

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
Aevitas Securities Limited	AFB002	Licensed Corporation	4, 9		
Epigen Group (Hong Kong) Limited	BCJ381	Licensed Corporation	4		
Sun Growth Securities Limited	ABV648	Licensed Corporation	4		
Vanhau Asset Management Limited	BIC884	Licensed Corporation	4, 5		
VTB Capital Hong Kong Limited	AXF967	Licensed Corporation	9		
			1		
ARCOUMANIS Christoforos	AYK786	Licensed Representative			
AU Siu Kit	BNU975	Licensed Representative	2		
AU-YEUNG Wai Kai, Angus	AJH211	Licensed Representative	4		
BAAK JEONG HYUN	BMV674	Licensed Representative	4		
BAKOUK Salma	BJJ389	Licensed Representative	7		
BATAILLARD Olivier Remy Camille	BEO553	Licensed Representative	9		
BONHOMME Mathieu Pierre	BDL836	Licensed Representative		7	
BRENNAN JR Thomas Michael	ALS353	Licensed Representative	9		
CAO Dan	BKZ053	Licensed Representative	6	4	
CHAN Cheuk Fai Andy	BGI432	Licensed Representative	4	10	
CHAN Chi Hang	AVA036	Licensed Representative	4		
CHAN Chi Wai	ANR258		4		
		Licensed Representative	7	1.4	
CHAN Chuk Cheung, Ivan	AJB937	Licensed Representative		1, 4	
CHAN Ho Hin	BNC275	Licensed Representative		4, 9	
CHAN Ho Ping	AVI833	Licensed Representative		2	
CHAN Kwong Shan	AFV359	Licensed Representative	9		
CHAN Siu Ning	AAC680	Licensed Representative		2	
CHAN So Han	AOJ393	Licensed Representative		9	
CHAN Tsz Man	BFU608	Licensed Representative		1	
CHAN Wang Kin	ATX638	Licensed Representative	9		
CHAN Willy	BEA495	Licensed Representative	7		
CHAN Yuk Bing	ANQ024	Licensed Representative		4	
-	_	·	1 2	9	
CHANG Jack Chun-Chieh	ANX862	Licensed Representative	1, 2	3	
CHANG Shu Pei	BHG774	Licensed Representative	4, 5		
CHAU Alexander Ho Ching	AQL854	Licensed Representative		1	
CHEN Kaiqi	BMN448	Licensed Representative	1		
CHEN Wen-yu, Winnie	AVK949	Licensed Representative	2		
CHEN Yiu Kwok	BHR802	Licensed Representative	2, 9		
CHEN Yun Ting	BIB597	Licensed Representative	2		
CHEN Zhixue	AQI855	Licensed Representative	9		
CHENG Chi Ho	AOV370	Licensed Representative	4		
CHENG Ka Ho	AQU090	Licensed Representative	9		
CHENG Kong Wai	ANM032	Licensed Representative	9		
				1	
CHENG Kwan Lun	AJD750	Licensed Representative		0	
CHENG Man Kwong	AXL920	Licensed Representative		9	
CHENG Sze Long	BHR971	Licensed Representative	3		
CHENG Wang Ming	AXA014	Licensed Representative		1	
CHENG Wu-long	BCS981	Licensed Representative	1	4, 9	
CHEUNG Chun Ying, Victor	ADS697	Licensed Representative		1, 2	
CHEUNG Kai Ho	BLY773	Licensed Representative		1, 2	
CHEUNG Kit Shing	BEJ868	Licensed Representative	5	1	
CHEUNG Lai Him	AVI824	Licensed Representative		2	
CHEUNG Lai King, Kitty	AZG717	Licensed Representative	9		
CHEUNG Yu Lik	AXY502	Licensed Representative	7		
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CHNG Oon Jin	AIN851	Licensed Representative		4	
CHOI Hung Fai	APD150	Licensed Representative		[1 -	
CHOI Kong Wing	ANN039	Licensed Representative		9	
CHOW Jesson Kwok Kit	BJR310	Licensed Representative	4, 9		
CHOW King Ling	AAZ536	Licensed Representative		2	
CHOW Yu Ting, Beverly	APC113	Licensed Representative	4		
CHU Hoi Kan	BAQ408	Licensed Representative		1	
Chung Ching Wai Nancy		1	t		
	ALQ458	Licensed Representative	2		
ICHUNG Christine Jui-Mei			2	1	
CHUNG Christine Jui-Mei	AEJ588	Licensed Representative	2	1 7	
