

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION	S DUKING U	5/2020 T	
CE Name	CE No.	Licence Type	Types of Regulated Activity
Altitude Principle Advisory Limited	AWR509	Licensed Corporation	9
Aspen Capital Management (HK) Limited	BLH620	Licensed Corporation	9
Blooming Securities Limited	BNM922	Licensed Corporation	1
China AF Corporate Finance Limited	BGI768	Licensed Corporation	6
China Innovation Capital Limited	BDI700	Licensed Corporation	1, 4, 9
China Securities Holdings Limited	ABE233	Licensed Corporation	1
China Tian Yuan Commodities and Futures Limited	BJI173	Licensed Corporation	2
City Financial Investment Company (Hong Kong) Limited	BCF696	Licensed Corporation	9
Deepwater Capital Limited	BET681	Licensed Corporation	4, 9
F.E. Corporate Finance Advisory Limited	AYC994	Licensed Corporation	6
Oneday Corporate Finance Limited	BND285	Licensed Corporation	6
Voltex Asia Capital Limited	AZQ728	Licensed Corporation	9
Wealthy Global Financial Asset Management Limited	BIG473	Licensed Corporation	4, 9
AGRAWAL Vishal	BFZ078	Licensed Representative	9
ARDON Jean-Denis Etienne	AZY381	Licensed Representative	1, 2, 4, 5
ARNAUDO Claire	BCG507	Licensed Representative	1, 2
AU Cheuk Yan	AAC676	Licensed Representative	1
AU Ho Lun	ATS067	Licensed Representative	1, 9
AU Man Kuen	AOV369	Licensed Representative	1
BAO Yili	BOA506	Licensed Representative	4, 9
BIAN Huan	BGY961	Licensed Representative	9
BOON Ah Hit	AAF001	-	1
		Licensed Representative	•
BROWN Martyn Roger	ALJ768	Licensed Representative	1, 2
CAI Feng	AOM736	Licensed Representative	9
CHAI Albert Mei Khoon	BBK320	Licensed Representative	1, 4, 5, 9
CHAI Christine Hua	BCK713	Licensed Representative	2, 5
CHAN Che Yin, Jeanny	ASL988	Licensed Representative	1, 4
CHAN Ernest	BOF190	Licensed Representative	2, 5
CHAN Ka Hing	AFZ625	Licensed Representative	4
CHAN Ka Ming	BPB672	Licensed Representative	1
CHAN Ka Ning	BAL217	Licensed Representative	4, 9
CHAN Ka Wai	AOS339	Licensed Representative	1
CHAN Kwok Wai, Spencer	AEF253	Licensed Representative	1
CHAN Min Sheng	BOR258	Licensed Representative	4
CHAN Shut Sang	BIH308	Licensed Representative	1, 2
CHAN Wing Yiu	BNR194	Licensed Representative	1, 2
CHAN Wun	AAB396	Licensed Representative	1
CHAN Yat Wai	BIS579	Licensed Representative	1, 6
CHAN Yip Chu	BOA792	Licensed Representative	4, 9
CHAN Yuk King	ACQ204	Licensed Representative	1, 9
CHAN Zhen Wei	BGH259	Licensed Representative	1, 2, 9
CHAU Man Ting	AQN540	Licensed Representative	1
CHEN Cheng	BLC897	Licensed Representative	9
CHEN Jianfang	BEK767	Licensed Representative	9
CHEN Jiehan	AYS572	Licensed Representative	1, 2
CHEN Qiming	AYN332	Licensed Representative	1, 4, 9
CHEN Sheng	BKH355	Licensed Representative	1, 6
CHEN Xi	AZT130	Licensed Representative	1, 4, 6
CHEN Yang	BOD220	Licensed Representative	1
	BOL227	-	·
CHENG Bingzhe		Licensed Representative	1, 2
CHENG Kai Him	AFB349	Licensed Representative	1, 2
CHENG Kai Him	BBP547	Licensed Representative	1, 2
CHENG Man Tat, Tacky	AIC943	Licensed Representative	1, 2, 4, 5, 9
CHENG Wilson	BAI857	Licensed Representative	1, 4, 9
CHENG Xi	BJK874	Licensed Representative	1, 6
CHENG Yu Yan, Jacqueline	BDL548	Licensed Representative	1



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS	S DURING U	5/2020	
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Hoi Li	BNU993	Licensed Representative	1, 2, 4, 9
CHEUNG Ka Wai	BNJ807	Licensed Representative	1, 2
CHEUNG Man Hung	ABM683	Licensed Representative	1
CHEUNG Yan Yin	BNF992	Licensed Representative	1, 6
CHING Yuk Shan, Maggie	AGC976	Licensed Representative	1, 2, 4, 5
CHOW Chak Shing	AGG820	Licensed Representative	1, 4
CHUI Suk Yin, Carolyn	AAK271	Licensed Representative	9
CHUNG Chi Kin, Kenneth	ARB660	Licensed Representative	1, 4, 9
CHUNG Chor Kiu, Janice	BHY506	Licensed Representative	1, 6
CHUNG Kam Cheung	ADC258	Licensed Representative	1
CLEMENTSON Christian James	ANE031	Licensed Representative	1
CLENDENNY Ryan O'Donnell	APF224	Licensed Representative	1, 2
CUBBON Nicholas William	AYT705	Licensed Representative	9
DAI Chennan	BNR108	Licensed Representative	4
DASH Kaushik	APK998	Licensed Representative	1, 2
DAUREL Thomas	BOO147	Licensed Representative	9
DE VILLELE Benoit Marie Patrice Andre	ARJ464	Licensed Representative	1, 4
DOH Eunuk	BMK467	Licensed Representative	1, 6
DONG Yuan		'	
	AVK624	Licensed Representative	1, 2
DU Yiping	BOA881	Licensed Representative	4, 9
DUBUISSON Boris Bruno Michel	BKW512	Licensed Representative	9
FAN Zijun	BMJ408	Licensed Representative	9
FENG Wei	BCK590	Licensed Representative	1, 4
FONG Cheuk Man	ACQ172	Licensed Representative	1
FU Rulin	BOO629	Licensed Representative	1
FUNG Kong Kiu	AAD685	Licensed Representative	1
FUNG Wai Choi, Francis	AAD880	Licensed Representative	1
GAN Man Yi	BOK897	Licensed Representative	1, 2, 4, 5
GE Yao	BDY307	Licensed Representative	1, 2, 4, 9
GHOSH Tvishampati	APL279	Licensed Representative	9
GILL Harvinder Preet Singh	BLL847	Licensed Representative	1, 2
GU Huanhao	BNR192	Licensed Representative	1, 2, 4
GUO Qiang	AYW017	Licensed Representative	4, 9
HAM Tae Gyu	BOF166	Licensed Representative	1, 4
HAN Biao	BGJ101	Licensed Representative	4, 9
HAN Chia Lin	AGG699	Licensed Representative	1, 4, 9
HAN Kunlun	BOU083	Licensed Representative	1, 4, 6
HARKER Christopher Paul	AZR414	Licensed Representative	6
HAUNG Yi Chun	AAA191	Licensed Representative	1
HE Jinchang	BEC496	Licensed Representative	1, 4, 9
HE Linwei	BNP105	Licensed Representative	1, 4, 9
HE Mei	AVJ148	Licensed Representative	9
HE Qizhen	BMR100	Licensed Representative	1, 2
HEUNG Sau Chak	ATT583	Licensed Representative	1
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HEWITT David James	APG723	Licensed Representative	4
HO Chun Kuen, Ronald	BMN226	Licensed Representative	9
HO King Yan, Lilian	BHZ137	Licensed Representative	1
HO Ting Lok	BNN775	Licensed Representative	1, 2, 4, 5
HO Wai Tung Nellie	BIT010	Licensed Representative	1, 6
HUANG Kanglin	BGL116	Licensed Representative	1, 2, 9
HUANG Xiren	BOX461	Licensed Representative	1, 4, 6
HUI Ho Lok	BOR769	Licensed Representative	1, 4, 9
HUI Ho Ming	ACQ676	Licensed Representative	1, 2
HUI King Leung	ADS658	Licensed Representative	1, 2
HUNG Wai	ACR120	Licensed Representative	2
INDERST Andreas	BOE621	Licensed Representative	4



CE Name CR No. Lenner Type Types of Regulated Az Posson P'YN Mar 80-0588 Lennead Representative 1, 2 P'YN Mar 81,224 Lennead Representative 1 JAR DUMPA Kinder Hamid AVS361 Lennead Representative 1 JAN Buring BN562 Lennead Representative 4 JAN Samuel James BAS381 Lennead Representative 1 KAN Chenk Wah AA267 Lennead Representative 1 KAN Lykang AM300 BL661 Lennead Representative 1 KWA Lykang AM300 BL84331 Lennead Representative 1 KWA Lykang AM300 BL84331 Lennead Representative 1 KO Cheng Tee, Kenkin AB8048 Lennead Representative 1 2 KO Cheng Tee, Kenkin AB8048 Lenn	REMOVALS OF LICENSED PERSONS/REGISTERED INSTITU	DITONS DUKING U	5/2020	
Device	CE Name	CE No.	Licence Type	Types of Regulated Activity
