

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
A1 Capital Partners Limited	BII666	Licensed Corporation	1, 4, 6
AXA China Region Investment Services Limited	AAI067	Licensed Corporation	1
Grand Fortune Allied Company Limited	BLI355	Licensed Corporation	1
Herald Energy Capital (HK) Limited	BHF145	Licensed Corporation	9
ODDO HONG KONG LIMITED	BML622	Licensed Corporation	1
Rosefinch Global Asset Management (HK) Limited	BEV003	Licensed Corporation	9
Star Magnolia Capital (Hong Kong) Limited	BEC311	Licensed Corporation	4, 9
Willis Towers Watson Securities (Hong Kong) Limited	BED830	Licensed Corporation	1, 6
ADEL Rebecca Sophia	BNC851	Licensed Representative	1
AHMED Suhail Saleem	ALW867	Licensed Representative	1
AKAO Reiko	BNY358	Licensed Representative	1, 4
ALBY Eric Olivier	AMS244	Licensed Representative	1, 2
ASKALANY Nermin	BIS597	Licensed Representative	1, 4
BALASUBRAMANIAM Kartik	BNC821	Licensed Representative	1
BIAN Difei	BNS305	Licensed Representative	4
BLATTER Jerome	AZR991	Licensed Representative	1, 2, 7
BLOM Andries Cornelis	AVV334	Licensed Representative	1, 2
BRENNAN JR Thomas Michael	ALS353	Licensed Representative	1, 4, 9
BRODIE-BROWN Michael Denton	AWW272	Licensed Representative	1
BUNGEY Matthew James	BMW340	Licensed Representative	1, 6
CAI Hanfei	BBY650	Licensed Representative	1
CAI Hua	BHZ819	Licensed Representative	4, 9
CAI Yuxiang	BOF908	Licensed Representative	1, 4, 6
CAMPBELL Scott Maurice	BMV760	Licensed Representative	1, 4
CHAN Chi Fung	BJK233	Licensed Representative	1, 2
CHAN Edmund Yik Man	AUM705	Licensed Representative	1
CHAN Hiu Kuen	BLR565	Licensed Representative	1
CHAN Ho Yan, Sabrina	BEA787	Licensed Representative	9
CHAN Ka Hoo	BMV911	Licensed Representative	6
CHAN King Hong	BHU900	Licensed Representative	1, 2
CHAN Kwok Wing	AAN850	Licensed Representative	4
CHAN Lap Kwan	AXD536	Licensed Representative	1, 2, 4
CHAN Lok Hei	BDF468	Licensed Representative	6
CHAN Man Chiu, Adrian	AGM627	Licensed Representative	1
CHAN Man Wai	BIX486	Licensed Representative	1
CHAN Sze Chi	BBF243	Licensed Representative	1
CHAN Wai Loi	AJA380	Licensed Representative	1
CHAN Wai Ping	BBO884	Licensed Representative	1, 4, 9
CHAN Yau Hin	AVY759	Licensed Representative	6
CHAN Yee No	BMX575	Licensed Representative	9
CHAN Yeuk Man	AZB520	Licensed Representative	1
CHANG Chun Ming, Jeffrey	BDZ172	Licensed Representative	1, 2
CHANG Ta-chi, Cathy	ACH584	Licensed Representative	1, 4
CHAU Ching Ha	APF193	Licensed Representative	1, 4, 9
CHAU Yi Yeung	BOS649	Licensed Representative	1, 4, 6
CHEN Bing Ming	AVL921	Licensed Representative	1, 2
CHEN Chia-Jung	BKI613	Licensed Representative	1, 6
CHEN Jiahua	BGE946	Licensed Representative	1, 4
CHEN Ka Wai	AOG225	Licensed Representative	1
CHEN Lichen	BFM831	Licensed Representative	6
CHEN Lihan	BNJ668	Licensed Representative	1, 4
CHEN Tian	BNJ130	Licensed Representative	1, 4
CHEN Wei	AWS993	Licensed Representative	1, 2, 4, 5
CHEN Xiaoyi	BGW561	Licensed Representative	1, 4
CHEN Yi Ru	ALP979	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHENG Siu Ling	AYQ268	Licensed Representative	1
CHENG Siu Ting, Andrew	APC755	Licensed Representative	1, 2
CHENG Teky	AGZ625	Licensed Representative	1, 6
CHEONG Wee Yee	ALW474	Licensed Representative	9
CHEUNG Chung Eu, Cindy	AJE681	Licensed Representative	9
CHEUNG Hing Man, Angela	BGX093	Licensed Representative	1, 6
CHEUNG Ho Lun	AOJ329	Licensed Representative	1
CHEUNG Ka Hang	AUW248	Licensed Representative	1, 2, 4, 5
CHEUNG Kam Yan	BMX120	Licensed Representative	6
CHEUNG Pang Lu	BBR449	Licensed Representative	1
CHEUNG Wai Fan	BLG134	Licensed Representative	1, 4
CHIM Hau Wai Evian	AYQ270	Licensed Representative	1
CHIN Brian Fong	BJL659	Licensed Representative	9
CHIU Chi Kam, Kenneth	BHX079	Licensed Representative	1
CHIU Lok Kwan	AVH029	Licensed Representative	4
CHO Jung Hyung	APA430	Licensed Representative	4, 9
CHOI Vanessa Wai Lam	BLZ377	Licensed Representative	9
CHOI Young Geun	BIJ478	Licensed Representative	1, 4, 6
CHONG Ngai Man	AZY195	Licensed Representative	1
CHOW Chun Yu Leeds	BLL841	Licensed Representative	1, 6
CHOW Hin Ching	AWC081	Licensed Representative	1
CHOW Ming Kit	BMX591	Licensed Representative	1
CHOW Yee Ping Patrick	BHS489	Licensed Representative	1, 6
CHOY Chun Yin	BJL662	Licensed Representative	1
CHU Chi Ching, Edward	ARQ769	Licensed Representative	1, 4, 9
CHU Sai Hang	BBW687	Licensed Representative	1, 2
CHUA Chun Kit Frankie	BCJ569	Licensed Representative	1, 2
CHUNG Cheuk Wun Virginia	BNU964	Licensed Representative	4
CHUNG Hang Man	BGH966	Licensed Representative	4, 9
COLES Sarah Azra	BGT082	Licensed Representative	4, 9
CONNELLY Martin Trevor	BDD178	Licensed Representative	9
DAGA Gaurav	AZJ671	Licensed Representative	1, 6
DAI Qijia	AQA190	Licensed Representative	1, 4
DAI Yaxin	BJH480	Licensed Representative	10
DING Yuqian	AVD302	Licensed Representative	1, 4
DINH Nhu Thi Thuy	BLO435	Licensed Representative	1, 2
DO Kevin	BMF389	Licensed Representative	1
DONG Yanyi	BDJ829	Licensed Representative	1, 4
DU Yuwei	BIH329	Licensed Representative	1, 2
FAN Chik Chuen, Daniel	AAJ753	Licensed Representative	1, 4
FANG Jianfeng	BNV382	Licensed Representative	4, 9
FENG Rong	BDI572	Licensed Representative	1, 4, 6
FENG Yutong	BOF258	Licensed Representative	4
FITZGERALD Paul Gavin	AOB567	Licensed Representative	1, 2, 7
FLAMENT Antonin Guy Gerard	BEB773	Licensed Representative	1, 2
FONG Ying Ching	AXO784	Licensed Representative	1
FONG Yuk Wai	AZV761	Licensed Representative	4, 9
FU Shuet Wa	BBJ321	Licensed Representative	1
FUNG Ching Cheung	APB284	Licensed Representative	1, 2
FUNG Vincent Hector	BNW864	Licensed Representative	1
FUNG Yu Keung	BLB503	Licensed Representative	1, 2
GADBOIS IV Richard Arthur	AYV764	Licensed Representative	1, 2
GAN Tian	BDW566	Licensed Representative	1, 2
GAO Ting	AMW750	Licensed Representative	4
GENG Beijia	BIB699	Licensed Representative	1, 2, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GENG Cong	AWT803	Licensed Representative	1, 4
GOYAL Priyal	BNS046	Licensed Representative	1, 2
GU Yujia	BGN078	Licensed Representative	1, 6
GUENEAU Victor Marie Alain Stanislas	AYE808	Licensed Representative	1, 2
GUERIRI Walid	BGE243	Licensed Representative	1, 2, 4, 5
GUILLEMINOT-SIMON Bastien Pierre	BKS045	Licensed Representative	1, 2
GUILLO Mathieu	ASL541	Licensed Representative	1, 2
GUPTA Abhishek	AQX042	Licensed Representative	1
HADIAN Hazwan	BEE235	Licensed Representative	6
HE Tian	BNR765	Licensed Representative	4, 9
HO Chi Hon	AAE086	Licensed Representative	1
HO Fu Wing	BIY647	Licensed Representative	4, 9
HO Ho	BJC947	Licensed Representative	9
HO Lok Shan	BJP426	Licensed Representative	4, 6
HSIAO Yu Wen	BLR562	Licensed Representative	9
HSIEH Chris	AEU791	Licensed Representative	1, 6
HU Jing	AZT560	Licensed Representative	4, 9
HUANG Lidi	BEN425	Licensed Representative	9
