

Month Mont	VARIATIONS OF REGULATED ACTIVITIES OF LICENSED F	ERSONS/RE	GISTERED INSTITUTIONS DURING 11/2019		
March Marc	CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
PR Print A John Street Print A John Stre	Assured Asset Management Limited	AFQ783	Licensed Corporation	1	
Security Security	BE Fortune (HK) Investment Management Limited	BNQ765	Licensed Corporation	4	
Security Security	BFIN Private Advisors (Hong Kong) Limited	BCA093	Licensed Corporation		6
Part		BBG322	Licensed Corporation	4	
Property Services 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1900 1	-		· ·	4.9	
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PRINT CIPATE MANAGEMENT LIMITED 1000000 10000000000000000000000000	- '		· ·		
R. Calulane, Autore R. Aut	Korea Investment & Securities Asia Limited	AED807	Licensed Corporation	2	
	Pickers Capital Management Limited	BDW926	Licensed Corporation	4	
Technology Recigit Joseph Applied Applie	RC Futures Advisory Limited	AUV525	Licensed Corporation	4	
A	Smart Empire Asset Management Limited	BDY276	Licensed Corporation	4	
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CHANN For Inc. CHAN	CAMARDA JR Joseph Andrew	AXN289	Licensed Representative	4	
CHAN NEP Fing	CHAN Hoi Ting	BGD849	Licensed Representative	4	
CHAN Morg Prog	CHAN Ka Ho	AWF460	Licensed Representative		2
CHAN Morg Prog	CHAN Ki Fung	BLI064	Licensed Representative	2	
CHAN Lang Marg AT 1471			· ·		
AB-N232			·	1	
CHAN Pay III			· ·	1	4
CHAN Par Ir	-		·		
CHAN Year Yu Jessica			· ·		9
CHAN Yes V_Lessian	CHAN Pik Ki	ANU945	Licensed Representative	9	
CHEN Program	CHAN Tsz Hon	ANW417	Licensed Representative	1	
DENN Brings SNUBS B8753 Lecrosed Representative 4	CHAN Yan Yu Jessica	BMX472	Licensed Representative	4	6
Defen Merg B8Y836	CHAPPEL Christopher Lee	ALI728	Licensed Representative		4
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	GAO Wei				9
GU Hanyu BIR327 Licensed Representative 4	GE Yingming	BDO882	Licensed Representative		4
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VARIATIONS OF REGULATED ACTIVITIES OF LICENSED F	LINGOINGINE	·		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HIBBS Mark Ashley	ARF450	Licensed Representative	1, 4	
HO Cheuk Yuen, Michael	AWU745	Licensed Representative	9	1
				1
HO Irene Man Yi	BDF016	Licensed Representative	6	
HO Ka Wing	BNU990	Licensed Representative	2	
HO Lik Kwan, Luke	ALS703	Licensed Representative	1	
HO Shing Yan William	BED044	Licensed Representative	4	1, 2
				1, 2
HO Tsz Him	AVT617	Licensed Representative	2	
HOLLAND Thomas Patrick	AJE846	Licensed Representative	1, 4	
HU Bin	BHF818	Licensed Representative		2, 5, 6
HU Mingyue	BCA416	Licensed Representative	6	7-7-
HUNG Hei Shun	BNG150	Licensed Representative	9	1, 6
HUNG Lung Chuen	AVO458	Licensed Representative	4	
HUO John Xiao Yu	BEF839	Licensed Representative		5
HURSON Eric Pierre Jean	BLS680	Licensed Representative	5	
IP Ka Ho	BOM542	Licensed Representative	3	
IP Sau Him	BOB380	Licensed Representative	4	
JI Yuanyuan	AYI807	Licensed Representative	4, 9	
JIANG Yingyun	BKL592	Licensed Representative	1, 4, 9	10
		· ·	1, 4, 9	
JOHNSON Donald Joseph	AZH721	Licensed Representative		4
JU Tong	BMM186	Licensed Representative	1, 6	9
KAM Ka Wai	AYH477	Licensed Representative		2
		· ·	7	
KIM Justin Jongwon	AZF496	Licensed Representative	1	
KO Lap Chuen	AIH610	Licensed Representative		2
KONG Ka Fai, Simon	ABP985	Licensed Representative	2	
KWAN Wai Hang, William	ALW384	Licensed Representative		3
			4	
KWOK Chi Wai, Will	BIS591	Licensed Representative		2, 5
KWOK Kelvin	AHT200	Licensed Representative	4	
