

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2020

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Admiralty Harbour Capital Limited	BH1134	Licensed Corporation	6	
China Tonghai Asset Management Limited	AAC662	Licensed Corporation	1	
Deutsche Securities Asia Limited	AAJ984	Licensed Corporation		5
Haitong International Securities Company Limited	AAF806	Licensed Corporation		3
Kim Eng Securities (Hong Kong) Limited	AAD284	Licensed Corporation		6
King Wai Asset Management Company Limited	AXR359	Licensed Corporation		1
LaSalle Investment Management Hong Kong Limited	AOX043	Licensed Corporation		4, 9
LUPU (Hong Kong) Limited	BMW179	Licensed Corporation	1	
Sun Growth Securities Limited	ABV648	Licensed Corporation	9	
ALMEIDA Cristy	AUA663	Licensed Representative	4	
AN Ran	AOR599	Licensed Representative	2	
AN Ran	ATW424	Licensed Representative		1
BATUNSKIY Mark Vladimir	BOR364	Licensed Representative	4	
CAI Ziqi	BNM212	Licensed Representative	4	
CHAN Kam Yun	AAE200	Licensed Representative		2
CHAN Kar Wah	ADS842	Licensed Representative		2, 5, 9
CHAN Kon Kau	ADI164	Licensed Representative	5	1, 4, 9
CHAN Pui Ling, Juliana	ADI999	Licensed Representative	4, 5, 9	
CHAN Suet Ying Prudence	BJV399	Licensed Representative	4, 9	
CHAN Tung Mui, Kelly	ADQ100	Licensed Representative	1	
CHAN Wa Sing	BOJ094	Licensed Representative	1	
CHAN Wong Kit	BDF051	Licensed Representative	4, 9	
CHAN Ying Kit	BLC894	Licensed Representative		4
CHAO Jeffrey Min-Je	AVX417	Licensed Representative	4	
CHAU Mei Yu	ADB668	Licensed Representative		5
CHAU Shing Yip Colin	BHP289	Licensed Representative	1	
CHAUDHRY Zartasha Salman	BOI872	Licensed Representative	4	
CHEN Fang	BGI615	Licensed Representative		6
CHEN Hui	BIE088	Licensed Representative	4	6
CHEN Xiaoyu	AUX564	Licensed Representative	4	
CHENG Chih Hsiung Vincent	AFR838	Licensed Representative		4
CHENG Kwok Keung	ATE569	Licensed Representative	9	
CHENG Sim Kuen	APP900	Licensed Representative	9	
CHEUNG Hei	APG437	Licensed Representative		9
CHEUNG Pak Kin, Patrick	AEF320	Licensed Representative		4, 9
CHEUNG Tin Wah, Mize	BFD233	Licensed Representative	2	
CHIU Chi Hung, Robert	ABT752	Licensed Representative		4
CHO Tin Tak	AXX258	Licensed Representative		1
CHOI Jae Seon	BGP816	Licensed Representative		2
CHOI Yon-sue	BID689	Licensed Representative	4	
CHOY Sze Ching	BOC509	Licensed Representative	9	1
CHU Ka Siu	BBK031	Licensed Representative	4	
CHUNG Chi Chung	AVI422	Licensed Representative	4, 5	
CHUNG Pui Ching	BML858	Licensed Representative	4, 5, 9	
CLOTHIER Samuel Brian	BEF903	Licensed Representative	4, 9	
DAGA Rahul	BBS715	Licensed Representative	4	
DAI Zhiqiang	ALZ276	Licensed Representative		1
DENG Yongzhi	BIV293	Licensed Representative	4, 9	2
FUNG Koon Yan	AWS896	Licensed Representative		1, 4
FUNG Kwok Hung, Raymond	ADT227	Licensed Representative	1	
FUNG Man Heng	BDN973	Licensed Representative		4
GUO Shihai	BBD499	Licensed Representative	4	
HAN Young Hwan	BAA058	Licensed Representative	4	
HAU Chun Ning	BEW123	Licensed Representative		1
HE Jieyan	BLN749	Licensed Representative	9	1
HE Zheng	APC123	Licensed Representative		6
HO Chung Ming	AEN031	Licensed Representative		1

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HO Ka Yu	BOD838	Licensed Representative	2	
HO Kai Yip	AVC717	Licensed Representative		4
HO Ken Hon	AFR777	Licensed Representative	9	
HSU Fei-Wen	ALR717	Licensed Representative	2	
HU Huiying	BOP002	Licensed Representative		1