HUANG Xiaolong	BNF398	Licensed Representative	1
HUANG Xinyi	BNV279	Licensed Representative	1, 6
HUANG Yongyan	BJM118	Licensed Representative	4, 9
HUANG Yuyun	BET642	Licensed Representative	1, 4
HUI Chi Shing	BMH499	Licensed Representative	1
HUI Ming Chu	BGH807	Licensed Representative	1, 2
HUI On Ki	AVS799	Licensed Representative	4, 9
HUI Yuen Man Jacinta	AXD284	Licensed Representative	1, 2, 7
HUNG Wing Yin	BMZ640	Licensed Representative	1, 4, 5, 9
HWANG Sung Taek	AYQ226	Licensed Representative	1, 4
IVANOVSKA Violeta Dimitrova	BOG079	Licensed Representative	1
JAGANNATHAN Badri Ramanujan	BGI774	Licensed Representative	1, 2
JAIN Ashish	AQT168	Licensed Representative	1
JAIN Sanjay	AUW893	Licensed Representative	4
JENA Jayashree	BOT292	Licensed Representative	1, 4, 9
JIANG Shan	BND308	Licensed Representative	1, 4, 6
JIANG Xiaonan	BOP324	Licensed Representative	1, 4
JIANG Yue	APL459	Licensed Representative	2
JIN Hui	BDD867	Licensed Representative	9
JOHNSON Jason Keith	AGO216	Licensed Representative	1, 6
KAM Hiu Man	BDL106	Licensed Representative	1, 4
KE Hai	BNS313	Licensed Representative	1, 2, 9
KEITH Robert Michael	BIZ889	Licensed Representative	1, 2
KIKUCHI Tomomi	BLL273	Licensed Representative	1, 4
KIM Doyoung	BMG163	Licensed Representative	1, 2
KITAHARA Yoshitaka	BKY302	Licensed Representative	1
KONG Fanjiao	BHY395	Licensed Representative	1, 4, 9
KUI Siu Yi	AOQ777	Licensed Representative	4, 9
KUNG Wai Tim	BJL813	Licensed Representative	1, 4
KUO Li-I	BGI935	Licensed Representative	1, 2, 4, 5
KUO Tzu Chi	BJC939	Licensed Representative	9
KWOK Ho Yin	BFA974	Licensed Representative	1, 4, 6
KWOK Ka Wo	BNN289	Licensed Representative	1, 2
KWOK Tin Pui	BDQ196	Licensed Representative	1, 9
LACOTTE Hugues Marie Joseph Philippe	BEX656	Licensed Representative	1, 2, 7
LAI Fuk Che	AFJ285	Licensed Representative	1
LAI Man Tak	ABP667	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	License Type	Types of Regulated Activity
LAI Sheng Tang	BJA023	Licensed Representative	9
LAI Yuen Yung, Elita	AYW932	Licensed Representative	5
LAM Ka Wai	ARL775	Licensed Representative	1
LAM Kit Man	BDP644	Licensed Representative	1, 2
LAM Kwok Wai	BOF116	Licensed Representative	1
LARSEN Steffen Kolbe	AWP884	Licensed Representative	1, 4, 7
LAU Chee Fung, Daniel	AHE252	Licensed Representative	1
LAU Ho Yan	ARG595	Licensed Representative	1, 2, 9
LAU Man Fong Asther	ARB810	Licensed Representative	1
LAU Ngar Yee, Funnie	BGM564	Licensed Representative	1
LAU Pui Ching	AAD745	Licensed Representative	1
LAU So On	ARQ251	Licensed Representative	9
LAU Wai Kei, Ricky	AMF971	Licensed Representative	1, 4
LEE Chi Man	AZK676	Licensed Representative	1
LEE Frank I-J	AJL237	Licensed Representative	1
LEE Jaehun	BON487	Licensed Representative	1, 4, 9
LEE Katherine Kar Pui	AME183	Licensed Representative	1
LEE Miu Fan	AMZ208	Licensed Representative	1
LEE Shuk Ying, Nancy	AAI586	Licensed Representative	4, 9
LEE Wai	BAT601	Licensed Representative	1, 2
LEE Wing Hong	AYK329	Licensed Representative	1
LEE Yi Wah	BCU771	Licensed Representative	1
LEI Cuiwen	BKW179	Licensed Representative	1, 2, 4
LEUNG Chi Yung	BGE648	Licensed Representative	4, 9
LEUNG Chun Chit, Adrian	BKF951	Licensed Representative	1, 2
LEUNG Kar Cheung, Catherine	AGN568	Licensed Representative	1, 4, 9
LEUNG Kwan Ho	ALV227	Licensed Representative	1
LI Ang	AWU662	Licensed Representative	1, 2
LI Anthea	BMW149	Licensed Representative	9
LI Chun May	BLO854	Licensed Representative	1
LI Erhe	BOH048	Licensed Representative	1, 9
LI Hui	AYY608	Licensed Representative	1, 2
LI Kangning	BNP418	Licensed Representative	9
LI Meng	BOP307	Licensed Representative	1, 9
LI Qing	BFU968	Licensed Representative	1, 4, 6
LI Shangjun	BIW784	Licensed Representative	4
LI Wai Kong	ADU318	Licensed Representative	1, 2, 4, 5
LI Waner	BHF726	Licensed Representative	1, 4, 6
LI Xiao	AOH927	Licensed Representative	1, 4, 9
LI Xueqian	BKA509	Licensed Representative	1, 6
LI Yuancai	BGR314	Licensed Representative	1
LI Zhiqing	BNG475	Licensed Representative	1, 4
LI Zhiying	BJD149	Licensed Representative	1, 6
LI Ziyue	BNO562	Licensed Representative	1, 4
LIANG Jinming	BLK923	Licensed Representative	1, 4, 6
LIEW Ivy	ADM064	Licensed Representative	1
LIN Daxin	BJL237	Licensed Representative	1, 4, 6
LIN Dezhi	BNR198	Licensed Representative	3
LIN Shanru	BFI279	Licensed Representative	1
LIN Yanling	BCB853	Licensed Representative	4, 5, 9
LIN Yen Yu	BJH129	Licensed Representative	1, 4, 6
LING Chien-Chih	AYC987	Licensed Representative	1, 6
LING Sai Cheung	ALC002	Licensed Representative	1
LIU Hanhua	AUW243	Licensed Representative	1, 4, 6
LIU Pok Man	BLL172	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Qiushi	BGY395	Licensed Representative	1, 4, 6
LIU Steven	BEZ294	Licensed Representative	9
LIU Xiaoping	AFS694	Licensed Representative	4
LIU Yanfei	BDR763	Licensed Representative	1, 4, 6
LO Chun Mui	BFR273	Licensed Representative	1
LO Edmund Thomas Ming Yue	AFU144	Licensed Representative	1, 2, 9
LO Pao-Chi	APL954	Licensed Representative	2, 9
LO Tsam Yi Sammie	BOL707	Licensed Representative	1, 4
LO Wai Nam	BAE557	Licensed Representative	1, 4, 9
LO Yi Wa, Eva	BAT888	Licensed Representative	1, 2, 3
LO Yin Ping	BFG802	Licensed Representative	1, 4, 6
LOK Man Kit	BOF165	Licensed Representative	1
LU Chia Chi	BNC455	Licensed Representative	1, 4, 9
LUO Junyao	BGB505	Licensed Representative	1
LUO Sha	BNL483	Licensed Representative	1, 4, 6
LUO Siqun	BJC964	Licensed Representative	1
MA Congyi	BMM389	Licensed Representative	1, 2, 4
MA Kai Sun, Caesar	AAA632	Licensed Representative	1, 2, 3
MA Tak Sze, Doris	AFI656	Licensed Representative	1, 4
MAI Xiaomin	BOG763	Licensed Representative	1, 4
MAK Calista Chung Yan	BHP902	Licensed Representative	1
MAR Jonathan Haoyan	BNT178	Licensed Representative	4, 9
MATSUURA Hisao	AMH267	Licensed Representative	4
MIURA Naoya	BOF203	Licensed Representative	4
MO Yu Fung, Billy	BNS757	Licensed Representative	4, 9
MUI Man Ki	AZC299	Licensed Representative	1, 9
NARULA Rajat	BFA823	Licensed Representative	1, 4
NG Chi Hung	BOJ714	Licensed Representative	1, 2
NG Kwan Ching	AXB969	Licensed Representative	1
NG Siu Yeung	BEI570	Licensed Representative	1
NG Tak Chiu	AFW517	Licensed Representative	1
NGAN Yuen Kwan	AQN835	Licensed Representative	1
NIETSCH Eric Clark	BIA055	Licensed Representative	10
O'SULLIVAN Dara Constance	BOS842	Licensed Representative	3
PAN Xuan	BBX342	Licensed Representative	3
PANDEY Abhinav	BHF977	Licensed Representative	1, 2
PANITCHPAKDI Prinn	APH254	Licensed Representative	1
PARK Woo Yeung	BER768	Licensed Representative	1, 2, 4
PARK Yona	BNP415	Licensed Representative	1, 4
PENG Xue	BLP964	Licensed Representative	4
PHAN Thu Anh	BDL004	Licensed Representative	1, 4
POOLE William Edward	BHS760	Licensed Representative	1, 2
PUN Man Ki, Michael	AHX753	Licensed Representative	1
