

VARIATIONS OF REGULATED ACTIVITIES OF LICENSE	D PERSONS/	REGISTERED INSTITUTIONS DURING 05/2020		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
AOP Capital Limited	BJO454	Licensed Corporation	4	
Capstone Financial (HK) Limited	AUK184	Licensed Corporation		1
Eastmoney International Securities Limited	ATR761	Licensed Corporation	9	
First Seafront International Capital Limited	BLL818	Licensed Corporation	4	
Fulixin Securities Limited	ABS418	Licensed Corporation		2
GSA Capital Partners (Hong Kong) Limited	BLO675	Licensed Corporation	2	
HK Keystone Capital Limited	BMV301	Licensed Corporation		1
Hong Kong Asset Management Limited	ABI734	Licensed Corporation	2, 5	
			4	
Keywise Capital Management (HK) Limited	AOB738	Licensed Corporation	4	
Lu International (Hong Kong) Limited	BIN669	Licensed Corporation	1	
Rich Bay Securities Limited	ALT947	Licensed Corporation	4, 5	
ROCIM Limited	AOX780	Licensed Corporation	4	
SG Securities (HK) Limited	AAD941	Licensed Corporation		7
Vision Finance Asset Management Limited	ANR896	Licensed Corporation	1	
ALI RACHED Fodil	BGJ082	Licensed Representative		7
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AMOYAL Alexandra Keren	BOC074	Licensed Representative		7
AU Kwan Lok	AMM284	Licensed Representative		1, 2
BAI Huawei	AUZ024	Licensed Representative	9	2
BAI Jingya	BNF409	Licensed Representative		4
BATES Timothy James	BND565	Licensed Representative		7
				7
BENCHOKROUN Mehdi	BCB482	Licensed Representative		_
BIRLENBACH Michael Dieter	APK741	Licensed Representative		/
BOURBON Robin	BPF377	Licensed Representative		7
BUSSONNIERE Henri Jacques Thibault	BLO100	Licensed Representative		7
CAI Daniel, Christian	ATO217	Licensed Representative		7
CAO Li	BOS632	Licensed Representative	5	
	AUG884		4	
CHA Gyeongmin		Licensed Representative	4	_
CHAINANI SURESH Shaan	BFX510	Licensed Representative		7
CHAN Chi Long Ronald	BHC980	Licensed Representative	9	1
CHAN Choi Keung	BCB620	Licensed Representative		2
CHAN Chun Man	AGJ907	Licensed Representative	9	
CHAN Chung	AFV231	Licensed Representative		2
CHAN Crystal Fu	BNQ619	Licensed Representative		4
				4
CHAN Hei Wai	AXE875	Licensed Representative		1
CHAN Ka Kit	ATS061	Licensed Representative		4
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CHAN Shih-Hao	ARQ393	Licensed Representative		7
CHAN Shih-Hao CHAN Shing Yan	ARQ393 AGE665	Licensed Representative Licensed Representative		7 4, 9
CHAN Shing Yan	AGE665	Licensed Representative		
CHAN Shing Yan CHAN Shue Keung	AGE665 AND696	Licensed Representative Licensed Representative	Q	7 4, 9 4, 9
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward	AGE665 AND696 BNJ076	Licensed Representative Licensed Representative Licensed Representative	9	
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy	AGE665 AND696 BNJ076 ADJ208	Licensed Representative Licensed Representative Licensed Representative Licensed Representative	9	
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward	AGE665 AND696 BNJ076	Licensed Representative Licensed Representative Licensed Representative		
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy	AGE665 AND696 BNJ076 ADJ208	Licensed Representative Licensed Representative Licensed Representative Licensed Representative		
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan	AGE665 AND696 BNJ076 ADJ208 AUH451	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	9	
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270	Licensed Representative	9	1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983	Licensed Representative	9	1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369	Licensed Representative	9	4, 9 1 4
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404	Licensed Representative	9	4, 9 1 4 1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369	Licensed Representative	9	4, 9 1 4
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404	Licensed Representative	9	4, 9 1 4 1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853	Licensed Representative	3	4, 9 1 4 1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981	Licensed Representative	9 3 1 1 9	4, 9 1 4 1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHENG Yan Ting CHEUNG Chun Him	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569	Licensed Representative	9 3 1 1 9	4, 9 1 4 1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205	Licensed Representative	9 3 1 1 9 9 2	4, 9  1  4  1  6  2  4  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773	Licensed Representative	9 3 9 9 2 9 1	4, 9 1 4 1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHEN G Wan Ting CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115	Licensed Representative	9 3 1 1 9 9 2	4, 9  1  4  1  6  2  4  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHEN G Wan Ting CHENG Wan Ting CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773	Licensed Representative	9 3 9 9 2 9 1	4, 9  1  4  1  6  2  4  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHEN