

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2018						
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed		
Aevitas Securities Limited	AFB002	Licensed Corporation	2			
Canfield Securities Company Limited	BIH478	Licensed Corporation	4, 9			
China Gather Wealth Financial Company Limited	AGQ863	Licensed Corporation		2		
Duo Asset Management Limited	BIR942	Licensed Corporation	4			
Eddid Securities and Futures Limited	BHT550	Licensed Corporation	3			
Founder Securities (Hong Kong) Limited	BEC725	Licensed Corporation	4			
Head & Shoulders Securities Limited	AFS455	Licensed Corporation	2			
Merrill Lynch Far East Limited	AAC352	Licensed Corporation		7		
MINTAI SECURITIES LIMITED	ALT947	Licensed Corporation	2			
Rising Glory Finance Limited	BHZ321	Licensed Corporation	4			
Ruibang Securities Limited	BIJ312	Licensed Corporation	4			
Amit Singh	BNN372	Licensed Representative	2			
AN Meng	AXY880	Licensed Representative	9	1, 6		
AU Phillip Lap Chi	BGK400	Licensed Representative	3	,, -		
AU YEUNG Ting	BNL447	Licensed Representative	2			
AW Adrian Matthew	AWY725	Licensed Representative	6			
BI Jia	BIZ157	Licensed Representative	2	4		
BUT Chi Kwan	BFP249	Licensed Representative	1	5		
CAI Kelvin Lun	BGX253	Licensed Representative	1, 9			
	BGG939		1, 9			
CAO Nanyua		Licensed Representative	1	4		
CHAN Chi Hoi Androw	BHF595	Licensed Representative				
CHAN China Kina	BJV729	Licensed Representative		2, 3		
CHAN Chun King	AGQ451	Licensed Representative	2.4.0	4		
CHAN Chun Kit	BMY037	Licensed Representative	2, 4, 9			
CHAN Hiu Kwong	BDO422	Licensed Representative		1, 4		
CHAN Ka Ho	AWL141	Licensed Representative		2		
CHAN Kei Wai, Kevin	AQS399	Licensed Representative	1			
CHAN Kin Ping	AZG570	Licensed Representative	1			
CHAN King Hei	BGM820	Licensed Representative	3			
CHAN Kwok On, Carlson	AEP931	Licensed Representative	2, 9			
CHAN Lai Sze	BKQ410	Licensed Representative	3			
CHAN Man Yee	AFG784	Licensed Representative	1			
CHAN Siu Wing	AWW339	Licensed Representative	2	1		
CHAN Wai Ho	BFM968	Licensed Representative	9			
CHAN Wai Ki	BFP688	Licensed Representative	9			
CHAN Yik Lun	AOR284	Licensed Representative		2		
CHANG Weijun	AOV859	Licensed Representative	4, 9	6		
CHAO Howard	AOH895	Licensed Representative	4, 9	1		
CHEE Yuk Hing, Carick	AWH916	Licensed Representative	4, 9			
CHEN Bingjian	AWQ432	Licensed Representative	9	4		
CHEN Duo	AXF373	Licensed Representative	1			
CHEN Hao	BGQ543	Licensed Representative		1, 9		
CHEN Jialiang	BJS128	Licensed Representative	9	1, 6		
CHEN Jingyao	BNK372	Licensed Representative	2			
CHEN Junhui	AXW582	Licensed Representative		2		
CHEN Junjie	BFO103	Licensed Representative		1, 4		
CHEN Siying	BMM163	Licensed Representative	1			
CHEN Yiru	BNG165	Licensed Representative	4			
CHEN Zhangchen	BHY095	Licensed Representative		1, 9		
CHEN Zhi	BGG860	Licensed Representative		1		
CHEN Zibo	AUR285	Licensed Representative	1, 9			
CHENG Jonathan Chung Shing	AON408	Licensed Representative		9		
CHENG King Wai	AHR486	Licensed Representative		2		
CHENG Tsz Tsun	APP183	Licensed Representative	1			
CHENG Yuk Kin	AOB642	Licensed Representative		4		
CHEUNG Chi Shing	ATY586	Licensed Representative	1			
CHEUNG Ka Hin	ARK363	Licensed Representative	4			
CHEUNG Sai Wa	ALO587	Licensed Representative	4			
CHEUNG Tsun Yuen Derek	BLL295	Licensed Representative	3			
CHIN Hin Lik, Eric	AYE644	Licensed Representative	-	1		
CHIU Chor Lung	AGN007	Licensed Representative		4		
CHOI Chung Lee, Matthew	AAQ330	Licensed Representative		4		
CHOI Sheung Hang	AUP568	Licensed Representative		4		
CHOU Wai Lan	AOY020	Licensed Representative		4		
CHOW Sing Man	AQY466	Licensed Representative	9	·		
	BNG186		9			
CHOW Wai Kit Tony CHOW Wai Lam	BJU686	Licensed Representative Licensed Representative	4, 9			
CHU Cheuk Ho, Andrew	ATX915	Licensed Representative	T, V	2		
	AWV425	·		1		
CHU Fung Ting		Licensed Representative		4		
CHU Ka Ning	AFQ678	Licensed Representative		7		



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED	PERSONS/RI	EGISTERED INSTITUTIONS DURING 11/2018		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHU Wai Ling, Marianna	ABX782	Licensed Representative		1
CHU Wan Yin	AWS031	Licensed Representative	4, 5	
CHUANG Johnny	AFT893	Licensed Representative	2, 6	
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CHUI Wai Fong	AUQ988	Licensed Representative	1, 2	
CHUI Yat Wa	BLD478	Licensed Representative	3	
CHUNG Chun Cheong, Joe	AXH107	Licensed Representative	4	
CHUNG Tin Yu	BJL076	Licensed Representative	4, 9	2