HU Joy Lan-Ya	AFV219	Licensed Representative	2	
HU Kun	ATS197	Licensed Representative	1, 4	
HUANG Yu	AT1173	Licensed Representative	4	
HUI Cheung Kui	AJX991	Licensed Representative		9
HUNG Elaine	AVJ425	Licensed Representative		1
HURIP Eddie	AGE403	Licensed Representative		1
HWANG Hongkyun	BGC761	Licensed Representative	4	
JACOBSEN William Keith	ABR188	Licensed Representative		1
JIANG Zhengtai	BGX573	Licensed Representative	9	
KADAOUI HACHI Ahmed	AXV940	Licensed Representative	9	1, 2, 7
KEUNG Kam Chuen	AAE998	Licensed Representative		4
KING Steven Michael	ALY605	Licensed Representative		2
KONG Ming Fai	ADZ902	Licensed Representative		9
KWAN Ka Wai	AXC970	Licensed Representative	2	
KWOK Kin	BOF020	Licensed Representative	2	1
KWOK Oi Lung, Roy	AFD745	Licensed Representative		4, 9
LAM Car Man	AAG480	Licensed Representative		2
LAM Chin Ting	BIB399	Licensed Representative	1	
LAM Chun Ho	BOP792	Licensed Representative	2	
LAM Chung Yin	AMF376	Licensed Representative		4
LAM Henry Hong Kee	BCC277	Licensed Representative	2	
LAM Houston	AWT986	Licensed Representative		4
LAM Ka Wing	BLI061	Licensed Representative	2	
LAU Muk Keung	AHD597	Licensed Representative	2	
LAU Wai Yin	BAK892	Licensed Representative		2
LAU Wing Hong	AAC947	Licensed Representative		4
LAU Yu Leong	BNL309	Licensed Representative	4, 5	
LEE Allen Cheng-Luan	ANK425	Licensed Representative	9	1, 2
LEE Chun Bong	ALR864	Licensed Representative	9	1, 2
LEE Chun Pong	AOD724	Licensed Representative		6
LEE Sing Wing	AEC775	Licensed Representative	4, 9	
LEE Wai Kei	AGE853	Licensed Representative		7
LEE Wai Man, Priscilla	ALL041	Licensed Representative	9	1, 2
LEE Xiao Qin Ervin	BNR822	Licensed Representative		6
LEE Yuen Yee, Annisa	ALW388	Licensed Representative	1, 9	
LEUNG Chak Hei	AYN955	Licensed Representative		4
LEUNG Gary	BOV363	Licensed Representative	3	
LEUNG Tak Ming	ACJ959	Licensed Representative	2	
LEUNG Tze Wai	BLW763	Licensed Representative	2, 9	
LEUNG Wai Chung	BNO002	Licensed Representative		2, 3, 4
LEUNG Yiu Fung	BMM572	Licensed Representative	4	
LEUNG Yuen Mai, Amy	AED146	Licensed Representative	4, 5	
LI Chengda	BNP167	Licensed Representative	9	
LI Ge	BAI100	Licensed Representative	1	
LI Kan Wan	BEM085	Licensed Representative	9	
LI Zheng	BOF462	Licensed Representative	1, 2	
LIM Si Min, Michelle	ASI130	Licensed Representative	2	
LIU Lijia	AZT570	Licensed Representative		1, 4
LIU Ziran	BPE372	Licensed Representative	2	
LO Chun Wah	AJD784	Licensed Representative		1
LU Yang	BGF973	Licensed Representative	4	
LUI Lok Sze	AYD006	Licensed Representative	9	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LUK Fuk Wai	BPA011	Licensed Representative	9	
LUO Chendi	BGU665	Licensed Representative	2, 5	
MA Chui Yee	AUI050	Licensed Representative		1
MA Kwok Chau	BOG876	Licensed Representative	6	1
MA Shuk Ling	BKE186	Licensed Representative	9	
MA Shuting	BOL304	Licensed Representative		9
MA Siu Chun	BLJ965	Licensed Representative	1, 3	
MAK Chi Yan	AAI825	Licensed Representative	4, 9	1
MAK Tsan Kwai	BKM809	Licensed Representative	2	
MELERO Fabien	BEN061	Licensed Representative		7
MOK Sui Lung	BNC930	Licensed Representative	4	
MOU Chung Him	BNV585	Licensed Representative	2	
MUI Chok Wah	ABX544	Licensed Representative	1, 4, 9	
MUKHOPADHYAY Niladri	AVA639	Licensed Representative	4	
NG Kwok Ming	AWA039	Licensed Representative	9	2
NG Siu Hang	ATL550	Licensed Representative		2
NG Siu Lun Samson	ARO283	Licensed Representative		4, 9
ONEILL Matthew William	ASL088	Licensed Representative	4	