KWONG Marcus Mann	AFU388	Licensed Representative	7	
LAI Kai Po	BIS734	Licensed Representative	9	
				4.0
LAM Andrew Robert	BFT298	Licensed Representative		1, 9
LAM Chi Shing	AKO943	Licensed Representative		4, 9
LAM Chi Yip	BGB719	Licensed Representative	4, 5	
LAM Chun Bun	BHL101	Licensed Representative	3	
			3	
LAM Kin	ADN251	Licensed Representative		3
LAM Wing Hei	ATB985	Licensed Representative		4
LAU Chun Hung	BJB485	Licensed Representative		5
LAU Shing Yan	BHH248	Licensed Representative	9	1
		· ·	5	
				3
LAU Stella Yuet Wah	ADS277	Licensed Representative		
LAU Stella Yuet wan LAU Yan Kit	ARI646	Licensed Representative	4, 9	
			4, 9 9	
LAU Yan Kit LAU Yu Leong	ARI646 BNL309	Licensed Representative Licensed Representative		1
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary	ARI646 BNL309 AXW635	Licensed Representative Licensed Representative Licensed Representative		1
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho	ARI646 BNL309 AXW635 AFV962	Licensed Representative Licensed Representative Licensed Representative Licensed Representative		1
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary	ARI646 BNL309 AXW635	Licensed Representative Licensed Representative Licensed Representative		1 4,9
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho	ARI646 BNL309 AXW635 AFV962	Licensed Representative Licensed Representative Licensed Representative Licensed Representative		1 4, 9
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1	1 4,9
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891	Licensed Representative	1 2	1
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262	Licensed Representative	9 1 2 4	1 4, 9 1 1, 6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891	Licensed Representative	1 2	1
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262	Licensed Representative	9 1 2 4	1
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438	Licensed Representative	9 1 2 4	1
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591	Licensed Representative	9 1 2 4	1 1,6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434	Licensed Representative	9 1 2 4	1 1,6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589	Licensed Representative	9 1 2 2 4 2 1 1 1 1 1	1 1,6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434	Licensed Representative	9 1 2 4	1 1,6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589	Licensed Representative	9 1 2 2 4 2 1 1 1 1 1	1 1,6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002	Licensed Representative	9 1 2 2 4 2 1 1 1 9 9	1 1,6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641	Licensed Representative	9 1 2 2 4 2 1 1 1 9 9	1 1, 6 3 2
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002	Licensed Representative	9 1 2 4 2 1 1 9 9	1 1,6
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641	Licensed Representative	9 1 2 2 4 2 1 1 1 9 9	1 1, 6 3 2
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI ANThea	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149	Licensed Representative	9 1 2 4 2 1 1 9 9	1 1, 6 3 2
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027	Licensed Representative	9	1 1, 6 3 2 2 1 4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494	Licensed Representative	9	1 1,6 3 2
