

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Associated Advisers Limited	AAK058	Licensed Corporation	1	
CLSA Capital Partners (HK) Limited	AEI057	Licensed Corporation		6
Eastmoney International Securities Limited	ATR761	Licensed Corporation	4	
Finet Securities Limited	AAH574	Licensed Corporation		2
Fosun Hani Securities Limited	AAF432	Licensed Corporation	2	
Founder Asset Management (Hong Kong) Limited	BGH122	Licensed Corporation	4	
Hongkong Yifu International Futures Limited	BMN652	Licensed Corporation	1	
Huayu Securities Limited	ACS416	Licensed Corporation	4	
Joy Rich Securities Investment Limited	BIH924	Licensed Corporation	4	
Juno Capital Management Limited	BKY761	Licensed Corporation	1	
Magnum Research Limited	BJU619	Licensed Corporation	9	
Matthews Global Investors (Hong Kong) Limited	AWP025	Licensed Corporation	4, 9	
Safe Gold Securities and Futures Limited	BJH966	Licensed Corporation	9	
Shuang Ji (Hong Kong) Securities Limited	BNN852	Licensed Corporation	9	
Solomon Asset Management (Hong Kong) Limited	BMG880	Licensed Corporation	1	
Tai Fung Asset Management Company Limited	BAR250	Licensed Corporation	4	
Yew Tree Limited	BHQ297	Licensed Corporation	2	
AU Mei Chun	AOA078	Licensed Representative	1	4
BAHUGUNA Himanshu	BGT178	Licensed Representative		2
BERESFORD Paul Richard	ANF151	Licensed Representative	7	
BRADLEY Edmund Hugh	ABS842	Licensed Representative		1
CAO Bingqing	BEH582	Licensed Representative		3
CHAI Yunmin	AWC185	Licensed Representative		4
CHAN Cheuk Wing, Andy	ALV385	Licensed Representative	1	
CHAN Cheuk Ying, Jeffrey	BJM078	Licensed Representative		1
CHAN Chi Chiu	BIX006	Licensed Representative	1	
CHAN Chi Kwong	AAB855	Licensed Representative		2
CHAN Cho Yan	BID789	Licensed Representative	6	
CHAN Chun Yin	BAF372	Licensed Representative		1
CHAN Hiu Lung	BGU842	Licensed Representative		2
CHAN Hon Hei, Victor	AQF553	Licensed Representative	4	
CHAN Hue Ying, Irene	AJL916	Licensed Representative	6	
CHAN Ka Kit	AED841	Licensed Representative	4	
CHAN Kak Chi	BNE795	Licensed Representative		4
CHAN Kevin Kwok Keung	AAC262	Licensed Representative		4
CHAN Ming Chun	BDM024	Licensed Representative	4, 5	
CHAN Nga Man	BJL069	Licensed Representative		2
CHAN Shiu Chung	AXR111	Licensed Representative	1	
CHAN Siu Wing	AWW339	Licensed Representative	1	
CHAN Tsz Keung	BIX487	Licensed Representative	9	
CHAN Wah Hung	AT1151	Licensed Representative	9	
CHAN Wai Chung	ANZ625	Licensed Representative	1	4
CHAN Wang Kwan	AQN578	Licensed Representative	9	
CHAN Yan Wing, Andy	ADJ208	Licensed Representative	1	5
CHAN Ying Kit	BLC894	Licensed Representative	9	
CHAN Yiu Kwong	AED596	Licensed Representative	9	
CHAU Chung Kai, Peter	AAC736	Licensed Representative		2, 5
CHEN Bai Cao	AUZ662	Licensed Representative		1, 2
CHEN Jiadian	BOP349	Licensed Representative	2	
CHEN Mingpu	BIC105	Licensed Representative	4	
CHEN Shuo Steve	BDJ257	Licensed Representative	2	
CHEN Xiaoyi	BBT515	Licensed Representative		3
CHENG Kaili	BIY481	Licensed Representative	9	
CHENG Kim Pong	BDY288	Licensed Representative	1	
CHENG Kong Wai	ANM032	Licensed Representative		9
CHENG Yizhu	BNX140	Licensed Representative	2	