CONNOR Gavin David	ARJ485	Licensed Representative		7
				0.4
DE ANGELIS Vittorio Roberto Vincenzo	ARH483	Licensed Representative	_	2, 4
DING Xiaoxi	BGV491	Licensed Representative	2	9
DONG Chang	AXN155	Licensed Representative		4
DONG Xiang	BCN525	Licensed Representative	1	9
FAN Ching Yee	AHR797	Licensed Representative		1
FAN James Chi Jing	BCW950	Licensed Representative		4
			4	
FONG Chun Fai	AJS508	Licensed Representative	1	
FONG Tsun Kuen, Jimmy	AAB565	Licensed Representative		4
FU Yubin	BLB155	Licensed Representative	10	5
FUNG Jason	AEO420	Licensed Representative		6
GOCSEI Renaud, Jean-Claude	ASD685	Licensed Representative		7
HAN Xinhao	BLF871	Licensed Representative	9	
			_	7
HANLEY Cathal Timothy	BLD572	Licensed Representative		,
HARIDAS Lakshmi	BJM259	Licensed Representative		7
HART Paul Howard Farmer	ASF491	Licensed Representative	2	
HE Yangjun	BMY675	Licensed Representative	2, 9	
HO Ka Huen	ARG881	Licensed Representative	4	1
HO Kang Lok	BJV343	Licensed Representative	2	
-			-	4
HOLCROFT Joshua	AYY599	Licensed Representative	5	4
HONG Moon Hee	BIW969	Licensed Representative	2	4
HUANG Bi-Chen	BAI188	Licensed Representative	4	2
HUANG Renbin	BDA037	Licensed Representative	1, 4	10
HUI Chi Tat, Terence	ALV077	Licensed Representative		1
				4
HUI Chuk Kwan	ASN777	Licensed Representative		4
HUI Oi Man	BIG351	Licensed Representative	3	
HUNG Chiu Loi	AUS181	Licensed Representative		4
HUNG Chun Yee	AGF192	Licensed Representative	1	
IP Hung Kei	ARO056	Licensed Representative		1
JIN Shishi	BGI088	Licensed Representative	4	1
			1	
JOSHI Niteesh	AOV763	Licensed Representative	4, 9	1
JUSUFI Mirgim	AYR638	Licensed Representative		7
KAM Wing Ki	BIK396	Licensed Representative	2	
KIM Josephine Jisoo	ASL685	Licensed Representative		7
KIM Kangwhee	BAC072	Licensed Representative		7
KO Liang-wei Richard	AFP185	Licensed Representative	1, 6	
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KO Yui Chit, Janice	AFL110	Licensed Representative		7
KONG Tze Yee	BHJ788	Licensed Representative		7
KWAN Sui Keung	BNE485	Licensed Representative	2	
KWOK Pui Wing	ARR131	Licensed Representative	2	
KWOK Suet Ying Lily Vanessa	BAN067	Licensed Representative	1	4
		· ·	4, 5	
KWONG Hoi Yeung, Ocean	AJH029	Licensed Representative		
LAI Andrew Kai-Jie	BKL102	Licensed Representative	4, 9	2
LAI Chi Chuen	ARS055	Licensed Representative	4	
LAI Chun Wing	ANA177	Licensed Representative	3	
LAI Hing Kwong, Joseph	ADJ211	Licensed Representative		1
LAI Yu Hin	BBY488	Licensed Representative		4
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LAM Chak	AAH271	Licensed Representative		1, 3
LAM Chi Ming	AZJ283	Licensed Representative	9	
LAM Chun Hung	ATL665	Licensed Representative		1
LAM Chung Yin	AMF376	Licensed Representative	4, 9	
LAM Fong Chun	AYR790	Licensed Representative	1, 4	
LAM Hoi Ki	BNF147	Licensed Representative		1
LAM Kwong Chung	AOY513	Licensed Representative	9	
LAM Pak Chuen, Burton	BDY443	Licensed Representative	10	1, 6
LAU Nim Cho	ANU429	Licensed Representative	1	
LAU Yan Kit	ARI646	Licensed Representative	9	
LAU Yik Ting	BBW340	Licensed Representative		2,9
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LAW Cheuk Nam	AZU755	Licensed Representative	2, 3	
LAW Thin Ken	BDN247	Licensed Representative	4	
LAW Wai Hung	AVZ536	Licensed Representative		1
LEE Chak Fai	BFU996	Licensed Representative	9	2, 4
LEE Chun Leung	ABM913	Licensed Representative		9
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March Marc	VARIATIONS OF REGULATED ACTIVITIES OF LICENSED	PERSONS/RE	EGISTERED INSTITUTIONS DURING 11/2018		
APPS	CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
185 Share	LEE Pei Yuan	AWJ832	Licensed Representative	4, 9	1
March Marc	LEE Shirley Kai Ping	APV540	Licensed Representative	4	
LEE Purpose BADON					4
March Marc					4
FF You Call			· ·		
FF Work Oral	LEE Te-Chun	BKE212	Licensed Representative		
LEFAN Allow ADMINISTOR AD	LEE Wai Kuen	BIT272	Licensed Representative	1, 4	
LELON Columbra LELO	LEE Wan Chit	BCL844	Licensed Representative		1
ERION DUM	LEE Yuk Man	AQQ629	Licensed Representative	9	
ERION DUM	LEUNG Alexander	ANH673	Licensed Representative		1
LEUNG INTO TA				3	
			·		4
EBING YWN A A A A A A A A A			· ·		
EBINS Verlang			·		4, 9
EURIN Year No. Transparent	LEUNG Tai Wai	ABF062	Licensed Representative	9	
	LEUNG Wan Nei	AQJ822	Licensed Representative	6	
University	LEUNG Yee Lung	BHA087	Licensed Representative	4	
Union BPGSD Liberated Representative 2, 1, 4	LEUNG Yuk Hei, Thomas	AWD636	Licensed Representative		1
U. How, Ying				g	4
Libera A.P.C.200 Locensia Representative 1, 6 9			*		
