



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
3 Capital Partners Limited	BHP587	Licensed Corporation	1	
AJ SECURITIES LIMITED	AGL798	Licensed Corporation	4	
A-JEX International Asset Management Limited	BLC898	Licensed Corporation	1	
Asian Capital Limited	AZF630	Licensed Corporation	1, 4	
Beevest Securities Limited	ABM126	Licensed Corporation	2	
Excalibur Global Financial Group Limited	ACH191	Licensed Corporation	4	
Hong Kong Wealthy Trade Limited	BIQ778	Licensed Corporation	9	
Mason Securities Limited	AAC086	Licensed Corporation		6
Taikang Asset Management (Hong Kong) Company Limited	ARG103	Licensed Corporation	1	
Unicorn Securities Company Limited	ACD947	Licensed Corporation	2	
Vontobel Wealth Management (Hong Kong) Limited	BAO421	Licensed Corporation	9	
Wing Lung Asset Management Limited	AYM715	Licensed Corporation	4	
AU Tsz King, Taylor	BBJ882	Licensed Representative	5	
AWASTHI Prabhat	APR248	Licensed Representative	1, 6	
BAAK JEONG HYUN	BMV674	Licensed Representative	9	
BOH In Cher	BDO022	Licensed Representative		2, 5
CHAI Heqing	BHS743	Licensed Representative	4	
CHAN Cheong Yee	AAB394	Licensed Representative	4	
CHAN Cheuk Yin	ANH195	Licensed Representative	9	
CHAN Chi Shing	BHP941	Licensed Representative	2	
CHAN Gerard Ga-hou	BHO111	Licensed Representative	1, 4	
CHAN Hong Ching	AXL866	Licensed Representative		2
CHAN Ka Fung	BJG131	Licensed Representative		4
CHAN Ka Leung	AEC408	Licensed Representative		1
CHAN Kim Ming, Vincent	ABI373	Licensed Representative		1, 2
CHAN Kin Man	AUG795	Licensed Representative	1	
CHAN Kiu Kwong	BEB409	Licensed Representative	6	
CHAN Kok Chung, Johnny	ADH521	Licensed Representative		6
CHAN Kwong Shan	AFV359	Licensed Representative	4	2
CHAN Sai Fan	AVX926	Licensed Representative	6	
CHAN Tsz Chun	AZK755	Licensed Representative	2	
CHAN Wai Leung	ASK745	Licensed Representative		2
CHAN Yik Lun	AOR284	Licensed Representative	9	
CHAN Yin Chi	BDQ633	Licensed Representative		4, 6
CHAN Ying Yi	AVL067	Licensed Representative	2, 5	
CHAN Yuen Yan	AXH396	Licensed Representative	1, 4	
CHANG Fu-Ju	BCZ295	Licensed Representative	10	1, 4
CHANG Moon Suk, John	AMI423	Licensed Representative	9	
CHANG Yu Wei	AYD590	Licensed Representative	2	
CHEN Anyuan	BCV493	Licensed Representative	6	4
CHEN Jianguing	BAA255	Licensed Representative	1	
CHEN Mengke	BIB120	Licensed Representative	2	
CHEN Qi	BMT283	Licensed Representative		1
CHEN Tong	BLY494	Licensed Representative	4	
CHEN Xiaoxin	BMX949	Licensed Representative	2	
CHEN Yanqing	BIO886	Licensed Representative	4	2, 9
CHENG Chi Ho	AWC625	Licensed Representative	1, 4	
CHENG Chi Wah	ABM629	Licensed Representative	9	1, 4
CHENG Ming Chun	BEU986	Licensed Representative		4
CHENG Siu Fung	AZK555	Licensed Representative		1, 4
CHENG Yu Hin, Jeffrey	BJN542	Licensed Representative	2	4
CHEUNG Cham Lun	AZF644	Licensed Representative		4
CHEUNG Cho Chi	AWQ260	Licensed Representative	4	
CHEUNG Chun Ho	ARF588	Licensed Representative	4	
CHEUNG Hay Nam, Bruce	ABY336	Licensed Representative	4	
CHEUNG Lok Ching, Rachel	BHX763	Licensed Representative	4	
CHEUNG Sze Tung	BMC519	Licensed Representative		2
CHEUNG Tin Wah, Mize	BFD233	Licensed Representative		2



