

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CMB International Asset Management Limited	AVA101	Licensed Corporation	1	
CMSC Partners Limited	BMW996	Licensed Corporation	4	
Liquid Capital Markets Hong Kong Limited	ATL816	Licensed Corporation	1	
Pamirs Capital (H.K.) Limited	AVH546	Licensed Corporation		1
Smart Wise Advisors Limited	BJN942	Licensed Corporation	4, 5	
Summer Capital Management Company Limited	BIE095	Licensed Corporation	1	
Swiss China Capital Limited	AXI538	Licensed Corporation		1
Vanguard Investments Hong Kong Limited	AYT820	Licensed Corporation		6
ZQ Capital Hong Kong Limited	BMN683	Licensed Corporation	1, 6	
ARAYANIMITSKUL Janyawan	BDF627	Licensed Representative		1, 4
ATAMER Murat	APF056	Licensed Representative	7	
AU Chi Yuen	AFT225	Licensed Representative		3, 4
AU Kwan Lok	AMM284	Licensed Representative		1, 4
AVENEL Gerald Marie Jean Alain	BKO053	Licensed Representative	4	
BAI Jie	BGB222	Licensed Representative		4
BHARWANI Puja Ramesh	AXS260	Licensed Representative	2	
BHURTAH Joyday	BGA484	Licensed Representative	2	
CAI Zheng	BIH036	Licensed Representative		4
CHAK Shu Yan	AQU144	Licensed Representative		4
CHAN Chi Keung	ATL552	Licensed Representative	4, 9	
CHAN Chi Yin, Stefanie	AEY353	Licensed Representative		1, 4, 6
CHAN Ching Shuen	AYQ278	Licensed Representative	4	
CHAN Chit Hong	BCK498	Licensed Representative	4, 9	
CHAN Ka Ho	BLY764	Licensed Representative	9	
CHAN Ka Kui	BBR128	Licensed Representative	4	2
CHAN Kei Yuen	AXR296	Licensed Representative	1	
CHAN King Lok	AQO310	Licensed Representative		2
CHAN Kit Wai	AFU271	Licensed Representative	4, 5	3
CHAN Kit Yee, Kitty	AAB440	Licensed Representative	4	
CHAN Kon Kau	AD1164	Licensed Representative	9	
CHAN Lai Ming	AOD290	Licensed Representative	2	
CHAN Leong Hei, Christian	AAZ155	Licensed Representative		4, 5
CHAN Lok Him	BDF100	Licensed Representative		4
CHAN Man Sze	AZZ250	Licensed Representative		2, 4
CHAN Siu Fei	ANN259	Licensed Representative	4, 9	
CHAN Sung	AQP673	Licensed Representative		2, 4
CHAN Tak Hong	BHX406	Licensed Representative	4, 9	
CHAN Wan Yin Ada	BOB271	Licensed Representative	9	
CHAN Yan Yu Jessica	BMX472	Licensed Representative	6	4, 9
CHAN Yee Pui	ABK900	Licensed Representative		4
CHAN Ying Kit	BLC894	Licensed Representative	4	
CHAN Ying Kuk, Joyce	AVL275	Licensed Representative		2
CHANG Chao An	BNU440	Licensed Representative	1	
CHE Haonan	BCC411	Licensed Representative	1	
CHEN Ming Yu	BLT686	Licensed Representative	2	
CHEN Shih Hao	BLR289	Licensed Representative	2, 4	
CHEN Te-yi	BCD191	Licensed Representative		2
CHEN Ting	BMV114	Licensed Representative	9	
CHEN Xing	BLX185	Licensed Representative		1
CHEN Yi-Ru	APF744	Licensed Representative	2	
CHEUNG Ka Wa	ABS482	Licensed Representative		1
CHEUK Hoi Ki	BJQ675	Licensed Representative	6	2
CHEUNG Borwin	BDR102	Licensed Representative	1	9
CHEUNG Cham Lun	AZF644	Licensed Representative	4	
CHEUNG Chun Fai	AQD310	Licensed Representative	4, 9	
CHEUNG Chun Yin	BKP484	Licensed Representative	2	
CHEUNG Ho Chuen	BHR928	Licensed Representative		1
CHEUNG Hoi Li	BNU993	Licensed Representative	4	
