

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERS	ONS/REGISTE	RED INSTITUTIONS DURING 07/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CMB International Asset Management Limited	AVA101	Licensed Corporation	1	
CMSC Partners Limited	BMW996	Licensed Corporation	4	
Liquid Capital Markets Hong Kong Limited	ATL816	Licensed Corporation	1	
Pamirs Capital (H.K.) Limited	AVH546	Licensed Corporation		1
Smart Wise Advisors Limited	BJN942	Licensed Corporation	4, 5	
	BIE095		1	
Summer Capital Management Company Limited		Licensed Corporation		
Swiss China Capital Limited	AXI538	Licensed Corporation		1
Vanguard Investments Hong Kong Limited	AYT820	Licensed Corporation		6
ZQ Capital Hong Kong Limited	BMN683	Licensed Corporation	1, 6	
ARAYANIMITSKUL Janyawan	BDF627	Licensed Representative		1, 4
ATAMER Murat	APF056	Licensed Representative	7	
AU Chi Yuen	AFT225	Licensed Representative		3, 4
AU Kwan Lok	AMM284	Licensed Representative		1, 4
AVENEL Gerald Marie Jean Alain	BKO053	Licensed Representative	4	
BAI Jie	BGB222	Licensed Representative		4
BHARWANI Puja Ramesh	AXS260	Licensed Representative	2	
		Licensed Representative	2	
BHURTAH Joynay	BGA484		2	
CAI Zheng	BIH036	Licensed Representative		4
CHAK Shu Yan	AQU144	Licensed Representative		4
CHAN Chi Keung	ATL552	Licensed Representative	4, 9	
CHAN Chi Yin, Stefanie	AEY353	Licensed Representative		1, 4, 6
CHAN Ching Shuen	AYQ278	Licensed Representative	4	
CHAN Chit Hong	BCK498	Licensed Representative	4, 9	
CHAN Ka Ho	BLY764	Licensed Representative	9	
CHAN Ka Kui	BBR128	Licensed Representative	4	2
CHAN Kei Yuen	AXR296	Licensed Representative	1	
CHAN King Lok	AQO310	Licensed Representative		2
				3
CHAN Kit Wai	AFU271	Licensed Representative	4, 5	3
CHAN Kit Yee, Kitty	AAB440	Licensed Representative	4	
CHAN Kon Kau	ADI164	Licensed Representative	9	
CHAN Lai Ming	AOD290	Licensed Representative	2	
CHAN Leong Hei, Christian	AAZ155	Licensed Representative		4, 5
CHAN Lok Him	BDF100	Licensed Representative		4
CHAN Man Sze	AZZ250	Licensed Representative		2, 4
CHAN Siu Fei	ANN259	Licensed Representative	4, 9	
CHAN Sung	AQP673	Licensed Representative		2, 4
CHAN Tak Hong	BHX406	Licensed Representative	4, 9	
CHAN Wan Yin Ada	BOB271	Licensed Representative	9	
CHAN Yan Yu Jessica	BMX472	Licensed Representative	6	4, 9
				4
CHAN Yee Pui	ABK900	Licensed Representative		4
CHAN Ying Kit	BLC894	Licensed Representative	4	
CHAN Ying Kuk, Joyce	AVL275	Licensed Representative		2
CHANG Chao An	BNU440	Licensed Representative	1	
CHE Haonan	BCC411	Licensed Representative	1	
CHEN Ming Yu	BLT686	Licensed Representative	2	
CHEN Shih Hao	BLR289	Licensed Representative	2, 4	
CHEN Te-yi	BCD191	Licensed Representative		2
CHEN Ting	BMV114	Licensed Representative	9	
CHEN Xing	BLX185	Licensed Representative		1
CHEN Yi-Ru	APF744	Licensed Representative	2	
			-	1
CHENG Ka Wa	ABS482	Licensed Representative		
CHEUK Hoi Ki	BJQ675	Licensed Representative	6	2
CHEUNG Borwin	BDR102	Licensed Representative	1	9
CHEUNG Cham Lun	AZF644	Licensed Representative	4	
CHEUNG Chun Fai	AQD310	Licensed Representative	4, 9	
CHEUNG Chun Yin	BKP484	Licensed Representative	2	
CHEUNG Ho Chuen	BHR928	Licensed Representative		1
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CHEUNG Hoi Li	BNU993	Licensed Representative	4	
CHEUNG Hoi Li CHEUNG Ka Hin	BNU993 ARK363	Licensed Representative Licensed Representative	9	1
