

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
Altitude Principle Advisory Limited	AWR509	Licensed Corporation	9
Aspen Capital Management (HK) Limited	BLH620	Licensed Corporation	9
Blooming Securities Limited	BNM922	Licensed Corporation	1
China AF Corporate Finance Limited	BGI768	Licensed Corporation	6
China Innovation Capital Limited	BDI700	Licensed Corporation	1, 4, 9
China Securities Holdings Limited	ABE233	Licensed Corporation	1
China Tian Yuan Commodities and Futures Limited	BJI173	Licensed Corporation	2
City Financial Investment Company (Hong Kong) Limited	BCF696	Licensed Corporation	9
Deepwater Capital Limited	BET681	Licensed Corporation	4, 9
F.E. Corporate Finance Advisory Limited	AYC994	Licensed Corporation	6
Oneday Corporate Finance Limited	BND285	Licensed Corporation	6
Voltex Asia Capital Limited	AZQ728	Licensed Corporation	9
Wealthy Global Financial Asset Management Limited	BIG473	Licensed Corporation	4, 9
AGRAWAL Vishal	BFZ078	Licensed Representative	9
ARDON Jean-Denis Etienne	AZY381	Licensed Representative	1, 2, 4, 5
ARNAUDO Claire	BCG507	Licensed Representative	1, 2
AU Cheuk Yan	AAC676	Licensed Representative	1
AU Ho Lun	ATS067	Licensed Representative	1, 9
AU Man Kuen	AOV369	Licensed Representative	1
BAO Yili	BOA506	Licensed Representative	4, 9
BIAN Huan	BGY961	Licensed Representative	9
BOON Ah Hit	AAF001	Licensed Representative	1
BROWN Martyn Roger	ALJ768	Licensed Representative	1, 2
CAI Feng	AOM736	Licensed Representative	9
CHAI Albert Mei Khoon	BBK320	Licensed Representative	1, 4, 5, 9
CHAI Christine Hua	BCK713	Licensed Representative	2, 5
CHAN Che Yin, Jeanny	ASL988	Licensed Representative	1, 4
CHAN Ernest	BOF190	Licensed Representative	2, 5
CHAN Ka Hing	AFZ625	Licensed Representative	4
CHAN Ka Ming	BPB672	Licensed Representative	1
CHAN Ka Ning	BAL217	Licensed Representative	4, 9
CHAN Ka Wai	AOS339	Licensed Representative	1
CHAN Kwok Wai, Spencer	AEF253	Licensed Representative	1
CHAN Min Sheng	BOR258	Licensed Representative	4
CHAN Shut Sang	BIH308	Licensed Representative	1, 2
CHAN Wing Yiu	BNR194	Licensed Representative	1, 2
CHAN Wun	AAB396	Licensed Representative	1
CHAN Yat Wai	BIS579	Licensed Representative	1, 6
CHAN Yip Chu	BOA792	Licensed Representative	4, 9
CHAN Yuk King	ACQ204	Licensed Representative	1, 9
CHAN Zhen Wei	BGH259	Licensed Representative	1, 2, 9
CHAU Man Ting	AQN540	Licensed Representative	1
CHEN Cheng	BLC897	Licensed Representative	9
CHEN Jianfang	BEK767	Licensed Representative	9
CHEN Jiehan	AYS572	Licensed Representative	1, 2
CHEN Qiming	AYN332	Licensed Representative	1, 4, 9
CHEN Sheng	BKH355	Licensed Representative	1, 6
CHEN Xi	AZT130	Licensed Representative	1, 4, 6
CHEN Yang	BOD220	Licensed Representative	1
CHENG Bingzhe	BOL227	Licensed Representative	1, 2
CHENG Hau Man, Cecil	AFB349	Licensed Representative	1, 2
CHENG Kai Him	BBP547	Licensed Representative	1, 2
CHENG Man Tat, Tacky	AIC943	Licensed Representative	1, 2, 4, 5, 9
CHENG Wilson	BAI857	Licensed Representative	1, 4, 9
CHENG Xi	BJK874	Licensed Representative	1, 6
CHENG Yu Yan, Jacqueline	BDL548	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Hoi Li	BNU993	Licensed Representative	1, 2, 4, 9
CHEUNG Ka Wai	BNJ807	Licensed Representative	1, 2
CHEUNG Man Hung	ABM683	Licensed Representative	1
CHEUNG Yan Yin	BNF992	Licensed Representative	1, 6
CHING Yuk Shan, Maggie	AGC976	Licensed Representative	1, 2, 4, 5
CHOW Chak Shing	AGG820	Licensed Representative	1, 4
CHUI Suk Yin, Carolyn	AAK271	Licensed Representative	9
CHUNG Chi Kin, Kenneth	ARB660	Licensed Representative	1, 4, 9
CHUNG Chor Kiu, Janice	BHY506	Licensed Representative	1, 6
CHUNG Kam Cheung	ADC258	Licensed Representative	1
CLEMENTSON Christian James	ANE031	Licensed Representative	1
CLENDENNY Ryan O'Donnell	APF224	Licensed Representative	1, 2
CUBBON Nicholas William	AYT705	Licensed Representative	9
DAI Chennan	BNR108	Licensed Representative	4
DASH Kaushik	APK998	Licensed Representative	1, 2
DAUREL Thomas	BOO147	Licensed Representative	9
DE VILLELE Benoit Marie Patrice Andre	ARJ464	Licensed Representative	1, 4
DOH Eunuk	BMK467	Licensed Representative	1, 6
DONG Yuan	AVK624	Licensed Representative	1, 2
DU Yiping	BOA881	Licensed Representative	4, 9
DUBUISSON Boris Bruno Michel	BKW512	Licensed Representative	9
FAN Zijun	BMJ408	Licensed Representative	9
FENG Wei	BCK590	Licensed Representative	1, 4
FONG Cheuk Man	ACQ172	Licensed Representative	1
FU Rulin	BOO629	Licensed Representative	1
FUNG Kong Kiu	AAD685	Licensed Representative	1
FUNG Wai Choi, Francis	AAD880	Licensed Representative	1
GAN Man Yi	BOK897	Licensed Representative	1, 2, 4, 5
GE Yao	BDY307	Licensed Representative	1, 2, 4, 9