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEUNG Yeung	AXT527	Licensed Representative		9
CHIK Wan Wai	BGD477	Licensed Representative	6	
CHIN Hin Lik, Eric	AYE644	Licensed Representative		4, 9
CHIU Hok Hon	BEE264	Licensed Representative	1	
CHIU Yen Lan	BCF651	Licensed Representative	4	
CHO Yui Kwan, Larry	ALQ596	Licensed Representative		4
CHOI Ho Shing	BBQ048	Licensed Representative	9	
CHOU Wan I Sherry	BFH981	Licensed Representative	1	
CHOW Kam Ho	BLC130	Licensed Representative		1, 4
CHOW Long Ning	BME755	Licensed Representative		4, 5, 9
CHOW Sik Hong	BJQ189	Licensed Representative	9	
CHOW Wai Kwong	ANP432	Licensed Representative		9
CHOW Yuen Tung	AEZ042	Licensed Representative		4
CHU Chung Kong	BAS661	Licensed Representative	1	
CHU Jonathan Alex	BBS229	Licensed Representative		2
CHU King Tin	BJL958	Licensed Representative		2
CHU Wai Yan Yanny	BIQ626	Licensed Representative	6	
CHUI Lap Pan	BCK283	Licensed Representative		1
CHUN Ming Tsam	BMK661	Licensed Representative	1, 2	4, 9
CHUNG Heung Ling	AUZ986	Licensed Representative	1	
CHUNG Taeyoung	BGC192	Licensed Representative	1	
CUI Ran	BBA364	Licensed Representative		4
DENG Shaowei	BKY927	Licensed Representative	4, 9	1, 2
EINHOLTZ Thibault, Charles, Christian	AVC095	Licensed Representative	1	
FENG Li	BFN011	Licensed Representative	9	2
FENG Shiran	ARJ059	Licensed Representative	4, 6	2
FONG Ka Wing, Andrew	AMW761	Licensed Representative		2
FONG Tak Jim	AQE454	Licensed Representative	1, 2	
FUNG Hei Wa	BLN442	Licensed Representative	4, 9	
FUNG Hon Nam	AFR093	Licensed Representative	1	9
GADI Ankur	BHF787	Licensed Representative		1
GAO Ariana Jiasui	ASQ439	Licensed Representative		6
GAO Jingjin	BHF782	Licensed Representative		4
GAO Shunan	BMO301	Licensed Representative	6	
GAO Song	BJK932	Licensed Representative	4	
GE Chang	AZN587	Licensed Representative	9	1, 4, 6
GUO Lin	BEA469	Licensed Representative	1	
HAMMEDI Anass	BAD604	Licensed Representative	9	1
HAN Zhixu	BMQ162	Licensed Representative	1	5
HANG Kwong Lam, David	AAI986	Licensed Representative		2, 3, 5
HE Rui	AZN802	Licensed Representative		1
HO Cho Yiu	BFJ184	Licensed Representative		2
HO Ken Hon	AFR777	Licensed Representative	2	
HO Pui Sze, Doris	AXR403	Licensed Representative		4
HO Shui Fai, Ricky	ABP466	Licensed Representative	4	
HO Wun Kuen	BHU595	Licensed Representative		4, 9
HOU Ting-Hsuan	APB427	Licensed Representative	2	
HOU Wei	BBW930	Licensed Representative	1, 4	
HSU Ya Ting	BJY695	Licensed Representative		9
HU Chen	BAC155	Licensed Representative	1	
HU Jing	AZT560	Licensed Representative		1
HU Renyun	BKU718	Licensed Representative		4
HUA Longxing	BCO561	Licensed Representative	1	
HUANG Xiaoyi	BFA540	Licensed Representative		4, 5
HUANG Zhengchu	BEQ757	Licensed Representative	9	6
HUI Yun Chak	BKH449	Licensed Representative		2
HUNG Wai Ching	BMA875	Licensed Representative		1, 2
IBUKI Jumpei	AWO623	Licensed Representative		9



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
JIANG Tian	BKI423	Licensed Representative	9	
JIN Sai	BIY688	Licensed Representative		9
JIN Weiwei	BIJ966	Licensed Representative	1, 4	
JUEN Kam Wing	AUI996	Licensed Representative		2
