

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
9M Stone Capital Limited	BGR152	Licensed Corporation	4	
Elstone Securities Limited	ACX624	Licensed Corporation	4	
Gateway Capital (Hong Kong) Limited	ASU234	Licensed Corporation	4	
Golden Eagle Brokerage Limited	BNJ356	Licensed Corporation	9	
GuanHong Securities Limited	BJW079	Licensed Corporation	4, 9	
Hongkong Rich & Fortune Securities Co., Limited	BNC806	Licensed Corporation	4	
Integrity Capital Management (HK) Limited	BAN128	Licensed Corporation		1, 4
PJT Partners (HK) Limited	BEZ914	Licensed Corporation	4, 6	
RSI Securities Limited	BHT553	Licensed Corporation	4	
Shuang Ji (Hong Kong) Securities Limited	BNN852	Licensed Corporation		1
AN Sang Beom	AYX987	Licensed Representative	4	
AU Cherie Tsui Yee	BFJ728	Licensed Representative		7
BI Xiaoxia	BIV961	Licensed Representative	2, 5	
BOOTH Alexander Robert	BCQ746	Licensed Representative	4, 5	
CARNEVALI Angelo	BEY935	Licensed Representative	1, 4	
CHAN Eric Yuet Phong	BJL971	Licensed Representative	1	
CHAN Kar Yin, Gary	AEB044	Licensed Representative		4
CHAN Kin Leung	APC074	Licensed Representative		1
CHAN Kit Wai	AFU271	Licensed Representative	9	
CHAN Kwok Kin	BOS587	Licensed Representative	2	
CHAN Sai Wing	AMU845	Licensed Representative		1
CHAN Shuk Mei	BEA066	Licensed Representative		1
CHAN Sze	BOK502	Licensed Representative		4, 9
CHAN Yan Yu Jessica	BMX472	Licensed Representative	4, 9	6
CHANG Carmen	AVS344	Licensed Representative	4	
CHAU Wai Kin	BGB955	Licensed Representative	4	
CHEN Chao	BDI410	Licensed Representative	4	
CHEN Chuanyao	AYO724	Licensed Representative	1	
CHEN Hu	BMA461	Licensed Representative	1	9
CHEN Yu	BEM653	Licensed Representative		1
CHEUNG Tsz Lok	BHC891	Licensed Representative	1	
CHEUNG Cheuk Fung	BIE626	Licensed Representative		1
CHEUNG Chin Ho, Henry	AXO900	Licensed Representative		1, 2, 5
CHEUNG Chun Ki	BJW035	Licensed Representative	1, 4, 9	6
CHEUNG Lai Him	AVB24	Licensed Representative		1
CHEUNG Pik Ting	BOA765	Licensed Representative	4	
CHEUNG Shing Yat	ADZ922	Licensed Representative	4	
CHEUNG Tsz Bun, Edwin	ASX478	Licensed Representative	4, 5	
CHIOU Hsin-Ying	AZL661	Licensed Representative	1	
CHIU Cheuk Wai, Eva	ACL606	Licensed Representative		4
CHIU Chi Yuen	BOQ852	Licensed Representative		1
CHIU Ka Chun	BGM282	Licensed Representative	1, 2	9
CHIU Ming Chi	BOW540	Licensed Representative	4	1, 6
CHIU On Sang	AJE177	Licensed Representative		1, 6
CHIU Yan Kit	ANK160	Licensed Representative		6
CHOW Ho Kin, Kelvin	APF802	Licensed Representative	1, 2	
CHOW Sheung Kar	BMW556	Licensed Representative	4	
CHOW Ting Fai, Stephen Benny	ANE749	Licensed Representative	4	
CHOY Sze Ching	BOC509	Licensed Representative	1, 4	9
CHU Tat Hoi	ADP069	Licensed Representative		1
CHU Weijia	BHZ238	Licensed Representative	9	4
CHUANG Wayne	ASN774	Licensed Representative	2, 9	
CHUI Cheuk Hong	BJR570	Licensed Representative	1	
CHUI Sin Ying	BNP730	Licensed Representative		4, 9
CHUNG Hoi Kei, Ronald	AWF152	Licensed Representative	1	5
DAI Yayun	BDR047	Licensed Representative	4	
FANG Jiwen	BPP344	Licensed Representative	2	
FENG Yanyan	BOU561	Licensed Representative	9	1, 4, 6
FENG Yingsi	AZR405	Licensed Representative		1, 4, 5
