



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Aneto Capital Limited	BJR935	Licensed Corporation	4	
Arete Capital Asia Limited	BHY177	Licensed Corporation	9	
Convoy Capital Hong Kong Limited	AZF528	Licensed Corporation		1
Evercore Asia Limited	AVT295	Licensed Corporation	4	
Futu Securities International (Hong Kong) Limited	AZT137	Licensed Corporation	5	
HeungKong Securities Limited	BJB477	Licensed Corporation	2	
Profit Access Investments Limited	BLK493	Licensed Corporation	1	
Scotiabank (Asia) Limited	ABA815	Licensed Corporation		1
Trinitus Asset Management Limited	AAF157	Licensed Corporation	4	
Zhonghua Finance Asset Management Co., Limited	AWD972	Licensed Corporation	4	
ALEXANDER Sean Philip	AHA619	Licensed Representative	4	
AU Chun Hong Jos	BFB210	Licensed Representative	1	
BAI Yang	BDQ057	Licensed Representative		1
BAKSI Aritra	BHJ793	Licensed Representative		1
BAN Hideyasu	ALF355	Licensed Representative	4	1
CAI Shixin	BMH732	Licensed Representative		7
CAMPBELL Lachlan Gordon	ADU213	Licensed Representative		1
CENDRON Ken Damien	AVC112	Licensed Representative	1	
CHAN Chester Chi-to	AYB952	Licensed Representative	4	
CHAN Chi Bun	AZR722	Licensed Representative	1	
CHAN Ching Sum	BIY455	Licensed Representative	5	
CHAN Chit Ho	BGT648	Licensed Representative	4, 9	1
CHAN Chit Hong	BCK498	Licensed Representative		2, 5
CHAN Choi Hung	APO018	Licensed Representative		6
CHAN Chun Ming	ABN578	Licensed Representative		1
CHAN Chung Ching	BFZ509	Licensed Representative	4	
CHAN Hoi Ching, Katrina	ATX660	Licensed Representative		2
CHAN Kin Ho	ALQ830	Licensed Representative	5	
CHAN Kin Yu, Ronnie	AIB744	Licensed Representative	1	4
CHAN King Hei	BGM820	Licensed Representative		3
CHAN Kwan Yeung	AVU789	Licensed Representative	2	
CHAN Leong Sek, Freddy	ADW083	Licensed Representative		1
CHAN Long Ho, Ronald	BGR509	Licensed Representative		3
CHAN Ming Hong	BHP912	Licensed Representative		1
CHAN Pok Man	BDD080	Licensed Representative		2
CHAN Shiu Yee	AVM135	Licensed Representative		3
CHAN Suit Khown	ATX893	Licensed Representative		4, 9
CHAN Wai Chung	AOD117	Licensed Representative	5	
CHAN Wing Hang, Henry	ANR826	Licensed Representative	1	
CHAN Woon Yew, William	ALJ813	Licensed Representative		4, 5
CHAN Yuen Man, Parina	BAB913	Licensed Representative	4	3
CHANG HSIAO HAN	BMF393	Licensed Representative		1
CHANG Moon Suk, John	AMI423	Licensed Representative		1
CHANG Suet Yee	AYU555	Licensed Representative	9	
CHANG Tat Sean	ADL512	Licensed Representative	1	
CHEN David Wai Chung	BGU035	Licensed Representative		2
CHEN Hsiao Yi	ATN992	Licensed Representative	9	
CHEN I Hsuan	BIH514	Licensed Representative	4, 9	1
CHEN Shuo Steve	BDJ257	Licensed Representative		2
CHEN Weijie	BBL135	Licensed Representative		9
CHEN Xiaowei	BMQ531	Licensed Representative	4	
CHEN Xuyang	BGW868	Licensed Representative	4	
CHEN Yang	BBL388	Licensed Representative		2
CHENG Dennis Chung Sing	BGV481	Licensed Representative	2, 7	
CHENG Jie	BCZ612	Licensed Representative	1	
CHENG Keung	ACO077	Licensed Representative	4	
CHENG Man Man	BJM105	Licensed Representative	2	
CHENG Robin Shiang	ATD727	Licensed Representative		1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHENG Sheng-Jung	ANF064	Licensed Representative		1
CHENG Sze Long	BHR971	Licensed Representative		3
CHENG Tai Pui	AAH278	Licensed Representative	2, 5	
CHENG Wai Hong	ALO598	Licensed Representative	9	
CHEUNG Bonathan Wai Ka	BIF071	Licensed Representative	9	
CHEUNG Cheuk Ga	BHH654	Licensed Representative	9	
CHEUNG Chi Ho, Ricky	BNB785	Licensed Representative	1	