OOI Wei Ming	BNX372	Licensed Representative	4	
OUYANG Chang	BLC345	Licensed Representative		2, 4
POON Ka Wing	ATS954	Licensed Representative	9	
PRANOTO Malvin Wisnuyudha	BNH736	Licensed Representative	4	
QUMU Ake	BNT237	Licensed Representative	2, 5	
REN Tian	BDX763	Licensed Representative	4	
SAM Shook Fung	ADM712	Licensed Representative	4	
SHUM Ching Leung	BOS303	Licensed Representative		1
SIU Chi Yiu, Kenny	ANA382	Licensed Representative		2
SIU Yiu Han, Michael	AEE607	Licensed Representative		4
SONG Honghai	AME465	Licensed Representative	4, 9	
SONG Ting	BIV964	Licensed Representative	2, 5	
STEPHENS John William	AFT822	Licensed Representative	4	
SU Xiaoxia	BOI952	Licensed Representative	4, 9	
SUKUMAR Nimallesh	BHS180	Licensed Representative	4	
SUN Hui	BFW664	Licensed Representative	2	
SUN Yu-Huei	BDI992	Licensed Representative	1, 4	9
SZE Kwun Yu, Jason	AXD898	Licensed Representative	9	
TAM Kwong To, Dennis	APJ310	Licensed Representative	4	
TAM Mo Kit	ABH719	Licensed Representative		1
TANG Claire Jin	BIZ526	Licensed Representative		4
TANG Lian	BLU473	Licensed Representative	9	
TEH Chou Yuen	ATY066	Licensed Representative		4
TING Nicholas	BLD323	Licensed Representative	1, 6	4
TO Nga Fong	AXX670	Licensed Representative		4
TONG Shuk Yi, Shirley	AEY439	Licensed Representative		2
TSANG Lik Him	BPH503	Licensed Representative	3	
TSANG Ling Wai, William	AFM557	Licensed Representative	4, 5	
TSANG Tin Chun	BOZ979	Licensed Representative	3	
TSE Christopher Shing-kwun	BFN119	Licensed Representative	9	1, 2, 4
TSO Key Man, Kevin	ADH219	Licensed Representative	4	
TSOI Fai Tin, Andes	ABN399	Licensed Representative	4, 9	
TSUI Kwan	AND687	Licensed Representative		4
TUNG Chun Hin	BBU815	Licensed Representative	9	
WAN Lai Sze	BOP273	Licensed Representative	2	1
WAN San Ming, Sandy	ADI757	Licensed Representative	4, 6	
WANG Anqi	BHO181	Licensed Representative	4	
WANG Da Qing	BAB056	Licensed Representative	1, 2	9
WANG Xudong	BPD922	Licensed Representative	2	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Xue Tao	BMQ168	Licensed Representative	4, 9	6
WANG Yinxiao	AVL554	Licensed Representative		9
WERNER Conrad Jacob	ASH900	Licensed Representative	1, 6	4
WONG Ching Man	AMQ696	Licensed Representative	9	
WONG Chung Keung	BEZ140	Licensed Representative	4	
WONG Ding Pong, Allan	AAA747	Licensed Representative		4
WONG Hon Yeung	BMN644	Licensed Representative	2, 4	
WONG Leung Hang, Simpson	AIC643	Licensed Representative	1, 4	9
WONG Mei Wah, Tiffany	ALN165	Licensed Representative	2	4
WONG Mung Yu, Daniel	BAD878	Licensed Representative		3, 4
WONG Wai Chow, Daren	ADM896	Licensed Representative	9	5
WONG Wan Vanness	BLU269	Licensed Representative	4	2, 5
WONG Yiu Kui	AUJ952	Licensed Representative	4	
WOO Hyun Dong David	BKF443	Licensed Representative	4	
WU Haoyuan	BAB128	Licensed Representative	10	4
WU Tsz Yin	AYS530	Licensed Representative	4	
WU Yijun	BDA182	Licensed Representative	9	2
WU Yifong	BNE752	Licensed Representative	2, 5	
XIAO Wen	BJU213	Licensed Representative		1
XING Yan	BGR295	Licensed Representative	4, 9	
XU Fanfei	BPB455	Licensed Representative	6	
XU Xiao	BNM996	Licensed Representative	4, 9	
YAN Ka Lok	AJK630	Licensed Representative		1
YAN Kei Shui, Leo	AOG060	Licensed Representative		4
YAN Wentao	AUV991	Licensed Representative	4	
YAO Xinchun	BOZ623	Licensed Representative	6	1, 4
YEUNG Ho Yam Richard	BMH865	Licensed Representative		1
YEUNG Kin Ting	BLJ672	Licensed Representative		4