G Wan Ting CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115	Licensed Representative	9 3 9 9 2 9 1	4, 9  1  4  1  6  2  4  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan CHING Wai Han, Claudia	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477	Licensed Representative	9 3 9 9 2 9 1	4, 9  1  4  1  6  2  4  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan CHING Wai Han, Claudia CHIU Chun Po CHIU Kin Fai	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461	Licensed Representative	9 3 1 1 9 2 9 1 4	4, 9  1  4  1  6  2  4  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHOI Chau Kit	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269	Licensed Representative	9 3 1 1 9 2 9 1 4	4, 9  1  4  1  6  2  4  1  1  4  1  4  1  4  1  4  1  4  1  9
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2	4, 9  1  4  1  6  2  4  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Ka Hei CHONG Ka Hei CHONG Tsz Ngai	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2	4, 9  1  4  1  6  2  4  1  1  4  1  4  1  4  1  4  1  4  1  4  1  9
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2	4, 9  1  4  1  6  2  4  1  1  4  1  4  1  4  1  4  1  4  1  4  1  9
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Ka Hei CHONG Ka Hei CHONG Tsz Ngai	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2	4, 9  1  4  1  6  2  4  1  1  4  1  4  1  4  1  4  1  4  1  4  1  9
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Ka Hei CHONG Tsz Ngai CHONG Tsz Ngai CHONG Tsz Ngai	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2	4, 9  1  4  1  6  2  4  1  1  4  1  4  1  4  1  4  1  4  1  4  1  9
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Kar Son	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2	4, 9  1  4  1  6  2  4  1  1  4  1  4  1  4  1  4  1  4  1  4  1  9
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Kar Son CHU Kas Ming	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2 6 2,3 1	4, 9  1  4  1  6  2  4  1  1  3  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Kar Son CHU Sau Ming CHU Chun Sing CHU Chun Sing CHU Chun Sing	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BEB212	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2	4, 9  1  4  1  6  2  4  1  1  3  1  1  1  1  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Yixin CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan CHIU Chun Po CHIU Kin Fai CHONG Tsz Ngai CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Kar Son CHU Sau Ming CHU Sau Ming CHU Chun Sing CHUN Sau Yee	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BEB212 AWY508	Licensed Representative	9 3 1 1 9 2 9 1 4 2 6 2,3 1	4, 9  1  4  1  6  2  4  1  1  3  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Kar Son CHU Sau Ming CHU Chun Sing CHU Chun Sing CHU Chun Sing	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BEB212	Licensed Representative	9 3 1 1 9 2 9 1 4 4 2 6 2,3 1	4, 9  1  4  1  6  2  4  1  1  3  1  1  1  1  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHENG Yan Ting CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan CHIU Ghun Po CHIU Kin Fai CHONG Tsz Ngai CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Kar Son CHU Sau Ming CHU Chun Sing CHUN Sau Yee	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BEB212 AWY508	Licensed Representative	9 3 1 1 9 2 9 1 4 2 6 2,3 1	4, 9  1  4  1  6  2  4  1  1  3  1  1  1  1  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yixin CHEN You CHEN Zhe CHENG Wu-long CHENG Yan Ting CHENG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan CHIUR Wai Han, Claudia CHIU Chun Po CHUK in Fai CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Kar Son CHU Sau Ming CHU Chun Sing CHUN Sau Yee CHUNG Chi Kit	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BEB212 AWY508 BOE388	Licensed Representative	9  3  1  1  9  2  9  1  4  2  6  2, 3  1	4, 9  1  4  1  6  2  4  1  1  3  1  1  1  1  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Ngar Lok, Alan CHING Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Sau Ming CHU Chun Sing CHU Chun Sing CHU Chun Sing CHU Chun Sing CHOW Chun Yin CHOY Tak Sum CHU Sau Ming CHUI Chun Sing CHUI Chun Sing CHUN Sau Yee CHUNG Chi Kit CHUNG I Fon CHUNG Taeyoung	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BE8212 AWY508 BOE388 AVI326 BGC192	Licensed Representative	9  3  1  1  9  2  9  1  4  2  6  2, 3  1	4, 9  1  4  1  6  2  4  1  1  1  1  1  1  1  1  1  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Kai Ho CHEUNG Ngar Lok, Alan CHING Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Ka Hei CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHU Sau Ming CHU Sau Ming CHU Chun Sing CHUN Sau Yee CHUNG Chi Kit CHUNG I Fon CHUNG Taeyoung CHUNG Taeyoung CHUNG Taeyoung CHUNG Taeyoung CHUNG Taeyoung CHUNG Taeyoung CHUNG Nael	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BG8008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BE8212 AWY508 BOE388 AVI326 BGC192 AIB976	Licensed Representative	9  3  1  1  9  2  9  1  4  2  6  2, 3  1	4, 9  1  4  1  6  2  4  1  1  1  1  1  1  1  1  1  1  1  1
