



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Aeneas Capital Limited	AMB389	Licensed Corporation	1, 4	
Afflux Securities Limited	BIO838	Licensed Corporation	2	
Ayres Capital Management Asia Limited	BFE584	Licensed Corporation	4	
Bauhinia Capital Partners Limited	ATY771	Licensed Corporation		6
Brevar Howard (Hong Kong) Limited	AKO483	Licensed Corporation		1, 4, 5
Capital Focus Asset Management Limited	AOS685	Licensed Corporation	4	
China Hong Kong Link Asset Management Limited	BHY690	Licensed Corporation	4	
China Prospect Securities Limited	BGB759	Licensed Corporation	4	
China Sky Securities Limited	ACI678	Licensed Corporation	4	
Foundation Asset Management (HK) Limited	AND386	Licensed Corporation	4	
ICBC International Capital Limited	AQW615	Licensed Corporation	4	
John & Wong Securities Company Limited	AHM793	Licensed Corporation	2, 4, 5, 9	
RBC Investment Services (Asia) Limited	AAG176	Licensed Corporation		9
RSI Securities Limited	BHT553	Licensed Corporation	9	
Rui Da International Finance Holding Limited	AYE774	Licensed Corporation	5	
Silverstone Investments Limited	AFU657	Licensed Corporation	4	
SinoPac Securities (Asia) Limited	BIZ502	Licensed Corporation		3
ABRAT Katherine Anna	ANB136	Licensed Representative		1, 2
AU Wing Wang	AZJ526	Licensed Representative		2
BAKER Maureen Lee	AOT370	Licensed Representative		6
BRADLEY Martin	ACC190	Licensed Representative	4	
CAI Jiazhu	BCU021	Licensed Representative		2
CAI YU	BMN483	Licensed Representative	6	
CHAN Chi Hung	AAB402	Licensed Representative		4
CHAN Chin Hong	AZU891	Licensed Representative	5	
CHAN Cho Leung	AFC450	Licensed Representative	2	
CHAN Chun	AYQ167	Licensed Representative	4	
CHAN Chun Hin	AZX879	Licensed Representative		9
CHAN Cyrus Sau-kit	BDQ943	Licensed Representative	7	4, 5
CHAN Ho Ying	BHY941	Licensed Representative		1
CHAN Ka Chung	ANT245	Licensed Representative	4, 9	
CHAN Ka Shing	APF285	Licensed Representative		4
CHAN Kai Ming, Ricky	AOU629	Licensed Representative		1
CHAN Kelly	AFD940	Licensed Representative		2
CHAN Lam Wai	BEC590	Licensed Representative		6
CHAN Man Hung	ARL935	Licensed Representative	4	1
CHAN Mei Han	AXV221	Licensed Representative		2
CHAN Ngai Lun, Alan	ARR782	Licensed Representative		2, 4
CHAN Sai Wing	AMU845	Licensed Representative		2
CHAN Suk Fong	AEI295	Licensed Representative	4, 5	
CHAN Tung Yu	BDD732	Licensed Representative		1, 2, 3
CHAN Tze Wang	BIV167	Licensed Representative	1, 4	
CHAN Wai Yin, Jammy	AFT002	Licensed Representative	2	
CHAN Wency	ALI356	Licensed Representative		2
CHAN Wing Lim	BLG083	Licensed Representative	2	
CHAN Wing Yu	AZE467	Licensed Representative	9	1
CHAN Yuk Nam	AZL605	Licensed Representative	1	
CHANG Cheng-Yu	AQO323	Licensed Representative	9	
CHANG Koon Bong, Stephen	AKL718	Licensed Representative		2, 5
CHANG Sung-Liang	ASD810	Licensed Representative	4	
CHANG Wai Chung	ATL715	Licensed Representative		2
CHAO Jeffrey Min-Je	AVX417	Licensed Representative	2	
CHAU Man Ying	AQO434	Licensed Representative	1	9
CHEN Tingting	BKE184	Licensed Representative	2	
CHEN Wenhao	BLU972	Licensed Representative	3	
CHEN Yat Sun	ABU367	Licensed Representative		4, 9
CHENG Cheuk Pan Ambrose	BHT921	Licensed Representative	9	1