KANG Jianhong	BEA815	Licensed Representative		6
KE Wing Shuen	AFD507	Licensed Representative	4	1, 9
KEY John Richard	AOZ142	Licensed Representative	2	
KHOO Wing Pui, Charlotte	AYE286	Licensed Representative		9
KO Ming Shan	AWC879	Licensed Representative	4	
KONG Min	BLT542	Licensed Representative	6	
KU Wai To Daniel	BLI648	Licensed Representative		4
KWOK Chun Nga	BIA127	Licensed Representative	4, 6	
KWOK Man Chun, Felix	AWS974	Licensed Representative		4
KWOK Wai Tung	BJB193	Licensed Representative	1	
KWONG Shung Chi, Jeffrey	BEE420	Licensed Representative	9	
KWONG Siu Hang	BHM642	Licensed Representative	9	1, 4
LAI Chin Wai	ARP476	Licensed Representative	9	
LAI Kwan Pak	AQM571	Licensed Representative	9	
LAI Patrick Pak Hung	AQU258	Licensed Representative		4, 6
LAI Shi Yuen Matthew	BBQ037	Licensed Representative		1
LAM Cheong Wing	BKE038	Licensed Representative	1, 6	3
LAM Cheuk Hin	BJS893	Licensed Representative	2	
LAM Heung Wing	BGM083	Licensed Representative	2	
LAM Ka Wang	BJD797	Licensed Representative	1	
LAM Oi Ching	AGA422	Licensed Representative	2	
LASHINSKI Edward William	BHZ325	Licensed Representative		4
LAU Ka Hei	BAZ979	Licensed Representative	9	
LAU Ka Ki	BIJ843	Licensed Representative	1	
LAU On Ying Janet	BHC775	Licensed Representative	1	
LAU Yik Ting	BBW340	Licensed Representative	4	
LAW Kit Ching	ASH464	Licensed Representative		4
LAW Oliver Tin-Loong	APB677	Licensed Representative		7
LAW Pui Kiu	AVA659	Licensed Representative	3	
LEE Ching Yee, Anita	ABN507	Licensed Representative	4, 5	
LEE Chun Wai	AMK024	Licensed Representative	4, 9	
LEE Po Chu	ATD387	Licensed Representative	1	
LEE Wai Man	ALF773	Licensed Representative		1
LEE Ying Kin	AUO103	Licensed Representative	6	
LEI Cuiwen	BKW179	Licensed Representative	4	
LEONG Sook Mun	AXR968	Licensed Representative	10	4
LEUNG Chi Yung	BGE648	Licensed Representative	4, 9	1
LEUNG Chin Lung	BBJ883	Licensed Representative	5	
LEUNG Kim Hong	APB996	Licensed Representative		1
LEUNG Kin, Kenneth	AEW050	Licensed Representative	4	
LEUNG Tai Wai	ABF062	Licensed Representative		2
LI Cheong Faat	AYO609	Licensed Representative	9	1, 2
LI Kim Ping	ATS380	Licensed Representative		4, 9
LI Lingfang	APJ192	Licensed Representative	4	
LI Rui	BGA836	Licensed Representative	1	
LI Wai Kit, Chris	AWV325	Licensed Representative		2
LI Xin	AXS320	Licensed Representative		6
LIANG Xingjun	BKQ748	Licensed Representative		6
LIANG Xun	BLT156	Licensed Representative	4, 9	
LIAO Lei	BJL228	Licensed Representative	6	
LIE Youmin	BEL454	Licensed Representative	9	4
LIN Ching Yin	ANX688	Licensed Representative	4	
LIN Kana	BDO347	Licensed Representative	4	
LIU Boya	BHL924	Licensed Representative	1	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Hiu Lau	AGP529	Licensed Representative	1, 4	
LIU Jintao	BHW678	Licensed Representative	1, 6	9
LIU Shih-Chi	ALY687	Licensed Representative	1	
LIU Taojun	BHX628	Licensed Representative	4	
LIU Xinxing	BKS243	Licensed Representative	1, 6	
LIU Xinyu	AZQ393	Licensed Representative	1	
LIU Zhihong	AEC891	Licensed Representative	6	
LO Ka Leong	AUH576	Licensed Representative	9	
LO Man Ching	ATL695	Licensed Representative	5	