COHEN-ADDAD Nicolas Paul	AEJ588 AHR321	Licensed Representative Licensed Representative		7	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon	AEJ588 AHR321 AVT246	Licensed Representative Licensed Representative Licensed Representative	9	7	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun	AEJ588 AHR321 AVT246 BBZ411	Licensed Representative Licensed Representative Licensed Representative Licensed Representative		7	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun DURACK Christopher James	AEJ588 AHR321 AVT246 BBZ411 BGY586	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative		9	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun	AEJ588 AHR321 AVT246 BBZ411	Licensed Representative Licensed Representative Licensed Representative Licensed Representative			
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun DURACK Christopher James	AEJ588 AHR321 AVT246 BBZ411 BGY586	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative		9	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun DURACK Christopher James EDSTEIN Alexandra	AEJ588 AHR321 AVT246 BBZ411 BGY586 ASZ221	Licensed Representative		9	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun DURACK Christopher James EDSTEIN Alexandra EL HICHERI Fayez	AEJ588 AHR321 AVT246 BBZ411 BGY586 ASZ221 ANH083	Licensed Representative		9 4 4,5	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun DURACK Christopher James EDSTEIN Alexandra EL HICHERI Fayez FAN Kwan Ho FERRER Antonio	AEJ588 AHR321 AVT246 BBZ411 BGY586 ASZ221 ANH083 AQL336 BMT493	Licensed Representative	9	9 4 4,5	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun DURACK Christopher James EDSTEIN Alexandra EL HICHERI Fayez FAN Kwan Ho FERRER Antonio FITTE Laurent Gerard	AEJ588 AHR321 AVT246 BBZ411 BGY586 ASZ221 ANH083 AQL336 BMT493 AXH378	Licensed Representative	9	9 4 4,5	
COHEN-ADDAD Nicolas Paul DERBAUDRENGHIEN Valery Guy Leon DONG Chunchun DURACK Christopher James EDSTEIN Alexandra EL HICHERI Fayez FAN Kwan Ho FERRER Antonio	AEJ588 AHR321 AVT246 BBZ411 BGY586 ASZ221 ANH083 AQL336 BMT493	Licensed Representative	1,4	9 4 4,5	



Column	VARIATIONS OF REGULATED ACTIVITIES OF EIGEN	SED FERS	ONS/REGISTERED INSTITUTIONS DURING 01/2019		
Page	CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FAMA	FU Ming	ATI402	Licensed Representative		2
NUMBER APPEN APP	FU Sin	BKF907	Licensed Representative	9	
PAICE Clark Vir.					2.3
NAME 19 19 19 19 19 19 19 1				-	
FAMO From Page ACCESS Accessed Representative 9				5	
PAMS Not Way PAMS PAMS PAMS PAMS PAMS PAMS Not Way PAMS Not Way PAMS PAMS Not Way PAMS No	FUNG Ho Ting	BKB324	Licensed Representative		1, 9
MAN Year Year Mar Ma	FUNG Kin Tung	AKI222	Licensed Representative		4
PARCY Name PARCE Control Representative 9	FUNG Koon Wah	AFZ881	Licensed Representative	9	
SAN CARRIER	FUNG Wing Yee	AVH672	Licensed Representative	4	
SAN CARRIER	FUNG Yuen Ying	BFS536	Licensed Representative	9	
SECRETARY STATE AND PROPERTY OF THE STATE			'		4
SERVINDENSE CONTINUE NO.				0	-
Description Process Description Process Description Process Process Description Process Description Process Description Process Description Process Description Desc				9	
DI Face			Licensed Representative		4
GUO Yam ALADOD Liberted Representative 9	GRUNBERGER Bo Fredrik	APW450	Licensed Representative	7	
MANNAN District Observed American Representative 2 2 2 2 2 2 2 2 2	GU Fei	BNV539	Licensed Representative	4	
MARNAN River Developed APRISES Licensed Representative	GUO Yan	AUS955	Licensed Representative	9	
MANUAR M	HANNAFORD Stephen Andrew	BGQ541	Licensed Representative		4
Fig. 3	HARMAN Simon Devereux Clement	ATR662			2
Month March Marc					4
March Marc				0	7
No.					