CHEUNG Chui Chi	APU645	Licensed Representative	9	
CHEUNG Chun Ho	ATW460	Licensed Representative	9	1
CHEUNG Kin Hang	ARA266	Licensed Representative	5	1
CHEUNG Lai Nga	BMS690	Licensed Representative		4
CHEUNG Tin Yui, Timothy Brian	BBE263	Licensed Representative	4	
CHEUNG Wing Chow	AUW530	Licensed Representative		4
CHIA Janice Jhet Yen	AOY762	Licensed Representative	6	
CHIK Yin Yan	BBS682	Licensed Representative	4	1, 6
CHIK Yiu Fai	ASD682	Licensed Representative	5	
CHIU Sai Ka	BLD324	Licensed Representative	4	
CHIU Shih-Min	BHF087	Licensed Representative	9	1, 4
CHOI Byung Ug	AJE848	Licensed Representative		4
CHOI Chun Fat, Anderson	ANA716	Licensed Representative	9	1, 2, 4, 5
CHOI Ho Yan	BHR891	Licensed Representative	4	
CHOI Ko Wai	BME262	Licensed Representative		1
CHOI Wing Tak	AYS109	Licensed Representative	1	
CHOI Younghee	AXT492	Licensed Representative		4
CHONG Wah Yeung	AJH301	Licensed Representative	9	
CHOW Chee	AFD151	Licensed Representative		9
CHOW Ho Sing	BBS501	Licensed Representative	4	
CHOW Man Kin	BBE581	Licensed Representative		1
CHOW Oi Ting	BLX223	Licensed Representative	4, 5	3
CHOY Yau Fai	AQQ237	Licensed Representative	2	
CHU Chun Ming	AHR391	Licensed Representative		1
CHU Ka Ho	BFN898	Licensed Representative	4	1, 9
CHU Kin Cheung	ARQ377	Licensed Representative	1	
CHU Kin Shing Stephen	BJD164	Licensed Representative	4	
CHU Lap Shun	BFP913	Licensed Representative		1
CHU Man Chung	AQY201	Licensed Representative	2	
CHU Wai Kit, Danny	AFV890	Licensed Representative		1
CHU Wai Leung	ADW213	Licensed Representative	4, 9	
CHUI King Man	BBU288	Licensed Representative	9	
CHUI Wing Hin	BIV832	Licensed Representative	2, 5	
CHUN Yee Wah Eva	ABT383	Licensed Representative		4
CHUNG Chun Yin	BEM830	Licensed Representative	4	
CHUNG I Fon	AVI326	Licensed Representative		4
CHUNG Ki Fung	BEJ748	Licensed Representative		1
CHUNG Wing Hang	BJU092	Licensed Representative	9	6
Ci Yuan	BMP515	Licensed Representative	4	
CORNWALL Ross Douglas	BIX011	Licensed Representative	2	
CUI Wei	BLW939	Licensed Representative	2	4
DENG Hefei	ATW716	Licensed Representative		1
DENG Wing Cheong	BGG498	Licensed Representative	4	
DENG Yongzhi	BIV293	Licensed Representative	2	4
DEWBERRY Paul Robert	ALI718	Licensed Representative		1
DING Yixin	BGP112	Licensed Representative	1	
EL BAHARI Youssef	BAL997	Licensed Representative	1	
EUM Soo Hyun	BIE106	Licensed Representative	2	
FALLOON Benjamin John	ALS085	Licensed Representative	9	1
FONG Ka Chai	BFP708	Licensed Representative	5	
FOO Man Yin	APU805	Licensed Representative	1	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FU Huihui	BEJ105	Licensed Representative		2
FU Yiu Man, Peter	AAC370	Licensed Representative		6
FUNG Ka Wan	BBK338	Licensed Representative	4	
FUNG Koon Yan	AWS896	Licensed Representative	4	
FUNG Lap Chiu	AVH197	Licensed Representative	2	
FUNG Natalie	BJW964	Licensed Representative	4	2
GILL Harvinder Preet Singh	BLL847	Licensed Representative	1, 2	4, 9
GRABNER Heinrich	AEN772	Licensed Representative		1
GROVER Gaurav	AMB195	Licensed Representative		1, 2
GU Chunhui	BDO358	Licensed Representative		4
GU Enda	BCK457	Licensed Representative	4	
GU Yifan	BAE171	Licensed Representative	4	
GUO Rongjun	ASW836	Licensed Representative		1
HAN Ji Ho	BDF287	Licensed Representative	5	1
HAO Yimei	BMQ130	Licensed Representative		1, 2
HARA Hitoshi	AMV311	Licensed Representative	4	
HE Jingjia	BIM420	Licensed Representative	2	
HE Yiwei	AWS905	Licensed Representative		1, 5
HINTERHAEUSER Marina	ATM619	Licensed Representative	4, 9	2