CHAN Shing Yan CHAN Shue Keung CHAN Tsz Wang Edward CHAN Yan Wing, Andy CHANG Ho Wan CHAU Sze Wan, Devan CHEN Tianyi CHEN Wen-Chung CHEN Yixin CHEN Yuguo CHEN Zhe CHENG Wu-long CHENG Yan Ting CHENG Yan Ting CHEUNG Chun Him CHEUNG Fuk Shing CHEUNG Ngar Lok, Alan CHING Wai Han, Claudia CHIU Chun Po CHIU Kin Fai CHONG Tsz Ngai CHONG Tsz Ngai CHOW Chun Yin CHOY Tak Sum CHU Sau Ming CHU Chun Sing CHU Chun Sing CHU Chun Sing CHU Chun Sing CHOW Chun Yin CHOY Tak Sum CHU Sau Ming CHUI Chun Sing CHUI Chun Sing CHUN Sau Yee CHUNG Chi Kit CHUNG I Fon CHUNG Taeyoung	AGE665 AND696 BNJ076 ADJ208 AUH451 AMH270 BNE736 AEB983 BFX369 BFY404 BOG853 BCS981 AUC961 BKA569 BHD205 BLY773 AFF115 APU477 BGB008 ADC461 BIC269 BCU758 BKF673 BIV568 BAJ163 AUZ444 AEJ665 BE8212 AWY508 BOE388 AVI326 BGC192	Licensed Representative	9  3  1  1  9  2  9  1  4  2  6  2, 3  1	4, 9  1  4  1  6  2  4  1  1  1  1  1  1  1  1  1  1  1  1



VARIATIONS OF REGULATED ACTIVITIES OF LICENSE	D I LINGUING	REGISTERED INSTITUTIONS DURING 03/2020		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
DONG Yinfei	BES352	Licensed Representative	9	1
DONG Yubo	BFE045	Licensed Representative		1
				-
DORME Julien David	BOG267	Licensed Representative		/
DUAN Yanlei	BKW772	Licensed Representative	4, 6	
ENGELHARDT Thaddaeus	BNN888	Licensed Representative		7
FANG Shuai	BKV676	Licensed Representative		7
FENG Yibiao	BHV159	Licensed Representative	4	1
FER Benoit Francois	BAO462	Licensed Representative		7
				9
GAO Min	BJO856	Licensed Representative		9
GAO Xiaoyu	BOS950	Licensed Representative	1, 6	
GAO Xing	BGI426	Licensed Representative	1	
GAVRILOV Ruben	BMW495	Licensed Representative		7
GORI Filippo	BCN947	Licensed Representative	6	
GREEN Jonathan Robert	AVM428	Licensed Representative	2	
HADDAD Eve Alice	BPC749	Licensed Representative	7	
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HAGENDYK Chris Andrew	BDR789	Licensed Representative		7
HO Chun Keung	AWT602	Licensed Representative	1, 4	
HO Lam Hoi	BOP788	Licensed Representative	2	
HO Wai Lun, Alan	AFA202	Licensed Representative		2
HU Boyu	BET781	Licensed Representative	1	
•			2	
HU Chao	BGX227	Licensed Representative	2	
HU Hsiao Chieh	BOA199	Licensed Representative	9	1, 2, 4
HU Jiguang	AUC306	Licensed Representative	4	
HU Zixuan	BMK657	Licensed Representative	9	
HUANG Hing Wai	ARD187	Licensed Representative		7
HUANG Shasha	AUV734	Licensed Representative	4	
HUANG Wei	BLL815	·	1	
		Licensed Representative	1	
HUANG Yao	AXX667	Licensed Representative		1
HUI Lam Chiu	ADF453	Licensed Representative		5
HUI Sheung Yin, Daniel	AGC425	Licensed Representative		1
HUNG Shao Feng	BOJ331	Licensed Representative		7
JAIN Nikhil	BNJ259	Licensed Representative		7
				2
JI Yong Kyu	AMW599	Licensed Representative		2
JOUANIN Jean-frederic Paul Stephane	BLV469	Licensed Representative		7
JOUGUET Paul Christian Marie	BOT984	Licensed Representative		7
JUNG Su Hyun	BNC308	Licensed Representative	1, 4	
	BNC308 BEO228		1, 4	1
KAMI Chi Hang	BEO228	Licensed Representative	1, 4	1
KAMI Chi Hang KIM Justin Jongwon	BEO228 AZF496	Licensed Representative Licensed Representative		1 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan	BEO228 AZF496 BIC659	Licensed Representative Licensed Representative Licensed Representative	9	1 7 1, 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit	BEO228 AZF496 BIC659 BMW459	Licensed Representative Licensed Representative Licensed Representative Licensed Representative		1 7 1, 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan	BEO228 AZF496 BIC659	Licensed Representative Licensed Representative Licensed Representative	9	1 7 1, 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit	BEO228 AZF496 BIC659 BMW459	Licensed Representative Licensed Representative Licensed Representative Licensed Representative	9	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun	BEO228 AZF496 BIC659 BMW459 BFA493	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	9	1 7 1, 2 4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921	Licensed Representative	9 9 1	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222	Licensed Representative	9 9 1 1 1 1, 9	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723	Licensed Representative	9 9 1 1 1 1, 9 4	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877	Licensed Representative	9 9 9 1 1 1 1, 9 4 2	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723	Licensed Representative	9 9 1 1 1 1, 9 4	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877	Licensed Representative	9 9 9 1 1 1 1, 9 4 2	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743	Licensed Representative	9 9 9 1 1 1 1, 9 4 2	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435	Licensed Representative	9 9 9 1 1 1 1, 9 4 2 2 2 1 1	1 7 1, 2 4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI ChinKit	