



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
Bridge China Advisors Limited	BEN880	Licensed Corporation	4, 9
CloudAlpha Capital Management Limited	BET625	Licensed Corporation	9
Degroof Asset Management (HK) Limited	BDR515	Licensed Corporation	9
Elezeno Capital Limited	BER807	Licensed Corporation	9
Eversafe Asset Management Co., Limited	BEI259	Licensed Corporation	4, 9
FE Advisory Asia Limited	BEL353	Licensed Corporation	4
First Shanghai Investment Management (HK) Limited	BEQ584	Licensed Corporation	4, 9
HZ CAPITAL LIMITED	BDR488	Licensed Corporation	4, 5, 9
Hutchin Hill Capital HK Limited	BEL377	Licensed Corporation	9
Opus Capital Management Limited	BDF416	Licensed Corporation	9
SINOSTONE CAPITAL MANAGEMENT COMPANY LIMITED	BEO645	Licensed Corporation	9
Union Gaming Securities Asia Limited	BEE913	Licensed Corporation	1, 4
Wealth Management Cube Limited	BEC913	Licensed Corporation	1
ZB Global Capital Group Limited	BEJ381	Licensed Corporation	9
Zentific Investment Management Limited	BEM702	Licensed Corporation	9
A Diya	BFA301	Licensed Representative	6
AHN Hyun Su	BEM364	Licensed Representative	1, 4, 9
Al Xihong	AVW302	Licensed Representative	1, 4, 6
AO Yiyu	BEX794	Licensed Representative	1, 4
ATTAVAR Abhijit Raghuvir	AFO889	Licensed Representative	4
AU Melanie	BEZ923	Licensed Representative	2, 5
AU-YEUNG Brenda	BEZ297	Licensed Representative	1, 2
BARBOUR William Lance	AOY333	Licensed Representative	1, 4
BETARI Jedd	BEZ488	Licensed Representative	1, 2
BOURMAYAN Vahe Olivier	AJD563	Licensed Representative	1, 2
BURMEISTER Mark	BEZ794	Licensed Representative	1, 6
CAO Ye	AZY493	Licensed Representative	1, 4
CAO Yingting	BET953	Licensed Representative	1
CARNEVALI Angelo	BEY935	Licensed Representative	1, 2, 4, 5
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative	2, 5
CHAN Cambie Kam Pui	BBD735	Licensed Representative	4
CHAN Christopher Glenn I.A.	AXZ641	Licensed Representative	1, 6
CHAN Doris Natalie	BEX908	Licensed Representative	1, 4
CHAN Hoi Chun	ARP732	Licensed Representative	1, 9
CHAN Kam Wing	ABI310	Licensed Representative	1
CHAN Kin Lung	AOW789	Licensed Representative	1
CHAN Lam Ho Ping	BFB228	Licensed Representative	1
CHAN Lok Fung	AUR102	Licensed Representative	1, 2
CHAN Nai Yan	BFA523	Licensed Representative	6
CHAN Noel	BEX460	Licensed Representative	1, 4, 5, 9
CHAN Pak Hung	AYM109	Licensed Representative	1, 2
CHAN Shirley	BEZ259	Licensed Representative	1
CHAN Siu Chung	BDH698	Licensed Representative	1
CHAN Tin Yan	BFA431	Licensed Representative	1, 4, 9
CHAN Wai Yin Christopher	BFA543	Licensed Representative	1, 4
CHAN Woei	BFA802	Licensed Representative	4
CHAN Yan Yan	BFA436	Licensed Representative	1
CHAN Zhong Wai, Wilson	BEZ871	Licensed Representative	9
CHANG Ching-Kun	ALJ991	Licensed Representative	4
CHAU Hei Wai	BEZ010	Licensed Representative	1
CHAU Lok Wang, Steven	BFA089	Licensed Representative	1, 4
CHEN Chen	BEZ285	Licensed Representative	6
CHEN Hsin Wei	BFA101	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN I-Ming	BFA906	Licensed Representative	1, 4, 9
CHEN Lai Shan, Idy	BFA212	Licensed Representative	1, 4, 6
CHEN Mei-Ling	BEW327	Licensed Representative	1
CHEN Quanquan	BET796	Licensed Representative	1, 6
CHEN Tian	BFA360	Licensed Representative	1, 2, 4, 5
CHEN Tingting	BEV345	Licensed Representative	1, 6
CHEN Xi	BEV567	Licensed Representative	1, 6
CHEN Yingbo	BEZ752	Licensed Representative	1
CHENG Hui Yin	BEY797	Licensed Representative	1
CHENG Patricia Pan	BEW967	Licensed Representative	6
CHENG Yuk Man	BEZ790	Licensed Representative	1
CHEUK Kam Fai	BEZ347	Licensed Representative	1
CHEUNG Ho	BEW140	Licensed Representative	1
CHEUNG Ka Mei	AMB387	Licensed Representative	1
CHEUNG Kin Hang, Kenneth	BEW358	Licensed Representative	1, 4, 9
CHEUNG Suet Kam	AYZ450	Licensed Representative	1
CHEUNG Wan Shan	BEX234	Licensed Representative	1
CHEUNG Wing Lim	BFB194	Licensed Representative	1
CHIANG Ka Chun	BEY957	Licensed Representative	1, 6
CHIU Man Kit	BER403	Licensed Representative	9
CHOI Jiwan	BFA104	Licensed Representative	1, 4
CHONG Wee Khoon	AWT864	Licensed Representative	9
CHOUDHARY Apurv	AGO882	Licensed Representative	4, 9
CHOW Ding, Eulogius	AZV828	Licensed Representative	1
CHOW Yuen Ting	BEW968	Licensed Representative	6
CHRISTIANAKIS Leonidas Ioannis	ABM128	Licensed Representative	1, 2
CHU Lai Ping	BFC537	Licensed Representative	1
CHUA Hwai Hwee	AFO092	Licensed Representative	9
CHUA Sui Yee	BBA488	Licensed Representative	4, 9
CHUI Ka Chun, Dick	ANC064	Licensed Representative	1, 9
CHUI Menzies	BFA008	Licensed Representative	1, 6
CHUI Shuk Man	BEX795	Licensed Representative	1
CHUI Wai Ko	BFB061	Licensed Representative	1
CHUNG Hee Young	BEN019	Licensed Representative	2
CHUNG Hei Man	BEX225	Licensed Representative	1
CHUNG Ka Ki, Jeremy	BEZ271	Licensed Representative	1, 2
CHUNG Kyung Il	BFA433	Licensed Representative	1, 4, 9
CHUNG Wai Yin	BEW211	Licensed Representative	1, 2
CHUNG Yim Ling	BEZ882	Licensed Representative	1, 4
CLISSOLD Aaron Patrick	BEY921	Licensed Representative	1, 4
CONRAD Gregor Abraham	BFC668	Licensed Representative	1, 4, 9
CSISZAR Adrienn	BEZ293	Licensed Representative	1
DAI Andi	BFA011	Licensed Representative	1, 2, 7
DE SOUZA Matthew Dylan	BFA918	Licensed Representative	1, 4
DENG Chongjing	BEY953	Licensed Representative	4
DESSART Jenna Anne Frederique	BEZ536	Licensed Representative	9
DHARA Sugat	BEW373	Licensed Representative	9
DIVEKAR Raghavendra Rajiv	AVV683	Licensed Representative	4
DONG Shu	BEY904	Licensed Representative	4, 9
EDGAR Adam John	BFA112	Licensed Representative	1
EDWARDS Thomas Alexander	BEZ384	Licensed Representative	2
FALCON Michael Irving	BEZ774	Licensed Representative	1, 4, 9
FAN Xinyi	BFA099	Licensed Representative	1, 4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015**

CE Name	CE No.	Licence Type	Types of Regulated Activity
FENG Xiaojun	BEN069	Licensed Representative	9
FOK Yuet Kong	BEY059	Licensed Representative	1, 2
FONG Jen Chung Jackson	BCU982	Licensed Representative	9
FONG Man Chun, Alen	AMT934	Licensed Representative	1
FREISCHMIDT Benjamin Hall	AIR951	Licensed Representative	9
FUJISAWA Ichiro	BEZ369	Licensed Representative	1, 2
GAO Ming	BEW381	Licensed Representative	2
GILSON Grant Ross	BEZ632	Licensed Representative	1
GOULD Samantha Agnes	BEW412	Licensed Representative	1, 4
GRABOW Adam Michael	BEX080	Licensed Representative	4, 9
GUERBER Vincent Eric Arthur	BFA178	Licensed Representative	1, 4
GUO Ying	BEW199	Licensed Representative	1, 2, 3, 4
HAN Longkai	BEZ375	Licensed Representative	2
HAN Yue	BET747	Licensed Representative	1, 2
HAU Kong Ho	BEX237	Licensed Representative	1, 9
HE Rong	BEZ040	Licensed Representative	4
HE Weisi	BEZ651	Licensed Representative	1, 4
HEUNG Fu Tim, Timothy	BFA176	Licensed Representative	1
HEUNG Wing Wai	AVD287	Licensed Representative	1
HO Mei Ling, Edwina	AEK540	Licensed Representative	4, 9
HO Wing Hong	BDO426	Licensed Representative	1, 2
HO Wing Long	BEZ352	Licensed Representative	1
HU Jialing	BEV312	Licensed Representative	1, 6
HUANG Baoshu	AOS007	Licensed Representative	1, 4, 6
HUANG Jieying	BEZ611	Licensed Representative	1, 2
HUANG Jun	BEX412	Licensed Representative	1, 4, 6
HUANG Ran	BEW962	Licensed Representative	1, 6
HUANG Weijie	BCD545	Licensed Representative	1, 2
HUANG Xiaoyi	BFA540	Licensed Representative	9
HUANG Zhiyun	APJ943	Licensed Representative	4
HUI Dik	AXB218	Licensed Representative	9
HUI Timothy Yin Ho	BFC532	Licensed Representative	1
HUNG Nien-Chun	AVW108	Licensed Representative	9
HUNG Tak Yi Cicy	ADE889	Licensed Representative	1
HUTCHISON Corinne Chantal	BEZ162	Licensed Representative	1, 2
IP Angel Kwok Yen	AOJ327	Licensed Representative	6