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066	Licensed Representative	9	1 1, 6 3 2 2 1 4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494	Licensed Representative	9	1 1, 6 3 2 2 1 4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066	Licensed Representative	9 1 2 4 2 1 1 9 9 2 4, 9	1 1, 6 3 2 2 1 4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng LI Xiang Betty LI Xiaoxi	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728	Licensed Representative	9 1 2 4 2 1 1 9 9 2 4, 9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng LI Xiang Betty LI Xiaoxi LI Yong	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817	Licensed Representative	9 1 2 4 2 1 1 9 9 2 4, 9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng LI Xiang Betty LI Xiaoxi LI Yong LIEM Chung Wong, Jasper	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728	Licensed Representative	9 1 2 4 2 1 1 9 9 2 4, 9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng LI Xiang Betty LI Xiaoxi LI Yong	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817	Licensed Representative	9 1 2 4 2 1 1 9 9 2 4, 9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng LI Xiang Betty LI Xiaoxi LI Yong LIEM Chung Wong, Jasper	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809	Licensed Representative	9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Teng LI Xiang Betty LI Xiaoxi LI Yong LIEM Chung Wong, Jasper LIN Chi Hing LIN Zheyu	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809 BIY468 BHT775	Licensed Representative	9 1 2 4 2 1 1 9 9 2 4, 9 1 1, 4 1	1 1,6 3 2 2 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEI Tis Bun, Benjamin LEI Ho Chun LUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Tangfei LI Yong LIEM Chung Wong, Jasper LIN Chi Hing LIN Zheyu LIN Zheyu LIN Zheyu LIU Baixian	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AOZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809 BIY468 BHT775 BNT242	Licensed Representative	9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LET Si Bun, Benjamin LEI Ho Chun LUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Tangfei LI Tang LI Xiaoxi LI Yong LIEM Chung Wong, Jasper LIN Chi Hing LIN Zheyu LIU Baixian LIU Haan Chieh, Gary	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809 BIY468 BHT775 BNT242 ADW756	Licensed Representative	9 1 2 4 2 1 1 9 9 2 4, 9 1 1, 4 1	1 1,6 3 2 2 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEI Tis Bun, Benjamin LEI Ho Chun LUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Tangfei LI Yong LIEM Chung Wong, Jasper LIN Chi Hing LIN Zheyu LIN Zheyu LIN Zheyu LIU Baixian	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AOZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809 BIY468 BHT775 BNT242	Licensed Representative	9	1 1,6 3 2 2 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LEUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Tangfei LI Yong LIEM Chung Wong, Jasper LIN Chi Hing LIN Zheyu LIU Baixian LIU Haan Chieh, Gary	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809 BIY468 BHT775 BNT242 ADW756	Licensed Representative	9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEE Tsi Bun, Benjamin LEI Ho Chun LUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Tangfei LI Yong LIEM Chung Wong, Jasper LIN Chi Hing LIN Zheyu LIU Baixian LIU Huan Chieh, Gary LIU Man Lai, Manly LIU Man Lai, Manly LIU Ci Yee	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AOZ591 BNG434 BNL589 ACM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809 BIY468 BHT775 BNT242 ADW756 ADI698 AOR643	Licensed Representative	9	1 1,6 3 2 2 1 4 1,2 1,4
