



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Cantor Fitzgerald (Hong Kong) Capital Markets Limited	AKO499	Licensed Corporation	6	
China Everbright Forex & Futures (HK) Limited	AEX690	Licensed Corporation		5, 9
CMBC Asset Management Company Limited	BGH575	Licensed Corporation	4	
Eddid Securities and Futures Limited	BHT550	Licensed Corporation	9	
Fusang Investment Office Limited	BKE639	Licensed Corporation	4	
Herald Energy Capital (HK) Limited	BHF145	Licensed Corporation		4
NNFE International Asset Management Limited	BKC196	Licensed Corporation	4	
Oceanwide Capital Limited	ACR487	Licensed Corporation	1	
SBI China Capital Asset Management Limited	AOH442	Licensed Corporation	4	
SBI Securities (Hong Kong) Limited	BGB729	Licensed Corporation	4	
AGARWAL Anuja	AKO184	Licensed Representative	9	1, 2
AI Yuanyuan	APK575	Licensed Representative	9	
ALEXANDER Sean Philip	AHA619	Licensed Representative		1
ANFILOGOFF George Alexander Nicholas	BKD641	Licensed Representative	9	1, 2
ARAI Naoki	BLM026	Licensed Representative	4	
AU Chun Kit	AGD031	Licensed Representative	4	
AU Ho Lun	ATS067	Licensed Representative	9	
AU YEUNG Shuk Kwan	AWC291	Licensed Representative	4	
BELLINGHAM Alexander Bruce	AGM004	Licensed Representative		9
BI Xiaoxia	BIV961	Licensed Representative		9
BLANCO GOMEZ Felipe	AZX336	Licensed Representative	5	1
BRUCE Andrew Ronald	BBS835	Licensed Representative	4, 5	
CAI Jiazhu	BCU021	Licensed Representative		4
CAI Peiye	BFS140	Licensed Representative	1	
CAI Xuezheng	BJT934	Licensed Representative	4	
CHAN Chi Wai, William	BFF177	Licensed Representative	4	
CHAN Henry	AMI312	Licensed Representative		4
CHAN Ka Ho	BLY764	Licensed Representative	2	
CHAN Ka Kin	BHS185	Licensed Representative	4, 9	
CHAN Kin Ho	BGS827	Licensed Representative	2	
CHAN Kin Wah	AEN763	Licensed Representative	9	1, 2
CHAN Kwan Kit	BGN371	Licensed Representative		4
CHAN Kwok Ming	AFR702	Licensed Representative	4	
CHAN Man Hung, Ivan	AVE049	Licensed Representative		9
CHAN Mun Kuen, Iris	AFW558	Licensed Representative		2
CHAN Ngai Ting	BDI911	Licensed Representative		4, 9
CHAN Ngan Fei	BMV341	Licensed Representative	4	
CHAN Pui Ling, Juliana	ADI999	Licensed Representative		2, 9
CHAN Tat Hong	BMV838	Licensed Representative	1, 4	
CHAN Tat Yin	BJP055	Licensed Representative	1	
CHAN Tsz Kin	ANW367	Licensed Representative	4	
CHAN Wai Ching	ALS556	Licensed Representative		2
CHAN Wan Ying, Debby	AGG430	Licensed Representative		6
CHAN Wing Cheung	BKJ264	Licensed Representative	4	
CHAN Wing Han	BGE370	Licensed Representative	1	
CHAN Yee Kiu	BJL884	Licensed Representative	1	
CHAN Yue Hang, Emerson	AUK171	Licensed Representative		1
CHANG Hing Pong, Solomon	AIY506	Licensed Representative	4	1
CHANG Kai Kuan	BKE812	Licensed Representative	4	
CHANG Ming Fai	ALA485	Licensed Representative	9	
CHANG Nga Wai	BHT302	Licensed Representative	4	
CHEN Hui	BIE088	Licensed Representative		4
CHEN Junhui	AXW582	Licensed Representative	4, 9	
CHEN Kim Ching	ATC745	Licensed Representative		2
CHEN Lingxin	BMT656	Licensed Representative	4	