Live Series			· ·		_
U.Yvs Chee					9
Living Chee RFS881 Locease Representative 2		BLC096	Licensed Representative		
LY 17	LI Wai Lam	BMV715	Licensed Representative	9	
1	LI Wing Chee	BFS581	Licensed Representative	2	
U.Yueng		BMV102		2, 5	
Li Yuming			· ·		7
Libergoring			*	1	<u>'</u>
Librogue Sp Sp Control Representative 1				1	
LIAN 27th Puring					
BMY419	LI Zhengwei	BDY438	Licensed Representative	2	4, 9
LIU Jan Liu	LIANG Zhi Peng	BMA554	Licensed Representative	1	
LIU Xiaoying	LIN Yichun	BIK419	Licensed Representative	6	2, 4
UU Jia Kung BKM279 Uconsed Representative 7 UU Xiaoying BLR337 Ucinesed Representative 7 LO Wai Nam BA5557 Uconsed Representative 9 LO Wai Nam BA5557 Uconsed Representative 9 LOT Yi Wa Eva BA1889 Uconsed Representative 9 UN Xiaohan AZF711 Uconsed Representative 9 UN Xiaohan AZF711 Uconsed Representative 1,4 ULK Chun Kong Jan BLB444 Uconsed Representative 1 ULK San Yaong BEW374 Uconsed Representative 4 ULK San Yaong BLW374 Uconsed Representative 1 ULW San Yaong ACC270 Uconsed Representative 2 ULW San Yaong ACC270 Uconsed Representative 2 ULW San Yaong ACC270 Uconsed Representative 2 ULW San Yaong BUSH 14 Uconsed Representative 3 MA Xyaon BUSH 25 Uconsed Representative 5 MA Young Yaon BM3171 <td>LIU Jia</td> <td>BLT540</td> <td>Licensed Representative</td> <td></td> <td>4</td>	LIU Jia	BLT540	Licensed Representative		4
BLR337 Licensed Representative 7 1 1 1 1 1 1 1 1 1	LIII.lia Kung				9
LO Sau Lisi Army			*		7
LOY Yan, Eva					/
LOY Ywa, Eva	LO Sau Lai Amy	BMZ586	Licensed Representative	2	
LOH Yeong Shang BCJ382 Loensed Representative 1,4 LU Xiaohao AZP711 Leensed Representative 1,4 LUK Korun Kong Jan BLB48 Loensed Representative 1 LUK Kar Kan, Camen AV0008 Loensed Representative 4 LUK Kar Kan, Camen AV0008 Loensed Representative 4 LUK Kar Kang AAG270 Loensed Representative 4 LUK Kar Kang AAG270 Loensed Representative 2 MA Ka Chuen ACN828 Loensed Representative 2 MA Kang AUD467 Loensed Representative 2 MA Xiyaan BIB1841 Loensed Representative 5,9 MA Yuanjing BF5452 Loensed Representative 1 MAK Chi Ho AH7266 Loensed Representative 9 1 MAK Wing Yan BM1871 Loensed Representative 1 1 MC LELLA Doelel Robert AOUS37 Loensed Representative 6 1 ME Wenjing AYUS57 Loensed Representative 1 </td <td>LO Wai Nam</td> <td>BAE557</td> <td>Licensed Representative</td> <td>9</td> <td></td>	LO Wai Nam	BAE557	Licensed Representative	9	
LUX Chun Kong Jan BLL846 Lennead Representative 1, 4	LO Yi Wa, Eva	BAT888	Licensed Representative	3	
LUK Chun Kong Jan BLL840 Licensed Representative 1 LUK Kar Man, Cammen AVD300 Licensed Representative 4 LUNG Tak Wing BEW974 Licensed Representative 4 LUNG Tak Wing AAG270 Licensed Representative 2 MA Ka Chuen ACN826 Licensed Representative 2 4,9 MA Xayuan BIU614 Licensed Representative 5,9 1 MA Yuanjing BEF642 Licensed Representative 9 1 MACCABEE Matthew Sictiney BMJ717 Licensed Representative 9 1 MAK Kinji Yan BMJ8717 Licensed Representative 3 1,2 MAK Wing Yan BMM1939 Licensed Representative 6 1 MC LELLAN Daniel Robert AOUB37 Licensed Representative 1 1 MEI Weining AYU597 Licensed Representative 1 7 MEI Weining AYU597 Licensed Representative 1 7 MEI Weining AYU597 Licensed Representative	LOH Yeong Shang	BCJ382	Licensed Representative	9	
LUK Chun Kong Jan BLL840 Licensed Representative 1 LUK Kar Man, Cammen AVD300 Licensed Representative 4 LUNG Tak Wing BEW974 Licensed Representative 4 LUNG Tak Wing AAG270 Licensed Representative 2 MA Ka Chuen ACN826 Licensed Representative 2 4,9 MA Xayuan BIU614 Licensed Representative 5,9 1 MA Yuanjing BEF642 Licensed Representative 9 1 MACCABEE Matthew Sictiney BMJ717 Licensed Representative 9 1 MAK Kinji Yan BMJ8717 Licensed Representative 3 1,2 MAK Wing Yan BMM1939 Licensed Representative 6 1 MC LELLAN Daniel Robert AOUB37 Licensed Representative 1 1 MEI Weining AYU597 Licensed Representative 1 7 MEI Weining AYU597 Licensed Representative 1 7 MEI Weining AYU597 Licensed Representative	LU Xiaohao	AZF711	Licensed Representative	1, 4	
LUK Kar Man, Carmen AVD308 Licensed Representative 4 LUK Si Verung BEW974 Licensed Representative 4,5 LUNS Tak Wing AAG270 Licensed Representative 4 MA Ka Chuen ACN826 Licensed Representative 2 4,9 MA Tak Wah ALD467 Licensed Representative 5,9 1 MA Tak Wah ALD467 Licensed Representative 5,9 1 MA Tak Wah ALD467 Licensed Representative 5,9 1 MA Yuanjing BFE452 Licensed Representative 9 1,4 MACCABEE Matthew Sidney BM1717 Licensed Representative 9 1 MACCABEE Matthew Sidney BM1717 Licensed Representative 3 1,2 MACCABEE Matthew Sidney BM1718 Licensed Representative 6 1 MACCABEE Matthew Sidney BM1719 Licensed Representative 6 1 MCE LLAN Danial Rebert ACU837 Licensed Representative 7 7 ME LE Venjing AV19					1