CHENG Chi Ho	AOV370	Licensed Representative	9	
CHENG Ka Chun	BGP569	Licensed Representative		2
CHENG Ke	BDT161	Licensed Representative		1
CHENG Nan Ying	BAA226	Licensed Representative		6
CHENG Pak To	ANM162	Licensed Representative	1	
CHEONG Tek Kong	AGP188	Licensed Representative		2, 4
CHEUNG Ching Ha	ANP394	Licensed Representative	2	
CHEUNG Hoi Ting	BIR755	Licensed Representative	1	
CHEUNG Ka Man	BFZ630	Licensed Representative	2	
CHEUNG Kin Sang	ACI723	Licensed Representative		4
CHEUNG Ling Yee, Elaine	AEH773	Licensed Representative	9	
CHEUNG Mandy	BGN430	Licensed Representative	1	
CHEUNG Nga Li	ALI037	Licensed Representative	6	
CHEUNG Siu Ling, Joyce	ACJ667	Licensed Representative		2
CHEUNG Sze Tung	BMC519	Licensed Representative	2	
CHEUNG Tze Man	AJK669	Licensed Representative		1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHIM Yat Kan	APU603	Licensed Representative		9
CHIU Mei-Chu	APB221	Licensed Representative	4	
CHIU Tin Long	BIB696	Licensed Representative	5	1, 3
CHOI Kam Wong	ANM701	Licensed Representative		4
CHOI King Long	BEJ353	Licensed Representative		2
CHONG Chi Meng	BGJ097	Licensed Representative	9	1, 4, 6
CHOU Ching Yi	BDY680	Licensed Representative	6	
CHOU David Tse Young	AEU433	Licensed Representative	2	
CHOW Kyan Mervyn	ADS211	Licensed Representative	9	1, 4, 6
CHOW Yung	BDL629	Licensed Representative	1	9
CHU Ching Mo	BMF147	Licensed Representative	3	
CHU Hon Kit	ATJ549	Licensed Representative	4	2
CHUNG Kam Wah, Oscar	ANB895	Licensed Representative		2
CHUNG Ki Fung	BEJ748	Licensed Representative	4, 9	
CHUNG Yat Kit, Thomas	BEW941	Licensed Representative		9
CHUNG Yue Ching	AZF489	Licensed Representative		1
COLEBATCH Michael John	BFB230	Licensed Representative	4, 9	
DAI Shuyun	BGT858	Licensed Representative	1	
DAL BROI David	AXY476	Licensed Representative	3	
DONG Yuting	BDC642	Licensed Representative	6	
DU Jingjing	BJE166	Licensed Representative	2, 5	
DU Yi	BGV069	Licensed Representative	4	
DUXFIELD Robin Malcolm	AQQ553	Licensed Representative		4
EDELBERG Jeremy Ian	BIQ153	Licensed Representative	9	1, 2
FAN King Wai	AYX144	Licensed Representative		1
FAN Liang	BCC317	Licensed Representative		4
FENG Yibiao	BHV159	Licensed Representative		2
FORCIOLI Mathieu Jean	AYP973	Licensed Representative		6
FU See Yuen	BMS311	Licensed Representative		3
FUJISAKI Koa	ANU240	Licensed Representative	2, 5	
FUNG Hok Kwan, Edmond	ACK376	Licensed Representative		2
GOFF John Swafford	AHS150	Licensed Representative	5, 6	
GROSPERRIN Christophe Eric Pascal	AME536	Licensed Representative		4
GU David Gang Cheng	AZE965	Licensed Representative		4
HAN Xuan	BHQ227	Licensed Representative	9	1
HARRIS John Patrick	BJH243	Licensed Representative	9	1
HAYES Patrick Liam	BGZ111	Licensed Representative	7	
HE Hao	APG277	Licensed Representative	1, 4	
HEUNG Wai Lun	BIP966	Licensed Representative	9	
HO Chun Bong	BEB408	Licensed Representative	9	
HO Chun Kit	AEP599	Licensed Representative	9	
HO Ka Yui	BIW340	Licensed Representative	4, 9	
HO Kwok Kuen	AQA459	Licensed Representative		2
HO Lap Chi	AFD240	Licensed Representative		1
HO Perdo Wang Kei	AVN057	Licensed Representative		1
HOU Ting-Hsuan	APB427	Licensed Representative	4	
HSU Hsiu Ling	ASH902	Licensed Representative	4	
