	1, 2
TSE Kit Ting, Monique	AFF236	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Man Yin, Jason	BOR763	Licensed Representative (Provisional Licence)	1
TSE Ying Kin	AXV656	Licensed Representative (Provisional Licence)	1
TSUI King Wai	BOR215	Licensed Representative (Provisional Licence)	1, 4
TU Yunsheng	BOR643	Licensed Representative (Provisional Licence)	1, 2, 4
USHIJIMA Koh	BOT005	Licensed Representative (Provisional Licence)	9
VIJVERBERG Jacob Cornelis	BOS128	Licensed Representative (Provisional Licence)	1, 4
WAN Chun Yat	BOQ925	Licensed Representative (Provisional Licence)	1, 4, 6
WAN Johnson	AQN189	Licensed Representative (Provisional Licence)	9
WAN Man Yi	BOT070	Licensed Representative (Provisional Licence)	1, 4
WANG Aiyi	BOM643	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Dongchen	AWX611	Licensed Representative (Provisional Licence)	4, 9
WANG Gonghua	BOQ991	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Junbo	BOS359	Licensed Representative (Provisional Licence)	1, 6
WANG Liwen	BOS484	Licensed Representative (Provisional Licence)	4, 9
WANG Po I	BOR976	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Qi	BOS978	Licensed Representative (Provisional Licence)	6
WANG Shasha	BOR137	Licensed Representative (Provisional Licence)	4
WANG Shengchang	BOT249	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Xi	BOR247	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaorui	BOR577	Licensed Representative (Provisional Licence)	1, 4
WANG Xingjie	BIZ041	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Xingyuan	BOS314	Licensed Representative (Provisional Licence)	1, 6
WANG Xiyang	BOQ846	Licensed Representative (Provisional Licence)	1, 6
WANG Yaohui	BOS043	Licensed Representative (Provisional Licence)	1
WANG Yiqi	BOR929	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yiyu	BOR985	Licensed Representative (Provisional Licence)	1, 4
WANG Yufei	BOQ833	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zaiyuan	BOO631	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zhenni	BOP686	Licensed Representative (Provisional Licence)	1, 2
WANG Zhuoran	BOS532	Licensed Representative (Provisional Licence)	9
WEE Derrick Sih-Loong	BOS657	Licensed Representative (Provisional Licence)	1, 4
WEI Ningning	BOR811	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Yuanqi	BON666	Licensed Representative (Provisional Licence)	1, 4, 6
WEN bin	BOK439	Licensed Representative (Provisional Licence)	4
WEN Jiannan	BOT084	Licensed Representative (Provisional Licence)	1
WEN Qiang	BOR190	Licensed Representative (Provisional Licence)	1, 4
WONG Chi Wai	BOR623	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Kin Tung Howard	BOQ943	Licensed Representative (Provisional Licence)	1, 6
WONG Kin Ying	BOS192	Licensed Representative (Provisional Licence)	1, 2
WONG Tsz Wai	BOT090	Licensed Representative (Provisional Licence)	1
WONG Yin Yee	BNM902	Licensed Representative (Provisional Licence)	1
WONG Yong Wen	BOR400	Licensed Representative (Provisional Licence)	1, 4
WONG Yuk Wun	BKA888	Licensed Representative (Provisional Licence)	1
WU Chien-Hsing	BOP623	Licensed Representative (Provisional Licence)	9
WU Qinxuan	BOS816	Licensed Representative (Provisional Licence)	1, 2, 9
WU Rong	BOS912	Licensed Representative (Provisional Licence)	1, 4, 6
WU Yuying	BOQ855	Licensed Representative (Provisional Licence)	1, 6
XIANG Zheng	BOP003	Licensed Representative (Provisional Licence)	1, 4, 6
XIAO Chimin	BOS311	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Di	BFY650	Licensed Representative (Provisional Licence)	1, 6
XIONG Ziyi	BOP927	Licensed Representative (Provisional Licence)	1, 6
XU Fangqing	BOP463	Licensed Representative (Provisional Licence)	1, 4
XU Linglin	BOR138	Licensed Representative (Provisional Licence)	1, 4, 6
XU Menghan	BOS823	Licensed Representative (Provisional Licence)	1, 6