CHEN Wenqi	BIB647	Licensed Representative	5	1
CHEN Xulin	BJD412	Licensed Representative	2	9
CHEN Yang	BBL388	Licensed Representative		1
CHEN Zhe	BFL050	Licensed Representative		1
CHENG Hoi Man	BDA596	Licensed Representative	4	
CHENG Ka Chun Chad	BMW975	Licensed Representative	4	
CHENG Kin Man	AHM208	Licensed Representative		4
CHENG Kiu Fung	BLB610	Licensed Representative	1, 4	
CHENG Kong Wai	ANM032	Licensed Representative		9
CHENG Pui Shan	BJV196	Licensed Representative	6	
CHENG Xi	BJK874	Licensed Representative	6	4
CHEUNG Chi Lok	AWC705	Licensed Representative	1	
CHEUNG Chor Yu	AJE761	Licensed Representative		4
CHEUNG Fung Chuen	AYA218	Licensed Representative		1
CHEUNG Hei	APG437	Licensed Representative	9	6



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEUNG Kam Fai	AAA393	Licensed Representative	4	
CHEUNG On Ki	BJT503	Licensed Representative	4, 9	
CHEUNG Philip Yin Loong	AKY122	Licensed Representative		3
CHEUNG Siu Hang	BNC210	Licensed Representative	9	
CHEW Wei Yang, Stanley	ASQ192	Licensed Representative	9	1, 2
CHIGOT Maxime Frederic Pierre	BFU166	Licensed Representative	2	
CHIU Chor Lung	AGN007	Licensed Representative	4	
CHIU Kam Fai	ABR263	Licensed Representative	4	
CHOI Cheong Ga	ARG565	Licensed Representative		1
CHOW Andrew U Yen	BMH843	Licensed Representative	4	
CHOW Chin Hang, Joel	ALA520	Licensed Representative		4, 6
CHOW Hoi Shan	AXG849	Licensed Representative	4	
CHOW King Yue Vision	BHQ122	Licensed Representative		1
CHOW Leung Chi	BFY425	Licensed Representative		1, 4
CHOW Tsun Ho, Anson	AQG809	Licensed Representative		9
CHOW Wai Shan	AXP014	Licensed Representative		2
CHU Kin Shing Stephen	BJD164	Licensed Representative	2	
CHUA Tong Hern	BHF302	Licensed Representative	6	
CHUNG Byeong Hun	BHU288	Licensed Representative	4	6
CHUNG Ka Yiu	ASH736	Licensed Representative		2
CHUNG Kam Fai	BMX180	Licensed Representative	2	
CHUNG Kwong San	APW909	Licensed Representative	9	
CHUNG Wen Xuan Vincent	BMD867	Licensed Representative		6
CUI Wei	AEN552	Licensed Representative		1
DE MARCO Gustavo Sebastian	AVG898	Licensed Representative		4
DE ROQUEFEUIL Louis Jean Henri Marie J.	BJN326	Licensed Representative	1	
DING Xiaoxi	BGV491	Licensed Representative	9	
DONG Na	BHR878	Licensed Representative	9	
DOUIN Guillaume Marie Benoit	ANX799	Licensed Representative	1, 2	6
DU Yufei	BKV284	Licensed Representative	2	
FAN King Wai	AYX144	Licensed Representative	1	
FANG Yihao	BKU183	Licensed Representative		3
FERREIRA DA COSTA Jacinto Alberto	ALI139	Licensed Representative		7
FERRIS Andrew Peter	BLF827	Licensed Representative	2	
FLAMENT Antonin Guy Gerard	BEB773	Licensed Representative	2	
FONG Wang Yiu, Frederick	ACS273	Licensed Representative	4	
FU Zhilei	BJY522	Licensed Representative	2	
GEE Nathan Jordan	BAJ023	Licensed Representative		1
GU Miduo	BNH624	Licensed Representative	4	
GUO Shu	BFM406	Licensed Representative	4	1
HAN Andrew Jaeho	BFU570	Licensed Representative	9	
HAN Young Ah	BAQ658	Licensed Representative		1
HENTZ Jean-Samuel, Etienne	AUN320	Licensed Representative	9	1, 2