CHENG Yuen Chiu	AQJ100	Licensed Representative	9	
CHEUNG Ho Yin	AQG452	Licensed Representative	4, 9	1, 2
CHEUNG Hoi Fung	BCD348	Licensed Representative		2
CHEUNG Kwok Wah	ADW264	Licensed Representative		4
CHIA Janice Jhet Yen	AOY762	Licensed Representative	9	
CHIK Yin Yan	BBS682	Licensed Representative	1, 6	4
CHO Yu Kwan, Stephen	ACO193	Licensed Representative	9	
CHOI Chi Tsung, Eric	ANX201	Licensed Representative		1, 2
CHOI Wai Kuen	BOG794	Licensed Representative	2	
CHONG Gan	ABU567	Licensed Representative	2, 4, 5	
CHOY Lee Lee	BFH214	Licensed Representative		2, 5
CHOY Pui Shuen	BNU916	Licensed Representative	4	
CHUM Hon Wang, Michael	AEA451	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHUN Ming Tsam	BMK661	Licensed Representative	4, 7	2
CHUNG Ki Fung	BEJ748	Licensed Representative	1	
CHUNG Kok Fook	ASY849	Licensed Representative	9	
DAI Stanley Wenjie	BDT580	Licensed Representative	1	
DIEC Kwan Yu, Christie	BBM842	Licensed Representative		2
DONG Xiaoxuan	BMY834	Licensed Representative	1	9
FAN Zhenhong	BFT185	Licensed Representative	1	
FANG Wai	AOE794	Licensed Representative		4
FANG Wei	BMG204	Licensed Representative	1	
FOWLER Luke	AQM674	Licensed Representative	2	
FUNG Bo Yi	BNP169	Licensed Representative		2, 4, 5
FUNG Ho Tak	ARR598	Licensed Representative		1, 4
FUNG Yiu Fai	AFD152	Licensed Representative		3
GORDON Stuart Noel	ALS546	Licensed Representative	4, 7	2
GUPTA Rahul	AGZ998	Licensed Representative	9	1, 4
HE Jinchang	BEC496	Licensed Representative	1	
HE Wenyi	BID174	Licensed Representative	1, 4, 6	10
HEUNG Man Wa	ARN439	Licensed Representative		1
HO Hoi Lam	AWK760	Licensed Representative		2
HO Kwok Wai, Stephen	AIU803	Licensed Representative	4	
HO Tsz Yeung	BCD049	Licensed Representative		1, 4
HONG Yu	BIC250	Licensed Representative	4	
HU Ming	BMW482	Licensed Representative		3
HU Qian	ARB302	Licensed Representative	4	
HU Tzu Han	BCN750	Licensed Representative	9	
HUANG Rawen Zhi Hong	AXL663	Licensed Representative	4, 5	
HUANG Tingyi	BF1918	Licensed Representative		3
HUI King Lung	ANJ588	Licensed Representative	1, 2, 5	9
IAN Ho On	BIX779	Licensed Representative	9	1, 2
JEONG Hyeonseok	BDM376	Licensed Representative	4	
JIANG Wei	BKF710	Licensed Representative	1, 4	
JIAO Zhi	BGB020	Licensed Representative		3
JIN Yi Lu Angel	BJV450	Licensed Representative	5, 9	1
JIN Yuanjie	BIS262	Licensed Representative		4, 9
JIN Zilin	BIZ251	Licensed Representative	9	6
JING Shu	BIC415	Licensed Representative	1	5
KO Cheuk Ming	BNU439	Licensed Representative	4, 9	
KONG Pui Ying, Angel	ATO873	Licensed Representative	9	
KUANG Kaiwen	BGB219	Licensed Representative	2	
KURAHASHI Yumiko	AGO357	Licensed Representative	9	
KWAN Cheuk Shing	AUE415	Licensed Representative	1	
KWAN Hoi Ngai, Michael	ANT542	Licensed Representative	4, 5	
KWAN Ut Hoi	AQW340	Licensed Representative		2, 4
KWOK Ka Yiu	AFG950	Licensed Representative		1, 2
KWONG Kin Fai, Anthony	AAZ944	Licensed Representative		3
LAI Wing Tsui Ivy	BND554	Licensed Representative	4	
LAM Cheuk Hin	BJS893	Licensed Representative	1, 3	1
LAM Chi Hang	BAH723	Licensed Representative	1	
LAM Chin Wa	BAW833	Licensed Representative		1