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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHIA Su Ying	BGY189	Licensed Representative	1	2, 5
CHIN Julius Jiun	BAO582	Licensed Representative	4, 9	
CHIN To	ARS572	Licensed Representative	9	
CHIU Chun Lung	BHX544	Licensed Representative	9	
CHIU Ding Chung	BLT159	Licensed Representative	9	1, 4
CHIU Sui Ling	BNV803	Licensed Representative	4	
CHO Wen Jie, Jack	ANW202	Licensed Representative	1	
CHONG Gan			1	1
	ABU567	Licensed Representative		
CHONG Hei Tung	BJD910	Licensed Representative	1	
CHOW Shuk Yi	AD1987	Licensed Representative		1, 4
CHU Suet Ni, Sheny	BBB062	Licensed Representative	2, 4	
CHUA Hei Tung	BEO227	Licensed Representative		9
CHUANG Chang-Yu	BHE050	Licensed Representative	9	
CHUNG Byeong Hun	BHU288	Licensed Representative	9	
CHUNG Eva Ting Yan	AVH199	Licensed Representative		4, 9
CHUNG Kwong San	APW909	Licensed Representative	4, 9	
CIN Chang Sik	AHM834	Licensed Representative	4	
COURT Alain	BMV156	Licensed Representative	9	
DAI Zhiqiang	ALZ276	Licensed Representative		4
DANG Xuyang	BHY253	Licensed Representative	5	1
DENG Jun	BKZ667	Licensed Representative	1	
DIAO Zhihai	BGU213	Licensed Representative	9	
DOSHI Nirav Niranjan	BKO057	Licensed Representative	4	
DUAN Jie	BNV485	Licensed Representative	9	
ENGELHARDT Thaddaeus	BNN888	Licensed Representative	2, 7	
FAN Bik Wah	AJN300	Licensed Representative		1
FAN Cheuk Yin	BOF139	Licensed Representative	1	
FAN Man Chun	ANK913	Licensed Representative		4
FANG Pei Wei	AGB884	Licensed Representative		4, 5
FENG Hao	BKH221	Licensed Representative	5	
FRIEDLANDER Michael Arthur	ARJ342	Licensed Representative		5
FUNG Sai Kit	AZB725	Licensed Representative	9	
FUNG Yi	AXU916	Licensed Representative		1, 4
GIEFING Sean Gerrard	BDV262	Licensed Representative		4
GIUDICE Matteo	BID328	Licensed Representative		4
GOMA Joseph Auger	BKC778	Licensed Representative	2	
GOURMELEN Arnaud	AKX538	Licensed Representative	1, 2	9
GRIFFITH Travis Lee	AOY752	Licensed Representative	2	
GRIMALDI Edouard Jean Maurice	AXC795	Licensed Representative	1	
GU Hao	BOB524	Licensed Representative	1	
GUAN Lei	BGM931	Licensed Representative	1, 2, 4	
GUO Ruogu	BHL025	Licensed Representative		4, 6
HAK Natalie Cheuk Man	BHZ698	Licensed Representative	2	
HAN Chia Lin	AGG699	Licensed Representative	9	6
HATANAKA Asako	AYU643	Licensed Representative		9
HE Li	AUL729	Licensed Representative	4, 9	
HE Mei	AVJ148	Licensed Representative	9	1, 4
HEUNG Chun Kit	AUY929	Licensed Representative	-	9
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HEUNG Wai Lun	BIP966	Licensed Representative	**	
HO Eugene	AMH070	Licensed Representative		1, 2, 4
HO Kun Lin	BGC212	Licensed Representative	1	
HO Wing Pong	AOJ711	Licensed Representative		1, 2
HO Yuen Pang, Jason	BGX440	Licensed Representative	2	
HOLDENER Steve	BHH536	Licensed Representative	1	
HONDA Nobuyuki	AEK875	Licensed Representative	2	
HOU Xiaoyang	ANK463	Licensed Representative	1	
HSU Wei-Chi	AVL882	Licensed Representative	1	9
HU Jianfeng	BBZ806	Licensed Representative	2, 5	
HU Lizhou	ARI970	Licensed Representative	4, 9	10
	BNY725	Licensed Representative	· ·	6
HU Shutong				



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERS	JNS/REGISTE	RED INSTITUTIONS DURING 07/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUANG Danqing	BBV877	Licensed Representative	1	9
HUANG Dawei	AUV855	Licensed Representative		4
HUANG Ling Kwan	AUQ372	Licensed Representative	5	1