HSU Hsiu Ping	AYJ219	Licensed Representative	4	
HU Jia	BDA720	Licensed Representative	9	1, 6
HU Yuting	AMK006	Licensed Representative		1
HUANG Jiamin	BJG182	Licensed Representative	4	
HUANG Kai	BGB450	Licensed Representative		6
HUANG Shu	BCW212	Licensed Representative		1
HUANG Yu	ATI173	Licensed Representative	9	4
HUANG Yue Yumi	AVT127	Licensed Representative		2
HUI Hiu Yu	BLR816	Licensed Representative	1	
HUI Wai Lung	BLE911	Licensed Representative	4	
HUNG Yiu Hin	AFD998	Licensed Representative		2
IP Kin Wai	BLP135	Licensed Representative	2	
JIA Meng	BJR449	Licensed Representative	1	
JIANG Lixue	BKP869	Licensed Representative	2	
JIANG Yi	BAU773	Licensed Representative		4
JIN Ziyin	AWQ069	Licensed Representative		1, 4
JOESON Hei-Man, Stephanie	BFP707	Licensed Representative	1	4, 9
KANG Moqing	BHL801	Licensed Representative	6	
KWAN Man Yee	BHH745	Licensed Representative		4
KWAN Sum Yu	AGF180	Licensed Representative		1
KWAN Wai Fong	AEW833	Licensed Representative		2
KWONG Sin Yee Florence	BMP307	Licensed Representative	2	
KWONG Wai Chung	BAQ958	Licensed Representative		2
KWONG Wai Fong	AFJ234	Licensed Representative		2
LAI Ming Him	AZF662	Licensed Representative	9	
LAI Wen Ting	BII960	Licensed Representative	6	1, 2



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAM Adrian Jeng Foong	BGP788	Licensed Representative	9	
LAM Chung Yin	AMF376	Licensed Representative		4
LAM Kai Tin	AZH502	Licensed Representative	4, 9	1, 6
LAM Man Kwong, David	ADI854	Licensed Representative		9
LAM Pui Hei	AWD300	Licensed Representative	2	
LAM So Har	AGK868	Licensed Representative		2
LAM Sung Wai	BGO451	Licensed Representative		2
LAM Tsz Lung	BGT436	Licensed Representative	1	
LAM Yi Kwai	AGM042	Licensed Representative	2	
LAU Cheung Kei	BEJ195	Licensed Representative	2	
LAU Kwan Ho	ALB117	Licensed Representative		4
LAU Pui Kit	AMN578	Licensed Representative		1
LAU Raymond Wai Cheuk	AYQ814	Licensed Representative	1, 4	
LAU Siu Lun	BGB187	Licensed Representative	9	1
LAU Tak Wing	BID287	Licensed Representative	4, 5	
LAU Tat Wai	BHC101	Licensed Representative		4
LAU Wai Yan	BBA106	Licensed Representative	2	4
LAU Wing Lam	AXY063	Licensed Representative	1	
LAU Yuet Chiu	BEI688	Licensed Representative	9	1, 2
LAW Chi Ho	ACS402	Licensed Representative		2
LEE Chi Ho	AQJ262	Licensed Representative		1
LEE Chun Ho Bennett	BLO462	Licensed Representative		1, 6
LEE Geoffrey	BMM416	Licensed Representative	2	
LEE Ka Kit	BEW197	Licensed Representative	1	
LEE Sze Kit, Ian Cedric	ANG418	Licensed Representative		1
LEE Wai Sum	AUR858	Licensed Representative		4, 6
LEE Wan Shan	BFP948	Licensed Representative	9	
LEE Yiu Wing	ABN714	Licensed Representative	4	
LEI Renguang	BDV654	Licensed Representative	4	
LEI Xi	BGN443	Licensed Representative		1
LEONG Sio Choi	AFU673	Licensed Representative		4
LEUNG Alexander Tsang Ho	AWS284	Licensed Representative		2
LEUNG Ho Cheong	BGR092	Licensed Representative	4, 5	
LEUNG Kwan Wun	BJX561	Licensed Representative	1	
LEUNG Sui Chung	ASX746	Licensed Representative		2
LEUNG Tsz Kin	BFG540	Licensed Representative		5
LEUNG Wai Shing	APS288	Licensed Representative	4	1
LEUNG Yeuk Hei	BLJ508	Licensed Representative	1	
LEUNG Yu San	AVD097	Licensed Representative	3	