GHOSH Tvishampati	APL279	Licensed Representative	9
GILL Harvinder Preet Singh	BLL847	Licensed Representative	1, 2
GU Huanhao	BNR192	Licensed Representative	1, 2, 4
GUO Qiang	AYW017	Licensed Representative	4, 9
HAM Tae Gyu	BOF166	Licensed Representative	1, 4
HAN Biao	BGJ101	Licensed Representative	4, 9
HAN Chia Lin	AGG699	Licensed Representative	1, 4, 9
HAN Kunlun	BOU083	Licensed Representative	1, 4, 6
HARKER Christopher Paul	AZR414	Licensed Representative	6
HAUNG Yi Chun	AAA191	Licensed Representative	1
HE Jinchang	BEC496	Licensed Representative	1, 4, 9
HE Linwei	BNP105	Licensed Representative	1, 4, 9
HE Mei	AVJ148	Licensed Representative	9
HE Qizhen	BMR100	Licensed Representative	1, 2
HEUNG Sau Chak	ATT583	Licensed Representative	1
HEWITT David James	APG723	Licensed Representative	4
HO Chun Kuen, Ronald	BMN226	Licensed Representative	9
HO King Yan, Lilian	BHZ137	Licensed Representative	1
HO Ting Lok	BNN775	Licensed Representative	1, 2, 4, 5
HO Wai Tung Nellie	BIT010	Licensed Representative	1, 6
HUANG Kanglin	BGL116	Licensed Representative	1, 2, 9
HUANG Xiren	BOX461	Licensed Representative	1, 4, 6
HUI Ho Lok	BOR769	Licensed Representative	1, 4, 9
HUI Ho Ming	ACQ676	Licensed Representative	1, 2
HUI King Leung	ADS658	Licensed Representative	1, 2
HUNG Wai	ACR120	Licensed Representative	2
INDERST Andreas	BOE621	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
IP Siu Yan	BON584	Licensed Representative	1, 2
IP Yin Mei	BJL244	Licensed Representative	1
JARJOURA Kristofer Hamid	AWS861	Licensed Representative	1, 2
JENKINS Benjamin Taylor	BOF078	Licensed Representative	1
JIN Bang	BNJ982	Licensed Representative	4
JONES Andrew Gareth	BAG331	Licensed Representative	1
KAN Cheuk Wah	AAZ957	Licensed Representative	1
KE Dongyang	BKH652	Licensed Representative	1, 4, 6
KENDALL Samuel James	AYP989	Licensed Representative	6
KIM Byung Joo	BJE661	Licensed Representative	1
KIM Ivy Kang	AUQ300	Licensed Representative	1
KIM Jung Soo	BNU531	Licensed Representative	1, 9
KNIGHT James	BON642	Licensed Representative	4
KO Gheng Tee, Kelvin	ARB048	Licensed Representative	1, 2, 4
KOON Chun Ming	AQC387	Licensed Representative	1, 2
KOU Chung Yin, Mariana	AVE181	Licensed Representative	4
KU Wai Yu	BMN404	Licensed Representative	4
KUO Chien Liang	BIN828	Licensed Representative	1
LAI Christina Pik Kwan	BGP294	Licensed Representative	1, 4
LAI Chun Ho	BOH524	Licensed Representative	1
LAI Kam Chiu	AQD798	Licensed Representative	1
LAI Ming Yan	BNK808	Licensed Representative	6
LAI Yuying	BNC871	Licensed Representative	1, 4, 9
LALA Manish	AUZ529	Licensed Representative	1, 2
LAM Pui Yan	BMV373	Licensed Representative	1, 2
LAM Shuk Yin Rita	BEC595	Licensed Representative	9
LAM Siu Hong	ACK523	Licensed Representative	3
LAM Tau Hing	BOT764	Licensed Representative	4, 9
LAU Bo Wai	ABA491	Licensed Representative	1, 2
LAU Cheuk Lun	BME756	Licensed Representative	4, 9
LAU Kin Leung	ABF504	Licensed Representative	1
LAU Ting Ting	ABG417	Licensed Representative	1
LAW KA KIU VICTOR	BLF268	Licensed Representative	4, 9
LAW Wai Hang	AOX111	Licensed Representative	9
LAW Wai Kwan	BMV694	Licensed Representative	1
LEAR Mark Andrew	BNG613	Licensed Representative	4
LEE Chun Tak	BOK633	Licensed Representative	6
LEE Kin Sing	AAB245	Licensed Representative	1
LEE Lit Man	AGL490	Licensed Representative	4, 9
LEE Pui Yan	BMV966	Licensed Representative	1
LEUNG Ho Ming	AAE342	Licensed Representative	1
LEUNG Vicky	ACN925	Licensed Representative	1, 2
LEUNG Ying Kwan	BFY311	Licensed Representative	2
LEVI David Eric	BOZ251	Licensed Representative	2, 5
LI Chun Lun	BOD303	Licensed Representative	1, 2
LI Jason	BGH353	Licensed Representative	1, 2
LI Jiaming	BOE640	Licensed Representative	4
LI Kwok Choi	AFR315	Licensed Representative	1
LI Man Hong	AZL821	Licensed Representative	1, 4, 9
LI Wai Ling	ARB950	Licensed Representative	3
LI Yuet Mei, Dilys	AEG304	Licensed Representative	1
LIAO Bob Hai Thang	BOH782	Licensed Representative	4
LIM Weineng	BHM582	Licensed Representative	1
LIN Siting	BKO337	Licensed Representative	4, 9
LIN Zhimin	BDO702	Licensed Representative	1, 9
LIU Chin Ting, Billy	AZE659	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Siu Ha	AEJ989	Licensed Representative	1
LIU Tin Wai	ALV394	Licensed Representative	1
LIU Yuyu	BHX849	Licensed Representative	1
LIU Zhen	BOT041	Licensed Representative	1, 4, 6
LO Lai Lai	BNR433	Licensed Representative	1, 2, 4
LO Shuk Yan, Loretta	AMI340	Licensed Representative	1, 4
LO Wing	APR851	Licensed Representative	6
LO Yuk Wa	BFE561	Licensed Representative	4
LOK Tsz Hong	AMY729	Licensed Representative	3
LOU Yuhan	BMI892	Licensed Representative	1, 4, 9
LU Linwei	BOS822	Licensed Representative	1, 4, 9