HUANGFU Yaoyao	BDH322	Licensed Representative	-	5
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HUI Jie	BIJ550	Licensed Representative	6	
HUI Sai Tsun, Peter	AHU751	Licensed Representative		1
HUI Yik	AFT734	Licensed Representative		2
HUI Yiu Chung, Sam	BNI743	Licensed Representative	1	
HUNG Chun Kit	BCU325	Licensed Representative	1, 2, 4, 5	
HUXLEY Richard Stuart	BIR819	Licensed Representative		2
IM Kai Chuen, Stephen	APC198	Licensed Representative	4	
IP Ka Fai	BNB163	Licensed Representative	1	
IP Tsz King	BFL255	Licensed Representative	9	6
JI Pingzi	AXV098	Licensed Representative	1, 2, 5	9
KIM Sunghun	BJR042	Licensed Representative	9	
KONDO Takeshi	BMT575	Licensed Representative	2	
KONG Yi Lan	BNF333	Licensed Representative		9
KOTWAL Rahul	BNO319	Licensed Representative		1, 4
KUAN Yu Hao	BOA464	Licensed Representative		2, 4
KUNG Yu Hang	AME200	Licensed Representative	4, 9	1, 2
			4	
KWAN Kit Yee	BAM547	Licensed Representative	1	4
KWOK Hang Kin	BHZ244	Licensed Representative	4	
KWOK Wang Hei	BGN049	Licensed Representative	1	
LAI Ka Wai Gavin	BHS916	Licensed Representative	2	
LAI Man Ling	AWX953	Licensed Representative	10	4
LAI Wai Ki	BBD162	Licensed Representative		2
LAI Wing Nga	BNV512	Licensed Representative	2	
LAM Ching Yan, Lydia	BFY292	Licensed Representative	9	
			3	
LAM Chun Yuk	ARR348	Licensed Representative		2
LANG Yuanlin	AUZ665	Licensed Representative	4	
LAU Chun Wai	AFK569	Licensed Representative	1	
LAU Francis David Zesheng	BJM256	Licensed Representative	4, 9	
LAU Tsz Wa	BMO692	Licensed Representative	4	
LAU Yu	BOG313	Licensed Representative	9	
LAURIN Julian Gregory	BFH225	Licensed Representative		1
LAW Lai Yee	ABS411	Licensed Representative		2
LAW Wai Ming	BJI431	Licensed Representative	4	
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LAW Wei Kee, Philip	ATT955	Licensed Representative		1, 2
LEE Ho Pan	BKJ169	Licensed Representative		2
LEE Hoi Ying	AOV787	Licensed Representative		4, 9
LEE Jason Kwan Ho	AWW455	Licensed Representative		2, 4, 9
LEE Jing Wan, Patrick	ANW 191	Licensed Representative		4
LEE Kwok Fung	AMF908	Licensed Representative		2
LEE Man Yi, Jessica	AIU268	Licensed Representative	9	
LEE Sai Leung, Dennis	AMF898	Licensed Representative	2, 5	
LEE Shue Chiu	ALF636	Licensed Representative	4	
			4	
LEE Siu Hung	ADH590	Licensed Representative		2
LEUNG Chi Kong	AOU242	Licensed Representative		2
LEUNG Ho Cheong	BGR092	Licensed Representative	9	
LEUNG Ho Juen	BLL871	Licensed Representative	1	
LEUNG Po Chu	AJI676	Licensed Representative		4
LEUNG Siu Yung	ARA500	Licensed Representative	4	
LEUNG Wai Kei	ANQ085	Licensed Representative	4, 9	
LI Chenwenting	BNF428	Licensed Representative	6	
LI Chi Hong	AMJ927	Licensed Representative	4	
LI Chi Wai	ALY313	Licensed Representative		1, 4
LI Jia	BOG077	Licensed Representative	4	
LI Kwai	ACR634	Licensed Representative	4	
LI Ruo Yu	BNO559	Licensed Representative	1, 2	4, 9
LI Shuk Wa, Vivian	ANY054	Licensed Representative		1, 4
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IndergeneNove<	LI Yihan	BOC772	Licensed Representative	9	
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NHMMNA	LIANG Jianhong	AYS243	Licensed Representative		1
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Li Cox MagAttraAttraILi JaringBH001Jarone Rescentable44Li JaringBH001Jarone Rescentable41Li Cox Marco	LIN Huijuan	BNM899	Licensed Representative	1	4