IP Wai Ming	BEX456	Licensed Representative	1
JAGNANI Samarth Vimalkumar	BFA289	Licensed Representative	1, 6
JAO Fang-Ming	BEZ036	Licensed Representative	1
JIA Tingting	AXB629	Licensed Representative	4, 9
JIN Lily Yan	BEZ762	Licensed Representative	1, 4, 9
JIN Ying	BEV951	Licensed Representative	1, 6
KANG Mooi Siah	BFB176	Licensed Representative	1
KAPPNER Martin Christhart Hong-Sok	BEZ289	Licensed Representative	1, 2, 7
KELT Simon Edward Albert	ATI749	Licensed Representative	1
KHANDELWAL Utkarsh	BEX940	Licensed Representative	1
KHANOLKAR Rohan Kishor	BEY881	Licensed Representative	1, 4, 6
KO Cheuk Lun	BFA493	Licensed Representative	1
KONDO Masakatsu	BFA117	Licensed Representative	1
KONG Hoi Ming	BEZ296	Licensed Representative	1
KONG Ming Kei	BEZ161	Licensed Representative	1
KONG Yee Ching	AFD965	Licensed Representative	1
KUGAN Aaron Mark	BEY035	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWAN Kok Wah	AJJ552	Licensed Representative	1, 2, 4, 9
KWAN Siu Leung, Wendel	BEZ826	Licensed Representative	1, 6
KWAN Sze Chun	BFA443	Licensed Representative	1
KWAN Wang Chi, Beryl	BAD777	Licensed Representative	1, 4
KWOK Chun Sing	BEZ287	Licensed Representative	1
KWONG Chi Ho	BEX462	Licensed Representative	1
LAI Cheuk Ho	AWG920	Licensed Representative	1, 4, 9
LAI Man Yee	BFA684	Licensed Representative	1
LAM Chi Ho	BEX631	Licensed Representative	1, 4, 9
LAM Chi Long Daniel	BFA629	Licensed Representative	1
LAM Ho Por, Kelvin	BFA186	Licensed Representative	4
LAM Ho Wai Howie	BFA228	Licensed Representative	4, 9
LAM Tak Wai, Derek	BEZ160	Licensed Representative	1, 6
LAM Wai Sze, Cecilia	BEZ889	Licensed Representative	1, 2
LAM Wai Tung	BEY802	Licensed Representative	1
LAM Wing Hang	BEZ541	Licensed Representative	1
LAM Yuen Yee, Emily	BAE332	Licensed Representative	4, 9
LAM Yuk Ming	AOE457	Licensed Representative	1, 2
LAU Cheong	BFA120	Licensed Representative	1, 2
LAU Chi Yan Jane	AZL053	Licensed Representative	4
LAU Ching Yin Judy	AFT514	Licensed Representative	1, 2
LAU Chris Cheuk Wah	AYO821	Licensed Representative	6
LAU Hon Gay	BEZ855	Licensed Representative	4
LAU Ming Chung	AFL162	Licensed Representative	1, 4
LAU Tin Pui	BEZ268	Licensed Representative	1, 4, 6
LAU Willow Chising	BEX931	Licensed Representative	1, 2
LAU Yiu Hang	AZA945	Licensed Representative	4
LAW Brendan Lok Tsun	BEZ613	Licensed Representative	1, 9
LAW Ho Ben, Herbert	ATS495	Licensed Representative	1
LAW Wing Kit	BEY872	Licensed Representative	1, 4, 6
LE Thuy Trang	BET100	Licensed Representative	4
LEE Chi-Ming	BEY887	Licensed Representative	1
LEE Edwin Kan Hing	AEJ673	Licensed Representative	4, 9
LEE Hang April	BFA962	Licensed Representative	4
LEE Hoi Ling, Flora	AOM524	Licensed Representative	1, 4
LEE Johnny Ka Chun	BEZ138	Licensed Representative	9
LEE Jun Ah	BEZ533	Licensed Representative	1
LEE Jun Hyuk, David	AE0164	Licensed Representative	4
LEE Ka Wai, Charlotte	BEX262	Licensed Representative	1
LEE Kiwoong	BES739	Licensed Representative	1, 4
LEE Mei Ling	AFR791	Licensed Representative	1, 4
LEE Min Serk	BEX933	Licensed Representative	9
LEE William Li-Wei	AXG439	Licensed Representative	4, 9
LEI Jinghui	BAV196	Licensed Representative	2
LEI Kaifei	BEX222	Licensed Representative	9
LEUNG Man Ho	BEZ360	Licensed Representative	1, 4
LEUNG Hoi Yung	BEX445	Licensed Representative	1
LEUNG Shun Ling	BEZ234	Licensed Representative	1
LEUNG Sze Man	BEZ799	Licensed Representative	1
LEUNG Tat Wai	BEX918	Licensed Representative	1
LEUNG Tsz Wai, Darren	BFA990	Licensed Representative	4, 9
LEUNG Yao Chung, Tyrone	BEY782	Licensed Representative	1
LEUNG Yee Ling	BEY798	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEVEISEUR David William Hugo	BEZ124	Licensed Representative	6
LI Chaowei	BEY907	Licensed Representative	3
LI Chen	BEZ042	Licensed Representative	6
LI David Sijun	BEW621	Licensed Representative	1, 4, 6
LI Ka Lun Gary	BEZ008	Licensed Representative	1, 6
LI Lai Ching	BFC272	Licensed Representative	1
LI Man Yin	BFA180	Licensed Representative	1, 2
LI Mengna	BEZ630	Licensed Representative	1
LI Robert Yingzhe	BFA308	Licensed Representative	6
LI Tung Ngok, Lawrence	BFA175	Licensed Representative	3
LI Wai Shue	BEZ484	Licensed Representative	1
LI Wai Tak, Eric	BEZ345	Licensed Representative	1
LI Xiang	BEL607	Licensed Representative	1, 6
LI Zhe	BEZ814	Licensed Representative	4
LIANG Jin	BEW537	Licensed Representative	1, 6
LIANG Yanbin	BEX645	Licensed Representative	1
LIN Chun-Hung	BEZ803	Licensed Representative	1, 4
LIN Han	BFA306	Licensed Representative	6
LIN Jie	BEY768	Licensed Representative	4, 9
LIN Zhuangsheng	BBA908	Licensed Representative	9
LIU Dan	BEW361	Licensed Representative	1
LIU Jiangyue	BEZ909	Licensed Representative	1, 6
LIU Ka Ho Kenny	BFA621	Licensed Representative	1
LIU Kao-Jen	AQA155	Licensed Representative	1, 4
LIU Ke	BEZ142	Licensed Representative	1
LIU Steven	BEZ294	Licensed Representative	1, 6
LO Hiu Yeung	AVD958	Licensed Representative	3
LO Szu-Yuan	AXF983	Licensed Representative	4
LO Wan Wai, Isaac	AGR427	Licensed Representative	4, 9
LO Wing Kam	BFA203	Licensed Representative	1, 6
LU Yun	BFA945	Licensed Representative	10
LU Jiawen	BEZ006	Licensed Representative	1, 6
LU Jiayi	BEZ119	Licensed Representative	2
LU Qing	BEZ264	Licensed Representative	1, 2
LU Shezhi	BEW113	Licensed Representative	1, 4, 9
LU Xi	BEY876	Licensed Representative	1, 4, 6
LU Yuan	BEZ159	Licensed Representative	4
LUK Siu Yeung	BEW974	Licensed Representative	1, 2
LUO Jiaming	AXK970	Licensed Representative	9
LUO Peng	BEV154	Licensed Representative	2
LUO Wen	BEV955	Licensed Representative	1, 6
LUO Xi	AXI372	Licensed Representative	1, 4
LUO Zhongyan	BEZ255	Licensed Representative	4, 9
MA Cheng	BFA194	Licensed Representative	4
MAK Ching Wah	AGO052	Licensed Representative	1, 4
MAK Chun Fung	BEZ801	Licensed Representative	5
MAK Pui Him	BEX657	Licensed Representative	4
MAN Chun Wai	BEX763	Licensed Representative	1, 2
MAO Yuan	BEX461	Licensed Representative	1
MELLORS James Edward	BAE534	Licensed Representative	1, 4, 9
METHAJARUNON Kasidit	BEZ233	Licensed Representative	1, 9
MIYABE Toshiyuki	BEX938	Licensed Representative	1, 6
MO Kwan Ho	BEX254	Licensed Representative	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOK Hiu Fai	BFA432	Licensed Representative	1
MOK Ka Yee, Lydia	ASD450	Licensed Representative	1
MOUILHADE Claire Beatrice Claude	ANQ817	Licensed Representative	1, 6
MOYROUD Mathieu Benjamin	BFA611	Licensed Representative	1, 2
NEO Kelvin Zhihao	BET261	Licensed Representative	1
NG Cheuk Yan	BEZ253	Licensed Representative	1
NG Ka Yu	AVE769	Licensed Representative	6
NG King Sum	BFA285	Licensed Representative	9
NG Li Shan	BEY937	Licensed Representative	1
NG Man Ho	BEZ150	Licensed Representative	4
NG Man Kin	BFA193	Licensed Representative	1
NG Siu Ching	BCF238	Licensed Representative	1, 9
NG Siu Choy	ADC267	Licensed Representative	1
NG Wai Kwong	AWP695	Licensed Representative	1
NI Colin Chi	BFB053	Licensed Representative	1, 4, 9
NING Ning	BFA278	Licensed Representative	4
OH Choong Hyun	BEZ508	Licensed Representative	1
OKAMOTO Mamoru	BEX770	Licensed Representative	1
OKUSANYA Omotayo Tejumade	BEZ039	Licensed Representative	4
ONG Wey Ter, Kelvin	ANZ794	Licensed Representative	4, 9
OWEN Ruth	BEZ703	Licensed Representative	1, 4, 9
PANG Yin Nung	BFB227	Licensed Representative	1
PANGALI Pawandeep	AVU841	Licensed Representative	1
PAPADATOS David Scott	BAL234	Licensed Representative	9
PARK Chin Kuk	BEX978	Licensed Representative	1
PENG Han	BEZ915	Licensed Representative	4, 9
PENG Mei	ATX628	Licensed Representative	1
PIPAUD Cyril	BFB074	Licensed Representative	1, 4
POON Wing Ho	BEY781	Licensed Representative	4
PROUDLOCK Timothy Laurence	BEZ154	Licensed Representative	1