LU PeiLin	BKB946	Licensed Representative	1, 4, 9
LUI Che Leung	AAP227	Licensed Representative	1
LUK Chow Sing	AAE546	Licensed Representative	1
LUK Wing Kwong	ARI940	Licensed Representative	1
LUND Simon Ingemann	BBR097	Licensed Representative	1, 9
LUO Qi Guang	AGE408	Licensed Representative	1, 2
LUO Xuwen	BID692	Licensed Representative	10
MANG Yun Sun, George	AFU534	Licensed Representative	1, 6
MITSUMI Akira	BFV016	Licensed Representative	1, 4
MO Li	BEX250	Licensed Representative	1
MOK Ian Ka Yat	BOD623	Licensed Representative	1, 9
MORIYAMA Keisuke	AQP609	Licensed Representative	4
MOUNTFORD Nicholas William	BOR486	Licensed Representative	1, 2
NAKAMURA Tomoyuki	ARG535	Licensed Representative	1, 4
NG Chun Hei	BMO281	Licensed Representative	4
NG Kin Pan	AQZ543	Licensed Representative	1
NG Poon Tak	ACQ225	Licensed Representative	3
NG Sau Wah	AGM553	Licensed Representative	1
NG Siu Ching	BCF238	Licensed Representative	1, 9
NG Sze Man	AMN571	Licensed Representative	9
NG Tsz Wing	BBD847	Licensed Representative	9
NGAN Chi Chun Alfee	BLV188	Licensed Representative	4, 9
NGAN Hei Wah	ABU381	Licensed Representative	1
ONG Charlie Yveline Jeanne	BLG082	Licensed Representative	4, 9
ONO Masanori	BDR307	Licensed Representative	1, 4, 7
OTTEN Frans Alexander	BJW529	Licensed Representative	9
PAN Jie	BNO111	Licensed Representative	1
PAN Ying	BOH023	Licensed Representative	1, 4, 9
PANDAY Rahul Vijay Kumar	BOI060	Licensed Representative	1, 4, 6
PANG Ka Po	BDK981	Licensed Representative	1, 6
PANG Wai Chuen Edmond	BMA226	Licensed Representative	1, 6
PANGALI Pawandeep	AVU841	Licensed Representative	1, 2
PAU William	APB248	Licensed Representative	1, 4
PERRIGNON Matthew William	BCS711	Licensed Representative	1, 4
PRANATA Robert Paul	BOD099	Licensed Representative	4
RAMBHIA Urvish Jayantilal	BFX040	Licensed Representative	1, 6
RAN Linwan	BJE120	Licensed Representative	1, 6
RIDDICK Andrew William	AAA869	Licensed Representative	1, 4
ROSENFELD Vivian Saul	BBZ490	Licensed Representative	1, 2, 7
SAGE Robert Ian	BOP253	Licensed Representative	4
SALATI Giasone Ulisse	BOI944	Licensed Representative	4
SEE Bo Cheung	AAE199	Licensed Representative	1
SHEA Hoi Kan	BOA161	Licensed Representative	1, 6
SHEN Yiqing	BKW685	Licensed Representative	1, 4, 6
SI Meng	BLU921	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SIMONS Warwick Dean	AWT996	Licensed Representative	9
SIU Lai Yi	BNR596	Licensed Representative	1, 2
SOH David	AEI247	Licensed Representative	1, 4
SONG Yingxi	BFE170	Licensed Representative	1, 6
SPELKER Matthew Alan	BOU805	Licensed Representative	1, 4
STRUTT William Raymond	BJI822	Licensed Representative	4
SUH Soobene	BIT671	Licensed Representative	9
SUJANANI Dolly Sehwani	BPE236	Licensed Representative	1, 4, 9
SUN Chi Hung Samuel	BIY268	Licensed Representative	9
SUN Homer	AFT544	Licensed Representative	1, 4, 9
SZE Kam Ying	BID167	Licensed Representative	1, 4
SZE Wing Ka Sherryn	BNC276	Licensed Representative	4, 9
SZETO Tung, Danny	ALL188	Licensed Representative	1, 2
TAM Cheuk Fai	ABY188	Licensed Representative	1, 2
TAM Kwok Chung	BJG479	Licensed Representative	1, 2
TAM Wai Sum	BHA088	Licensed Representative	4, 9
TAN May Ee	BNP080	Licensed Representative	1, 2
TANG Hoi Lun, Helen	BBU975	Licensed Representative	1, 2, 4
TANG On Key, Angela	AFO588	Licensed Representative	1, 4, 9
TAO Yue	BOU044	Licensed Representative	1
TEO Yung Keong	BFY076	Licensed Representative	4
TIKOLO Oluwatobi Temitope Martins	BFZ225	Licensed Representative	1, 2, 7
TO Perry Ho Ting	ALI684	Licensed Representative	3
TSANG Ernest Zhi-wing	BOF243	Licensed Representative	1, 4, 9
TSANG Pui Ling Polly	BMI929	Licensed Representative	1, 4, 9
TSE Siu Kam	ABP637	Licensed Representative	1
TSE Yu Man, Herman	ADC750	Licensed Representative	6
ULPO Lodevico Jr. Mabunga	BOH399	Licensed Representative	4, 9
WAN Cheuk Ho	AQQ371	Licensed Representative	1, 2
WAN Tsun Kan	BKA500	Licensed Representative	1, 6
WANG Guanlong	BOT372	Licensed Representative	4, 9
WANG Kun	BFP546	Licensed Representative	1, 4, 9
WANG Lisha	AWW125	Licensed Representative	1, 4
WANG Tong	BMX991	Licensed Representative	4, 9
WANG Zixi	BHE069	Licensed Representative	1, 2
WANG Zixu	BOT482	Licensed Representative	1
WARNER William	AZM647	Licensed Representative	9
WEI Hongyu	BNV461	Licensed Representative	1, 4
WEN Jiaxue	BOT884	Licensed Representative	1, 4, 6
WEN Shan Tseng	BNU567	Licensed Representative	1, 4
WENHAM James Alexander Scott	AVF334	Licensed Representative	1, 4
WONG Chi Wai	ANZ773	Licensed Representative	1, 2
WONG Chun Him	BNH706	Licensed Representative	1
WONG Hon Lan, Esther	ADG798	Licensed Representative	1
WONG Hung Fu	AXS431	Licensed Representative	1, 2, 3, 4