HO King Yan, Lilian	BHZ137	Licensed Representative		6
HO Lok Shan	BJP426	Licensed Representative	1, 6	
HO Shing Yan William	BED044	Licensed Representative	9	
HO Sin Cheung	ABP225	Licensed Representative		2, 4, 9
HO Sze Man, Benedict	AKP070	Licensed Representative		1
HO Yan Lam	AVJ407	Licensed Representative	4	
HONG Ruixiang	BEE810	Licensed Representative	9	4
HOU Changjie	BAG107	Licensed Representative		1, 2, 4
HOU Tingmei	BMQ401	Licensed Representative	1	
HSU Hao Tung	BDV626	Licensed Representative		1, 2
HSU Jennifer	BFJ910	Licensed Representative		4
HU Ruanjia	AZC807	Licensed Representative		4, 6
HUA Siyang	BIM947	Licensed Representative	9	4
HUANG Yiwei	BHY352	Licensed Representative	1	
HUANG Gisele Zijue	BJI823	Licensed Representative	6	2, 5
HUANG Hexiao	BLS753	Licensed Representative	4	
HUANG Jiajun	BIC863	Licensed Representative		6
HUANG Junjie	BFJ617	Licensed Representative	9	
HUI Fei	BMQ599	Licensed Representative		6
HUI Oi Man	BIG351	Licensed Representative	2	
HUNG Chiu Loi	AUS181	Licensed Representative	4	
IP Kai Chung	BMJ042	Licensed Representative		1, 2, 4
JIANG Zu Yao	AMZ980	Licensed Representative	1	
KAN Tung Fai	AOQ748	Licensed Representative		2
KE Tak Lai	AXP786	Licensed Representative	4	
KE Wing Shuen	AFD507	Licensed Representative	1	
KIM Jeong Yeon	BGK390	Licensed Representative	9	
KIM Jun Sub	BGI784	Licensed Representative		4
KOLZ Stephan Thomas	BND123	Licensed Representative	1, 4	
KOOK Soonwoong	AMJ994	Licensed Representative		4, 9
KUN In	AOA393	Licensed Representative	9	
KUNG Wang Leong	BLR820	Licensed Representative		1
KWAN Chi Hang	AGL438	Licensed Representative		1
KWAN Ka Man	AEO500	Licensed Representative	2	
KWAN Ringo Cheukkai	AEH242	Licensed Representative		1
KWAN Ut Hoi	AQW340	Licensed Representative		9
KWOK Kai Wai	ACL567	Licensed Representative		1
KWOK Simon	AVQ920	Licensed Representative	1	
KWOK Yuk Lun, Thomas	BHZ272	Licensed Representative		4



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KWONG Chun Hin	BIM257	Licensed Representative	2	
KWONG Joseph Gin Ming	BAX551	Licensed Representative	6	2, 7
KWONG Pui Chuen	AWL133	Licensed Representative	4, 5	
LAI Chi Kin	ANW426	Licensed Representative	4	
LAI Chui Shan	BGT651	Licensed Representative	6	4, 5
LAI Hon Wa, David	BCY715	Licensed Representative		1, 4
LAM Chi Wai, Benny	BAC064	Licensed Representative	4	
LAM Chun Yim	AUO078	Licensed Representative		4
LAM Ka Ho	AFO423	Licensed Representative	2	
LAM Ka Ho	BJP817	Licensed Representative		2
LAM Ka Wai	BGO474	Licensed Representative	3	
LAM Kai Wing, Kevin	ABM100	Licensed Representative	4	2
LAM Kin On	AHU182	Licensed Representative	4, 9	
LAM Kin Pan	AGE554	Licensed Representative	4	
LAM Mei Fai	ARG361	Licensed Representative	9	
LAM Tat Yuen	BJW878	Licensed Representative	3	
LAM Wing Hei	ATB985	Licensed Representative	4	
LAM Ying Kit	AZX858	Licensed Representative	1, 4, 9	
LAM Yuk Ming	AOE457	Licensed Representative	4	
LAU Cheuk Hang, Samuel	AJB217	Licensed Representative	9	2, 4
LAU Chi Kin, Vincent	AHA572	Licensed Representative	1	
LAU Chun Yan	BJV347	Licensed Representative	3	
LAU Kin Wing, Ken	AAB985	Licensed Representative	1	
LAU Ming Yeung, Stephen	ANB243	Licensed Representative	4	
LAU Oi Chu	AOK970	Licensed Representative		4
LAU Wing Ki	BMX753	Licensed Representative	4	
LAW I Lung	BFN654	Licensed Representative	4, 5, 9	
LAW Tak Wai Natalie	BHL925	Licensed Representative	2, 5, 9	
LEE Chun Yip	AUW445	Licensed Representative		2
LEE Siu Kwan Sammy	BDV594	Licensed Representative	2	