PURI Aditi	AHX007	Licensed Representative	9
RADOJEWSKI Nils Henning	BEZ012	Licensed Representative	1, 4, 6
RAMEAU Benjamin Thor	BEY934	Licensed Representative	1, 2, 7
REN Yuan	BEX100	Licensed Representative	1
REPERANT Vassili Benjamin Maurice Valentin	BFA019	Licensed Representative	1, 2, 7
RITOLIA Gopal	BFA088	Licensed Representative	4
RIVERS Thomas Edward	BFB238	Licensed Representative	1, 4, 9
RONG Shengmeng	BFB112	Licensed Representative	4, 9
SANFT Erwin Ralph	AED179	Licensed Representative	4
SAUREL Antoine Julien Pierre	ANK349	Licensed Representative	1
SCHMIDT Florian Humbert Anton	ADX544	Licensed Representative	1
SHAH Anushka Sanjay	BFA426	Licensed Representative	10
SHARMAN Roland Herbert	ABE786	Licensed Representative	1
SHARP PAUL Andrew David	BEX115	Licensed Representative	1, 4
SHI Dai	BEQ696	Licensed Representative	1, 6
SHI Feng	BCE968	Licensed Representative	1
SHI Mengjie	AWL334	Licensed Representative	1, 4, 9
SHI Shuncheng	BEV990	Licensed Representative	4, 9
SHI Wenchao	BET955	Licensed Representative	1
SHIH Tzu-yu	BEU847	Licensed Representative	1, 4
SHIN Jiwon	BEX771	Licensed Representative	1
SHIU Chi Man	AXE968	Licensed Representative	1, 2
SHIU Pak Hei	BEZ707	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHUM Ka Sun Daniel	BFA017	Licensed Representative	1, 6
SIT Ka Ho	BEW213	Licensed Representative	1, 2
SNAITH Julian Nicolas	AGA808	Licensed Representative	9
SO Cheuk Yin	ALL346	Licensed Representative	4
SO Chiu Fung	BFC353	Licensed Representative	1, 6
SONG Wei	BEV823	Licensed Representative	9
SONG Zhe	BEZ513	Licensed Representative	1, 4, 9
SOUKI Atef	BBO923	Licensed Representative	1, 2
SUTANTYO Daniel	BEZ641	Licensed Representative	1
TAI Chuan-Huang	AQJ712	Licensed Representative	1, 4
TAM Him Shun Himson	AYV909	Licensed Representative	1
TANDON Akhil	BEX255	Licensed Representative	6
TANG Kit Man, Greta	AJL948	Licensed Representative	1
TANG Yiming	BFC406	Licensed Representative	1, 6
TARQUINI Christopher Mark	AJE987	Licensed Representative	1, 4
TEO Choon Yong Benjamin	BEV963	Licensed Representative	1, 2
THA Gyaw Min	BEY883	Licensed Representative	1, 4, 9
THOMPSON Bryce Hayden	BEZ911	Licensed Representative	1, 6
TI Hwei Chi, Vicky	AFB596	Licensed Representative	9
TICEHURST Andrew John	BEV757	Licensed Representative	4
TONG Chi Hang	APK725	Licensed Representative	1
TROEBER Jonas Peter	BEZ789	Licensed Representative	1, 6
TROLLOPE Hania Elisabeth	BEX919	Licensed Representative	1, 9
TSAI Ka Kan	BFA652	Licensed Representative	1, 4
TSAI Ming-Chieh	BEZ609	Licensed Representative	1
TSANG Tak Sun	AFU029	Licensed Representative	9
TSANG Wai Nam	BEZ756	Licensed Representative	1
TSANG Wai Yan, Wayne	AIH007	Licensed Representative	1, 4, 9
TSANG Wing Tak	BEX666	Licensed Representative	1
TSE Kay Chung	AVI321	Licensed Representative	4
TSE LAM Wing Ching, Maggie	BFA598	Licensed Representative	1
TSE Tat Ki	AZH740	Licensed Representative	1
TSENG Yue Chih	ANN477	Licensed Representative	1, 2, 4
TSUI Nga Wan Yvonne	BEZ374	Licensed Representative	1
TSUI Yung Yung	BEX667	Licensed Representative	1
UPADHYAY Saurabh	AJF328	Licensed Representative	1, 2
VERMA Asit Kumar	AOY117	Licensed Representative	9
WAGE Matthew Michael	BEZ045	Licensed Representative	1, 2
WAGLE Sachin Vijay	BFA512	Licensed Representative	1, 6
WAN Hei Tai Hilda	BFA803	Licensed Representative	6
WAN Kin Pong	APH641	Licensed Representative	1
WANG Fei	BEZ685	Licensed Representative	4
WANG Ouya	BEZ245	Licensed Representative	1, 4
WANG Qing	BEZ918	Licensed Representative	4, 9
WANG Tianyu	BFA661	Licensed Representative	1, 2, 4, 5
WANG Zehao	BEZ656	Licensed Representative	9
WARNER James William	BFA114	Licensed Representative	1, 4
WEIR Margaret Scott	BEX071	Licensed Representative	1, 4
WONG Wai Yuen	BFA526	Licensed Representative	1, 2
WONG Chung Keung	BEZ140	Licensed Representative	4, 9
WONG Koon Kiu	BEZ044	Licensed Representative	1, 4, 6
WONG Leung Man	AEB941	Licensed Representative	9
WONG Man Chung	BFA417	Licensed Representative	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Mui Wa, Sheila	BFA825	Licensed Representative	9
WONG Ngai Fung	BEW159	Licensed Representative	1
WONG Po Hung	AGY911	Licensed Representative	1
WONG Pui Shan	BFA516	Licensed Representative	3
WONG Shun Yin	BEZ818	Licensed Representative	1, 4
WONG Wai Chu	BEX968	Licensed Representative	1
WONG Wing Hoi Jane	BFA016	Licensed Representative	1, 4, 9
WONG Yuen Xing	BFA453	Licensed Representative	1, 2
WONG Yuk Ping	BEY901	Licensed Representative	1
WONG Yuk-bon	BEZ913	Licensed Representative	1, 4
WU Hao	BEW105	Licensed Representative	1, 4, 9
WU Li Jun	AEZ939	Licensed Representative	1, 2
WU Lishan	BEX966	Licensed Representative	1, 4
WU Xiuxiu	BEX413	Licensed Representative	6
WU Xiyang	BF8077	Licensed Representative	1, 4, 9
WU Yifan	BFA220	Licensed Representative	1
XIAO Xiaoyu	BFA287	Licensed Representative	1
XU Lin	BFA677	Licensed Representative	1, 2
XU Wei	BES968	Licensed Representative	4
XU Yijia	BFA748	Licensed Representative	1
XU Zhensheng	BEZ164	Licensed Representative	1, 4, 9
YAN Kei Shui, Leo	AOG060	Licensed Representative	4, 5, 9
YAN Tak Ming	ATL832	Licensed Representative	1
YANG Ching-Cheng	BEZ033	Licensed Representative	1
YANG Feng	BEZ148	Licensed Representative	1, 2
YANG Jiao	BEZ864	Licensed Representative	9
YANG Sen	BFA279	Licensed Representative	1, 6
YANG Sikai	BFA187	Licensed Representative	1
YANG Zhibo	BET790	Licensed Representative	1, 6
YAU Man Fai	AFX873	Licensed Representative	1, 9
YAU Tsz Fung	BF8226	Licensed Representative	1
YE Huang Zhong	AWW074	Licensed Representative	6
YEUNG Chi Kin	BFA514	Licensed Representative	3
YEUNG Chiu Ngan	BEX935	Licensed Representative	1, 6
YEUNG King Yip	BEY896	Licensed Representative	9
YEUNG See Ah	ARD761	Licensed Representative	1, 4, 9
YIN Jinhua	AQZ611	Licensed Representative	10
YIP Cheuk Lai	BEZ009	Licensed Representative	4, 9
YIP Chun Kit	BEY922	Licensed Representative	1
YIP Lu Si	BEZ348	Licensed Representative	1, 2
YIP Man Lam	BEX251	Licensed Representative	1
YIP Simon	BFA986	Licensed Representative	1
YIP Teen Hang	AWQ104	Licensed Representative	1
YIU Hoi yan	BEX258	Licensed Representative	1
YOKOYAMA Hisanori	BET157	Licensed Representative	4
YOON Junhyuk	BEZ482	Licensed Representative	1
YOUNG Siu Fung, Chris	BEZ295	Licensed Representative	1, 2
YU Hsiao-Tsui	AXG205	Licensed Representative	9
YU Wing Hung	AGH777	Licensed Representative	4, 9
YU Xiao	BEW622	Licensed Representative	1, 4, 6
YUEN Lai Wan Lycia	BEZ990	Licensed Representative	1
YUEN Lung Hin	BEX963	Licensed Representative	1, 6
YUEN Man Ho	BEZ553	Licensed Representative	2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
YUEN Wai Kin	BEY803	Licensed Representative	1
YUNG Kin	BEZ276	Licensed Representative	1, 4, 6
ZAATOUR Riadh	BFA605	Licensed Representative	1, 7
ZENG Zhaoliang	BEY088	Licensed Representative	1, 2
ZHAI Baoying	BEZ700	Licensed Representative	1, 4
ZHANG Yuxin	BEZ796	Licensed Representative	4
ZHANG Chi	AXK997	Licensed Representative	1
ZHANG Fan	BEZ544	Licensed Representative	1
ZHANG Jun	BES282	Licensed Representative	10
ZHANG Junhui	BFA350	Licensed Representative	1
ZHANG Wei	BET636	Licensed Representative	1
ZHANG Xiaobei	BEZ151	Licensed Representative	9
ZHANG Xueyun	BEX792	Licensed Representative	1
ZHANG Yan	BEW812	Licensed Representative	1, 2
ZHANG Yi	BEX453	Licensed Representative	10
ZHANG Zhixiang	AVA347	Licensed Representative	4
ZHANG Ziran	BFC896	Licensed Representative	1, 6
ZHENG Ke	BET699	Licensed Representative	1, 2
ZHONG Phillip Yun	BEW972	Licensed Representative	4
ZHONG Qingtian	BEZ237	Licensed Representative	1, 6
ZHOU Yishi	BEL742	Licensed Representative	1, 4, 6
ZHOU Xu	BEX236	Licensed Representative	1, 4, 6