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740	Licensed Representative	9 9 9 1 1 1 1, 9 4 2 2 2 1 1 4	1 7 1, 2 4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1 7 1, 2 4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	1 7 1, 2 4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	1 7 1, 2 4 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	4 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yui, Jason LAM Tik On	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yui, Jason LAM Tik On LAU Chun Tung	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA677 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	9 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yin LAM Chung Yin LAU Chun Tung	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA677 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yin LAU Chun Tung LAU Chun Tung LAU Chun Tung LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA677 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yin LAM Chung Yin LAU Chun Tung	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA677 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yin LAU Chun Tung LAU Chun Tung LAU Chun Tung LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA677 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913	Licensed Representative	9 9 9 1 5 5 9 9 9 9 9 9 9 9 9 9 9 9 9 9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yin LAU Chun Tung LAU Chun Tung LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430	Licensed Representative	9 9 1 1, 9 4 2 2 1 4 9 1 5 4, 9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yin LAM Chung Yin LAU Chun Tung LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913	Licensed Representative	9 9 9 1 1,9 4 2 2 1 4 9 1 5 4,9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin LAM Chung Yin LAU Chun Tung LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Chony	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887	Licensed Representative	9 9 9 1 1,9 4 2 2 1 4 9 1 5 4,9	9 7 2
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin, Jason LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Doohyun LEE Michael Douglas	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 7 9 7 2 4, 9 1 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK KA Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin, Jason LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Michael Douglas LEE Min Serk	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426 BEX933	Licensed Representative	9 9 9 1 1,9 4 2 2 1 4 9 1 5 4,9	4 7 7 9 7 2 4, 9 1 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin, Jason LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Doohyun LEE Michael Douglas	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 7 9 7 2 4, 9 1 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK KA Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin, Jason LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Michael Douglas LEE Min Serk	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426 BEX933	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 7 9 7 2 4, 9 1 7 7
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK KA Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yin, Jason LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LAW Raphael Benjamin Charles LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Michael Douglas LEE Min Serk LEE Sang Yup	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMB776 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426 BEX933 AFB348	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 7 9 7 2 4, 9 1 7 7 7 7 9 4, 9
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yii, Jason LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LAU Kin Chung, Alex LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Chun Leung LEE Michael Douglas LEE Min Serk LEE Sang Yup LEE Wing Shing LEGRAND Mikael Jacques Adrien	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426 BEX933 AFB348 AJD174 BAC208	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 7 9 7 7 7 9 4, 9 4, 9 4, 9 4, 9
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yi, Jason LAM Tik On LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Chun Leung LEE Michael Douglas LEE Min Serk LEE Sang Yup LEGRAND Mikael Jacques Adrien LEUNG Hon Chio	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426 BEX933 AFB348 AJD174 BAC208 AGB750	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 9 7 2 4, 9 1 7 7 7 9 4, 9 4, 9
KAMI Chi Hang KIM Justin Jongwon KIM Seunghwan KO Angus Chun Kit KO Cheuk Lun KOPLIKU Jurgen KWAN Cheuk Wun KWAN David King Tong KWOK HIU CHAK KWOK Ka Chun KWOK Marcus Chun Kue KWOK Sarah King Wai LAI Chengdi LAI Chi Kit LAI Ming Wai LAI Yuen Yung, Elita LAM Chi Pui LAM Chung Yii, Jason LAM Tik On LAU Chun Tung LAU Kin Chung, Alex LAU Kin Chung, Alex LE Trung Cuong Steven LEE Chun Hong LEE Chun Leung LEE Chun Leung LEE Michael Douglas LEE Min Serk LEE Sang Yup LEE Wing Shing LEGRAND Mikael Jacques Adrien	BEO228 AZF496 BIC659 BMW459 BFA493 BHO306 BJK921 ADU222 BJN723 BMA877 BIS743 BPC435 BDL588 BIL740 BIV244 AYW932 BID982 AMF376 AZU995 BFD374 AWB700 AXH629 AXS913 BOK430 BGQ365 ABM913 BOM887 ANE426 BEX933 AFB348 AJD174 BAC208	Licensed Representative	9 9 9 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	4 7 7 9 7 7 7 9 4, 9 4, 9 4, 9 4, 9