ARXIOURA Kristofer Hamid	P Siu Yan	BON584	Licensed Representative	1, 2
In Part	P Yin Mei	BJL244	Licensed Representative	1
INN Bing	ARJOURA Kristofer Hamid	AWS861	Licensed Representative	1, 2
DANES Andrew Gareth	ENKINS Benjamin Taylor	BOF078	Licensed Representative	1
ARZEST Lennard Representative 1	IIN Bang	BNJ982	Licensed Representative	4
EP Dorgyang	ONES Andrew Gareth	BAG331	Licensed Representative	1
MEDICAL Surmed James	(AN Cheuk Wah	AAZ957	Licensed Representative	1
KENDALL Samuel James AYP988 Licensed Representative 0 KM Byung Joo B.JE601 Licensed Representative 1 KM Jung Soo B.NUS31 Licensed Representative 1 KM Jung Soo B.NUS31 Licensed Representative 1, 9 KO Greng Tec, Kelvin A.RB048 Licensed Representative 4 KO CL Chung Yin, Markana AVE181 Licensed Representative 1, 2, 4 KOU Chung Yin, Markana AVE181 Licensed Representative 4 KU Wai Yu B.MH244 Licensed Representative 4 KU Wai Yu B.MH244 Licensed Representative 4 LAI Christins Pik Kwan B.BH283 Licensed Representative 1 LAI Christins Pik Kwan B.BC254 Licensed Representative 1 LAI Kam Ch'u A.DO738 Licensed Representative 1 LAI Kam Ch'u A.DO738 Licensed Representative 1 LAI Manish A.UZ520 Licensed Representative 1 LAI Manish A.UZ520 Licensed Representative <td< td=""><td>KE Dongvang</td><td></td><td></td><td>1. 4. 6</td></td<>	KE Dongvang			1. 4. 6
KM by yung Joo 8 JE661 Licensed Representative 1 KM by Kang AU0300 Licensed Representative 1 KM by Kang BOUSD Licensed Representative 1, 9 KM Jung Soo BNUSI Licensed Representative 1, 9 KNICHT James BON642 Licensed Representative 1, 2 KO Ghen Tee, Kelvin ARBO48 Licensed Representative 1, 2 KOU Chin Liang BMM404 Licensed Representative 4 KU Wai Yu BMM404 Licensed Representative 4 LAI Christina Pik Kwan BR283 Licensed Representative 1 LAI Christina Pik Kwan BR2924 Licensed Representative 1 LAI Kim Chiu AD0798 Licensed Representative 1 LAI Ming Yan BNK808 Licensed Representative 1 LAI Ming Yan BNK808 Licensed Representative 6 LAI Yuying BNC871 Licensed Representative 1 LAM Shu Kin Rita BEC5681 Licensed Representative 1			•	
KIM Ivey Karing AUG300 Licensed Representative 1 KIM Jung Soo BINUSTI Licensed Representative 1,9 KIM Jung Soo BINUSTI Licensed Representative 1,2 KO Chrang Tee, Kelvin ARB048 Licensed Representative 1,2.4 KO Chrung Yin, Mariana AVETBI Licensed Representative 1,2.4 KU OU Chrung Yin, Mariana BINBASB Licensed Representative 1,2.4 KUO Chen Lang BINBASB Licensed Representative 1 LAI Christins Pik Kwan BEP284 Loroused Representative 1 LAI Christins Pik Kwan BEP284 Loroused Representative 1 LAI Kam Chiu AD0798 Licensed Representative 1 LAI Kam Chiu AD0798 Licensed Representative 1 LAI Waying BNC871 Licensed Representative 1 LAI Waying BNC872 Licensed Representative 1 LAI Maryan BNC958 Licensed Representative 1, 4, 9 LAI A Sau Hing BNC958 Licensed Representative			· · · · · · · · · · · · · · · · · · ·	
KIM Jung Soo BNU531 Licensed Representative 1,8 KNIGHT James BON642 Leaned Representative 4 KOO Cham Tee, Kelvin ARB484 Leaned Representative 1,2,4 KOON Chun Ming AQC387 Loonsed Representative 1,2 KOU Chun Ming AVE181 Leaned Representative 4 KUO Chien Liang BNN420 Leaned Representative 4 KUO Chien Liang BNN420 Leaned Representative 1 KUO Chien Liang BNN420 Leaned Representative 1 LAL Christian Pic Kwan BO1524 Leaned Representative 1 LAL Christian Pic Kwan BO1524 Leaned Representative 1 LAI Kim Chiu AD07780 Leaned Representative 1 LAI Kim Chiu BNS0371 Leaned Representative 1 LALA Manish AUZ520 Leaned Representative 1 LAM Shak Yin Ritio BE5055 Leaned Representative 1 LAM Shak Yin Ritio BE5055 Leaned Representative 3	, ,		•	1
NNIGHT James			·	1 0
AC Cheng Fee, Kelvin AR BOAS Learned Representative 1, 2, 4			•	*
KOON Chun Ming AQC387 Licensed Representative 1, 2 KOU Chien Yin, Mariana AVE161 Licensed Representative 4 KUU Wai Yu BMN424 Locansed Representative 4 KUO Chien Liang BIN828 Licensed Representative 1 LAI Chrus Ho BDR5244 Locansed Representative 1, 4 LAI Chrus Ho BOR5245 Locansed Representative 1 LAI Man Chiu AQD738 Locansed Representative 6 LAI May Yan BNR381 Locansed Representative 6 LAI Yuying BNW373 Locansed Representative 1, 2 LAM Ful Yan BMW373 Locansed Representative 1, 2 LAM Sul Yong ACK523 Locansed Representative 1, 2 LAM Tau Hing BC595 Locansed Representative 1, 2 LAU Bowl ACK523 Locansed Representative 1, 2 LAU Bowl Locansed Representative 1, 2 LAW SA LU VICTOR BLES65 Locansed Representative 1, 2 LAW KA KIU VCTOR <td></td> <td></td> <td>•</td> <td></td>			•	
KOU Chung Yin, Mariana AVE181 Licensed Representative 4 KU Wai Yu BMM404 Licensed Representative 4 KU Chine Liang BIN828 Licensed Representative 1 LAI Christina Pik Kwan BGP294 Licensed Representative 1, 4 LAI Chu Ho BOH524 Licensed Representative 1 LAI Ming Yan BNR080 Licensed Representative 1 LAI Ming Yan BNR080 Licensed Representative 6 LAI Yuying BNC371 Licensed Representative 1, 2 LAM Amish AU2529 Licensed Representative 1, 2 LAM Bruk Yin Rita BEC595 Licensed Representative 1, 2 LAM Shuk Yin Rita BEC595 Licensed Representative 3 LAM Su Hong ACK523 Licensed Representative 4, 9 LAU Bo Wai ABA941 Licensed Representative 4, 9 LAU Bruk Lun BMF756 Licensed Representative 1, 2 LAU Ting Ting ABC417 Licensed Representative 4, 9 <			·	· · ·
KU Wai Yu BMN404 Licensed Representative 4 KU OC Chein Liang BIN828 Licensed Representative 1 LAI Christina Pik Kwan BGP294 Licensed Representative 1, 4 LAI Christina Pik Kwan BOP624 Licensed Representative 1 LAI Kan Chiu ADD788 Licensed Representative 1 LAI Man Chiu ADD788 Licensed Representative 1 LAI Ming Yan BNR0371 Licensed Representative 6 LAI Waying BNR0371 Licensed Representative 1, 2 LAI Wan Hamada AUZ529 Licensed Representative 1, 2 LAM Pay Yan BNR0371 Licensed Representative 1, 2 LAM Sinuk Yin Rita BEC595 Licensed Representative 9 LAM Tau Hing BOT764 Licensed Representative 4, 9 LAU Bowai ABA491 Licensed Representative 4, 9 LAU Cheuk Lun BME756 Licensed Representative 1, 2 LAU Cheuk Lun BME756 Licensed Representative 4, 9 </td <td></td> <td></td> <td></td> <td></td>				
BIN828 Licensed Representative 1			•	
LAI Christina Pik Kwan BGP294 Licensed Representative 1,4	KU Wai Yu	BMN404	Licensed Representative	4
LAI Chrun Ho	(UO Chien Liang	BIN828	Licensed Representative	1
LAI Kam Chiu	Al Christina Pik Kwan	BGP294	Licensed Representative	1, 4
LAI Ming Yan BNK808 Licensed Representative 6 LAI Yuying BNC871 Licensed Representative 1, 4, 9 LALA Manish AUZ529 Licensed Representative 1, 2 LAM Pul Yan BMV373 Licensed Representative 9 LAM Shuk Yin Rita BEC595 Licensed Representative 9 LAM Siu Hong ACK523 Licensed Representative 4, 9 LAM Tau Hing BOT764 Licensed Representative 4, 9 LAU Bo Wai ABA491 Licensed Representative 4, 9 LAU Cheuk Lun BMF256 Licensed Representative 4, 9 LAU Kin Leung ABF504 Licensed Representative 4, 9 LAU Ting Ting ABC417 Licensed Representative 1 LAW KA KIU VICTOR BLF288 Licensed Representative 4, 9 LAW Wai Kwan BMY694 Licensed Representative 1 LEE Chin Tak BOK633 Licensed Representative 4 LEE Kin Sing ABA245 Licensed Representative 1	Al Chun Ho	BOH524	Licensed Representative	1