MO Shun Fing	HO Hoi Lam Rachel	BJB410	Licensed Representative	1	
March Marc	HO Nga Wing	BDO473	Licensed Representative		1
Modern Ministry Modern Ministry Modern Ministry Modern Ministry Minist	HO Pak Hang	AOS315	Licensed Representative		1
NO Trig Lack		BJI168		2, 5	
EVWIND Clarend Representative 4	<u> </u>				
MAYON Management Mayon	-				
POSH Masahida					
NO. No.			'	9	
NSAO Shen-Hung BC2164 Licensed Representative 1 HU Ming BMW462 Licensed Representative 3 1 HUANG Table BNOSS Lonnesd Representative 4 4 HUANG Kinchang BHY43 Lonnesd Representative 1 4 HUANG Kinchang BHY43 Lonnesd Representative 1 9 HU Chuk Kwan ALOZD Lonnesd Representative 4 4 HU Chuk Kwan ASP777 Lonnesd Representative 4 4 HU Ray Ying ADUGS Lonnesd Representative 4 1 HUNG Chu Loi ALSS Lonnesd Representative 4 4 HUNG Chu Loi AUS SIB Lonnesd Representative 4 4 HUNG Chu Loi AUS SIB Lonnesd Representative 4 4 HUNG Chu Loi AUS SIB Lonnesd Representative 9 1,2,4,5 HON Work SI BITSI Lonnesd Representative 1 1 LEON Work SI BITSI Lonnesd Representative <td>HOSHI Masahide</td> <td>APH011</td> <td>Licensed Representative</td> <td></td> <td>4</td>	HOSHI Masahide	APH011	Licensed Representative		4
NU Ming	HOU Min	BLH840	Licensed Representative	2, 5	
NUANG Hoole	HSIAO Shen-Hung	BCZ164	Licensed Representative		1
NUANG Hoole	HU Mina	BMW482	Licensed Representative	3	
NUANG Kun-sheng	-			1	
NUANG Ling Kwan					
HUL Chuk Kwann	<u>-</u>			1, 4	
HUL Lam Chiu	HUANG Ling Kwan		Licensed Representative		9
HUN Spa Ying	HUI Chuk Kwan	ASN777	Licensed Representative	4	
HUNG Chin Pang Peter	HUI Lam Chiu	ADF453	Licensed Representative		1
HUNG Chiu Loi	HUI Nga Ying	AMU039	Licensed Representative		1, 2
HUNG Chiu Loi	HUNG Chin Pang Peter	BLL850	Licensed Representative	4	
HUNG Kat Lung					
IP Ka Tsun, Anthony				<u> </u>	
JEON Woonki	-		'		
JIANG Lulu BMT231 Licensed Representative 10 1, 4, 9 JIANG Shan AZ7927 Licensed Representative 4, 6 JIN Changyu BN1510 Licensed Representative 1 JIN Hui BD0867 Licensed Representative 9 1 KAM Kin Sun BFG326 Licensed Representative 9 4 KAM Wing Sze, Zoe AF0041 Licensed Representative 1 4 KANG Lu BEA179 Licensed Representative 1 IIII. KEUNG Hol Hing BD0.906 Licensed Representative 1 III. KIM Cherin BDW437 Licensed Representative 5 1 III. KIM STAT Hung, Alex AB1987 Licensed Representative 9 III. A, 5 KOOK Wai Wa BAX161 Licensed Representative 9 III. A, 5 KOOK Josano AGF4722 Licensed Representative 4 III. III. KUN In AOA393 Licensed Representative 7 III. <	IP Ka Tsun, Anthony	AHT282	Licensed Representative	1	
JIANG Shan	JEON Woonki	BFT921	Licensed Representative	9	1, 2, 4, 5
JIN Changyu	JIANG Lulu	BMT231	Licensed Representative	10	1, 4, 9
Jin Hui	JIANG Shan	AZY927	Licensed Representative	4, 6	
Jin Hui	JIN Changyu	BNH510	Licensed Representative		1
KAM Kin Sun BFG326 Licensed Representative 9 KAM Wing Sze, Zoe AFQ041 Licensed Representative 4 KANG Lu BEA179 Licensed Representative 1 KEUNG Hoi Hing BDL906 Licensed Representative 1 KIM Cherin BDW437 Licensed Representative 5 1 KING Tat Hung, Alex ABT987 Licensed Representative 9 1 KONG Wai Wa BAX161 Licensed Representative 9 1 KONG Syason AGF472 Licensed Representative 4 4 KUM Kit Ching, Catherine AMN663 Licensed Representative 4 1 KUN In AOA393 Licensed Representative 1 1 KWAN Ho Yin Jasmine BKH794 Licensed Representative 7 1 KWOK Hong Man BLJ204 Licensed Representative 5 1 KWOK Wai Wa AVC343 Licensed Representative 4, 9 1 KWONG King Chun BJT521 Licensed Representative 9 <td></td> <td></td> <td></td> <td>a</td> <td>1</td>				a	1
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KWONG King Chun BJT521 Licensed Representative 9 KWONG Tse San, Toby APD289 Licensed Representative 1 KWONG Wai Chung BAQ958 Licensed Representative 9 LAI Hin Chung BCP191 Licensed Representative 1, 2 4, 9 LAI Man Yan AIX723 Licensed Representative 9 1 LAM Chun Ming, Gordon BHK877 Licensed Representative 1 1 LAM Hiu Fung AOR986 Licensed Representative 9 1 LAM Ka Wai ARL775 Licensed Representative 1 1 LAM Kelvin Roland ALQ299 Licensed Representative 9 1	KWOK Hong Man	BLJ204	Licensed Representative	5	