LUK Siu Yeung BEW974 Licensed Representative 4,6 LUNG Tax Wing AAG270 Licensed Representative 4 MA Tax Wah AAC920 Licensed Representative 2 MA Tax Wah AUD467 Licensed Representative 2 4,9 MA Xyuan BIUS14 Licensed Representative 5,9 ————————————————————————————————————					
LUNG Tak Wing AAG270 Licensed Representative 2 1 MA Ka Chunn ACN826 Licensed Representative 2 4, 9 MA Xinyuan BIUGH L Licensed Representative 5, 9 1 MA Yuning BFE452 Licensed Representative 9 1, 4 MACCABEE Matthew Sidney BMJ177 Licensed Representative 9 1 MAK Wing Yan BMX139 Licensed Representative 3 1, 2 MAN Yung Sun, George AFU534 Licensed Representative 6 1 ME LELAN Daniel Robert ACU637 Licensed Representative 7 7 ME Wenjing AYU557 Licensed Representative 1 7 ME NG Yuan BK06394 Licensed Representative 1 7 MENG Yuan					
MA Ka Chuen ACN826 Licensed Representative 2 4,9 MA Tak Wah AU0467 Licensed Representative 2 4,9 MA Yayanjing BF4522 Licensed Representative 5,9 MA Yuanjing BF4522 Licensed Representative 1,4 MACCABEE Matthew Sidney BM1717 Licensed Representative 9 MAK Chi Ho AH1268 Licensed Representative 1 MAK Wing Yan BM1391 Licensed Representative 3 1,2 MANG Yun Sun, George AFU534 Licensed Representative 6 MC LELLAN Daniel Robert AOU537 Licensed Representative 7 ME Weriging AX1957 Licensed Representative 1 MENG Yuan BC5394 Licensed Representative 7 MENG Yuan BC6394 Licensed Representative 7 MILYA IKen Ofriel BK1707 Licensed Representative 1, 4 NG Cheng Kong BB1938 Licensed Representati	-		*		
MA Tak Wah AUD467 Licensed Representative 2 4,9 MA Xyuan BIU514 Licensed Representative 5,9 MA Yuanjing BFE452 Licensed Representative 1,4 MACCABEE Matthew Sidney BMJ1717 Licensed Representative 9 MAK Vinig Yan BMJ39 Licensed Representative 3 1,2 MAK Wing Yan BMX139 Licensed Representative 6 MC LELLAN Daniel Robert AOU372 Licensed Representative 6 MC LELLAN Daniel Robert AOU372 Licensed Representative 1 ME Myung AYU557 Licensed Representative 1 MENG Yuan BCG394 Licensed Representative 1 MENG Yuan BCG394 Licensed Representative 1 MENG Yuan BCG394 Licensed Representative 1	LUNG Tak Wing	AAG270	Licensed Representative		4
MA Xiyuan BIU614 Licensed Representative 5, 9 MA Yuanjing BFE452 Licensed Representative 1, 4 MACCABEE Matthew Sidney BMJ171 Licensed Representative 9 MAK Chi Ho AHT266 Licensed Representative 1 MAK Wing Yan BMX139 Licensed Representative 3 1, 2 MANG Yun Sun, George AFU534 Licensed Representative 6	MA Ka Chuen	ACN826	Licensed Representative	2	
MA Yuanjing BFE452 Licensed Representative 9 MACCABEE Matthew Sidney BMJ717 Licensed Representative 9 MAK Chi Ho AHT286 Licensed Representative 1 MAK Wing Yan BMX139 Licensed Representative 3 1,2 MANG Yun Sun, George AFU534 Licensed Representative 6 MC LELLAN Daniel Robert AOU837 Licensed Representative 7 MEI Wenjing AYU557 Licensed Representative 1 MEN GYuan BCG394 Licensed Representative 7 MMTATE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 7 NANAYATI Jwalant Hemanshu BHA635 Licensed Representative 1,4 NG Chak Chung ACK907 Licensed Representative 1 NG Hol Dick AOS243 Licensed Representative 4 NG Yuef Fung BLJ225 Licensed Representative 2 NGAI Cho Lap BLF241 Licensed Representative	MA Tak Wah	AUD467	Licensed Representative	2	4, 9
MA Yuanjing BFE452 Licensed Representative 9 MACCABEE Matthew Sidney BMJ717 Licensed Representative 9 MAK Chi Ho AHT286 Licensed Representative 1 MAK Wing Yan BMX139 Licensed Representative 3 1,2 MANG Yun Sun, George AFU534 Licensed Representative 6 MC LELLAN Daniel Robert AOU837 Licensed Representative 7 MEI Wenjing AYU557 Licensed Representative 1 MEN GYuan BCG394 Licensed Representative 7 MMTATE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 7 NANAYATI Jwalant Hemanshu BHA635 Licensed Representative 1,4 NG Chak Chung ACK907 Licensed Representative 1 NG Hol Dick AOS243 Licensed Representative 4 NG Yuef Fung BLJ225 Licensed Representative 2 NGAI Cho Lap BLF241 Licensed Representative	MA Xiyuan	BIU614	Licensed Representative	5, 9	
MACCABEE Matthew Sidney BMJ717 Licensed Representative 9 MAK Ch Ho AHT286 Licensed Representative 1 MAK Wing Yan BMX139 Licensed Representative 3 1, 2 MAK Wing Yan BMX139 Licensed Representative 6					1 4
MAK Chi Ho A HT286 Licensed Representative 1 MAK Wing Yan BMX139 Licensed Representative 3 1, 2 MANG Yun Sun, George A FU534 Licensed Representative 6			· ·	0	., .