LI Bin	AVH674	Licensed Representative		2
LI Chi On, Andy	ABH933	Licensed Representative	4	
LI Ching Cheung	AXX426	Licensed Representative	2	
LI Hui	ATX931	Licensed Representative	4	
LI Jingting	BKO985	Licensed Representative	4	
LI Kam Ming	ANR915	Licensed Representative		1
LI Sau Han, Ester Joyse	AAV369	Licensed Representative	1, 2	9
LI Tsz Keung	BGM151	Licensed Representative	1	
LI Wei	BDE166	Licensed Representative	1	
LI Wing Yin	AXI384	Licensed Representative	3	
LI Xiangyu	BLR906	Licensed Representative	4	
LI Xiaoyan	BIB928	Licensed Representative		1
LI Yimiao	BHJ467	Licensed Representative	4	
LI Yin Wan	ASC945	Licensed Representative		2
LI Zhen	BAJ187	Licensed Representative	1	
LIANG Hao	ATN174	Licensed Representative		2
LIN Zhuxuan, Alex	BJL303	Licensed Representative	3	
LIU Dawei	BKO397	Licensed Representative	4	2
LIU Honglin	BBL200	Licensed Representative	4	
LIU Kai Kin	BHO251	Licensed Representative	4	
LIU Wai Fat, Winson	ALD665	Licensed Representative	9	
LIU Yang	AXD891	Licensed Representative	9	1, 2
LIU Yik Yu	BHR466	Licensed Representative		1
LO Cho Yan	ACR637	Licensed Representative		3
LO Chun Wah	AJD784	Licensed Representative	1	
LO Ka Shun	AXA210	Licensed Representative	4, 9	
LO Oi Yee	ANM041	Licensed Representative		2
LO Yi Wa, Eva	BAT888	Licensed Representative	2	
LO Yuen Ting	BBN283	Licensed Representative	4	
LOU Yuhan	BMI892	Licensed Representative	9	
LU Rui	BEB344	Licensed Representative	4	
LU Ting	ANL775	Licensed Representative		1
LU Ye	BGH925	Licensed Representative		9
LU Yuan	BEZ159	Licensed Representative	1	6



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LUI Edward Ho Kong	AOV379	Licensed Representative	4, 9	10
LUI Ho Ting	BLK723	Licensed Representative	2	
LUI Kai Yiu	BKY644	Licensed Representative	1	
LUI Kin Wai	ANM045	Licensed Representative		1, 2, 5
LUI Wai Kit	AFV785	Licensed Representative	2	
LUI Wang Yip, Darren	BER581	Licensed Representative		2
LUI Winnoc	AOS682	Licensed Representative		1
LUK Ka Chi	BIT796	Licensed Representative		1, 9
LUNG Fei	BDA594	Licensed Representative	4, 9	
LUO Yi	AZM662	Licensed Representative	1, 4	
MA Ding	BBS691	Licensed Representative	1	4, 9
MA Ka Chuen	ACN826	Licensed Representative		2
MA Ka Chun	BMG170	Licensed Representative	3	
MAHESHWARI Prateek	BHH328	Licensed Representative		1
MAK Ka Ka	ANP548	Licensed Representative	1	4
MANG Po Ping	BAT856	Licensed Representative	6	
MAO Lei	BML398	Licensed Representative	9	
MAO Yuan-Chieh	AZD539	Licensed Representative		9
MARIA Kulvinder Singh	BFD831	Licensed Representative	2	
MENG Fanjie	AVC118	Licensed Representative	9	4
MENG Xinghai	BFF372	Licensed Representative	1, 4	
MO Chao-chi Richard	AJL084	Licensed Representative		9
MUNG Siu Cho	ADA379	Licensed Representative		2
NG Cheng Kiong	BBU308	Licensed Representative		6
NG Kathy Ka Wai	AXS569	Licensed Representative	4	
NG Ting Cheong	BEQ321	Licensed Representative	3	
NIE Shengshen	AVL824	Licensed Representative		4
NITTA Kaoru	BGH426	Licensed Representative	6	
O'NEILE James Finlay	ALV097	Licensed Representative		2, 4
PAN Hao	BHR983	Licensed Representative	1	4, 9
PANG Wing Shing	ATW745	Licensed Representative		2
QIAN Yao	BHW483	Licensed Representative	9	4, 6