CHEUNG Ka Hin	ARK363	Licensed Representative	9	1
CHEUNG Tomo-hito	AUS139	Licensed Representative		2, 5

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHIA Su Ying	BGY189	Licensed Representative	1	2, 5
CHIN Julius Jun	BAO582	Licensed Representative	4, 9	
CHIN To	ARS572	Licensed Representative	9	
CHIU Chun Lung	BHX544	Licensed Representative	9	
CHIU Ding Chung	BLT159	Licensed Representative	9	1, 4
CHIU Sui Ling	BNV803	Licensed Representative	4	
CHO Wen Jie, Jack	ANW202	Licensed Representative	1	
CHONG Gan	ABU567	Licensed Representative		1
CHONG Hei Tung	BJD910	Licensed Representative	1	
CHOW Shuk Yi	ADI987	Licensed Representative		1, 4
CHU Suet Ni, Sheny	BBB062	Licensed Representative	2, 4	
CHUA Hei Tung	BEO227	Licensed Representative		9
CHUANG Chang-Yu	BHE050	Licensed Representative	9	
CHUNG Byeong Hun	BHU288	Licensed Representative	9	
CHUNG Eva Ting Yan	AVH199	Licensed Representative		4, 9
CHUNG Kwong San	APW909	Licensed Representative	4, 9	
CIN Chang Sik	AHM834	Licensed Representative	4	
COURT Alain	BMV156	Licensed Representative	9	
DAI Zhiqiang	ALZ276	Licensed Representative		4
DANG Xuyang	BHY253	Licensed Representative	5	1
DENG Jun	BKZ667	Licensed Representative	1	
DIAO Zhihai	BGU213	Licensed Representative	9	
DOSHI Nirav Niranjan	BKO057	Licensed Representative	4	
DUAN Jie	BNV485	Licensed Representative	9	
ENGELHARDT Thaddaeus	BNN888	Licensed Representative	2, 7	
FAN Bik Wah	AJN300	Licensed Representative		1
FAN Cheuk Yin	BOF139	Licensed Representative	1	
FAN Man Chun	ANK913	Licensed Representative		4
FANG Pei Wei	AGB884	Licensed Representative		4, 5
FENG Hao	BKH221	Licensed Representative	5	
FRIEDLANDER Michael Arthur	ARJ342	Licensed Representative		5
FUNG Sai Kit	AZB725	Licensed Representative	9	
FUNG Yi	AXU916	Licensed Representative		1, 4
GIEFING Sean Gerrard	BDV262	Licensed Representative		4
GIUDICE Matteo	BID328	Licensed Representative		4
GOMA Joseph Auger	BKC778	Licensed Representative	2	
GOURMELEN Arnaud	AKX538	Licensed Representative	1, 2	9
GRIFFITH Travis Lee	AOY752	Licensed Representative	2	
GRIMALDI Edouard Jean Maurice	AXC795	Licensed Representative	1	
GU Hao	BOB524	Licensed Representative	1	
GUAN Lei	BGM931	Licensed Representative	1, 2, 4	
GUO Ruogu	BHL025	Licensed Representative		4, 6
HAK Natalie Cheuk Man	BHZ698	Licensed Representative	2	
HAN Chia Lin	AGG699	Licensed Representative	9	6
HATANAKA Asako	AYU643	Licensed Representative		9
HE Li	AUL729	Licensed Representative	4, 9	
HE Mei	AVJ148	Licensed Representative	9	1, 4
HEUNG Chun Kit	AUY929	Licensed Representative		9
HEUNG Wai Lun	BIP966	Licensed Representative	4	
HO Eugene	AMH070	Licensed Representative		1, 2, 4
HO Kun Lin	BGC212	Licensed Representative	1	
HO Wing Pong	AOJ711	Licensed Representative		1, 2
HO Yuen Pang, Jason	BGX440	Licensed Representative	2	
HOLDENER Steve	BHH536	Licensed Representative	1	
HONDA Nobuyuki	AEK875	Licensed Representative	2	
HOU Xiaoyang	ANK463	Licensed Representative	1	
HSU Wei-Chi	AVL882	Licensed Representative	1	9
HU Jianfeng	BBZ806	Licensed Representative	2, 5	
HU Lizhou	ARI970	Licensed Representative	4, 9	10