LEE Trevor Nicholas Chin Hong	BJY415	Licensed Representative	1	
LEE Wai Shing	BMH532	Licensed Representative	3	
LEI Jinghui	BAV196	Licensed Representative	1	5
LEONG U Weng	AXR831	Licensed Representative	3	
LEUNG Chun Yin	BMB720	Licensed Representative	1, 2, 4, 5	
LEUNG Ho Juen	BLL871	Licensed Representative		5
LEUNG Ho Kiu	BJB931	Licensed Representative		1
LEUNG Ka Ming	BJU601	Licensed Representative	4	
LEUNG Kai Chung	AQR032	Licensed Representative		1, 2
LEUNG Kelvin Ming Yuen	AWK629	Licensed Representative		1
LEUNG Wai Chee	ATO785	Licensed Representative	1	
LEUNG Wai Lok	AQA795	Licensed Representative	1	5, 6
LEUNG Yip Hang, Steven	ALW765	Licensed Representative		2
LI Heung	AYO826	Licensed Representative	1, 4	
LI Ho Ming	BCW951	Licensed Representative	4, 5, 9	
LI Jia	BCH563	Licensed Representative	6	
LI Jiageng	BFX311	Licensed Representative		4, 9
LI Jingyi	BBS631	Licensed Representative	1	
LI Kai Wang	BFY153	Licensed Representative	1, 4, 5	
LI Kin Man, Kent	AOY339	Licensed Representative		1, 2
LI Min	BIE089	Licensed Representative	6	2
LI Nga Yan	BGB018	Licensed Representative		6
LI Pui	BEJ354	Licensed Representative		9
LI Ran	BDL985	Licensed Representative		2
LI Teng	BLI066	Licensed Representative	4	1, 2
LI Wen	BGL243	Licensed Representative		4, 6
LI Zhelun	BFW347	Licensed Representative	2, 9	4
LIANG Yiming	AEY432	Licensed Representative		2



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIAO Ning	BLP516	Licensed Representative	4	
LIM Ah Lay	AWN310	Licensed Representative		2, 4
LIN Edith	ANH570	Licensed Representative	9	
LIN Guoyan	BGI925	Licensed Representative	3	
LIN Jing	BEJ251	Licensed Representative	1	
LIN Ka Ho	AMR825	Licensed Representative	1, 4	
LIN Min	BJB497	Licensed Representative	1	
LIN Tun	AWE515	Licensed Representative		1
LIN Xiangli	BJP018	Licensed Representative		6
LIN Zheng	AYF101	Licensed Representative		1
LIT Ho Man, Vienna	APB993	Licensed Representative	4	
LIU Fei	BDE493	Licensed Representative	2	
LIU Jiandong	BKN438	Licensed Representative		4
LIU Jiaqi	BGH444	Licensed Representative	1	
LIU Qiaosong	BDO168	Licensed Representative		4, 9
LIU Sishuo	BJD420	Licensed Representative	1, 4	
LIU Song	BJO256	Licensed Representative	4	
LIU Xiaoying	BLR337	Licensed Representative	2, 7	4, 9
LO Cho Yan	ACR637	Licensed Representative	1, 4	
LO Pui Man	AJD641	Licensed Representative		9
LO Wai Hon, Joey	AFP517	Licensed Representative		2
LU Yun	BFA945	Licensed Representative	1, 4, 6	10
LUI Sung Hing	BEZ147	Licensed Representative		2
LUNG Shu-Hua	APB423	Licensed Representative	2, 4	
LUO Xia	ANQ008	Licensed Representative	2	
LUO Zhen	BKN825	Licensed Representative	1, 4	
LUONG Vanessa	AID285	Licensed Representative		1, 2, 4, 5
LYU Yujie	BMQ025	Licensed Representative	2	
MA Chi Keung	ABS585	Licensed Representative	2	
MA Hak Yiu	AML291	Licensed Representative		6
MA Johnny Bun Wah	AES117	Licensed Representative	4	
MA Shun Wa	BFO083	Licensed Representative	4	
MA Siu Kay	BDH471	Licensed Representative	2	
MA Tor Fuk, Dick	AAH269	Licensed Representative	2	
MA Xiaoqi	BGH607	Licensed Representative		1, 4
MACDOUGALL Brian Alexander	AHA824	Licensed Representative		4
MAK Hoi Ching	AXS958	Licensed Representative	4, 9	
MAK Ka Ho	AWC175	Licensed Representative	4, 9	
MAK Kwok King Jacky	BIW482	Licensed Representative	1, 4	
MAN Chi Yu Alissa	BMW869	Licensed Representative	6	
MAN Ronald Lok-tod	BGC485	Licensed Representative		2
MAN Siu Sin, Sam	AED296	Licensed Representative	1	
MCCABE Andrew Robert	AWZ008	Licensed Representative	4, 5, 9	1, 2
MENG Peihui	ASL829	Licensed Representative	2	