	D FERSONS	REGISTERED INSTITUTIONS DURING 05/2020		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Botao	BKW478	Licensed Representative		4
LI Dengji	BMT588	Licensed Representative	4	6
			4.4	
LI Jingyi	BGH363	Licensed Representative	1, 4	9
LI Kit Lan	BAN027	Licensed Representative	3	
LI Mengru	BNS481	Licensed Representative	1	
LI Peiyu	BNJ144	Licensed Representative	1	
LI Tiangang	BMG815	Licensed Representative		7
LI Xueying	BPK181	Licensed Representative	4, 9	6
				4
LI Zhuoyan	BPH540	Licensed Representative	9	-
LIM Yoo Min	AQQ499	Licensed Representative		7
LIN Chii-Horng	AXM969	Licensed Representative	2	
LIN Jie	BBI105	Licensed Representative	1, 4, 9	10
LIN Qing	BCJ637	Licensed Representative	4	
LIU Bing	BKY745	Licensed Representative	1	
			1	
LIU Chak Ming	ARI016	Licensed Representative	-	
LIU Chin Hang	BDX550	Licensed Representative	2	
LIU Haoyu	BLC497	Licensed Representative		4, 9
LIU Jieying	BOD545	Licensed Representative	4, 9	
LIU Suyang	BJH292	Licensed Representative	1	9
LIU Ta-Pei	ALL251	Licensed Representative		1, 5
		,		
LIU Xing	BHJ248	Licensed Representative		9
LIU Yuzhan	BHL719	Licensed Representative	2	1
LIU Ziyuan	BOT112	Licensed Representative	1	9
LO Chung Kwan	AAH066	Licensed Representative	1	
LO Hei Tung	BLM103	Licensed Representative	4	
LO Hiu Yeung	AVD958	Licensed Representative	2	
	AVR437	· ·	9	2
LO Ho Tak		Licensed Representative	9	2
LO Yun Tsun	AOU884	Licensed Representative		1
LU Jiuzhou	ATR182	Licensed Representative	4, 9	
LU Liangjie	BOE900	Licensed Representative	1, 2	
LU Yifu	BFM415	Licensed Representative		9
LUCIANI Laurent Pierre Henri	AYW620	Licensed Representative		7
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LUI Yin Ting Cindy	APR037	Licensed Representative	4, 9	
LUO Laura Ying	ADJ631	Licensed Representative		1, 5
LUO Si	BHU839	Licensed Representative		4
MA Chi Ho, Laurence	AEA532	Licensed Representative	9	
MA Shuting	BOL304	Licensed Representative	6	1
MAGERAND Arnaud Eric Marcel Georges	BMX690	Licensed Representative		7
	ACL135			5
MAK Yue Shing		Licensed Representative		_
MANDALA Rohan	BGM922	Licensed Representative		/
MIGLANI Sugeet	ATN355	Licensed Representative		7
MIMAKI Hiroyuki	AQD034	Licensed Representative		4, 6
MO Xianjue	BOG034	Licensed Representative		2
MONDEN Yoshiaki	ADZ974	Licensed Representative		1, 2
MUI Chok Wah	ABX544	Licensed Representative		1, 4, 9
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NAIJA Mehdi	BDI744	Licensed Representative		/
NG Po Ling	AYI107	Licensed Representative		
NI Oinguun			1, 4	10
NI Qingyun	BPB441	Licensed Representative	1, 4	10 1, 4
NOH James Hyon	BPB441 BKG328		1, 4	
		Licensed Representative	1,4	
NOH James Hyon OEI Hong Eng	BKG328 AAB064	Licensed Representative Licensed Representative Licensed Representative	1,4	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid	BKG328 AAB064 BNI206	Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1,4	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko	BKG328 AAB064 BNI206 AWU164	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1, 4	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor	BKG328 AAB064 BNI206 AWU164 AWN871	Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1,4	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko	BKG328 AAB064 BNI206 AWU164	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1,4	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor	BKG328 AAB064 BNI206 AWU164 AWN871	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative Licensed Representative	1,4	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit	BKG328 AAB064 BNI206 AWU164 AWN871 ARG689	Licensed Representative	4, 9	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545	Licensed Representative		1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu POITEVIN Nicolas	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110	Licensed Representative	4, 9	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu POITEVIN Nicolas POON Chung Yeung	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110  BGW429	Licensed Representative		1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu POITEVIN Nicolas	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110	Licensed Representative	4, 9	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu POITEVIN Nicolas POON Chung Yeung	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110  BGW429	Licensed Representative	4, 9	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu POITEVIN Nicolas POON Chung Yeung Rachit Raj	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110  BGW429  BFF384	Licensed Representative	4, 9	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu POITEVIN Nicolas POON Chung Yeung Rachit Raj RAMACHANDRAN Rachael RITEAU Alexandre Pierre	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110  BGW429  BFF384  BOC619	Licensed Representative	4, 9	1, 4