WONG Jie Min, Adrian	BPA383	Licensed Representative	1, 4, 6
WONG Lap Chun	AXX954	Licensed Representative	1, 2, 4, 9
WONG Mei Keung	AEA002	Licensed Representative	1, 6
WONG Sung Tak	BGE769	Licensed Representative	1, 2
Wong Sze Kit	ALO415	Licensed Representative	1
WONG Tak Hung	AMS556	Licensed Representative	1, 2
WONG Wai Ho	AVV366	Licensed Representative	4, 9
WONG Ying Ying	AVN303	Licensed Representative	4, 9
WU Chiu Kin	AAC157	Licensed Representative	1
WU Nan	AUW139	Licensed Representative	1, 4
WU Shiqi	BKT374	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Wenxuan	BEO557	Licensed Representative	3
WU Yushan	BIG145	Licensed Representative	1
XIE Xingyun	BNW032	Licensed Representative	1, 6
XIN Peng	BMB690	Licensed Representative	1, 2
XING Tao	AXQ845	Licensed Representative	9
XING Tingzhi	BGN152	Licensed Representative	1, 4
XU Juan	AWP286	Licensed Representative	1, 4
YANG Jae Kyoo	BCE785	Licensed Representative	1, 4, 9
YANG Jiping	BAC066	Licensed Representative	6
YANG Lan	BKM834	Licensed Representative	1, 4
YANG Quanxin	AZF438	Licensed Representative	4, 9
YANG Yanping	AYS114	Licensed Representative	6
YAU Siu Yin	BNU185	Licensed Representative	1, 2
YE Zhuoying Susie	BEW110	Licensed Representative	1, 6
YEUNG Ming Lung	BOI435	Licensed Representative	1, 2, 4, 9
YEUNG Tak Yi	BOK641	Licensed Representative	1
YEUNG Yun Chu	AUW601	Licensed Representative	1
YIP Sheung Fung	BOS266	Licensed Representative	4
YU Gengen	BOI162	Licensed Representative	1, 6
YU Mui Mui	AAD931	Licensed Representative	1
YUE Richard Ka Ming	BIE101	Licensed Representative	4, 9
YUE Siu Chun	BKB839	Licensed Representative	9
YUNG Chi Kan	AAK614	Licensed Representative	1
YUNG Muk Tsz	AUB778	Licensed Representative	4
ZENG Shuai	BEM599	Licensed Representative	9
ZHANG Mengjiao	BIG465	Licensed Representative	4, 9
ZHANG Yunyan	BNP154	Licensed Representative	9
ZHAO Ziyi	BNR609	Licensed Representative	1, 6
ZHENG Ruimin	BNS350	Licensed Representative	1, 4
ZHOU Guang	ALS668	Licensed Representative	1, 4
ZHOU Yanru	BNN868	Licensed Representative	1, 6
ZHU Guyi	BJZ582	Licensed Representative	1
ZHU Sicheng	BNO598	Licensed Representative	1, 6
ZHUANG Yuewen	BNB966	Licensed Representative	1, 2, 4
ALLISON Michael Sean	BOL390	Licensed Representative - Responsible Officer	9
CHAK Ka Fai, Samuel	AMX582	Licensed Representative - Responsible Officer	1, 2, 4
CHAN Alex King Fai	BDY278	Licensed Representative - Responsible Officer	1, 4
CHAN Benson Lin-Fong	AHC184	Licensed Representative - Responsible Officer	4, 9
CHENG Yuk Kin	AOB642	Licensed Representative - Responsible Officer	6
CHEUNG Man Kuk	BME211	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Yue Kwong, Eugene	BGQ198	Licensed Representative - Responsible Officer	4, 9
CHIAO Li	AEC714	Licensed Representative - Responsible Officer	9
CHOI Wai Sang Kenny	ASY684	Licensed Representative - Responsible Officer	9
CHU Ka Shing	AIJ345	Licensed Representative - Responsible Officer	9
CHUNG Chi Kin, Kenneth	ARB660	Licensed Representative - Responsible Officer	6
CONQUET Benjamin Antoine Marie	ATB935	Licensed Representative - Responsible Officer	1
COYLE John D	BOH593	Licensed Representative - Responsible Officer	9
CREATORE Marc David	BKB286	Licensed Representative - Responsible Officer	9
DALET Joffrey	BAD880	Licensed Representative - Responsible Officer	9
DECHY Gregoire Jacques Charles	AMP200	Licensed Representative - Responsible Officer	9
DEGUCHI Shinya	BEC305	Licensed Representative - Responsible Officer	4, 9
GAO Han	BCF244	Licensed Representative - Responsible Officer	9
HECHE Raymond Charles Louis	AAI942	Licensed Representative - Responsible Officer	1, 4, 9
HO Chun Ming, Johnny	ABS266	Licensed Representative - Responsible Officer	1
HSU Chia-Wei	AOW885	Licensed Representative - Responsible Officer	4, 9
HU Ka Lam	AEP558	Licensed Representative - Responsible Officer	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Chien-Jui	AVA600	Licensed Representative - Responsible Officer	4, 9
HUEN Kit Yee	AHJ779	Licensed Representative - Responsible Officer	4, 9
HUI Nok Yi	BGO810	Licensed Representative - Responsible Officer	1
JHA Vinesh Deo	BFJ610	Licensed Representative - Responsible Officer	9
KIM John Johng Yun	ACP274	Licensed Representative - Responsible Officer	1, 6
KIRCHMANN Catherine Louise	BGI249	Licensed Representative - Responsible Officer	1, 4, 9
KWAN Tsun Man	AWA326	Licensed Representative - Responsible Officer	2, 5
LAI Yui Ben	AJI707	Licensed Representative - Responsible Officer	9
LAM Lok Yee, Ada	ACG599	Licensed Representative - Responsible Officer	4, 9
LAM Shuk Yin Rita	BEC595	Licensed Representative - Responsible Officer	1, 4
