

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
AIA Investment Management HK Limited	BNF913	Licensed Corporation	4	
Baillie Gifford Asia (Hong Kong) Limited	BGB803	Licensed Corporation	2	
Bright Minds Capital Limited	BLQ467	Licensed Corporation	9	
COFCO Futures (International) Co., Limited	BNE728	Licensed Corporation	5	
Fidelity Management & Research (Hong Kong) Limited (trading as Fidelity Investments, Fidelity Institutional Asset Management)	ARI747	Licensed Corporation	2	
Goldenway Investments (HK) Limited	AUE994	Licensed Corporation		3
Huineng Securities Limited	BHJ191	Licensed Corporation		2
Magnet Investment Advisors Limited	AME537	Licensed Corporation		4
Mont Avenir Capital Limited	BOG353	Licensed Corporation	1	
Sino Opulence Asset Management Co Limited	BIJ658	Licensed Corporation	1	
AGARWAL Anil Kumar	ALL688	Licensed Representative	9	
AU Chi Wa	ADQ336	Licensed Representative	5	1
AU Kai Tat	AVB807	Licensed Representative		2
AU Siu Fai	AFS999	Licensed Representative	2	
Bi Xiangtian	BHU052	Licensed Representative	4	
CAI Jiazhu	BCU021	Licensed Representative	4	
CAPACE Max Anthony	BEE065	Licensed Representative	9	1
CHAN Chi Hang	AVA036	Licensed Representative		2, 4
CHAN Chun Kit	BMV037	Licensed Representative		4
CHAN Hing Sang, Alexander	ALB323	Licensed Representative		4, 9
CHAN Ka Long	BOF642	Licensed Representative	9	
CHAN Kin Ho	ALQ830	Licensed Representative		1, 2, 4, 5
CHAN Kin Yan	BIJ852	Licensed Representative		4
CHAN Raymond Siu Lun	ATT484	Licensed Representative		1
CHAN Tuk Fei	ANU948	Licensed Representative	4	2
CHAN Wai Man	ALI467	Licensed Representative	4	
CHAN Yan Yu Jessica	BMX472	Licensed Representative	6	4
CHAN Yiu Wing	AUJ518	Licensed Representative		4, 9
CHANG Helen	APC655	Licensed Representative		9
CHAU Kwong Lui	AUI437	Licensed Representative	4	
CHEE Yuk Hing, Carick	AWH916	Licensed Representative		9
CHEN Bo	BLZ418	Licensed Representative	4	
CHEN Hao	BGQ543	Licensed Representative	1, 6	
CHEN Yiyi	BOB375	Licensed Representative	4	
CHEUNG Yuen Man	BAJ473	Licensed Representative		2
CHEUNG Hang Lok	AWK552	Licensed Representative	5	9
CHEUNG Kai Ho	BLY773	Licensed Representative	9	
CHOI Ka Ming	AYC999	Licensed Representative		3
CHONG Yuk Ting	BEJ355	Licensed Representative		2
CHOU Chun Lam	AMC653	Licensed Representative		4
CHOW Ka Man	AAY115	Licensed Representative		6
CHOW Sik Hong	BJQ189	Licensed Representative		9
CHOW Yiu Kei	BJQ827	Licensed Representative	9	
CHU Chun Wai	ACA601	Licensed Representative		1
CHU Ming Sun	APR825	Licensed Representative		2
CHUNG Jong Hun	BHX002	Licensed Representative	2	4
CHUNG Man Fai	BBX326	Licensed Representative	2	
DAI Li	BKA428	Licensed Representative	4, 9	1
DE JAILLON Julien Pierre Jeremie	AYI892	Licensed Representative	3	
DENNESS Alan Matthew	BOP632	Licensed Representative	9	1
DING Mao	BGI315	Licensed Representative	4	
DUAN Bohao	BNV457	Licensed Representative	9	1, 4
DWIVEDI Harshit	BFC405	Licensed Representative	1	
FAN Lijin	BON632	Licensed Representative	9	
FANG Lina	AQJ208	Licensed Representative	9	
FENG Dafu	BFN926	Licensed Representative	2	
FU Wei	AYC292	Licensed Representative	4, 9	2
GAO Fei	BKK631	Licensed Representative		1
GONG Shu	BHN018	Licensed Representative	9	