QIAN Chao	BMI037	Licensed Representative	1, 4, 6
QIAN Runmin	BNW937	Licensed Representative	1, 4
QIU Ye	BIA044	Licensed Representative	1, 6
RHODES Graham Frederick	AYB040	Licensed Representative	9
ROBERTS John James	AQF225	Licensed Representative	1, 2
RUST Steven Leonard	BEL336	Licensed Representative	1, 4, 9
SALKOVIC Robert	BLX130	Licensed Representative	1
SALL Thibault	BFD679	Licensed Representative	1, 2, 7
SANTOSH KAMAT Karthik	BNN560	Licensed Representative	1, 2
SCHIEFEN Hans-Gunter	ADS447	Licensed Representative	1, 4, 9
SHARMA Tanmay Kumar	BMR055	Licensed Representative	4
SHE Chiu Yin, Duncan	AAJ218	Licensed Representative	1, 2, 4, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHIU Susan Woon Ying	AUA244	Licensed Representative	1, 4
SHU Yue	BLI072	Licensed Representative	1
SHUM Alan Yuk Fai	BGJ398	Licensed Representative	1, 2
SIU Laam	AIX755	Licensed Representative	1
SKOPOVI Ivan	BIL676	Licensed Representative	9
SO Kwok Kwong, Daniel	AEE383	Licensed Representative	1, 2, 3
SO Pui Yin	APO857	Licensed Representative	1, 2
SONG Hubin	BDJ828	Licensed Representative	1, 4
SONG Minjie	AYT409	Licensed Representative	1, 4, 6
SU Rongxia	ATB921	Licensed Representative	1, 4
SUNG Yee Man	AFS977	Licensed Representative	1, 4
TAM Wing Sze, Vincy	BHQ262	Licensed Representative	3
TAN Qi Yao	BOP442	Licensed Representative	1, 4
TAN Wei Jie, Ivan	BKP360	Licensed Representative	1, 2
TANG Kwun Chung	AAW467	Licensed Representative	1
TANG Sing Lung	BMW207	Licensed Representative	4, 9
TANG Wai	BDJ826	Licensed Representative	1, 4
TANG Xiaolei	AYQ823	Licensed Representative	6
TANG Yee Yuk, Alex	ABH696	Licensed Representative	1, 2
TAO Cheng	BIE657	Licensed Representative	2, 5
TAY SHARON LIN LI	BMA248	Licensed Representative	1, 4
THEAGESAN Sumita	BNO593	Licensed Representative	1, 4
THEULIER Jean Yves Lucien	BMX519	Licensed Representative	1, 6
THORBORG Oliver	BMI355	Licensed Representative	1, 4
TIAN Runjuan	AXY255	Licensed Representative	1, 6
TIAN Yue	BNR242	Licensed Representative	1, 4
TIRUMALAI SUDERSHAN Sunil	AWZ814	Licensed Representative	4
TONG Shaojing	AQY871	Licensed Representative	4
TONG U Shan, Arita	BHR199	Licensed Representative	1, 4
TSANG Chor Man	BJJ206	Licensed Representative	1, 2, 4, 5
TSE Chun Wah	AXD561	Licensed Representative	1
TSE Kai Ming	BOF347	Licensed Representative	1, 2
TSE Lai Chuk	AAA600	Licensed Representative	1, 4
TSOI Hung Loi	AQF008	Licensed Representative	1, 4
TU Yung Cheng	BJD975	Licensed Representative	9
TWOMEY Matthew Luke	BAX815	Licensed Representative	1
UEHARA Shiro	BLL278	Licensed Representative	1, 4, 6
VINCENT David Robert John	BOU046	Licensed Representative	4
VUILLEMIN Pierre Michel Cesar	BLF081	Licensed Representative	1, 2
WAI Jeremy	BGH362	Licensed Representative	1, 6
WAI Kin Pong, Brian	BCU775	Licensed Representative	1
WAN Ling	AYE796	Licensed Representative	1
WAN Yee Ching Eugenia	BHY085	Licensed Representative	4
WANG Chenye	AYA832	Licensed Representative	1, 4, 6
WANG Chun Wai	BNU046	Licensed Representative	1, 4, 9
WANG Feng	BLI071	Licensed Representative	1, 2
WANG Liang	BOT094	Licensed Representative	1, 6
WANG Qian	BBL983	Licensed Representative	1, 2, 4
WANG Ruolei	BKA494	Licensed Representative	1, 6
WANG Shengchang	BOT249	Licensed Representative	1, 4, 9
WANG Songzhou	BGD163	Licensed Representative	1
WANG Xiao	AVD829	Licensed Representative	1, 4, 9
WANG Xuegang	BMD008	Licensed Representative	1
WANG Yu	BFN158	Licensed Representative	1
WANG Yufei	BAA531	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Yuning	BNH429	Licensed Representative	1, 2, 4
WEI Stephen J	AGW283	Licensed Representative	4
WILLIAMS Christopher James	ATE599	Licensed Representative	1
WONG Angus Chak Ki	BOB946	Licensed Representative	4
WONG Hon Sum	AOY966	Licensed Representative	6
WONG Ka Wai	ADG410	Licensed Representative	1
WONG Kam Fai	BFS886	Licensed Representative	1, 4, 9
WONG Kei Yiu	BAM964	Licensed Representative	2, 9
WONG King Wa	AFV552	Licensed Representative	1
WONG Man Yee, Paula	AQE956	Licensed Representative	1, 2
WONG Mei Fung	AFZ945	Licensed Representative	1, 2
WONG Mei Wah	AOM575	Licensed Representative	1, 2
WONG Ping Lam	ABG076	Licensed Representative	1
WONG Sau Ling	ARB819	Licensed Representative	1
WONG Shuk Han	ADC878	Licensed Representative	1, 2, 4
WONG Wing Man	AAB282	Licensed Representative	1
WONG Yan Yan, Jathy	BCU797	Licensed Representative	1
WONG Yee Shan	BNF823	Licensed Representative	9
WONG Ying Man	AZR572	Licensed Representative	1
WONG Yuen Ting	APH012	Licensed Representative	1
WRIGHT Benjamin Frederick	BCV663	Licensed Representative	1, 2
WU Cheng Han	BKA887	Licensed Representative	1, 4
WU Deyi	BFX833	Licensed Representative	1, 4, 9
WU Ming Yuen	ABN484	Licensed Representative	1
WYATT Andrew William	BMV420	Licensed Representative	1, 2, 4, 5
XIA Dongyu	BGL486	Licensed Representative	1, 6
XIA Luying	BEE582	Licensed Representative	1, 4, 6
XING Xing	BIO683	Licensed Representative	1, 4, 9
XU Li	BHX573	Licensed Representative	1, 6
XU Yiqiong	BKG065	Licensed Representative	1, 4
XUE Hansu	BJV336	Licensed Representative	4, 9
YAMAUCHI Kenji	AXV543	Licensed Representative	1, 2
YAN Yui Ham	BMX954	Licensed Representative	1, 6
YANG Chaozhong	BOB378	Licensed Representative	4, 9
YANG Shu-Wei	BNB885	Licensed Representative	4
YANG Xue	BJR080	Licensed Representative	1, 9
YAO Xue	AWK470	Licensed Representative	4
YAP Chin Yee	AFR721	Licensed Representative	9
YAU Shun Bun, Timothy	BID682	Licensed Representative	1
YE Dazheng	BNP400	Licensed Representative	1, 6
YE Steven Qing	BKX165	Licensed Representative	1, 4
YE Yile	BOD968	Licensed Representative	1
YEAP Kay Wee	BKY092	Licensed Representative	6
YEE Kar Seng	AVJ477	Licensed Representative	1, 2
YEH Ming Ming	BGA349	Licensed Representative	1
YEK Stephen Teng Ming	BCU327	Licensed Representative	2, 5
YEUNG Hon Shan	BMO315	Licensed Representative	1, 2, 4
YEUNG Ming Yue Victor	BKV247	Licensed Representative	1, 4
YEUNG Tat Lun	AXM862	Licensed Representative	1
YEUNG Tsz Wai, Angelina	BJQ590	Licensed Representative	6
YIN Hanzhang	BFX694	Licensed Representative	5
YIN Jitong	BHU278	Licensed Representative	1, 6
YING Sinan	BFE496	Licensed Representative	1, 4
YIP Chau Yeung	BHU462	Licensed Representative	1, 6
YIP Yuen Ching Shirley	BLV197	Licensed Representative	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	License Type	Types of Regulated Activity
YIU Oi Kan, Kenny	ANU952	Licensed Representative	1, 4, 6
YOSHIOKA Takahiro	BOD267	Licensed Representative	9
YOU Yang	BJB904	Licensed Representative	4
YU Hsiao-Tsui	AXG205	Licensed Representative	9
YU Pui Pui	AFU498	Licensed Representative	1
YU Yiqi	BAL416	Licensed Representative	1, 4, 6
YUE Wai Keung, Jeff	ADZ046	Licensed Representative	1, 2
YUEN Ka Fung	BLK135	Licensed Representative	1, 4
YUEN Kin Hang	AQT297	Licensed Representative	1