D.L.JarginBH024Denose Representative44L.L.JarginBH025Lacasa Representative41D.Chak Yee, HolmBH026Lacasa Representative11D.Chak Yee, HolmBH026Lacasa Representative21D.Cha Yae, JohnBH026Lacasa Representative21D.Cha Yae, JohnBH026Lacasa Representative21D.Cha Yae, JohnBH026Lacasa Representative11L.T.YaeBEX68Lacasa Representative311L.T.YaeBEX68Lacasa Representative311L.T.YaeBEX68Lacasa Representative311L.T.YaeBEX68Lacasa Representative311L.T.YaeBEX68Lacasa Representative111L.T.YaeBEX68Lacasa Representative111L.T.Kae Chi Xue, C	LIN Long	BIC892	Licensed Representative	2	
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p1394-9096-9096-9096-90CRGahreenstere999CRGahreenstere999CRGahreenstere999CRGAHREENSTERE9 <t< td=""><td>LIU Jing</td><td>BNO984</td><td>Licensed Representative</td><td></td><td>4</td></t<>	LIU Jing	BNO984	Licensed Representative		4
D.C. Casar Yao, HuanAMX00Locased RepresentativeIID. Shu, G. J.BIN322Locased RepresentativeIID. Shu, G. J.BIN322Locased Representative2ILOH QuiBID39Locased RepresentativeIIILOH QuiBID39Locased RepresentativeIIILU YaonBID30Locased RepresentativeIIILU YaonBLC30Locased RepresentativeIIILU YaonBLC30Locased RepresentativeIIILU Falaurit IN KaogADC30Locased RepresentativeIIILU Falaurit IN KaogADC30Locased RepresentativeIIIIM Tar TrigADC30Locased RepresentativeII <td></td> <td></td> <td></td> <td>4</td> <td></td>				4	
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DU YuhanBMBSLorend Representative44LU YuhANBSSLorend Representative1LU YuhBOXBLorend Representative91.4LU Sanard Ho KorgADV37Lorend Representative91.4LU Sanard Ho KorgADV37Lorend Representative91.3LU Sanard Ho KorgBOXBLorend Representative91.3M JasaBOXBLorend Representative11.4M JasaBOXBLorend Representative11.4M Yan YinBUXBLorend Representative14.3M Yan YinBUXBLorend Representative14.3MCK Kan LungALXBLorend Representative91ND YinBUXBLorend Representative91ND YinBUXBLorend Representative91ND Yan YinBUXBLorend Representative11ND Yan YinBUXBLorend Representative11ND Yan YinBUXBLorend Representative11ND Yan YinBUXB<	LO Shuk Sui	BKM815	Licensed Representative		2
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SHAN YiBGW834Licensed Representative4SHEN ChengijeAVQ799Licensed Representative4SHI LeiBIE89Licensed Representative91SHUNG Kwok YinAFF211Licensed Representative21ST Kwok PingASO836Licensed Representative25SO Wai LingBMW393Licensed Representative22SO Wai LingBMW393Licensed Representative24SONG JinBOH029Licensed Representative45STUDHOLME-WILSON Nicholas ClaudeAPF339Licensed Representative64				9	
SHEN Chengjie AVQ799 Licensed Representative 4 SHI Lei BIE889 Licensed Representative 9 1 SHUNG Kwok Yin AFF211 Licensed Representative 2 SIT Kwok Ping ASO836 Licensed Representative 2 SO Wai Ling BMW393 Licensed Representative 5 SO Wai Pui, Herbert BJB543 Licensed Representative 4 SONG Jin BOH029 Licensed Representative 4 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4					
SHI Lei BIE889 Licensed Representative 9 1 SHUNG Kwok Yin AFF211 Licensed Representative 2 1 SIT Kwok Ping AS0836 Licensed Representative 2 5 SO Wai Ling BMW393 Licensed Representative 2 2 SO Wai Ling BMW393 Licensed Representative 2 2 SO Wai Pui, Herbert BJB543 Licensed Representative 4 SONG Jin BOH029 Licensed Representative 6 4 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4				•	4