LAU Yan Kit LAU Yu Leong LAW Chung Wai, Gary LAW Kam Ho LAW Wan Ting LEE Chia-Hua LEE Chun Sing LEE Hong Bum LEE Ji Su LEE Ka Yee LEE Lok Ki LEE Mei Lam Nicole LEE Ming Han LEI To Shun, Benjamin LEI Ho Chun LUNG Yin Wing LI Anthea LI En Tzu LI Kit Lan LI Tangfei LI Tangfei LI Yong LIEM Chung Wong, Jasper LIN Chi Hing LIN Zheyu LIU Baixian LIU Huan Chieh, Gary LIU Man Lai, Manly	ARI646 BNL309 AXW635 AFV962 BFJ078 APB416 ALY891 BHU262 BDE438 AOR521 AQZ591 BNG434 BNL589 AQM594 ATY002 ACD641 BMW149 BNC713 BAN027 BMT494 BLI066 AYR059 BOT728 BEV817 APY809 BIY468 BHT775 BNT242 ADW756 ADI698	Licensed Representative	9	1 1,6 3 2 2 1 4 1,2 1,4



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED F	PERSONS/RE	GISTERED INSTITUTIONS DURING 11/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LO Siu Fong, Regina	ACB850	Licensed Representative		1
LONG Yu	BOG511	Licensed Representative	1	
			9	1
LU Chengfang	BIO470	Licensed Representative	9	_
LUK Chi Yang Jonathan	BFY606	Licensed Representative		5
MA Johnny Bun Wah	AES117	Licensed Representative	9	
MA Kit Sum Isis	AYD565	Licensed Representative		9
MA Yanting	BJH264	Licensed Representative	6	
MA Yuanjing	BFE452	Licensed Representative	1, 4	
MAAYOUFI Sofiane	AOH908	Licensed Representative		4, 5
				4, 5
MAK Yin Ping	AGC695	Licensed Representative		1
MAO Xiaoliang	APQ231	Licensed Representative		1
MARK Wo Kim	AJL718	Licensed Representative		3
MEENAN Raymond David	AXW269	Licensed Representative	7	
MENG Bingyu	AWS029	Licensed Representative	4	
MOK Ho Tung, Clayton	APC642	Licensed Representative	1, 2	4
		·	1,2	4
MOK Man Fai	AAN711	Licensed Representative		1
MU Xin	BFM721	Licensed Representative		9
NG Kin Ying	AFU582	Licensed Representative	2, 5	
NG Tsz Kin, William	BOJ972	Licensed Representative		5
NG Wai Sze	ARG815	Licensed Representative	1	
NG Yu Hin	BMO583	Licensed Representative	9	1, 6
				., .
NGAI Wing Sum	BNQ788	Licensed Representative	4, 9	
PENG Hsin-jung	BEO569	Licensed Representative	1	
PENG Hui	BMW318	Licensed Representative	4	
PENG Shuaijie	BNJ202	Licensed Representative	4, 9	
PHUA Victor Chen Ee	AZC163	Licensed Representative	9	1, 2, 7
POON Koon Ting	BGP558	Licensed Representative	9	
				1
POON Pak Ho, Raymond	ACO263	Licensed Representative		1
POON Tit Chu, Dixon	AEI207	Licensed Representative	2	
QIAO Bin	BCC148	Licensed Representative	4, 9	
QIAO Yahong	BKS805	Licensed Representative	9	1
QIU Yue	BHD128	Licensed Representative	4, 9	
RA Helen	AYD212	Licensed Representative	7	
				4
REN Zhen	BMC885	Licensed Representative		1
SEETO Siu Hong	BHC305	Licensed Representative	4, 9	
SHEN Chun Yu	BAH994	Licensed Representative		1
SHUM Chung Lai, Tommy	ANT372	Licensed Representative	2	
SHUM Ying Chi	BMN417	Licensed Representative		1
SIA Ke Yong Jared	BKI365	Licensed Representative	6	2
SIA Sze Wai	AQI873	Licensed Representative		3
		'		
SMITH Nicole Jia Zhong	BNF380	Licensed Representative	9	1, 6
SONG Honghai	AME465	Licensed Representative		4, 6, 9
SONG Yulin	BMW915	Licensed Representative	6, 9	
SOONG Eik Hung	BAK958	Licensed Representative		1, 4
SZE Yuk Lung	BKG801	Licensed Representative	4	
TAM Ho Kwan	BOJ234		2	
		Licensed Representative	2	
TANG Chi Ho	AQS223	Licensed Representative		2, 4, 9
TANG Ming Yuen	AIS988	Licensed Representative		3
TANG Tsz Hin	BOS424	Licensed Representative	2	
TENG Wenjia	BOF593	Licensed Representative	2	
TONG Kin Yip	BLH252	Licensed Representative		1
TONG Tak Kin	BOT315	Licensed Representative	3	
			-	4.0
TSOI Ting Mun	AUV894	Licensed Representative		4, 9
TSOI Wai Hin	ACJ816	Licensed Representative		3
TSUI Ho Chuen, Samson	BHB670	Licensed Representative	3	
TSUI Suk Man	AEY929	Licensed Representative	2	
				1, 4
WAN Po Wing, Paul	APC277	Licensed Representative		11,7
			1, 2	9
WAN Siu Kin	ASL738	Licensed Representative	1, 2	
WAN Siu Kin WANG Bin	ASL738 AVZ046	Licensed Representative Licensed Representative	1	