YEUNG Man To	AFZ104	Licensed Representative		9
YEUNG Tsz Hong	AGO878	Licensed Representative	2, 4	
YICK Ho Chuen	ASD199	Licensed Representative	4, 9	
YING Chau Fung	AAD773	Licensed Representative		2
YIP Kwun Wang	AXY632	Licensed Representative	2	4
YIU Shing Wai	AAG891	Licensed Representative		4
YU Jiaxue	BMV656	Licensed Representative	1	5
YU Kwun Po, Anson	APJ172	Licensed Representative		1
YU Pak Ngai	BFT172	Licensed Representative		2
YU Rui	BGX925	Licensed Representative		9
YU Tai Wai	BCC292	Licensed Representative	4, 9	
ZHANG Qingyan	BNG961	Licensed Representative		1
ZHANG Siran	BNE925	Licensed Representative	2	4
ZHANG Yidan	BGT838	Licensed Representative		1, 4
ZHANG Zhao	BKW962	Licensed Representative		1
ZHAO Fengkuan	ASD480	Licensed Representative		1
AN Bin	AUN707	Licensed Representative - Responsible Officer		1, 4
ANAND Paras Kishore	BNN477	Licensed Representative - Responsible Officer	5	
BLENKIRON Philip Edward	ARG533	Licensed Representative - Responsible Officer		5
CHAN Ming Yan	ACD664	Licensed Representative - Responsible Officer	1, 2	
CHAN Yat Nam, Daniel	ABS011	Licensed Representative - Responsible Officer		3
CHENG Tze Bun	AZC905	Licensed Representative - Responsible Officer	4, 9	
CUI Cui	AYO745	Licensed Representative - Responsible Officer	6	
FAN David Ching	AMT939	Licensed Representative - Responsible Officer		4, 9
HO Chi Long Ronnie	AFU693	Licensed Representative - Responsible Officer	4	
HO Chung Ming	AEN031	Licensed Representative - Responsible Officer	4, 9	
HO Kwong Kiu	APU675	Licensed Representative - Responsible Officer		1
HO Tzu-Chuan	AOB928	Licensed Representative - Responsible Officer		9
HON Wan Man	BNH912	Licensed Representative - Responsible Officer	4, 9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HURIP Eddie	AGE403	Licensed Representative - Responsible Officer	9	
IP Wang Hoi	ALN577	Licensed Representative - Responsible Officer	4	
KEI Tan Na	AQF600	Licensed Representative - Responsible Officer	7	
KONG Ming Fai	ADZ902	Licensed Representative - Responsible Officer	1	
KWOK Oi Lung, Roy	AFD745	Licensed Representative - Responsible Officer	1	
KWONG Tse San, Toby	APD289	Licensed Representative - Responsible Officer	1	
LEUNG Wai Kei	ANQ085	Licensed Representative - Responsible Officer		1
LI Ting	AOX306	Licensed Representative - Responsible Officer		6
LIM Eng Ping	BLQ899	Licensed Representative - Responsible Officer		6
LIU Bei	ANN276	Licensed Representative - Responsible Officer	6	
LIU Sai Ho, Simon	AQV856	Licensed Representative - Responsible Officer	1	
LO Chun Wah	AJD784	Licensed Representative - Responsible Officer	4, 9	
MA Chui Yee	AUI050	Licensed Representative - Responsible Officer	9	
MEI Shengan	BMW544	Licensed Representative - Responsible Officer	1	
PONG Joanne Chiu Yan	ALQ969	Licensed Representative - Responsible Officer		1
TAI Kwok Keung	AAE013	Licensed Representative - Responsible Officer	4	
TIEN Yu-Ping	AQQ529	Licensed Representative - Responsible Officer	1	
WICKHAM Oliver Jeremy	AQC490	Licensed Representative - Responsible Officer	9	
WONG Yiu Keung	ABQ735	Licensed Representative - Responsible Officer		3
XIAO Wen	BJU213	Licensed Representative - Responsible Officer	5	
YEUNG Chi Hang	AOK041	Licensed Representative - Responsible Officer		1
YEUNG Wai Kei	ABQ742	Licensed Representative - Responsible Officer		3
YU Man Ting Loretta	AWE558	Licensed Representative - Responsible Officer	4, 9	
BIN MAHAT Muhammad Suffian	BPC656	Licensed Representative (Provisional Licence)	2, 5	