LAM Chuen	ARN384	Licensed Representative	1, 4	
LAM Chun Hung	BBQ739	Licensed Representative		4, 9
LAM Tsz Leung	BJS144	Licensed Representative	4, 6	2
LAM Tze Lok	AUC924	Licensed Representative		9
LAU Chak Lam	ABU297	Licensed Representative		1, 4
LAU Ka Hei	BAZ979	Licensed Representative		3
LAU Man Chi	AOU841	Licensed Representative		9
LAU Ming Cham	BKQ930	Licensed Representative	2	
LAU Shing Fung	BKP938	Licensed Representative	2	
LAW Pui Kiu	AVA659	Licensed Representative		3
LAW Tak Keung	AFX806	Licensed Representative	9	
LEE Godwin	AXL950	Licensed Representative	2	
LEE Ka Hang	BAE507	Licensed Representative	1, 2	
LEE Man Kit	APM126	Licensed Representative	4, 7	2
LEE Rui Jie Gary	BFG289	Licensed Representative	9	1, 2
LEE Shi Hyun	BFT723	Licensed Representative	4, 9	6
LEE Shing Kong Daniel	BND667	Licensed Representative	2	
LEE Wai Ling	BNM266	Licensed Representative	1	
LEI Yang	BGH895	Licensed Representative		1
LEUNG Chun Chee, Archie	AQG927	Licensed Representative		4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEUNG David Lut Yan	BHR662	Licensed Representative	3	4, 9
LEUNG Kong Fai	AVM522	Licensed Representative	1, 2, 5	
LEUNG Ping Chuen	BNG152	Licensed Representative	1, 2	9
LEUNG Winnie	BJT134	Licensed Representative	9	1
LEUNG Yuk Hei, Thomas	AWD636	Licensed Representative	1, 4	
Li Chi Ping	BCZ784	Licensed Representative	2	4, 9
Li Cho Kwong	AEU474	Licensed Representative		1
Li Haihu	BBJ048	Licensed Representative		6
Li Hualin	BOH730	Licensed Representative	9	
Li Philip Yee Lap	AJF874	Licensed Representative		9
Li Sisi	AWL795	Licensed Representative	4	
Li Siyu	BIQ773	Licensed Representative	4	
Li Tinghui	BJL013	Licensed Representative	4, 9	2
Li Xinyi	BOH485	Licensed Representative		4, 5, 9
Li Yin Kit	AZW208	Licensed Representative	4, 7	2
Li Yue	BFU987	Licensed Representative	6	
Li Yuyu	BJU225	Licensed Representative		9
LIANG Daping	BNV495	Licensed Representative		3
LIANG Shiyuan	BNJ578	Licensed Representative		1, 2, 4
LIN Bonan	BJT845	Licensed Representative		4
LIU Guangming	BDD671	Licensed Representative	2	
LIU Jingyang	BML621	Licensed Representative	1	
LIU Ke	BEZ142	Licensed Representative		1, 2
LIU Lai Sum Christina	AAH544	Licensed Representative		2
LIU Li	BKP926	Licensed Representative		1
LIU Shuyi	BNL432	Licensed Representative	2	
LIU Wai Hung	ANK399	Licensed Representative	9	
LO Chun Wah	AJD784	Licensed Representative		4, 9
LO Tak Hung Christopher	BFV924	Licensed Representative	6	
LOK Kong Hung	AGJ942	Licensed Representative		3
LOW Audrey Ying Jun	BFH437	Licensed Representative		9
LU Ye	BFE455	Licensed Representative		1
LUI Ching Yan	AXG549	Licensed Representative		2
LUI Yue Kwong, Christopher	AOS677	Licensed Representative	1	
LUK Kar Man, Carmen	AVD308	Licensed Representative	2	
MA Di	BNB075	Licensed Representative	6	
MA Johnny Bun Wah	AES117	Licensed Representative		2
MA Ruosi	BKM256	Licensed Representative		9
MA Xiyuan	BIU614	Licensed Representative		1, 4, 5, 9
MA Yanting	BJH264	Licensed Representative		2, 4
MAK Wai Kuen	BGY373	Licensed Representative	1	
MAN Ngai Hang	BKU345	Licensed Representative		2
MAO Li	AQG730	Licensed Representative	4	