KWONG King Chun BJT521 Licensed Representative 9 KWONG Tse San, Toby APD289 Licensed Representative 1 KWONG Wai Chung BAQ958 Licensed Representative 9 LAI Hin Chung BCP191 Licensed Representative 1, 2 4, 9 LAI Man Yan AIX723 Licensed Representative 9 1 LAM Chun Ming, Gordon BHK877 Licensed Representative 1 1 LAM Hiu Fung AOR986 Licensed Representative 9 1 LAM Ka Wai ARL775 Licensed Representative 1 1 LAM Kelvin Roland ALQ299 Licensed Representative 9 1	KWOK Wai Wa	AVC343	Licensed Representative	4, 9	
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LAM Chun Ming, Gordon BHK877 Licensed Representative 1 LAM Hiu Fung AOR986 Licensed Representative 9 LAM Ka Wai ARL775 Licensed Representative 1 LAM Kelvin Roland ALQ299 Licensed Representative 9	LAI Hin Chung	BCP191	Licensed Representative	1, 2	4, 9
LAM Hiu Fung AOR986 Licensed Representative 9 LAM Ka Wai ARL775 Licensed Representative 1 LAM Kelvin Roland ALQ299 Licensed Representative 9	LAI Man Yan	AIX723	Licensed Representative		9
LAM Hiu Fung AOR986 Licensed Representative 9 LAM Ka Wai ARL775 Licensed Representative 1 LAM Kelvin Roland ALQ299 Licensed Representative 9	LAM Chun Ming, Gordon	BHK877	Licensed Representative		1
LAM Ka Wai ARL775 Licensed Representative 1 LAM Kelvin Roland ALQ299 Licensed Representative 9		AOR986		9	
LAM Kelvin Roland ALQ299 Licensed Representative 9					
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LAM Lincoin BLU924 Licensed Representative 4					
,	I AM Lincoln	BLU924	Licensed Representative	4	
LAM Ting Yu AGK850 Licensed Representative 4					



		ONS/REGISTERED INSTITUTIONS DURING 01/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAM Wai Leung	AIX165	Licensed Representative		2
LAM Yu Fong	BLU744	Licensed Representative	1	
LAU Nam Fung, Henry	AUW417	Licensed Representative		1
LAU Wai Hung	ANX950		9	
LAU Wai Mei, Debby	AFI649	Licensed Representative	9	
-				
LAU Yiu Hang	AZA945	Licensed Representative	1	4
LAW Chi Cheong, Franco	AAZ238	Licensed Representative		2
LAW Giggs	AGA256	Licensed Representative	2	
LE Quoc Benoit Khanh Jacques	BNE908	Licensed Representative	9	
LEE Man Nga	BHA283	Licensed Representative		1
LEE Ning Yee Frances	BFX750	Licensed Representative		1
LEE Sai Leung, Dennis	AMF898	Licensed Representative		2
	AEA535	'	4	-
LEE Wing Keung, Toby		Licensed Representative	4	
LEE Yuk Man	AQQ629	Licensed Representative		9
LEUNG Man Joe, Joseph	AHS893	Licensed Representative	1, 2	
LEUNG Wan Nei	AQJ822	Licensed Representative		6
LEUNG Yin Ho	ARU109	Licensed Representative	4	
LI Chenwenting	BNF428	Licensed Representative	4	
LI Chiu Yee	AJY016	Licensed Representative		4
LI Cho Kwong	AEU474			4, 9
		Licensed Representative	1	·, ·
LI Hui	AYY608	Licensed Representative	·	
LI Huiyun	BBB839	Licensed Representative	9	
LI Jessica Y	AWV098	Licensed Representative	6	
LI Ji	BCE400	Licensed Representative	1, 4, 9	2
LI Kam Fong, Vivian	ACJ965	Licensed Representative		1, 2
LI Nga Sze, Alice	AMI057	Licensed Representative		4
LI Nga Yan	BGB018		6	
			4	5
LI Siyuan	BJW057	Licensed Representative	-	5
LI Yisui	BLK608	· ·	2	
LI Yong	BEV817	Licensed Representative	1, 2	4, 9
LI Yuanwei	BNS761	Licensed Representative	4	
LIN Nan	AXI594	Licensed Representative	7	
LIN Wei Hsiang	BKS410	Licensed Representative	9	
LING Maximilian Shui Hung	BGA793	Licensed Representative		2
LIU Changtai	BNM900		6	
		· ·	0	1.0
LIU Ka Ho Kenny	BFA621	Licensed Representative		4, 9
LIU Minjie	BMV329	Licensed Representative	9	
LIU Na	BEC575	Licensed Representative	1	
LIU Xiaoji	BEN198	Licensed Representative	6	2
LIU Yongqiang	BFL027	Licensed Representative		2
LIU Zheng	BEC508	Licensed Representative	9	4
LO Chi Kai. Ivan	AXH528	Licensed Representative	4, 5, 9	
LO Chun Keung, Bassanio	ADA457	•	., ., .	