HEUNG Man Wa	ARN439	Licensed Representative	1	
HO Duncan	BBZ848	Licensed Representative		1
HO Kim Kwong	AWG904	Licensed Representative		1
HO Kwok Kee	ADI091	Licensed Representative	4	
HO Ting Fung, Grammie	AQI017	Licensed Representative	4	
HO Wai Fat	AQE681	Licensed Representative	2	
HO Wing Kwan	ASW795	Licensed Representative	3	
HO Yan Lam	BJO317	Licensed Representative	4	
HU Chuan Hsiang	BAO742	Licensed Representative		1
HU Xinyan	BGS833	Licensed Representative		1
HUA Wen	APK749	Licensed Representative	4	
HUANG Huei-Hung	ATM961	Licensed Representative	2	
HUANG Jingyuan	BEE296	Licensed Representative		1, 4
HUANG Minghong	BES185	Licensed Representative		9
HUNG Hsiang-Shih	AVL885	Licensed Representative	2, 4	
HUNG Wing Kwan	BJC382	Licensed Representative	2	
IP Ching Man	AVB981	Licensed Representative	4	
JADROSICH Rafe Jason	BLG300	Licensed Representative		1
JI Yanbin	ASY386	Licensed Representative	4	
JI Yehong	ALU479	Licensed Representative	4	
JIA Peng	AYR513	Licensed Representative		1
JIANG Gen	BMV667	Licensed Representative	1	
JIANG Jiali	BAE843	Licensed Representative	4	
JIANG Lei	BGG414	Licensed Representative	9	1
JIN Yi Lu Angel	BJV450	Licensed Representative	4	6
JING Hua	ATE233	Licensed Representative	5	1
JO Geonhee	BNF213	Licensed Representative	9	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
JOHNSON Maximilian Edward	BAT994	Licensed Representative		1
KAM Ming Kong, Hubert	AEJ201	Licensed Representative	4	
KAPUR Ajay Singh	ACA305	Licensed Representative		1
KARUHADEJ Kam	AET390	Licensed Representative		2, 7
KE Minning	BEB940	Licensed Representative	9	6
KO Vanessa	BIQ200	Licensed Representative	7	
KO Yan Kiu Kristy	BKD549	Licensed Representative		9
KOK Sen Kan	AUC483	Licensed Representative		2
KWAN Ut Hoi	AQW340	Licensed Representative	9	
KWOK Chi Hung	ABW753	Licensed Representative	4	
KWOK Kwai Ming Natalie	BLD320	Licensed Representative	3	
KWOK Shu To, Banny	AAI621	Licensed Representative		2
KWOK Siu Lun	BNH078	Licensed Representative	4	
KWOK Tsan Ming	BJH985	Licensed Representative		1
LAI Jerry Chi Yuen	AVX291	Licensed Representative	4	
LAI Shuk Ching	AVG944	Licensed Representative		4
LAI Wai Tong	AGC736	Licensed Representative	9	
LAM Chi Hsin	ALO052	Licensed Representative	9	
LAM Chi Yip	BGB719	Licensed Representative	4	
LAM Hoi Shan	AXB616	Licensed Representative	2	
LAM Ka Ho	AXP757	Licensed Representative		2
LAM Ka Ho	BJP817	Licensed Representative		1
LAM Kin Lung	ABY167	Licensed Representative	4	
LAM Pui Yan	BLK914	Licensed Representative	4	
LAM Shuk Yin Rita	BEC595	Licensed Representative	9	
LAM Yin Leung Joe	BAE136	Licensed Representative		4
LAU Chun Yan	BJV347	Licensed Representative	9	
LAU Ka Chun	AZG905	Licensed Representative	9	
LAU Kwun Shing	AYG096	Licensed Representative	1	
LAU Man Ling Amy	BDW738	Licensed Representative	9	
LAU Pei Man Hidy	AVZ457	Licensed Representative		4, 9
LAU Tin Chi	AFJ127	Licensed Representative	4	
LAU Yat Chung	ARX092	Licensed Representative		4
LAW Cheuk Nam	AZU755	Licensed Representative		9
LAW Chui Shan, Clara	AMI503	Licensed Representative	2	