MO William Rainer	AFG642	Licensed Representative	5	
MUI Wing Hing	AWP662	Licensed Representative	6	
NG Francis Chi Yin	AXX647	Licensed Representative	1	
NG Kai Fung	BHW683	Licensed Representative		1
NG Kim Hung	AEJ497	Licensed Representative		1
NG Oi Chu	ASZ370	Licensed Representative	2, 4, 5	
NG Oi Wan, Eva	AQL154	Licensed Representative	4	
NG Warren Brian Ka Hei	AVN286	Licensed Representative	4	
NG Yik Yin	ATN406	Licensed Representative	4, 5, 9	
NGAN Or	BCW210	Licensed Representative	3	
OBEROI Navdeep Singh	BJU820	Licensed Representative		2
OWEN Ruth	BEZ703	Licensed Representative		4, 9
PAN Luming	BFE614	Licensed Representative	1	
PANG Chun Wai, Tommy	APV527	Licensed Representative		4



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
PANG Ka Yin	APP351	Licensed Representative	6	1
PANG Wai Chung	AFL704	Licensed Representative		4
PENG Fengyi	BEO927	Licensed Representative		6
PENG Yu	BME534	Licensed Representative	4	
PI Xiaoduo	AZQ421	Licensed Representative	2, 5	1
POON Kwai Kwong	AYO538	Licensed Representative	4	
RAN Miao	BBN537	Licensed Representative	2	
RHODES Jordan James	BBT264	Licensed Representative	2	
SAMADHIYA Ritesh	AOX630	Licensed Representative		2
SETHURAMAN Anuradha	ATH917	Licensed Representative	4	2
SHEN Hui	BDE640	Licensed Representative	4	
SHEN Yiyang	BDO454	Licensed Representative	1	
SHU Shan	ATY750	Licensed Representative		2, 3, 4
SHUM Chun	AMO639	Licensed Representative	4	1
SHUM Ying Chi	BMN417	Licensed Representative	1	
SINHA Adarsh	AVQ918	Licensed Representative		2
SO Wai Lun	AXD705	Licensed Representative	9	
SONG Mu	BNE730	Licensed Representative	4	
SUN Derrick	APY600	Licensed Representative	1	
SUN He	AWF650	Licensed Representative	1	
SUN Qiwen	BHZ320	Licensed Representative	4, 5, 9	
SUN Yanan	APP205	Licensed Representative	4, 9	1, 6
SUN Yiqian	ATV809	Licensed Representative	7	
SZE Ying Ying	BJI404	Licensed Representative	4, 9	
TAI Yik Fei	BJN160	Licensed Representative	9	
TAM Chun Cheong	BGQ071	Licensed Representative	1	2
TAM Hoi Man	BHR249	Licensed Representative		2
TAM Ka Fung	AQB028	Licensed Representative	9	
TAM King Ho, Howard	AFN346	Licensed Representative	1, 2, 5	
TAM Wai Lun	BEI396	Licensed Representative	9	
TAM Wing Tai, Victor	ARN041	Licensed Representative	2	
TAN Christine	AET253	Licensed Representative		2
TANG Chit Yuen	BIT022	Licensed Representative	4	
TANG Chun Kit	BIQ326	Licensed Representative	9	
TANG Jishi	BLG078	Licensed Representative	2	
TANG Kwan Yue	AOV744	Licensed Representative		2
TONG Lok Wai, Daniel	APS512	Licensed Representative	2, 5	
TSANG Sai Hong	BHC363	Licensed Representative	1	
TSANG Ting Ting	BHY673	Licensed Representative	3	
TSANG Wing Wah	ACI994	Licensed Representative	5	3
TSE Chi Ho	APB691	Licensed Representative		2
TSE Siu Yuen	AQA082	Licensed Representative		2
TSENG Chao-Lien	BFE226	Licensed Representative		1
TSIN Fung Johnny	ANU877	Licensed Representative	9	
TSUI Man Hong	AOA403	Licensed Representative	4	
TSUI Ting Kwan Quentin	BHL217	Licensed Representative	1	
TU Ying	BIH719	Licensed Representative	4, 6	
TUPPER Nigel Robert	ACS592	Licensed Representative		2
UTSUMI Soichi	BHQ370	Licensed Representative		4, 5
WANG Chi Yat	AYC881	Licensed Representative		2
WANG Feier	BGT642	Licensed Representative	1	
WANG Jinjin	AGP733	Licensed Representative	6	4
WANG Lukai	BJS134	Licensed Representative	4	
WANG Tong	BJK851	Licensed Representative	4	
WANG Xiao	BGV484	Licensed Representative		9
WANG Xinyan	BKC974	Licensed Representative	1, 2	9
WANG Xuegang	BMD008	Licensed Representative	1	4, 9