ZHU Kexin	BEZ998	Licensed Representative	4
ZHU Lulu	BFA983	Licensed Representative	1
ZHU Ning	BET948	Licensed Representative	10
ZHU Weishun	BEZ152	Licensed Representative	1, 6
ZHU Yanbo	BEZ623	Licensed Representative	1, 2, 4, 9
ZIVKOVIC Dusan	BEX428	Licensed Representative	1, 4
CHAN Hsiu-Ya	ALS851	Licensed Representative - Responsible Officer	9
CHANMUGAM Devin Nijanthan	APQ256	Licensed Representative - Responsible Officer	9
CHEUNG Wai Hung	BDF270	Licensed Representative - Responsible Officer	9
CHIN Lai Heng	AEN537	Licensed Representative - Responsible Officer	9
CHONG Yew Kuen Pius	AXQ299	Licensed Representative - Responsible Officer	6
EDMONDS Matthew Joseph	BEL391	Licensed Representative - Responsible Officer	9
FANE Rupert John Alexander	AEL032	Licensed Representative - Responsible Officer	1, 4, 6
FIFER Eric Gregory	BEZ231	Licensed Representative - Responsible Officer	9
FORSHAW Joseph Alexander	BET795	Licensed Representative - Responsible Officer	9
GOVERTSEN Grant Wesley	BEE938	Licensed Representative - Responsible Officer	1, 4
GU Feng	BEI262	Licensed Representative - Responsible Officer	4, 9
HE Ling	BEO716	Licensed Representative - Responsible Officer	9
HO King Sun, Simon	AUB083	Licensed Representative - Responsible Officer	4
ISHIHARA Takashi	BDW785	Licensed Representative - Responsible Officer	1, 2
KAM Ying Chuen	ALB882	Licensed Representative - Responsible Officer	1
KANKIPATI Ravi Thimma Raju	BEV954	Licensed Representative - Responsible Officer	1, 4, 9
LIU Ximeng	BEI255	Licensed Representative - Responsible Officer	4, 9
LUI Wang Yip, Darren	BER581	Licensed Representative - Responsible Officer	1, 2
MA Kin Fan, Kent	AKY512	Licensed Representative - Responsible Officer	4, 9
MORIARTY Richard Paul	BEE927	Licensed Representative - Responsible Officer	1, 4
NG Yuen Ting	ALS549	Licensed Representative - Responsible Officer	9
NIEMAN Aaron Michael	ARH486	Licensed Representative - Responsible Officer	9
OUYANG Xiaomei	AEC092	Licensed Representative - Responsible Officer	1, 4, 9
SOEMITRO Ronny Suhardhanto	AQA245	Licensed Representative - Responsible Officer	9
TSANG Hing Gay, Dale Melvin	ACC100	Licensed Representative - Responsible Officer	9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
TUNG Tai Wei David	ABS924	Licensed Representative - Responsible Officer	1
WANG Xin	ALC116	Licensed Representative - Responsible Officer	4, 9
WONG Kim Kiu	ARE702	Licensed Representative - Responsible Officer	1
YANG Yun	AQS584	Licensed Representative - Responsible Officer	9
YU Carrie Chiu Ying	BEE937	Licensed Representative - Responsible Officer	1, 4
ZHANG Kai	BEO652	Licensed Representative - Responsible Officer	9
ZHANG Ningxiang	APZ631	Licensed Representative - Responsible Officer	4, 5, 9
AGARWAL Aakash	BFC995	Licensed Representative (Provisional Licence)	1, 2
AGUDO VALENCIANO Angel	BFA286	Licensed Representative (Provisional Licence)	1
ANID Ingrid Marie	BFC669	Licensed Representative (Provisional Licence)	1, 9
AU Chun Hong Jos	BFB210	Licensed Representative (Provisional Licence)	6
AU Ho Ki	AQG675	Licensed Representative (Provisional Licence)	1
AU Yu Him	BFC651	Licensed Representative (Provisional Licence)	1, 4, 9
BANSAL Vishnukumar	BFD276	Licensed Representative (Provisional Licence)	1, 6
BICKEL EP SUTTON Stephanie Marie Michele	BFA288	Licensed Representative (Provisional Licence)	1
BOURGEOIS Agnes Marthe	BFC803	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Xiao	BFC347	Licensed Representative (Provisional Licence)	1, 2, 4
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative (Provisional Licence)	2, 5
CATHELIN Sebastien Philippe Roger	AYS876	Licensed Representative (Provisional Licence)	1, 2
CHAN Christopher Glenn I.A.	AXZ641	Licensed Representative (Provisional Licence)	1, 6
CHAN Cindy	BFE151	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Jeffery Ying Kin	BFC900	Licensed Representative (Provisional Licence)	6
CHAN Kang Muk	AUL922	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHAN Kin Ying	BFD599	Licensed Representative (Provisional Licence)	1, 2
CHAN Lok Fung	AUR102	Licensed Representative (Provisional Licence)	1, 2
CHAN Nai Yan	BFA523	Licensed Representative (Provisional Licence)	6
CHAN Tin Fai	BFD867	Licensed Representative (Provisional Licence)	1
CHAN Tin Yan	BFA431	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Wai Ling	BFA092	Licensed Representative (Provisional Licence)	1, 6
CHAN Woei	BFA802	Licensed Representative (Provisional Licence)	4
CHAN Yan Kit, Kenny	AOT666	Licensed Representative (Provisional Licence)	4, 9
CHAN Yuk Sing	BFD659	Licensed Representative (Provisional Licence)	2
CHANG Tim Fuk, Jimmy	ACY760	Licensed Representative (Provisional Licence)	1, 4
CHANG Wally	BFD442	Licensed Representative (Provisional Licence)	1, 2
CHEN Han	AVI643	Licensed Representative (Provisional Licence)	4, 9
CHEN I-Ming	BFA906	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Shengye	BFC239	Licensed Representative (Provisional Licence)	9
CHEN Tian	BFA360	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEN Weiwen	BFD783	Licensed Representative (Provisional Licence)	1
CHEN Xiao	BFC998	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yongqi Dawn	BFC259	Licensed Representative (Provisional Licence)	2
CHEN Yu	BFD957	Licensed Representative (Provisional Licence)	1
CHEN Zhenfeng	BFD756	Licensed Representative (Provisional Licence)	4
CHENG Chek Wah	BFA938	Licensed Representative (Provisional Licence)	1
CHENG Chun Fai, Hudson	AVX842	Licensed Representative (Provisional Licence)	1, 6
CHENG Ka Lam	BFE152	Licensed Representative (Provisional Licence)	9
CHENG Sharon	BFB173	Licensed Representative (Provisional Licence)	1
CHENG Yeung	AHG117	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Kai Li, Carrie	AMN619	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Kin Yip	BFB168	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Tin Wah, Mize	BFD233	Licensed Representative (Provisional Licence)	1
CHEW Adeline Min Min	BFC857	Licensed Representative (Provisional Licence)	1, 6
CHIM Yat Kan	APU603	Licensed Representative (Provisional Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIU On Kam, Kenny	BFB171	Licensed Representative (Provisional Licence)	1
CHONG Cheung Chun	BFB239	Licensed Representative (Provisional Licence)	1, 2
CHOW Ching In	BFA701	Licensed Representative (Provisional Licence)	6
CHOW Kit Har, Susanna	ADY295	Licensed Representative (Provisional Licence)	1, 4
CHOY Tak Sum	BAJ163	Licensed Representative (Provisional Licence)	4, 9
CHUA Sui Yee	BBA488	Licensed Representative (Provisional Licence)	4, 9
CHUNG I Fon	AVI326	Licensed Representative (Provisional Licence)	1
CHUNG Man Kit	BFD980	Licensed Representative (Provisional Licence)	1
CHUNG Tsz Fung, Ken	BFC351	Licensed Representative (Provisional Licence)	1
COLEBATCH Michael John	BFB230	Licensed Representative (Provisional Licence)	1, 2
COLLIE Craig Bryan	BFD445	Licensed Representative (Provisional Licence)	4
CONRAD Gregor Abraham	BFC668	Licensed Representative (Provisional Licence)	1, 4, 9
COUCH Gordon	BFD480	Licensed Representative (Provisional Licence)	4, 9
CROOK Mark Jonathon	BFA896	Licensed Representative (Provisional Licence)	1, 7
CUI Cui	BFD800	Licensed Representative (Provisional Licence)	4
CUI Xueying	BAR201	Licensed Representative (Provisional Licence)	4, 9
DAI Andi	BFA011	Licensed Representative (Provisional Licence)	1, 2, 7
DECRAUZAT Stephane	BFD440	Licensed Representative (Provisional Licence)	4, 9
DENG Fei	BFC518	Licensed Representative (Provisional Licence)	1, 4, 6
DENG Yunyi	BBP845	Licensed Representative (Provisional Licence)	1, 4, 9