MATHES Michel	AZJ137	Licensed Representative	1, 4	9
MC EVOY Andrew	BMF537	Licensed Representative	1, 7	5
MC GUIRE Yuk Yin	ASW654	Licensed Representative	4, 7	2
MING Chi Ho, Edward	AUS686	Licensed Representative	4	
MIU Ka Keung, Kevin	AEX440	Licensed Representative		1
MOK Ho Nam	BLK652	Licensed Representative	1	
NG Calvin Cheuk Sing	ARH478	Licensed Representative	1	
NG Chi Wai	APH765	Licensed Representative	1, 4	
NG Ching Ho	AXI359	Licensed Representative	1	
NG Kwok To, Henry	BNH613	Licensed Representative	2	
NG Yuk Hang, Joey	AVK956	Licensed Representative		4
NING Yanyue	BLG363	Licensed Representative	4	
PATEL Divyesh Dineshchandra	AQW179	Licensed Representative	4	7
POLLEY Sean Sullivan	AXI511	Licensed Representative	9	1, 2
POON Ho Lam	AMR118	Licensed Representative		3
POON Ka Ki	BNF545	Licensed Representative	10	1, 4, 6
PUN Ko Fung	BFH447	Licensed Representative	9	
QIAN Cheng	ALW229	Licensed Representative	4	
QIU Yue	BCZ104	Licensed Representative		1, 4
REIMANN Jahmaine Aderelli	BNA748	Licensed Representative		1
REN Huaijin	BBB773	Licensed Representative	5	
SEETO Siu Hong	BHC305	Licensed Representative		4, 9
SHAO Ying	BBA771	Licensed Representative	9	
SHE Jingwei	BKH340	Licensed Representative	6	
SHEN Chengjie	AVQ799	Licensed Representative	1, 4	
SHEN Tingting	BLZ746	Licensed Representative		3

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SHIH Pei Yun	BOI208	Licensed Representative	9	
SIN Ka Wai	BDL790	Licensed Representative	2	
SIU Kin Chung	AAH536	Licensed Representative		3
SONG Yulin	BMW915	Licensed Representative		2
SOONG Yuk Lun, Gary	ADP887	Licensed Representative		1
SPENSLEY Oliver Giles	ASW652	Licensed Representative	4, 7	2
SUEI Feng-jih	AOQ659	Licensed Representative		4
SUN Na	AOY607	Licensed Representative	1	
SUN Tsui Ping	AUV990	Licensed Representative	2	
SUN Ze	BNV852	Licensed Representative	4	
SUNG Siu Hang, Sennen	AOR113	Licensed Representative		1
TAN Choon Ming	AMA932	Licensed Representative	10	4
TAN Hui Shang Serene	BNO561	Licensed Representative	9	
TANG Chun Pong	BFN646	Licensed Representative	9	
TANG Shiu Lun, Jeffrey	APY773	Licensed Representative		2
TANG Wai Ho	BOA484	Licensed Representative	2	
TANG Wing Lun	AVL490	Licensed Representative		2
TANG Yuk Sang	ASO687	Licensed Representative	1, 2	
THAM Wai Ming	BAZ626	Licensed Representative		4
THIELEN Markus Christian	AKS994	Licensed Representative	1, 2, 4	
TSANG Jason Siu Hang	BOM563	Licensed Representative	4	
TSANG Kong Kiu, Balder	BIH023	Licensed Representative		9
TSANG Sui Wing	ANH129	Licensed Representative		2, 4
TSE King Chung, Kendall	ANN387	Licensed Representative	9	
TSE Lok Sang	BIR021	Licensed Representative	4, 9	
TSE Wai Bui	AQQ123	Licensed Representative		4
TSUNG Kelvin	ATO931	Licensed Representative	2, 9	
WAI Chi Ho, Bob	APP003	Licensed Representative		9
WAN Ching Lam	BCC333	Licensed Representative		2
WAN Lu	BMT254	Licensed Representative	2	9
WANG Chi Yat	AYC881	Licensed Representative	2	
WANG Hongbo	BDJ609	Licensed Representative		3
WANG Jiayu	BJH821	Licensed Representative		1
WAT Tsun Tao	BN0906	Licensed Representative	1, 2	
WEI Xiang	BMB952	Licensed Representative	9	
WEY Meirong	ATC520	Licensed Representative		2