LAW Kwok Tai	AHN287	Licensed Representative		4
LAW Man Fai	BHL026	Licensed Representative	2	
LAW Sze Yee	AEG322	Licensed Representative	4	
LEE Colin Pak Hei	BCL282	Licensed Representative	9	6
LEE Euisup	AOC912	Licensed Representative		1
LEE Hoi Kam	ABE301	Licensed Representative	9	
LEE Joon Ho	ATX670	Licensed Representative		1
LEE Ka Ho	BBT497	Licensed Representative		1
LEE Kitty Yin Ting	BEB225	Licensed Representative		4
LEE Mei Shuen	AUV606	Licensed Representative	4	
LEE Ming-Hsun	BAO744	Licensed Representative		1
LEE Wai Man, Priscilla	ALL041	Licensed Representative	9	
LEI Chi Cheong	BBF302	Licensed Representative	9	
LEONG An Qiang Henley	BDU981	Licensed Representative	4	6
LEUNG CHAN Jaime	AOA960	Licensed Representative	9	
LEUNG Hang Kin	ASS967	Licensed Representative	1, 9	
LEUNG Hok Cheung	AFV792	Licensed Representative		3
LEUNG Hui Homn	ARW893	Licensed Representative		1
LEUNG Po Chun	AEY251	Licensed Representative		1
LEUNG Siu Wai, Brenda	AIH673	Licensed Representative	4	
LEUNG Wai Leung	APR727	Licensed Representative		1
LEUNG Ying Chun	BLI477	Licensed Representative	9	
LI Chen	BGB440	Licensed Representative	9	1, 2
LI Ke	BGM281	Licensed Representative	6	
LI Kim Ping	ATS380	Licensed Representative	9	
LI Koon Lok	BFK460	Licensed Representative		6
LI Lefu	BHY683	Licensed Representative		1
LI LU	BKI387	Licensed Representative	9	
LI Ming	ARH985	Licensed Representative		1
LI Rui	BGA836	Licensed Representative		1
LI Wing Chi	BMV376	Licensed Representative	2	
LI Xiangrong	ANM056	Licensed Representative		1
LI Xiangyu	BLR906	Licensed Representative	2	4
LI Xiaohan	BMI921	Licensed Representative	4	
LI Xingyan	BJX755	Licensed Representative	6	2, 4, 5



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Yiwei	BLK915	Licensed Representative	1, 6	10
LI Yuming	BJD568	Licensed Representative	2, 5	4
LIANG Heng	BLJ233	Licensed Representative	4	
LIANG Xiao	BHX801	Licensed Representative	4	
LIANG Yaoyuan	BNF073	Licensed Representative	2	
LIAO Min-Liang	BBX041	Licensed Representative	2, 4	
LIM Damien Jia Wei	BMK464	Licensed Representative	2	
LIM Joanne Yue Shan	BDF560	Licensed Representative	4	2
LIM Juen Lynn	BJE417	Licensed Representative	4	
LIU Ka Tat	BMX425	Licensed Representative	2	
LIU Zhenghao	BMQ804	Licensed Representative	1, 2	
LLOYD JONES Adam Copland	AMP246	Licensed Representative	1	
LO Kwai Sang, Dennis	ABM989	Licensed Representative		2, 9
LO Tin Po	ADN296	Licensed Representative		5
LO Wai Yip	ABV516	Licensed Representative	4	
LO Yam Pui, Eric	ACA520	Licensed Representative		3, 4, 5
LO Yu Jie	BLF222	Licensed Representative		1
LU Lining	BGQ272	Licensed Representative	1	
LU Yang	BKP940	Licensed Representative	4	
LUE KWONG Rafael	AHH619	Licensed Representative	4	
LUI June Yi Vivian	BGD194	Licensed Representative	4	
LUK Kai Wing, Brian	BIE313	Licensed Representative	9	2
LUO Chen	ALI695	Licensed Representative		1
LUO Mengyu	BHC773	Licensed Representative	4	
MA Junyi	BJG302	Licensed Representative		9
MAK Chun Man	BIY010	Licensed Representative	1	