WAN Siu Kin WANG Bin WANG Changli	ASL738 AVZ046 BOI236	Licensed Representative Licensed Representative Licensed Representative	1 4, 9	
WAN Siu Kin WANG Bin	ASL738 AVZ046	Licensed Representative Licensed Representative	1	
WAN Siu Kin WANG Bin WANG Changli	ASL738 AVZ046 BOI236	Licensed Representative Licensed Representative Licensed Representative	1 4, 9	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu	ASL738 AVZ046 BOI236 BOP243	Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1 4, 9 4, 9	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808	Licensed Representative	1 4, 9 4, 9 6 4	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Wei	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207	Licensed Representative	1 4, 9 4, 9 6 4 1, 6	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Wei WANG Xin	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207 BOU787	Licensed Representative	1 4, 9 4, 9 6 6 4 1, 6	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Wei WANG Xin WANG Yang	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207 BOU787 BLX566	Licensed Representative	1 4, 9 4, 9 6 4 1, 6 9	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Wei WANG Xin	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207 BOU787	Licensed Representative	1 4, 9 4, 9 6 4 1, 6 9 9	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Wei WANG Xin WANG Yang	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207 BOU787 BLX566	Licensed Representative	1 4, 9 4, 9 6 4 1, 6 9	
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Wei WANG Xin WANG Yang WANG Yanruo	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207 BOU787 BLX566 AYI703	Licensed Representative	1 4, 9 4, 9 6 4 1, 6 9 9	9
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Shuang WANG Wei WANG Xin WANG Yang WANG Yanruo WANG Yifang	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207 BOU787 BLX566 AYI703 BNI063	Licensed Representative	1 4, 9 4, 9 6 4 1, 6 9 9 1, 4	9
WAN Siu Kin WANG Bin WANG Changli WANG Jianyu WANG Jiawei WANG Shuang WANG Shuang WANG Wei WANG Xin WANG Yang WANG Yanruo WANG Yifang WAT Tsun Tao	ASL738 AVZ046 BOI236 BOP243 BJK267 BEZ808 AJC207 BOU787 BLX566 AY1703 BNI063 BNO906	Licensed Representative	1 4, 9 4, 9 6 4 1, 6 9 9 1, 4	9



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED F	PERSONS/RE	GISTERED INSTITUTIONS DURING 11/2019		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Ka Man	AGH379	Licensed Representative		3
WONG Kit Sum, Ada	AMU509	Licensed Representative		3
WONG Lok Him, Howard	AMX945	Licensed Representative		9
WONG Mau Ying	AWN369	Licensed Representative		4
WONG Oi Tsz	BGO969	Licensed Representative		1
WONG Tak Shing	BJD728	Licensed Representative		9
-		· ·		2, 5
WONG Wai Hong	ACZ526	Licensed Representative		2, 5
WONG Wing Chiu	BHU097	Licensed Representative	4	
WONG Wing Lam	ARK450	Licensed Representative	2	9
WONG Yat Fun, Alfred	ALV390	Licensed Representative		9
WU Di	BNJ741	Licensed Representative	5	
WU Po	AGK695	Licensed Representative		3
XIA Tian	BFX031	Licensed Representative	6	4
XING Kainan	BDN118	Licensed Representative	9	1, 2
YABE Nanako	BEP230	Licensed Representative	1	
YAMAMOTO Hideki	AXO657	Licensed Representative		4
YAN Chongzhou	BLN679	Licensed Representative	4	
YANG Dong	BBP096	Licensed Representative		4
YANG Kaiyi	BJY550	Licensed Representative	4	
YANG Yi	BMP248	Licensed Representative	-	9
				9
YAU Jason Chin Ting	BIW639	Licensed Representative	9	
YAU Michael	ANH079	Licensed Representative	4	2
YEUNG Sau Yin	BBJ902	Licensed Representative	2, 4, 5	
YICK Wai Sze, Vicky	AKX961	Licensed Representative		5, 9