LO Pui Man	AJD641	Licensed Representative	4, 9	
LOKE Helen Man	APB434	Licensed Representative		4, 9
LU Qinglai	AQO248	Licensed Representative		6
LUI Chi Ho	BEQ930	Licensed Representative	4	
LUI Edward Ho Kong	AOV379	Licensed Representative	1	
LUK Sai Cheong	AHX269	Licensed Representative		2
LUO Yukun	BID325	Licensed Representative	1, 4, 9	6
LYU Junpu	BHU989	Licensed Representative		6
MA Cheng	BGT831	Licensed Representative		1, 4
MA Ying Mao Andrew	AZT117	Licensed Representative		1
MACCABEE Matthew Sidney	BMJ717	Licensed Representative	4	
MAINGARD De La Ville Es Offran Amedee Cory	BHK684	Licensed Representative		4
MAK Kwok Kei	AWH798	Licensed Representative	4	
MAK Wing Yan	BMX139	Licensed Representative	2	
MAK Yin Ping	AGC695	Licensed Representative	1	
MAN Yiu Kwong, Nick	AGZ760	Licensed Representative		4
MARTYR Andrew Dudley Pentland	BJE202	Licensed Representative	1	
MOK Chun Tung	BEL217	Licensed Representative	1, 4	
NAQVI Syed Ali Raza	AIZ646	Licensed Representative		1, 2
NG Chung Kei, Paul	AWF522	Licensed Representative	4	
NG Eric, Zheng Han	BJR929	Licensed Representative		6
NG Ka Yan	BMN485	Licensed Representative	6	
NG Tai Hung	AVM441	Licensed Representative	4	
NG Tze Chung	ALA304	Licensed Representative	2, 5	1
NIU Huoqing	BJT516	Licensed Representative	1	
OOI Boon Peng	AWA047	Licensed Representative	9	
PAN Yingshi	APL915	Licensed Representative	9	
PANG Shi Choi	BEX059	Licensed Representative		9
PARK Chong Ho	BJQ664	Licensed Representative	1, 4, 9	6
PARK Daye	BJO621	Licensed Representative	10	4
PARKER Henry Roger Jacques	AXZ431	Licensed Representative	2	
PATEL Sunil	AY1184	Licensed Representative	1	
QU Meng	BKD944	Licensed Representative	4	
RAN Linwan	BJE120	Licensed Representative	1	
SAMRA Fouad	APF780	Licensed Representative	9	1, 2
SANG Zijing	BIX210	Licensed Representative	4	
SEE Cheuk Yan, Maggie	AXD896	Licensed Representative		9
SEGAL James Marc Lee	ANM994	Licensed Representative		2
SHAO Dan	BFH489	Licensed Representative	9	
SHAO Kam Fu	ACC651	Licensed Representative	9	
SHEUNG Fung Ming	BJK928	Licensed Representative		6
SHUM Kai Fung	APV084	Licensed Representative	9	
SHUNG Kwok Yin	AFF211	Licensed Representative		2
SIT Man Lung, Andrew	AFV444	Licensed Representative		9
SPELMAN Daryl Martin	BJP631	Licensed Representative	9	1, 2
STANBRIDGE David	ANZ875	Licensed Representative	9	1
SUN Yilin	BHZ452	Licensed Representative	9	1, 6
SUNG Chang Hwan	AWI986	Licensed Representative	1, 5	
TAM Howard	BJA785	Licensed Representative		1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TANG Dik Ying	BKY298	Licensed Representative	1	
Tang Sau Lai	ANF069	Licensed Representative	2	
TANG Wing Hang	AXO388	Licensed Representative	1	
TONG He	BEK752	Licensed Representative	1	
TONG Tsz Wai	AUT494	Licensed Representative		2, 9
TSAI Tsung Nan	BBO883	Licensed Representative		4
TSANG Heddy	AEE534	Licensed Representative	4, 9	
TSANG Tsz Ho	AWE361	Licensed Representative		1
TSE Chau Ming	AVR835	Licensed Representative		2
TSE Eugene Yungyee	BKZ626	Licensed Representative	4	
TSE Man Hon, Matthew	BCJ632	Licensed Representative	1, 4	
TSE Tak Li, Terry	AGW560	Licensed Representative		3
TSOI Hung Loi	AQF008	Licensed Representative	4	