QIU Haiyan	AOX910	Licensed Representative	4	
QIU Lingyu	BGB567	Licensed Representative	9	
RAMIREZ Carlo Maria	ALS071	Licensed Representative	9	1, 2
ROBERTS Lawrence Julian	BGE222	Licensed Representative	2, 7	
SHANG Pei Chun	AOM976	Licensed Representative		1, 4
SHANG Yanan	BFW364	Licensed Representative	4	
SHAO Kam Fu	ACC651	Licensed Representative		1
SHEA Hong Yue, Chloe	BAZ560	Licensed Representative	9	
SHI Shaoming	BGG802	Licensed Representative	2	
SHI Ye	BCN600	Licensed Representative	1, 6	4
SHUM Man Hsih, Jannie	AGH260	Licensed Representative		4
SIU Ho Yan	ATM467	Licensed Representative	9	
SIU Lai Ki	BGP986	Licensed Representative	2	
SIU Wing Man	BAJ174	Licensed Representative	1	
SO Kwok Keung	ABP335	Licensed Representative		1
SONG Jeong Young	BEW386	Licensed Representative		9
SONG Yuyun	BHL834	Licensed Representative		1
SU Tingjuan	BIE113	Licensed Representative	4	1
SUN Renjie	BKI603	Licensed Representative	9	
SUN Yechuan	BAB283	Licensed Representative	1, 4	
SUNG Siu Chung	BAE505	Licensed Representative		1
SUNTAY Aylin	BLI474	Licensed Representative		4
TAHENY Kenneth John	AXS067	Licensed Representative		7
TAI Yik Fei	BJN160	Licensed Representative	1	
TAM Kwok Chung	BJG479	Licensed Representative	1	
TAM Shao Ling	BIZ547	Licensed Representative	9	
TAM Yin Ming, Cecilia	ATO160	Licensed Representative	1	
TAN Ruijia	BGE479	Licensed Representative		6
TAN Shih Min	BDF564	Licensed Representative	9	
TAN Siew Shen	ALJ824	Licensed Representative	4	
TAN Taizhi	BJI619	Licensed Representative	2	
TAN Zengying	BJM090	Licensed Representative		1
TANG Cheuk Wai	BIN225	Licensed Representative	1, 4, 9	6
TANG Chi Lik	AEL936	Licensed Representative		2
TANG Chu, Leonard	AFC962	Licensed Representative		1, 4
TANG Chung Shing	AAE861	Licensed Representative		2
TANG Wai Fung	ATN569	Licensed Representative		2
TANG Wing Suen	AUY973	Licensed Representative	4	
TING Ngai	AHV456	Licensed Representative		2
TOKUMURA Kazue	BER103	Licensed Representative	1	4
TONG Ka Wai, Geoffrey	AXG146	Licensed Representative		1, 2



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TONG Sau Lai	AOU241	Licensed Representative		2
TONG Wai Tak, William	AJB117	Licensed Representative		1, 4
TRIVEDI Anshul	ASK045	Licensed Representative	4, 6	2
TSANG Chui Wa	BGI770	Licensed Representative	9	
TSANG Wai Lun	AQY698	Licensed Representative	4	9
TSOI Ting Jessica	BLY501	Licensed Representative	4	
TZE Kan Fat	AET872	Licensed Representative		1
VANICA Jonathan Richard	AGN748	Licensed Representative	2	
VERHELST Stephane Paul Jean	BIR490	Licensed Representative		9
WALKER Nicholas James	BHH190	Licensed Representative	4, 9	1
WALTERS Andrew James	AOT505	Licensed Representative	2, 7	
WAN Ngar Yin, David	ABH754	Licensed Representative		2, 4, 5, 9
WAN Tsing Yiu, Royce	BCW439	Licensed Representative	2	
WANG Fanyue	BEA590	Licensed Representative	4, 9	
WANG Hao	BFR960	Licensed Representative		9
WANG Jiayu	BJH821	Licensed Representative	1	
WANG Kan	BKQ411	Licensed Representative	2	
WANG Qian	AWI081	Licensed Representative	4	
WANG Yayuan	ARM817	Licensed Representative	4	
WANG Yuchan	BIT774	Licensed Representative	1, 4	
WANG Zhong Yang	AXN241	Licensed Representative	1	
WEHRY Guillaume Gaetan Georges Marie	AOR981	Licensed Representative		9