MAK Wing Yan BMX139 Licensed Representative 3 1, 2 MANG Yun Sun, George AFU534 Licensed Representative 6 MC LELLAN Daniel Robert AOU637 Licensed Representative 7 MEI Wenjing AYU557 Licensed Representative 1 MENG Yuan BCG394 Licensed Representative 9 MHATRE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 7 MOR Chak Chung AGK907 Licensed Representative 1, 4 NG Chak Chung AGK907 Licensed Representative 1, 4 NG Cheng Kiong BBBU308 Licensed Representative 4 NG Cheng Kiong BBU308 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 2 NGAI Will Tung BLC21 Licensed Representative 2 4 NGAI Will Tung BEL021 Licensed Representative 7 7 OSHEA Stephen Gerard ABM948	·			9	
MANG Yun Sun, George AFU534 Licensed Representative 6 MC LELLAN Daniel Robert AOU637 Licensed Representative 7 MEI Wenjing AYU557 Licensed Representative 1 MENG Yuan BCG394 Licensed Representative 9 MENG Yuan BCG394 Licensed Representative 7 MHATRE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Offiel BKU707 Licensed Representative 7 NANAVATI Jwalant Hemanshu BHA635 Licensed Representative 1, 4 NG Chak Chung AGK907 Licensed Representative 1 NG Chay Giong BBU308 Licensed Representative 4 NG Hol Dick AOS243 Licensed Representative 2 NG Yuet Fung BL1225 Licensed Representative 2 NGYuet Fung BL225 Licensed Representative 2 4 NGAL Cho Lap BLF241 Licensed Representative 7 4 NGAL Wui Tung BEL021 Licensed Representative <td></td> <td></td> <td></td> <td></td> <td>1</td>					1
MC LELLAN Daniel Robert AOU637 Licensed Representative 1 MEI Wenjing AYU557 Licensed Representative 1 MENG Yuan BCG394 Licensed Representative 9 MHATRE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 7 NANAVATI Jwalant Hemanshu BHA635 Licensed Representative 1,4 NG Chak Chung AGK907 Licensed Representative 1 NG Chang Kiong BBU308 Licensed Representative 4 NG Hol Dick AOS243 Licensed Representative 2 NG Yuet Fung BLU225 Licensed Representative 3 NG Yuet Fung BLL225 Licensed Representative 2 4 NGAI Wui Tung BEL221 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 7 7 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 7 O'SHEA Stephen Gerard	MAK Wing Yan		· ·		1, 2
MEI Wenjing A YU557 Licensed Representative 1 MENG Yuan B CG394 Licensed Representative 9 MHATRE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 7 NANAVATI Jwalant Hemanshu BHA635 Licensed Representative 1, 4 NG Chak Chung AGK907 Licensed Representative 1 NG Cheng Kiong BBU308 Licensed Representative 4 NG Holi Dick AOS243 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 7 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 7 O'SHEA Stephen Gerard ABM94 Licensed Representative 7 7 PENG Shuo AQX906 Licensed Representative 7 7 PONG Cheuk Pu	MANG Yun Sun, George	AFU534	Licensed Representative	6	
MENG Yuan BCG394 Licensed Representative 9 MHATRE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 1, 4 NANAYATI Jwalant Hemanshu BHA635 Licensed Representative 1, 4 NG Chang Kiong AGK907 Licensed Representative 4 NG Cheng Kiong BBU308 Licensed Representative 4 NG Hoi Dick AOS243 Licensed Representative 2 NG Yuet Fung BLL225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 7 4 NGREBERG Brian Victor Edward APZ205 Licensed Representative 7 7 OSHEA Stephen Gerard ABM948 Licensed Representative 7 7 PAN Weijian AVK683 Licensed Representative 7 7 PONG Cheuk Pun BAY306 Licensed Representative 4 1	MC LELLAN Daniel Robert	AOU637	Licensed Representative		7
MENG Yuan BCG394 Licensed Representative 9 MHATRE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 1, 4 NANAVATI Jwalant Hemanshu BHA635 Licensed Representative 1, 4 NG Chak Chung AGK907 Licensed Representative 1 NG Cheng Kiong BBU308 Licensed Representative 4 NG Hoi Dick AOS243 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 7 4 NORDEBERG Brian Victor Edward APZ205 Licensed Representative 7 7 OSHEA Stephen Gerard ABM948 Licensed Representative 7 7 PAN Weijian AVK683 Licensed Representative 7 7 PONG Cheuk Pun BAY306 Licensed Representative 4 1	MEI Wenjing	AYU557	Licensed Representative	1	
MHATRE Vinata Shailesh AXU892 Licensed Representative 7 MURAI Ken Ofriel BKU707 Licensed Representative 1, 4 NANAVATI Jwalant Hemanshu BHA635 Licensed Representative 1, 4 NG Chak Chung AGK907 Licensed Representative 4 NG Cheng Kiong BBU308 Licensed Representative 4 NG Hol Dick AOS243 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Will Tung BEL021 Licensed Representative 2 4 NORDBERG Brian Victor Edward AP2205 Licensed Representative 7 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 7 PAN Weijian AVK683 Licensed Representative 7 7 PENG Shuo AOX906 Licensed Representative 7 7 PONG Cheuk Pun BAY306 Licensed Representative 4 4	MENG Yuan	BCG394	Licensed Representative		9
MURAI Ken Ofriel BKU707 Licensed Representative 7 NANAVATI Jwalant Hemanshu BHA635 Licensed Representative 1, 4 NG Chak Chung AGK907 Licensed Representative 1 NG Cheng Kiong BBU308 Licensed Representative 4 NG Hoi Dick AOS243 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 4 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 7 PAN Weijian AVK683 Licensed Representative 7 7 PENG Shuo AQX906 Licensed Representative 7 7 PONG Cheuk Pun BAY306 Licensed Representative 4 1 POON Ha Fung AFK090 Licensed Representative 4 4					7
NANAVATI Jwalant Hemanshu BHA635 Licensed Representative 1, 4 NG Chak Chung AGK907 Licensed Representative 1 NG Cheng Kiong BBU308 Licensed Representative 4 NG Hoi Dick AOS243 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 4 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 7 PAN Weijian AVK683 Licensed Representative 7 7 PENG Shuo AQX906 Licensed Representative 7 7 PONG Cheuk Pun BAY306 Licensed Representative 4 1 POON Ha Fung AFK090 Licensed Representative 4 2 PURI Tarun BIZ293 Licensed Representative 7					7