YING Chi Kwan	ALZ863	Licensed Representative	1	
YIP Tsun Wah Alvan	ATO776	Licensed Representative		1
YU Peng	BGG515	Licensed Representative		9
YUEN Caroline	BIN528	Licensed Representative		2
ZHANG An	BNA995	Licensed Representative	9	
ZHANG Minghua	ASP315	Licensed Representative	9	
ZHANG Senyan	AWK695	Licensed Representative	4	
ZHANG Zhipeng	BEA629	Licensed Representative		1, 4, 9
ZHAO Shuqin	BIW564	Licensed Representative	4	, ,, ,
· ·			4	4
ZHAO Song	BFR106	Licensed Representative		1
ZHAO Tao	AZX096	Licensed Representative	6	
ZHOU Lihua	BFS121	Licensed Representative		1
ZHOU Qi	BMR125	Licensed Representative	4	1, 9
ZHOU Tianyou	BOC622	Licensed Representative		1, 7
ZHOU Xinyuan	BNC148	Licensed Representative	2	
			_	
ZHOU Yan	BGK629	Licensed Representative	9	
ZHOU Yan ZOU Liyi	BGK629 BHO982	Licensed Representative Licensed Representative	6	
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ZOU Liyi	BHO982	Licensed Representative	6	
ZOU Liyi CHAN King Chuen	BHO982 AAE349	Licensed Representative Licensed Representative - Responsible Officer	6 8	
ZOU Liyi CHAN King Chuen CHAN Man Fung	BHO982 AAE349 AXN001 AHN578	Licensed Representative - Responsible Officer	6 8 8	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho	BHO982 AAE349 AXN001 AHN578 BEE471	Licensed Representative - Responsible Officer	6 8 4 4	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139	Licensed Representative - Responsible Officer	6 8 8	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717	Licensed Representative - Responsible Officer	6 8 4 4	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323	Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222	Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4 4 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4 4 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4 4 4, 9 2 1 1	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4 4, 9 2 1 1 4 4 4 9 4 1 1 1 1 4 4 9 1 1 1 1 1 1	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Vue Wah, Brian LAU Vue Wah, Brian	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4 4, 9 2 1 1 4 4 9 4 9 1 1 1 4 4 9 1 1 1 1 1 1 1	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Vue Wah, Brian LAW Ka Fai LEE Ho Tak	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953 AJB234	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4, 9 2 1 1 4 4 4 9 1, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Yue Wah, Brian LAW Ka Fai LEE Ho Tak LEE Kang-hee	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953 AJB234 BOE738	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4, 9 2 1 1 4 4 4 9 1, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Vue Wah, Brian LAW Ka Fai LEE Ho Tak	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953 AJB234	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4, 9 2 1 1 4 4 4 9 1, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Yue Wah, Brian LAU Yue Wah, Brian LAU Ka Fai LEE Ho Tak	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953 AJB234 BOE738	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4, 9 2 1 1 4 4 4 9 1, 9	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Yue Wah, Brian LAW Ka Fai LEE Ho Tak LEE Kang-hee LEUNG HOM	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953 AJB234 BOE738 AZM887	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4, 9 2 1 1 4 4 4 9 1, 9 2 1 1 4 4 4 4 9 1 1 1 4 4 4 9 1 1 1 1 1 1	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Yue Wah, Brian LAW Ka Fai LEE Ho Tak LEE Kang-hee LEUNG HOME	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953 