LASHINSKI Edward William	BHZ325	Licensed Representative - Responsible Officer	4, 9
LAW Man Kit	ABL714	Licensed Representative - Responsible Officer	1
LAW Mang Kwan	AON979	Licensed Representative - Responsible Officer	1, 4, 9
LAW Siu Wah, Eddie	ACD719	Licensed Representative - Responsible Officer	4, 9
LEUNG Chun Keung	AFG667	Licensed Representative - Responsible Officer	4, 9
LEUNG Lai Kar	AEY943	Licensed Representative - Responsible Officer	1, 6
LI Kwok Tung	ABZ281	Licensed Representative - Responsible Officer	1, 2
LIN John Sian-Zu	AED623	Licensed Representative - Responsible Officer	4, 9
LIU Lei	BMW156	Licensed Representative - Responsible Officer	4, 9
LO Lap Kei, Arthur	BFK775	Licensed Representative - Responsible Officer	9
LO Yam Pui, Eric	ACA520	Licensed Representative - Responsible Officer	1, 2, 4
MADDURI Anand Balakrishna	ANH754	Licensed Representative - Responsible Officer	9
MO Bing	AMS905	Licensed Representative - Responsible Officer	1, 4
NAKA Kazuhito	BDC123	Licensed Representative - Responsible Officer	1, 2, 4, 5
NEWMAN Mark Harrison	BHK234	Licensed Representative - Responsible Officer	9
NG Chak Chung	AGK907	Licensed Representative - Responsible Officer	4, 9
NG Lok Yan	AOG290	Licensed Representative - Responsible Officer	1, 4
NG Meng Hua	ABF972	Licensed Representative - Responsible Officer	6
SKINNER Donald William Lennox	AAI493	Licensed Representative - Responsible Officer	1, 4, 9
SMITH Ian Micheal Corfield	ASH865	Licensed Representative - Responsible Officer	1, 2, 7
SO Yee Wan, Jannifer	AAD826	Licensed Representative - Responsible Officer	1
SUN Chen	AGC869	Licensed Representative - Responsible Officer	1, 4, 9
SUN Tak Chiu	ABN579	Licensed Representative - Responsible Officer	6
TAN Chee Hoe	BMV620	Licensed Representative - Responsible Officer	1, 4
TAN Chun Peng, Desmond	BFN306	Licensed Representative - Responsible Officer	4, 9
TRAN QUI Ann-Sandra	ASD252	Licensed Representative - Responsible Officer	1, 2
VAN VOORST Michiel	APU852	Licensed Representative - Responsible Officer	4, 9
WANG Linjun	BJV425	Licensed Representative - Responsible Officer	1, 4, 9
WANG Xuan	BEW977	Licensed Representative - Responsible Officer	4, 9
WANG Zhi Bin Gage	AXC504	Licensed Representative - Responsible Officer	9
WONG Chi Chun	ASM070	Licensed Representative - Responsible Officer	2
WONG Yu Tsang Alex	ALY116	Licensed Representative - Responsible Officer	9
WU Jing	BOA536	Licensed Representative - Responsible Officer	4, 9
WU Yi Shun, Josephine	ATT236	Licensed Representative - Responsible Officer	4, 9
XIE Liuyi	ANX203	Licensed Representative - Responsible Officer	4, 9
XU Shufu	AZF356	Licensed Representative - Responsible Officer	9
YANG Yan	ALW928	Licensed Representative - Responsible Officer	1, 4
YEO Daniel Seng Cheng	ANZ956	Licensed Representative - Responsible Officer	2
YEUNG Wing Kan	ABZ591	Licensed Representative - Responsible Officer	9
YEUNG Yuk Yu	AXK779	Licensed Representative - Responsible Officer	4, 9
YU Lei	APF652	Licensed Representative - Responsible Officer	4
ZHANG Yibin	AWT443	Licensed Representative - Responsible Officer	1, 4
ZHANG Zhifeng	BCH854	Licensed Representative - Responsible Officer	9
BANA Aashvi	BPK829	Licensed Representative (Provisional Licence)	1, 2
BENJELLOUN Abdelouahed	BPP034	Licensed Representative (Provisional Licence)	1, 2, 7
BI Wei	ATC372	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
BIAN Ruiii	BPO548	Licensed Representative (Provisional Licence)	4
BROWNE Daniel Joseph	AVC916	Licensed Representative (Provisional Licence)	1
BROWNE Luke Richard Tyrill	BLY989	Licensed Representative (Provisional Licence)	4, 5, 9
CAI Baohui	BPN369	Licensed Representative (Provisional Licence)	4
CHAN Cho Man	BPM940	Licensed Representative (Provisional Licence)	1
CHAN Jeffrey Siu Yuen	BPN233	Licensed Representative (Provisional Licence)	1, 6
CHAN Kar Kei Calvin	BPP012	Licensed Representative (Provisional Licence)	1, 6
CHAN Kin On	BMV939	Licensed Representative (Provisional Licence)	1, 4
CHAN TSANG MO	BMP705	Licensed Representative (Provisional Licence)	4, 9
CHAN Tsui Wa	BGN070	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Kwong, Nicholas	ACC181	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Chun Chieh	BPO661	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Hsiao Hsin	BPL974	Licensed Representative (Provisional Licence)	1, 9
CHEN Han	BPL438	Licensed Representative (Provisional Licence)	4, 9
CHEN Moxin	BPK869	Licensed Representative (Provisional Licence)	9
CHEN Sheng	BPL918	Licensed Representative (Provisional Licence)	1, 6
CHEN Weihang	BPL845	Licensed Representative (Provisional Licence)	4, 9
CHEN Ziyao	BPJ838	Licensed Representative (Provisional Licence)	1, 4, 6