NG Chak Chung AGK907 Licensed Representative 1 NG Cheng Kiong BBU308 Licensed Representative 4 NG Hoi Dick AOS243 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 4 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 7 PAN Weijian AVK683 Licensed Representative 7 7 PENG Shuo AQX906 Licensed Representative 7 7 PONG Cheuk Pun BAY306 Licensed Representative 4 1 POON Ha Fung AFK090 Licensed Representative 4 2 POON Siu Yan AZW079 Licensed Representative 7 2			*		,
NG Cheng Kiong BBU308 Licensed Representative 4 NG Hoi Dick AOS243 Licensed Representative 2 NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 4 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 7 PAN Weijian AVK683 Licensed Representative 7 7 PENG Shuo AQX906 Licensed Representative 7 7 PONG Cheuk Pun BAY306 Licensed Representative 1 1 POON Ha Fung AFK090 Licensed Representative 4 4 POON Siu Yan AZW079 Licensed Representative 7 2 PURI Tarun BIZ293 Licensed Representative 7 7				1, 4	
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NG Yuet Fung BLJ225 Licensed Representative 3 NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 PAN Weijian AVK683 Licensed Representative 7 PENG Shuo AQX906 Licensed Representative 7 PONG Cheuk Pun BAY306 Licensed Representative 1 POON Ha Fung AFK090 Licensed Representative 4 POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7	NG Cheng Kiong	BBU308	Licensed Representative	4	
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NGAI Cho Lap BLF241 Licensed Representative 2 4 NGAI Wui Tung BEL021 Licensed Representative 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 PAN Weijian AVK683 Licensed Representative 7 PENG Shuo AQX906 Licensed Representative 7 PONG Cheuk Pun BAY306 Licensed Representative 1 POON Ha Fung AFK090 Licensed Representative 4 POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7	NG Yuet Fung	BLJ225	Licensed Representative	3	
NGAI Wui Tung BEL021 Licensed Representative 4 NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 PAN Weijian AVK683 Licensed Representative 7 PENG Shuo AQX906 Licensed Representative 7 PONG Cheuk Pun BAY306 Licensed Representative 1 POON Ha Fung AFK090 Licensed Representative 4 POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7	-		· ·		4
NORDBERG Brian Victor Edward APZ205 Licensed Representative 7 O'SHEA Stephen Gerard ABM948 Licensed Representative 7 PAN Weijian AVK683 Licensed Representative 7 PENG Shuo AQX906 Licensed Representative 7 PONG Cheuk Pun BAY306 Licensed Representative 1 POON Ha Fung AFK090 Licensed Representative 4 POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7	·				
O'SHEA Stephen Gerard ABM948 Licensed Representative 7 PAN Weijian AVK683 Licensed Representative 7 PENG Shuo AQX906 Licensed Representative 7 PONG Cheuk Pun BAY306 Licensed Representative 1 POON Ha Fung AFK090 Licensed Representative 4 POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7					7
PAN Weijian AVK683 Licensed Representative 7 PENG Shuo AQX906 Licensed Representative 7 PONG Cheuk Pun BAY306 Licensed Representative 1 POON Ha Fung AFK090 Licensed Representative 4 POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7			· ·		<i>'</i>
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PONG Cheuk Pun BAY306 Licensed Representative 1 POON Ha Fung AFK090 Licensed Representative 4 POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7	PAN Weijian	AVK683	Licensed Representative		7
POON Ha Fung AFK090 Licensed Representative 4	PENG Shuo	AQX906	Licensed Representative		7
POON Ha Fung AFK090 Licensed Representative 4	PONG Cheuk Pun	BAY306	Licensed Representative		1
POON Siu Yan AZW079 Licensed Representative 2 PURI Tarun BIZ293 Licensed Representative 7				4	
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QIU Zhonghao BGM487 Licensed Representative 9 1					
	QIU Zhonghao	BGM487	Licensed Representative	9	1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED	PERSONS/RE	EGISTERED INSTITUTIONS DURING 11/2018		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
RATHI Sourabh	BNN322	Licensed Representative		7
READ Ben Edward	AXX095	Licensed Representative		7
ROOTH Jean Jesper	BFY797	Licensed Representative	9	1, 4, 5
SAITO Takayuki	ATG731	Licensed Representative		7
SEONG Ki Yong	BJO816	Licensed Representative		1, 2
				7
SERJEANT Daniel Luke	BCV361	Licensed Representative		/
SETO Kin Yan Roy	BMO081	Licensed Representative	1	
SHAN Yi	BGW834	Licensed Representative		4
SHEA Kai Ming	AFR570	Licensed Representative		4
SHEA Kwok Hing, Patrick	AAC349	Licensed Representative		1
SHEK Wan Sang Andy	BAG221	Licensed Representative		4
SHEW Hing Lai, Hadley	AVM415	Licensed Representative		2
		•		9
SHUAI Shih-Wei	BGX738	Licensed Representative		9
SINGH Puneet	BCG408	Licensed Representative		1
SIU Cheuk Hung, Michael	AQL552	Licensed Representative		2
SO Wai Ling	BMW393	Licensed Representative	2	
SONG Wonkook	AOB157	Licensed Representative	4, 9	
SONG Yulin	BMW915	Licensed Representative	4	
SOO Jamie Wan Jung	AXJ724	Licensed Representative	1, 9	
			4	4
SUN Chujie	BMY170	Licensed Representative	1	4
SZE Kwun Yu, Jason	AXD898	Licensed Representative	4	
TAI Yuen Fai, Patricia	BJE911	Licensed Representative	1	
TAKAYA Shinichi	BKD954	Licensed Representative	1	
TAM Ka Hong	BIQ325	Licensed Representative		3
TAM Ka Man	AMH299	Licensed Representative		2