TSUI Terence	AVA788	Licensed Representative	6	
TSUI Tsz Yan	BFG797	Licensed Representative	1, 9	4
TSUKAMOTO Terumasa	BJF249	Licensed Representative	1	
TUEN MUK Hoi Ning, Helen	ALR002	Licensed Representative	4, 9	
TUNG Ka Yee, Carrie	BFA423	Licensed Representative		9
TUNG Yu Yam	BIE170	Licensed Representative		4
VARGA Edit	BLB529	Licensed Representative		7
WAN Chi Hang	ANE890	Licensed Representative	6	
WAN Wai Mai Regina	AWL917	Licensed Representative	4, 9	
WANG Bei	BLK413	Licensed Representative	4, 9	
WANG Duoduo	BKY309	Licensed Representative	4, 9	6
WANG Jian	BIP990	Licensed Representative	1	
WANG Sichen	BIM096	Licensed Representative	4, 9	
WANG Weida	AXG482	Licensed Representative	4	
WANG Xiao	BGK625	Licensed Representative	6	
WANG Zhuo	BID808	Licensed Representative	6	
WATANABE KEIKO	BJT480	Licensed Representative	9	
WEN Chen	BFL265	Licensed Representative	1	
WONG Anson King Lung	AXO985	Licensed Representative	1	
WONG Chor Tung	BGP797	Licensed Representative		4, 9
WONG Ho Fu, Billy	ADZ439	Licensed Representative	9	
WONG Ka Chun	AUU925	Licensed Representative	4, 9	
WONG Kai Kok	ACT922	Licensed Representative		9
WONG Kam Wah	AHG506	Licensed Representative		1
WONG Kin Cheong	BGX282	Licensed Representative	1, 9	
WONG Kwan To	ARL437	Licensed Representative		9
WONG Man Hin	AMY306	Licensed Representative	1	9
WONG Man Yan	BLD595	Licensed Representative		4
WONG Nam Fu, Andy	ADB026	Licensed Representative	9	1, 2, 4
WONG Pak Kan	AXK066	Licensed Representative	4	
WONG Sze Ip	AQU491	Licensed Representative	4	
WONG Tsz Chun	BJU723	Licensed Representative	9	1
WONG Tsz Ho	BIB430	Licensed Representative	4	1
WONG Wai Sze	BAA860	Licensed Representative	1	
WU Hao	BJU814	Licensed Representative		2
WU Junya	ARO390	Licensed Representative	4	
WU Kwong Hin	BDJ584	Licensed Representative	1	5
WU Siyu	BLB631	Licensed Representative	4	
WU Wan-Chi	APC272	Licensed Representative	2, 4	
WU Xuelu	BDC636	Licensed Representative	9	1, 4
WU Xueni	BJI146	Licensed Representative	2	
WU Ying Piu	BHV039	Licensed Representative	9	6
WU Yi-Ping	BFN192	Licensed Representative		1
WU Ziwei	AYR781	Licensed Representative	1	
XIANG Yanzhou	BIW345	Licensed Representative		4



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XIAO Feifei	BKE569	Licensed Representative	4	
XIAO Julia	AYO955	Licensed Representative	1	
XIE Jinchao	BEN368	Licensed Representative	6	
XU Bei	AOK734	Licensed Representative	2	
XU Xingye	BMQ601	Licensed Representative		4
XU Ye	BIZ034	Licensed Representative	10	4
XUE Yang	BHX996	Licensed Representative	9	4
YANG Guang	BLG131	Licensed Representative	2	
YANG Hann	BEC061	Licensed Representative		4, 5
YANG Tin Wai, Heather	AND437	Licensed Representative	4	
YANG Xiaoqin	BGX202	Licensed Representative	1	4, 9
YANG Xue	BJR080	Licensed Representative	9	
YANG Yi	BBR075	Licensed Representative	1, 4	
YANG Yi	BHA126	Licensed Representative	9	1, 4
YE Yingcong	ARW530	Licensed Representative		1
YEUNG Ching	BFU561	Licensed Representative	4	
YEUNG Kai Cheung, Patrick	AAI669	Licensed Representative		9
YEUNG Wai Yu	BIZ611	Licensed Representative	4	2
YEUNG Yi Lan	BHR616	Licensed Representative	5	
YIM Wai Nga	BBS695	Licensed Representative		4