DESSART Jenna Anne Frederique	BEZ536	Licensed Representative (Provisional Licence)	9
DEWAN Sukrit	BFC653	Licensed Representative (Provisional Licence)	1, 4, 6
DORNEY Mark Andrew	BFD878	Licensed Representative (Provisional Licence)	1, 4, 6
DOU Yik Siu	BFD130	Licensed Representative (Provisional Licence)	4, 9
DU Jian	AMI318	Licensed Representative (Provisional Licence)	4, 9
DU Jing	ANT379	Licensed Representative (Provisional Licence)	1, 2
DU Ruo Wei	BFC868	Licensed Representative (Provisional Licence)	1
DWIVEDI Harshit	BFC405	Licensed Representative (Provisional Licence)	4, 9
ESHRAGI Shameem	BFC869	Licensed Representative (Provisional Licence)	1, 6
FAN Bing	BFD824	Licensed Representative (Provisional Licence)	1, 4, 6
FONG Chung Loon, David	AUT314	Licensed Representative (Provisional Licence)	1, 2
FONG Jeffrey Yat Yeung	BFA968	Licensed Representative (Provisional Licence)	9
FOO Lih Huoy	BFC906	Licensed Representative (Provisional Licence)	1, 6
FREEMAN Robert Luke	BFE033	Licensed Representative (Provisional Licence)	4
FU Huihui	BEJ105	Licensed Representative (Provisional Licence)	1
FU Xiang	BFC691	Licensed Representative (Provisional Licence)	1, 2, 7
FUJII Ken	ABM992	Licensed Representative (Provisional Licence)	1, 2, 7
FUNG Yi	AXU916	Licensed Representative (Provisional Licence)	1, 2, 3
GE Chenhao	BFD861	Licensed Representative (Provisional Licence)	1, 6
GOLDSMITH Robert James	AOS667	Licensed Representative (Provisional Licence)	2
GU Andrew Ye Yan	ALQ626	Licensed Representative (Provisional Licence)	4, 9
GUGUEN Bryan Hubert Yann	BFC654	Licensed Representative (Provisional Licence)	1, 4, 9
HAN Tingqian	BFA094	Licensed Representative (Provisional Licence)	4
HANSEN Stefan	BEI886	Licensed Representative (Provisional Licence)	1
HAU Celine	BFC399	Licensed Representative (Provisional Licence)	1
HE Jiajun	BFA671	Licensed Representative (Provisional Licence)	1, 4
HE Zhuohang	BFB225	Licensed Representative (Provisional Licence)	4
HIRAYAMA Hajime	BFC663	Licensed Representative (Provisional Licence)	1
HO Ming Hei, William	BFD430	Licensed Representative (Provisional Licence)	1
HONG Xueyu	BFE233	Licensed Representative (Provisional Licence)	4
HSIAO Hsiao-Ping	BFD591	Licensed Representative (Provisional Licence)	1
HUANG Shang	BFD372	Licensed Representative (Provisional Licence)	6
HUANG Suiming	BFB090	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
HUANG Wei Meng	BFD871	Licensed Representative (Provisional Licence)	1
HUNG Ka Yen	AXQ577	Licensed Representative (Provisional Licence)	9
IOUSSOUPOV Chamil	AYD204	Licensed Representative (Provisional Licence)	1, 2
JAGNANI Samarth Vimalkumar	BFA289	Licensed Representative (Provisional Licence)	1, 6
JEGANNATHAN Aravindan	ASO503	Licensed Representative (Provisional Licence)	4, 9
JI Chao	BFA993	Licensed Representative (Provisional Licence)	1, 4
JIANG Peng	BFE243	Licensed Representative (Provisional Licence)	1, 6
KAM Man Wai	BBK676	Licensed Representative (Provisional Licence)	1, 2
KAMINER Guillaume Romain	APK937	Licensed Representative (Provisional Licence)	1, 2
KANG Mooi Siah	BFB176	Licensed Representative (Provisional Licence)	1
KELLY Victoria Jeane	BFB079	Licensed Representative (Provisional Licence)	1, 9
KEUNG Hoi Ling, Helen	AQP995	Licensed Representative (Provisional Licence)	1, 4
KIM Jee Suk	BFD119	Licensed Representative (Provisional Licence)	1, 2
KIM Hee Kwon	AWL172	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Jaehyun	BFE335	Licensed Representative (Provisional Licence)	4, 9
KOFOPOULOS Dimitrios	BFB169	Licensed Representative (Provisional Licence)	1
KOK Lok Sang	ADR475	Licensed Representative (Provisional Licence)	1, 4, 9
KONDO Masakatsu	BFA117	Licensed Representative (Provisional Licence)	1
KRUK Jennifer Nicole	BFC690	Licensed Representative (Provisional Licence)	4
KUNG Man Yi, Joanne	BFC988	Licensed Representative (Provisional Licence)	10
KWAN Kok Wah	AJJ552	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KWOK Chi Hin	BFD848	Licensed Representative (Provisional Licence)	1, 4, 6
KWOK Ho Yin	BFA974	Licensed Representative (Provisional Licence)	6
KWOK Hon Kuen	AER962	Licensed Representative (Provisional Licence)	1, 2, 9
KWOK Wang Wai	BFA614	Licensed Representative (Provisional Licence)	1, 2, 4
KWONG Tak Kim Eddy	BFC771	Licensed Representative (Provisional Licence)	1
LAI Ruisheng	BEZ353	Licensed Representative (Provisional Licence)	1, 9
LAM Chi Long Daniel	BFA629	Licensed Representative (Provisional Licence)	1
LAM Ching Chuen	BFA437	Licensed Representative (Provisional Licence)	1
LAM Chun Hang	BFE153	Licensed Representative (Provisional Licence)	1
LAM Ho Por, Kelvin	BFA186	Licensed Representative (Provisional Licence)	4
LAM Ho Wai Howie	BFA228	Licensed Representative (Provisional Licence)	4, 9
LAM Lam, Linda	AGP663	Licensed Representative (Provisional Licence)	1, 4
LAM Sahra	BFD240	Licensed Representative (Provisional Licence)	1, 4
LAM Sau Lin Sally	AGE347	Licensed Representative (Provisional Licence)	1
LAM Tak Wai, Derek	BEZ160	Licensed Representative (Provisional Licence)	1, 6
LAM Tik On	BFD374	Licensed Representative (Provisional Licence)	1
LANUSSE-CROUSSE Marc Robert Raymond	BFB086	Licensed Representative (Provisional Licence)	1
LAU Cheong	BFA120	Licensed Representative (Provisional Licence)	1, 2
LAU Ching Yin Judy	AFT514	Licensed Representative (Provisional Licence)	1, 2
LAU Chris Cheuk Wah	AYO821	Licensed Representative (Provisional Licence)	6
LAU Chun Hong	BFC261	Licensed Representative (Provisional Licence)	1
LEE Edwin Kan Hing	AEJ673	Licensed Representative (Provisional Licence)	4, 9
LEE Fei Lun	ARQ131	Licensed Representative (Provisional Licence)	1, 2
LEE Haesung	AQO516	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Hang April	BFA962	Licensed Representative (Provisional Licence)	4
LEE Kwong Keung	BFA988	Licensed Representative (Provisional Licence)	1
LEE Mei Ling	AFR791	Licensed Representative (Provisional Licence)	1, 4
LEE Soo Young	BFA780	Licensed Representative (Provisional Licence)	1
LEE Wan Wah, Wendy	BFD785	Licensed Representative (Provisional Licence)	4, 9
LEE Yen-Wei	BFC411	Licensed Representative (Provisional Licence)	1
LEE Ying Chun	BFC861	Licensed Representative (Provisional Licence)	3
LEUNG Man Ho	BEZ360	Licensed Representative (Provisional Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEUNG Ching Kwong Bryan	BFD782	Licensed Representative (Provisional Licence)	1, 2
LEUNG Lee Ching Catherine	BFD462	Licensed Representative (Provisional Licence)	1
LEUNG Tsz Wai, Darren	BFA990	Licensed Representative (Provisional Licence)	4, 9
LEUNG Yan Chi	BFC697	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yee Wan, Doris	AFR436	Licensed Representative (Provisional Licence)	1
LEVY Andrew Frederick	BFC716	Licensed Representative (Provisional Licence)	4
LEWIS Gareth Rhys	AOX202	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jia Wei	BFC751	Licensed Representative (Provisional Licence)	1, 4, 6
LI Junjie	BFD822	Licensed Representative (Provisional Licence)	1, 6
LI King Hei, James	BFD043	Licensed Representative (Provisional Licence)	1
LI Li	BFD132	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LI Man Yin	BFA180	Licensed Representative (Provisional Licence)	1, 2
LI Mang	BFD584	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shanshan	BFD820	Licensed Representative (Provisional Licence)	1
LI Tingting	ARI573	Licensed Representative (Provisional Licence)	4
LI Wing Yi	BFD467	Licensed Representative (Provisional Licence)	1
LI Zheng	BFD616	Licensed Representative (Provisional Licence)	10
LI Zhongyuan	AXD238	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Jianyi	BFE027	Licensed Representative (Provisional Licence)	1