<u> </u>	ADA431	Licensed Penresentative	4	
LO Jodi Natalie	BAC004	Licensed Representative	4	
	BAC094	Licensed Representative	1	
LO Jonathan Kam Pui	BAC094 BAJ109	Licensed Representative	1 9	1
	-	Licensed Representative Licensed Representative	1	1
LO Jonathan Kam Pui	BAJ109	Licensed Representative Licensed Representative	1 9	1
LO Jonathan Kam Pui LO Lee Sho	BAJ109 BNR489	Licensed Representative Licensed Representative Licensed Representative	1 9 9	1
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo	BAJ109 BNR489 AWA647	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 9 9	2 1, 2, 7
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon	BAJ109 BNR489 AWA647 BJV851 BAC299	Licensed Representative	9 9 9	
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561	Licensed Representative	9 9 9 9	1, 2, 7
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939	Licensed Representative	9 9 9 9 1, 2, 4	
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613	Licensed Representative	9 9 9 9 1, 2, 4 2, 4, 5	9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376	Licensed Representative	9 9 9 9 1, 2, 4 2, 4, 5	1, 2, 7
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613	Licensed Representative	9 9 9 9 1, 2, 4 2, 4, 5 5	9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376	Licensed Representative	9 9 9 9 1, 2, 4 2, 4, 5	9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589	Licensed Representative	9 9 9 9 1, 2, 4 2, 4, 5 5	9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653	Licensed Representative	9 9 9 9 1, 2, 4 2, 4, 5 5 4	1, 2, 7 9 1, 3
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822	Licensed Representative	1 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9	1, 2, 7 9 1, 3
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644	Licensed Representative	1 9 9 9 9 9 1, 2, 4 5 5 4 9 9 4 1 1	1, 2, 7 9 1, 3 2
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330	Licensed Representative	1 9 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9 4 1 1 6 6	1, 2, 7 9 1, 3
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302	Licensed Representative	1 9 9 9 9 9 1, 2, 4 5 5 4 9 9 4 1 1	1, 2, 7 9 1, 3 2 2 1, 4 4, 9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJZ738	Licensed Representative	1 9 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9 4 1 1 6 6	1, 2, 7 9 1, 3 2 1, 4 4, 9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302	Licensed Representative	1 9 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9 4 1 1 6 6	1, 2, 7 9 1, 3 2 2 1, 4 4, 9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJZ738	Licensed Representative	1 9 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9 4 1 1 6 6	1, 2, 7 9 1, 3 2 1, 4 4, 9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli MAK Cheuk Hong	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJG302 BJZ738 AUZ340	Licensed Representative	1 9 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9 4 1 1 6 6 9 9	1, 2, 7 9 1, 3 2 1, 4 4, 9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli MAK Cheuk Hong MAN Chun Wai	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJZ738 AUZ340 BEX763	Licensed Representative	1 9 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9 4 1 1 6 6 9 9	1, 2, 7 9 1, 3 2 1, 4 4, 9
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli MAK Cheuk Hong MAN Chun Wai MIU Hon Kit MUI Chun Wai	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJZ738 AUZ340 BEX763 AFB221 BGD406	Licensed Representative	1 1 9 9 9 9 9 9 1, 2, 4 2, 4, 5 5 5 4 9 9 4 1 1 6 6 9 9 5 5 1	1, 2, 7 9 1, 3 2 1, 4 4, 9 6 2 1
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli MAK Cheuk Hong MIU Hon Kit MUI Chun Wai NG Chi Wai	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJZ738 AUZ340 BEX763 AFB221 BGD406 ATY004	Licensed Representative	1 9 9 9 9 9 1, 2, 4 2, 4, 5 5 4 9 4 1 1 6 6 9 9	1, 2, 7 9 1, 3 2 1, 4 4, 9 6 2 1
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli MAK Cheuk Hong MIU Hon Kit MUI Chun Wai NG Chi Wai NG Chung	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJZ738 AUZ340 BEX763 AFB221 BGD406 ATY004 BEW671	Licensed Representative	1 1 9 9 9 9 9 9 1, 2, 4 2, 4, 5 5 5 4 9 9 4 1 1 6 6 9 9 5 5 1	1, 2, 7 9 1, 3 2 1, 4 4, 9 6 2 1 4