CHENG Chia-Yi	BPK718	Licensed Representative (Provisional Licence)	4
CHEUNG Kam Fong	ADY085	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Lik Ping	AFJ470	Licensed Representative (Provisional Licence)	4
CHOI Terese	BPN261	Licensed Representative (Provisional Licence)	1, 4
CHOW Tin Pui	BPL647	Licensed Representative (Provisional Licence)	1, 6
CHUA Ryan Yih Ting	APH802	Licensed Representative (Provisional Licence)	9
CHUNG Sung-min	AVS891	Licensed Representative (Provisional Licence)	1, 6
CORRIGAN Marcus Lee	BPN740	Licensed Representative (Provisional Licence)	1
CUI Can	BPM918	Licensed Representative (Provisional Licence)	1, 6
DAI Fangqi	BPP059	Licensed Representative (Provisional Licence)	4
DENG Simiao	BPL805	Licensed Representative (Provisional Licence)	9
DING Hanying	BPM849	Licensed Representative (Provisional Licence)	4, 9
DONG Yunyu	BPN234	Licensed Representative (Provisional Licence)	1, 4, 9
DONOVAN Sean Patrick	BPM569	Licensed Representative (Provisional Licence)	4
DWIVEDI Prashant	BPN735	Licensed Representative (Provisional Licence)	1, 4
ENGMANN Matthew David George	BPN565	Licensed Representative (Provisional Licence)	1
FAN CHUN YIN	BPN274	Licensed Representative (Provisional Licence)	1
FAN Yiwen	BPN733	Licensed Representative (Provisional Licence)	6
FARHAT Sarah	BPM811	Licensed Representative (Provisional Licence)	1, 2
FENG Jingyan	BPM186	Licensed Representative (Provisional Licence)	1, 6
FOK Wai Hung	BJL159	Licensed Representative (Provisional Licence)	4, 9
FONG Anthony Chek Hien	BPN271	Licensed Representative (Provisional Licence)	1, 2, 9
GAO Yingjie	BPM234	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
GILMAN Lisa	BPN232	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
GRAVANIS Anthony	ALW210	Licensed Representative (Provisional Licence)	9
GUO Min	BPB539	Licensed Representative (Provisional Licence)	4
HAGIWARA Kenichi	BKF268	Licensed Representative (Provisional Licence)	9
HE Jiaozhi	BPN704	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Alfred	BCF952	Licensed Representative (Provisional Licence)	1, 4, 9
HU Xing	BGE917	Licensed Representative (Provisional Licence)	9
HUANG Han	BPN734	Licensed Representative (Provisional Licence)	1, 4
HUANG Longwei	BPM927	Licensed Representative (Provisional Licence)	1, 6
HUANG Shaodong	BNW283	Licensed Representative (Provisional Licence)	1, 4
HUANG Weiwei	BPM771	Licensed Representative (Provisional Licence)	1
HUANG Yanzhuo	BJR435	Licensed Representative (Provisional Licence)	1, 6
HUANG Ying	BPN577	Licensed Representative (Provisional Licence)	1, 2, 9
HUNG Chia-Chun	BPL829	Licensed Representative (Provisional Licence)	4
Ji Wenxiu	BPM773	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
JI Xinyu	BPN159	Licensed Representative (Provisional Licence)	4
JIN Fengyi	BPN524	Licensed Representative (Provisional Licence)	1, 6
JOFFE David Richard	BPO495	Licensed Representative (Provisional Licence)	1, 2
KANNO Kunihiko	AUW980	Licensed Representative (Provisional Licence)	9
KIM Minji	BPP291	Licensed Representative (Provisional Licence)	1, 2
KNOWD Graeme David	APJ205	Licensed Representative (Provisional Licence)	10
KO Hyun Woo	BJR302	Licensed Representative (Provisional Licence)	1
KONG Xiangyun	BPO550	Licensed Representative (Provisional Licence)	6
KWOK Fung Kiu	BPM164	Licensed Representative (Provisional Licence)	2, 5
KWOK Tsz Hin	BPM583	Licensed Representative (Provisional Licence)	4, 5, 9
LAI Chin Pang	BAE060	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Kin Wing Mitchell	BMX105	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Ming Wai	BPN254	Licensed Representative (Provisional Licence)	1, 4
LAM Sze Hang Agnes	BEN081	Licensed Representative (Provisional Licence)	1, 4, 9
LASJUNIES Aurelien Matthieu	BFS899	Licensed Representative (Provisional Licence)	1, 4
LAU Tony Yik Tung	ARM315	Licensed Representative (Provisional Licence)	1, 4
LAW Ngai Fung	BPN366	Licensed Representative (Provisional Licence)	1, 4
LAW Sze Chung	BPL908	Licensed Representative (Provisional Licence)	9
LAW Yuen Ting	BPN581	Licensed Representative (Provisional Licence)	1
LEE Ching Hang	APF457	Licensed Representative (Provisional Licence)	1, 4
LEE Kin Man, Desmond	AFK515	Licensed Representative (Provisional Licence)	9
LEE Pei-Ching	BPL909	Licensed Representative (Provisional Licence)	1, 9
LEE Shon Kee, Kenneth	ARI072	Licensed Representative (Provisional Licence)	1
LEUNG Hiu Fung, Steven	BDN057	Licensed Representative (Provisional Licence)	9
LEUNG Ka Chun	BPL750	Licensed Representative (Provisional Licence)	2
LI Bin	BPN269	Licensed Representative (Provisional Licence)	4
LI Cheuk Yin, Clive	BAJ284	Licensed Representative (Provisional Licence)	1, 2