WEI Yijing	BLC321	Licensed Representative		1
WONG Faat Chi	BID535	Licensed Representative	5	
WONG Him Chi, Angela Natasha	AAW459	Licensed Representative		4
WONG Hoi Ka	BFK210	Licensed Representative		1
WONG Ka Chun	AUU925	Licensed Representative		2
WONG King Shing	BLB622	Licensed Representative	1	
WONG Kwong Tung	AXL541	Licensed Representative	9	
WONG Long Yin, Victor	BJL686	Licensed Representative		1, 4
WONG Man Sze, Grace	ADX257	Licensed Representative		6
WONG Mung Yu, Daniel	BAD878	Licensed Representative	3	
WONG Nga Yan	AWD491	Licensed Representative		1
WONG Pak Ka, Mary	BAB535	Licensed Representative	4	
WONG Tsz Kin	BDZ936	Licensed Representative	3	
WONG Wai Ha	AQI375	Licensed Representative		5, 9
WONG Weng Fai	ACA941	Licensed Representative		9
WONG Wing Che Wencchi	AYX875	Licensed Representative	2	
WONG Yin Lok, Leo	AKQ543	Licensed Representative		2
WOO Wing Yin	APC057	Licensed Representative		2
WU Long Kin	BGL670	Licensed Representative	2	
WU Sidi	BHX712	Licensed Representative		4
XIA Yang	ALN489	Licensed Representative	2	
XIAO Qian	BGA973	Licensed Representative	6	
XU Lingjue	BDV629	Licensed Representative	4	2, 7
XU Yingxin	BHH504	Licensed Representative	4	1
YAM Yin Chi	AYQ904	Licensed Representative	9	
YAN Chuxiong	BFE225	Licensed Representative		4
YAN Kar Kee	ASK152	Licensed Representative		2, 9
YAN Yonglin	BJF250	Licensed Representative		9
YANG Hai	AXI228	Licensed Representative	1, 4	
YANG Hongjie	BJO795	Licensed Representative		6
YANG Lijuan	BBM365	Licensed Representative	9	
YANG Yungqing	BHY837	Licensed Representative	1	6
YAO Xinjian	BIE698	Licensed Representative	4, 6	
YAO Zhengwei	BEE981	Licensed Representative	1	
YAU Kai Yu	BFW828	Licensed Representative	2	
YAU Tung Shing	BHP483	Licensed Representative	1	
YE Zhijian	BHS216	Licensed Representative	9	
YEH Ming Ming	BGA349	Licensed Representative		4
YEUNG Chun Kit, Johnson	BMH779	Licensed Representative	1	
YEUNG YING KIT	BJV171	Licensed Representative	1	
YI Allan Wei Han	BGW577	Licensed Representative	2	
YIM Charles	AZB751	Licensed Representative	6	
YIN Ruihan	BDE131	Licensed Representative		9
YING Xiaotong	BID518	Licensed Representative	9	6
YIU King Shan	BBW604	Licensed Representative		2
YU Che Kaei	AXL098	Licensed Representative		4
YU Clive	BIB443	Licensed Representative	7	
YU Ji	AWF422	Licensed Representative		5
YU Lam	APB773	Licensed Representative	6	2, 4
YU Lap Kwan	ABP463	Licensed Representative	4, 5	
YUEN Ching Yiu	BHQ072	Licensed Representative	2, 4, 5	6



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YUEN Tak Ching	AJD667	Licensed Representative	1	
ZHAI Baoying	BEZ700	Licensed Representative	1	
ZHAI Jia	APH926	Licensed Representative	9	1, 6
ZHANG Shiyuan	BKE306	Licensed Representative	1	
ZHANG Xue	BEB248	Licensed Representative	4, 9	
ZHANG Yidan	BGT838	Licensed Representative	1	
ZHANG Yifan	BGN399	Licensed Representative		4
ZHAO Chen	BJG454	Licensed Representative	1, 9	
ZHAO Danqi	BJI818	Licensed Representative	1	
ZHAO Long	AXY574	Licensed Representative	4	
ZHAO Qing	AYV301	Licensed Representative	2	
ZHU Cheng	BJB237	Licensed Representative	9	
ZHU Guyi	BJZ582	Licensed Representative	4, 9	1
ZHU Weiqi	BFX215	Licensed Representative	9	1, 2