AJB234 BOE738 AZM887 AFB193	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 4 9 4, 9 4 5 4 4 4, 9 2 1 1 4 1 1 4 9 1, 2 4 4 4 4 4 9 2 1	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Ho CHEUNG Tomo-hito CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Yue Wah, Brian LAW Ka Fai LEE Ho Tak LEE Kang-hee LEUNG HOOG	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AKO943 AHN631 ABU297 BND487 AFS902 APH953 AJB234 BOE738 AZM887 AFB193 AOD136	Licensed Representative Licensed Representative - Responsible Officer	6 8 8 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	
ZOU Liyi CHAN King Chuen CHAN Man Fung CHENG Chi Yuet CHEUNG Chi Yuet CHEUNG Chi Ho CHEUNG Wai Hung CHEUNG Wai Hung CHEUNG Wai Hung CHEUNG Wing On, Rickey FUNG Kin Tung GAO Wei GU Erdong HONG Kam Kit Eddie HUI Hon Yuen, Benedict KIKUCHI Seiichi KO Lap Chuen KWAN Chi Hang KWON Namhyeop LAM Chi Shing LAU Ada Hiu-Yee LAU Chak Lam LAU Chi Yin LAU Yue Wah, Brian LAW Ka Fai LEE Ho Tak LEE Kang-hee LEUNG Hong Kan LEUNG Kwok Wai LEUNG Tsun Ip LEUNG Tsun Ip LEUNG Yuen Hong	BHO982 AAE349 AXN001 AHN578 BEE471 AUS139 AUN717 AJE323 AKI222 BER852 AZT025 ATX920 AGQ352 AJC135 AIH610 AGL438 BBZ053 AHN631 ABU297 BND487 AFS902 APH953 AJB234 BOE738 AZM887 AFB193 AOD136 AAJ913	Licensed Representative Licensed Representative - Responsible Officer	6 8 4 4 4 4 2, 4, 5, 9 1 4 9 4, 9 4 5 4 4 4, 9 2 1 1 4 1 4 9 1, 2 4 4 9 1, 2 4 4 1, 4 9 1, 2 4 4 4 4 4 9 1 4 4 9 1 4 4 4 9 1 4 4 4 4	



CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Honghui	BOJ742	Licensed Representative - Responsible Officer	4, 9	
LIU Sean Meng-hsiung	ADJ311	Licensed Representative - Responsible Officer	4, 9	
MA Tengying	AZD807	Licensed Representative - Responsible Officer	1, 4	
MATSUDA Keiya	BNU699	Licensed Representative - Responsible Officer	4	
MORTON Peter Douglas	ADO886	Licensed Representative - Responsible Officer	9	6
NG Raymond Ying Wang	AEK090	Licensed Representative - Responsible Officer	4	
NI Xiang Feng	AFY231	Licensed Representative - Responsible Officer	9	
NICHOLLS Paul Stewart	ARD920	Licensed Representative - Responsible Officer		6
SIT Wing Fai, Wilfred	ACJ160	Licensed Representative - Responsible Officer	6	
SO Kuen Kei	ANR645	Licensed Representative - Responsible Officer	2	
TAHENY Kenneth John	AXS067	Licensed Representative - Responsible Officer	4	
TAM Kim Fai	ACU401	Licensed Representative - Responsible Officer	2, 4, 5, 9	
TAM Kong Lun	AXN158	Licensed Representative - Responsible Officer	5	
TING Kwok Hing	ACB661	Licensed Representative - Responsible Officer	2	
WAN Yufeng	BNC890	Licensed Representative - Responsible Officer	9	
WANG Dong	BBL833	Licensed Representative - Responsible Officer	5	
WONG Ka Fai	BCV339	Licensed Representative - Responsible Officer	4	
WONG Oi Tsz	BGO969	Licensed Representative - Responsible Officer	4	
WONG Wai San	AHP442	Licensed Representative - Responsible Officer	4	
WONG Yim Keung	AJE545	Licensed Representative - Responsible Officer	2, 5	
WU Wenquan	APC267	Licensed Representative - Responsible Officer		4
WU Xiao	AOS437	Licensed Representative - Responsible Officer	2, 4	
WU Yi-Ping	BFN192	Licensed Representative - Responsible Officer	1	
YANG Ying	BAD690	Licensed Representative - Responsible Officer	4, 9	
YEUNG Pan Na	APD582	Licensed Representative - Responsible Officer		4
YIP Tsun Wah Alvan	ATO776	Licensed Representative - Responsible Officer	4	
YIU Ka Lam	APP686	Licensed Representative - Responsible Officer	4, 9	
ZHANG Zhipeng	BEA629	Licensed Representative - Responsible Officer	5	
Royal Bank of Canada	AAE727	Registered Institution	9	