TAM King Ho, Howard	AFN346	Licensed Representative		2, 5
		•		7
TAN Min Chem Elin	BJS928	Licensed Representative		-
TANG Chun Ning	BAB127	Licensed Representative		9
TANG Qiuzhu	BHH841	Licensed Representative	1	
TANG Wai Kee	ACG544	Licensed Representative		2
TIAN Hao	BBU456	Licensed Representative	9	
TJON Hui Ya Janice	BIY425	Licensed Representative	4, 9	1
TOKUMURA Kazue	BER103	Licensed Representative	4	1
			1	
TONG KIN YIP	BLH252	Licensed Representative		
TONG Nga Lai	APQ832	Licensed Representative	4	
TSANG Chor Man	BJJ206	Licensed Representative	4, 5	
TSANG Kwok Fung	BGK261	Licensed Representative	4	
TSANG Man Chung, Timothy	AIR741	Licensed Representative		6
TSE Ming Fong	AYK913	Licensed Representative	9	
TSUI Ka Lun	AWP641	Licensed Representative		7
TUNG Hiu Chung	AGC648	Licensed Representative		4
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VIJAYVERGIA Diwakar	BGK418	Licensed Representative	2, 4, 5	
WAI To Chung, Andy	AKP396	Licensed Representative	1, 4	
WAN Hing Fung	BNE429	Licensed Representative	4	
WAN Lingyan	BCL741	Licensed Representative	1, 9	
WANG Anli	BMX762	Licensed Representative	6	4
WANG Chao	ARJ506	Licensed Representative		4
WANG Hai	BDP587	Licensed Representative	1	
WANG Han	BIC317	Licensed Representative	4, 9	
			., .	4.4
WANG Hongwei	BJS949	Licensed Representative		1, 4
WANG Lin	BIM406	Licensed Representative	9	1, 6
WANG Lu	BNF193	Licensed Representative	3	
WANG Pinyue	BIX930	Licensed Representative	4	
WANG Qi	BJN322	Licensed Representative	1	
WANG Qing	BJZ437	Licensed Representative	4	
WANG Tinghao	BKU321	Licensed Representative		2
WANG Xiao	BGK625	Licensed Representative		2
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WANG Xiaofan	ATR687	Licensed Representative	•	
WANG Youzhi	BEC693	Licensed Representative	10	4
WATANABE Shino	BDR566	Licensed Representative		7
WEI Qi	BFP647	Licensed Representative		4
WEN Jia	BGH365	Licensed Representative	10	9
WENG Jiani	BLV407	Licensed Representative	9	1
Winnie	AXR100	Licensed Representative		7
				1
WONG Chi Chiu	AJB477	Licensed Representative		1
WONG Chin Pang	AFU569	Licensed Representative		4, 9
WONG Ching	AXN060	Licensed Representative	2	4, 9
WONG Chun Ho	AGC864	Licensed Representative	1	
WONG Chun Ho, Sammy	AKT152	Licensed Representative		1, 4
WONG Chung Hang, Timothy	BHO445	Licensed Representative	4	
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VARIATIONS OF REGULATED ACTIVITIES OF LICENSED	PERSONS/RI	EGISTERED INSTITUTIONS DURING 11/2018	T	
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Fong Ki	BNN662	Licensed Representative	2	
WONG Hin Kwong	BDW700	Licensed Representative	4, 9	
WONG Ho Yin	AXZ546	Licensed Representative	4	
WONG Hoi Ka	BFK210	Licensed Representative	1	9
WONG Hoi Ming	BFR275	Licensed Representative		1
WONG Ka Chun	AUU925	Licensed Representative		1
	AGE841			2
WONG Ka Lun, Gillian		Licensed Representative		
WONG Koon Kiu	BEZ044	Licensed Representative		-
WONG Kwok Wing	BBH901	Licensed Representative		2
WONG Man Chun	APQ127	Licensed Representative		1
WONG Man Fong	BNP514	Licensed Representative	2	
WONG Wai	APL830	Licensed Representative		4
WONG Wai Yin	BDT382	Licensed Representative		4
WONG Wan Vanness	BLU269	Licensed Representative	4, 9	
WONG Wing Yun, Christopher	AFL705	Licensed Representative	9	
WONG Yui Ho	AOR998	Licensed Representative	9	1, 2
WONG Yun Sum	BAA672	Licensed Representative	1, 2, 7	4, 9
WOO Kin Ming, Marcus	AHN470	Licensed Representative	1	, ,
		· ·	9	2
WOO Wing Sum, Caroline	ANT715	Licensed Representative		2
WU Chiang Li	ACF083	Licensed Representative	5	
WU Jiajun	BMQ963	Licensed Representative	1	
WU Lei	BIX235	Licensed Representative	9	
WU Luyin	ARK024	Licensed Representative	9	
WU Man Yu	BIX431	Licensed Representative	2	
WU Zeming	BIR277	Licensed Representative	1	
WU Zhihao	BCV657	Licensed Representative	9	1, 2, 7
XIA Qing	BHS914	Licensed Representative	1, 2, 9	4
XIANG Zhao	BGG009	Licensed Representative	5	
XU Aijia	BNE886	Licensed Representative		4
YANG Yang	ARH481	Licensed Representative		1
			4	
YAO Lyu	BJC557	Licensed Representative	1	
YAO Yichun	BIF180	Licensed Representative	1	
YAU Hoi, Alecto	BAW972	Licensed Representative	1	
YAU Tat Cheung	APY992	Licensed Representative	1	
YE Zhijian	BHS216	Licensed Representative		4, 9
YEUNG Kei Yuen	AFC433	Licensed Representative		7
YEUNG Shun Tat	AWI093	Licensed Representative	1	
YEUNG Tsz Hong	AGO878	Licensed Representative		2
YEUNG Wai To	BML382	Licensed Representative	1	
YEUNG Ying Wai	AQS662	Licensed Representative	4, 5	
YIP Thomas Ho Fai	AXD289	Licensed Representative	., 0	4
YIU Yi Shing		· ·		
	BKK536	Licensed Representative		4, 5
YONEOKA Hideaki	BDX787	Licensed Representative		7
YU Clive	BIB443	Licensed Representative		7
YU Sze Kong	APP175	Licensed Representative		1
YU Xiaoxia	BLH691	Licensed Representative		4, 9
YU Xinran	BJM100	Licensed Representative		4
YUEN Chor Yiu Jason	BIX076	Licensed Representative	1	
YUEN Kin Yuk	APL591	Licensed Representative		1
YUNG Ho Yin	BGE916	Licensed Representative	1	
YUNG Yu Shing	ATB835	Licensed Representative	2, 5	3
ZENG Binwei	BJW034	Licensed Representative	5, 9	1, 6
ZHANG Bin	BMI551	Licensed Representative	4, 9	
ZHANG Dongnan		•	4, 9	
•	BKY124	Licensed Representative		
ZHANG Hongyi	BIZ596	Licensed Representative	9	
ZHANG Minghua	ASP315	Licensed Representative		1, 4
ZHANG Ru	BBB822	Licensed Representative		1, 2, 4
ZHANG Yuer	BIE512	Licensed Representative	4	
ZHANG Zhijie	BBB359	Licensed Representative	9	6
ZHAO Xiaolu	BGE155	Licensed Representative		1
ZHAO Yang	BHM855	Licensed Representative		7
ZHENG Yifan	BIC690	Licensed Representative		2