XU Qian	BGQ738	Licensed Representative (Provisional Licence)	9
XU Wentao	BOP661	Licensed Representative (Provisional Licence)	1, 4
XU Yifan	BOQ874	Licensed Representative (Provisional Licence)	2, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Ying	BOR618	Licensed Representative (Provisional Licence)	1, 2, 4
XU Zhichen	BOS280	Licensed Representative (Provisional Licence)	9
XU Zijun	BOP957	Licensed Representative (Provisional Licence)	1, 6
XUAN Jiayi	BOS482	Licensed Representative (Provisional Licence)	4
XUE Yi	BOR244	Licensed Representative (Provisional Licence)	4
YAN Kai	BOK933	Licensed Representative (Provisional Licence)	4, 9
YAN Kaka Kar Wing	BOR739	Licensed Representative (Provisional Licence)	1, 2
YANG Dezhong	BGX441	Licensed Representative (Provisional Licence)	1, 2
YANG Jiaying	BOR671	Licensed Representative (Provisional Licence)	1, 4
YANG Liang	BOT039	Licensed Representative (Provisional Licence)	1, 4
YANG Mengjie	BOO896	Licensed Representative (Provisional Licence)	4
YANG Qing	ARO431	Licensed Representative (Provisional Licence)	1, 6
YANG Zejian	BOT143	Licensed Representative (Provisional Licence)	1, 6
YE Weisa	BOR745	Licensed Representative (Provisional Licence)	1
YEO Kevin Neng Kai	BOR340	Licensed Representative (Provisional Licence)	1, 6
YEUNG Lok Yiu Kelly	BOS246	Licensed Representative (Provisional Licence)	1
YEUNG Man Yuk	BOR894	Licensed Representative (Provisional Licence)	1
YI Jiaqi	BOR639	Licensed Representative (Provisional Licence)	1, 4, 6
YIN Zijia	BOS060	Licensed Representative (Provisional Licence)	1
YIP Brian Yeuk Lam	BOR576	Licensed Representative (Provisional Licence)	1, 6
YIP Ching Hung Paul	BOS222	Licensed Representative (Provisional Licence)	1, 4
YIP Sheung Fung	BOS266	Licensed Representative (Provisional Licence)	4
YIP Wai Fung, Andy	BOS590	Licensed Representative (Provisional Licence)	1, 4, 9
YIU Pui Pui, Maisy	ANU997	Licensed Representative (Provisional Licence)	1
YOU Jiong	BOQ870	Licensed Representative (Provisional Licence)	9
YU An Li	BIT643	Licensed Representative (Provisional Licence)	1, 2, 4
YU Hainan	BOR421	Licensed Representative (Provisional Licence)	4
YU Lu	BOR940	Licensed Representative (Provisional Licence)	1, 2
YU Wenting	BNV558	Licensed Representative (Provisional Licence)	4
YU Wing Ka	AXO694	Licensed Representative (Provisional Licence)	1, 2
YU Yisheng	BOR402	Licensed Representative (Provisional Licence)	1, 4, 6
YU Zhuoer	BOR226	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Hong	ASI356	Licensed Representative (Provisional Licence)	1, 2, 7
YUAN Jiasheng	BOQ845	Licensed Representative (Provisional Licence)	1, 6
YUAN Jiayi	BOP947	Licensed Representative (Provisional Licence)	1, 6
YUAN Xiaodi	BOR657	Licensed Representative (Provisional Licence)	1, 4
YUAN Xinyi	BOS179	Licensed Representative (Provisional Licence)	1, 4, 6
YUEN Wing Hei	BOT087	Licensed Representative (Provisional Licence)	1
YUK Christopher Chak Kwan	BHY517	Licensed Representative (Provisional Licence)	1, 6
ZANG Zheng	BOR945	Licensed Representative (Provisional Licence)	1, 6
ZENG Xing	BOR371	Licensed Representative (Provisional Licence)	1, 4, 6
ZENG Zizhang	BOS824	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Chibin	BOS543	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG Hongyi	BOR370	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jingyi	BOO999	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ke	BOP953	Licensed Representative (Provisional Licence)	1, 6
ZHANG Kexin	BOT103	Licensed Representative (Provisional Licence)	1, 4
ZHANG Ruoyun	BOS360	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shitong	BOQ896	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xichen	BOP231	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xuanyang	BOR407	Licensed Representative (Provisional Licence)	4