SHUNG Kwok Yin AFF211 Licensed Representative 2 SIT Kwok Ping ASO836 Licensed Representative 5 SO Wai Ling BMW393 Licensed Representative 2 SO Wai Pui, Herbert BJB543 Licensed Representative 4 SONG Jin BOH029 Licensed Representative 6 4 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4					4
SIT Kwok Ping ASO836 Licensed Representative 5 SO Wai Ling BMW393 Licensed Representative 2 SO Wai Pui, Herbert BJB543 Licensed Representative 4 SONG Jin BOH029 Licensed Representative 6 4 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4	SHI Lei	BIE889	Licensed Representative		1
SO Wai Ling BMW393 Licensed Representative 2 SO Wai Pui, Herbert BJB543 Licensed Representative 4 SONG Jin BOH029 Licensed Representative 6 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4	SHUNG Kwok Yin	AFF211	Licensed Representative	2	
SO Wai Pui, Herbert BJB543 Licensed Representative 4 SONG Jin BOH029 Licensed Representative 6 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4	SIT Kwok Ping	ASO836	Licensed Representative		5
SO Wai Pui, Herbert BJB543 Licensed Representative 4 SONG Jin BOH029 Licensed Representative 6 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4	SO Wai Ling	BMW393	Licensed Representative		2
SONG Jin BOH029 Licensed Representative 6 4 STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4	SO Wai Pui, Herbert	BJB543			4
STUDHOLME-WILSON Nicholas Claude APF339 Licensed Representative 4				6	
				-	4
ISUEN Tin Yan AXR986 Licensed Representative 4 9					4
	SUEN Tin Yan	AXR986	Licensed Representative	4, 9	
SUN Bogian BMP491 Licensed Representative 1	SUN Boqian	BMP491	Licensed Representative	1	
SUN Yu Feng ALG408 Licensed Representative 1, 2	SUN Yu Feng	ALG408	Licensed Representative	1, 2	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSO	JNS/REGISTE	RED INSTITUTIONS DURING 07/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SZE Wing Ka Sherryn	BNC276	Licensed Representative	4, 9	1
SZE Yau Nam	BNY673	Licensed Representative	9	
ТАМ Ка Но	BCC092	Licensed Representative		9
TAM Ka Hong	BIQ325	Licensed Representative		3, 5
TAM Kim Fai	ACU401	Licensed Representative		2, 4, 9
TAM Kok Ching	BCH568	Licensed Representative		4
			1.0	-
TAN Richard Boon Leong	AOD033	Licensed Representative	1, 9	
TAN Rowan Jin Sen	BNJ152	Licensed Representative		1, 6
TANG Chi Kwong	BGP108	Licensed Representative		1
TANG Hin Lun	BOD386	Licensed Representative	2	
TANG Tsz Yan Janice	ATI680	Licensed Representative		4
TIEU Minh Hai	BNF594	Licensed Representative		6
TONG Xuanzi	BGR100	Licensed Representative	4	6
TSANG Kit Chee	AQP582	Licensed Representative		2, 3
TSANG Kwong Yat	BOC394	Licensed Representative		5
TSE Chung Hei Kevin	BFH723	Licensed Representative	1	
TSE King Fai, Philip	AGG695	Licensed Representative	4	
TSE Wai	BGK765	Licensed Representative	1	
TSO Lan Fong	ACO239	Licensed Representative		2
TSUI Lok Hei	BCZ605	Licensed Representative	4	
				3
TSUI Yuk Ming	BES677	Licensed Representative		3
UEMURA Tai	BOH784	Licensed Representative	2	
WADE Simon James	ASE248	Licensed Representative	2, 4, 5	
WAKIZAKI Hiroshi	BBQ443	Licensed Representative		4
WANG Dingxin	BMV713	Licensed Representative		3
WANG Kenneth Chuan	AHU775	Licensed Representative	1, 4	9
WANG Likai	BMQ801	Licensed Representative	4	
WANG Lili	BMQ113	Licensed Representative	9	
WANG Mei-Ling	BKJ032	Licensed Representative		4
WANG Mengting	AWJ513	Licensed Representative	4	
WANG Ni	BAV555	Licensed Representative		1
WANG Yumeng	BKI610	Licensed Representative	4, 9	