GUO Shuang	BHF064	Licensed Representative	4	
HE Yulei	BNX569	Licensed Representative	4	
HO Chak Sum	AWD297	Licensed Representative	9	

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HO See Pui, Christie	BIV192	Licensed Representative		4, 9
HO Yin Ming	ADZ149	Licensed Representative		1
HSIA Michael Chinsom	AKO627	Licensed Representative	2	
HSIAO Wan Ting	BEN214	Licensed Representative		1
HSU Ching-Ju	AVR984	Licensed Representative		4
HUANG Chenghu	BNP866	Licensed Representative	4	
HUANG Chih Hong	BMJ767	Licensed Representative		4
HUANG Ching-Feng	BJL041	Licensed Representative	2, 5	
HUANG Wei	BLL815	Licensed Representative		1, 4
HUANG Ying-Chau	BLV172	Licensed Representative	4, 9	
HUI Tsz Chun	ALP760	Licensed Representative		4
HUNG Ka Hei	BNI021	Licensed Representative		4
IP Kim Hung	AUX356	Licensed Representative	2	
IP Koon Wah	APZ599	Licensed Representative	2	
JIANG Jiayan	BES176	Licensed Representative	1	
JU Linlin	BNH088	Licensed Representative		4
KAETSU Yuri	BFS890	Licensed Representative	4	
KAM Man Sze, Jennifer	APC387	Licensed Representative	2	
KAN Siu Lun	AGC831	Licensed Representative		1
KHOO Xin Ping, Inez	BGL795	Licensed Representative		5
KONG Tin Chun	BOF311	Licensed Representative	5, 9	
KUANG Tao	AWL776	Licensed Representative	9	
KWAN Ho Yee Hayden	BKB784	Licensed Representative	2	6
KWOK Chi Hang	ARB688	Licensed Representative	9	
KWOK Hoi Kwan	BOP498	Licensed Representative	1	
LAI Chin Wai	ARP476	Licensed Representative		9
LAI Zhiyong	AVD365	Licensed Representative		9
LAM Cheuk Hin	BJS893	Licensed Representative		3
LAM Hoi Shan, Flora	AVN396	Licensed Representative		1
LAM King Kit	BHE161	Licensed Representative		1
LAM Tsz Chun	BGN241	Licensed Representative		5
LAU Ho Yin	AXV971	Licensed Representative	4	
LAU Kin Wang	BMW295	Licensed Representative		9
LAU Ming Cham	BKQ930	Licensed Representative	4	
LAU Yu	BOG313	Licensed Representative		9
LAW Yin Ling	BNO055	Licensed Representative		9
LEE Kwok Wing	ADE984	Licensed Representative		3
LEE Kwong Wai	AXI348	Licensed Representative		1
LEE Ming Sum Mason	BLI900	Licensed Representative		4
LEE Ting Tung, Graham	ANX993	Licensed Representative		2
LEI Chao	BOM745	Licensed Representative		4
LEUNG CHAN Jaime	AOA960	Licensed Representative	6	
LEUNG Ka Ming	AHR954	Licensed Representative		9
LEUNG Lap Wai	ALN045	Licensed Representative	5	
LI Chi Hang	BGE846	Licensed Representative		9
LI Junhao	BGY117	Licensed Representative	9	2
LI Kong, James	AFT405	Licensed Representative		4, 5, 9
LI Ning	AWJ815	Licensed Representative	4	
LI Ping Sun	AHN494	Licensed Representative	6	
LI Qiu	BBZ509	Licensed Representative	4	
LI Ruo Yu	BNO559	Licensed Representative	4	
LIM Juen Lynn	BJE417	Licensed Representative		1, 4
LIN Chun Yao	BHO185	Licensed Representative		9
LIN Ruohan	BCB480	Licensed Representative	1, 4	
LIN Yingqi	BGP853	Licensed Representative		1
LING Colin Celan	ABU679	Licensed Representative	3	
LING Ling	AXL658	Licensed Representative	1	5
LIU Jieying	BOD545	Licensed Representative		6
LIU Jiongyu	BNK627	Licensed Representative		1
LIU Kit Yi, Elkey	ACX069	Licensed Representative		3, 4
LIU Shuyi	BNL432	Licensed Representative	6	1, 2, 4
LO Po Wah, Julie	ACH542	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LU Jiuzhou	ATR182	Licensed Representative		6