Licensed Representative 1, 4, 9	Al Kam Chiu	AQD798	Licensed Representative	1
LALA Manish	Al Ming Yan	BNK808	Licensed Representative	6
LAM Pui Yan BMV373 Licensed Representative 1, 2 LAM Shuk Yin Rita BEC595 Licensed Representative 9 LAM Siu Hong ACK523 Licensed Representative 3 LAM Tau Hing BO7764 Licensed Representative 4, 9 LAU Bo Wai AB4491 Licensed Representative 1, 2 LAU Cheuk Lun BMF756 Licensed Representative 4, 9 LAU Kin Leung ABF504 Licensed Representative 1 LAU Kin Leung AB6417 Licensed Representative 1 LAW Kin VICTOR BLF268 Licensed Representative 4, 9 LAW Wai Kwan BMF694 Licensed Representative 4, 9 LAW Wai Kwan BMF694 Licensed Representative 4 LEE Kin Sing AA8245 Licensed Representative 1 LEE Kin Sing AA8245 Licensed Representative 4, 9 LEE Lit Man AG(490) Licensed Representative 1 LEE Pul Yan BM966 Licensed Representative 1 LE	Al Yuying	BNC871	Licensed Representative	1, 4, 9
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LAM Shuk Yin Rita BEC595 Licensed Representative 9 LAM Siu Hong ACK523 Licensed Representative 3 LAM Tau Hing BOT764 Licensed Representative 4, 9 LAU Bo Wai ABA491 Licensed Representative 1, 2 LAU Cheuk Lun BME756 Licensed Representative 4, 9 LAU Kin Leung ABF504 Licensed Representative 1 LAU Kin Leung ABG417 Licensed Representative 1 LAU Ting Ting ABG417 Licensed Representative 4, 9 LAW Wai KIU VICTOR BLE268 Licensed Representative 4, 9 LAW Wai Kwan BMY694 Licensed Representative 9 LAW Wai Kwan BN6613 Licensed Representative 4 LEE Kin Sing AAB245 Licensed Representative 6 LEE Kin Sing AAB245 Licensed Representative 1 LEE RU Wan BMY966 Licensed Representative 1 LEE VII Wan BMY966 Licensed Representative 1 LEU	.AM Pui Yan	BMV373		1. 2
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LAU Kin Leung ABF504 Licensed Representative 1 LAU Ting Ting ABG417 Licensed Representative 1 LAW KA KIU VICTOR BLF268 Licensed Representative 4, 9 LAW Wai Hang AOX111 Licensed Representative 9 LAW Wai Kwan BMY694 Licensed Representative 1 LEAR Mark Andrew BNG613 Licensed Representative 4 LEE Chun Tak BOK633 Licensed Representative 6 LEE Kin Sing AAB245 Licensed Representative 1 LEE Lit Man AGL490 Licensed Representative 4, 9 LEE Pui Yan BMY966 Licensed Representative 1 LEUNG Ho Ming AAE342 Licensed Representative 1 LEUNG Vicky ACN925 Licensed Representative 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2, 5 LEVI David Eric BO2251 Licensed Representative 1, 2 LI Jason BG4353 Licensed Representative 1, 2 <td< td=""><td></td><td></td><td></td><td>· .</td></td<>				· .
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LAW Wai Kwan BMY694 Licensed Representative 1 LERA Mark Andrew BNG613 Licensed Representative 4 LEE Chun Tak BOK633 Licensed Representative 6 LEE Kin Sing AAB245 Licensed Representative 1 LEE Lit Man AGL490 Licensed Representative 4, 9 LEE Pui Yan BMV966 Licensed Representative 1 LEUNG Ho Ming AAE342 Licensed Representative 1 LEUNG Vicky ACN925 Licensed Representative 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2 LEVI David Eric BOZ251 Licensed Representative 2, 5 LI Chun Lun BOD303 Licensed Representative 1, 2 LI Jason BGH353 Licensed Representative 1, 2 LI Jiaming BOE640 Licensed Representative 4 LI Kwok Choi AFR315 Licensed Representative 1 LI Man Hong AZL821 Licensed Representative 1, 4, 9 LI Wai			·	
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LEE Chun Tak BOK633 Licensed Representative 6 LEE Kin Sing AAB245 Licensed Representative 1 LEE Lit Man AGL490 Licensed Representative 4, 9 LEE Pui Yan BMV966 Licensed Representative 1 LEUNG Ho Ming AAE342 Licensed Representative 1 LEUNG Vicky ACN925 Licensed Representative 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2 LEVI David Eric BOZ251 Licensed Representative 2, 5 LI Chun Lun BOD303 Licensed Representative 1, 2 LI Jason BGH353 Licensed Representative 1, 2 LI Jiaming BCE640 Licensed Representative 4 LI Kwok Choi AFR315 Licensed Representative 1 LI Man Hong AZL821 Licensed Representative 1, 4, 9 LI Wai Ling ARB950 Licensed Representative 3 LI Yuet Mei, Dilys AEG304 Licensed Representative 4 LI Koo	AW Wai Kwan	BMY694	Licensed Representative	1
LEE Kin Sing AAB245 Licensed Representative 1 LEE Lit Man AGL490 Licensed Representative 4, 9 LEE Pui Yan BMV966 Licensed Representative 1 LEUNG Ho Ming AAE342 Licensed Representative 1 LEUNG Vicky ACN925 Licensed Representative 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2 LEVI David Eric BOZ251 Licensed Representative 2, 5 LI Chun Lun BOD303 Licensed Representative 1, 2 LI Jason BGH353 Licensed Representative 1, 2 LI Jiaming BOE640 Licensed Representative 4 LI Kwok Choi AFR315 Licensed Representative 1 LI Man Hong AZL821 Licensed Representative 3 LI Wai Ling ARB950 Licensed Representative 3 LI Yuet Mei, Dilys AEG304 Licensed Representative 1 LIAO Bob Hai Thang BOH782 Licensed Representative 4 LIM We	EAR Mark Andrew	BNG613	Licensed Representative	4
LEE Lit Man AGL490 Licensed Representative 4, 9 LEE Pui Yan BMV966 Licensed Representative 1 LEUNG Ho Ming AAE342 Licensed Representative 1 LEUNG Vicky ACN925 Licensed Representative 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2 LEVI David Eric BOZ251 Licensed Representative 2, 5 LI Chun Lun BOD303 Licensed Representative 1, 2 LI Jason BGH353 Licensed Representative 1, 2 LI Jiaming BOE640 Licensed Representative 4 LI Kwok Choi AFR315 Licensed Representative 1 LI Man Hong AZL821 Licensed Representative 1, 4, 9 LI Wai Ling ARB950 Licensed Representative 3 LI Yuet Mei, Dilys AEG304 Licensed Representative 1 LIAO Bob Hai Thang BOH782 Licensed Representative 4 LIM Weineng BHM582 Licensed Representative 1	EE Chun Tak	BOK633	Licensed Representative	6
LEE Pui Yan BMV966 Licensed Representative 1 LEUNG Ho Ming AAE342 Licensed Representative 1 LEUNG Vicky ACN925 Licensed Representative 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2 LEVI David Eric BOZ251 Licensed Representative 2, 5 LI Chun Lun BOD303 Licensed Representative 1, 2 LI Jason BGH353 Licensed Representative 1, 2 LI Jiaming BOE640 Licensed Representative 4 LI Kwok Choi AFR315 Licensed Representative 1 LI Man Hong AZL821 Licensed Representative 1, 4, 9 LI Wai Ling ARB950 Licensed Representative 3 LI Yuet Mei, Dilys AEG304 Licensed Representative 1 LIAO Bob Hai Thang BOH782 Licensed Representative 4 LIM Weineng BHM582 Licensed Representative 1	EE Kin Sing	AAB245	Licensed Representative	1
LEUNG Ho Ming AAE342 Licensed Representative 1 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2 2 LEVI David Eric BOZ251 Licensed Representative 2, 5 LI Chun Lun BOD303 Licensed Representative 1, 2 LI Jason BGH353 Licensed Representative 1, 2 LI Jiaming BOE640 Licensed Representative 4 LI Kwok Choi AFR315 Licensed Representative 1 1 LI Man Hong AZL821 Licensed Representative 1, 4, 9 LI Wai Ling ARB950 Licensed Representative 3 LI Yuet Mei, Dilys LI Wai Thang BOH782 Licensed Representative 4 LI Weineng BHM582 Licensed Representative 1	EE Lit Man	AGL490	Licensed Representative	4, 9