	BNC995		1, 4	
WANG Zhongyu		Licensed Representative	1, 4	
WAT Tsun Tao	BNO906	Licensed Representative		1, 3
WATKINS Junjie	AQS396	Licensed Representative	4	
WENG Hongbo	BMP537	Licensed Representative	4, 9	6
WINTER Stephen John	BMV404	Licensed Representative	9	
WONG Chak Sang	AYM415	Licensed Representative	1	
WONG Chi Leong	BOH572	Licensed Representative		3
WONG Chi Lok	BEK843	Licensed Representative	9	
WONG Choi Mei	ABT161	Licensed Representative		2
WONG Chun Yu	BGC479	Licensed Representative	4, 9	2
WONG Ka Mei	AVV109	Licensed Representative		2
WONG Kai Yu	BFM952	Licensed Representative	1	
WONG Kam Ming	AAF221	Licensed Representative		4, 5
WONG Ling Chi	BIP062	Licensed Representative	9	·
WONG Pak Kan		Licensed Representative	1	
	AXK066		1.6	0
WONG Richard	AFQ365	Licensed Representative	1, 6	9
WONG Tung Yuen	BHD388	Licensed Representative		6
WU Alan	AFH037	Licensed Representative		4
WU Chun Nam Dennis	BDA598	Licensed Representative		2, 5
WU Danchen	BNC422	Licensed Representative	6	
WU Huatao	BMY486	Licensed Representative		1
WU Tsung Hsien	BHP400	Licensed Representative	9	2
XI Zheyu	AXQ888	Licensed Representative	1	9
XIANG Difei	BHA450	Licensed Representative	1, 4	
XIANG Jingwen	BEJ869	Licensed Representative	1	
YAN Yiran	BGD658	Licensed Representative		2, 4
YANG Bin	BGD058	Licensed Representative	9	1
YANG Xin	BLP894	Licensed Representative	4, 5, 9	



		RED INSTITUTIONS DURING 07/2019	1	
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YANG Zhidao	BMF166	Licensed Representative	9	
YAO Xiaoting	ASE038	Licensed Representative	1, 4	
YAU Chi Man, Benjamin	ATL435	Licensed Representative	10	1, 6
YAU Ka Wing	ASL909	Licensed Representative	2	
YEUNG Chi Hang	AOK041	Licensed Representative	4, 9	
YEUNG Chun Pui	BJE036	Licensed Representative	2, 7	
YEUNG Kwun Po	AVW215	Licensed Representative	9	
YEUNG Ming Lung	BOI435	Licensed Representative		3
YIP Pak Kin Alan	APP201	Licensed Representative		9
YIP Shi Kit	BGY948	Licensed Representative	1	
	ARP310		4	
YU Chun Kuei		Licensed Representative		
YU Pak Lun	BBL881	Licensed Representative	3	
YU Ya Pin	BJJ522	Licensed Representative	9	2, 5
YUAN Siqing	BIL747	Licensed Representative		2
YUE Xiaowei	AVZ069	Licensed Representative	1	
YUEN Ka Yat	ALA314	Licensed Representative		9
YUEN Ronald	BOE223	Licensed Representative	1	
YUNG Yee Mei	BKA653	Licensed Representative	4, 9	
ZHAI Xiaojun	BAA087	Licensed Representative	1, 2	6
ZHANG Chao	BAK268	Licensed Representative	1, 9	
ZHANG Guopeng	BCT507	Licensed Representative		2
ZHANG Jiahui	BGQ558	Licensed Representative	4	
ZHANG Keming	BOL768	Licensed Representative	9	
ZHANG Qinrui	AXQ582	Licensed Representative		1
ZHANG Wenjun	AVR821	Licensed Representative		2
ZHANG Yang	BNC049	Licensed Representative	9	
ZHANG YI	BGX406	Licensed Representative	4	
ZHANG			4	
	BJF190	Licensed Representative	4	
ZHAO Liang	BGH804	Licensed Representative	1	
ZHAO Yang	BLK372	Licensed Representative		1
ZHENG Lily	AWJ166	Licensed Representative	9	
ZHONG Hao	BDB919	Licensed Representative		5
ZHOU Geng	BKZ925	Licensed Representative		1
ZHOU Mingda	BND394	Licensed Representative	4, 6	
ZHOU Zhiyang	BCC827	Licensed Representative		1, 4
AU Kwan Lok	AMM284	Licensed Representative - Responsible Officer	2	
	710101204		2	
BAVEREZ David Laurent	BDM562	Licensed Representative - Responsible Officer	4	