ZHANG Zenghao	BOS058	Licensed Representative (Provisional Licence)	1
ZHANG Zezhou	BOP930	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhejia	BOT247	Licensed Representative (Provisional Licence)	1, 6
ZHAO Chenfan	AOS450	Licensed Representative (Provisional Licence)	1, 2
ZHAO Li	BOS054	Licensed Representative (Provisional Licence)	4
ZHEN Hao	BOR969	Licensed Representative (Provisional Licence)	1, 6
ZHENG Chuangkai	BOQ971	Licensed Representative (Provisional Licence)	4
ZHENG Dan	BOR072	Licensed Representative (Provisional Licence)	4, 9
ZHENG Shen	BOS194	Licensed Representative (Provisional Licence)	1, 4, 6
ZHONG Xiaotong	BJM474	Licensed Representative (Provisional Licence)	1
ZHOU Chu Yan	BOR938	Licensed Representative (Provisional Licence)	1, 6
ZHOU Jiawen	BOR735	Licensed Representative (Provisional Licence)	1
ZHOU Mengheng	BOO998	Licensed Representative (Provisional Licence)	4
ZHOU Sijia	BOS500	Licensed Representative (Provisional Licence)	1, 6
ZHOU Weishan	BOT352	Licensed Representative (Provisional Licence)	1, 2, 4
ZHOU Yang	BOR624	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yihan	BOP756	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Jinji	ARG203	Licensed Representative (Provisional Licence)	4
ZHU Liwen	BOU068	Licensed Representative (Provisional Licence)	4
ZHU Shaopeng	BGO816	Licensed Representative (Provisional Licence)	1, 4, 6, 9
ZHU Wenjia	BOQ998	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Shenghao Eugene	BOP949	Licensed Representative (Provisional Licence)	1, 4
AKIE Satera	BOP403	Licensed Representative (Temporary Licence)	1, 2
ALT Benjamin Jakob	BOP591	Licensed Representative (Temporary Licence)	1
ANDERSON Daniel Peter	BOJ183	Licensed Representative (Temporary Licence)	1
ARCURI Timothy Michael	BOT150	Licensed Representative (Temporary Licence)	4
ARGENT Matthew James	BOT625	Licensed Representative (Temporary Licence)	1, 4
BAE Christopher Thomas	AQI792	Licensed Representative (Temporary Licence)	1, 4
BARBETTA Brian Elliott	BOU072	Licensed Representative (Temporary Licence)	1, 4
BICHER III George Anthony	BOH353	Licensed Representative (Temporary Licence)	1, 4
CAMPOS DIAZ Adrian Giancarlo	BHD137	Licensed Representative (Temporary Licence)	1
CHAN Chi Yu	BOT867	Licensed Representative (Temporary Licence)	4
CHEN Timothy C K	BEC091	Licensed Representative (Temporary Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Wei	BOL386	Licensed Representative (Temporary Licence)	1
CHEN Wei	BOL386	Licensed Representative (Temporary Licence)	1
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHIDGEY Kieren Joseph	BJX129	Licensed Representative (Temporary Licence)	4
CHINNERY Michelle Anne	ARD431	Licensed Representative (Temporary Licence)	1
COLLINGE Edward James	BNV253	Licensed Representative (Temporary Licence)	1
CORK Jenna Louise	AVS375	Licensed Representative (Temporary Licence)	1
CORNELL Emily Lawless	BOJ674	Licensed Representative (Temporary Licence)	1
COWAN James Charles Strathy	BIB406	Licensed Representative (Temporary Licence)	1
CURTIS Jonathan Tourtellotte	BHP706	Licensed Representative (Temporary Licence)	4
DADABHOY Jamshed Rustom	BFK188	Licensed Representative (Temporary Licence)	4
DAI Wei	BOL570	Licensed Representative (Temporary Licence)	1
DANES Paul Alan	BOR071	Licensed Representative (Temporary Licence)	1
DARMONO Permadawani	BJH031	Licensed Representative (Temporary Licence)	4
DUNGER Matthew John	BFF148	Licensed Representative (Temporary Licence)	4
DUNNETT Brian David	BBH893	Licensed Representative (Temporary Licence)	1
EBERLE JR Christopher George	BNV341	Licensed Representative (Temporary Licence)	4
ELLIS James William Edward	AWP670	Licensed Representative (Temporary Licence)	4
FAASEE Ihesan Ruzli	BOR882	Licensed Representative (Temporary Licence)	1, 4
FAUCHER Sebastien	BOU093	Licensed Representative (Temporary Licence)	1