LEUNG Vicky ACN925 Licensed Representative 1, 2 LEUNG Ying Kwan BFY311 Licensed Representative 2 LEVI David Eric BOZ251 Licensed Representative 2, 5 LI Chun Lun BOD303 Licensed Representative 1, 2 LI Jason BGH353 Licensed Representative 1, 2 LI Jiaming BOE640 Licensed Representative 4 LI Kwok Choi AFR315 Licensed Representative 1 LI Man Hong AZL821 Licensed Representative 1, 4, 9 LI Wai Ling ARB950 Licensed Representative 3 LI Yuet Mei, Dilys AEG304 Licensed Representative 1 LIAO Bob Hai Thang BOH782 Licensed Representative 4 LIM Weineng BHM582 Licensed Representative 1	.EE Pui Yan	BMV966	Licensed Representative	1
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LIN Siting BKO337 Licensed Representative 4, 9	IM Weineng	BHM582	Licensed Representative	1
	IN Siting	BKO337	Licensed Representative	4, 9
LIN Zhimin BDO702 Licensed Representative 1, 9	IN Zhimin	BDO702	Licensed Representative	1, 9
LIU Chin Ting, Billy AZE659 Licensed Representative 6	.IU Chin Ting, Billy	AZE659	Licensed Representative	6



LIU Zhen	REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION:	S DURING 0	5/2020	
LIU Triviar	CE Name	CE No.	Licence Type	Types of Regulated Activity
DU Yayu	LIU Siu Ha	AEJ989	Licensed Representative	1
LU Zuon BOTA11 Lorroud Representative 1, 4, 0	LIU Tin Wai	ALV394	Licensed Representative	1
DC STack Van Lotetta BNR423 Lobrace Representative 1, 2, 4	LIU Yuyu	BHX849	Licensed Representative	1
CO Stuk Yan, Loretta	LIU Zhen	BOT041	Licensed Representative	1, 4, 6
LO Virule LO Virule LO Virule LO Virule LO Virule Virule LO Viru	LO Lai Lai	BNR433	Licensed Representative	1, 2, 4
LOYTE Horing	LO Shuk Yan, Loretta	AMI340	Licensed Representative	1, 4
LOK YEAR HORG AMY29 Licensed Representative 3 LOU YUMAN BMISSZ Licensed Representative 1, 4, 9 LOU YUMAN BMISSZ Licensed Representative 1, 4, 9 LU Pelun BK8946 Licensed Representative 1, 4, 9 LU Pelun BK8946 Licensed Representative 1 LUK Wing Koong AP227 Licensed Representative 1 LUK Wing Koong AR1940 Licensed Representative 1 LUK Wing Koong AR1940 Licensed Representative 1, 9 LUO Guang ASE408 Licensed Representative 1, 2 LUO Suseng ASE408 Licensed Representative 10 LUO Suseng ASE408 Licensed Representative 10 MMNS Van Sun, George AF1942 Licensed Representative 1, 6 MMSU Jikara BFV916 Licensed Representative 1, 6 MCRI MA Kirak BP0282 Licensed Representative 1, 6 MCRI MA Kirak BP0283 Licensed Representative 1, 8 MCRI MA Kirak AP0283 Licensed Representative 1, 9 MCRI MA Kirak AP0284 Licensed Representative 1, 2 MCRI MA Kira	LO Wing	APR851	Licensed Representative	6
Lock Tay Folorg	LO Yuk Wa	BFE561	Licensed Representative	4
LU Fleich BoSS22 Licerood Representative 1,4,9	LOK Tsz Hong	AMY729		3
LU Design BoSS22 Licensed Representative 1, 4, 9	LOU Yuhan	BMI892	Licensed Representative	1, 4, 9
LU PelLin	LU Linwei	BOS822		
LUK Chow Sing			·	
LUK Chow Sing AAE546 Licensed Representative 1 LUK Wing Kwong ARIBON Licensed Representative 1 LUD Gilloung ABEAD Licensed Representative 1, 9 LUD Qilloung AGE408 Licensed Representative 1, 2 LUD Ximon (Gorge AFL554 Licensed Representative 1, 6 MITSULAkira BFV016 Licensed Representative 1, 4 MCLI BEX250 Licensed Representative 1, 9 MCKI Lin Kiri BORGE Zimen (Representative) 1, 9 MCRIVATAM Keisuke ADP809 Licensed Representative 4 MCRIVATAM Keisuke ADP809 Licensed Representative 1, 2 NO Chan Hel BMC281 Licensed Representative 1, 4 NG Chan Hel BMC281 Licensed Representative 1 NG Sau Wah AQX543 Licensed Representative 1 NG Sau Wah AQX543 Licensed Representative 1 NG Sau Wah AQX543 Licensed Representative 9 NG Sau Wah			,	1
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LUO Qirang			'	
Licensed Representative 10	•		'	
MANG Yun Sun, George AFU534 Licensed Representative 1, 6 MITSUI Akira BFV016 Licensed Representative 1, 4 MCD LI BEX260 Licensed Representative 1 MCM Kar Yat BD0823 Licensed Representative 1, 9 MCRIYAM Keisuke AQP609 Licensed Representative 4 MCOUNTFOR Dicholas William BR848 Licensed Representative 1, 2 NAKAMURA Tomoyuki ARG535 Licensed Representative 1, 2 NC Chun Hei BMC281 Licensed Representative 1, 4 NC Fin Pan AQZ543 Licensed Representative 1 NG Sun Wah AGM553 Licensed Representative 1 NG Suc Wah AGM553 Licensed Representative 1 NG Suc Wah AMN571 Licensed Representative 1 NG Taz Wing BBD847 Licensed Representative 1 NG Suc Man AMN571 Licensed Representative 4, 9 NGAN Chi Chun Alfee BLY188 Licensed Representative 1				
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PANGALI Pawandeep AVU841 Licensed Representative 1, 2 PAU William APB248 Licensed Representative 1, 4 PERRIGNON Matthew William BCS711 Licensed Representative 1, 4 PRANATA Robert Paul BOD099 Licensed Representative 4 RAMBHIA Urvish Jayantilal BFX040 Licensed Representative 1, 6 RAN Linwan BJE120 Licensed Representative 1, 6 RIDDICK Andrew William AAA869 Licensed Representative 1, 4 ROSENFELD Vivian Saul BBZ490 Licensed Representative 1, 2, 7 SAGE Robert Ian BOP253 Licensed Representative 4 SALATI Giasone Ulisse BOI944 Licensed Representative 4 SEE Bo Cheung AAE199 Licensed Representative 1, 6 SHEA Hoi Kan BOA161 Licensed Representative 1, 4, 6			·	
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PERRIGNON Matthew William BCS711 Licensed Representative 1, 4 PRANATA Robert Paul RAMBHIA Urvish Jayantilal BFX040 Licensed Representative 1, 6 RAN Linwan RIDDICK Andrew William ROSENFELD Vivian Saul BBZ490 Licensed Representative 1, 2, 7 SAGE Robert Ian BOP253 Licensed Representative 4 SALATI Giasone Ulisse BOP344 Licensed Representative 4 SEE Bo Cheung AAE199 Licensed Representative 1 AAE199 Licensed Representative 1 AE190 Licensed Representative 4 SHEA Hoi Kan BOP361 Licensed Representative 1, 4 BOP361 Licensed Representative 1 AE190 Licensed Representative 1 SHEA Hoi Kan BOP361 Licensed Representative 1, 4 BOP361 Licensed Representative 1, 6 SHEN Yiqing BKW685 Licensed Representative 1, 4, 6			·	
PRANATA Robert Paul BOD099 Licensed Representative 4 RAMBHIA Urvish Jayantilal BFX040 Licensed Representative 1, 6 RAN Linwan BJE120 Licensed Representative 1, 6 RIDDICK Andrew William AAA869 Licensed Representative 1, 4 ROSENFELD Vivian Saul BBZ490 Licensed Representative 1, 2, 7 SAGE Robert Ian BOP253 Licensed Representative 4 SALATI Giasone Ulisse BOI944 Licensed Representative 4 SEE BO Cheung AAE199 Licensed Representative 1 SHEA Hoi Kan BOA161 Licensed Representative 1, 6 SHEN Yiqing BKW685 Licensed Representative 1, 6			· ·	•
RAMBHIA Urvish Jayantilal BFX040 Licensed Representative 1, 6 RAN Linwan RIDDICK Andrew William ROSENFELD Vivian Saul BBZ490 Licensed Representative 1, 4 ROSENFELD Vivian Saul BBZ490 Licensed Representative 1, 2, 7 SAGE Robert Ian BOP253 Licensed Representative 4 SALATI Giasone Ulisse BOI944 Licensed Representative 4 SEE Bo Cheung AAE199 Licensed Representative 1 SHEA Hoi Kan BOA161 Licensed Representative 1, 6 SHEN Yiqing BKW685 Licensed Representative 1, 7			,	