FOK Chung Hin, Felix	ATO002	Licensed Representative	1	
FOK Kin Lip, Philip	AED915	Licensed Representative		4
FUNG Koon Wah	AFZ881	Licensed Representative	1	
FUNG Mei Shan	BHQ087	Licensed Representative		2
GONG Yanmei	BPG280	Licensed Representative	1	
GU Hao	BHD367	Licensed Representative	6	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GU Zhixiang	BMY444	Licensed Representative	9	1
GUO Jiahua	BHF803	Licensed Representative	4	
GUO Rui	BNG888	Licensed Representative		2, 4
GUPTA Pooja	BIC159	Licensed Representative	4	
HAK Stephanie Chung Man	BJH420	Licensed Representative		4, 9
HAO Zhennan	BOZ927	Licensed Representative	4	
HE Jieyan	BLN749	Licensed Representative	1	
HO Alice	AGI187	Licensed Representative		4
HO Darren King Man	BNJ839	Licensed Representative	1	
HO Tsz Shun Kevin	AXG091	Licensed Representative	1	
HO Wing Lim	AOG204	Licensed Representative		4, 9
HSU Shih-min	BCJ580	Licensed Representative	1	
HU Haijian	BLH370	Licensed Representative	1	
HUANG Daru	BOS309	Licensed Representative		6
HUANG Shu Hui	ATP059	Licensed Representative		1, 4
HUANG Yao	AXX667	Licensed Representative	1	
HUNG Hoi Ming, Raymond	AOL366	Licensed Representative	4	
IRLE Pratik	BDI552	Licensed Representative	9	2, 5
JANG Minhyeok	BIQ771	Licensed Representative	6	
JEONG Jun Ho	BFO309	Licensed Representative	2	
JIANG Guihong	BOL346	Licensed Representative	9	
JING Hua	ATE233	Licensed Representative	1, 2	
JOO Jihyun Katherine	AZJ437	Licensed Representative	1, 4	
JOO Myung	BCT624	Licensed Representative		6
KAM Wing Sze, Zoe	AFQ041	Licensed Representative	4	
KEY John Richard	AOZ142	Licensed Representative	4	2
KIM Si Hyun	BOP326	Licensed Representative	9	1
KO Goldie Tik	BAH961	Licensed Representative		1
KO Tin Chun Daryl	BND354	Licensed Representative	4, 9	
KUAN Roy	AFD203	Licensed Representative	9	
KUMAR Ajay	ARN358	Licensed Representative	9	1, 2
KUNG Sau Kwan	BFJ185	Licensed Representative	6	
KURIHARA Yuji	BOF765	Licensed Representative		6
KUSANO Jun	BGX575	Licensed Representative		2
KWAN Chun Fai	AQG692	Licensed Representative	4, 5, 9	2
KWAN Ut Hoi	AQW340	Licensed Representative		1
KWOK Hei Yu	BLH236	Licensed Representative	2	
KWOK Kelvin Chun Hung	BGO336	Licensed Representative		4, 9
KWOK Wing Lun	AND706	Licensed Representative		4
KWONG Wai Fong	AFJ234	Licensed Representative		1
LAM Chi Hsin	ALO052	Licensed Representative		4, 9
LAM Siu Mo	BOS468	Licensed Representative		1
LAM Wai Kei	BGD647	Licensed Representative	4	
LAM Wing Keung	ANS013	Licensed Representative	1, 2, 5	
LAU Chak Wong	AXY507	Licensed Representative	2	
LAU Ho Fung	AMW835	Licensed Representative	1, 4	
LAU Shuk Ting	BIT843	Licensed Representative		1
LAU Yik Ting	BBW340	Licensed Representative	2	
LE BINH Khoi	BKP233	Licensed Representative	1	9
LEE Alyssa Kai Wing	BOH364	Licensed Representative		6
LEE Dink Sing	BEL389	Licensed Representative	3, 4	
LEE Kwun Fan, Kelvin	ASY378	Licensed Representative		1
LEE Wan Wah, Wendy	BFD785	Licensed Representative	1, 2	
LEUNG Chun Kin	BQJ546	Licensed Representative		4
LEUNG Hiu Tan	BCE397	Licensed Representative	4	
LEUNG Kelvin Ming Yuen	AWK629	Licensed Representative	1	
LEUNG Pak Wah	ANO983	Licensed Representative		2