WONG Chan Wing	ALO261	Licensed Representative		1
WONG Chi Ching	ANY418	Licensed Representative		3
WONG Chi Kai	AFP807	Licensed Representative	1, 9	4
WONG Ching	AXN060	Licensed Representative		2
WONG Ka Shing	ARL160	Licensed Representative	9	
WONG Kin Fung	BIB895	Licensed Representative	1	
WONG King Chung	APB407	Licensed Representative		4
WONG Suk Wah	AIZ232	Licensed Representative	2	
WONG Yuk Sha	BLI523	Licensed Representative	2	
WU Hao	AYZ032	Licensed Representative	4	
WU Xueying	AWC459	Licensed Representative		3
XIA Peng	BNR746	Licensed Representative	9	
XIA Tenglei	BIH760	Licensed Representative	9	1, 2, 3
XIA Yufei	BKK310	Licensed Representative	5	
XIE Yi	BOL641	Licensed Representative	2	
XU Aolei	BNY224	Licensed Representative	6	4
XU Wenyan	BDB898	Licensed Representative		3
XU Xiao	BNM996	Licensed Representative		2
XU Xingye	BMQ601	Licensed Representative	4, 5, 9	
XU Yuanzhe	BGO355	Licensed Representative		3
YAN Po Kwan	ADP430	Licensed Representative		4
YAN Yishu	BGT857	Licensed Representative	1	
YANG Clinton Victor	ALI750	Licensed Representative	4	9
YANG Po Jen	BKU710	Licensed Representative	9	1, 6
YANG Shu Hui	BJG892	Licensed Representative	2, 5	
YAO Jingwan	BLW968	Licensed Representative	1, 4, 9	10
YAO Simone Tong	BEF804	Licensed Representative	4	
YEUNG Chi Wai	BKD293	Licensed Representative		2
YEUNG Chun Ki	AEP502	Licensed Representative	5	
YEUNG Hiu Yan, Catherine	AZP173	Licensed Representative	1	
YEUNG Ho Chuen	BJR778	Licensed Representative	2	
YEUNG Hoi Yu	BNX686	Licensed Representative		4
YEUNG Man Lok	AUZ880	Licensed Representative	2	1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YEUNG Tat Ho	AXZ759	Licensed Representative	4	
YEUNG Yat Tung	AVD364	Licensed Representative		1
Yi Xingxing	BGC842	Licensed Representative	4	
YIP Chi Shing, Gerald	AEJ197	Licensed Representative	2, 5	
YIP Lu Si	BEZ348	Licensed Representative		2, 3, 4
YIP Sin Wa	BNO475	Licensed Representative	2	
YIP Tang Pang	BBJ914	Licensed Representative		3
YIP Wing Yi	BF1066	Licensed Representative	4, 7	2
YOU Tao	BGM425	Licensed Representative	2	4, 9
YU Chun Hoi	BKH615	Licensed Representative	9	
YU Hsin Ting	BJL957	Licensed Representative		7
YU Lam	APB773	Licensed Representative		1
YU Zhenzhou	AQH374	Licensed Representative		3
YUAN Siqing	BIL747	Licensed Representative	2	
YUEN Wing Kit	AXP610	Licensed Representative		2, 4
YUNG Lap Hang	AER415	Licensed Representative	1	
ZENG Luhai	AQQ661	Licensed Representative		6
ZHANG Chaofan	BMT613	Licensed Representative	4	
ZHANG Keming	BOL768	Licensed Representative	2	
ZHANG Kun	AYX057	Licensed Representative	9	
ZHANG Kun	BNL518	Licensed Representative		3
ZHANG Lei	BOF318	Licensed Representative		4
ZHANG Linyue	BNE751	Licensed Representative	9	1, 6
ZHANG Qi	BNG080	Licensed Representative	2, 4	
ZHANG Qun	BOB833	Licensed Representative	2, 4	
ZHANG Xiaobin	BNV054	Licensed Representative	1, 6	4
ZHANG Yi	BDU217	Licensed Representative		4, 9
ZHANG Yongchao	BDA172	Licensed Representative	9	
ZHANG Zhe	BIY266	Licensed Representative		4
ZHANG Ziran	BFC896	Licensed Representative	4	
ZHAO Anan	BMW483	Licensed Representative		3
ZHAO Wei	BAB437	Licensed Representative		3