FAY Mark Simon	BOT074	Licensed Representative (Temporary Licence)	1
FAY Sharon Ellen	AMC652	Licensed Representative (Temporary Licence)	4
FIORITO Tyler James	BOR754	Licensed Representative (Temporary Licence)	1
FITZSIMONS Hugh William	BOS942	Licensed Representative (Temporary Licence)	1
FU Yunjie, Desmond	BNC600	Licensed Representative (Temporary Licence)	1
GILLESPIE Samuel Frederick	BOR389	Licensed Representative (Temporary Licence)	1
GLUCH David Charles	BOS219	Licensed Representative (Temporary Licence)	1, 4
HALES Simon Lynsay	BNS362	Licensed Representative (Temporary Licence)	4
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HARGIS Kent W	BAG066	Licensed Representative (Temporary Licence)	4
HODULIK John Christopher	AUQ991	Licensed Representative (Temporary Licence)	4
HOGGIN Christopher Edward George	AWC337	Licensed Representative (Temporary Licence)	4
HSU Chen-Chih	BEE281	Licensed Representative (Temporary Licence)	4
HUANG Jinzheng Corwin	BJC167	Licensed Representative (Temporary Licence)	1, 4
IONOV Sergey	BNX026	Licensed Representative (Temporary Licence)	1, 2
JUENGER Todd Michael	BHM363	Licensed Representative (Temporary Licence)	4
JUNG Chungkoo Eugene	AWP250	Licensed Representative (Temporary Licence)	4
KABACINSKI John Zechariah	BOU058	Licensed Representative (Temporary Licence)	1
KANG Daniel	BIF088	Licensed Representative (Temporary Licence)	4
KAPTEIJN Arie Jacobus	BIV026	Licensed Representative (Temporary Licence)	4
KELLEY Mark Patrick	BNV339	Licensed Representative (Temporary Licence)	4
KHURANA Jaiparan Singh	BOS250	Licensed Representative (Temporary Licence)	1, 4, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
KILLORAN Matthew David	BOA795	Licensed Representative (Temporary Licence)	1
KIM Byung Soo	BOU743	Licensed Representative (Temporary Licence)	1, 4
KIM Joel	AIL394	Licensed Representative (Temporary Licence)	1
KIM Jonghyuk	BNN482	Licensed Representative (Temporary Licence)	1, 2
KINOSHITA Yoshiyuki	BBL047	Licensed Representative (Temporary Licence)	4
KIRK Christopher George	BIG449	Licensed Representative (Temporary Licence)	1, 4
KOH Youngjun	BNJ133	Licensed Representative (Temporary Licence)	1, 4
KOO Bonil	BBE974	Licensed Representative (Temporary Licence)	1
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
KWOK Francis Pui-Cheun	AUD012	Licensed Representative (Temporary Licence)	1
LALAU Adrien, Noel, Dominique, Marie	BOS224	Licensed Representative (Temporary Licence)	4
LAM Khoa Nguyen	BOT129	Licensed Representative (Temporary Licence)	1, 2
LANDRUM Lee Robert	BOG624	Licensed Representative (Temporary Licence)	1, 4
LEE Charles Changsun	BEJ598	Licensed Representative (Temporary Licence)	1
LESMANA Yulius	BHG072	Licensed Representative (Temporary Licence)	1, 2
LIU Wenshu	BOB128	Licensed Representative (Temporary Licence)	4
LOEWY Daniel Jay	BEC626	Licensed Representative (Temporary Licence)	4
LORD James Kenji	BAX712	Licensed Representative (Temporary Licence)	1, 4, 5
LOWE Jennifer Alexandra	BEI188	Licensed Representative (Temporary Licence)	4
LUENGNARUEMITCHAI Pipat	BOB967	Licensed Representative (Temporary Licence)	4
MA Zhihan	BOR310	Licensed Representative (Temporary Licence)	4
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MALHOTRA Rahul	BOR217	Licensed Representative (Temporary Licence)	4
MARAVANYIKA Edward Treasure Obert	BOT431	Licensed Representative (Temporary Licence)	4
MAREELS Carlo	BIO137	Licensed Representative (Temporary Licence)	1
MAYNARD Ian Robert	BGR018	Licensed Representative (Temporary Licence)	1, 2
MAZZUCATO Federica	BNH371	Licensed Representative (Temporary Licence)	2
MCDONALD Mark James	BOU477	Licensed Representative (Temporary Licence)	1, 4
MERRITT Mathew	BOS855	Licensed Representative (Temporary Licence)	1, 4
NAITO Takayuki	BMJ733	Licensed Representative (Temporary Licence)	4