YUEN Sau Lin	AYQ274	Licensed Representative	1
ZAI Mer Ching	AAC078	Licensed Representative	1
ZENG Li	BKG164	Licensed Representative	4
ZENG Zhujun	ATQ773	Licensed Representative	10
ZHANG Gongbo	BGW414	Licensed Representative	1, 4, 6
ZHANG Jing	BMV322	Licensed Representative	1, 4, 9
ZHANG Patrick Zehua	BMH465	Licensed Representative	1, 6
ZHANG Shiyang	BMX616	Licensed Representative	1, 6
ZHANG Tianyu	BNX135	Licensed Representative	1, 9
ZHANG Yuqi	BMT412	Licensed Representative	1, 6
ZHENG Jiantao	BLK421	Licensed Representative	3
ZHENG Lang	BOF945	Licensed Representative	1
ZHENG Yufeng	BMX457	Licensed Representative	1
ZHOU Huan	BNL587	Licensed Representative	1
ZHOU Jiayi	BKQ452	Licensed Representative	1, 4, 6
ZHOU Wenwen	BAB026	Licensed Representative	1
ZOU Qihan	BNP172	Licensed Representative	6
BANSON Eric Laurea	ASX744	Licensed Representative - Responsible Officer	1, 4, 9
BARNETT Matthew John	AEU344	Licensed Representative - Responsible Officer	9
BAUR Darin Daniel	AQO190	Licensed Representative - Responsible Officer	1, 4, 6
BIRD Nicholas James	AXT520	Licensed Representative - Responsible Officer	1, 4, 9
CHABOUREAU Stephane Alain Dominique	ASW645	Licensed Representative - Responsible Officer	1
CHAK Wai Wing	AIX144	Licensed Representative - Responsible Officer	4, 9
CHAN Edmund Yik Man	AUM705	Licensed Representative - Responsible Officer	6
CHAN Yee Mun, Mary	ABF801	Licensed Representative - Responsible Officer	1
CHEN Geng	AOY114	Licensed Representative - Responsible Officer	9
CHEUNG Bik Hoi	ACQ141	Licensed Representative - Responsible Officer	4
CHEONG Wee Yee	ALW474	Licensed Representative - Responsible Officer	1, 4
CHEUNG Chi Ko Abdon	ATM272	Licensed Representative - Responsible Officer	1, 4
CHEUNG Hung Fat	AAA157	Licensed Representative - Responsible Officer	9
CHEUNG Wing Kuen	BCO021	Licensed Representative - Responsible Officer	1
CHING Ah Chye	AAG308	Licensed Representative - Responsible Officer	1, 2, 4, 5
CHUNG Yi To	ADQ004	Licensed Representative - Responsible Officer	1, 4
DA Yang	BBZ357	Licensed Representative - Responsible Officer	1, 4, 9
FISHER Jocelyn Howard	AFB130	Licensed Representative - Responsible Officer	4
FUNG Kwok Hoi	AAB835	Licensed Representative - Responsible Officer	1
GUO Yi Xi	AFB192	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
HEIDT Thorsten	AZS159	Licensed Representative - Responsible Officer	1
HO Lok Shan	BJP426	Licensed Representative - Responsible Officer	1
HSU Tony Dan	BEL627	Licensed Representative - Responsible Officer	4, 9
HUNG Lok Ming, Gary	ADY288	Licensed Representative - Responsible Officer	9
HUNG Siu Woon, Pauline	AJJ497	Licensed Representative - Responsible Officer	1, 4
KOTO Hisanori	ANS125	Licensed Representative - Responsible Officer	1, 2
KOUBINI Mohamed	BJY142	Licensed Representative - Responsible Officer	1, 4
KWAN Chi Chiu	AAG124	Licensed Representative - Responsible Officer	1
LAM Ka Wai	ARL775	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Tsz Cheung	AGF836	Licensed Representative - Responsible Officer	1, 4
LAU Siu Fai	ADA388	Licensed Representative - Responsible Officer	1, 4, 9
LEE Mathew Shih Hao	AMN727	Licensed Representative - Responsible Officer	4, 9
LEE Wilhem	ABR798	Licensed Representative - Responsible Officer	6
LI Mee Sum, Ann	ABE912	Licensed Representative - Responsible Officer	6
LIU Xin	BFZ385	Licensed Representative - Responsible Officer	4, 9
LO Hang Fong	ADR758	Licensed Representative - Responsible Officer	6
LO Pao-Chi	APL954	Licensed Representative - Responsible Officer	1
LOK Pui Yi	BCI866	Licensed Representative - Responsible Officer	4, 9
MA Hin Lap	BGH888	Licensed Representative - Responsible Officer	1
MARIANO Timothy Charles	AHC188	Licensed Representative - Responsible Officer	1, 7
NG Yin Tsang	AAF139	Licensed Representative - Responsible Officer	1, 4
NOH David Hyo Joon	AFQ682	Licensed Representative - Responsible Officer	9
OUYANG Xiaomei	AEC092	Licensed Representative - Responsible Officer	1, 4, 9
ROBERTS John James	AQF225	Licensed Representative - Responsible Officer	4, 5
SHIU Kin Ming, Paul	AOV858	Licensed Representative - Responsible Officer	4, 9
SIM Glory	AEM720	Licensed Representative - Responsible Officer	4
STORK Ryan David	BDO752	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
TANG Wai Kee	ACG544	Licensed Representative - Responsible Officer	1, 9
TANG Yee Yuk, Alex	ABH696	Licensed Representative - Responsible Officer	4
TINKER Mark Andrew	BBT883	Licensed Representative - Responsible Officer	1, 2, 4, 9
WANG Li-Jen	AVH868	Licensed Representative - Responsible Officer	4, 9
WILBERT II Randall Edwin	AXL207	Licensed Representative - Responsible Officer	4, 6, 9
WONG Chung Ling	AAA801	Licensed Representative - Responsible Officer	1
WONG Kei Yiu	BAM964	Licensed Representative - Responsible Officer	1, 4
XIAO Long	BHF480	Licensed Representative - Responsible Officer	2
YAMADA Kiyoshi	BJK435	Licensed Representative - Responsible Officer	1, 2, 4
YEH Wei-Kuo	ALQ531	Licensed Representative - Responsible Officer	1, 4
YEO Wee Yang	AVI927	Licensed Representative - Responsible Officer	9
YIP Tai Yuen	AAG994	Licensed Representative - Responsible Officer	9
YIU Oi Kan, Kenny	ANU952	Licensed Representative - Responsible Officer	9
ZHANG Sean	AMB133	Licensed Representative - Responsible Officer	3
AHMAD Mateen	BOT988	Licensed Representative (Provisional Licence)	1
AU Wing Yi	BPA070	Licensed Representative (Provisional Licence)	1, 2, 9
BAI Ge	BOW956	Licensed Representative (Provisional Licence)	4
BI Xinyu	BOW748	Licensed Representative (Provisional Licence)	1
BING Tongtong	BOX141	Licensed Representative (Provisional Licence)	1, 4, 9
BOUCHOT Samuel Yves Jean	BOX012	Licensed Representative (Provisional Licence)	1, 4
BREDICEANU Minhea Dan Petru	BOX114	Licensed Representative (Provisional Licence)	9
CAI Jiadian	BPB198	Licensed Representative (Provisional Licence)	1, 6
CHAN Cheung Kam	ASZ085	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAN Ka Wai	AGF175	Licensed Representative (Provisional Licence)	1
CHAN Tiffany Joice	BOW445	Licensed Representative (Provisional Licence)	6
CHAN Wai Lin	AGM412	Licensed Representative (Provisional Licence)	1
CHANG Ximeng	BOZ318	Licensed Representative (Provisional Licence)	1, 6
CHEE Daryl Tak-fung	BOZ567	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Carmen	BOW536	Licensed Representative (Provisional Licence)	1
CHEN Hang	BOX536	Licensed Representative (Provisional Licence)	4
CHEN Hsiao-wei	BPA136	Licensed Representative (Provisional Licence)	1, 2
CHEN Jan	BPB344	Licensed Representative (Provisional Licence)	1, 6
CHEN Jing	BOW955	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Hong Cheuk	BOZ236	Licensed Representative (Provisional Licence)	1, 6