HU Shutong	BNY725	Licensed Representative		6

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUANG Danqing	BBV877	Licensed Representative	1	9
HUANG Dawei	AUV855	Licensed Representative		4
HUANG Ling Kwan	AUQ372	Licensed Representative	5	1
HUANGFU Yaoyao	BDH322	Licensed Representative		5
HUI Jie	BIJ550	Licensed Representative	6	
HUI Sai Tsun, Peter	AHU751	Licensed Representative		1
HUI Yik	AFT734	Licensed Representative		2
HUI Yu Chung, Sam	BN1743	Licensed Representative	1	
HUNG Chun Kit	BCU325	Licensed Representative	1, 2, 4, 5	
HUXLEY Richard Stuart	BIR819	Licensed Representative		2
IM Kai Chuen, Stephen	APC198	Licensed Representative	4	
IP Ka Fai	BNB163	Licensed Representative	1	
IP Tsz King	BFL255	Licensed Representative	9	6
JI Pingzi	AXV098	Licensed Representative	1, 2, 5	9
KIM Sunghun	BJR042	Licensed Representative	9	
KONDO Takeshi	BMT575	Licensed Representative	2	
KONG Yi Lan	BNF333	Licensed Representative		9
KOTWAL Rahul	BNO319	Licensed Representative		1, 4
KUAN Yu Hao	BOA464	Licensed Representative		2, 4
KUNG Yu Hang	AME200	Licensed Representative	4, 9	1, 2
KWAN Kit Yee	BAM547	Licensed Representative	1	4
KWOK Hang Kin	BHZ244	Licensed Representative	4	
KWOK Wang Hei	BGN049	Licensed Representative	1	
LAI Ka Wai Gavin	BHS916	Licensed Representative	2	
LAI Man Ling	AWX953	Licensed Representative	10	4
LAI Wai Ki	BBD162	Licensed Representative		2
LAI Wing Nga	BNV512	Licensed Representative	2	
LAM Ching Yan, Lydia	BFY292	Licensed Representative	9	
LAM Chun Yuk	ARR348	Licensed Representative		2
LANG Yuanlin	AUZ665	Licensed Representative	4	
LAU Chun Wai	AFK569	Licensed Representative	1	
LAU Francis David Zesheng	BJM256	Licensed Representative	4, 9	
LAU Tsz Wa	BMO692	Licensed Representative	4	
LAU Yu	BOG313	Licensed Representative	9	
LAURIN Julian Gregory	BFH225	Licensed Representative		1
LAW Lai Yee	ABS411	Licensed Representative		2
LAW Wai Ming	BJ431	Licensed Representative	4	
LAW Wei Kee, Philip	ATT955	Licensed Representative		1, 2
LEE Ho Pan	BKJ169	Licensed Representative		2
LEE Hoi Ying	AOV787	Licensed Representative		4, 9
LEE Jason Kwan Ho	AWW455	Licensed Representative		2, 4, 9
LEE Jing Wan, Patrick	ANW191	Licensed Representative		4
LEE Kwok Fung	AMF908	Licensed Representative		2
LEE Man Yi, Jessica	AIU268	Licensed Representative	9	
LEE Sai Leung, Dennis	AMF898	Licensed Representative	2, 5	
LEE Shue Chiu	ALF636	Licensed Representative	4	
LEE Siu Hung	ADH590	Licensed Representative		2
LEUNG Chi Kong	AOU242	Licensed Representative		2
LEUNG Ho Cheong	BGR092	Licensed Representative	9	
LEUNG Ho Juen	BLL871	Licensed Representative	1	
LEUNG Po Chu	AJ1676	Licensed Representative		4
LEUNG Siu Yung	ARA500	Licensed Representative	4	
LEUNG Wai Kei	ANQ085	Licensed Representative	4, 9	
LI Chenwenting	BNF428	Licensed Representative	6	
LI Chi Hong	AMJ927	Licensed Representative	4	
LI Chi Wai	ALY313	Licensed Representative		1, 4
LI Jia	BOG077	Licensed Representative	4	
LI Kwai	ACR634	Licensed Representative	4	
LI Ruo Yu	BNO559	Licensed Representative	1, 2	4, 9
LI Shuk Wa, Vivian	ANY054	Licensed Representative		1, 4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Yihan	BOC772	Licensed Representative	9	