BAVEREZ David Laurent	BDM562	Licensed Representative - Responsible Officer	4	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie	BDM562 AEY353	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti	BDM562 AEY353 ATY071	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4, 5	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling	BDM562 AEY353 ATY071 AAG115	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao	BDM562 AEY353 ATY071 AAG115 ATB830	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 9	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 9 2 2	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Tomo-hito	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 9 2 2 7 7 1	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 9 2 2 7	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing	BDM562 AEY353 ATV071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 9 2 2 7 7 1	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander	BDM562 AEY353 ATV071 AAG115 ATB330 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 7 7 1 6,9 1 1	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei	BDM562 AEY353 ATV071 AAG115 ATB300 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345 AXJ861	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 7 7 1 6,9 1 1 1 4	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 9 2 9 2 7 7 1 6,9 1 1 1 4 4	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Torno-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 6,9 1 1 1 4 1 4 4	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Torno-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752 AOJ711	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 6,9 1 1 1 4 4 1 4 4 4	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Torno-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 6,9 1 1 1 4 1 4 4	
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Torno-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong	BDM562 AEY353 ATY071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752 AOJ711	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 6,9 1 1 1 4 4 1 4 4 4	1, 4, 9
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong HU Chao	BDM562 AEY353 ATV071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BB2345 AXJ861 AHN337 AFY752 AOJ711 BGX227	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 6,9 1 1 1 4 4 1 4 4 4	1,4,9
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong HU Chao JI Qingyu	BDM562 AEY353 ATV071 AAG115 ATB830 ABS482 ALZ081 BAA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752 AOJ711 BGX227 ASD333	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 5,9 1 1 6,9 1 1 4 4 4 4 4 4 4	1, 4, 9 1 1 1 1 1
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Tormo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong HU Chao JI Qingyu KOTWAL Rahul	BDM562 AEY353 ATV071 AAG115 ATB830 ABS482 ALZ081 BA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752 AOJ711 BGX227 ASD333 BNO319	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 5,9 1 1 6,9 1 1 4 4 4 4 4 4 4	1. 4, 9 1. 1. 1
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong HU Chao JI Qingyu KOTWAL Rahul KRETZ Laurent Bernard	BDM562 AEY353 ATV071 AAG115 ATB830 ABS482 ALZ081 BA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752 AOJ711 BGX227 ASD333 BNO319 AXI540	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 4,5 9 2 2 9 2 2 7 1 5,9 1 1 6,9 1 1 4 4 4 4 4 4 4	I. 4, 9 I. 1. 1. 1. I.