RAN Linwan BJE120 Licensed Representative 1, 6 RIDDICK Andrew William AAA869 Licensed Representative 1, 4 ROSENFELD Vivian Saul BBZ490 Licensed Representative 1, 2, 7 SAGE Robert Ian BOP253 Licensed Representative 4 SALATI Giasone Ulisse BOI944 Licensed Representative 4 SEE Bo Cheung AAE199 Licensed Representative 1 SHEA Hoi Kan BOA161 Licensed Representative 1, 6 SHEN Yiqing BKW685 Licensed Representative 1, 4, 6			·	
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SAGE Robert Ian BOP253 Licensed Representative 4 SALATI Giasone Ulisse BOI944 Licensed Representative 4 SEE Bo Cheung AAE199 Licensed Representative 1 SHEA Hoi Kan BOA161 Licensed Representative 1, 6 SHEN Yiqing BKW685 Licensed Representative 1, 4, 6				
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SHEA Hoi Kan BOA161 Licensed Representative 1, 6 SHEN Yiqing BKW685 Licensed Representative 1, 4, 6			· ·	4
SHEN Yiqing BKW685 Licensed Representative 1, 4, 6	SEE Bo Cheung		Licensed Representative	1
	SHEA Hoi Kan	BOA161	Licensed Representative	1, 6
SI Meng BLU921 Licensed Representative 1, 2	SHEN Yiqing	BKW685	Licensed Representative	1, 4, 6
	SI Meng	BLU921	Licensed Representative	1, 2



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION:	S DURING U	5/2020	
CE Name	CE No.	Licence Type	Types of Regulated Activity
SIMONS Warwick Dean	AWT996	Licensed Representative	9
SIU Lai Yi	BNR596	Licensed Representative	1, 2
SOH David	AEI247	Licensed Representative	1, 4
SONG Yingxi	BFE170	Licensed Representative	1, 6
SPELKER Matthew Alan	BOU805	Licensed Representative	1, 4
STRUTT William Raymond	BJI822	Licensed Representative	4
SUH Soobene	BIT671	Licensed Representative	9
SUJANANI Dolly Sehwani	BPE236	Licensed Representative	1, 4, 9
SUN Chi Hung Samuel	BIY268	Licensed Representative	9
SUN Homer	AFT544	Licensed Representative	1, 4, 9
SZE Kam Ying	BID167	Licensed Representative	1, 4
SZE Wing Ka Sherryn	BNC276	Licensed Representative	4, 9
SZETO Tung, Danny	ALL188	Licensed Representative	1, 2
TAM Cheuk Fai	ABY188	Licensed Representative	1, 2
TAM Kwok Chung	BJG479	Licensed Representative	1, 2
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TAM Wai Sum	BHA088	Licensed Representative	4, 9
TAN May Ee	BNP080	Licensed Representative	1, 2
TANG Out to Augusti	BBU975	Licensed Representative	1, 2, 4
TANG On Key, Angela	AFO588	Licensed Representative	1, 4, 9
TAO Yue	BOU044	Licensed Representative	1
TEO Yung Keong	BFY076	Licensed Representative	4
TIKOLO Oluwatobi Temitope Martins	BFZ225	Licensed Representative	1, 2, 7
TO Perry Ho Ting	ALI684	Licensed Representative	3
TSANG Ernest Zhi-wing	BOF243	Licensed Representative	1, 4, 9
TSANG Pui Ling Polly	BMI929	Licensed Representative	1, 4, 9
TSE Siu Kam	ABP637	Licensed Representative	1
TSE Yu Man, Herman	ADC750	Licensed Representative	6
ULPO Lodevico Jr. Mabunga	BOH399	Licensed Representative	4, 9
WAN Cheuk Ho	AQQ371	Licensed Representative	1, 2
WAN Tsun Kan	BKA500	Licensed Representative	1, 6
WANG Guanlong	BOT372	Licensed Representative	4, 9
WANG Kun	BFP546	Licensed Representative	1, 4, 9
WANG Lisha	AWW125	Licensed Representative	1, 4
WANG Tong	BMX991	Licensed Representative	4, 9
WANG Zixi	BHE069	Licensed Representative	1, 2
WANG Zixu	BOT482	Licensed Representative	1
WARNER William	AZM647	Licensed Representative	9
WEI Hongyu	BNV461	Licensed Representative	1, 4
WEN Jiaxue	BOT884	Licensed Representative	1, 4, 6
WEN Shan Tseng	BNU567	Licensed Representative	1, 4
WENHAM James Alexander Scott	AVF334	Licensed Representative	1, 4
WONG Chi Wai	ANZ773	Licensed Representative	1, 2
WONG Chun Him	BNH706	Licensed Representative	1
WONG Chair Film	ADG798	Licensed Representative	1
· ·			4 2 2 4
WONG Hung Fu	AXS431	Licensed Representative	1, 2, 3, 4
WONG Jie Min, Adrian	BPA383	Licensed Representative	1, 4, 6
WONG Lap Chun	AXX954	Licensed Representative	1, 2, 4, 9
WONG Mei Keung	AEA002	Licensed Representative	1, 6
WONG Sung Tak	BGE769	Licensed Representative	1, 2
Wong Sze Kit	ALO415	Licensed Representative	1
WONG Tak Hung	AMS556	Licensed Representative	1, 2
WONG Wai Ho	AVV366	Licensed Representative	4, 9
WONG Ying Ying	AVN303	Licensed Representative	4, 9
WU Chiu Kin	AAC157	Licensed Representative	1
WU Nan	AUW139	Licensed Representative	1, 4
WU Shiqi	BKT374	Licensed Representative	1, 4, 6



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION	S DURING U	5/2020	Т
CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Wenxuan	BEO557	Licensed Representative	3
WU Yushan	BIG145	Licensed Representative	1
XIE Xingyun	BNW032	Licensed Representative	1, 6
XIN Peng	BMB690	Licensed Representative	1, 2
XING Tao	AXQ845	Licensed Representative	9
XING Tingzhi	BGN152	Licensed Representative	1, 4
XU Juan	AWP286	Licensed Representative	1, 4
YANG Jae Kyoo	BCE785	Licensed Representative	1, 4, 9
YANG Jiping	BAC066	Licensed Representative	6
YANG Lan	BKM834	Licensed Representative	1, 4
YANG Quanxin	AZF438	Licensed Representative	4, 9
YANG Yanping	AYS114	Licensed Representative	6
YAU Siu Yin	BNU185	Licensed Representative	1, 2
YE Zhuoying Susie	BEW110	Licensed Representative	1, 6
YEUNG Ming Lung	BOI435	Licensed Representative	1, 2, 4, 9
YEUNG Tak Yi	BOK641	Licensed Representative	1
	AUW601	'	1
YEUNG Yun Chu		Licensed Representative	· ·
YIP Sheung Fung	BOS266	Licensed Representative	4
YU Gengen	BOI162	Licensed Representative	1, 6
YU Mui Mui	AAD931	Licensed Representative	1
YUE Richard Ka Ming	BIE101	Licensed Representative	4, 9
YUE Siu Chun	BKB839	Licensed Representative	9
YUNG Chi Kan	AAK614	Licensed Representative	1
YUNG Muk Tsz	AUB778	Licensed Representative	4
ZENG Shuai	BEM599	Licensed Representative	9
ZHANG Mengjiao	BIG465	Licensed Representative	4, 9
ZHANG Yunyan	BNP154	Licensed Representative	9
ZHAO Ziyi	BNR609	Licensed Representative	1, 6
ZHENG Ruimin	BNS350	Licensed Representative	1, 4
ZHOU Guang	ALS668	Licensed Representative	1, 4
ZHOU Yanru	BNN868	Licensed Representative	1, 6
ZHU Guyi	BJZ582	Licensed Representative	1
ZHU Sicheng	BNO598	Licensed Representative	1, 6
ZHUANG Yuewen	BNB966	Licensed Representative	1, 2, 4
ALLISON Michael Sean	BOL390	Licensed Representative - Responsible Officer	9
CHAK Ka Fai, Samuel	AMX582	Licensed Representative - Responsible Officer	1, 2, 4
CHAN Alex King Fai	BDY278	Licensed Representative - Responsible Officer	1, 4
CHAN Benson Lin-Fong	AHC184	Licensed Representative - Responsible Officer	4, 9
CHENG Yuk Kin	AOB642	Licensed Representative - Responsible Officer	6
CHEUNG Man Kuk	BME211	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Yue Kwong, Eugene	BGQ198	Licensed Representative - Responsible Officer	
			9
CHO Wei Cook Kook	AEC714	Licensed Representative - Responsible Officer	
CHOI Wai Sang Kenny	ASY684	Licensed Representative - Responsible Officer	9
CHU Ka Shing	AIJ345	Licensed Representative - Responsible Officer	9
CHUNG Chi Kin, Kenneth	ARB660	Licensed Representative - Responsible Officer	6
CONQUET Benjamin Antoine Marie	ATB935	Licensed Representative - Responsible Officer	1
COYLE John D	BOH593	Licensed Representative - Responsible Officer	9