WANG Yicheng	BLN140	Licensed Representative	4, 9	1



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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Yunying	BJG612	Licensed Representative	4	
WANG Zi Zhu	BDV624	Licensed Representative		4
WILSON Edward Ross	BIC906	Licensed Representative	2	
WONG Benjamin Malcolm	APJ685	Licensed Representative	1, 4	
WONG Chung Wai	BFU185	Licensed Representative	4, 9	
WONG Ginie	BBF162	Licensed Representative		9
WONG Hing Wang, Ricky	AMI831	Licensed Representative	4	
WONG Ho Chau	AYQ444	Licensed Representative	6	
WONG Ho Chuen	ARO917	Licensed Representative		2
WONG Kin Chung	AXG433	Licensed Representative		2
WONG Lap Chun	AXX954	Licensed Representative	9	
WONG Lok Him, Howard	AMX945	Licensed Representative		2
WONG Miu Lai	ARI022	Licensed Representative	1	4
WONG Nelson Yeung Cheong	BEJ364	Licensed Representative	3	
WONG Shing Kit, Shirley	APL786	Licensed Representative		1, 2, 4
WONG Wai Cheong	ACJ905	Licensed Representative	1	
WONG Wan Vanness	BLU269	Licensed Representative	2	
WONG Wing Hoo, Vincent	AHE256	Licensed Representative	4	
WONG Wing Sze	BJU208	Licensed Representative	2, 5	
WONG Wing Yin, Raymond	AAW773	Licensed Representative	2	
WONG Yuk Sha	BLI523	Licensed Representative	4	
WOOD Robert Michael	AVS462	Licensed Representative	4	9
WU Chung Pong	BMT463	Licensed Representative		2, 3
WU Di	AWT094	Licensed Representative	4	
WU Jennifer Shauyu	BFX500	Licensed Representative	2	6
WU Jun Tung	AIT869	Licensed Representative		1
WU Luyin	ARK024	Licensed Representative	4	
WU Tse Wai, Frederick	AAC563	Licensed Representative		9
WU Warren Charles	AUZ061	Licensed Representative		1, 4
WU Yawen	BLD319	Licensed Representative	4	
WU Yunming	BKB813	Licensed Representative	4	
XI Ye	BEI736	Licensed Representative	1, 4	
XIANG Yuhao	BFE357	Licensed Representative	1	
XIAO Dongyuan, Sofia	BEV350	Licensed Representative	4	
XIAO Letian	BDE774	Licensed Representative	5	1
XIE Meiqi	BHE018	Licensed Representative	4	
XU Hua	BLF599	Licensed Representative	4	
XU Wenyan	BHL202	Licensed Representative	6	1
YAN Chi Kwan	AGL147	Licensed Representative	1	
YAN Kar Kee	ASK152	Licensed Representative	9	
YAN Yui Ham	BMX954	Licensed Representative	1	
YANG Lingbin	BGR256	Licensed Representative	6	1
YANG Xu	BIN075	Licensed Representative	6	2, 9
YANG Yang	BLB385	Licensed Representative	6	
YANG Yi	BJK791	Licensed Representative	4	
YAO Deyin	BHS756	Licensed Representative		1, 4
YAU Siu Fung	AYR255	Licensed Representative		4, 9
YE Zhuoying Susie	BEW110	Licensed Representative	6	
YEUNG Kwok Kei	BBB086	Licensed Representative	2	
YEUNG Po Sum	BIK523	Licensed Representative	9	6
YEUNG Yu Wai	BEA823	Licensed Representative	5	1
YIN Bo	AXT105	Licensed Representative		4
YIP Hao Huan	AVZ349	Licensed Representative	1	
YONG Kok Onn	APW615	Licensed Representative		2
YOU Yanwei	AYC304	Licensed Representative		6
YU Ming Lung	AUT720	Licensed Representative	1	
YU Surong	BHM107	Licensed Representative	1	9
YU Wai Kit	BMT587	Licensed Representative	4	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YU Wing Hung	AGH777	Licensed Representative		5
YU Xiao	BDL907	Licensed Representative		2
YUE Siming	BMR890	Licensed Representative	4	
YUEN Kin Chung	BGG257	Licensed Representative		9
ZHAN Juli	BGE013	Licensed Representative		4
ZHANG Hua	BAV650	Licensed Representative	2, 4	
ZHANG Muyun	BJD658	Licensed Representative	4	
ZHANG Rui	BED057	Licensed Representative		1
ZHANG Xiaosong	AMQ864	Licensed Representative	4, 9	
ZHANG Yanjia	BIW743	Licensed Representative	2	
ZHANG Zhihua	ANB118	Licensed Representative		4