LIANG Jingjing	BFC773	Licensed Representative (Provisional Licence)	1, 6
LIN Han	BFA306	Licensed Representative (Provisional Licence)	6
LIN Jung Fang	BFA781	Licensed Representative (Provisional Licence)	1, 4
LIN Shu-Wei	BFD026	Licensed Representative (Provisional Licence)	1, 4, 6
LIPTAK John Bennett	AEQ330	Licensed Representative (Provisional Licence)	1, 4
LIU Chang	BFC776	Licensed Representative (Provisional Licence)	1, 6
LIU Chiu Yiu, Iris	BFE042	Licensed Representative (Provisional Licence)	1, 4
LIU Ka Ho Kenny	BFA621	Licensed Representative (Provisional Licence)	1
LIU Lianfu	BFD806	Licensed Representative (Provisional Licence)	6
LIU Renhe	BFC981	Licensed Representative (Provisional Licence)	1, 4
LIU Sisi	BFC348	Licensed Representative (Provisional Licence)	4
LIU Xiaoning	BFD449	Licensed Representative (Provisional Licence)	1, 4
LIU Yang	BFC549	Licensed Representative (Provisional Licence)	1, 4
LIU Yi-Ling	BFC555	Licensed Representative (Provisional Licence)	1, 4
LIU Zhao	BFD378	Licensed Representative (Provisional Licence)	1, 6
LO Ning Yue, Sam	ASY867	Licensed Representative (Provisional Licence)	4, 9
LOH Timothy James	AZX867	Licensed Representative (Provisional Licence)	1, 4
LOO Kevin Lester	BFD133	Licensed Representative (Provisional Licence)	1, 4, 9
LU Yun	BFA945	Licensed Representative (Provisional Licence)	10
LU Cheng	BFC909	Licensed Representative (Provisional Licence)	2
LU Pei	BFA497	Licensed Representative (Provisional Licence)	1, 4, 9
LU You	BFC569	Licensed Representative (Provisional Licence)	1, 6
LUI Ching Ngai	BFC557	Licensed Representative (Provisional Licence)	6
LUI Man Ting, Gloria	BFD428	Licensed Representative (Provisional Licence)	1, 2
LUO Jue Cong	BFD609	Licensed Representative (Provisional Licence)	1, 2
MA Teng	BFC772	Licensed Representative (Provisional Licence)	4
MACDONALD Stuart William	BFD452	Licensed Representative (Provisional Licence)	1, 4, 9
MAHAJAN Deepak	BFB224	Licensed Representative (Provisional Licence)	1
MAK Chun Fung	BEZ801	Licensed Representative (Provisional Licence)	5
MARIA Kulvinder Singh	BFD831	Licensed Representative (Provisional Licence)	1, 7
MCGILL Ruarri Ronald	BFA600	Licensed Representative (Provisional Licence)	1
MCLEAN Mark Anthony	BCH921	Licensed Representative (Provisional Licence)	1
MEGURO GULLBERG Per Gustav	BFD488	Licensed Representative (Provisional Licence)	4
MENG Qing	BFA703	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
MOORE Stephen Lewis	BFD013	Licensed Representative (Provisional Licence)	1, 2
MOSTYN Joshua Marcus Joseph	BFB204	Licensed Representative (Provisional Licence)	9
MOYROUD Mathieu Benjamin	BFA611	Licensed Representative (Provisional Licence)	1, 2
NARULA Rajat	BFA823	Licensed Representative (Provisional Licence)	4, 9
NG Chi Kam	BFC763	Licensed Representative (Provisional Licence)	10
NG Ho Yin	BFD366	Licensed Representative (Provisional Licence)	1
NG Hoi Yin	APZ135	Licensed Representative (Provisional Licence)	1, 4
NG Ka Yee Johnson	ALP072	Licensed Representative (Provisional Licence)	1, 4, 6
NG Ka Yu	AVE769	Licensed Representative (Provisional Licence)	6
NG Kai Tung, Carlton	AXS305	Licensed Representative (Provisional Licence)	1, 4, 6
NG Wai Man	AXV193	Licensed Representative (Provisional Licence)	1
NI Colin Chi	BFB053	Licensed Representative (Provisional Licence)	1, 4, 9
NI Wei-i	BFC747	Licensed Representative (Provisional Licence)	1, 2, 9
NICHOLSON John Marshall	AID049	Licensed Representative (Provisional Licence)	1, 4, 6
OH Dee Xiang	BFB082	Licensed Representative (Provisional Licence)	1, 4, 6
ONG Ho Yee Jennifer	BFD433	Licensed Representative (Provisional Licence)	1, 4, 9
OTTERY Gavin John	ALS347	Licensed Representative (Provisional Licence)	1, 4
OWEN Simon George	BFB085	Licensed Representative (Provisional Licence)	1, 9
PENG Jiangnan	BFA403	Licensed Representative (Provisional Licence)	1, 4, 9
PERSICO Marco	BFD388	Licensed Representative (Provisional Licence)	4
PIPAUD Cyril	BFB074	Licensed Representative (Provisional Licence)	1, 4
POON Jian Xi	BFD262	Licensed Representative (Provisional Licence)	4
POON Wai Chu	BFC740	Licensed Representative (Provisional Licence)	1
PRELLER Raoul Gustav	AJL240	Licensed Representative (Provisional Licence)	1, 2, 7
QIAN Yuyang	BFC899	Licensed Representative (Provisional Licence)	1
QIN Liangliang	BFC263	Licensed Representative (Provisional Licence)	1, 2
REN Jia	BFD049	Licensed Representative (Provisional Licence)	1, 4, 6
REPERANT Vassili Benjamin Maurice Valentin	BFA019	Licensed Representative (Provisional Licence)	1, 2, 7
RIVERS Thomas Edward	BFB238	Licensed Representative (Provisional Licence)	1, 4, 9
ROGERS-UFF Jesse John	BFB282	Licensed Representative (Provisional Licence)	1, 2
SAHA Debashish	BFC376	Licensed Representative (Provisional Licence)	1, 4
SALL Thibault	BFD679	Licensed Representative (Provisional Licence)	1
SATO Hiroki	BEE297	Licensed Representative (Provisional Licence)	1
SEBAG Jonathan Abraham Robert	BFC428	Licensed Representative (Provisional Licence)	1, 2
SETHI Mininder	BFD465	Licensed Representative (Provisional Licence)	1, 2
SHA Xiaochuan	BFE467	Licensed Representative (Provisional Licence)	1, 6
SHAH Anushka Sanjay	BFA426	Licensed Representative (Provisional Licence)	10
SHEK Kai Chung	BFD863	Licensed Representative (Provisional Licence)	1
SHEN Moshe	BFA429	Licensed Representative (Provisional Licence)	1, 2, 7
SIMPSON Robert Adam	AYG346	Licensed Representative (Provisional Licence)	4
SIU Man Hoi	BFD163	Licensed Representative (Provisional Licence)	6
SMITH Martin Andrew	AJ1702	Licensed Representative (Provisional Licence)	1, 4, 9
SMITH Stephen Mark	BFA905	Licensed Representative (Provisional Licence)	1, 7
SO Chiu Fung	BFC353	Licensed Representative (Provisional Licence)	1, 6
SONG Se Han	BFE217	Licensed Representative (Provisional Licence)	1
SONG Wei	BEV823	Licensed Representative (Provisional Licence)	9
SONG Yingxi	BFE170	Licensed Representative (Provisional Licence)	1
SOUKI Atef	BBO923	Licensed Representative (Provisional Licence)	1, 2
SPARROW Richard Aelred	AFK790	Licensed Representative (Provisional Licence)	1, 7
SPECIALE Mark Phillip	ALF648	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Po-Hsuan	BFB184	Licensed Representative (Provisional Licence)	1, 4, 9
SVENSSON Anders Olof	BFB216	Licensed Representative (Provisional Licence)	1, 6
SY Lorelei Lorraine Lua	APP373	Licensed Representative (Provisional Licence)	1



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
SZE Lam Lam	AQR414	Licensed Representative (Provisional Licence)	1, 4, 9
TAI Yee Ki	BAF598	Licensed Representative (Provisional Licence)	1, 2
TAKEUCHI Mai	BFB281	Licensed Representative (Provisional Licence)	9
TAM Ian Yi-heng	BFE011	Licensed Representative (Provisional Licence)	1
TAM Sze Chun Christopher	BFC980	Licensed Representative (Provisional Licence)	1
TAN Hwei Ling	AGC131	Licensed Representative (Provisional Licence)	1, 2, 4
TAN Ye-Hui, James	AZY483	Licensed Representative (Provisional Licence)	1
TANG Chun Pong	ARV786	Licensed Representative (Provisional Licence)	1, 2
TANG Ruobing	BFA762	Licensed Representative (Provisional Licence)	1, 2, 4, 9
TANG Yiming	BFC406	Licensed Representative (Provisional Licence)	1, 6
TAWN Andrew John	BFC254	Licensed Representative (Provisional Licence)	1, 4
TIAN Qi	BFD608	Licensed Representative (Provisional Licence)	1
TO Ching Sze, Cecilia	APA899	Licensed Representative (Provisional Licence)	9
TONG Chi Hang	APK725	Licensed Representative (Provisional Licence)	1
TSAI Ka Kan	BFA652	Licensed Representative (Provisional Licence)	1, 4
TSANG Kwong Hing	BFC736	Licensed Representative (Provisional Licence)	1, 2