CHENG Zhaomin	BOZ955	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Kwun Chin	BOW570	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Shuk Ping	BOW545	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Wing Tim	BCK618	Licensed Representative (Provisional Licence)	4
CHEUNG Yim Yeung	AEQ239	Licensed Representative (Provisional Licence)	1
CHIOU Michael	BJI587	Licensed Representative (Provisional Licence)	9
CHIU Christopher Ho Kwan	BPA226	Licensed Representative (Provisional Licence)	1, 4, 9
CHOU Chih Yung	BOZ618	Licensed Representative (Provisional Licence)	4
CHOW Leong Ying Charlotte	BOX248	Licensed Representative (Provisional Licence)	6
CHUA Si Ming Gordon	BPA519	Licensed Representative (Provisional Licence)	1
CHUNG Wai Sze, Grace	AEQ447	Licensed Representative (Provisional Licence)	4, 9
COBO PIEKENBROCK Alejandro	BOZ411	Licensed Representative (Provisional Licence)	1, 2, 7
COTTINGTON Jordan Maxwell	BPB762	Licensed Representative (Provisional Licence)	9
CUI Jiaming	BOU586	Licensed Representative (Provisional Licence)	1, 6
CUI Xinyuan	BOZ996	Licensed Representative (Provisional Licence)	1, 2, 4
CUNNINGHAM Nicholas James	AWY119	Licensed Representative (Provisional Licence)	1, 4
DHAMI Jastej Singh	BOX022	Licensed Representative (Provisional Licence)	2, 5
DOBINSON James	BOZ619	Licensed Representative (Provisional Licence)	1, 2
DU Qingwen	ATB497	Licensed Representative (Provisional Licence)	1, 2
EMAMI NEJAD Ario	BOZ453	Licensed Representative (Provisional Licence)	1
FANG Jiaying	BCD190	Licensed Representative (Provisional Licence)	1
FEIGE Gregor Collins	BOZ832	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Jie	BOW989	Licensed Representative (Provisional Licence)	1, 4, 9
FREEMAN Rachel Marin	BOW915	Licensed Representative (Provisional Licence)	1, 4, 6
FU Kang	BOW571	Licensed Representative (Provisional Licence)	1, 2
FU Weiguo	BOW537	Licensed Representative (Provisional Licence)	4, 9
GAO Xuyang	BOZ651	Licensed Representative (Provisional Licence)	6
GOH Benjamin, Jie Ming	BPD648	Licensed Representative (Provisional Licence)	1, 4, 6
GUAN Si Quan	BNI857	Licensed Representative (Provisional Licence)	9
GUO Yujia	BPA439	Licensed Representative (Provisional Licence)	1, 2
HAO Zhennan	BOZ927	Licensed Representative (Provisional Licence)	1
HAYASHIBARA Yuka	BOZ289	Licensed Representative (Provisional Licence)	4
HE Liu	BII019	Licensed Representative (Provisional Licence)	1
HE Yunfei	ARP954	Licensed Representative (Provisional Licence)	1
HEGARTY Matthew Donal	BON555	Licensed Representative (Provisional Licence)	9
HIROSE MAKOTO	BOZ178	Licensed Representative (Provisional Licence)	1, 4
HO Ting Kai	BPA248	Licensed Representative (Provisional Licence)	1, 4
HO Yin	AOG767	Licensed Representative (Provisional Licence)	1
HOU Ming-Hsiao	AXW841	Licensed Representative (Provisional Licence)	4
HSIAO Chih Fang	BOW957	Licensed Representative (Provisional Licence)	2
HU Jie	BOW927	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Han-Ping	BNU192	Licensed Representative (Provisional Licence)	10
HUANG Jingjing	BDJ463	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Xiren	BOX461	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG yu	BOX115	Licensed Representative (Provisional Licence)	4
HUANG Zijun	BOT613	Licensed Representative (Provisional Licence)	1, 4, 9
HUI Chun Lai Edmund Lister	BPA074	Licensed Representative (Provisional Licence)	1, 4, 9
HUNG Chin Chi	BML862	Licensed Representative (Provisional Licence)	4, 9
HUNG Chun Leong	BDH011	Licensed Representative (Provisional Licence)	3
IP Chung Hin	AGD557	Licensed Representative (Provisional Licence)	1
JIANG Kang	BOZ983	Licensed Representative (Provisional Licence)	9
JIANG Yiru	BPB606	Licensed Representative (Provisional Licence)	1, 6
JOHNSON Matthew Coogan	BBU784	Licensed Representative (Provisional Licence)	4
KAMATA Toru	BOL511	Licensed Representative (Provisional Licence)	1, 4, 6
KILPELAINEN Taavi Kuutti Aapeli	BOZ243	Licensed Representative (Provisional Licence)	1, 6
KIM Han Joon	BPA029	Licensed Representative (Provisional Licence)	4
KIM William Hyongmin	BOW507	Licensed Representative (Provisional Licence)	1, 4
KO Ka Chun	BOZ986	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	License Type	Types of Regulated Activity
KOOPMANS Jean Paul Marie	BOU784	Licensed Representative (Provisional Licence)	4, 9
KOTHARI Viral	BPA217	Licensed Representative (Provisional Licence)	9
KU Sanqi	AZC762	Licensed Representative (Provisional Licence)	1, 4, 9
KULKARNI Vishal Gundopant	BOV381	Licensed Representative (Provisional Licence)	4
KUM Young Woon	BOU200	Licensed Representative (Provisional Licence)	1, 4, 6
KUNG Yuet Mei	BNB411	Licensed Representative (Provisional Licence)	4, 9
KWAN Wang Chi, Beryl	BAD777	Licensed Representative (Provisional Licence)	1, 4, 9
KWAN Yee Ching	BPA374	Licensed Representative (Provisional Licence)	1, 2
KWAN Yuk Fai	BPA270	Licensed Representative (Provisional Licence)	1, 4, 9
KWON Ji Won	BOZ962	Licensed Representative (Provisional Licence)	1
LACZNY Piotr	BPA001	Licensed Representative (Provisional Licence)	1, 7
LALAU Adrien Noel Dominique Marie	BOS224	Licensed Representative (Provisional Licence)	1, 4
LAM Ching Hong	BPA247	Licensed Representative (Provisional Licence)	1, 4
LAM Chun Long	BGL261	Licensed Representative (Provisional Licence)	9
LAM Ka Chun	BOW602	Licensed Representative (Provisional Licence)	1, 4, 9
LAN Weibo	BIA001	Licensed Representative (Provisional Licence)	2, 5
LAU Chun Sing	BOW446	Licensed Representative (Provisional Licence)	4
LAW Sau Fong	ARK831	Licensed Representative (Provisional Licence)	1
LAW Wai Kit	BLP749	Licensed Representative (Provisional Licence)	1
LEE Dong Hyun	BPA213	Licensed Representative (Provisional Licence)	9
LEE Ka Wa	BOZ271	Licensed Representative (Provisional Licence)	1, 2
LEE Wan Siu, Joyce	AGG841	Licensed Representative (Provisional Licence)	1, 4
LEE Yin Hai	BOW982	Licensed Representative (Provisional Licence)	9
LEE Yin Kiu	BOU278	Licensed Representative (Provisional Licence)	1, 4, 9
LEGREZ Paul Vincent Marie	BOW874	Licensed Representative (Provisional Licence)	1, 2, 7
LEUNG Sharon	BPB219	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Yu Tang	BPC725	Licensed Representative (Provisional Licence)	1, 4, 6
LEUNG Yuet Fei	BPA220	Licensed Representative (Provisional Licence)	2
LEUNG Yuk Ying	BOX062	Licensed Representative (Provisional Licence)	4, 9
LEVI David Eric	BOZ251	Licensed Representative (Provisional Licence)	2, 5
LI Chunyang	BOZ924	Licensed Representative (Provisional Licence)	1, 4, 6
LI Denghui	BOZ845	Licensed Representative (Provisional Licence)	1, 4, 6
LI Heng	BEA213	Licensed Representative (Provisional Licence)	4
LI Jiayuan	BOZ224	Licensed Representative (Provisional Licence)	1, 4
LI Kit	AOS495	Licensed Representative (Provisional Licence)	1
LI Linfeng	BOZ834	Licensed Representative (Provisional Licence)	1, 4, 6