LU Liangjie	BOE900	Licensed Representative		9
LU Xingxian	BNE600	Licensed Representative		3
LUI Yin Ting Cindy	APR037	Licensed Representative		2
LUI Yue Kwong, Christopher	AOS677	Licensed Representative		1
LUK Wai Tat	AUP822	Licensed Representative		1, 4
LUK Wing Ki	BFF357	Licensed Representative	9	
LUO Ao	BIZ162	Licensed Representative	9	
MA Tak Wah	AUD467	Licensed Representative		2
MA Yiqing	BGQ736	Licensed Representative		4, 6
MAK Hou Ting	BPI128	Licensed Representative	9	
MAK Kin Bond	BOS652	Licensed Representative	9	
MAO Zhengguang	BNG437	Licensed Representative		5, 9
MENG Zhaojin	BKF968	Licensed Representative	4	
MOK Wai Yip	AFB437	Licensed Representative		1, 4
MURATA Takashi	BOA247	Licensed Representative	4	
NG Man Kit, Joe	BNC157	Licensed Representative		6
NIU Anan	BLR397	Licensed Representative	4	
PANG Kwok Pan	AGG711	Licensed Representative		2
PATEL Rikesh Hasumukh	AMC831	Licensed Representative	1	4, 9
PENG Hanchi	BOJ151	Licensed Representative	6	
POON Fung	AYD001	Licensed Representative		3
POON Lok Ming, Louis	AFO678	Licensed Representative		9
PUN Tsz Sang	AVM927	Licensed Representative	1, 3	
QIAO Ke	BGR513	Licensed Representative		4
QIN Yu	BNC581	Licensed Representative		4
QIU Xiaolin	BEE257	Licensed Representative	2, 5	
RO Soong Chu	AQM712	Licensed Representative	4	1
SAM Chi Yung	APR850	Licensed Representative		4
SHALABI Hani Youssef	AMU521	Licensed Representative		7
SHAM Kai Yu	BOE374	Licensed Representative	9	
SHAO Weiliang	BMV934	Licensed Representative	9	
SHAW Jensens	AXA403	Licensed Representative	4	
SHEN Chen	BES160	Licensed Representative	9	
SHI Weihua	BCT483	Licensed Representative	1	
SHI Yanguan	BOS928	Licensed Representative	1	
SHUM Hon Wo	AOD608	Licensed Representative	1, 2, 4, 5	
SIN Kit Fung	BKE175	Licensed Representative		1, 2
SIU Hiu Ting	AXJ725	Licensed Representative	1	4, 9
SONG Yihui	BNT240	Licensed Representative	2, 5	6
SUEN Wai Kin	BDP679	Licensed Representative	2, 4	
SUN Wanping	BPD544	Licensed Representative		9
SUNG Hung-Wei	BGG701	Licensed Representative	9	
TAGAWA Ichiro	AXX263	Licensed Representative	7	
TAM Hoi Man	BHR249	Licensed Representative	2	
TAN Kai	AVJ582	Licensed Representative	4, 5	
TAN Yawei	BLD974	Licensed Representative	1, 4, 5, 9	
TANG Lai Ping	BMV550	Licensed Representative	9	1
TANG Qinghua	BNX452	Licensed Representative	4	
TONG Kin Yip	BLH252	Licensed Representative	1	
TONG Nam	BNL293	Licensed Representative	9	
TRACY Alec Peter	BMV299	Licensed Representative	6	
TSANG Kwong Hing	BFC736	Licensed Representative	4	
TSANG Wai Ki	BOZ709	Licensed Representative	9	
TSEUNG Yuk Hei, Kenneth	ACI557	Licensed Representative		1
TSOI Hung Sing	BOT890	Licensed Representative		1, 4
TSUI Yuen Sha	BDA218	Licensed Representative		4, 9
TUTAVAC Marko	BES686	Licensed Representative	9	
VACHHANI Koustubh Vijay	AML409	Licensed Representative	1, 2, 7	9
WAI Suk Chong, Helena	AAB508	Licensed Representative	6	
WANG Will Way	BLH658	Licensed Representative		9
WANG Yang	BLX566	Licensed Representative	1	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Yumeng	BK1610	Licensed Representative		9
WANG Yuwei	BNK960	Licensed Representative	4	
WANG Zhi	ARO579	Licensed Representative	1, 4	9
WEN Xuanhao	BNU652	Licensed Representative		9