TSANG Wa Sin	BFC561	Licensed Representative (Provisional Licence)	1, 2
TSE Ka Chai	APK159	Licensed Representative (Provisional Licence)	1
TSE LAM Wing Ching, Maggie	BFA598	Licensed Representative (Provisional Licence)	1
TSE See Kit	BFB223	Licensed Representative (Provisional Licence)	1, 2, 4
TSE Siu Wai	BFC539	Licensed Representative (Provisional Licence)	6
TSE Tat Ki	AZH740	Licensed Representative (Provisional Licence)	1
TSU King Yiu	BFA530	Licensed Representative (Provisional Licence)	1, 4
WACKETT Andrew Crawford	AMX311	Licensed Representative (Provisional Licence)	4
WAGLE Sachin Vijay	BFA512	Licensed Representative (Provisional Licence)	1, 6
WANE Mohamed Sada	BFD994	Licensed Representative (Provisional Licence)	1
WANG Dapeng	BFD828	Licensed Representative (Provisional Licence)	4, 9
WANG Jack Kuan Chung	BFA769	Licensed Representative (Provisional Licence)	2, 5
WANG Jian	AVM361	Licensed Representative (Provisional Licence)	1
WANG Tianyu	BFA661	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Xiao	BFC903	Licensed Representative (Provisional Licence)	1, 6
WANG Yaning	BFC785	Licensed Representative (Provisional Licence)	1, 4
WANG Yijun	BFC862	Licensed Representative (Provisional Licence)	1
WANG Yingying	BFA820	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Yiqun	BFC565	Licensed Representative (Provisional Licence)	1, 4, 9
WANG You	BFC706	Licensed Representative (Provisional Licence)	1
WIBLIN Michael	BDH266	Licensed Representative (Provisional Licence)	4
WONG Wai Yuen	BFA526	Licensed Representative (Provisional Licence)	1, 2
WONG Che Ho	BFE038	Licensed Representative (Provisional Licence)	1, 6
WONG Chi Ho	BFC358	Licensed Representative (Provisional Licence)	1, 2, 7
WONG Chi Shun	BEZ498	Licensed Representative (Provisional Licence)	1, 6
WONG Chim	BFE349	Licensed Representative (Provisional Licence)	3
WONG Elie Natalie	AJD745	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Kam Ting	BAF053	Licensed Representative (Provisional Licence)	4
WONG Kin Kwan	BFD581	Licensed Representative (Provisional Licence)	1
WONG Kwai Chu	AP0150	Licensed Representative (Provisional Licence)	1, 4
WONG Kwan Lok	BFC247	Licensed Representative (Provisional Licence)	1
WONG Man Kit, Ivan	AZG148	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Ming Choi	BFD524	Licensed Representative (Provisional Licence)	1, 2
WONG Mui Wa, Sheila	BFA825	Licensed Representative (Provisional Licence)	9
WONG Pak Yui, Maffee	ASF405	Licensed Representative (Provisional Licence)	1
WONG Pik Ning Katherine	BFC688	Licensed Representative (Provisional Licence)	1
WONG Sung Tak	BFD772	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Yee Fai, Valerie	BAT145	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yuk-bon	BEZ913	Licensed Representative (Provisional Licence)	1, 4
WOO Jae Song	BFD438	Licensed Representative (Provisional Licence)	4
WRIGGE Gert Christof	BFD876	Licensed Representative (Provisional Licence)	4, 9
WU Kaiyu	BFC756	Licensed Representative (Provisional Licence)	1, 2
WU Xiyang	BFB077	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Yayi	BFD654	Licensed Representative (Provisional Licence)	1, 2, 3
XIE Zhiming	BFD432	Licensed Representative (Provisional Licence)	4, 9
XING Lei	BFE010	Licensed Representative (Provisional Licence)	4, 9
XING Yiling	BFC904	Licensed Representative (Provisional Licence)	2
XU Dan	BFB174	Licensed Representative (Provisional Licence)	1, 4
XU Jin	BFC540	Licensed Representative (Provisional Licence)	1, 4
XU Lin	BFA677	Licensed Representative (Provisional Licence)	1, 2
XU Simin	BFC852	Licensed Representative (Provisional Licence)	4, 9
XU Yue	BFE156	Licensed Representative (Provisional Licence)	6
XU Zhetong	BFD376	Licensed Representative (Provisional Licence)	1, 6
YADAV Kamal	BFA764	Licensed Representative (Provisional Licence)	1, 6
YAN Kei Shui, Leo	AOG060	Licensed Representative (Provisional Licence)	4, 5, 9
YANAMANDRA Venkata Ravi Kiran	BFA205	Licensed Representative (Provisional Licence)	2, 5
YANG Sen	BFA279	Licensed Representative (Provisional Licence)	1, 6
YANG Sikai	BFA187	Licensed Representative (Provisional Licence)	1
YAO Wanyi	BFA648	Licensed Representative (Provisional Licence)	1, 4, 9
YE Zhi	BFB220	Licensed Representative (Provisional Licence)	1, 4, 6
YIN Jinhua	AQZ611	Licensed Representative (Provisional Licence)	10
YIP Hoi Tai Curtis	BFB218	Licensed Representative (Provisional Licence)	1, 2
YIP Simon	BFA986	Licensed Representative (Provisional Licence)	1
YU Xiangrong	BFA907	Licensed Representative (Provisional Licence)	1, 4
YUEN Kin Wong	ADG918	Licensed Representative (Provisional Licence)	1
ZAATOUR Riadh	BFA605	Licensed Representative (Provisional Licence)	1, 7
ZENG Fang	BFC671	Licensed Representative (Provisional Licence)	4, 9
ZHAI Haomiao	BFC859	Licensed Representative (Provisional Licence)	1, 2, 7
ZHAN Hao	BFB235	Licensed Representative (Provisional Licence)	1
ZHANG Yuxin	BEZ796	Licensed Representative (Provisional Licence)	4
ZHANG Chunzhi	ASK859	Licensed Representative (Provisional Licence)	1
ZHANG Shuai	BFC901	Licensed Representative (Provisional Licence)	1, 6
ZHANG Wei	ARB949	Licensed Representative (Provisional Licence)	4
ZHANG Xi	BFD377	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ya Xiong	BFD815	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ying	BFC741	Licensed Representative (Provisional Licence)	1, 9
ZHANG Yu	BEX944	Licensed Representative (Provisional Licence)	1
ZHANG Zhinan	BFD526	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ziran	BFC896	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zizhe	BFA430	Licensed Representative (Provisional Licence)	4, 9
ZHENG Yiran	BFD444	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Zimu	BFA405	Licensed Representative (Provisional Licence)	6
ZHONG Xian	BFC908	Licensed Representative (Provisional Licence)	1, 6
ZHOU Bo	BFD603	Licensed Representative (Provisional Licence)	1, 4
ZHU Beibei	BFD466	Licensed Representative (Provisional Licence)	1, 4
ZHU Feifei	BFC570	Licensed Representative (Provisional Licence)	1, 6
ZHU Jialei	BFD431	Licensed Representative (Provisional Licence)	1, 2
ZHU Kexin	BEZ998	Licensed Representative (Provisional Licence)	4
ZHU Lulu	BFA983	Licensed Representative (Provisional Licence)	1
ZHU Yanbo	BEZ623	Licensed Representative (Provisional Licence)	1, 2, 4, 9



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
ALI Salman	BDY341	Licensed Representative (Temporary Licence)	4
AOKI Taro	BEG491	Licensed Representative (Temporary Licence)	1
ARYA Vivek	BEN904	Licensed Representative (Temporary Licence)	1, 4
BABA Kosuke	BDF039	Licensed Representative (Temporary Licence)	1
BAL Raj Singh Jaj	ANX746	Licensed Representative (Temporary Licence)	4
BARDEN David William	ACW077	Licensed Representative (Temporary Licence)	1, 4
BARROWS Ross Christopher	BFC529	Licensed Representative (Temporary Licence)	4
BAVOSO Mark Anthony	BAM753	Licensed Representative (Temporary Licence)	1
BEHERA Roshan Raj	ARP091	Licensed Representative (Temporary Licence)	1, 4
BRACKETT Robert Alan	BBA483	Licensed Representative (Temporary Licence)	4
CASTLE Jarrod	AWA482	Licensed Representative (Temporary Licence)	4
CHARNCHANAVIVAT Narumol	AXI082	Licensed Representative (Temporary Licence)	4
CHEETHAM Philip	BFA324	Licensed Representative (Temporary Licence)	4
CHEVELEY Richard George Castelfranc	AQF001	Licensed Representative (Temporary Licence)	4
CHING Yi Edmund	AKU003	Licensed Representative (Temporary Licence)	4
CHO Ah Young	BFB115	Licensed Representative (Temporary Licence)	4
CHOI Minha	BFC667	Licensed Representative (Temporary Licence)	4
CHUA Kah Meng, Adrian	AWD992	Licensed Representative (Temporary Licence)	4