MAK Yuk Ling	AID604	Licensed Representative	4	
MAN Ronald Lok-tod	BGC485	Licensed Representative		1
MARGOLIN Eric Andrew	BEC785	Licensed Representative	9	1, 2
MENG Lingxing	BMX143	Licensed Representative	1, 4	
MO Yulu	BHY101	Licensed Representative	1	
MU Sihan	BKT332	Licensed Representative	9	
MUI Man Ki	AZC299	Licensed Representative	9	
NARAGHI Dariush Dmitrii	AYR060	Licensed Representative		4, 6
NARAIN Jayesh Suresh	AQX336	Licensed Representative	4	
NG Florence Man Shan	BAM969	Licensed Representative	1	
NG Hay Ling, Billy	AVJ721	Licensed Representative		1
NG Jasmine Claire	BJG725	Licensed Representative	9	
NG Koon Ho, Steven	AEZ493	Licensed Representative	9	
NG Man Fai	BAP096	Licensed Representative		4
NG Siu Kei	AUD457	Licensed Representative	4	
NG Sze Ming	BLR356	Licensed Representative		1, 4
NG Ting Cheong	BEQ321	Licensed Representative		3
NGAN Chiu Chun	BAE640	Licensed Representative		1, 2, 5
NGAN Ting Hin, Kevin	BGV415	Licensed Representative	9	2
NIE Zhuofei	BLR344	Licensed Representative	4	6
OHTA Tomoko	BJR966	Licensed Representative	4	
PAK Chi Wing	AGM852	Licensed Representative		4, 9
PARK Sonny Jiyoung	BEB428	Licensed Representative	4	6
PENG Yuyu	ATV436	Licensed Representative	9	
PETIT-PASQUIER Julien	ANN270	Licensed Representative	9	1, 2
POON Yin Ling	ALQ658	Licensed Representative		1, 2
QIAN Manman	BIO290	Licensed Representative	4	
QIAO Hong	AMI194	Licensed Representative		1
QU Lanfeng	BGX277	Licensed Representative	4	
RAY Hung Ching	BIG235	Licensed Representative	4	
REN Dongyang	BHS243	Licensed Representative	4	
RENOUX Olivier, Leonce, Felix	AUZ511	Licensed Representative	2	
SACHANANDANI Bharat Kumar	AJD185	Licensed Representative	2	
SALGAONKAR Sachin	ANF161	Licensed Representative		1
SAMADHIYA Ritesh	AOX630	Licensed Representative		1
SHEN Chong	ATH920	Licensed Representative	4, 9	
SHEN Dai	BGI261	Licensed Representative		1
SHENG Nan	BCB794	Licensed Representative		1
SHI Lin	BFR854	Licensed Representative	4, 9	1, 2
SHUM Ka Wai	AXQ544	Licensed Representative	4	
SI Tingting	AYB999	Licensed Representative		1
SINHA Adarsh	AVQ918	Licensed Representative		1
SO Kin	AID232	Licensed Representative	2	
SON Bum Ki	BJY542	Licensed Representative		1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SONG Gisuk	BAM916	Licensed Representative		1
SOU Alfred Ronald	BAN234	Licensed Representative	1	
SU Ziheng	BJK655	Licensed Representative		2
SUEN Wai Kin	BDP679	Licensed Representative		4
SUN Kai	BFN677	Licensed Representative		2
SUN Zheng	APG909	Licensed Representative	4	
TAI Mei Kan	BJV918	Licensed Representative	6	
TAI Tsz Lan, Katherine	AOP179	Licensed Representative		1
TAN Christine	AET253	Licensed Representative		1
TAN Xiaoxiong	BES120	Licensed Representative	4, 5, 9	2
TANG Chi Wai	AAA992	Licensed Representative	4	
TANG Jurong	BIZ375	Licensed Representative	6	
TANG Long Ting	BHS542	Licensed Representative	2	6
TANG Pinky Ying Tung	BFI280	Licensed Representative	1, 6	4, 9
TIAN Bo	BCC756	Licensed Representative	1	
TIAN Yuan	APU609	Licensed Representative		1
TIEN Yu-Ping	AQQ529	Licensed Representative		1