ZOU Wuguu	BAK936	Licensed Representative	1	
ZOU Yuanhui	BIH736	Licensed Representative	9	1, 6
ZUO Linyu	BGH207	Licensed Representative	4	
ABRAT Katherine Anna	ANB136	Licensed Representative - Responsible Officer	9	
AU On Kit, Jason	ADE458	Licensed Representative - Responsible Officer	4	
BLACKWELL Michael Kevin	BCN800	Licensed Representative - Responsible Officer	3	
CHAN Chi Fai	AJO293	Licensed Representative - Responsible Officer	4	
CHAN Dao Chong	ABF767	Licensed Representative - Responsible Officer	8	
CHAN Kai Wah	ADA872	Licensed Representative - Responsible Officer	4	
CHAN Kar Wah	ADS842	Licensed Representative - Responsible Officer	2	
CHAN Lap Kwan	ABO665	Licensed Representative - Responsible Officer	8	
CHAN Tat Wai	AGJ555	Licensed Representative - Responsible Officer	4	
CHAN Tung Yu	BDD732	Licensed Representative - Responsible Officer	9	
CHAN Wing Yau, George	AAC044	Licensed Representative - Responsible Officer	4	
CHAN Yat Nam, Daniel	ABS011	Licensed Representative - Responsible Officer	5	
CHAN Yau Kit, Eric	AAD840	Licensed Representative - Responsible Officer	2	
CHANG Chia-Hsiang	ALL561	Licensed Representative - Responsible Officer	1, 4	
CHANG Kwok Fai	ADA891	Licensed Representative - Responsible Officer	4	
CHANG Ming Fai	ALA485	Licensed Representative - Responsible Officer	4	
CHEN Richard Chi-sheng	AIY923	Licensed Representative - Responsible Officer	6	
CHEUNG Wai Chung	AAJ186	Licensed Representative - Responsible Officer	2, 5	
CHEUNG Wing Chow	AUW530	Licensed Representative - Responsible Officer	4	
CHIA Kok Onn	AFM668	Licensed Representative - Responsible Officer	9	
CHUNG I Fon	AVI326	Licensed Representative - Responsible Officer	4	
ERNST Kaspar Daniel	AHS585	Licensed Representative - Responsible Officer		1, 4, 5
EVANS Gareth Handel	AMK322	Licensed Representative - Responsible Officer		6
FONG Man Kin	APF454	Licensed Representative - Responsible Officer	4	
FORCIOLI Mathieu Jean	AYP973	Licensed Representative - Responsible Officer	4	
GIAMPAOLI-PAPICH Jorge Atilio	BHI003	Licensed Representative - Responsible Officer		2
GLADSTONE George Alexander	BII177	Licensed Representative - Responsible Officer	9	
GOFF John Swafford	AHS150	Licensed Representative - Responsible Officer	4	
HO Perdo Wang Kei	AVN057	Licensed Representative - Responsible Officer	6	
HO Shaw Yann	ANW530	Licensed Representative - Responsible Officer	1, 2	
HU Yuting	AMK006	Licensed Representative - Responsible Officer	6	
HUNG Hing Man	ABM858	Licensed Representative - Responsible Officer	9	
IP Ka Tsun, Anthony	AHT282	Licensed Representative - Responsible Officer	4	
IRINEO Joseph Bernard	AOM987	Licensed Representative - Responsible Officer		1, 4, 5
JIANG Jiwei	ACQ202	Licensed Representative - Responsible Officer	4	
JIN Ziyin	AWQ069	Licensed Representative - Responsible Officer	9	
KA Shui Pung, Francis	AAG156	Licensed Representative - Responsible Officer		9
KAN Kwok Shu, Albert	AAI603	Licensed Representative - Responsible Officer	4	
KEUNG Ming Hoi	AOV715	Licensed Representative - Responsible Officer	4	
KOO Ka Lai	ABY402	Licensed Representative - Responsible Officer	2, 5	
KWOK Heung Yan	BGM315	Licensed Representative - Responsible Officer	4	
LAU Jeffrey Chun Hung	AFC954	Licensed Representative - Responsible Officer	4	