NOH James Hyon OEI Hong Eng OISMAYO Hafid OKAMURA Toshihiko OR Victor PANDA Angkit PARK Yoo Bin PENG Siyu POITEVIN Nicolas POON Chung Yeung Rachit Raj RAMACHANDRAN Rachael RITEAU Alexandre Pierre ROUZIER Tom Baptiste	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264	Licensed Representative	4, 9	1, 4
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BN0892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513	Licensed Representative	4, 9	1, 4
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John  RUOP Guillaume Maxime Bernard	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941	Licensed Representative	4, 9	1, 4 7 6 7 7 1 7 7 7 7 4 7 7 7
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BN0892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941  ANX921	Licensed Representative	4, 9	1, 4
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John  RUOP Guillaume Maxime Bernard	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BNO892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941	Licensed Representative	4, 9	1, 4 7 6 7 7 1 7 7 7 7 7 7 7 7 7 7 7 7
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John  RUOP Guillaume Maxime Bernard  SHAHA Sourabh Sharashchandra	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BN0892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941  ANX921	Licensed Representative	4, 9	1, 4 7 6 7 7 1 7 7 7 7 7 7 7 7 7 7 7 7
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John  RUOP Guillaume Maxime Bernard  SHAHA Sourabh Sharashchandra  SHEK Wing Yin, Stanley	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BN0892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941  ANX921  BCZ812	Licensed Representative	4, 9	1, 4 7 6 7 7 1 7 7 7 7 7 7 7 7 7 7 7 7
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John  RUOP Guillaume Maxime Bernard  SHAHA Sourabh Sharashchandra  SHEK Wing Yin, Stanley  SHEN Pei Lin  SHEN Sicong	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BN0892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941  ANX921  BCZ812  AXP645  BNF348	Licensed Representative	4, 9	1, 4 7 6 7 7 1 7 7 7 7 7 7 7 7 7 7 7 7
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John  RUOP Guillaume Maxime Bernard  SHAHA Sourabh Sharashchandra  SHEK Wing Yin, Stanley  SHEN Pei Lin  SHEN Sicong  SHENG Jie	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BN0892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941  ANX921  BCZ812  AXP645  BNF348  BOX017	Licensed Representative	4, 9	1, 4 7 6 7 7 1 7 7 7 7 7 7 7 7 7 7 7 7
NOH James Hyon  OEI Hong Eng  OISMAYO Hafid  OKAMURA Toshihiko  OR Victor  PANDA Angkit  PARK Yoo Bin  PENG Siyu  POITEVIN Nicolas  POON Chung Yeung  Rachit Raj  RAMACHANDRAN Rachael  RITEAU Alexandre Pierre  ROUZIER Tom Baptiste  ROYAL Andrew John  RUOP Guillaume Maxime Bernard  SHAHA Sourabh Sharashchandra  SHEK Wing Yin, Stanley  SHEN Pei Lin  SHEN Sicong	BKG328  AAB064  BNI206  AWU164  AWN871  ARG689  BN0892  BMQ545  AME110  BGW429  BFF384  BOC619  AXY666  BOJ264  AXL513  AZY941  ANX921  BCZ812  AXP645  BNF348	Licensed Representative	4, 9	1, 4 7 6 7 7 1 7 7 7 7 7 7 7 7 7 7 7 7



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
SHIMA Miho	BHA254	Licensed Representative		7	
SIOUTI Charles	BOP323	Licensed Representative		7	
				0	
SJAHSAM Roushana	AJB099	Licensed Representative		_	
SLIM Arnaud Amaury Alex	BOD508	Licensed Representative		/	
SO Man Yan	ASS700	Licensed Representative	1, 4		
SOERENSEN Morten	BLC449	Licensed Representative		7	
SOO Joon Shern	BEZ017	Licensed Representative		4	
SRISAMANG Sean Tana	BHX149	Licensed Representative	2		
SUN Hutao	BPA258	Licensed Representative	4	6	
SUN Yuting	BGM616	Licensed Representative	1		
SUNG Hung-Wei	BGG701	Licensed Representative	5		
SZE Ka Wo	BIV051	Licensed Representative		1, 4	
	AFD099	· ·	1	1, -	
SZE Ming For		Licensed Representative	1		
TAM Ka Hong	BIQ325	Licensed Representative		2	
TAN Kim Kuan Francis	AOO513	Licensed Representative	4, 9	1, 2	
TANG Aaron Jian Ye	BNS476	Licensed Representative	2		
TANG Ping Sum	AEL276	Licensed Representative		4	
TANG Wai Shan	BLM882	Licensed Representative	9	1	
TOUMI Pawel Nadim	AVS731	Licensed Representative		7	
TRUONG Alfred Kar Yin	BED061	Licensed Representative		7	
TSANG Kwun Kit	AQC647	Licensed Representative	2, 4		
TSANG Man Sang	AFC688	· ·	4		
		Licensed Representative	7	7	
TSANG Simon Sai Cheong	AUW602	Licensed Representative		/	
TSE Kit Yuk	ATO410	Licensed Representative	2		
TSE Kwok On	AHG557	Licensed Representative		6	
TSO Yui Tak	BOB511	Licensed Representative	4		
VANOVERBERGHE Vincent Thomas Pierre	BGO491	Licensed Representative		7	