LEUNG Suk Yee	AEE550	Licensed Representative		1
LEUNG Tai Choi	BJU156	Licensed Representative	2	
LEUNG Wai Yee Edna	BPQ197	Licensed Representative	9	
LEUNG Yiu Bong, Raymond	AOS223	Licensed Representative		1, 4
LEW Cheuk Ning	BDM050	Licensed Representative	9	
LI Han	BPD525	Licensed Representative	1, 4	
LI Jia	BOG077	Licensed Representative		4, 9
LI Jiachen	BNY381	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Jian	AGO919	Licensed Representative	6	
LI Ka Wai	BOT810	Licensed Representative		4
LI Kim Man	AEU947	Licensed Representative		1
LI Wei	BIC106	Licensed Representative	9	1, 2
LI Xin	BON648	Licensed Representative		4
LI Yi	BLX206	Licensed Representative		1
LI Yik Ngai	BMF541	Licensed Representative	4	
LI Ying	AUG645	Licensed Representative		6
LIANG Daping	BNV495	Licensed Representative	4	2
LIM Fui Sze	AZX117	Licensed Representative	2	4, 5
LIN Xiaodong	AQU519	Licensed Representative		9
LING Tsz King	AOA405	Licensed Representative	4, 9	1
LIU Boyong	AWO657	Licensed Representative	4	
LIU Feihua	BOS055	Licensed Representative	4	
LIU Yingxi	BOU607	Licensed Representative	4	1
LIU Youyu	BMW512	Licensed Representative	1, 4, 6	10
LIU Yu Chun	BNU044	Licensed Representative	1	
LIU Zhigang	AHQ858	Licensed Representative	1, 2	
LIU Zhihong	AEC891	Licensed Representative	9	1, 4, 6
LO Ka Yan	BOC179	Licensed Representative	1	
LO Kin Lam	BLU386	Licensed Representative	9	
LO Michael Sai Cheong	AFR449	Licensed Representative		4
LO Siu Wan	BIH645	Licensed Representative	9	
LO Yue Hin	ANB463	Licensed Representative		1, 4
LU Jianjie	BKC043	Licensed Representative	9	4
LUI Ching Yee, Joanne	AJH026	Licensed Representative		1, 2
LUI Lok Yan	BFK984	Licensed Representative	4, 9	1, 2
LUN Carrie	AIR700	Licensed Representative	5	
LUO Yangduan	BNE883	Licensed Representative	1	
LYU Zhirou	BMN434	Licensed Representative		1
MA Jiaao	BOK159	Licensed Representative		1
MA Man Lam	ACK506	Licensed Representative		3
MAN Hon Sang	BJG630	Licensed Representative		1
MASURKAR Adwait Manohar	ARG204	Licensed Representative	1	
MING Chi Ho, Edward	AUS686	Licensed Representative		4
MO Chun Wan	APH784	Licensed Representative	1	
MOK Ho Nam	BLK652	Licensed Representative	4	
MOK Wesley Siu-kay	BDW676	Licensed Representative	4	
MONAGHAN Gary Stuart	BAJ313	Licensed Representative	4	
MORRISON Jessica Mary	AZL269	Licensed Representative		2
NEAVE Lionel Digby Sheffield	ASD187	Licensed Representative	4	
NG Chee Khoon	AXV873	Licensed Representative	2	
NG Chi Keung	AAU337	Licensed Representative	2	
NG Chun Ho	AXR024	Licensed Representative	2, 9	
NG Erick Leonardo	ABS050	Licensed Representative	2	
NG Ka Kin	ACF722	Licensed Representative		9
NG Kwai Yee	ATI143	Licensed Representative		1
NG Pik Ying	BIG966	Licensed Representative		9
NG Siu Cho	AON640	Licensed Representative		1
NG So Yi	AYY618	Licensed Representative	4	2
NG Tin Lok	APY520	Licensed Representative	1	
NG Yat Tin	BFN536	Licensed Representative	1	
NGAI Tin Wai	AXB871	Licensed Representative	2, 4, 5	
O Chi Ho	BLV460	Licensed Representative	1	
ONG Charlie Yveline Jeanne	BLG082	Licensed Representative	4	
OUYANG Shirui	BIN775	Licensed Representative	5	
PADER Stephane Michel Jacob	BNU917	Licensed Representative	7	