LI Yucai	BJP792	Licensed Representative		1
LI Zhengwen	BJY487	Licensed Representative	9	
LIANG Heng	BLJ233	Licensed Representative	6	
LIANG Jianhong	AYS243	Licensed Representative		1
LIANG Ruizhi	BHZ469	Licensed Representative	1, 2	4, 9
LIN Huijuan	BNM899	Licensed Representative	1	4
LIN Long	BIC892	Licensed Representative	2	
LIU Chak Ming	ARI016	Licensed Representative	9	1
LIU Jing	BNO984	Licensed Representative		4
LIU Jingting	BHH897	Licensed Representative	4	
LO Cheuk Yee, Helen	ANA705	Licensed Representative		2, 9
LO Kin Wa	BLH322	Licensed Representative	1	
LO Shuk Sui	BKM815	Licensed Representative		2
LOH Qiuli	BIO294	Licensed Representative	2	
LOU Yuhan	BM1892	Licensed Representative	4	
LU Yan	ANR968	Licensed Representative	1	
LU Yiyun	BDX489	Licensed Representative		1, 4
LU Yuan	BEZ159	Licensed Representative	9	1
LUI Edward Ho Kong	AOV379	Licensed Representative		1, 9
LUK Ka Chi	BIT796	Licensed Representative	9	
MA Jiaao	BOK159	Licensed Representative	1	
MA Yan Ying	AZD329	Licensed Representative	1	
METHAJARUNON Kasidit	BEZ233	Licensed Representative		4, 5
MOK Ho Nam	BLK652	Licensed Representative		1
MOK Kam Leung	AAJ840	Licensed Representative	4	
MU Xin	BFM721	Licensed Representative	9	
NEAVE Lionel Digby Sheffield	ASD187	Licensed Representative		4
NG Eng Beng, Gary	AWE924	Licensed Representative	4	
NG Wai Kit	AVT524	Licensed Representative	9	
NG Wing Yu	BNP600	Licensed Representative	9	10
NG Yu Chung	BBE398	Licensed Representative	4	
ONG Chin Aisling	AYE538	Licensed Representative	9	1, 4
OWEN Ruth	BEZ703	Licensed Representative	4, 9	
PANG Chi Wing, Victor	AKL738	Licensed Representative		4
PANG Kai Fung	BIT662	Licensed Representative		1, 7
PANGALI Pawandeeep	AVU841	Licensed Representative	2	
POON Yun Keung	ABS049	Licensed Representative		2
PU Xiaoxi	BJG185	Licensed Representative		4
QIAN Manman	BIO290	Licensed Representative	6	
QIN Ai Hua	AWS555	Licensed Representative		4
QIN Hao	BID193	Licensed Representative		2
QIU Xin	BNX424	Licensed Representative		1
REGISTRAR Tarit	BLT536	Licensed Representative	7	
RUMBLE Lawrence Nicholas	BCV893	Licensed Representative	9	
RYU Tae-Ho	AVD978	Licensed Representative	2	
SAN Wan Yan	BNU615	Licensed Representative		1, 2
SEOK Seung Wook	AWU650	Licensed Representative	9	
SHAN Yi	BGW834	Licensed Representative	4	
SHEN Chengjie	AVQ799	Licensed Representative		4
SHI Lei	BIE889	Licensed Representative	9	1
SHUNG Kwok Yin	AFF211	Licensed Representative	2	
SIT Kwok Ping	ASO836	Licensed Representative		5
SO Wai Ling	BMW393	Licensed Representative		2
SO Wai Pui, Herbert	BJB543	Licensed Representative		4
SONG Jin	BOH029	Licensed Representative	6	
STUDHOLME-WILSON Nicholas Claude	APF339	Licensed Representative		4
SUEN Tin Yan	AXR986	Licensed Representative	4, 9	
SUN Boqian	BMP491	Licensed Representative	1	
SUN Yu Feng	ALG408	Licensed Representative	1, 2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SZE Wing Ka Sherryn	BNC276	Licensed Representative	4, 9	1
SZE Yau Nam	BNY673	Licensed Representative	9	
TAM Ka Ho	BCC092	Licensed Representative		9