LO Jonathan Kam Pui LO Lee Sho LO Long Pak Rambo LO Si Hon LOH Ying Ning, Alexandra LONGCROFT Nicholas Edward Stoddart LOO Chi Hang LU Boyi LU Jiahong LU Zhuohui LUI Kit Man, Peter LUK Wai Tat LUM Shing Hin Wilbert LUO John Zhan LUO Mi MA Junyi MA Xiaoli MAK Cheuk Hong MIU Hon Kit MUI Chun Wai NG Chi Wai	BAJ109 BNR489 AWA647 BJV851 BAC299 AHL561 AOC939 BMM613 BID376 AHU589 AXR653 AUP822 BNS722 BFX644 BMQ330 BJG302 BJZ738 AUZ340 BEX763 AFB221 BGD406 ATY004	Licensed Representative	1 1 9 9 9 9 9 9 1, 2, 4 2, 4, 5 5 5 4 9 9 4 1 1 6 6 9 9 5 5 1	1, 2, 7 9 1, 3 2 1, 4 4, 9 6 2 1



VARIATIONS OF REGULATED ACTIVITIES OF LICEN	SED PERS	ONS/REGISTERED INSTITUTIONS DURING 01/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
NG Kam Fung, Frances	ABS929	Licensed Representative	4, 9	
NGAI Sing Lung	AQY372	Licensed Representative	4	
NGAN Chiu Kit, Hudson	AGQ983	Licensed Representative		2, 5
			2	2, 0
PAN Yongyong	BNK769	Licensed Representative	2	
PAN Zhigang	BAL160	Licensed Representative		2
PANG Wing Fai	BNT862	Licensed Representative	1, 4	
PARK Soo Jin	AUT784	Licensed Representative		9
POON Cheuk Fai	ALV009	Licensed Representative	1	
PU Cheng-Lun	AWV417	Licensed Representative		1
RATTIWAT Samson	AFW108	Licensed Representative		1, 4
RIGBY Clive Derek Conway Louis	AAD724	Licensed Representative		4
SACHDEVA Amit	BIB386	Licensed Representative		4, 9
SATJACHAIYANON Patchara	ASQ567	Licensed Representative		2
SAUNDERS Andrew Michael	BDP044	Licensed Representative		4
SERFATI Stephane David	BGA752	Licensed Representative	1, 4	
SHEK Wing Yin, Stanley	BCZ812	Licensed Representative		9
SHEN Jie	BMR376	Licensed Representative	9	
SHENG Nan	BCB794	Licensed Representative	1, 9	
SHENG Yiran	AWT319	Licensed Representative	1	
SHIH Yuen Chi	ASE819	Licensed Representative		1
SHIU Yik Hung	AEP548	Licensed Representative	2, 5	
SONG Hua	BAC319	Licensed Representative	2	
SONG Yulin			2	
	BMW915	Licensed Representative		
SPARROW Richard Aelred	AFK790	Licensed Representative	2	
SPITZER Mark Steven	AZH668	Licensed Representative	7	
STEVENSON John Arthur Liam	BGD408	Licensed Representative		4
TAM Ka Hong	BIQ325	Licensed Representative	3	
TAM Kim Fai	ACU401	Licensed Representative	4	
TANG Chun Fai, Billy	AHA892	Licensed Representative		1
TANG Kwan Nin	AZK757	Licensed Representative		4
TANG Weng Wa	ALV095	Licensed Representative		9
TIU Chi Shing	AQZ645			4, 9
		Licensed Representative		
TO Koon Hung, Andrew	AAD599	Licensed Representative		1, 4
TO Yan Yin	BJY924	Licensed Representative	4	
TONG Anqi	BJZ500	Licensed Representative	9	
TONG Hin Jo	ALA312	Licensed Representative	4, 9	
TONG Siu Cheung	AON938	Licensed Representative		4, 9
TONG Siu Ping, Katherine	AJJ670	Licensed Representative		9
TONG Tsun Yat	ATW485	Licensed Representative	9	
TSANG Ching Fai	AIO026	Licensed Representative		4
TSANG Kwok Fung	BGK261	Licensed Representative	2	1, 4
TSANG Tak Chi	ADV457	Licensed Representative	_	1
	D. II 1000			
TSANG Yu Shing	BJU695	Licensed Representative	2	
TSE Ho Ting	BGO659	Licensed Representative	2	
TSE Ka Wai, Jaff	ADR277	Licensed Representative		4
TSE Tsz Kwan	BJO498	Licensed Representative	1	
TSOI Ching Ying	BNU277	Licensed Representative	9	
VUJACIC Vojin	BHC232	Licensed Representative	2	4
WANG Chu	BAH829	Licensed Representative		6
WANG Jian	AVM361	Licensed Representative		4
WANG Lu	BID335	Licensed Representative	9	
WANG Sheng	BBA274	Licensed Representative	1	
			1	0
WANG Yuhao	BGO814	Licensed Representative	1	9
WANG Yunlong	BBX040	Licensed Representative	1	
WARTHER Stewart Jackson	BNF463	Licensed Representative		4
WAT Hin Tat	AHJ231	Licensed Representative		4
WEI Shuyong	BNF156	Licensed Representative	1	9
WEI Yangkun	BID176	Licensed Representative		4, 6
WEN Ya	BMV925	Licensed Representative	2, 5	
WONG Chi Ming	AYK887	Licensed Representative	4	1
WONG Chi Yin	BKD520	Licensed Representative		1
			1	<u>'</u>
WONG Chun Yee	AQO555	Licensed Representative	1	
WONG Chung Ho, lan	AMC785	Licensed Representative		4
WONG Foelan	ADQ592	Licensed Representative		5
WONG Kai Ho	BCY412	Licensed Representative	4	