LI Longxi	BOW524	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhenchao	BOX465	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhuoyuan	BOU195	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Jianwen	BPA132	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Jiaxin	BPB690	Licensed Representative (Provisional Licence)	9
LIN Langsi	BOZ971	Licensed Representative (Provisional Licence)	1, 9
LIN Xingyang	BOU163	Licensed Representative (Provisional Licence)	1, 4, 9
LING Kin Shun, Anne	ACX120	Licensed Representative (Provisional Licence)	4
LIU Ching Shyang	BOZ990	Licensed Representative (Provisional Licence)	1, 2
LIU Hui	BPA155	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Qingting	BPA456	Licensed Representative (Provisional Licence)	1
LIU Weiwen	BPA182	Licensed Representative (Provisional Licence)	6
LIU Zhaoli	BOZ592	Licensed Representative (Provisional Licence)	2
LO Lap Bond James	BBJ189	Licensed Representative (Provisional Licence)	1, 2, 3
LOK Ka Ying	BOU167	Licensed Representative (Provisional Licence)	1, 4
LU Chia-lin	AWB680	Licensed Representative (Provisional Licence)	4
LU Wanting	BOZ660	Licensed Representative (Provisional Licence)	1, 4, 6
LU Yu	BOZ981	Licensed Representative (Provisional Licence)	9
LU Zhengrong	BPB425	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUKIC Mirko	BPB676	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MA Yi Hing	BOZ819	Licensed Representative (Provisional Licence)	1
MEGAN Sossi Amirian	BOM238	Licensed Representative (Provisional Licence)	1
MENG ZIXIANG	BOT913	Licensed Representative (Provisional Licence)	3
MIU Hoi Kwan	BOI434	Licensed Representative (Provisional Licence)	1, 2, 3
NAKAMURA Masashi	BOZ448	Licensed Representative (Provisional Licence)	1, 4, 6
NARVEKAR Kalpit Manish	BOU780	Licensed Representative (Provisional Licence)	4, 9
NATSUME Takayuki	BOX303	Licensed Representative (Provisional Licence)	9
NAUMANN Holger Wilhelm	BOM081	Licensed Representative (Provisional Licence)	1, 4, 9
NG Chi Cheong, Allen	AIC644	Licensed Representative (Provisional Licence)	4, 9
NGAI Kwok Pan	AWE460	Licensed Representative (Provisional Licence)	1, 2, 3, 4
NORTON-KNIGHT Thomas Andrew	BCS959	Licensed Representative (Provisional Licence)	1, 2
OHKOSHI Takaki	BOZ661	Licensed Representative (Provisional Licence)	9
OHNUKI Duknam	BPA338	Licensed Representative (Provisional Licence)	1, 4
PAL Nikhil Alexander	AVN594	Licensed Representative (Provisional Licence)	1
PANG Yuk Hung	BPB277	Licensed Representative (Provisional Licence)	4
PARK Hong Cheol	BEX842	Licensed Representative (Provisional Licence)	1, 4
PARK Sangkyun	BPA426	Licensed Representative (Provisional Licence)	1, 4, 6
PATEL Jaymin	BOZ978	Licensed Representative (Provisional Licence)	1, 2
PAU Hui Yan	BPA073	Licensed Representative (Provisional Licence)	1
POON Tak Po	BOX138	Licensed Representative (Provisional Licence)	1, 4, 9
PORTER Nicholas Anthony Robin	BOW453	Licensed Representative (Provisional Licence)	9
PRAKASH Sharad	BIR484	Licensed Representative (Provisional Licence)	1, 2
QIAN Qiong	BPA135	Licensed Representative (Provisional Licence)	1
QIAN Yangde	BPB182	Licensed Representative (Provisional Licence)	4
QIN Fen	BGA853	Licensed Representative (Provisional Licence)	1, 4, 6
QUANG Dani Louis Dharma	BOZ918	Licensed Representative (Provisional Licence)	2, 5
REYNOLDS Matthew Michael	BPB852	Licensed Representative (Provisional Licence)	1
SAMSON Ian Alexander	BOZ457	Licensed Representative (Provisional Licence)	4, 9
SEREGIN Arseni Vladimirovich	BOZ643	Licensed Representative (Provisional Licence)	1, 2
SHAM Ka Lok	BER999	Licensed Representative (Provisional Licence)	1, 2, 4, 9
SHENG Jie	BOX017	Licensed Representative (Provisional Licence)	1, 2, 7
SHENG Yi	BOZ227	Licensed Representative (Provisional Licence)	1, 2
SHI Haiyang	BPB346	Licensed Representative (Provisional Licence)	1
SHI Zehua	BOZ842	Licensed Representative (Provisional Licence)	1, 4, 6
SHIN Yeeun	BOU812	Licensed Representative (Provisional Licence)	1, 4, 9
SHING King Wa	BOU782	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Hoi Li, Karie	AFA354	Licensed Representative (Provisional Licence)	1, 4
SIMPSON Patrick Dennis	BOW454	Licensed Representative (Provisional Licence)	1, 7
SO Chi Keung, Jeffrey	AMC258	Licensed Representative (Provisional Licence)	6
SULLIVAN Andrew Michael	AEV843	Licensed Representative (Provisional Licence)	1, 4
SUMMERSALL Thomas Alexander	BOX481	Licensed Representative (Provisional Licence)	2, 5
SUN Hutao	BPA258	Licensed Representative (Provisional Licence)	1, 6
SUN Kehui	BPA568	Licensed Representative (Provisional Licence)	2, 5
SUN Yuanqi	BOW951	Licensed Representative (Provisional Licence)	1, 4
SVIRIN Anton	BOT414	Licensed Representative (Provisional Licence)	1, 2
SY-SIONG Francisco Villapa	BPA234	Licensed Representative (Provisional Licence)	1, 4, 9
TAKAHASHI Kohei	BOZ662	Licensed Representative (Provisional Licence)	9
TAN Jo Hsi Cynthia	BOW437	Licensed Representative (Provisional Licence)	1, 4, 9
TAYLOR Robert James	BOZ928	Licensed Representative (Provisional Licence)	1, 2
TENG Shuwen	BOX140	Licensed Representative (Provisional Licence)	4, 9
TING Ka Shun	BOZ727	Licensed Representative (Provisional Licence)	4
TO Ching	BOW991	Licensed Representative (Provisional Licence)	1, 4, 9
TONINE-DI MEGLIO Alix Marie Victorie	BPA569	Licensed Representative (Provisional Licence)	2, 5
TSANG Tin Chun	BOZ979	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSAO Kuang Chih	BOU151	Licensed Representative (Provisional Licence)	1, 4, 9
TU Chien Liang	BPB286	Licensed Representative (Provisional Licence)	1, 4
VASKO Michael Joseph	BOX084	Licensed Representative (Provisional Licence)	1, 2
VENKATESAN Baskar	AXF926	Licensed Representative (Provisional Licence)	1, 2
WAITE III Norman	AEI859	Licensed Representative (Provisional Licence)	1, 4
WALEED-IRFAN-BHATTI -	BOW012	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Ching Ping	BOU678	Licensed Representative (Provisional Licence)	9
WANG Jiekun	BOZ603	Licensed Representative (Provisional Licence)	1, 4
WANG Jing	BPB507	Licensed Representative (Provisional Licence)	1
WANG Shibo	BOU785	Licensed Representative (Provisional Licence)	4, 9
WANG Songying	BPA547	Licensed Representative (Provisional Licence)	6
WANG Ying	BPB298	Licensed Representative (Provisional Licence)	1, 2
WANG Zhuoran	BAC306	Licensed Representative (Provisional Licence)	1, 4
WONG Cheuk Hin	BOU404	Licensed Representative (Provisional Licence)	3
WONG Eugene	AMH294	Licensed Representative (Provisional Licence)	1, 4
WONG Hoi Yi	BOZ938	Licensed Representative (Provisional Licence)	1, 4
WONG Hong Yin	BOZ926	Licensed Representative (Provisional Licence)	1
WONG Isabella	BOX064	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Jackie Kin Fung	AST362	Licensed Representative (Provisional Licence)	1, 2