LI Linhai	BPK753	Licensed Representative (Provisional Licence)	4
LI Tim Yan Lilian	BPN243	Licensed Representative (Provisional Licence)	1
LI Tsz Hei	BPM372	Licensed Representative (Provisional Licence)	1, 4
LI Yaxin	BPM808	Licensed Representative (Provisional Licence)	1, 6
LI Yi	BPM007	Licensed Representative (Provisional Licence)	1, 4
LI Yunwei	BPO588	Licensed Representative (Provisional Licence)	1, 4, 9
LI Zhen	BPN223	Licensed Representative (Provisional Licence)	1, 4
LIANG Sheng	BPN681	Licensed Representative (Provisional Licence)	1, 2
LIM Yew Keng Benjamin	BPK437	Licensed Representative (Provisional Licence)	1
LIN Jinyi	BPN379	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Kai Shing, Jeff	BAF826	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Yangzi	BOZ838	Licensed Representative (Provisional Licence)	1
LIU Chao	AZH606	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Hongpeng	BPN150	Licensed Representative (Provisional Licence)	1, 6
LIU Hsiang-Wen	AHG443	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Huashi	BPQ331	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Ting	BOL066	Licensed Representative (Provisional Licence)	1, 4
LIU Yu	BPM217	Licensed Representative (Provisional Licence)	4
LU Tsz Hin, David	BPM954	Licensed Representative (Provisional Licence)	4, 9
LU Yanjie	BNY382	Licensed Representative (Provisional Licence)	1, 4, 9
LUK Colvin	BPQ276	Licensed Representative (Provisional Licence)	1, 4, 6
LUNG Tsan Yu	BPO804	Licensed Representative (Provisional Licence)	1, 2
MA Mingyang	BPO557	Licensed Representative (Provisional Licence)	1, 2
MA Siqi	AVS796	Licensed Representative (Provisional Licence)	6
MANI Praveen Ayyappa	AUV603	Licensed Representative (Provisional Licence)	9
MCCONNELL David Patrick Anthony	ACT680	Licensed Representative (Provisional Licence)	1
MECHOUK Mehdi	BPQ521	Licensed Representative (Provisional Licence)	1, 2
MILLS Jesuran Samuel Manickanayagam	BPO549	Licensed Representative (Provisional Licence)	1, 6
MIYATA Hiroshi	BPM775	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
MORRISON Thomas James	AZT479	Licensed Representative (Provisional Licence)	1, 2
MOUDOUTE EBOUMBOU Frantz	AXH217	Licensed Representative (Provisional Licence)	9
NEWMAN Neil Lionel	ABF170	Licensed Representative (Provisional Licence)	9
NG Ho Hin	BPQ182	Licensed Representative (Provisional Licence)	1, 4, 6
NG Keng Luen	BAH967	Licensed Representative (Provisional Licence)	4
NG Tow Kwong	BPN275	Licensed Representative (Provisional Licence)	4, 9
NG Wai Bun, Reuben	ACJ672	Licensed Representative (Provisional Licence)	1
NG Yeuk Hon	BPN514	Licensed Representative (Provisional Licence)	1, 4, 6
NG Yin Lam	AGK085	Licensed Representative (Provisional Licence)	1, 4
O'SULLIVAN Ian James	AAX447	Licensed Representative (Provisional Licence)	9
OUYANG Dengyan	BPN030	Licensed Representative (Provisional Licence)	1, 4, 9
PANG Richard Chig Kwong	AMS053	Licensed Representative (Provisional Licence)	1, 2
PARK Chaeyoung	BPM782	Licensed Representative (Provisional Licence)	1, 4
PARK Soo Bin	BPM359	Licensed Representative (Provisional Licence)	4
PENG Xia	BPM757	Licensed Representative (Provisional Licence)	4
QIU Hanxuan	BPN270	Licensed Representative (Provisional Licence)	4
REN Tingting	BPM216	Licensed Representative (Provisional Licence)	1, 4
RICHDALÉ Kate Gaskell	AFZ874	Licensed Representative (Provisional Licence)	1, 4
ROBERSON Keri Anna	BPM469	Licensed Representative (Provisional Licence)	1, 2
RYU Tantan	AQQ257	Licensed Representative (Provisional Licence)	1
SATO Hiromi	ANS087	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 6
SCHLOEGEL Fabrice Robert Marius Claude	BPO546	Licensed Representative (Provisional Licence)	4
SHEN Juan	BPN843	Licensed Representative (Provisional Licence)	4
SHI David	BPL904	Licensed Representative (Provisional Licence)	1, 6
SHIU Lap Kei	AOI979	Licensed Representative (Provisional Licence)	1, 4, 9
SHU Wenqing	BPN632	Licensed Representative (Provisional Licence)	1, 4, 6
SO Benjamin Chun Fung	BPN561	Licensed Representative (Provisional Licence)	1, 2
SO Tsz To	BCZ064	Licensed Representative (Provisional Licence)	1, 4
SUN Congshi	BPQ381	Licensed Representative (Provisional Licence)	4, 9
TAM KAM FAI	BPN435	Licensed Representative (Provisional Licence)	2
TAM Kwok Fung	BPO998	Licensed Representative (Provisional Licence)	4, 9
TAN De Cheng	BPO625	Licensed Representative (Provisional Licence)	3
TANG Huan	BPO604	Licensed Representative (Provisional Licence)	4
TANG Yick Shun	BPM248	Licensed Representative (Provisional Licence)	1
TAO Wen	BPM184	Licensed Representative (Provisional Licence)	1, 2, 3