WONG Kin Sun	BHS010	Licensed Representative		1
WONG Kin Wang	AYD861	Licensed Representative	4	
WONG Pak Ka, Mary	BAB535	Licensed Representative	4, 9	
WONG Wai Kin	BIC832	Licensed Representative	4	1
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VARIATIONS OF REGULATED ACTIVITIES OF LICEN	1			
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Wai San	AHP442	Licensed Representative	4, 9	
WONG Yim Keung	AJE545	Licensed Representative	9	
WOO Peter	ACY383	Licensed Representative		4
WU Chui Ni	BGM264	Licensed Representative	4	9
WU Jeremy On Joon	AOZ049	Licensed Representative	1	
-	†			4
WU Tingli	BIH711	Licensed Representative		
XIN Hai	AMC830	Licensed Representative		2
XING Cheng	AVO987	Licensed Representative	9	
XU Aijia	BNE886	Licensed Representative	4	
XU Tianjie	AYO003	Licensed Representative	6	
YANG Anqi	BAC523	Licensed Representative	1	
YANG He	BIX772	Licensed Representative	2	
YANG Jie	BBU726	Licensed Representative	2	
YANG Zhangyi	BKU681	Licensed Representative	4, 9	
YAU Tak Lung, Simon	AQS425	Licensed Representative	4, 9	
YAU Tung Shing	BHP483	Licensed Representative		1
YE Junrui	BNU268	Licensed Representative	9	
YEAP Amy Jane	BGC766	Licensed Representative	9	2, 5
	-			2, 3
YEUNG HEY WAI	BJG207	Licensed Representative	6	4
YEUNG Hiu Tung	BNV186	Licensed Representative	2	
YEUNG On Lit	AQE939	Licensed Representative	9	
YEUNG Pui Yan	AVE023	Licensed Representative	1, 4	
YIP Chun Yu	AIC755	Licensed Representative	2	
YIP Kai Sun, Sunny	AHK750	Licensed Representative		1
YIP Sing	BAQ406	Licensed Representative		1
YIU Ka Hei	BBN043	Licensed Representative	2, 9	
YOU Hangian	BNO515	Licensed Representative	1, 4	9
YU Ching Yat	ABF946	Licensed Representative	9	
YU Lok Man	BIT260	Licensed Representative	1	
YU Pui Man, Sharon	BFD429			1, 4
	†	Licensed Representative	4.0	1, 4
YU Xiaoxia	BLH691	Licensed Representative	4, 9	
YU Yang	BGB011	Licensed Representative	9	
YU Yefei	BMS680	Licensed Representative	4, 9	6
YUAN Aaron	BEH954	Licensed Representative	9	
YUAN Li Yi	BGP109	Licensed Representative	4, 9	
YUAN Yongyi	BMZ419	Licensed Representative	2	
YUE Zhihao	BGG949	Licensed Representative		1, 4
ZHAI Baoying	BEZ700	Licensed Representative		1
ZHANG Chao	BAK268	Licensed Representative		9
ZHANG Junhui	BFA350	Licensed Representative	2	
ZHANG Lin	BAX948	Licensed Representative	2, 4	
ZHANG Menghan	AYQ805	Licensed Representative	4	
ZHANG Qiandai	BEV721	Licensed Representative		6
		'		
ZHANG Qingyan	BNG961	Licensed Representative	6	4
ZHANG Shu	BFR417	Licensed Representative		4
ZHANG Tianyu	BLM854	Licensed Representative		1, 6
ZHANG Xinming	BID694	Licensed Representative	9	
ZHANG Yu	AQS656	Licensed Representative	9	2
ZHAO Aonan	BMW483	Licensed Representative	3	
ZHAO Yifan	BNG821	Licensed Representative	3	
ZHAO Yiqing	ARR939	Licensed Representative	4	
ZHEN Wei	AWQ264	Licensed Representative		6
ZHONG Xuqi	AVM870	Licensed Representative		1
ZHOU Brooke Bingru	AVM929	Licensed Representative	9	
ZHOU Yang	BNJ698	Licensed Representative	3	
	 			1
ZHU Jinghong	BNQ535	Licensed Representative	4.0	
ZHU Yahua	BGN043	Licensed Representative	4, 9	6
ZHU Yuxiu	BLF263	Licensed Representative	4, 9	
ANANTHARAM Vishweshwar	ATM017	Licensed Representative - Responsible Officer	4, 5	
AU Kin Man	ABF928	Licensed Representative - Responsible Officer	4	
CAMPBELL Lachlan Gordon	ADU213	Licensed Representative - Responsible Officer		4
CHAN Kam Yau	AAA506	Licensed Representative - Responsible Officer	4	
CHAN Kei Shu	AOC991	Licensed Representative - Responsible Officer	9	
CHAN Kwing	BGE914	Licensed Representative - Responsible Officer	4	
CHAN Leong Sek, Freddy	ADW083	Licensed Representative - Responsible Officer	1, 9	
CHAN Ling Wah, Edward	AHR464	Licensed Representative - Responsible Officer	4, 9	
CHAN Wah Man Carman	AQD276	Licensed Representative - Responsible Officer	1	
CHENG Kwan Lun	AJD750	Licensed Representative - Responsible Officer	4	
			9	
CHEUNG Chun Ying, Victor	ADS697	Licensed Representative - Responsible Officer	اع	



	SED PERSONS/REGISTERED INSTITUTIONS DURING 01/2019	1	1
CE Name	CE No. Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEUNG Lai Him	AVI824 Licensed Representative - Responsible Officer	4, 9	