ZHENG Yuanyuan	BLB635	Licensed Representative		4
ZHI Wendian	AXD292	Licensed Representative	1, 9	
ZHONG Haidan	BFN302	Licensed Representative	4	
ZHONG Shining	BDY889	Licensed Representative	4	6
ZHOU Haote	BKK304	Licensed Representative	1, 6	
ZHOU Xue	BCC731	Licensed Representative	1	
ZHOU Yan	BGK629	Licensed Representative	4, 9	
ZHOU Yuan	BFX481	Licensed Representative		6
ZHU Guyi	BJZ582	Licensed Representative	1	4, 9
ZHU Juan	BBD998	Licensed Representative	9	4
ZHU Jun	AVQ110	Licensed Representative		4
ZHU Xingtong	AYC355	Licensed Representative	4	
ZHUANG Zhongyan	BGL566	Licensed Representative	2	9
ZIBILICH Nathan Michael	AXD511	Licensed Representative		2
AU Kin Yu	BBF288	Licensed Representative - Responsible Officer	1	
BELL Ian Michael	AXF702	Licensed Representative - Responsible Officer	4	
BELZA GONZALEZ Sergio	BEA484	Licensed Representative - Responsible Officer	4	
BOTELHO BASTOS Pedro Augusto	ATV304	Licensed Representative - Responsible Officer	2, 5	
CHAN Choi Hung	APO018	Licensed Representative - Responsible Officer	9	
CHAN Chung Keung	AFQ639	Licensed Representative - Responsible Officer	1	
CHAN Kit Wah, Kazier	ANJ136	Licensed Representative - Responsible Officer	4	
CHAN Leong Sek, Freddy	ADW083	Licensed Representative - Responsible Officer	9	
CHANG Wan, David	AFH129	Licensed Representative - Responsible Officer	4	
CHEN Hao	ATB830	Licensed Representative - Responsible Officer	9	
CHENG Wai Hong	ALO598	Licensed Representative - Responsible Officer	5	
CHI Danny	AFK451	Licensed Representative - Responsible Officer	1	
CHIU Ka Him	AKX250	Licensed Representative - Responsible Officer	1	
CHOI Kam Keung	AKX041	Licensed Representative - Responsible Officer	9	
CHONG Chin	AAC622	Licensed Representative - Responsible Officer	2	
CHU Kar Son	AUZ444	Licensed Representative - Responsible Officer	1	
CHU Sau Ming	AEJ665	Licensed Representative - Responsible Officer	4	
CUUNJIENG Stephen Anthony Torres	ABN574	Licensed Representative - Responsible Officer	4	
FAN Zhe	BFA601	Licensed Representative - Responsible Officer	4	
FANG Zhiying	AWK007	Licensed Representative - Responsible Officer	4	
FEI Xiang	AOV610	Licensed Representative - Responsible Officer	1, 2	
FONG Siu Yat	AFA176	Licensed Representative - Responsible Officer	2	
GOLDFELD Leon	AED561	Licensed Representative - Responsible Officer	5	
GONZALEZ VILLAVECCHIA Manuel	AUC314	Licensed Representative - Responsible Officer	4	
GUO Yi Xi	AFB192	Licensed Representative - Responsible Officer	4, 9	
HO Yuan Pang	APG491	Licensed Representative - Responsible Officer	1	
KASHYAP Sunil	AVF021	Licensed Representative - Responsible Officer		1
KWAN Chi Hang	AGL438	Licensed Representative - Responsible Officer	4	
KWAN Ut Hoi	AQW340	Licensed Representative - Responsible Officer	1, 2	
KWOK Pui Hang	AAB046	Licensed Representative - Responsible Officer	4	
KWONG Kai Hong	AAB666	Licensed Representative - Responsible Officer	9	
LALONDE Timothy Gilbert	BJY918	Licensed Representative - Responsible Officer	4	
LAM Che Chung, Dennis	ALG475	Licensed Representative - Responsible Officer	4	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAM Chun Kwok, Jimmy	AEY314	Licensed Representative - Responsible Officer	1, 9	
LAM King Wu	ANK149	Licensed Representative - Responsible Officer	5	
LAM Ting, Don	ABN490	Licensed Representative - Responsible Officer	1, 4	
LAW Chi Yuen Edmond	AUL788	Licensed Representative - Responsible Officer	1	
LAW Kin Tai	APF334	Licensed Representative - Responsible Officer	4	