PAN Zhigang	BAL160	Licensed Representative	4, 9	
PANG Chung Po	BKF499	Licensed Representative	4, 9	2
PANG Shu Wai William	AWY264	Licensed Representative	1	
PATEL Pinakin Kantilal	BBG312	Licensed Representative	1	
PLUNKETT Timothy Charles	ARQ469	Licensed Representative		4
POON Ting	BOA050	Licensed Representative	2, 4	
POON Tit Chu, Dixon	AEI207	Licensed Representative		1, 2
PUN Chun Kit	BPM598	Licensed Representative	2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
QIAO Yong	BOA517	Licensed Representative	2	9
QUAH Kung Beng, David	BHL345	Licensed Representative		1
SHI Wenting	BKX436	Licensed Representative	4, 9	6
SHI Ye	BCN600	Licensed Representative	4	
SHIH Yuen Chi	ASE819	Licensed Representative		1
SHUM Chung Yin	BPD581	Licensed Representative	2	
SIN Kin Ning	AXO973	Licensed Representative		1
SO Chun Yin	BIC661	Licensed Representative		1
SONG Fang	BN1481	Licensed Representative	4	
SUEN Chun Shing	BHH089	Licensed Representative	1	
SUN Lida	BBS690	Licensed Representative	4	
SUN Yuen Han	AGN808	Licensed Representative	9	
SUNG Chih Ling	BNK730	Licensed Representative		4
SWEETING Michael George	APY710	Licensed Representative	4, 5	
TAI Jonathan Joseph	BNR497	Licensed Representative	10	4, 6
TAM Justin Man Ho	BNN907	Licensed Representative	1, 2, 4, 5	9
TAN Taizhi	BJ619	Licensed Representative	4	
TANG Lanqu	BNO568	Licensed Representative	2, 5	
TANG Ying	AKY520	Licensed Representative		1, 2, 5
TANG Yinming	BNY900	Licensed Representative	4	
TIAN Linyang	ARR344	Licensed Representative	9	
TIAN Zhaohuan	BPG331	Licensed Representative	9	
TOMIYAMA Takuya	AGU752	Licensed Representative	4	
TONG Bizhan Modarressi	BOR349	Licensed Representative		1
TONG Tung Mui	AMY404	Licensed Representative		1
TOTLA Vaibhav Vishnudas	ALZ933	Licensed Representative	4	
TSANG Chi Lok	BOT503	Licensed Representative	4, 9	
TSANG Hing Yu	AHN528	Licensed Representative	4	
TSANG Kai Pong	BMW711	Licensed Representative	2	
TSE Siu Hung	BOM816	Licensed Representative	2	
TUNG Kai Ah	BGH919	Licensed Representative	2, 5	
UENG Yih-Ling	AQS915	Licensed Representative	9	
WANG Chao	BGP817	Licensed Representative	4	
WANG Cui	BHZ505	Licensed Representative	4	
WANG Lidong	BNJ936	Licensed Representative	4	
WANG Tian	BJP485	Licensed Representative		9
WANG Xinxin	BGN342	Licensed Representative		1, 4
WANG Yufei	BNO772	Licensed Representative	6	
WONG Chi Kin	BBG378	Licensed Representative	1, 2	
WONG Chor Tung	BGP797	Licensed Representative	4, 5	
WONG Chung Kin	AGC199	Licensed Representative	4	
WONG Hiu Yan	BLC361	Licensed Representative	1	
WONG Ka Wing	AVZ613	Licensed Representative		2, 4
WONG Kenty	AFW272	Licensed Representative		2, 9
WONG Mung Yu, Daniel	BAD878	Licensed Representative	4	
WONG Nga Sze	ATC256	Licensed Representative		3
WONG On Yiu	BOL123	Licensed Representative	1, 2	
WONG Shing Kit	BHZ124	Licensed Representative		9
WONG Shing Wai	AFV549	Licensed Representative	2	
WONG Shun Wah	ARN141	Licensed Representative		4
WONG Vivian Helen	AXI360	Licensed Representative		4
WONG Wai Fun	APK768	Licensed Representative		4
WONG Wai Lun	ALQ942	Licensed Representative		2
WONG Wan Vanness	BLU269	Licensed Representative	1	