CHUNG Hiu Mei	BGA487 Licensed Representative - Responsible Officer	4	
DING Zhisuo	BLJ424 Licensed Representative - Responsible Officer	9	
FENG Dafu	BFN926 Licensed Representative - Responsible Officer	1	
FRIEDLANDER Michael Arthur	ARJ342 Licensed Representative - Responsible Officer	4, 5	
FU Ka Ho	AOT860 Licensed Representative - Responsible Officer	4	
HAU Chun Wai, William	ACX033 Licensed Representative - Responsible Officer	4, 5	
HONG Kelvin	ASX329 Licensed Representative - Responsible Officer	4, 9	
HU Shengsui	BFX964 Licensed Representative - Responsible Officer	1	
HUANG Haoyi	BER550 Licensed Representative - Responsible Officer	4	
HUANG Jinguang	AYH626 Licensed Representative - Responsible Officer		6
KERRIGAN Daniel Burke	BIZ540 Licensed Representative - Responsible Officer	4	
LAM Chun Ming, Gordon	BHK877 Licensed Representative - Responsible Officer	9	
LAM Wing Hi	AJK480 Licensed Representative - Responsible Officer	4, 9	
LAU Nam Fung, Henry	AUW417 Licensed Representative - Responsible Officer	5	
LAW Chi Cheong, Franco	AAZ238 Licensed Representative - Responsible Officer	4	
LEE Hoi Ying	AOV787 Licensed Representative - Responsible Officer	9	
LEE Kin Ping, Christophe	ADV609 Licensed Representative - Responsible Officer	4	
LEUNG Chun Tung	APR857 Licensed Representative - Responsible Officer	4, 9	
LI Bo	AMN738 Licensed Representative - Responsible Officer	1, 5	
LI Cho Kwong	AEU474 Licensed Representative - Responsible Officer	1	
LI Chun Kei	AKJ732 Licensed Representative - Responsible Officer	4	
LI Jie	BHK605 Licensed Representative - Responsible Officer	4	
LING Maximilian Shui Hung	BGA793 Licensed Representative - Responsible Officer	4	
LO Wan Wai, Isaac	AGR427 Licensed Representative - Responsible Officer	1	
LOCK Kwok Piu	AFX265 Licensed Representative - Responsible Officer	4	
LU Jiahua	AFT829 Licensed Representative - Responsible Officer	4	
MAK Ka Ka	ANP548 Licensed Representative - Responsible Officer	4	
PARK Sang	ALR978 Licensed Representative - Responsible Officer	4	
PENG David Hoantee	AET830 Licensed Representative - Responsible Officer	2	
RAJPAL Samir	BKR766 Licensed Representative - Responsible Officer		4
REZNIK Alexander	BMM127 Licensed Representative - Responsible Officer	9	
SHIU Yik Hung	AEP548 Licensed Representative - Responsible Officer	4	
SIT Kar Kin, Kenneth	ADR744 Licensed Representative - Responsible Officer	4	
TAM Chun Wing Ray	BNJ187 Licensed Representative - Responsible Officer	1, 4	
TANG Kai Chung	AQA983 Licensed Representative - Responsible Officer	5	
TEY Eng Chee, Thomas	ABN323 Licensed Representative - Responsible Officer	9	
TSANG WONG Yuen Kee, Yvonne	AEZ573 Licensed Representative - Responsible Officer	1	
TSE Sheung Lai, Sunny	APB109 Licensed Representative - Responsible Officer	2, 5, 9	
TSUI Cheuk Chi	ARV914 Licensed Representative - Responsible Officer	1	
TYE Lee Pang	BKR771 Licensed Representative - Responsible Officer		4
VERDON Craig David Christopher	AEY594 Licensed Representative - Responsible Officer	4, 5	-
WAN Kai Leung, Paul	ACI925 Licensed Representative - Responsible Officer	1, 3	
WAN Kwok Fung	AEG678 Licensed Representative - Responsible Officer	1, 2	
WAN Ngar Yin, David	ABH754 Licensed Representative - Responsible Officer	4, 9	
WANG Yunlong	BBX040 Licensed Representative - Responsible Officer	4, 5	5
	· ·	1, 4	3
WONG Chin Hung, Cyrus WONG Hoi Yan		1, 4	1 4
	APO633 Licensed Representative - Responsible Officer	2.0	1, 4
WONG Weng Fai	ACA941 Licensed Representative - Responsible Officer	9	
WOO Tracy	ACZ396 Licensed Representative - Responsible Officer	3	1 4
WU Yingmin	BMS557 Licensed Representative - Responsible Officer	4	1, 4
YIP Yuen Man, Letitia	AIY988 Licensed Representative - Responsible Officer	4	
YU Weijiang	AWW324 Licensed Representative - Responsible Officer	4, 6	
YU Yang	BGB011 Licensed Representative - Responsible Officer	4	
ZHANG Yidong	BIS749 Licensed Representative - Responsible Officer	1	
TAN Yujun	BKU720 Licensed Representative (Provisional Licence)	9	
YEUNG On Lit	AQE939 Licensed Representative (Provisional Licence)	9	9