WONG Jie Min, Adrian	BPA383	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Pek U	BOZ745	Licensed Representative (Provisional Licence)	1, 4
WONG Shui	BOU818	Licensed Representative (Provisional Licence)	4
WONG Wai Kwan	BOZ993	Licensed Representative (Provisional Licence)	2, 5
WRIGLEY Stuart James	BPB295	Licensed Representative (Provisional Licence)	1, 4
WU Haoye	BPA616	Licensed Representative (Provisional Licence)	4, 9
WU James Hin Nam	BOZ357	Licensed Representative (Provisional Licence)	1, 6
WU Minfeng	BOZ165	Licensed Representative (Provisional Licence)	1, 4
XIA Xiaoting	BHO438	Licensed Representative (Provisional Licence)	1, 2
XIONG Yan	BPA567	Licensed Representative (Provisional Licence)	1
XU Jing	BOW598	Licensed Representative (Provisional Licence)	1
XU Yiyi	BAH694	Licensed Representative (Provisional Licence)	4, 5, 9
YANG Feng	BOT856	Licensed Representative (Provisional Licence)	4
YANG Jin	BOZ177	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Lu	BOZ759	Licensed Representative (Provisional Licence)	4
YANG Mao	BOZ843	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Siqi	BJN135	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Xuemin	BOQ037	Licensed Representative (Provisional Licence)	4, 9
YANG Yiran	BOZ723	Licensed Representative (Provisional Licence)	4
YE Dan	BOZ788	Licensed Representative (Provisional Licence)	1, 2, 4
YE Si Cen	BPB512	Licensed Representative (Provisional Licence)	1
YE Xi	BOZ272	Licensed Representative (Provisional Licence)	1, 4
YEUNG Chui Ying	BOW883	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Kei Chi	BOZ823	Licensed Representative (Provisional Licence)	1, 2
YIM Tsz Kwan	BOW578	Licensed Representative (Provisional Licence)	10
YIONG Hoi San	AGT132	Licensed Representative (Provisional Licence)	4, 9
YOO Jesang	BPA031	Licensed Representative (Provisional Licence)	1, 4, 9
YU Jiang	BOX256	Licensed Representative (Provisional Licence)	1, 4, 6
YU Pak Ho, Nicholas	BOA925	Licensed Representative (Provisional Licence)	9
YU Yiu Kuen Stephen	BOW457	Licensed Representative (Provisional Licence)	1, 4
ZENG Yewen	BOZ821	Licensed Representative (Provisional Licence)	6
ZHAI Xiang	BOZ988	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG David	AFM920	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Hao	ASW837	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Kuo	BNY965	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Peter Sihao	BOC242	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yann	BPA133	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yu Lin	BOZ724	Licensed Representative (Provisional Licence)	1
ZHANG Yumeng	BOU817	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yuwei	BOU266	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Zeyu	BPA360	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Kangxin	BOZ833	Licensed Representative (Provisional Licence)	6
ZHAO Ronghua	BOZ719	Licensed Representative (Provisional Licence)	1
ZHAO Yu Qian, Rachel	AKI199	Licensed Representative (Provisional Licence)	1
ZHEN Boya	BOW935	Licensed Representative (Provisional Licence)	4
ZHENG Hao	BOZ767	Licensed Representative (Provisional Licence)	1, 4
ZHOU Feng	BOX016	Licensed Representative (Provisional Licence)	4, 9
ZHOU Qiuyuan	BPA218	Licensed Representative (Provisional Licence)	6
ZHOU Yiming	BNG180	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Jinglin	BOX480	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Lingbo	BOZ914	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Zhengqiang	BOZ840	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Zhouchen	BOZ931	Licensed Representative (Provisional Licence)	1, 2, 4
ZHUGE Chang	BLJ126	Licensed Representative (Provisional Licence)	1, 4
ZOU Wenjia	BOX305	Licensed Representative (Provisional Licence)	1
ZOU Zhenyu	BOZ583	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZUO Xipeng	BOX135	Licensed Representative (Provisional Licence)	4
ABRAMS Kenneth Lee	BGL283	Licensed Representative (Temporary Licence)	1, 4
ABREU Eduardo Rafael	BPB368	Licensed Representative (Temporary Licence)	1, 4
ADACHI Masamichi	BPC494	Licensed Representative (Temporary Licence)	4
AGARWAL Anand	ASL813	Licensed Representative (Temporary Licence)	1, 2
AGARWAL Rupal	BOJ101	Licensed Representative (Temporary Licence)	4
ALBERS Marie Caroline	BMZ599	Licensed Representative (Temporary Licence)	1
ALSFORD Jessica Ruth	BIJ159	Licensed Representative (Temporary Licence)	1, 4
ANG Sok Han	BLF878	Licensed Representative (Temporary Licence)	1
ANTONELLI Timothy Paschal	BPA257	Licensed Representative (Temporary Licence)	1, 4
BAKARICH Elizabeth	BOZ957	Licensed Representative (Temporary Licence)	4
BARUS Aurelia Amanda	BPC304	Licensed Representative (Temporary Licence)	4
BEASLEY-SUFFOLK James Edward	BOQ854	Licensed Representative (Temporary Licence)	1, 2
CHADHA Dushyant	BIE137	Licensed Representative (Temporary Licence)	1
CHEN Yen-Hung	BOG521	Licensed Representative (Temporary Licence)	1
CHRONERT Scott Thomas	BPB238	Licensed Representative (Temporary Licence)	4
CRONIN Robert	BPD438	Licensed Representative (Temporary Licence)	1, 2
DAVIS Stephanie July	BNS720	Licensed Representative (Temporary Licence)	4
DE BLONAY Guy Charles Desmond	BBF372	Licensed Representative (Temporary Licence)	1
DEWITT Andrew Lowry	BPB938	Licensed Representative (Temporary Licence)	1, 4
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
DIGENAN Thomas	BHD359	Licensed Representative (Temporary Licence)	1, 4
DUTTON Charles Keith Hawkins	AEM367	Licensed Representative (Temporary Licence)	4
ELMS David John	BOZ376	Licensed Representative (Temporary Licence)	1, 4
FARINA Boris Carlo	BOX339	Licensed Representative (Temporary Licence)	1, 2
FAUCETTE James Eugene	BNU992	Licensed Representative (Temporary Licence)	1, 4
FEDELI Fabiana	BMC997	Licensed Representative (Temporary Licence)	1
FENG Kaiyun	BKO099	Licensed Representative (Temporary Licence)	1
FERRI William Joseph	BGI780	Licensed Representative (Temporary Licence)	1, 4
FORD Alexander William	BIN326	Licensed Representative (Temporary Licence)	1, 2
GAGNON Philippe	BNT930	Licensed Representative (Temporary Licence)	1, 2
GAIGALAS Tomas Adolfas	BPB567	Licensed Representative (Temporary Licence)	1
GALLO Laurence	BOW579	Licensed Representative (Temporary Licence)	1, 4
GRIJNS Andrew Arnold	BAM236	Licensed Representative (Temporary Licence)	1
GUERRINI Gerard Alix	BNP243	Licensed Representative (Temporary Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUEZOUR Abdallah	BOZ768	Licensed Representative (Temporary Licence)	1
GUPTA Shivang	BHY492	Licensed Representative (Temporary Licence)	1, 2
GYGER Isabel Marie	BPC433	Licensed Representative (Temporary Licence)	1
HARNED Douglas Stuart	AWE188	Licensed Representative (Temporary Licence)	4
HARRISON Lyanne Marie Leung	BNZ874	Licensed Representative (Temporary Licence)	4