WOO Lok Ting Tanita	BLD544	Licensed Representative	9	1, 4
WU Tingli	BIH711	Licensed Representative		4
WU Xuetong	BNN583	Licensed Representative	1	4
WU Zechun	BOA563	Licensed Representative	1	
XIAO Yan	BBT492	Licensed Representative		6
XIONG Xiangdong	ANI717	Licensed Representative		1, 4, 6
XU Aijia	BNE886	Licensed Representative	9	1
XU Da	BOU141	Licensed Representative	1, 6	
XU Shaohong, Alex	ARG166	Licensed Representative		1
XU Ting Li	APH128	Licensed Representative	2	
XU Xinwei	BES891	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YAN Chen	BNQ534	Licensed Representative	1, 4	
YAN Ping	BPM581	Licensed Representative		2
YANG Lei	BCY602	Licensed Representative	4, 6	
YANG Qi	AXF377	Licensed Representative		1
YANG Zhangyi	BKU681	Licensed Representative	6	9
YAO Peirong	AXB357	Licensed Representative		4, 6
YAP Byron	BHK686	Licensed Representative	4	2
YEUNG Catherine Ann	ANL955	Licensed Representative	4	
YEUNG Niki Ning Ki	ATD246	Licensed Representative		4, 9
YIP Chi Yin	AUS286	Licensed Representative		2
YOOK Haekong	BKM766	Licensed Representative	6	
YU Ho Wing	AXT424	Licensed Representative		4
YU Jin	AQP649	Licensed Representative		4
YU Peng	BGG515	Licensed Representative	1	
YU Tsz Kam	AXO649	Licensed Representative		2
YU Wan Fung	BEJ358	Licensed Representative	4, 9	
YUAN ZUOYANG	BNY028	Licensed Representative	2, 4, 5	
YUEN Ho Lam Fedora	BMQ792	Licensed Representative	1	
YUEN Ka Lun	BIY807	Licensed Representative	2	
YUEN Ka Man	BNO811	Licensed Representative		4
ZENG Shiru	BIX214	Licensed Representative	4, 9	1, 6
ZHANG Jingzhong	BNP233	Licensed Representative	4, 5	2, 7
ZHANG Lingling	BMT281	Licensed Representative	4	
ZHANG Qilong	AXY565	Licensed Representative		1
ZHANG Yichi	AQF007	Licensed Representative	2	
ZHANG Yiyun	BLI903	Licensed Representative	1	9
ZHAO Yang	BOP069	Licensed Representative	1	
ZHENG Yawen	BBZ909	Licensed Representative	1, 7	4, 9
ZHOU Zhicheng	BNJ995	Licensed Representative	9	
ZHU Haokang	BFU118	Licensed Representative	9	1, 2
ZHU Wen Hong Alessandro	BJO766	Licensed Representative	1, 2	
ZHUANG Yinyin	BIN731	Licensed Representative	2	
ZOU Jianke	BIZ748	Licensed Representative	6	
ZOU Wuqiu	BAK936	Licensed Representative	1, 4	
BARNARD Gawain Meredith	AJO022	Licensed Representative - Responsible Officer	1, 4	
CHAN Chung Keung	AFQ639	Licensed Representative - Responsible Officer	9	
CHAN Kwong Wa	BBR086	Licensed Representative - Responsible Officer	1	
CHANG Peter	AFY053	Licensed Representative - Responsible Officer	4, 6	
CHANG Walter	ABT445	Licensed Representative - Responsible Officer	4	
CHEN Yilun	BBU461	Licensed Representative - Responsible Officer	1	
CHENG Lijun	ASD349	Licensed Representative - Responsible Officer	9	
CHIU Ka Leung	AYK457	Licensed Representative - Responsible Officer	4, 9	
CHIU Yan Kit	ANK160	Licensed Representative - Responsible Officer	1	
CHOI Bo Sung	AWK857	Licensed Representative - Responsible Officer		6
CHOY Tau Man	AAV796	Licensed Representative - Responsible Officer	4	
CHUEN Wan Nang, Ken	APC269	Licensed Representative - Responsible Officer	1	
CHUNG Chak Man	APH261	Licensed Representative - Responsible Officer		1
CROSBIE-WALSH Gordon Michael	ACD934	Licensed Representative - Responsible Officer	4, 6	