CREATORE Marc David	BKB286	Licensed Representative - Responsible Officer	9
DALET Joffrey	BAD880	Licensed Representative - Responsible Officer	9
DECHY Gregoire Jacques Charles	AMP200	Licensed Representative - Responsible Officer	9
DEGUCHI Shinya	BEC305	Licensed Representative - Responsible Officer	4, 9
GAO Han	BCF244	Licensed Representative - Responsible Officer	9
HECHE Raymond Charles Louis	AAI942	Licensed Representative - Responsible Officer	1, 4, 9
HO Chun Ming, Johnny	ABS266	Licensed Representative - Responsible Officer	1
HSU Chia-Wei	AOW885	Licensed Representative - Responsible Officer	4, 9
HU Ka Lam	AEP558	Licensed Representative - Responsible Officer	1, 4, 9
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION	S DURING U	5/2020	
CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Chien-Jui	AVA600	Licensed Representative - Responsible Officer	4, 9
HUEN Kit Yee	AHJ779	Licensed Representative - Responsible Officer	4, 9
HUI Nok Yi	BGO810	Licensed Representative - Responsible Officer	1
JHA Vinesh Deo	BFJ610	Licensed Representative - Responsible Officer	9
KIM John Johng Yun	ACP274	Licensed Representative - Responsible Officer	1, 6
KIRCHMANN Catherine Louise	BGI249	Licensed Representative - Responsible Officer	1, 4, 9
KWAN Tsun Man	AWA326	Licensed Representative - Responsible Officer	2, 5
LAI Yui Ben	AJI707	Licensed Representative - Responsible Officer	9
LAM Lok Yee, Ada	ACG599	Licensed Representative - Responsible Officer	4, 9
LAM Shuk Yin Rita	BEC595	Licensed Representative - Responsible Officer	1, 4
LASHINSKI Edward William	BHZ325	Licensed Representative - Responsible Officer	4, 9
LAW Man Kit	ABL714	Licensed Representative - Responsible Officer	1
LAW Mang Kwan	AON979	Licensed Representative - Responsible Officer	1, 4, 9
LAW Siu Wah, Eddie	ACD719	Licensed Representative - Responsible Officer	4, 9
LEUNG Chun Keung	AFG667	Licensed Representative - Responsible Officer	4, 9
LEUNG Lai Kar	AEY943	Licensed Representative - Responsible Officer	1, 6
LI Kwok Tung	ABZ281	Licensed Representative - Responsible Officer	1, 2
LIN John Sian-Zu	AED623	Licensed Representative - Responsible Officer	4, 9
LIU Lei	BMW156	Licensed Representative - Responsible Officer	4, 9
LO Lap Kei, Arthur	BFK775	Licensed Representative - Responsible Officer	9
LO Yam Pui, Eric	ACA520	Licensed Representative - Responsible Officer	1, 2, 4
MADDURI Anand Balakrishna	ANH754	Licensed Representative - Responsible Officer	9
MO Bing	AMS905	Licensed Representative - Responsible Officer	1, 4
NAKA Kazuhito	BDC123	Licensed Representative - Responsible Officer	1, 2, 4, 5
		· ·	9
NEWMAN Mark Harrison	BHK234	Licensed Representative - Responsible Officer	-
NG Chak Chung	AGK907	Licensed Representative - Responsible Officer	4, 9
NG Lok Yan	AOG290	Licensed Representative - Responsible Officer	1, 4
NG Meng Hua	ABF972	Licensed Representative - Responsible Officer	6
SKINNER Donald William Lennox	AAI493	Licensed Representative - Responsible Officer	1, 4, 9
SMITH Ian Micheal Corfield	ASH865	Licensed Representative - Responsible Officer	1, 2, 7
SO Yee Wan, Jannifer	AAD826	Licensed Representative - Responsible Officer	1
SUN Chen	AGC869	Licensed Representative - Responsible Officer	1, 4, 9
SUN Tak Chiu	ABN579	Licensed Representative - Responsible Officer	6
TAN Chee Hoe	BMV620	Licensed Representative - Responsible Officer	1, 4
TAN Chun Peng, Desmond	BFN306	Licensed Representative - Responsible Officer	4, 9
TRAN QUI Ann-Sandra	ASD252	Licensed Representative - Responsible Officer	1, 2
VAN VOORST Michiel	APU852	Licensed Representative - Responsible Officer	4, 9
WANG Linjun	BJV425	Licensed Representative - Responsible Officer	1, 4, 9
WANG Xuan	BEW977	Licensed Representative - Responsible Officer	4, 9
WANG Zhi Bin Gage	AXC504	Licensed Representative - Responsible Officer	9
WONG Chi Chun	ASM070	Licensed Representative - Responsible Officer	2
WONG Yu Tsang Alex	ALY116	Licensed Representative - Responsible Officer	9
WU Jing	BOA536	Licensed Representative - Responsible Officer	4, 9
WU Yi Shun, Josephine	ATT236	Licensed Representative - Responsible Officer	4, 9
XIE Liuyi	ANX203	Licensed Representative - Responsible Officer	4, 9
XU Shufu	AZF356	Licensed Representative - Responsible Officer	9
YANG Yan	ALW928	Licensed Representative - Responsible Officer	1, 4
YEO Daniel Seng Cheng	ANZ956	Licensed Representative - Responsible Officer	2
YEUNG Wing Kan	ABZ591	Licensed Representative - Responsible Officer	9
YEUNG Yuk Yu	AXK779	Licensed Representative - Responsible Officer	4, 9
YU Lei	APF652	Licensed Representative - Responsible Officer	4
ZHANG Yibin	AWT443	Licensed Representative - Responsible Officer	1, 4
ZHANG Zhifeng	BCH854	Licensed Representative - Responsible Officer	9
BANA Aashvi	BPK829	Licensed Representative (Provisional Licence)	1, 2
BENJELLOUN Abdelouahed	BPP034	Licensed Representative (Provisional Licence)	1, 2, 7
BI Wei	ATC372	Licensed Representative (Provisional Licence)	9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS	S DURING 0	5/2020	
CE Name	CE No.	Licence Type	Types of Regulated Activity
BIAN Ruili	BPO548	Licensed Representative (Provisional Licence)	4
BROWNE Daniel Joseph	AVC916	Licensed Representative (Provisional Licence)	1
BROWNE Luke Richard Tyrrill	BLY989	Licensed Representative (Provisional Licence)	4, 5, 9
CAI Baohui	BPN369	Licensed Representative (Provisional Licence)	4
CHAN Cho Man	BPM940	Licensed Representative (Provisional Licence)	1
CHAN Jeffrey Siu Yuen	BPN233	Licensed Representative (Provisional Licence)	1, 6
CHAN Kar Kei Calvin	BPP012	Licensed Representative (Provisional Licence)	1, 6
CHAN Kin On	BMV939	Licensed Representative (Provisional Licence)	1, 4
CHAN TSANG MO	BMP705	Licensed Representative (Provisional Licence)	4, 9
CHAN Tsui Wa	BGN070	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Kwong, Nicholas	ACC181	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Chun Chieh	BPO661	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Hsiao Hsin	BPL974	Licensed Representative (Provisional Licence)	1, 9
CHEN Han	BPL438	Licensed Representative (Provisional Licence)	4, 9
CHEN Moxin	BPK869	Licensed Representative (Provisional Licence)	9
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CHEN Sheng	BPL918	Licensed Representative (Provisional Licence)	1, 6
CHEN Weihang	BPL845	Licensed Representative (Provisional Licence)	4, 9
CHEN Ziyao	BPJ838	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Chia-Yi	BPK718	Licensed Representative (Provisional Licence)	4
CHEUNG Kam Fong	ADY085	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Lik Ping	AFJ470	Licensed Representative (Provisional Licence)	4
CHOI Terese	BPN261	Licensed Representative (Provisional Licence)	1, 4
CHOW Tin Pui	BPL647	Licensed Representative (Provisional Licence)	1, 6
CHUA Ryan Yih Ting	APH802	Licensed Representative (Provisional Licence)	9
CHUNG Sung-min	AVS891	Licensed Representative (Provisional Licence)	1, 6
CORRIGAN Marcus Lee	BPN740	Licensed Representative (Provisional Licence)	1