TO Derek Kai Chung	BHP485	Licensed Representative	4	
TOGO Tomoyuki	AXC507	Licensed Representative		4
TONG Wai Ming	BFX497	Licensed Representative	2	
TORNBERG Martin	AFE172	Licensed Representative	1	
TSE King Hei	BJB935	Licensed Representative	1	
TSO Yam Fan	AWZ404	Licensed Representative		1
TSOI Chi Shing	ADV632	Licensed Representative	4	
TSOI Ting Jessica	BLY501	Licensed Representative	1	
TSUI Hoi Lap	AXD703	Licensed Representative		9
TUPPER Nigel Robert	ACS592	Licensed Representative		1
WAN Po Wing, Paul	APC277	Licensed Representative	1, 4	
WANG Bin	BMW065	Licensed Representative	4	
WANG Linjun	BJV425	Licensed Representative	4, 9	
WANG Lu	AGW114	Licensed Representative	4	
WANG Tian	BJP485	Licensed Representative		1
WANG Xiance	BKS605	Licensed Representative	4	1, 6
WANG Xiaobing	ASK683	Licensed Representative		1
WANG Yanni	BNH166	Licensed Representative	6	
WANG Youzhi	BEC693	Licensed Representative		1
WANG Yu	BER242	Licensed Representative	9	
WANG Yu	BHK872	Licensed Representative	1	
WANG Zhou	BGM251	Licensed Representative	2, 4	
WEI Kaiyin	AVS026	Licensed Representative	4	9
WEI Xiaocen	BJH429	Licensed Representative	1	
WONG Cheung Kwan	BJO393	Licensed Representative		1
WONG Chi Kong	BJC707	Licensed Representative	1	
WONG Cliff Gordon	BJG893	Licensed Representative	2	
WONG Ho	BAM282	Licensed Representative	2	
WONG Ho Tim	AVZ604	Licensed Representative	4, 9	1, 2
WONG Hoi Ki, June	BFX017	Licensed Representative	1	
WONG Kin Cheong	BGX282	Licensed Representative		2, 9
WONG Kin Pong	BGL802	Licensed Representative	5	
WONG Mei Wah	ADX595	Licensed Representative	1	
WONG Roger Chi-him	AYQ842	Licensed Representative	1	5
WONG Wai Cheong	ACJ905	Licensed Representative	2	
WONG Wai Ho	AUQ931	Licensed Representative	9	
WONG Wai Kwan	BMX532	Licensed Representative	2	
WONG Wesley Tze-ho	BIQ523	Licensed Representative	4	
WOO Dong Je	BAP106	Licensed Representative		1
WOO Siu Mui	AAZ246	Licensed Representative	4	
WOO Tracy	ACZ396	Licensed Representative		1
WU Chik Por	ABC560	Licensed Representative	4	
WU Kam Tung	BMF548	Licensed Representative	6	
WU Kwong Chau	AGH135	Licensed Representative		1, 2
WU Lei	AYW852	Licensed Representative	1	
WU Ling	BMP332	Licensed Representative		1
WU Man Ki, Maggie	BLY771	Licensed Representative		1
WU Xiaolin	AVV216	Licensed Representative	1	
WU Yi	AMI555	Licensed Representative		1
WUN Tsz Hin	BGE372	Licensed Representative	4, 9	1
XIA Tenglei	BIH760	Licensed Representative	1	
XIAN Steven Junyi	BHJ695	Licensed Representative	4	
XIE Yanqi	BEM366	Licensed Representative		6



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XIE Yi	BFZ396	Licensed Representative	4, 9	1
XU Jin	BGJ120	Licensed Representative	4	
XU Lingjue	BDV629	Licensed Representative		1
XU Yiqiong	BKG065	Licensed Representative	4	2
XU Yongquan	BEG516	Licensed Representative	1, 4	
YAM Tsz Man	AIC212	Licensed Representative	1	
YANG Liu	BHN755	Licensed Representative		1
YANG Peng	BNB909	Licensed Representative	2	
YANG Sen	BFA279	Licensed Representative	4	
YANG Shaoxuan	BBT320	Licensed Representative		4, 9