TAM Ka Hong	BIQ325	Licensed Representative		3, 5
TAM Kim Fai	ACU401	Licensed Representative		2, 4, 9
TAM Kok Ching	BCH568	Licensed Representative		4
TAN Richard Boon Leong	AOD033	Licensed Representative	1, 9	
TAN Rowan Jin Sen	BNJ152	Licensed Representative		1, 6
TANG Chi Kwong	BGP108	Licensed Representative		1
TANG Hin Lun	BOD386	Licensed Representative	2	
TANG Tsz Yan Janice	AT1680	Licensed Representative		4
TIEU Minh Hai	BNF594	Licensed Representative		6
TONG Xuanzi	BGR100	Licensed Representative	4	6
TSANG Kit Chee	AQP582	Licensed Representative		2, 3
TSANG Kwong Yat	BOC394	Licensed Representative		5
TSE Chung Hei Kevin	BFH723	Licensed Representative	1	
TSE King Fai, Philip	AGG695	Licensed Representative	4	
TSE Wai	BGK765	Licensed Representative	1	
TSO Lan Fong	ACO239	Licensed Representative		2
TSUI Lok Hei	BCZ605	Licensed Representative	4	
TSUI Yuk Ming	BES677	Licensed Representative		3
UEMURA Tai	BOH784	Licensed Representative	2	
WADE Simon James	ASE248	Licensed Representative	2, 4, 5	
WAKIZAKI Hiroshi	BBQ443	Licensed Representative		4
WANG Dingxin	BMV713	Licensed Representative		3
WANG Kenneth Chuan	AHU775	Licensed Representative	1, 4	9
WANG Likai	BMQ801	Licensed Representative	4	
WANG Lili	BMQ113	Licensed Representative	9	
WANG Mei-Ling	BKJ032	Licensed Representative		4
WANG Mengting	AWJ513	Licensed Representative	4	
WANG Ni	BAV555	Licensed Representative		1
WANG Yumeng	BKI610	Licensed Representative	4, 9	
WANG Zhongyu	BNC995	Licensed Representative	1, 4	
WAT Tsun Tao	BNO906	Licensed Representative		1, 3
WATKINS Junjie	AQS396	Licensed Representative	4	
WENG Hongbo	BMP537	Licensed Representative	4, 9	6
WINTER Stephen John	BMV404	Licensed Representative	9	
WONG Chak Sang	AYM415	Licensed Representative	1	
WONG Chi Leong	BOH572	Licensed Representative		3
WONG Chi Lok	BEK843	Licensed Representative	9	
WONG Choi Mei	ABT161	Licensed Representative		2
WONG Chun Yu	BGC479	Licensed Representative	4, 9	2
WONG Ka Mei	AVV109	Licensed Representative		2
WONG Kai Yu	BFM952	Licensed Representative	1	
WONG Kam Ming	AAF221	Licensed Representative		4, 5
WONG Ling Chi	BIP062	Licensed Representative	9	
WONG Pak Kan	AXK066	Licensed Representative	1	
WONG Richard	AFQ365	Licensed Representative	1, 6	9
WONG Tung Yuen	BHD388	Licensed Representative		6
WU Alan	AFH037	Licensed Representative		4
WU Chun Nam Dennis	BDA598	Licensed Representative		2, 5
WU Danchen	BNC422	Licensed Representative	6	
WU Huatao	BMV486	Licensed Representative		1
WU Tsung Hsien	BHP400	Licensed Representative	9	2
XI Zheyu	AXQ888	Licensed Representative	1	9
XIANG Difei	BHA450	Licensed Representative	1, 4	
XIANG Jingwen	BEJ869	Licensed Representative	1	
YAN Yiran	BGD658	Licensed Representative		2, 4
YANG Bin	BHF720	Licensed Representative	9	1
YANG Xin	BLP894	Licensed Representative	4, 5, 9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YANG Zhidao	BMF166	Licensed Representative	9	
YAO Xiaoting	ASE038	Licensed Representative	1, 4	
YAU Chi Man, Benjamin	ATL435	Licensed Representative	10	1, 6
YAU Ka Wing	ASL909	Licensed Representative	2	
YEUNG Chi Hang	AOK041	Licensed Representative	4, 9	