CUI Can	BPM918	Licensed Representative (Provisional Licence)	1, 6
DAI Fangqi	BPP059	Licensed Representative (Provisional Licence)	4
DENG Simiao	BPL805	Licensed Representative (Provisional Licence)	9
DING Hanying	BPM849	Licensed Representative (Provisional Licence)	4, 9
DONG Yunyu	BPN234	Licensed Representative (Provisional Licence)	1, 4, 9
DONOVAN Sean Patrick	BPM569	Licensed Representative (Provisional Licence)	4
DWIVEDI Prashant	BPN735	Licensed Representative (Provisional Licence)	1, 4
ENGMANN Matthew David George	BPN565	Licensed Representative (Provisional Licence)	1
FAN CHUN YIN	BPN274	Licensed Representative (Provisional Licence)	1
FAN Yiwen	BPN733	Licensed Representative (Provisional Licence)	6
FARHAT Sarah	BPM811	Licensed Representative (Provisional Licence)	1, 2
FENG Jingyan	BPM186	Licensed Representative (Provisional Licence)	1, 6
FOK Wai Hung	BJL159	Licensed Representative (Provisional Licence)	4, 9
FONG Anthony Chek Hien	BPN271	Licensed Representative (Provisional Licence)	1, 2, 9
GAO Yingjie	BPM234	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
GILMAN Lisa	BPN232	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
	ALW210	Licensed Representative (Provisional Licence)	
GRAVANIS Anthony		, ,	9
GUO Min	BPB539	Licensed Representative (Provisional Licence)	
HAGIWARA Kenichi	BKF268	Licensed Representative (Provisional Licence)	9
HE Jiaozi	BPN704	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Alfred	BCF952	Licensed Representative (Provisional Licence)	1, 4, 9
HU Xing	BGE917	Licensed Representative (Provisional Licence)	9
HUANG Han	BPN734	Licensed Representative (Provisional Licence)	1, 4
HUANG Longwei	BPM927	Licensed Representative (Provisional Licence)	1, 6
HUANG Shaodong	BNW283	Licensed Representative (Provisional Licence)	1, 4
HUANG Weiwei	BPM771	Licensed Representative (Provisional Licence)	1
HUANG Yanzhuo	BJR435	Licensed Representative (Provisional Licence)	1, 6
HUANG Ying	BPN577	Licensed Representative (Provisional Licence)	1, 2, 9
HUNG Chia-Chun	BPL829	Licensed Representative (Provisional Licence)	4
JI Wenxiu	BPM773	Licensed Representative (Provisional Licence)	1
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTION	S DURING 0	5/2020	
CE Name	CE No.	Licence Type	Types of Regulated Activity
JI Xinyu	BPN159	Licensed Representative (Provisional Licence)	4
JIN Fengyi	BPN524	Licensed Representative (Provisional Licence)	1, 6
JOFFE David Richard	BPO495	Licensed Representative (Provisional Licence)	1, 2
KANNO Kunihiko	AUW980	Licensed Representative (Provisional Licence)	9
KIM Minji	BPP291	Licensed Representative (Provisional Licence)	1, 2
KNOWD Graeme David	APJ205	Licensed Representative (Provisional Licence)	10
KO Hyun Woo	BJR302	Licensed Representative (Provisional Licence)	1
KONG Xiangyun	BPO550	Licensed Representative (Provisional Licence)	6
KWOK Fung Kiu	BPM164	Licensed Representative (Provisional Licence)	2, 5
KWOK Tsz Hin	BPM583	Licensed Representative (Provisional Licence)	4, 5, 9
LAI Chin Pang	BAE060	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Kin Wing Mitchell	BMX105	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Sta Hang Ages	BPN254 BEN081	Licensed Representative (Provisional Licence)	1, 4
LAM Sze Hang Agnes		Licensed Representative (Provisional Licence)	1, 4, 9
LASJUNIES Aurelien Matthieu	BFS899	Licensed Representative (Provisional Licence)	1, 4
LAU Tony Yik Tung	ARM315	Licensed Representative (Provisional Licence)	1, 4
LAW Ngai Fung	BPN366	Licensed Representative (Provisional Licence)	1, 4
LAW Sze Chung	BPL908	Licensed Representative (Provisional Licence)	9
LAW Yuen Ting	BPN581	Licensed Representative (Provisional Licence)	1
LEE Ching Hang	APF457	Licensed Representative (Provisional Licence)	1, 4
LEE Kin Man, Desmond	AFK515	Licensed Representative (Provisional Licence)	9
LEE Pei-Ching	BPL909	Licensed Representative (Provisional Licence)	1, 9
LEE Shon Kee, Kenneth	ARI072	Licensed Representative (Provisional Licence)	1
LEUNG Hiu Fung, Steven	BDN057	Licensed Representative (Provisional Licence)	9
LEUNG Ka Chun	BPL750	Licensed Representative (Provisional Licence)	2
LI Bin	BPN269	Licensed Representative (Provisional Licence)	4
LI Cheuk Yin, Clive	BAJ284	Licensed Representative (Provisional Licence)	1, 2
LI Linhai	BPK753	Licensed Representative (Provisional Licence)	4
LI Tim Yan Lilian	BPN243	Licensed Representative (Provisional Licence)	1
LI Tsz Hei	BPM372	Licensed Representative (Provisional Licence)	1, 4
LI Yaxin	BPM808	Licensed Representative (Provisional Licence)	1, 6
LI Yi	BPM007	Licensed Representative (Provisional Licence)	1, 4
LI Yunwei	BPO588	Licensed Representative (Provisional Licence)	1, 4, 9
LI Zhen	BPN223	Licensed Representative (Provisional Licence)	1, 4
LIANG Sheng	BPN681	Licensed Representative (Provisional Licence)	1, 2
LIM Yew Keng Benjamin	BPK437	Licensed Representative (Provisional Licence)	1
LIN Jinyi	BPN379	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Kai Shing, Jeff	BAF826	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Yangzi	BOZ838	Licensed Representative (Provisional Licence)	1
LIU Chao	AZH606	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Hongpeng	BPN150	Licensed Representative (Provisional Licence)	1, 6
LIU Hsiang-Wen	AHG443	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Huashi	BPQ331	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Ting	BOL066	Licensed Representative (Provisional Licence)	1, 4
LIU Yu	BPM217	Licensed Representative (Provisional Licence)	4
LU Tsz Hin, David	BPM954	Licensed Representative (Provisional Licence)	4, 9
LU Yanjie	BNY382	Licensed Representative (Provisional Licence)	1, 4, 9
LUK Colvin	BPQ276	Licensed Representative (Provisional Licence)	
			1, 4, 6
LUNG Tsan Yu	BPO804	Licensed Representative (Provisional Licence)	1, 2
MA Mingyang	BPO557	Licensed Representative (Provisional Licence)	1, 2
MA Siqi	AVS796	Licensed Representative (Provisional Licence)	6
MANI Praveen Ayyappa	AUV603	Licensed Representative (Provisional Licence)	9
MCCONNELL David Patrick Anthony	ACT680	Licensed Representative (Provisional Licence)	1
MECHOUK Mehdi	BPQ521	Licensed Representative (Provisional Licence)	1, 2
MILLS Jesuran Samuel Manickanayagam	BPO549	Licensed Representative (Provisional Licence)	1, 6
MIYATA Hiroshi	BPM775	Licensed Representative (Provisional Licence)	4, 9



REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS	S DURING 0	5/2020	
CE Name	CE No.	Licence Type	Types of Regulated Activity
MORRISON Thomas James	AZT479	Licensed Representative (Provisional Licence)	1, 2
MOUDOUTE EBOUMBOU Frantz	AXH217	Licensed Representative (Provisional Licence)	9
NEWMAN Neil Lionel	ABF170	Licensed Representative (Provisional Licence)	9
NG Ho Hin	BPQ182	Licensed Representative (Provisional Licence)	1, 4, 6
NG Keng Luen	BAH967	Licensed Representative (Provisional Licence)	4
NG Tow Kwong	BPN275	Licensed Representative (Provisional Licence)	4, 9
NG Wai Bun, Reuben	ACJ672	Licensed Representative (Provisional Licence)	1