NAKAMURA Takahiro	BOS343	Licensed Representative (Temporary Licence)	1
NAYLOR-LEYLAND Edward Frederick	BLG378	Licensed Representative (Temporary Licence)	1
OKA Marie	BOT958	Licensed Representative (Temporary Licence)	1
PAYNE Nicholas John	BOT299	Licensed Representative (Temporary Licence)	1
PETERS Phillip William	BOT079	Licensed Representative (Temporary Licence)	1
PHELPS Jacob Thomas	AVD354	Licensed Representative (Temporary Licence)	1, 2
PHRAWPHRAIKUL Charti	BGX420	Licensed Representative (Temporary Licence)	4
PRUNRATANAMALA Kasem	BMW395	Licensed Representative (Temporary Licence)	4
PURCELL Mark Douglas	BOT069	Licensed Representative (Temporary Licence)	1, 4
RASTOMJEE Cyrus Russi	ATS154	Licensed Representative (Temporary Licence)	1
RAVI Ephrem	AVL880	Licensed Representative (Temporary Licence)	4
READ Christopher James	BOS255	Licensed Representative (Temporary Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
RICHARDS Christopher Harry	BMV611	Licensed Representative (Temporary Licence)	1
RISINGER David Reed	BOU042	Licensed Representative (Temporary Licence)	1, 4
ROSENBERG Heather	BOT654	Licensed Representative (Temporary Licence)	1
ROSS Mackenzie Louis Mortimer	BOT066	Licensed Representative (Temporary Licence)	1
SAFFAYE George Christopher	BNM174	Licensed Representative (Temporary Licence)	1, 4
SATCHCROFT Anirudha Krishni	BOT647	Licensed Representative (Temporary Licence)	1
SCHAI Flavia Katharina	BOU206	Licensed Representative (Temporary Licence)	1
SCHEDLICH Christopher Mumui Russell	BOS337	Licensed Representative (Temporary Licence)	1
SCOTT Andrew Geoffrey	BBE397	Licensed Representative (Temporary Licence)	1, 4
SHAPIRO Glenn Kromer	BLX089	Licensed Representative (Temporary Licence)	1, 4
SHIN Joong Sub	BOT638	Licensed Representative (Temporary Licence)	1
SHORT Jonathan David	BOU497	Licensed Representative (Temporary Licence)	1
SILVERMAN Alexander Charles	BOS158	Licensed Representative (Temporary Licence)	1, 2
SMITH Grant Albert	BNJ560	Licensed Representative (Temporary Licence)	1
SONG Ting-Wen	APH123	Licensed Representative (Temporary Licence)	1
STANLEY Martin Stephen William	BNJ094	Licensed Representative (Temporary Licence)	1
STANSBURY Nicholas Irvin	BOS765	Licensed Representative (Temporary Licence)	1
STRYCULA Steven Allan	BOT338	Licensed Representative (Temporary Licence)	4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TECSON Sarah Ann Javinez	BOT986	Licensed Representative (Temporary Licence)	1
TECSON STANIEL JAVINEZ	BOR080	Licensed Representative (Temporary Licence)	1
TEEGER Sam Thomas	BHK845	Licensed Representative (Temporary Licence)	4
TEO Annabelle Chin-Ying	BJY744	Licensed Representative (Temporary Licence)	10
TSANG Siu Hin, Gary	BJG568	Licensed Representative (Temporary Licence)	4
TUCKER Mark Andrew	BOC945	Licensed Representative (Temporary Licence)	1
ULRICH Christian Klemenz	BJR980	Licensed Representative (Temporary Licence)	1, 4
WIERSMA Taeke Auke	BMW264	Licensed Representative (Temporary Licence)	1
WILLIS Richard Andrew	BOR881	Licensed Representative (Temporary Licence)	1
WONG Chok Heng David Michael	BOT692	Licensed Representative (Temporary Licence)	4
WONG Ee Kay Geoffrey	BFZ518	Licensed Representative (Temporary Licence)	1, 4
WOOLNOUGH Richard John	BNB155	Licensed Representative (Temporary Licence)	1
WU Mingge	BOT874	Licensed Representative (Temporary Licence)	4
YADAV Prashant	BKC039	Licensed Representative (Temporary Licence)	1
YANG Ta-Wei	BOT093	Licensed Representative (Temporary Licence)	1
YIN Xinch	BOT875	Licensed Representative (Temporary Licence)	4
ZELLER Hubert Leon Maurice	BJL212	Licensed Representative (Temporary Licence)	1, 4
ZHANG Weixuan	BNT218	Licensed Representative (Temporary Licence)	4
ZHU Lili	BOT688	Licensed Representative (Temporary Licence)	1
ZUBRINICH Kieran John	ANQ331	Licensed Representative (Temporary Licence)	1