YIN Shi	APC594	Licensed Representative	1	
YIP Man Wai	AEY361	Licensed Representative		2
YIP Wai Kei	BGU286	Licensed Representative	9	
YIP Wing Chuen	AQO150	Licensed Representative		2
YIU Yu Chung	BDH061	Licensed Representative		6
YU Chung Ho	BGX570	Licensed Representative		9
YU Sheng	BHY362	Licensed Representative		4, 6
YU Tai Wai	BCC292	Licensed Representative		2
YU Yam Lo	ANH140	Licensed Representative	4	
YUE Chee Sum, Clarence	ADT882	Licensed Representative	4	
YUE Zhihao	BGG949	Licensed Representative	4	
YUEN Ka Yat	ALA314	Licensed Representative	9	2
YUEN Tung Pui	BMO078	Licensed Representative	2	
ZHANG Qi	BGP506	Licensed Representative		1
ZHANG Qianqian	BGB534	Licensed Representative		1, 4
ZHANG Yang	BDM026	Licensed Representative	4	
ZHAO Guoyang	AYR785	Licensed Representative	1	
ZHAO Hanlin	ANR446	Licensed Representative	2	
ZHAO Qing	AXV392	Licensed Representative		1, 2
ZHAO Weihua	BGK789	Licensed Representative	4	
ZHEN Xu	BLH877	Licensed Representative	1, 2	
ZHONG Linlin	BAH322	Licensed Representative	1, 4, 9	5
ZHOU Fangwen	BBK066	Licensed Representative		1, 4
ZHOU Yuan	BLI876	Licensed Representative	2	
ZHUANG Yiyang	BHY685	Licensed Representative	4	
ZUO Yiyuan	BKG344	Licensed Representative	1, 7	5
CHAI Chi Kit	BKA662	Licensed Representative - Responsible Officer	1	
CHAN Ka Kit	BAY064	Licensed Representative - Responsible Officer	4	
CHAN Suet Yee, Shirley	AGI487	Licensed Representative - Responsible Officer	1	
CHAN Wai Leung	ASK745	Licensed Representative - Responsible Officer	4	
CHEN Hao	ATB830	Licensed Representative - Responsible Officer	4	
CHEN Ji	ALO251	Licensed Representative - Responsible Officer	1	
CHEN Xuan	ARG777	Licensed Representative - Responsible Officer		9
CHEUNG Siu Fung	AZK555	Licensed Representative - Responsible Officer	5	
CHEUNG Huai Yun, Candy	AUS580	Licensed Representative - Responsible Officer	4	
CHONG Chak Man	AFT770	Licensed Representative - Responsible Officer	1	
CHONG Kam Lung	AWA413	Licensed Representative - Responsible Officer	5	
CHOW Yuen Tung	AEZ042	Licensed Representative - Responsible Officer	2	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
COATES Michael Stuart	AQE074	Licensed Representative - Responsible Officer	1, 4	
DUAN Guosheng	ARG106	Licensed Representative - Responsible Officer	1	
FISCHER Simon John	AVW178	Licensed Representative - Responsible Officer	2	
FUNG Wai Kai, Alex	ABX996	Licensed Representative - Responsible Officer	9	
GE Shihang	BEL388	Licensed Representative - Responsible Officer	4	
GE Wanli	BLE512	Licensed Representative - Responsible Officer	1	
HAUPT Michael	BDO476	Licensed Representative - Responsible Officer	9	
HE Jiahao	BDM345	Licensed Representative - Responsible Officer	1	
HO Tzu-Chuan	AOB928	Licensed Representative - Responsible Officer	4	
IBUKI Jumpei	AWO623	Licensed Representative - Responsible Officer	4	
JAO Fang-Ming	BEZ036	Licensed Representative - Responsible Officer	2	
JAO Meng Yu	ABZ024	Licensed Representative - Responsible Officer	2	
JIN Xiaobin	BLE514	Licensed Representative - Responsible Officer	1, 4	
KEUNG Kam Chuen	AAE998	Licensed Representative - Responsible Officer	4	