NG Yeuk Hon	BPN514	Licensed Representative (Provisional Licence)	1, 4, 6
NG Yin Lam	AGK085	Licensed Representative (Provisional Licence)	1, 4
O'SULLIVAN Ian James	AAX447	Licensed Representative (Provisional Licence)	9
OUYANG Dengyan	BPN030	Licensed Representative (Provisional Licence)	1, 4, 9
PANG Richard Chig Kwong	AMS053	Licensed Representative (Provisional Licence)	1, 2
PARK Chaeyoung	BPM782	Licensed Representative (Provisional Licence)	1, 4
PARK Soo Bin	BPM359	Licensed Representative (Provisional Licence)	4
PENG Xia	BPM757	Licensed Representative (Provisional Licence)	4
	BPN270	Licensed Representative (Provisional Licence)	4
QIU Hanxuan REN Tingting	BPM216	, , , , , , , , , , , , , , , , , , , ,	1, 4
		Licensed Representative (Provisional Licence)	,
RICHDALE Kate Gaskell	AFZ874	Licensed Representative (Provisional Licence)	1, 4
ROBERSON Keri Anna	BPM469	Licensed Representative (Provisional Licence)	1, 2
RYU Tantan	AQQ257	Licensed Representative (Provisional Licence)	1
SATO Hiromi	ANS087	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 6
SCHLOEGEL Fabrice Robert Marius Claude	BPO546	Licensed Representative (Provisional Licence)	4
SHEN Juan	BPN843	Licensed Representative (Provisional Licence)	4
SHI David	BPL904	Licensed Representative (Provisional Licence)	1, 6
SHIU Lap Kei	AOI979	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Wenqing	BPN632	Licensed Representative (Provisional Licence)	1, 4, 6
SO Benjamin Chun Fung	BPN561	Licensed Representative (Provisional Licence)	1, 2
SO Tsz To	BCZ064	Licensed Representative (Provisional Licence)	1, 4
SUN Congshi	BPQ381	Licensed Representative (Provisional Licence)	4, 9
TAM KAM FAI	BPN435	Licensed Representative (Provisional Licence)	2
TAM Kwok Fung	BPO998	Licensed Representative (Provisional Licence)	4, 9
TAN De Cheng	BPO625	Licensed Representative (Provisional Licence)	3
TANG Huan	BPO604	Licensed Representative (Provisional Licence)	4
TANG Yick Shun	BPM248	Licensed Representative (Provisional Licence)	1
TAO Wen	BPM184	Licensed Representative (Provisional Licence)	1, 2, 3
TO Benjamin Ngai Mun	BPN335	Licensed Representative (Provisional Licence)	1
TONG Rachel	AEH156	Licensed Representative (Provisional Licence)	4, 9
TSANG Man Shan, Isabella	ABQ288	Licensed Representative (Provisional Licence)	1, 4
TSE Sing Lun Howard	BPO921	Licensed Representative (Provisional Licence)	4, 5
UBERTO Theophile	BPN066	Licensed Representative (Provisional Licence)	1
VAISHAMPAYAN Ashlesha Himanshu	BPL286	Licensed Representative (Provisional Licence)	1, 4
WANG Chao	ATO947	Licensed Representative (Provisional Licence)	9
WANG Cindy Tzu Yu	BIC109	Licensed Representative (Provisional Licence)	1, 2
WANG Ciridy 120 FU WANG Fei	BPL973	Licensed Representative (Provisional Licence)	1, 4, 6
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WANG Jiayang	BPN336	Licensed Representative (Provisional Licence)	3
WANG Jingyuan	BPM730	Licensed Representative (Provisional Licence)	1
WANG Jundi	BLS790	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Ruguo	BPM686	Licensed Representative (Provisional Licence)	1, 6
WANG Shiqing	BPO888	Licensed Representative (Provisional Licence)	1, 6
WANG Siyu	BPM165	Licensed Representative (Provisional Licence)	1, 6
WANG Ta-Yu	BPN502	Licensed Representative (Provisional Licence)	1, 2, 9
WANG Weiying	AUK275	Licensed Representative (Provisional Licence)	4
WANG Zhe	BPP008	Licensed Representative (Provisional Licence)	1, 6
WANG ZHONG	BPN597	Licensed Representative (Provisional Licence)	1, 4
WANG Zonghao	BPL905	Licensed Representative (Provisional Licence)	1, 4
WEI Ou	BPO672	Licensed Representative (Provisional Licence)	1, 6
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REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS	, DOI	I	T
CE Name	CE No.	Licence Type	Types of Regulated Activity
WEN Chan-chun	BPM734	Licensed Representative (Provisional Licence)	1, 4
WONG Ka Bong	BPK782	Licensed Representative (Provisional Licence)	9
WONG Kai Yuen	BPN374	Licensed Representative (Provisional Licence)	1
WONG Kin Ming	ACS342	Licensed Representative (Provisional Licence)	3
WONG Pui Yee	BPL915	Licensed Representative (Provisional Licence)	9
WONG Yick	BDP475	Licensed Representative (Provisional Licence)	1, 2, 4
WU Miaomiao	BPN758	Licensed Representative (Provisional Licence)	1, 4, 9
WUN Wai Yee	BPM869	Licensed Representative (Provisional Licence)	1
XIA Wei	BFD770	Licensed Representative (Provisional Licence)	1, 2
XU Jianhong	BPN300	Licensed Representative (Provisional Licence)	1, 4, 6
XU Zhiwei	BPM799	Licensed Representative (Provisional Licence)	1
YANG Huaibin	BNR146	Licensed Representative (Provisional Licence)	1, 4
YANG Yadu	BPN266	Licensed Representative (Provisional Licence)	1, 6
YAO Xiangchen	BPN852	Licensed Representative (Provisional Licence)	4
YAO Xue	AWK470	Licensed Representative (Provisional Licence)	1, 4
YAU Chun Wing	BPM780	Licensed Representative (Provisional Licence)	2
YE Fang	BLN946	Licensed Representative (Provisional Licence)	1, 4, 6
YING Chongxi	BDL301	Licensed Representative (Provisional Licence)	4
YU Zheng	BPN334	Licensed Representative (Provisional Licence)	1, 4, 9
YU Hoi On	AOM779	Licensed Representative (Provisional Licence)	9
YUN Jing	BPN086	Licensed Representative (Provisional Licence)	4
YUN Jung Yoo	BPN532	Licensed Representative (Provisional Licence)	9
YUNG Man Ching	BFP330	Licensed Representative (Provisional Licence)	1, 4
ZENG Siyang	BPP117	Licensed Representative (Provisional Licence)	4
ZHANG Mengxi	BPN589	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shida	BPO914	Licensed Representative (Provisional Licence)	9
ZHANG Xukun	BOA129	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yilin	BPN263	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhixin	BPO659	Licensed Representative (Provisional Licence)	6
ZHAO Daihong	ALZ348	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Jiashou	BOL158	Licensed Representative (Provisional Licence)	1
ZHOU Pei	BPM792	Licensed Representative (Provisional Licence)	6
ZHOU Yulong	BPB475	Licensed Representative (Provisional Licence)	1, 4
ZHU Maxim Muzi	BPO917	Licensed Representative (Provisional Licence)	9
CHAN Chi Yu	BOT867	Licensed Representative (Temporary Licence)	4
DISTENFELD Gershon M	BAX714		4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence) Licensed Representative (Temporary Licence)	4
LIU Xin	BOO975	Licensed Representative (Temporary Licence)	1, 4
	BPA587	Licensed Representative (Temporary Licence)	1, 4
SEE Kwang Yew			· ·
SETH Neeraj	AYB919	Licensed Representative (Temporary License)	1, 4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary License)	4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	
UEBEL Miriam Constanze	BPK154	Licensed Representative (Temporary Licence)	1, 4
WANG Zhe	BEG251	Licensed Representative (Temporary Licence)	
YIN Xinchi	BOT875	Licensed Representative (Temporary Licence)	4
YUAN Jiancong	BPR499	Licensed Representative (Temporary Licence)	4