ZHAO Yifan	BNG821	Licensed Representative		3
ZHAO Zhejin	AXD123	Licensed Representative		3
ZHENG Peiyuan	AYK896	Licensed Representative		3
ZHOU Weilong	BAY479	Licensed Representative	4	
ZHOU Xinchun	BKU697	Licensed Representative		2
ZHOU Yake	BGZ975	Licensed Representative		3
ZHU Jiayi	BLC455	Licensed Representative		3
ZHU Jin	BFJ096	Licensed Representative	1	9
ZHU Xiaochen	BMA483	Licensed Representative		4
ZHUANG Jiejing	BKF285	Licensed Representative	9	
ZOU Wuqiu	BAK936	Licensed Representative		1
AU Kwan Lok	AMM284	Licensed Representative - Responsible Officer	1, 4	
CHAN Chun Fung	ARD430	Licensed Representative - Responsible Officer	2, 4, 5	
CHAN Henry	AMR204	Licensed Representative - Responsible Officer	4	
CHAN Hiu Lung	BGU842	Licensed Representative - Responsible Officer	4	
CHAN Kai Wah	ADA872	Licensed Representative - Responsible Officer	9	
CHAN Pak Hin, Percy	AEB921	Licensed Representative - Responsible Officer	1, 4	
CHAN Siu Ling, Ascar	AVC082	Licensed Representative - Responsible Officer		9
CHAN Wai Chi Esmond	AVY495	Licensed Representative - Responsible Officer	9	
CHAU Chung Kai, Peter	AAC736	Licensed Representative - Responsible Officer		1, 4
CHAU Koan Hung, Ringo	AAK733	Licensed Representative - Responsible Officer	4, 9	
CHENG Kang	BOH183	Licensed Representative - Responsible Officer	2	
CHIA Janice Jhet Yen	AOY762	Licensed Representative - Responsible Officer	4	
CHOI Joon Ho	ARJ837	Licensed Representative - Responsible Officer	4, 5	
CHOW Wai Kei, Ricky	ACG060	Licensed Representative - Responsible Officer	4	
CHOW Wing Yan, Joseph	AQZ664	Licensed Representative - Responsible Officer	4	
CHU Fung Ting	AWV425	Licensed Representative - Responsible Officer	1	
CHU Wai Pang	ABF141	Licensed Representative - Responsible Officer	6	
CHUNG Chak Man	APH261	Licensed Representative - Responsible Officer	9	
DENG Jun	APZ630	Licensed Representative - Responsible Officer	6	
EVANS Gareth Handel	AMK322	Licensed Representative - Responsible Officer	1	
FU Yiu Hang	AGH815	Licensed Representative - Responsible Officer	1	
HO Hoi Tung	AHE146	Licensed Representative - Responsible Officer	4, 9	
HUANG Chaoyin	APH954	Licensed Representative - Responsible Officer	1	
HUANG Yao Tung	BJU622	Licensed Representative - Responsible Officer	9	
HUI Tin Kay	AFS483	Licensed Representative - Responsible Officer	4	
KAWAI Hidemoto	AJL881	Licensed Representative - Responsible Officer	4, 5	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2019

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KWOK Clarence Ming Hin	AP0057	Licensed Representative - Responsible Officer	4	
KWONG Chi Kong, Andy	ADV456	Licensed Representative - Responsible Officer	4	
LAI Ka Kit	ALZ752	Licensed Representative - Responsible Officer	1	
LAI Ming Him	AZF662	Licensed Representative - Responsible Officer	9	
LAU Ka Yip, Eddy	ACD286	Licensed Representative - Responsible Officer	3	
LEI Chunran	ASP969	Licensed Representative - Responsible Officer	9	
LEUNG Man Tat	AFQ229	Licensed Representative - Responsible Officer	4, 9	
LI Cho Kwong	AEU474	Licensed Representative - Responsible Officer	9	