WENG Yanyan	BJM394	Licensed Representative	9	
WILLIAMS Edward Ieuan Aubrey	BNU443	Licensed Representative	2, 4, 5	
WONG Fung Yan	BCV336	Licensed Representative	2	
WONG Ka Hin	BML678	Licensed Representative	3	
WONG Ka Po	AIN952	Licensed Representative		1
WONG Kwok Lai, Vincent	BLO118	Licensed Representative		6
WONG Ngan Fun Kelvin	BNB167	Licensed Representative	4	
WONG Shing Chi	ARS765	Licensed Representative		3
WONG Siu Man	APR830	Licensed Representative	9	
WONG Sung Chun	AVD101	Licensed Representative	4	2
WONG Tse Kwun, Wesley	BOO831	Licensed Representative	9	
WONG William Koon Wei	AOR555	Licensed Representative	4	
WU Bei-Jeng	BNV726	Licensed Representative		1
WU Mengqi	BGJ341	Licensed Representative		1
WU Shuk Ching	BOM052	Licensed Representative	4	
XIA Jiajie	BJB584	Licensed Representative		9
XIA Xiaoting	BHO438	Licensed Representative	4	
XIAO Zongheng	BLS682	Licensed Representative	1	
XIE Zhengkun	AYC752	Licensed Representative		1
XU Chendi	AWL336	Licensed Representative	6	9
XU Dan	BFB174	Licensed Representative	4, 9	
XU Jin	AVO585	Licensed Representative	9	10
XU Lingyan	ARI518	Licensed Representative		1, 4
XU Lu	BNY481	Licensed Representative	2, 5	
XU Yujie	AHR503	Licensed Representative	9	
YAN Ching Man	ASK571	Licensed Representative		2, 9
YAN Hao	BKD001	Licensed Representative		1
YAN Man Sing, Frankie	AGF408	Licensed Representative	9	
YANG Xiaoping	AKN223	Licensed Representative	4, 5	
YEUNG Chun Kit, Johnson	BMH779	Licensed Representative	9	1, 6
YEUNG Sum Ho	AOK594	Licensed Representative		1, 4
YI Pengyu	BKJ155	Licensed Representative	1, 4	
YIP Wing Sum	APD255	Licensed Representative	4, 9	
YIP Yuk Fong	BNIO19	Licensed Representative	4, 9	6
YU Chun Kuei	ARP310	Licensed Representative		4
YU Hin Yin	ARD759	Licensed Representative		1
YUAN Chen	BHE051	Licensed Representative	4	
YUEH Mu Hua	BOE261	Licensed Representative	6	
YUEN Siu Lok	AQD646	Licensed Representative	4	
ZENG Qingpei	BPG558	Licensed Representative	2	
ZHAN Weikang	BMP528	Licensed Representative	4, 9	1, 2
ZHANG Qiang	AWZ214	Licensed Representative		4
ZHANG Wei	BET636	Licensed Representative	2	
ZHANG Xuyan	BMM382	Licensed Representative	6	4
ZHANG Ziwei	BGJ367	Licensed Representative	4	
ZHAO Dong	BNP778	Licensed Representative	6	
ZHAO Wei	ALD676	Licensed Representative	6	
ZHENG Jiachuang	BIM005	Licensed Representative	1	
ZHENG Yuanyuan	BLB635	Licensed Representative	5	
ZHOU Junxin	BLX781	Licensed Representative	9	
ZOU Wenjia	BOX305	Licensed Representative	4	
ARTH Aaron Michael	BES330	Licensed Representative - Responsible Officer	6	
AU Yu Ching	ABT384	Licensed Representative - Responsible Officer	4	
CHAN Chun Kit	ANM375	Licensed Representative - Responsible Officer	4	
CHAN Hing Sang, Alexander	ALB323	Licensed Representative - Responsible Officer	6	
CHAN Kok Chung, Johnny	ADH521	Licensed Representative - Responsible Officer	4	
CHEN Xiao	AVA602	Licensed Representative - Responsible Officer	2	
CHEUNG Kwok Wing, Barry	ABM132	Licensed Representative - Responsible Officer		3

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEUNG Wing Chow	AUW530	Licensed Representative - Responsible Officer	1, 4	
CHOU Jaime Yun Cheng	ALH930	Licensed Representative - Responsible Officer	1	