TO Benjamin Ngai Mun	BPN335	Licensed Representative (Provisional Licence)	1
TONG Rachel	AEH156	Licensed Representative (Provisional Licence)	4, 9
TSANG Man Shan, Isabella	ABQ288	Licensed Representative (Provisional Licence)	1, 4
TSE Sing Lun Howard	BPO921	Licensed Representative (Provisional Licence)	4, 5
UBERTO Theophile	BPN066	Licensed Representative (Provisional Licence)	1
VAISHAMPAYAN Ashlesha Himanshu	BPL286	Licensed Representative (Provisional Licence)	1, 4
WANG Chao	ATO947	Licensed Representative (Provisional Licence)	9
WANG Cindy Tzu Yu	BIC109	Licensed Representative (Provisional Licence)	1, 2
WANG Fei	BPL973	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Jiyang	BPN336	Licensed Representative (Provisional Licence)	3
WANG Jingyuan	BPM730	Licensed Representative (Provisional Licence)	1
WANG Jundi	BLS790	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Ruguo	BPM686	Licensed Representative (Provisional Licence)	1, 6
WANG Shiqing	BPO888	Licensed Representative (Provisional Licence)	1, 6
WANG Siyu	BPM165	Licensed Representative (Provisional Licence)	1, 6
WANG Ta-Yu	BPN502	Licensed Representative (Provisional Licence)	1, 2, 9
WANG Weiyang	AUK275	Licensed Representative (Provisional Licence)	4
WANG Zhe	BPP008	Licensed Representative (Provisional Licence)	1, 6
WANG ZHONG	BPN597	Licensed Representative (Provisional Licence)	1, 4
WANG Zonghao	BPL905	Licensed Representative (Provisional Licence)	1, 4
WEI Ou	BPO672	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020

CE Name	CE No.	License Type	Types of Regulated Activity
WEN Chan-chun	BPM734	Licensed Representative (Provisional Licence)	1, 4
WONG Ka Bong	BPK782	Licensed Representative (Provisional Licence)	9
WONG Kai Yuen	BPN374	Licensed Representative (Provisional Licence)	1
WONG Kin Ming	ACS342	Licensed Representative (Provisional Licence)	3
WONG Pui Yee	BPL915	Licensed Representative (Provisional Licence)	9
WONG Yick	BDP475	Licensed Representative (Provisional Licence)	1, 2, 4
WU Miaomiao	BPN758	Licensed Representative (Provisional Licence)	1, 4, 9
WUN Wai Yee	BPM869	Licensed Representative (Provisional Licence)	1
XIA Wei	BFD770	Licensed Representative (Provisional Licence)	1, 2
XU Jianhong	BPN300	Licensed Representative (Provisional Licence)	1, 4, 6
XU Zhiwei	BPM799	Licensed Representative (Provisional Licence)	1
YANG Huaibin	BNR146	Licensed Representative (Provisional Licence)	1, 4
YANG Yadu	BPN266	Licensed Representative (Provisional Licence)	1, 6
YAO Xiangchen	BPN852	Licensed Representative (Provisional Licence)	4
YAO Xue	AWK470	Licensed Representative (Provisional Licence)	1, 4
YAU Chun Wing	BPM780	Licensed Representative (Provisional Licence)	2
YE Fang	BLN946	Licensed Representative (Provisional Licence)	1, 4, 6
YING Chongxi	BDL301	Licensed Representative (Provisional Licence)	4
YU Zheng	BPN334	Licensed Representative (Provisional Licence)	1, 4, 9
YU Hoi On	AOM779	Licensed Representative (Provisional Licence)	9
YUN Jing	BPN086	Licensed Representative (Provisional Licence)	4
YUN Jung Yoo	BPN532	Licensed Representative (Provisional Licence)	9
YUNG Man Ching	BFP330	Licensed Representative (Provisional Licence)	1, 4
ZENG Siyang	BPP117	Licensed Representative (Provisional Licence)	4
ZHANG Mengxi	BPN589	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shida	BPO914	Licensed Representative (Provisional Licence)	9
ZHANG Xukun	BOA129	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Yilin	BPN263	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zhixin	BPO659	Licensed Representative (Provisional Licence)	6
ZHAO Daihong	ALZ348	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Jiashou	BOL158	Licensed Representative (Provisional Licence)	1
ZHOU Pei	BPM792	Licensed Representative (Provisional Licence)	6
ZHOU Yulong	BPB475	Licensed Representative (Provisional Licence)	1, 4
ZHU Maxim Muzi	BPO917	Licensed Representative (Provisional Licence)	9
CHAN Chi Yu	BOT867	Licensed Representative (Temporary Licence)	4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
DISTENFELD Gershon M	BAX714	Licensed Representative (Temporary Licence)	4
LIU Xin	BOO975	Licensed Representative (Temporary Licence)	1, 4
SEE Kwang Yew	BPA587	Licensed Representative (Temporary Licence)	1, 4
SETH Neeraj	AYB919	Licensed Representative (Temporary Licence)	1, 4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
SHERIDAN Matthew S	BOB459	Licensed Representative (Temporary Licence)	4
UEBEL Miriam Constanze	BPK154	Licensed Representative (Temporary Licence)	1, 4
WANG Zhe	BEG251	Licensed Representative (Temporary Licence)	4
YIN Xinch	BOT875	Licensed Representative (Temporary Licence)	4
YUAN Jiancong	BPR499	Licensed Representative (Temporary Licence)	4