ZHENG Zelin	BNC343	Licensed Representative	1	
ZHENG Zhen	BCZ940	Licensed Representative	1	
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ZHOU Qi	BMR125	Licensed Representative		1, 9
ZHOU Xuan	BDI901	Licensed Representative	1	
ZHOU Yuan	BFX481	Licensed Representative	9	
ZHU Boyang	BIG120	Licensed Representative		1
ZUO Wei	ALH756	Licensed Representative		1
ATAMER Murat	APF056	Licensed Representative - Responsible Officer		7
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CE Name BENZIMRA Frank Michael BHARGAVA Siddharth BROADFIELD David John CHAN Albert CHAN Kar Wah	CE No. BCW974 AFP173	Licence Type Licensed Representative - Responsible Officer	Types of Regulated Activity Added	Types of Regulated Activity Removed 1, 2
BHARGAVA Siddharth BROADFIELD David John CHAN Albert		Licensed Representative - Responsible Officer		1.2
BROADFIELD David John CHAN Albert	AFP173			., -
CHAN Albert		Licensed Representative - Responsible Officer		4
	BBT521	Licensed Representative - Responsible Officer		7
	ARL119	Licensed Representative - Responsible Officer	1	
	ADS842	Licensed Representative - Responsible Officer	4, 5, 9	
CHAN Kin Ho	ALQ830	Licensed Representative - Responsible Officer	3	
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CHAN Kwok Wai	ALG542	Licensed Representative - Responsible Officer	2, 4, 9	
CHAN Sai Yee, Vincent	ABM105	Licensed Representative - Responsible Officer	4	
CHAN Wai Sang	ANV154	Licensed Representative - Responsible Officer	2	
CHEN Sze Hon, Johnson	AQX577	Licensed Representative - Responsible Officer	1	
CHENG Chao-mu	AQP762	Licensed Representative - Responsible Officer	4	
CHENG Jonathan Chung Shing	AON408	Licensed Representative - Responsible Officer	1, 4	
CHEUNG Sai Sai	APP369	Licensed Representative - Responsible Officer	4	
CHIANG Shek Lun, Allan	AAF306	Licensed Representative - Responsible Officer	1, 2	
CHIN Hin Lik, Eric	AYE644	Licensed Representative - Responsible Officer	9	
CHIU Sheung Yuk	AKU355	Licensed Representative - Responsible Officer	1	
CHUNG Fu Wing	ARK757	Licensed Representative - Responsible Officer	1	
CHUNG Ho Lun	AUJ772	Licensed Representative - Responsible Officer	1	
FAN Ying Fai	AWV270	Licensed Representative - Responsible Officer	2	
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FU Yiu Man, Peter	AAC370	Licensed Representative - Responsible Officer	4	
GRADEL Christopher Marcus	ANS006	Licensed Representative - Responsible Officer	1	
GUO Xun	BJR061	Licensed Representative - Responsible Officer	4	
HU Jianfeng	BBZ806	Licensed Representative - Responsible Officer	4, 9	
HUANG Da Wei	BCC169	Licensed Representative - Responsible Officer	1	
HUNG Jung-Tsung	AJD359	Licensed Representative - Responsible Officer	4	
KEENAN Mark Jeremy Shadwell	BLL067	Licensed Representative - Responsible Officer		1, 2
KHAZZAM Shawn	BGY565	Licensed Representative - Responsible Officer	1	
KLIP Donald William	ADI595	Licensed Representative - Responsible Officer	4	
KONG Sheung Wing	BFT354	Licensed Representative - Responsible Officer	4	
LAI Hing Kwong, Joseph	ADJ211	Licensed Representative - Responsible Officer	6	
LAM Chun Ling	AOV786	Licensed Representative - Responsible Officer	4	
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LAM Tsz Chun	BBQ049	Licensed Representative - Responsible Officer		
LAM Wai Hon	AAN686	Licensed Representative - Responsible Officer	4, 9	
LAU Wai Yin, Alison	BFA280	Licensed Representative - Responsible Officer	4	
LAU Ho To	AQD647	Licensed Representative - Responsible Officer	4	
LEUNG Chun Tung	APR857	Licensed Representative - Responsible Officer	2	
LI Hon Leung	AJC118	Licensed Representative - Responsible Officer	4	
LO Cho Yan	ACR637	Licensed Representative - Responsible Officer	3	
NG Chak Chung	AGK907	Licensed Representative - Responsible Officer	4, 9	
NG Hay Ping	AAA049	Licensed Representative - Responsible Officer	2, 5	
OUYANG Xupeng	BGN162	Licensed Representative - Responsible Officer	9	
POON To Sing	AAJ268	Licensed Representative - Responsible Officer	2, 4, 9	
SHENG Hao	BKF752	Licensed Representative - Responsible Officer		1, 4
TAM Shing Tak	AUI999	Licensed Representative - Responsible Officer	4	
TEO Kim Guan	ANX459	Licensed Representative - Responsible Officer	5	
THIRIET Olivier Louis Lucien	ASS294	Licensed Representative - Responsible Officer	3	7
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TING Kam Sing	AON779	Licensed Representative - Responsible Officer	4	
TONG Leung Sang	AAV322	Licensed Representative - Responsible Officer	4	
TSE Chi Ying	ACO078	Licensed Representative - Responsible Officer		2
TSUI Luen On	AIB800	Licensed Representative - Responsible Officer	4, 9	
TSUI Pak Fai	ANK382	Licensed Representative - Responsible Officer	4	
WANG Nathan	ADJ636	Licensed Representative - Responsible Officer	4	
WANG Qian	BAT110	Licensed Representative - Responsible Officer	4	
WAT Ying Hang	AAK716	Licensed Representative - Responsible Officer	4, 9	
WONG Kim Ming	AHU702	Licensed Representative - Responsible Officer	2	
YAU Shing Yam, Angela	AMS539	Licensed Representative - Responsible Officer	4, 9	
YIM Kam Kin	ADK344	Licensed Representative - Responsible Officer	4	
YU Sai Sum	AAF585	Licensed Representative - Responsible Officer	4	
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ZENG Xiangshun	BLI486	Licensed Representative - Responsible Officer		4
ZHANG Ru	BBB822	Licensed Representative - Responsible Officer	9	
FLORENTIN Clement	BNQ440	Licensed Representative (Provisional Licence)	5	
LEUNG Wing Hung, Toby	BGA638	Licensed Representative (Provisional Licence)	2	Î.