DE TILLY-BLARU Georges Marie	ACA331	Licensed Representative - Responsible Officer		4
FUNG Ho Tak	ARR598	Licensed Representative - Responsible Officer	4	
HO Chak Sum	AWD297	Licensed Representative - Responsible Officer	4	
HOSIE Neil Cameron	AHQ348	Licensed Representative - Responsible Officer	5	
HUANG Chih Hong	BMJ767	Licensed Representative - Responsible Officer	6	
JIANG Jiwei	AQY202	Licensed Representative - Responsible Officer	2, 5	
KWAN Ka Hung	AOS250	Licensed Representative - Responsible Officer	5	
LEE Joseph Won	ANB457	Licensed Representative - Responsible Officer	4	
LEE Shiu Ki	AWC496	Licensed Representative - Responsible Officer	4	
LENTON James Christopher	AZC997	Licensed Representative - Responsible Officer	2	
LEUNG Hoi Yuen, Arthur	AFD257	Licensed Representative - Responsible Officer	2	
LI Po Chun	AFW602	Licensed Representative - Responsible Officer	4	
LI Zhenyu	AKN225	Licensed Representative - Responsible Officer	4	
LIANG Jianhong	AYS243	Licensed Representative - Responsible Officer	1	
LO Karen Ka-wai	ACD184	Licensed Representative - Responsible Officer	4	
LUI Cho Mau, Korman	ADN286	Licensed Representative - Responsible Officer		3
LUK Hoi Yeung	BLQ506	Licensed Representative - Responsible Officer	9	
LUO Zhenxia	AMM420	Licensed Representative - Responsible Officer		2
MOK Matthew Martin Chi-kit	AZY724	Licensed Representative - Responsible Officer	4	
NG Ka Fai, Jeffrey	AIR483	Licensed Representative - Responsible Officer	4, 9	
NG Ling Chi	AIR476	Licensed Representative - Responsible Officer	4	
OH In Hwan	BMV978	Licensed Representative - Responsible Officer	5	
POON Chi Wai	AJC313	Licensed Representative - Responsible Officer	4	
POON Lok Ming, Louis	AFO678	Licensed Representative - Responsible Officer	1	
SEABOLT Christopher John	ALP933	Licensed Representative - Responsible Officer	2	
SHAO Weiliang	BMV934	Licensed Representative - Responsible Officer	4	
SHEN Zheqing	ANQ750	Licensed Representative - Responsible Officer	4, 9	
SIN Pun To	ACI993	Licensed Representative - Responsible Officer		3
TAM Yan Wang	BBI783	Licensed Representative - Responsible Officer		9
TANG Dwen	BNG653	Licensed Representative - Responsible Officer	5	
TANG Kin Chor	ACH809	Licensed Representative - Responsible Officer	4, 9	
WONG Cheung Ki, Parish	ABY243	Licensed Representative - Responsible Officer	1, 4	
WONG Chi Ming	AMK406	Licensed Representative - Responsible Officer	4	
WONG Chun Ho, Sammy	AKT152	Licensed Representative - Responsible Officer	5	
WONG Kwok Keung, Lawrence	AFO320	Licensed Representative - Responsible Officer	1	
WONG Lok Wa	AVY716	Licensed Representative - Responsible Officer	4	
WOO Kwok On	AEM544	Licensed Representative - Responsible Officer	4, 9	
XIAO Haiping	ARW593	Licensed Representative - Responsible Officer		2
XU Jianan	AQD023	Licensed Representative - Responsible Officer	2	
XU Yong	BMT490	Licensed Representative - Responsible Officer	6	
YAU Chuen Lok	AOH902	Licensed Representative - Responsible Officer	4	
YIN Jia Lu	ADW757	Licensed Representative - Responsible Officer	9	
ZHANG Bo	BHK421	Licensed Representative - Responsible Officer	4	
KWOK Kai Pong	BPK288	Licensed Representative (Provisional Licence)		6
LAN Yilan	BPK293	Licensed Representative (Provisional Licence)		6