YEUNG Chun Pui	BJE036	Licensed Representative	2, 7	
YEUNG Kwun Po	AVW215	Licensed Representative	9	
YEUNG Ming Lung	BOI435	Licensed Representative		3
YIP Pak Kin Alan	APP201	Licensed Representative		9
YIP Shi Kit	BGY948	Licensed Representative	1	
YU Chun Kuei	ARP310	Licensed Representative	4	
YU Pak Lun	BBL881	Licensed Representative	3	
YU Ya Pin	BJJ522	Licensed Representative	9	2, 5
YUAN Siqing	BL1747	Licensed Representative		2
YUE Xiaowei	AVZ069	Licensed Representative	1	
YUEN Ka Yat	ALA314	Licensed Representative		9
YUEN Ronald	BOE223	Licensed Representative	1	
YUNG Yee Mei	BKA653	Licensed Representative	4, 9	
ZHAI Xiaojun	BAA087	Licensed Representative	1, 2	6
ZHANG Chao	BAK268	Licensed Representative	1, 9	
ZHANG Guopeng	BCT507	Licensed Representative		2
ZHANG Jiahui	BGQ558	Licensed Representative	4	
ZHANG Keming	BOL768	Licensed Representative	9	
ZHANG Qinrui	AXQ582	Licensed Representative		1
ZHANG Wenjun	AVR821	Licensed Representative		2
ZHANG Yang	BNC049	Licensed Representative	9	
ZHANG Yi	BGX406	Licensed Representative	4	
ZHANG Zhuowei	BJF190	Licensed Representative	4	
ZHAO Liang	BGH804	Licensed Representative	1	
ZHAO Yang	BLK372	Licensed Representative		1
ZHENG Lily	AWJ166	Licensed Representative	9	
ZHONG Hao	BDB919	Licensed Representative		5
ZHOU Geng	BKZ925	Licensed Representative		1
ZHOU Mingda	BND394	Licensed Representative	4, 6	
ZHOU Zhiyang	BCC827	Licensed Representative		1, 4
AU Kwan Lok	AMM284	Licensed Representative - Responsible Officer	2	
BAVEREZ David Laurent	BDM562	Licensed Representative - Responsible Officer	4	
CHAN Chi Yin, Stefanie	AEY353	Licensed Representative - Responsible Officer	9	
CHAN Foet Sen, Tjoeti	ATY071	Licensed Representative - Responsible Officer	4, 5	
CHAN Hung Ling	AAG115	Licensed Representative - Responsible Officer	9	
CHEN Hao	ATB830	Licensed Representative - Responsible Officer	2	
CHENG Ka Wa	ABS482	Licensed Representative - Responsible Officer	9	
CHENG Ngai Chung	ALZ081	Licensed Representative - Responsible Officer	2	
CHEUNG Cheung, Peter	BAA225	Licensed Representative - Responsible Officer	7	
CHEUNG Tomo-hito	AUS139	Licensed Representative - Responsible Officer	1	
CHOI Bo Sung	AWK857	Licensed Representative - Responsible Officer	6, 9	
CHUNG Wai Shing	AMF222	Licensed Representative - Responsible Officer	1	
CLEARY Stuart Alexander	BBZ345	Licensed Representative - Responsible Officer	1	
FONG Man Kei	AXJ861	Licensed Representative - Responsible Officer	4	
FUNG Ka Lok, Adams	AHN337	Licensed Representative - Responsible Officer	1	
HO Raymond Ka Wing	AFY752	Licensed Representative - Responsible Officer	4	
HO Wing Pong	AOJ711	Licensed Representative - Responsible Officer	4	
HU Chao	BGX227	Licensed Representative - Responsible Officer	4	
JI Qingyu	ASD333	Licensed Representative - Responsible Officer		1, 4, 9
KOTWAL Rahul	BNO319	Licensed Representative - Responsible Officer	9	
KRETZ Laurent Bernard	AXI540	Licensed Representative - Responsible Officer		1
KRETZ Philippe Olivier	AXI541	Licensed Representative - Responsible Officer		1
KUNG Wai Ting	AOQ511	Licensed Representative - Responsible Officer	1, 4	