WADEL Vincent Nicolas Roger Jean	BHL501	Licensed Representative		7	
WAN Ho Lam	AUR976	Licensed Representative		4	
WAN Ka Nin, Kenneth	ANN397	Licensed Representative	6		
	BOR676	· ·	4		
WANG Baoying		Licensed Representative			
WANG Li-Jen	AVH868	Licensed Representative	2, 5		
WANG Pingping	AQI580	Licensed Representative		4	
WANG Pinyue	BIX930	Licensed Representative	9		
WANG Tianjiao	AZV699	Licensed Representative		7	
WANG Wai Keung	BLC066	Licensed Representative		4	
WANG Wen-Hsin	BBK193	Licensed Representative	1		
WANG Wenxiao	BJX751	Licensed Representative		1, 9	
WANG Yan	BOA764	Licensed Representative	6	2, 5	
WANG Yizhou	BIE387	Licensed Representative	1	2, 3	
		·	1		
WANG Yuhan	BKV088	Licensed Representative		4	
WANG Yuxuan	AVS196	Licensed Representative	4		
WAT Ying Hang	AAK716	Licensed Representative		1	
WERKUN Erica Poon	AGN915	Licensed Representative	5		
WHEELER James Matthew	BCK949	Licensed Representative	1		
WONG Chi Wah, Philip	ABV200	Licensed Representative	4	2	
WONG Ka Chun	BAI761	Licensed Representative		9	
WONG Mei Sze	ATY711	Licensed Representative	2		
WONG Miu Wan	BNR830	Licensed Representative	6	1, 4	
WONG Nathan	BNZ944		-	7	
		Licensed Representative		,	
WONG Shing Chi	AOS348	Licensed Representative	9		
WONG Si Si, Connie	BIZ557	Licensed Representative	6		
WONG Wei Man	ANR767	Licensed Representative	9		
WONG Yun Sum	BAA672	Licensed Representative		7	
XIE Ting	BAG295	Licensed Representative	4, 5		
XU Simin	BFC852	Licensed Representative	9	1	
XU Wen	BPF516	Licensed Representative	2		
XU Xiao	BGY985	Licensed Representative	1		
YANG Fan	ARH595	Licensed Representative		1, 2, 4	
			A 5		
YANG Hongbo	BME752	Licensed Representative	4, 5	1, 2	
YANG Lu	BOZ759	Licensed Representative	1, 6		
YANG Xiuke	BAH518	Licensed Representative		1	
YANG Zhao	BOC022	Licensed Representative	4		
YAU Wai Ching	ASZ106	Licensed Representative		4	
YEUNG Chun Kit	BGX252	Licensed Representative		7	
YEUNG Chun Pui	BJE036	Licensed Representative		7	
YEUNG Lok Yiu Kelly	BOS246	Licensed Representative	4		
YEUNG Sze Ho	BEJ102	Licensed Representative		2	
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YEUNG Wise Yee	AOX999	Licensed Representative			
YEUNG Wing Yan	BNH098	Licensed Representative	2		
YIN Hanzhang	BFX694	Licensed Representative	5		



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2020					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
YIP Kwun Wang	AXY632	Licensed Representative	4	-	
YIP Man Yee Olivia	BNV481	Licensed Representative	1, 4	9	
			1, 4	4	
YOU Hanqian	BNO515	Licensed Representative		_	
YOUNG Charles Maximilian	BKL907	Licensed Representative		/	
YU Hailian	AUH482	Licensed Representative	5		
YU Haozhang	BPC360	Licensed Representative	4, 5	1, 2	
YU Jiaqiang	BMI887	Licensed Representative		6, 9	
YUEN Ka Cheong	AVQ566	Licensed Representative	4		
YUNG Yu Shing	ATB835	Licensed Representative	1, 2	3	
ZHANG Jiahao	BNS460	Licensed Representative		2, 4, 9	
ZHANG Lixin	BPD881	Licensed Representative		2	
ZHANG Xuelai	BOM870	Licensed Representative	4	2, 7	
ZHAO Can	BKQ455	Licensed Representative	1	9	
ZHENG Runze	BEJ782	Licensed Representative	6		
ZHENG Shaoting	BGQ568	Licensed Representative		9	
ZHOU Caobin	BKJ864	Licensed Representative	9	1, 4, 6	
ZHOU Silong	BCE950	Licensed Representative	4		
ZHOU Yiming	BNG180	Licensed Representative		9	
ZHU Beibei	BFD466	Licensed Representative	4, 9		
		· ·	4, 9		
ZHU Xiaofei	BNQ604	Licensed Representative	4		
ZHUANG Jin	AZT481	Licensed Representative		1	
ZUNINO Romain Marie Pierre Gaston	BLA848	Licensed Representative		7	
ARKEY Anthony Alan	AAW718	Licensed Representative - Responsible Officer		1	
CAI Hua	BHZ819	Licensed Representative - Responsible Officer	1		
CAMSING Alain Jules	BDF229	Licensed Representative - Responsible Officer		7	
CHAN Hing Sang, Alexander	ALB323	Licensed Representative - Responsible Officer	4, 9		
CHAN Kam Chi, Angello	ABN253	Licensed Representative - Responsible Officer	5		
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CHAN Man Fung	AXN001	Licensed Representative - Responsible Officer	1		
CHAN Ngai Lun, Alan	ARR782	Licensed Representative - Responsible Officer	9		
CHANG Ho Wan	AUH451	Licensed Representative - Responsible Officer	4		
CHAU Chung Yin, Samiel	AAV692	Licensed Representative - Responsible Officer		2	
CHEN Senlin	BCJ309	Licensed Representative - Responsible Officer	1		
CHENEVIER Antoine Philippe Jean Marie	BMZ545	Licensed Representative - Responsible Officer	2		
CHENG Wing Hung	AMA065	Licensed Representative - Responsible Officer		1	
			_	1	
CHEUNG Keung Tak	ANU725	Licensed Representative - Responsible Officer	5		
CHEUNG Wai Hung	AUN717	Licensed Representative - Responsible Officer		1	
CHOW Yuen Tung	AEZ042	Licensed Representative - Responsible Officer		2	
CHOY Ka Man, Stanley	AZJ124	Licensed Representative - Responsible Officer	4		
CHUN Man Ka, Angela	AFW118	Licensed Representative - Responsible Officer	4		
CLARKE Andrew Kenneth	ADT180	Licensed Representative - Responsible Officer	9		