YEUNG Chak Nam	AUC990	Licensed Representative	4, 5	
YEUNG Chun Ki	AEP502	Licensed Representative	4	
YIM Chi Ho	AOD008	Licensed Representative	9	
YIN Chia-Tseng	BHD892	Licensed Representative		1
YIN Liwen	BGP813	Licensed Representative	1	6
YING Wing Pui James	BFP503	Licensed Representative	1	9
YIP Ho Pak	BFU720	Licensed Representative	4	
YIP Ka Wing	BMX174	Licensed Representative	2	
YIU Wai Lam	BHB449	Licensed Representative		1
YONG Kok Onn	APW615	Licensed Representative		1
YU Hsin Ting	BJL957	Licensed Representative	2, 7	
YU Jingjing	BDF565	Licensed Representative		5
YU Kwan Lung	AAC706	Licensed Representative		4
YU Zhongyuan	AWZ373	Licensed Representative		1
YUEN Hu Tone, Leslie	AUJ677	Licensed Representative		4
YUEN Kwok Hung	ABV109	Licensed Representative	4	
YUNG Hung Wa	ACQ237	Licensed Representative		5
YUNG Wai Lun	ARN020	Licensed Representative	4	
ZENG Tao	BGK757	Licensed Representative	4	
ZENG Zhan	BCZ088	Licensed Representative		1
ZHANG Cuiyun	BHP116	Licensed Representative	1, 4	
ZHANG Fan	ALA702	Licensed Representative	4	
ZHANG Hao	BKR853	Licensed Representative		1
ZHANG Jianwen	AOR293	Licensed Representative	1	
ZHANG Jinghao	APM160	Licensed Representative	1	9
ZHANG Lihang	BNA727	Licensed Representative	9	
ZHANG Ruohan	BKF073	Licensed Representative	6	2
ZHANG Yumeng	BIB320	Licensed Representative	4, 9	1, 6
ZHANG Yuqi	BMT412	Licensed Representative	1	
ZHANG Zhongwen	BKY288	Licensed Representative		1
ZHAO Bingyu	BIQ760	Licensed Representative	1	
ZHAO Yanlin	AVY037	Licensed Representative		1
ZHAO Yao	BFY025	Licensed Representative	9	1
ZHEN Yanqing	BNH342	Licensed Representative	2	
ZHENG Jiachuang	BIM005	Licensed Representative	9	1
ZHENG Zimu	BFA405	Licensed Representative	1	
ZHI Xiaojia	APQ494	Licensed Representative		1
ZHU Yisi	BML997	Licensed Representative	1, 4	
ZHUANG Xiaomeng	BEL946	Licensed Representative		1
ZHUANG Yuewen	BNB966	Licensed Representative	2	
ANAI Ruso	BCO546	Licensed Representative - Responsible Officer	6	
CHAN Chun Kit	AVC347	Licensed Representative - Responsible Officer	1	
CHAN Ka Leung	AEC408	Licensed Representative - Responsible Officer	1, 4	
CHAN Kwok Chu Edward	BHZ831	Licensed Representative - Responsible Officer	4	
CHAN Leong Sek, Freddy	ADW083	Licensed Representative - Responsible Officer		9
CHAN Tsz Hon	ANW417	Licensed Representative - Responsible Officer	4	
CHAN Wai Ching	ALS556	Licensed Representative - Responsible Officer	4	
CHANG Yuan	AST179	Licensed Representative - Responsible Officer	4, 9	
CHAU Yiu Man, Kenneth	AGV336	Licensed Representative - Responsible Officer	4	
CHEUNG Kwok Wing, Barry	ABM132	Licensed Representative - Responsible Officer	9	
CHEUNG Lik	AKM452	Licensed Representative - Responsible Officer	1	
CHING Kam Fung, Tony	ALP482	Licensed Representative - Responsible Officer	4	
CHONG Henry Heng Li	BKR759	Licensed Representative - Responsible Officer	4	
CHUN Yee Wah Eva	ABT383	Licensed Representative - Responsible Officer	4	
CHUUNG Benny Koon Chung	ADV680	Licensed Representative - Responsible Officer	1	