KU Wai To Daniel	BLI648	Licensed Representative - Responsible Officer	1, 2	
LAI Shi Yuen Matthew	BBQ037	Licensed Representative - Responsible Officer	5	
LAM Bing Wah	ARX073	Licensed Representative - Responsible Officer	1	
LAM Kwok Fai, Alfred	ABT579	Licensed Representative - Responsible Officer	4	
LAU Chak Lam	ABU297	Licensed Representative - Responsible Officer	4, 9	
LAU Fu Wing	ANH674	Licensed Representative - Responsible Officer	4	
LAU Lik Alaric	AHK681	Licensed Representative - Responsible Officer	4	
LEE Che Chiu	AAZ110	Licensed Representative - Responsible Officer	2, 5, 9	
LEE Choi	AAE459	Licensed Representative - Responsible Officer	9	
LEE Ha Young	ADI252	Licensed Representative - Responsible Officer	9	
LEE Ka Ho	AWE955	Licensed Representative - Responsible Officer	2	
LEE Ka Long	AME566	Licensed Representative - Responsible Officer	2	
LEE Kei Tat	AEM828	Licensed Representative - Responsible Officer	5	
LEE Mei Chun	AAH556	Licensed Representative - Responsible Officer	4	
LEONG Jonathan Raymond	AVM644	Licensed Representative - Responsible Officer	4	
LI Wai Kit, Chris	AWV325	Licensed Representative - Responsible Officer	4	
LI Xin	AXS320	Licensed Representative - Responsible Officer	9	
LUK Sai Cheong	AHX269	Licensed Representative - Responsible Officer	4, 9	
LUN Ka Lai	AOH584	Licensed Representative - Responsible Officer	5	
MA Ying Mao Andrew	AZT117	Licensed Representative - Responsible Officer	4	
MURRAY John Bernard	AOL180	Licensed Representative - Responsible Officer	1, 4	
NG Siu Hon, Timmy	ADS593	Licensed Representative - Responsible Officer	4	
NG Wing Sum, Vincent	AOS102	Licensed Representative - Responsible Officer	4	
PANG Chun Fai	AQJ528	Licensed Representative - Responsible Officer	4	
POON Kwok Wah, Allan	ADY178	Licensed Representative - Responsible Officer	4	
REN Hui	AOC886	Licensed Representative - Responsible Officer	4	
SHUM Yuen Fai	AXG206	Licensed Representative - Responsible Officer	9	
TAI Chi Ching	ADM909	Licensed Representative - Responsible Officer	4, 5	
TAI Yiu Kuen, Kevin	AFY190	Licensed Representative - Responsible Officer	9	
TANG Sing Hing, Kenny	AAC176	Licensed Representative - Responsible Officer	9	
TSUI Siu Kay, Gordon	AFM917	Licensed Representative - Responsible Officer	1	
VELLA Andrea	AUV624	Licensed Representative - Responsible Officer	6	
WANG Elizabeth Lily Chiang	AFU253	Licensed Representative - Responsible Officer	4	
WEN Zhimin	AID045	Licensed Representative - Responsible Officer	9	
WONG Hoi Ki	AJO046	Licensed Representative - Responsible Officer	2	
WONG Ka Ching	AGI520	Licensed Representative - Responsible Officer	9	
WONG Siu Leung	ANK932	Licensed Representative - Responsible Officer	2, 4, 9	
WONG Yan Shun	AXV863	Licensed Representative - Responsible Officer	1	
YANG Zhizhong	ACO050	Licensed Representative - Responsible Officer	1, 4	
YAO Sze Ling	ABQ898	Licensed Representative - Responsible Officer	9	
YU Alex Chung-wei	BHP591	Licensed Representative - Responsible Officer	1	
YU Ching Yat	ABF946	Licensed Representative - Responsible Officer	4	
ZHANG Le	AXS730	Licensed Representative - Responsible Officer	1	
ZHOU Lihua	BFS121	Licensed Representative - Responsible Officer	1	