DE LACY STAUNTON David Charles H.	AUK185	Licensed Representative - Responsible Officer		1	
DJENG Kar Yee, Lisa Marie	AFZ400	Licensed Representative - Responsible Officer	4		
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FERLAND Pierre	ARQ522	Licensed Representative - Responsible Officer		6	
GUPTA Tanay	AXQ333	Licensed Representative - Responsible Officer		7	
HAGE Julien Gerald	AVV903	Licensed Representative - Responsible Officer		7	
HALLEY David Michael	AUK187	Licensed Representative - Responsible Officer		1	
HE Zheng	APC123	Licensed Representative - Responsible Officer	4		
HO Cheuk Hang, Ryan	APL761	Licensed Representative - Responsible Officer	5		
HUI Lam Chiu	ADF453	Licensed Representative - Responsible Officer	1		
JUNGERS Eric	ATH188	Licensed Representative - Responsible Officer		7	
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KEE Yuen Man, Carol	ADZ736	Licensed Representative - Responsible Officer	4, 9		
KOK Sen Kan	AUC483	Licensed Representative - Responsible Officer	2		
KWOK Wing Ho Felix	ADQ206	Licensed Representative - Responsible Officer	9		
KWONG Chi Kong, Andy	ADV456	Licensed Representative - Responsible Officer	9		
KWONG Dak Shing	ABY691	Licensed Representative - Responsible Officer	9		
LACOURTE Amaury, Athanase, Dominique-Marie	ATG721	Licensed Representative - Responsible Officer		7	
LAI Ka Kit	ALZ752	Licensed Representative - Responsible Officer	4, 5		
LAM Ting Lok	AFT214	Licensed Representative - Responsible Officer	4, 9		
-			4		
LAU Chun Tung	AWB700	Licensed Representative - Responsible Officer	1		
LAU Hon Wai	ACI109	Licensed Representative - Responsible Officer	4, 9		
LAU Tak Yan	APF933	Licensed Representative - Responsible Officer	1, 4		
LAU Yuk Leung, Darwin	AUM452	Licensed Representative - Responsible Officer	4		
LEE Wai Chung	APV528	Licensed Representative - Responsible Officer	4		
LEUNG Ching Wan, Catherine	AGS493	Licensed Representative - Responsible Officer	4		
LEUNG Kwok Ming	AIZ701	Licensed Representative - Responsible Officer	5		
-		· ·	9		
LO Yun Tsun	AOU884	Licensed Representative - Responsible Officer	9	_	
LOISEAU Stephane Robert Armand	BAB325	Licensed Representative - Responsible Officer		/	
MA Chi Wah	AFF507	Licensed Representative - Responsible Officer	4, 9		
MA Hoi Wai	ADS048	Licensed Representative - Responsible Officer	2, 5		
MA Yi	BHX379	Licensed Representative - Responsible Officer		1	
MARTIN James John Richard	AYW281	Licensed Representative - Responsible Officer	4		
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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MASCIA Antoine Henri	AXM134	Licensed Representative - Responsible Officer		7
MC CANN David John	BJO460	Licensed Representative - Responsible Officer	4	
MOU Shuk Han	ALN988	Licensed Representative - Responsible Officer	2	
NG Tan Hung Charlotte	AGH833	Licensed Representative - Responsible Officer	4	
NG Ting Chi	AUP953	Licensed Representative - Responsible Officer	1, 4	
NICOLAY Sylvain Henri Pierre	ASQ142	Licensed Representative - Responsible Officer		7
NIDDAM Jerome Julien	AQO343	Licensed Representative - Responsible Officer		7
PANG Eric Kwok Lik	ALJ833	Licensed Representative - Responsible Officer	4	
PAO Chi King, Kim	AFS557	Licensed Representative - Responsible Officer	4	
PHILIPPE Martin Michel Jean Bruno Louis	BEF177	Licensed Representative - Responsible Officer		7
PHILIPPON Pierre-Yves	AZB316	Licensed Representative - Responsible Officer		7
POUPARD Baptiste Marie Herve	AJG585	Licensed Representative - Responsible Officer		7
QUAIFE Matthew Samuel Heighes	AXX236	Licensed Representative - Responsible Officer	5	
ROY Philippe, Paul, Andre	ATL610	Licensed Representative - Responsible Officer		7
SARNELLI Francois-olivier Robert J.	AXW930	Licensed Representative - Responsible Officer		7
SEETO Dereke Charles Wing On	AYO959	Licensed Representative - Responsible Officer		7
SPENCE Kevin	BOH486	Licensed Representative - Responsible Officer	2	
SRISAMANG Sean Tana	BHX149	Licensed Representative - Responsible Officer	4, 9	
SUEN Wai Kin	BDP679	Licensed Representative - Responsible Officer		1
TEO Sher-Lyn, Corrinne	APH296	Licensed Representative - Responsible Officer	4	
TSE Kwun Ho	AZP279	Licensed Representative - Responsible Officer	4	
UPTON Adam Boyd	AOS564	Licensed Representative - Responsible Officer	4	
WONG Chi Kan	AAC576	Licensed Representative - Responsible Officer	4	
WONG Mang Nam	ALK612	Licensed Representative - Responsible Officer	1	
WONG Patrick	ACQ994	Licensed Representative - Responsible Officer		1
WU Xiao	AOS437	Licensed Representative - Responsible Officer	9	
XU Ruimin	ALZ591	Licensed Representative - Responsible Officer	4	
YIP Ming Kwan	BCZ943	Licensed Representative - Responsible Officer	1	
ZHANG Cheng	AYC302	Licensed Representative - Responsible Officer	4	
ZHANG Chengliang	AIR259	Licensed Representative - Responsible Officer	1	
ZHENG Fang	AOB740	Licensed Representative - Responsible Officer	4	
ZOU Chuan	AVZ312	Licensed Representative - Responsible Officer	2	