GONG Fangxiang	AYT726	Licensed Representative - Responsible Officer	6	
GU Allen Yuhui	AMJ971	Licensed Representative - Responsible Officer		4
HO Kim Kwong	AWG904	Licensed Representative - Responsible Officer	4	
HO Shu Lung	AEE580	Licensed Representative - Responsible Officer	4	
HO Ting Kay	AEH400	Licensed Representative - Responsible Officer	1	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2018

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUANG Kai-yu Kenneth	BIO892	Licensed Representative - Responsible Officer	4	
JONES Mark Dean	AZQ241	Licensed Representative - Responsible Officer		6, 9
KAM Kwun Kwan, Boris	ANA539	Licensed Representative - Responsible Officer	9	
KEIDA Shunichi	BIM447	Licensed Representative - Responsible Officer	4	
KUANG Xia	AML239	Licensed Representative - Responsible Officer	4, 9	
LAI Ming Fung	AGC971	Licensed Representative - Responsible Officer	4	
LEE Yiu Kai, Godwin	AET319	Licensed Representative - Responsible Officer	4	
LEUNG Wai Hung	AAK493	Licensed Representative - Responsible Officer	9	
LEUNG Wai Wa, Terry	AOJ441	Licensed Representative - Responsible Officer	4, 9	
LI Kim Ping	ATS380	Licensed Representative - Responsible Officer	4	
LIANG Zhonghuan	APM129	Licensed Representative - Responsible Officer		1
LIM Soo Hai	AMR299	Licensed Representative - Responsible Officer	2	
LIU Yihe	BDL890	Licensed Representative - Responsible Officer	4	
LO Patrick	ADM901	Licensed Representative - Responsible Officer	4	
LO Pui Man, Ricky	ABT327	Licensed Representative - Responsible Officer	5	
MA Chi Wah	AFF507	Licensed Representative - Responsible Officer	4	
NG Wing Yip, Raymond	ACL291	Licensed Representative - Responsible Officer	9	
OBEROI Navdeep Singh	BJU820	Licensed Representative - Responsible Officer		1
RAJPAL Samir	BKR766	Licensed Representative - Responsible Officer	4	
TAI Kwok Keung	AAE013	Licensed Representative - Responsible Officer	1	
TANG Shiu Hin, Andy	ARH728	Licensed Representative - Responsible Officer	4	
TONG Man Sang	ATR043	Licensed Representative - Responsible Officer	4, 9	
TSOI Sze Yan	BAK271	Licensed Representative - Responsible Officer		4, 9
TYE Lee Pang	BKR771	Licensed Representative - Responsible Officer	4	
WAN Ka Nin, Kenneth	ANN397	Licensed Representative - Responsible Officer	4	
WONG Chiu Yu	ANQ477	Licensed Representative - Responsible Officer	4, 5	
WONG Kwai Chiu	ACC061	Licensed Representative - Responsible Officer	4	
WONG Ngat	APW582	Licensed Representative - Responsible Officer	9	
WONG Stacey Martin	ABM370	Licensed Representative - Responsible Officer	1	
WU Kwong Chau	AGH135	Licensed Representative - Responsible Officer	4, 9	
WUNG Sheng-Bin	AQS401	Licensed Representative - Responsible Officer	4	
YUNG Kim Keung	APR012	Licensed Representative - Responsible Officer	1	
ZENG Yu	AVY463	Licensed Representative - Responsible Officer	6	
ZHANG Xinnian	AWZ901	Licensed Representative - Responsible Officer	6, 9	
ZHENG Yangjie	ATB833	Licensed Representative - Responsible Officer		1, 4
LEI Liping	BNJ405	Licensed Representative (Provisional Licence)	2	