CLEMENTSON Christian James	ANE031	Licensed Representative (Temporary Licence)	1
COE Nigel Edward	BDO199	Licensed Representative (Temporary Licence)	1
COLLISON Scott Seton	BCU981	Licensed Representative (Temporary Licence)	1
COMAL GOPALAN Mukundh	BEO888	Licensed Representative (Temporary Licence)	1, 4, 6
DARK Adrian John	BFA326	Licensed Representative (Temporary Licence)	4
DEBLASE Nicole Sheree	BDN023	Licensed Representative (Temporary Licence)	1
DIDDAMS Justin Flower	BEO566	Licensed Representative (Temporary Licence)	4
ELLIOTT Roger John	BEN554	Licensed Representative (Temporary Licence)	4
ELLIS Christopher John	BFC863	Licensed Representative (Temporary Licence)	1
ENOMOTO Takashi	BAZ545	Licensed Representative (Temporary Licence)	1, 4
FAY Sharon Ellen	AMC652	Licensed Representative (Temporary Licence)	4
FREEDMAN Joshua	AWZ586	Licensed Representative (Temporary Licence)	1, 4
FUKUSHIMA Yoshihiro	APJ449	Licensed Representative (Temporary Licence)	1, 2
GARG Nikhil	AVH861	Licensed Representative (Temporary Licence)	1
GARVEY Gerald Thomas	BFC676	Licensed Representative (Temporary Licence)	1, 4
GIBLAT Arnaud Maurice Andre	BFA934	Licensed Representative (Temporary Licence)	4
GISBOURNE Ian Mark	ACS236	Licensed Representative (Temporary Licence)	4
GODHARD Nicholas Michael	BFD791	Licensed Representative (Temporary Licence)	1, 6
GOODACRE Samuel Magnum	BFE172	Licensed Representative (Temporary Licence)	1
GRASECK Elizabeth Lynn	BBQ720	Licensed Representative (Temporary Licence)	1
GUPTA Sachin	BDD526	Licensed Representative (Temporary Licence)	1
HAGIWARA Manabu	BEI574	Licensed Representative (Temporary Licence)	4
HALFORD Matthew David	BFB209	Licensed Representative (Temporary Licence)	1, 2
HANDA Saurabh	BFA991	Licensed Representative (Temporary Licence)	4
HARRISON Matthew Kelsey	BEZ680	Licensed Representative (Temporary Licence)	1
HENWOOD Julian Peter	AVC858	Licensed Representative (Temporary Licence)	1
HINDS Nicholas James Richard	ADW718	Licensed Representative (Temporary Licence)	1
HO Li Ching Madeline	BBJ320	Licensed Representative (Temporary Licence)	1
HO Marcus	BFC682	Licensed Representative (Temporary Licence)	1
IMAZU Nanako	AVU642	Licensed Representative (Temporary Licence)	1
KATO Masahito	AMF353	Licensed Representative (Temporary Licence)	1
KENNEDY Peter Michael Andrew	BEZ694	Licensed Representative (Temporary Licence)	1
KIM Michael Joon	BFA790	Licensed Representative (Temporary Licence)	1
KIM Minkyu	BEO107	Licensed Representative (Temporary Licence)	1
KIM Sung Chan	BBJ055	Licensed Representative (Temporary Licence)	1, 4



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015

CE Name	CE No.	Licence Type	Types of Regulated Activity
KINGSMILL Stuart Guy	BFA604	Licensed Representative (Temporary Licence)	1
KITO Keijiro	BFA603	Licensed Representative (Temporary Licence)	1
KOJIMA Nobuko	BAJ425	Licensed Representative (Temporary Licence)	1
KORSCHOLT Kai Folker	BFB232	Licensed Representative (Temporary Licence)	1, 4
KUHNERT Gregory John	AST321	Licensed Representative (Temporary Licence)	4
KUMAZAWA Sho	BFC856	Licensed Representative (Temporary Licence)	1, 2
KWEK Kevin Siew Beng	AZN097	Licensed Representative (Temporary Licence)	4
LAUD Sonja	BEZ698	Licensed Representative (Temporary Licence)	4, 5
LEE Katryna Anne	BFA316	Licensed Representative (Temporary Licence)	1
LEE Seung Hyun	AMA939	Licensed Representative (Temporary Licence)	4
LIAN Chia Liang	AYW916	Licensed Representative (Temporary Licence)	1
LIE Vivi Handoyo	BEN583	Licensed Representative (Temporary Licence)	4
LIN Chi-Jung	BFA198	Licensed Representative (Temporary Licence)	4
LOMAS David Andrew	BDC340	Licensed Representative (Temporary Licence)	1, 4
LUE-FONG Simon Nicolas	APE946	Licensed Representative (Temporary Licence)	4
MALKANI Rohini Ajit	BDZ992	Licensed Representative (Temporary Licence)	4
MAUDHUIT Marc Maurice Henri	BEM555	Licensed Representative (Temporary Licence)	1
MAYRARGUE Sylvain Luc Fabien	AXW974	Licensed Representative (Temporary Licence)	1, 2
MC INTYRE John Phillip	BFC991	Licensed Representative (Temporary Licence)	1
MCCRUDEN Padraic Thomas Anthony	BEN541	Licensed Representative (Temporary Licence)	1, 4
MCDEVITT Thomas Anthony	BDH766	Licensed Representative (Temporary Licence)	1
MINAGAWA Ryoza	BFA665	Licensed Representative (Temporary Licence)	4
MIURA Nobuyoshi	BDU297	Licensed Representative (Temporary Licence)	4
MIZUNO Hideyuki	AMO574	Licensed Representative (Temporary Licence)	1, 4
MORGAN Glenn David	AWK540	Licensed Representative (Temporary Licence)	1
MORRIS-EYTON Marcus Alexander	BFB285	Licensed Representative (Temporary Licence)	1
MOULDER Anthony Bruce	BFB113	Licensed Representative (Temporary Licence)	4
NAIR Prashant Gopinathan	BEN187	Licensed Representative (Temporary Licence)	4
NARUSAWA Koh	AZK463	Licensed Representative (Temporary Licence)	1
NEGISHI Ryosuke	BCJ866	Licensed Representative (Temporary Licence)	1, 2
NISHIZOE Atsuko	BFA653	Licensed Representative (Temporary Licence)	1
NOGUCHI Mayumi	BAL380	Licensed Representative (Temporary Licence)	1
NOLAN Michael Timothy	BDZ929	Licensed Representative (Temporary Licence)	1
Narain Aditya	BEG544	Licensed Representative (Temporary Licence)	4
OLSEN Reinhardt	BEF520	Licensed Representative (Temporary Licence)	1
ORTELLI Mario Zaccaria Carlo	BBJ794	Licensed Representative (Temporary Licence)	4
PHELPS Mark Ivor	AZE639	Licensed Representative (Temporary Licence)	1
PLA Edward Louis	BFA931	Licensed Representative (Temporary Licence)	1
PODRUG Frane	BDH801	Licensed Representative (Temporary Licence)	1, 4
POWERS Stephen Robert	BFA932	Licensed Representative (Temporary Licence)	4
SACK Aaron Nelson	BFC687	Licensed Representative (Temporary Licence)	1
SASAKI Futoshi	BEZ608	Licensed Representative (Temporary Licence)	1, 4
SECKER Graham Ronald	AUD568	Licensed Representative (Temporary Licence)	1
SESIN Karen Ann	ANB402	Licensed Representative (Temporary Licence)	4
SHEIKH Talib	BFC541	Licensed Representative (Temporary Licence)	4
SHERIDAN Eric James	BER962	Licensed Representative (Temporary Licence)	4
SHOOKUS Jeffrey David	BFA606	Licensed Representative (Temporary Licence)	1
SKINNER Jack William	BFE154	Licensed Representative (Temporary Licence)	1
SPEETJENS Richard Petrus Elisabeth Gerardus	BFD237	Licensed Representative (Temporary Licence)	1, 4
STASSOPOULOS Anastasios	BFC567	Licensed Representative (Temporary Licence)	4
SUMMERFIELD David Angus	AEP603	Licensed Representative (Temporary Licence)	1
SURPLICE John Michael Edward	AWI813	Licensed Representative (Temporary Licence)	1
TAVERNARO Alexander	BFD032	Licensed Representative (Temporary Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 03/2015**

CE Name	CE No.	Licence Type	Types of Regulated Activity
TAY Ek Pon	AVQ761	Licensed Representative (Temporary Licence)	1, 4
TEVES Joni Mae	BFA936	Licensed Representative (Temporary Licence)	4
TYNAN Christopher John	AJE150	Licensed Representative (Temporary Licence)	1
VORMOOR Jan Alexandre	AXK435	Licensed Representative (Temporary Licence)	1
WALTERS Richard Martin	BFA302	Licensed Representative (Temporary Licence)	1
WARBURTON Max Eliot Adair	AVD908	Licensed Representative (Temporary Licence)	4
WATANABE Ritsuo	BAZ846	Licensed Representative (Temporary Licence)	1, 4
WHEATLEY-HUBBARD Andrew David	ARR841	Licensed Representative (Temporary Licence)	1, 4
WONG Ferry Wijaya	ARB231	Licensed Representative (Temporary Licence)	4
WOOLFORD Craig John	BFD306	Licensed Representative (Temporary Licence)	4
WOOLLEY Mark Robert	AOL210	Licensed Representative (Temporary Licence)	1, 4
YUNOKI Jun	BFA664	Licensed Representative (Temporary Licence)	4
ZOU Tianlong	BFA087	Licensed Representative (Temporary Licence)	4