LAU Yan Ki, Patricia	AIH455	Licensed Representative - Responsible Officer	4	
LAW Fung Ling, Viola	AME281	Licensed Representative - Responsible Officer	4, 5	
LEE Ching	AYK657	Licensed Representative - Responsible Officer	4	
LEE Geng Rong	ACB022	Licensed Representative - Responsible Officer	2	
LEE Kwok Leung	ABM462	Licensed Representative - Responsible Officer	4	
LEUNG Tsz Wing	BDC611	Licensed Representative - Responsible Officer	4	
LI Fei	AYR984	Licensed Representative - Responsible Officer	5	
LI Wang To, Marcus	AVG376	Licensed Representative - Responsible Officer	2	
LIANG Jiang	ADX368	Licensed Representative - Responsible Officer	4	
LOOK Andrew	ACO365	Licensed Representative - Responsible Officer	4	
LORD Robert Simeon	APF575	Licensed Representative - Responsible Officer	4	
LUI Wang Yip, Darren	BER581	Licensed Representative - Responsible Officer	4	
MAN Kong Yui	ABV019	Licensed Representative - Responsible Officer	2	
MASCIA Antoine Henri	AXM134	Licensed Representative - Responsible Officer	5	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
NG Chi Fai	ACR411	Licensed Representative - Responsible Officer	4	
NG Frederick Chee Hung	AND332	Licensed Representative - Responsible Officer	3	
NGAN Man Kit	AAV957	Licensed Representative - Responsible Officer	5, 6	
NGO Tsz Fei	BBW943	Licensed Representative - Responsible Officer	2	
O'NEILE James Finlay	ALV097	Licensed Representative - Responsible Officer	9	
QIAN Bin	AZF076	Licensed Representative - Responsible Officer	9	
SHRINGLA Suberna Vardhan	AGC702	Licensed Representative - Responsible Officer		4
SIN Hui Chun	BHU734	Licensed Representative - Responsible Officer	4	
SIU Wing Sin	APK361	Licensed Representative - Responsible Officer	4	
SO Kwok Keung	ABP335	Licensed Representative - Responsible Officer	4, 9	
SUEN Wai Yee	BBB765	Licensed Representative - Responsible Officer	4	
TAI Chi Keung, Taxi	AAC258	Licensed Representative - Responsible Officer	4	
TAN Yew Boon	AFS647	Licensed Representative - Responsible Officer	4	
TANG Wah Wai	AKT453	Licensed Representative - Responsible Officer	9	
TANG Wai Fung	ATN569	Licensed Representative - Responsible Officer	4, 9	
TONG Ho Yin	AQH049	Licensed Representative - Responsible Officer	1, 2	
TUNG Chung Yin, Williams	AAV751	Licensed Representative - Responsible Officer	1	
WAN Hei Yeung	AZF510	Licensed Representative - Responsible Officer	4	
WANG Wei	BGK772	Licensed Representative - Responsible Officer	4	
WANG Xiang	AZB485	Licensed Representative - Responsible Officer	1, 2, 5	
WONG Nam Fu, Andy	ADB026	Licensed Representative - Responsible Officer	4	
WONG Weng Fai	ACA941	Licensed Representative - Responsible Officer	1, 4	
WU Hiu Man	AGO828	Licensed Representative - Responsible Officer	4	
XIA Yang	ALN489	Licensed Representative - Responsible Officer	1	
YAN Kar Kee	ASK152	Licensed Representative - Responsible Officer	4	
YANG Fan	APF030	Licensed Representative - Responsible Officer	5	
YU Dalin	AWH883	Licensed Representative - Responsible Officer	5	
YUEN Pak Cheung	AFJ094	Licensed Representative - Responsible Officer	5	
ZHU Jun	AFN536	Licensed Representative - Responsible Officer	4, 9	
LI Xiaofan	BMU939	Licensed Representative (Provisional Licence)	2	