LEE Wai Sum	AUR858	Licensed Representative - Responsible Officer	6	
LEE Wai Yee	APH361	Licensed Representative - Responsible Officer	1	
LEE Yui Leung	AGM429	Licensed Representative - Responsible Officer	5, 6, 9	
LEUNG Chi Wai	ACB019	Licensed Representative - Responsible Officer	5	
LEUNG Kai Chung	AQR032	Licensed Representative - Responsible Officer	4	
LI Bo	AMN738	Licensed Representative - Responsible Officer		1, 5
LI Chun Kei	AKJ732	Licensed Representative - Responsible Officer	9	
LI Min	AGU654	Licensed Representative - Responsible Officer	1	
LI Tze Yan, Janet	ATN771	Licensed Representative - Responsible Officer	9	
LIM Ah Lay	AWN310	Licensed Representative - Responsible Officer	9	
LONG Matthew Nathan	AHE130	Licensed Representative - Responsible Officer	1, 2	
LU Hao Feng	ARI634	Licensed Representative - Responsible Officer	1, 4	
LUCHANGCO Charles Ramon	ACN576	Licensed Representative - Responsible Officer	9	
LUM Chi Yeung	ASX252	Licensed Representative - Responsible Officer	4	
MA Wai Yee, Christine	AXK803	Licensed Representative - Responsible Officer	4	
MAN Kam Tong	BBL188	Licensed Representative - Responsible Officer	1, 4	
MAO Yuan-Chieh	AZD539	Licensed Representative - Responsible Officer	9	
NGAI Ting Huen	ACI584	Licensed Representative - Responsible Officer	9	
NIDDAM Jerome Julien	AQO343	Licensed Representative - Responsible Officer	2, 4, 5, 7	
OR Siu Kam	AJD975	Licensed Representative - Responsible Officer	4	
PANG Chun Wai, Tommy	APV527	Licensed Representative - Responsible Officer	2	
POON Ching Yee, Joey	AXD834	Licensed Representative - Responsible Officer	5	
POON Sunny Pak Hin	AHN865	Licensed Representative - Responsible Officer	4	
QU Siyuan	BHJ218	Licensed Representative - Responsible Officer	4	
SHI Ping	BAL748	Licensed Representative - Responsible Officer		6
SUNG Chun Piu	AA730	Licensed Representative - Responsible Officer	4	
SZE Yeung Kuen	AQZ649	Licensed Representative - Responsible Officer	9	
TAM Wai Chi, Elly	ABS460	Licensed Representative - Responsible Officer	9	
TAN Hui Yeng	BPC982	Licensed Representative - Responsible Officer	9	
THOMPSON Marcus David William	AOL674	Licensed Representative - Responsible Officer	4	
TSOI Sze Ming, Kenneth	ABP464	Licensed Representative - Responsible Officer	4, 9	
WAN Kwok Fung	AEG678	Licensed Representative - Responsible Officer	4	
WONG King Chung	APB407	Licensed Representative - Responsible Officer	4	
WONG Kwan Ho	AOR534	Licensed Representative - Responsible Officer	4	
WONG Kwok Tung, Anthony	ALQ261	Licensed Representative - Responsible Officer	4	
WONG Sze Ip	AQU491	Licensed Representative - Responsible Officer	9	
WONG Wai King, Mona	ACG986	Licensed Representative - Responsible Officer	9	
WOO Chi Wai	AFC530	Licensed Representative - Responsible Officer	4, 9	
WU Biwei	AOV563	Licensed Representative - Responsible Officer	5	
WU Hing Tong, Michael	ACI997	Licensed Representative - Responsible Officer	1, 4, 9	
Xi Xuanhua	AHX572	Licensed Representative - Responsible Officer	4	
YAM Wai Tak	AMK311	Licensed Representative - Responsible Officer	1	
YAU Kwok Wing, Tony	AGE018	Licensed Representative - Responsible Officer	2	
YEUNG Chung Lung	AQX406	Licensed Representative - Responsible Officer	2	
YOUNG Ho Yip Alan	AGN028	Licensed Representative - Responsible Officer	4	
ZHANG Xiao Long	BLR519	Licensed Representative - Responsible Officer	4	
CHONG Siu Yin, Dominic	BNG839	Licensed Representative (Provisional Licence)	6	
QIU Anhong	BLT266	Licensed Representative (Provisional Licence)	9	
WONG Ka Hin	BMV852	Licensed Representative (Provisional Licence)	1	