LIAROKAPIS Vassiliki	AUZ230	Licensed Representative - Responsible Officer	4, 5	
LINE Kwai Chun	ACK809	Licensed Representative - Responsible Officer	2	
LO Kwai Sang, Dennis	ABM989	Licensed Representative - Responsible Officer	2	
LO Roxane Ting-kit	ALC465	Licensed Representative - Responsible Officer	4	
LO Wing Kin, Kenneth	AFA296	Licensed Representative - Responsible Officer	4	
MA Xiaoling	AQU423	Licensed Representative - Responsible Officer	2	
MC COY Michael Andrew	AAF167	Licensed Representative - Responsible Officer		6
NG Chi Hung	APK407	Licensed Representative - Responsible Officer	4	
NG Yee Ki Aiki	BHU614	Licensed Representative - Responsible Officer	1	
PANG Leung Wai, Andrew	ABK028	Licensed Representative - Responsible Officer	1	
PARADISE James Richard	AHA220	Licensed Representative - Responsible Officer	9	
PONG Cheuk Pun	BAY306	Licensed Representative - Responsible Officer	1	
POON Ho Leung	AAK420	Licensed Representative - Responsible Officer	4	
SEN Tung Ning	AFI668	Licensed Representative - Responsible Officer	4	
SKINNER Donald William Lennox	AAI493	Licensed Representative - Responsible Officer		6
SU Ling	BJN297	Licensed Representative - Responsible Officer	6	
SUTCH Jeremy Rupert Peter	ADB510	Licensed Representative - Responsible Officer	4	
TAM Yan Wang	BBI783	Licensed Representative - Responsible Officer	9	
TANG Shiu Lun, Jeffrey	APY773	Licensed Representative - Responsible Officer	4	
TAO Kwok Lau, Clement	AAK078	Licensed Representative - Responsible Officer	1	
TIAN Jinyu	BCD350	Licensed Representative - Responsible Officer	4	
TONG Leslie Wai-yun	APL611	Licensed Representative - Responsible Officer	4	
TSANG Ka Kit	AXD389	Licensed Representative - Responsible Officer	4, 9	
TSOI Kwok Keung	AAD694	Licensed Representative - Responsible Officer	1, 4	
WAKAO Tetsuji	AQQ076	Licensed Representative - Responsible Officer	9	
WAN Kin Chung	BMG887	Licensed Representative - Responsible Officer	1	
WONG Ah Lok	BIJ387	Licensed Representative - Responsible Officer	4, 5	
WONG Ka Kin, Michael	AQI872	Licensed Representative - Responsible Officer	4	
WONG Wai Hong	ANG976	Licensed Representative - Responsible Officer	4	
WONG Wai Kwan	AWA381	Licensed Representative - Responsible Officer	9	
WOO Kwok On	AEM544	Licensed Representative - Responsible Officer		2
WOO Peter	ACY383	Licensed Representative - Responsible Officer	4	
WRIGHT Lindsay Megan	AKY945	Licensed Representative - Responsible Officer	4, 9	
WU Chun Wan, David	AMO642	Licensed Representative - Responsible Officer	5	
Xi Jiahe	BCJ300	Licensed Representative - Responsible Officer	1	
XIANG Xi	BAP836	Licensed Representative - Responsible Officer	1	
XIE Kaicong	BAP925	Licensed Representative - Responsible Officer	2	
YANG Xiuke	BAH518	Licensed Representative - Responsible Officer	1	
YEUNG Hau Keung	ALQ272	Licensed Representative - Responsible Officer		4
YI Chuanghua	AYR034	Licensed Representative - Responsible Officer		2
ZHANG Xiaoyu	BMQ071	Licensed Representative - Responsible Officer	4, 9	
ZHANG Yu	BMM427	Licensed Representative - Responsible Officer	4, 9	
ZHU Jiang	AKO829	Licensed Representative - Responsible Officer	1	
CIMB Bank Berhad	AFJ901	Registered Institution		6