LAI Tsung-Yu	BLL631	Licensed Representative - Responsible Officer		1
LAI Wai Chung, Vincent	AUB940	Licensed Representative - Responsible Officer	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LANE Graeme John	AOG216	Licensed Representative - Responsible Officer	4, 9	
LAU Tse Fung	AAJ835	Licensed Representative - Responsible Officer	4	
LAW Wei Kee, Philip	ATT955	Licensed Representative - Responsible Officer	5	
LEE Chak Fai	BFU996	Licensed Representative - Responsible Officer	4	
LEI Zhongren	ASS916	Licensed Representative - Responsible Officer	1	
LEUNG Alexander	ANH673	Licensed Representative - Responsible Officer		9
LI Yuna	AYZ338	Licensed Representative - Responsible Officer	4, 5	
LIANG Hao	ATN174	Licensed Representative - Responsible Officer	4	
LIN Xiaodong	AQU519	Licensed Representative - Responsible Officer		6
LIT Yuk Ping	APB748	Licensed Representative - Responsible Officer	5	
LIU Bei	ANN276	Licensed Representative - Responsible Officer	9	
LIU Yun	AHS958	Licensed Representative - Responsible Officer	1	
LO Cheuk Yee, Helen	ANA705	Licensed Representative - Responsible Officer	4	
LUI Kin Wai	ANM045	Licensed Representative - Responsible Officer	1, 2	
LUN Shu Lam	AMC981	Licensed Representative - Responsible Officer	2, 5	
LUO Yi	AQE404	Licensed Representative - Responsible Officer	4, 9	
MA Tak Wah	AUD467	Licensed Representative - Responsible Officer	4, 9	
MACDOUGALL Brian Alexander	AHA824	Licensed Representative - Responsible Officer	4	
NG Chun Wai	AMF277	Licensed Representative - Responsible Officer	4, 5	
PANCHAPAGESAN Arjun	BNY630	Licensed Representative - Responsible Officer	1, 4	
SHAPIRO Michael Christopher	BIW066	Licensed Representative - Responsible Officer	1	
SHIH Yuen Chi	ASE819	Licensed Representative - Responsible Officer	1	
SUN Kin Nam, Jenny	AGZ149	Licensed Representative - Responsible Officer	4	
SUN Lei	AJD048	Licensed Representative - Responsible Officer	9	
TAM Ka Ho	BCC092	Licensed Representative - Responsible Officer	1	
TAN Shih Min	BDF564	Licensed Representative - Responsible Officer	1	
TO Koon Hung, Andrew	AAD599	Licensed Representative - Responsible Officer	1	
TRUONG To Linh	AFB064	Licensed Representative - Responsible Officer	9	
TSANG Hin Pan	AZY145	Licensed Representative - Responsible Officer	2	
WANG Yiding	AOD298	Licensed Representative - Responsible Officer	1	
WIJONO Rizal	ADT339	Licensed Representative - Responsible Officer	1	
WONG Si Yuen, Andy	ARK439	Licensed Representative - Responsible Officer	4	
WONG Siu Nam	ADM812	Licensed Representative - Responsible Officer	4	
WONG Yiu Man	ADA634	Licensed Representative - Responsible Officer	4	
WU Qian	BCB896	Licensed Representative - Responsible Officer	1	
YANG Yuchuan	AHK019	Licensed Representative - Responsible Officer	9	
YIN Jiahao	BDD365	Licensed Representative - Responsible Officer	4	
YIP Ka Tak, Sammy	AGO831	Licensed Representative - Responsible Officer		6
ZHANG Xiao Long	BLR519	Licensed Representative - Responsible Officer	6	
ZHANG Zhifeng	BCH854	Licensed Representative - Responsible Officer		4
ZHANG Zhiyu	AYQ807	Licensed Representative - Responsible Officer	1	