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ka Wa CHENG Ngai Chung CHEUNG Cheung, Peter CHEUNG Tomo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong HU Chao JI Qingyu KOTWAL Rahul KRETZ Laurent Bernard KRETZ Philippe Olivier	BDM562 AEY353 ATV071 AAG115 ATB830 ABS482 ALZ081 BA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752 AOJ711 BGX227 ASD333 BNO319 AXI540 AXI541	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 9 2 9 2 9 2 7 7 1 1 6, 9 1 1 4 4 1 4 4 4 4 4 4 4 4 9 9	1. 4. 9 1. 1. 1 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
BAVEREZ David Laurent CHAN Chi Yin, Stefanie CHAN Foet Sen, Tjoeti CHAN Hung Ling CHEN Hao CHENG Ka Wa CHENG Ka Wa CHENG Cheung, Peter CHEUNG Cheung, Peter CHEUNG Tormo-hito CHOI Bo Sung CHUNG Wai Shing CLEARY Stuart Alexander FONG Man Kei FUNG Ka Lok, Adams HO Raymond Ka Wing HO Wing Pong HU Chao JI Qingyu KOTWAL Rahul KRETZ Laurent Bernard KRETZ Philippe Olivier KUNG Wai Ting	BDM562 AEY353 ATV071 AAG115 ATB830 ABS482 ALZ081 BA225 AUS139 AWK857 AMF222 BBZ345 AXJ861 AHN337 AFY752 AOJ711 BGX227 ASD333 BNO319 AXI540 AXI541 AOQ511	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 9 9 2 9 2 9 2 7 7 1 1 6, 9 1 1 4 4 1 4 4 4 4 4 4 4 4 9 9	1 1 1 1 1 1 1 1 1 1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2019				
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LANE Graeme John	AOG216	Licensed Representative - Responsible Officer	4, 9	
LAU Tse Fung	AAJ835	Licensed Representative - Responsible Officer	4	
LAW Wei Kee, Philip	ATT955	Licensed Representative - Responsible Officer	5	
LEE Chak Fai	BFU996	Licensed Representative - Responsible Officer	4	
LEI Zhongren	ASS916	Licensed Representative - Responsible Officer	1	
LEUNG Alexander	ANH673	Licensed Representative - Responsible Officer		9
LI Yuna	AYZ338	Licensed Representative - Responsible Officer	4, 5	
LIANG Hao	ATN174	Licensed Representative - Responsible Officer	4	
LIN Xiaodong	AQU519	Licensed Representative - Responsible Officer		6
LIT Yuk Ping	APB748	Licensed Representative - Responsible Officer	5	
LIU Bei	ANN276	Licensed Representative - Responsible Officer	9	
LIU Yun	AHS958	Licensed Representative - Responsible Officer	1	
LO Cheuk Yee, Helen	ANA705	Licensed Representative - Responsible Officer	4	
LUI Kin Wai	ANM045	Licensed Representative - Responsible Officer	1, 2	
LUN Shu Lam	AMC981	Licensed Representative - Responsible Officer	2, 5	
LUO Yi	AQE404	Licensed Representative - Responsible Officer	4, 9	
MA Tak Wah	AUD467	Licensed Representative - Responsible Officer	4, 9	
MACDOUGALL Brian Alexander	AHA824	Licensed Representative - Responsible Officer	4	
NG Chun Wai	AMF277	Licensed Representative - Responsible Officer	4, 5	
PANCHAPAGESAN Arjun	BNY630	Licensed Representative - Responsible Officer	1, 4	
SHAPIRO Michael Christopher	BIW066	Licensed Representative - Responsible Officer	1	
SHIH Yuen Chi	ASE819	Licensed Representative - Responsible Officer	1	
SUN Kin Nam, Jenny	AGZ149	Licensed Representative - Responsible Officer	4	
SUN Lei	AJD048	Licensed Representative - Responsible Officer	9	
ТАМ Ка Но	BCC092	Licensed Representative - Responsible Officer	1	
TAN Shih Min	BDF564	Licensed Representative - Responsible Officer	1	
TO Koon Hung, Andrew	AAD599	Licensed Representative - Responsible Officer	1	
TRUONG To Linh	AFB064	Licensed Representative - Responsible Officer	9	
TSANG Hin Pan	AZY145	Licensed Representative - Responsible Officer	2	
WANG Yiding	AOD298	Licensed Representative - Responsible Officer	1	
WIJONO Rizal	ADT339	Licensed Representative - Responsible Officer	1	
WONG Si Yuen, Andy	ARK439	Licensed Representative - Responsible Officer	4	
WONG Siu Nam	ADM812	Licensed Representative - Responsible Officer	4	
WONG Yiu Man	ADA634	Licensed Representative - Responsible Officer	4	
WU Qian	BCB896	Licensed Representative - Responsible Officer	1	
YANG Yuchuan	AHK019	Licensed Representative - Responsible Officer	9	
YIN Jiahao	BDD365	Licensed Representative - Responsible Officer	4	
YIP Ka Tak, Sammy	AGO831	Licensed Representative - Responsible Officer		6
ZHANG Xiao Long	BLR519	Licensed Representative - Responsible Officer	6	
ZHANG Zhifeng	BCH854	Licensed Representative - Responsible Officer		4
ZHANG Zhiyu	AYQ807	Licensed Representative - Responsible Officer	1	
·			J	