DEBOW Sean Herbert	ACM546	Licensed Representative - Responsible Officer	1	
FONG Man Kin	APF454	Licensed Representative - Responsible Officer	4	
GAW Christina	ACS896	Licensed Representative - Responsible Officer	4	
HE Hua	AOV195	Licensed Representative - Responsible Officer	4	
HO Yui	ATI748	Licensed Representative - Responsible Officer	9	
HUI Suen Tak	AAK223	Licensed Representative - Responsible Officer	4	
JIANG Maolin	ARE474	Licensed Representative - Responsible Officer	1	
KAM Chi Shing	ABE384	Licensed Representative - Responsible Officer	5	
KAN Tung Fai	AOQ748	Licensed Representative - Responsible Officer		9
KO Wai Chun	AON561	Licensed Representative - Responsible Officer	4	
KUNG Wai Ling	AEU211	Licensed Representative - Responsible Officer		4
KWAN Chi Hang	AGL438	Licensed Representative - Responsible Officer		4, 9
KWAN Ut Hoi	AQW340	Licensed Representative - Responsible Officer	4	
LAU Wai Ting	AWQ077	Licensed Representative - Responsible Officer	4	
LEE Hou Cheong	ACN747	Licensed Representative - Responsible Officer	4	
LEE Joanshien, Johanne	AOI966	Licensed Representative - Responsible Officer	4	
LEE Tsi Bun, Benjamin	AQM594	Licensed Representative - Responsible Officer		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Yam Hang	AKO237	Licensed Representative - Responsible Officer	1	
LEUNG Suk Yee	AEE550	Licensed Representative - Responsible Officer	4, 9	
LI Cho Kwong	AEU474	Licensed Representative - Responsible Officer	1	
LI Wing Chung	AVV403	Licensed Representative - Responsible Officer	4, 9	
LIN Chengdong	BHF592	Licensed Representative - Responsible Officer	4	
LIU Ming Fai	ARP191	Licensed Representative - Responsible Officer	4	
LO Hing Hung	AQM611	Licensed Representative - Responsible Officer	4	
LO Siu Fong, Regina	ACB850	Licensed Representative - Responsible Officer	5	
LOO Chi Hang	AOC939	Licensed Representative - Responsible Officer	9	
LUI Ching Yee, Joanne	AJH026	Licensed Representative - Responsible Officer	9	
LUI Ying	AMM444	Licensed Representative - Responsible Officer	1	
NG Ka Kin	ACF722	Licensed Representative - Responsible Officer	1, 4	
NG Wing Fai	AJD666	Licensed Representative - Responsible Officer		4
POON Cho Fai	ADH524	Licensed Representative - Responsible Officer	4, 6	
POON Tit Chu, Dixon	AEE207	Licensed Representative - Responsible Officer	4, 9	
RAO Chao	BFE245	Licensed Representative - Responsible Officer	1	
TAI Pak Kin	ACE795	Licensed Representative - Responsible Officer	1, 4	
TSO Chi Lik	APJ940	Licensed Representative - Responsible Officer	1	
TSUI Wing Wun	BAI855	Licensed Representative - Responsible Officer	4, 9	
WANG Mei-Ling	BKJ032	Licensed Representative - Responsible Officer	4	
WEISS David Lawrence	BAN010	Licensed Representative - Responsible Officer	4, 6	
WU Ruojun	ARN824	Licensed Representative - Responsible Officer	4	
YEUNG Niki Ning Ki	ATD246	Licensed Representative - Responsible Officer	1	
YING Yewen Derek	AXX634	Licensed Representative - Responsible Officer		1, 4
ZHANG Ting	AZI913	Licensed Representative - Responsible Officer	4	
ZHANG Yu	AQS656	Licensed Representative - Responsible Officer	2	
ZHU Guoji	BCG002	Licensed Representative - Responsible Officer	4	