HATCH Jonathan George	AZH060	Licensed Representative (Temporary Licence)	4
HAU Stuart	BBT270	Licensed Representative (Temporary Licence)	1, 2
HEELAN Benjamin Michael	BIN836	Licensed Representative (Temporary Licence)	4
HOLLERN Sean Timothy	BPB543	Licensed Representative (Temporary Licence)	1, 2
HOPKINS Joseph Alexander	BOA205	Licensed Representative (Temporary Licence)	1, 4, 5
HORNBACH Matthew Thomas	AWZ985	Licensed Representative (Temporary Licence)	1, 4, 5
HUBERTY Kathryn Lynn	BAE422	Licensed Representative (Temporary Licence)	1, 4
HUNG Chi-Tang	AYQ579	Licensed Representative (Temporary Licence)	1
HUNT Graham Alexander	BPC275	Licensed Representative (Temporary Licence)	1, 4
ISAAC Gareth Dafydd Paul	BLB673	Licensed Representative (Temporary Licence)	1, 4
ISRAELSSON Anders David	BML371	Licensed Representative (Temporary Licence)	1, 2
IVANOVIC Aleksandar	BLE528	Licensed Representative (Temporary Licence)	1, 4
JAIN Aditya	BNQ984	Licensed Representative (Temporary Licence)	4
JOHNSON Brent	BPD477	Licensed Representative (Temporary Licence)	1
JOSEPH Arvin Sabu	BLP059	Licensed Representative (Temporary Licence)	1
KAJIYAMA Yohei	BOP676	Licensed Representative (Temporary Licence)	1
KAJIYAMA Yohei	BOP676	Licensed Representative (Temporary Licence)	1
KANG Sangin	BNK662	Licensed Representative (Temporary Licence)	1
KANNOURAKIS Joshua Charles	BMT559	Licensed Representative (Temporary Licence)	4
KAWASAKI Satsuki	BJE606	Licensed Representative (Temporary Licence)	4
KAZANTSEV Andrey	BPA610	Licensed Representative (Temporary Licence)	1, 2
KENNEDY Elise Alexandra	BIL555	Licensed Representative (Temporary Licence)	1, 4
KENNEDY Gregory Joseph	BPB831	Licensed Representative (Temporary Licence)	1, 4
KENNEDY Kenneth Patrick	BNU445	Licensed Representative (Temporary Licence)	1, 2
KOK Wai Fai	BJQ184	Licensed Representative (Temporary Licence)	4
KOOIJ Reijer Jan	BBQ185	Licensed Representative (Temporary Licence)	1, 4
KRAMM Nils Alexander	BDX207	Licensed Representative (Temporary Licence)	4
KUPLANT Christopher	BEX637	Licensed Representative (Temporary Licence)	4
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAGRELLETTE TOURNIER Eve Anne-Celine	BPA134	Licensed Representative (Temporary Licence)	1, 4
LALWANI Rajeev	BLO976	Licensed Representative (Temporary Licence)	1, 4
LARMURIER Xavier Michel	BPD772	Licensed Representative (Temporary Licence)	1
LAUGHLIN Justin John	AWV278	Licensed Representative (Temporary Licence)	2, 5
LEAHY Timothy James	AWB788	Licensed Representative (Temporary Licence)	1
LENVALSKAIA Uliana	BKZ557	Licensed Representative (Temporary Licence)	4
LEW Hyun Tai	BNH351	Licensed Representative (Temporary Licence)	1
LIN Li-Chun	BIG303	Licensed Representative (Temporary Licence)	4
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MACDONALD Robert Cumming	ACF190	Licensed Representative (Temporary Licence)	1
MANOONPOL Alex	BOS797	Licensed Representative (Temporary Licence)	4
MATSUMOTO Tatsuma	BPB709	Licensed Representative (Temporary Licence)	1, 2
MCCARTHY Sean Joseph	AWI446	Licensed Representative (Temporary Licence)	1
MCDOUGALL Tara Michelle	BFE629	Licensed Representative (Temporary Licence)	1
MCNAMARA Michael Paul	BND495	Licensed Representative (Temporary Licence)	1
MITCHELL Jason Steven	BNU302	Licensed Representative (Temporary Licence)	4
MOSELAAR Jan Sijtze	BHH524	Licensed Representative (Temporary Licence)	1, 4
MUKHIJA Tanuj	BJA905	Licensed Representative (Temporary Licence)	4
NAHMANOVICI David Jeremie	BOZ254	Licensed Representative (Temporary Licence)	2, 5
NAKATOMI Ryosuke	BKV309	Licensed Representative (Temporary Licence)	1
NAYAR Viswanath	BDP262	Licensed Representative (Temporary Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2019

CE Name	CE No.	Licence Type	Types of Regulated Activity
NELLIS Simon George	BNT163	Licensed Representative (Temporary Licence)	4
PARIKH Rishit	BPC123	Licensed Representative (Temporary Licence)	4
PARK Jinman	BPB576	Licensed Representative (Temporary Licence)	1
PATRICIA -	BNS270	Licensed Representative (Temporary Licence)	4
PING Jie	BPA393	Licensed Representative (Temporary Licence)	4
PITTAWAY Nigel Clive	BEM323	Licensed Representative (Temporary Licence)	4
PONT Nicholas James	BOZ956	Licensed Representative (Temporary Licence)	1
POOLE Gregory Hauston	BIW523	Licensed Representative (Temporary Licence)	1
PUSZ Zuzanna Barbara	BPC493	Licensed Representative (Temporary Licence)	4
REDEKER Hans Gunter Wilhelm	BFF385	Licensed Representative (Temporary Licence)	1, 4, 5
REINSBERG John Robert	BGI312	Licensed Representative (Temporary Licence)	1, 4
SAMTANI Namita	BPC539	Licensed Representative (Temporary Licence)	4
SANTORE Christopher Richard	BPB828	Licensed Representative (Temporary Licence)	1, 4
SCARAFIA Christian	BMS366	Licensed Representative (Temporary Licence)	10
SCHOLTES Cedric Marie Tommy	BPA523	Licensed Representative (Temporary Licence)	4
SHANKER Ravi	BFM570	Licensed Representative (Temporary Licence)	1, 4
SHEETS Andrew Paterson	BDC115	Licensed Representative (Temporary Licence)	1, 4, 5
SHUKLA Manish Bhupendra	BKJ049	Licensed Representative (Temporary Licence)	4
SKOGLUND Jeffrey Scott	AYY641	Licensed Representative (Temporary Licence)	4
SPROULES Brendan Allan	BGO985	Licensed Representative (Temporary Licence)	4
STANLEY Charles Edward	BPB218	Licensed Representative (Temporary Licence)	1, 4
SUTANDI Kartika	BGP463	Licensed Representative (Temporary Licence)	1
SWAN Dominic Noel	AUQ036	Licensed Representative (Temporary Licence)	1
TAYLOR Matthew Charles	BPC340	Licensed Representative (Temporary Licence)	4
TAYLOR Richard James	BOT769	Licensed Representative (Temporary Licence)	1, 4
TEASDEL Joel Hamish Gregory John	BOL757	Licensed Representative (Temporary Licence)	1
TOMIYASU Hiroki	BOR724	Licensed Representative (Temporary Licence)	1, 2
WADEWITZ Thomas Richard	BGL493	Licensed Representative (Temporary Licence)	4
WALLACE Nathalie	BPB374	Licensed Representative (Temporary Licence)	1, 4, 5
WARD Peter Gerard	BNP036	Licensed Representative (Temporary Licence)	2, 5
WASSERSTROM Eric	BPC466	Licensed Representative (Temporary Licence)	4
WEE Wilfred Zhi Zhong	BIL934	Licensed Representative (Temporary Licence)	4
WELLS Justin Derek	BGK594	Licensed Representative (Temporary Licence)	1
WILLS Darren Edward	BLH178	Licensed Representative (Temporary Licence)	1, 4
WILSON Philipp Andrew	BHB791	Licensed Representative (Temporary Licence)	1
WONG Ee Kay Geoffrey	BFZ518	Licensed Representative (Temporary Licence)	1, 4
XIE Dan	BHT121	Licensed Representative (Temporary Licence)	1
YANG Yongsheng	BOS483	Licensed Representative (Temporary Licence)	4
YOUNG WORTH Michael	BOX434	Licensed Representative (Temporary Licence)	4
YU Jiaqi	BOS486	Licensed Representative (Temporary Licence)	4
YUNG-HOK Peter Stephen	BDL617	Licensed Representative (Temporary Licence)	2, 5